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Optimal Grid Exploration by Asynchronous Oblivious Robots

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Abstract

We consider a team of *autonomous weak robots* that are endowed with visibility sensors and motion actuators. Autonomous means that the team cannot rely on any kind of central coordination mechanism or scheduler. By weak we mean that the robots are devoid of (1) any (observable) IDs allowing to differentiate them (*anonymous*), (2) means of communication allowing them to communicate directly, and (3) any way to remember any previous observation nor computation performed in any previous step (*oblivious*). Robots asynchronously operate in cycles of three phases: Look, Compute, and Move. Furthermore, the network is an anonymous unoriented grid.

In such settings, the robots must collaborate to solve a collective task, here the *terminating grid exploration* (*exploration* for short), despite being limited with respect to input from the environment, asymmetry, memory, etc. Exploration requires that robots explore the grid and stop when the task is complete.

We propose optimal (*w.r.t.* the number of robots) solutions for the deterministic terminating exploration of a grid shaped network by a team of k asynchronous oblivious robots in the fully asynchronous and non-atomic model, so called CORDA.

In more details, we first assume the ATOM model in which each Look-Compute-Move cycle execution is executed atomically, *i.e.*, every robot that is activated at instant t instantaneously executes a full cycle between t and $t + 1$. ATOM being strictly stronger than CORDA, all impossibility results in ATOM also hold in CORDA. We show that it is impossible to explore a grid of at least three nodes with less than three robots in ATOM. (This first result holds for both deterministic and probabilistic settings.) Next, we show that it is impossible to deterministically explore a $(2, 2)$ -Grid with less than 4 robots, and a $(3, 3)$ -Grid with less than 5 robots, respectively.

Then, we propose deterministic algorithms in CORDA to exhibit the optimal number of robots allowing to explore of a given grid. Our results show that except in two particular cases, 3 robots are necessary and sufficient to deterministically explore a grid of at least three nodes. The optimal number of robots for the two remaining cases is: 4 for the $(2, 2)$ -Grid and 5 for the $(3, 3)$ -Grid.

Keywords: Exploration, grid, oblivious robots, CORDA model.

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1 Introduction

We consider autonomous robots that are endowed with visibility sensors (but that are otherwise unable to communicate) and motion actuators. Those robots must collaborate to solve a collective task, here the *terminating grid exploration* (*exploration* for short), despite being limited with respect to input from the environment, asymmetry, memory, etc.

So far, two universes have been studied: the *continuous two-dimensional Euclidian space* and the *discrete universe*. In the former, robot entities freely move on a plane using visual sensors with perfect accuracy that permit to locate all other robots with infinite precision (see *e.g.*, [5, 9, 17, 18, 2]). In the latter, the space is partitioned into a finite number of locations, conventionally represented by a graph, where the nodes represent the possible locations that a robot can take and the edges the possibility for a robot to move from one location to the other (see *e.g.*, [7, 8, 1, 4, 3, 11, 12, 13, 14]).

In this paper, we pursue research in the discrete universe and focus on the *exploration problem* when the network is an anonymous unoriented grid, using a team of autonomous mobile robots. Exploration requires that robots explore the grid and stop when the task is complete. In other words, every node of the grid must be visited by at least one robot and the protocol eventually terminates — every robot eventually stays idle forever.

The robots we consider are unable to communicate, however they can sense their environment and take decisions according to their local view. We assume anonymous and uniform robots (*i.e.*, they execute the same protocol and there is no way to distinguish between them using their appearance). In addition they are oblivious, *i.e.*, they do not remember their past actions. In this context, robots asynchronously operate in cycles of three phases: Look, Compute, and Move. In the first phase, robots observe their environment in order to get the position of all other robots in the grid. In the second phase, they perform a local computation using the previously obtained view and decide their target destination to which they will move during the last phase.

The fact that the robots have to stop after the exploration process implies that the robots somehow have to remember which part of the graph has been explored. Nevertheless, under this weak scenario, robots have no memory and thus are unable to remember the various steps taken before. In addition, they are unable to communicate explicitly. Therefore the positions of the other robots are the only way to distinguish different stages of the exploration process. The main complexity measure then is the minimal number of required robots. Since numerous symmetric configurations induce a large number of required robots, minimizing the number of robots turns out to be a difficult problem. As a matter of fact, in [8], it is shown that, in general, $\Omega(n)$ robots are necessary to explore a tree network of n nodes deterministically.

Related Work. In [7], authors proved that no deterministic exploration is possible on a ring when the number of robots k divides the number of nodes n . In the same paper, the authors proposed a deterministic algorithm that solves the problem using at least 17 robots provided that n and k are co-prime. In [14], Lamani *et al.* proved that there exists no deterministic protocol that can explore an even sized ring with $k \leq 4$ robots, even in the atomic model called SYm or ATOM [18]. In this model, robots execute their Look, Compute and Move phases in an atomic manner. Thus, results in ATOM naturally extend in the fully asynchronous non-atomic model, so called CORDA [15]. They also provide a deterministic protocol using five robots and performing in CORDA, provided that five and n are co-prime. By contrast, four robots are necessary and sufficient to solve the *probabilistic* exploration of any rings of size at least 4 in ATOM [4, 3].

To our knowledge, grid shaped networks were only considered in the context of anonymous and oblivious robot exploration [1] for a variant of the exploration problem where robots perpetually

explore all nodes in the grid (instead of stopping after exploring the whole network). Also, contrary to this paper, the protocols presented in [1] make use of a common sense of direction for all robots (common north, south, east, and west directions) and assume an essentially synchronous scheduling.

Contribution. In this paper, we propose optimal (*w.r.t.* the number of robots) solutions for the deterministic terminating exploration of a grid shaped network by a team of k asynchronous oblivious robots in the asynchronous and non-atomic CORDA model.

In more details, we first consider the ATOM model, that is a strictly stronger model than CORDA. We show that it is impossible to explore a grid of at least three nodes with less than three robots. This first result holds for both deterministic and probabilistic settings. Next, we show that it is impossible to deterministically explore a $(2, 2)$ -Grid with less than 4 robots, and a $(3, 3)$ -Grid with less than 5 robots, respectively. All results in ATOM naturally extend to CORDA. Then, we propose several deterministic algorithms in CORDA to exhibit the optimal number of robots allowing to explore of a given grid. Our results show that except in two particular cases, 3 robots are necessary and sufficient to deterministically explore a grid of at least three nodes. The optimal number of robots for the two remaining cases is: 4 for the $(2, 2)$ -Grid and 5 for the $(3, 3)$ -Grid.

The above results show that, perhaps surprisingly, exploring a grid is easier than exploring a ring. In the ring, deterministic solutions essentially require five robots [14] while probabilities enable solutions with only four robots [4, 3]. In the grid, three robots are necessary and sufficient in the general case even for deterministic protocols, while particular instances of the grid do require four or five robots. Also deterministically exploring a general grid requires no primality condition while deterministically exploring a ring expects the number k of robots to be co-prime with n the number of nodes.

Roadmap. Section 2 presents the system model and the problem to be solved. Lower bounds are shown in Section 3. The deterministic general solution using three robots is given in Section 4, the special case with five robots is proposed in Section 5. Section 6 gives some concluding remarks.

2 Preliminaries

Distributed Systems. We consider systems of autonomous mobile entities called *agents* or *robots* evolving in a *simple unoriented connected graph* $G = (V, E)$, where V is a finite set of nodes and E a finite set of edges. In G , nodes represent locations that can be sensed by robots and edges represent the possibility for a robot to move from one location to another. We assume that G is an (i, j) -Grid (or a Grid, for short) where i, j are two positive integers, *i.e.*, G satisfies the following two conditions: (a) $|V| = i \times j$, and (b) there exists an order on the nodes of V , $v_1, \dots, v_{i \times j}$, such that:

- $\forall x \in [1..i \times j], (x \bmod i) \neq 0 \Rightarrow \{v_x, v_{x+1}\} \in E$, and
- $\forall y \in [1..i \times (j - 1)], \{v_y, v_{y+i}\} \in E$.

Nodes of the grid are anonymous (we may use indices, but for notation purposes only). We denote by $n = i \times j$ the number of nodes in G . We denote the degree of node v in G by $\delta(v)$. Given two neighboring nodes u and v , there is no explicit or implicit labeling allowing the robots to determine whether u is either on the left, on the right, above, or below v . Remark that an (i, j) -Grid and a (j, i) -Grid are isomorphic. Hence, as the nodes are anonymous, we cannot distinguish an (i, j) -Grid from a (j, i) -Grid. So, without loss of generality, we always consider (i, j) -Grids, where $i \leq j$. Note also that any $(1, j)$ -Grid is isomorphic to a chain. In any (i, j) -Grid, if $i = 1$, then either the grid

consists of one node, or two nodes are of degree 1 and all other nodes are of degree 2; otherwise, when $i > 1$, four nodes are of degree 2 and all other nodes are of degree either 3 or 4. In any grid, the nodes of smallest degree are called *corners*. In any $(1, j)$ -Grid with $j > 1$, the unique chain linking the two corners is called the *borderline*. In any (i, j) -Grid such that $i > 1$, there exist four chains v_1, \dots, v_m of length at least 2 such that $\delta(v_1) = \delta(v_m) = 2$, and $\forall x, 1 < x < m, \delta(v_x) = 3$, these chains are also called the *borderlines*.

Robots. Operating in G are $k \leq n$ robots. The robots do not communicate in an explicit way; however they see the position of the other robots and can acquire knowledge based on this information. We assume that the robots cannot remember any previous observation nor computation performed in any previous step. Such robots are said to be *oblivious* (or *memoryless*).

Each robot operates according to its (local) *program*. We call *protocol* a collection of k *programs*, each one operating on a single robot. Here we assume that robots are *uniform* and *anonymous*, *i.e.*, they all have the same program using no local parameter (such as an identity) that could permit to differentiate them. The program of a robot consists in executing *Look-Compute-Move cycles* infinitely many times. That is, the robot first observes its environment (Look phase). Based on its observation, a robot then decides to move or stay idle (Compute phase). When a robot decides to move, it moves from its current node to a neighboring node during the Move phase.

Computational Model. We consider two models: the semi-asynchronous and atomic model, ATOM [6] and the asynchronous non-atomic model, CORDA [7]. In both models, time is represented by an infinite sequence of instants $0, 1, 2, \dots$. No robot has access to this global time. Moreover, every robot executes cycles infinitely many times. Each robot performs its own cycles in sequence. However, the time between two cycles of the same robot and the interleavings between cycles of different robots are decided by an *adversary*. As a matter of facts, we are interested in algorithms that correctly operate despite the choices of the adversary. In particular, our algorithms should also work even if the adversary force the execution to be fully sequential or fully synchronous.

In ATOM, each Look-Compute-Move cycle execution is assumed to be *atomic*: every robot that is activated (by the adversary) at instant t instantaneously executes a full cycle between t and $t + 1$.

In CORDA, Look-Compute-Move cycles are performed asynchronously by each robot: the time between Look, Compute, and Move operations is finite yet unbounded, and is decided by the adversary. The only constraint is that both Move and Look are instantaneous.

Remark that in both models, any robot performing a Look operation sees all other robots at nodes and not on edges. However, in the CORDA, a robot \mathcal{R} may perform a Look operation at some time t , perceiving robots at some nodes, then Compute a target neighbor at some time $t' > t$, and Move to this neighbor at some later time $t'' > t'$ in which some robots are in different nodes from those previously perceived by \mathcal{R} because in the meantime they moved. Hence, robots may move based on significantly outdated perception.

Of course, ATOM is stronger than CORDA. So, to be as general as possible, in this paper, our impossibility results are written assuming ATOM, while our algorithms assume CORDA.

Multiplicity. We assume that during the Look phase, every robot can perceive whether several robots are located on the same node or not. This ability is called *Multiplicity Detection*. We shall indicate by $d_i(t)$ the multiplicity of robots present in node u_i at instant t .

In this paper, we consider two kinds of multiplicity detection: the *strong* and *weak* multiplicity detections.

Under the *weak* multiplicity detection, for every node u_i , d_i is a function $\mathbb{N} \mapsto \{\circ, \perp, \top\}$ defined as follows: $d_i(t)$ is equal to either \circ , \perp , or \top according to u_i contains none, one or several robots at time instant t . If $d_i(t) = \circ$, then we say that u_i is *free* at instant t , otherwise u_i is said *occupied* at instant t . If $d_i(t) = \top$, then we say that u_i contains a *tower* at instant t .

Under the *strong* multiplicity detection, for every node u_i , d_i is a function $\mathbb{N} \mapsto \mathbb{N}$ where $d_i(t) = j$ indicates that there are j robots in node u_i at instant t . If $d_i(t) = 0$, then we say that u_i is *free* at instant t , otherwise u_i is said *occupied* at instant t . If $d_i(t) > 1$, then we say that u_i contains a *tower* (of $d_i(t)$ robots) at instant t .

As previously, to be as general as possible, our impossibility results are written assuming strong multiplicity detection, while our algorithms assume weak multiplicity detection.

Configurations and views. To define the notion of *configuration*, we need to use an arbitrary order \prec on nodes. The system being anonymous, robots do not know this order (actually, this order is used in the reasoning only). Let v_1, \dots, v_n be the list of the nodes in G ordered by \prec . The configuration at time t is $d_1(t), \dots, d_n(t)$. We denote by *initial configurations* the configurations from which the system can start at time 0. Every configuration where all robots stay idle forever is said to be *terminal*. Two configurations d_1, \dots, d_n and d'_1, \dots, d'_n are *indistinguishable* if and only if there exists an automorphism f on G satisfying the additional condition: $\forall v_i \in V$, we have $d_i = d'_j$ where $v_j = f(v_i)$.

The *view* of robot \mathcal{R} at time t is a labelled graph isomorphic to G , where every node u_i is labelled by $d_i(t)$, except the node where \mathcal{R} is currently located, this latter node u_j is labelled by $d_j(t), *$ (any robot knows the multiplicity of the node where it is located). Hence, from its view, a robot can compute the view of all other robots, and decide whether some other robots have the same view as its own.

Every decision to move is based on the view obtained during the last Look action. However, it may happen that some edges incident to a node v currently occupied by the deciding robot look identical in its view, *i.e.*, v lies on a symmetric axis of the configuration. In this case, if the robot decides to take one of these edges, it may take any of them. We assume the worst-case decision in such cases, *i.e.* the actual edge among the identically looking ones is chosen by the adversary.

Execution. We model the executions of our protocol in G by the list of configurations through which the system goes. So, an *execution* is a maximal list of configurations $\gamma_0, \dots, \gamma_i$ such that $\forall j > 0$, we have: (i) $\gamma_{j-1} \neq \gamma_j$, (ii) γ_j is obtained from γ_{j-1} after some robots move from their locations in γ_{j-1} to a neighboring node, and (iii) For every robot \mathcal{R} that moves between γ_{j-1} and γ_j , there exists $0 \leq j' \leq j$, such that \mathcal{R} takes its decision to move according to its program and its view in $\gamma_{j'}$. An execution $\gamma_0, \dots, \gamma_i$ is said to be *sequential* if and only if $\forall j > 0$, exactly one robot moves between γ_{j-1} and γ_j .

Problem to be solved. We consider the *exploration* problem, where k robots, initially placed at different nodes, collectively explore an (i, j) -grid before stopping moving forever. By “collectively” explore we mean that every node is eventually visited by at least one robot. More formally, a protocol \mathcal{P} *deterministically* (resp. *probabilistically*) solves the exploration problem if and only if every execution e of \mathcal{P} starting from a *towerless* configuration satisfies: (1) e terminates in *finite time* (resp. in *finite expected time*); (2) every node is visited by at least one robot during e . Observe that the exploration problem is not defined for $k > n$ and is straightforward for $k = n$. (In this latter case the exploration is already accomplished in the initial configuration.)

3 Bounds

In this section, we first show that, except for some trivial cases (where $k = n$), when robots are oblivious, the model is atomic, and the multiplicity is strong, at least three robots are necessary to solve the (probabilistic or deterministic) exploration in any grid (Theorem 1). Moreover, in a $(2, 2)$ -Grid, 4 robots are necessary (Theorem 2). Finally, at least 5 robots are necessary to solve the exploration in a $(3, 3)$ -Grid (Theorem 4). In the two next sections, we show that all these bounds are also sufficient to solve the exploration in the asynchronous and non-atomic CORDA model.

Given that robots are oblivious and there are more nodes than robots, any terminal configuration should be distinguishable from any possible initial (towerless) configuration. So, we have:

Remark 1 *Any terminal configuration of any exploration protocol for a grid of $n > k$ nodes using k oblivious robots contains at least one tower.*

Theorem 1 *There exists no (probabilistic or deterministic) exploration protocol in ATOM using 1 or 2 oblivious robots for any (i, j) -Grid with at least 3 nodes.*

Proof. By Remark 1, it is straightforward to see that there is no exploration protocol for any (i, j) -Grid with more than 2 nodes and 1 robot. Indeed any configuration is towerless.

Assume now, by contradiction, that there exists an exploration protocol in ATOM \mathcal{P} for an (i, j) -Grid with more than 2 nodes and 2 oblivious robots.

Consider a sequential execution e of \mathcal{P} that terminates (by definition, if \mathcal{P} is deterministic, all its executions terminates; while if \mathcal{P} is probabilistic, at least one of its sequential execution must terminate). Then, e starts from a towerless configuration (by definition) and eventually reaches a terminal configuration containing a tower (by Remark 1). The two last configurations of e consist in a towerless configuration followed by a configuration containing a tower. These two configurations form a possible sequential execution that terminates while only two nodes are visited, thus a contradiction. \square

Any $(2, 2)$ -Grid is isomorphic to a 4-size ring. It has been shown in [4] that no exploration using less than 4 oblivious robots is possible for any ring of size at least 4 in ATOM. So, the following theorem holds:

Theorem 2 ([4]) *There exists no deterministic exploration protocol using 1, 2, or 3 oblivious robots in ATOM for a $(2, 2)$ -Grid.*

Lemma 1 *Considering any deterministic exploration protocol \mathcal{P} in ATOM using k oblivious robots for a $(3, 3)$ -Grid, there exist sequential executions of \mathcal{P} , $e = \gamma_0, \dots, \gamma_w$, in which:*

- *For every x, y with $0 \leq x < y$, γ_x and γ_y are distinguishable.*
- *Only the first configuration γ_0 is towerless.*

Proof. Consider any exploration protocol \mathcal{P} in ATOM using k oblivious robots for a $(3, 3)$ -Grid. Consider any sequential execution e of \mathcal{P} . By definition of the exploration, e is finite and starts from a towerless configuration. Moreover, the terminal configuration of e contains a tower, by Remark 1.

Take the last towerless configuration of e and all remaining configurations that follow in e (all of them contain a tower) and form e' . e' is a possible sequential execution of \mathcal{P} where only the first configuration is towerless.

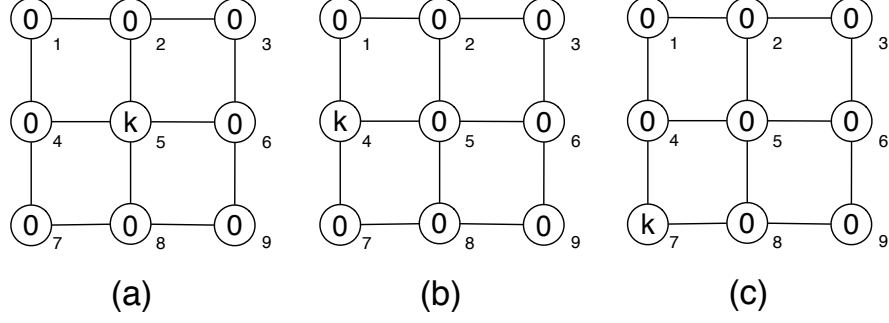


Figure 1: Three possible configurations in a (3,3)-Grid with a tower of k robots.

Let $e' = \alpha^0, \dots, \alpha^m$. Let two configurations $\alpha^x = d_1^x, \dots, d_n^x$ and $\alpha^y = d_1^y, \dots, d_n^y$ of e' , that are indistinguishable with $0 \leq x < y$. Then, by definition, there exists an automorphism f on G satisfying the additional condition: Let v_0, \dots, v_r be the nodes of V , for all $s \in [0..r]$, we have $d_s^x = d_\ell^y$ where $v_\ell = f(v_s)$. Then, $\alpha^0, \dots, \alpha^x, \beta^{y+1}, \beta^m$ is a possible sequential execution of \mathcal{P} such that $\forall z \geq y + 1$, we have $\beta^z = d_{g(1)}^z, \dots, d_{g(n)}^z$ where g is a bijection such that $\forall s \in [1..n]$, $f(v_s) = v_{g(s)}$ and $\alpha^z = d_1^z, \dots, d_n^z$. Moreover, in $\alpha^0, \dots, \alpha^x, \beta^{y+1}, \beta^m$, the number of configurations indistinguishable from α^x decreases by one. Repeating the same construction, we eventually obtain a possible sequential execution $e'' = \rho_0, \dots, \rho_w$ of \mathcal{P} starting from a towerless configuration only followed by configurations containing at least one tower such that for every x, y with $0 \leq x < y$, ρ_x and ρ_y are distinguishable. \square

Lemma 2 *Considering any deterministic exploration protocol \mathcal{P} in ATOM model using k oblivious robots for a (3,3)-Grid, if there exists an execution of \mathcal{P} $e = \gamma_0 \dots \gamma_x \dots$ where γ_x contains a tower of k robots, then there exists an execution e' starting with the prefix $e = \gamma_0 \dots \gamma_x$ such that at most one new node can be visited after γ_x .*

Proof. Assume the existence of an execution of \mathcal{P} $e = \gamma_0 \dots \gamma_x \dots$ where γ_x contains a tower of k robots. Then, γ_x is not γ_0 and is indistinguishable from configuration (a), (b), or (c) of Figure 1. In Figure 1, symbols inside the circles represent the multiplicity of the node and numbers next the circle are node's labels to help explanations only. Without loss of generality, assume that γ_x is either configuration (a), (b), or (c).

To visit a new node, one of the robots should eventually decide to move. Moreover, in γ_x , all robots have the same view. So, the adversary can choose any of them to move.

- (1) Consider configuration (a). Then, all possible destinations for the robots are symmetric. So, the adversary can activate the robots in a way we retrieve configuration γ_{x-1} . Then, it can activate robots in a way that the system return to γ_x , and so on. Hence, in this case, there exists a possible execution of \mathcal{P} that is infinite, a contradiction. So, from (a), \mathcal{P} cannot try to visit a new node.
- (2) Consider configuration (b).

If robots synchronously move to node 5, node 5 may be unvisited. So, it is possible to visit a new node, but then we retrieve Case (1). So, we can conclude that in this case from (b) only one new node can be visited.

If robots synchronously move to node 1 (resp. 7), then this node may be unvisited. So, it is possible to visit a new node. But, in node 1, all possible destinations for the robots are symmetric. So, the adversary can activate the robots in a way that we retrieve the previous configuration, if we want to visit another node. So, as for Case (1), we can conclude that no new node can be visited, that is from (b) only one new node can be visited.

- (3) Using a reasoning similar to case (1), we can conclude that from (c), \mathcal{P} cannot try to visit a new node.

□

Lemma 3 *Assume that there exists a deterministic exploration protocol \mathcal{P} in ATOM model using 3 oblivious robots for a (3,3)-Grid. Consider any suffix $\gamma_w, \dots, \gamma_z$ of any sequential execution of \mathcal{P} where:*

- *For every x, y with $0 \leq x < y$, γ_x and γ_y are distinguishable.*
- *γ_w contains a tower of 2 robots.*

Then, at most 4 new nodes can be visited from γ_w before a robot of the tower moves.

Proof. Proving this lemma is particularly tedious and error-prone because many cases must be taken into account (positions of robots, symmetry classes, etc.). The proof was thus completed as automatically as possible, by using model-checking techniques. The method is briefly sketched here, a detailed presentation, together with the source code and the necessary tools can be found on the web ¹. First, an operational model of the problem is built: this model is a reactive program that manages an abstract view of the grid and robots, according to a flow of (random) move commands. This model is restricted to the configurations relevant for the property: an immobile two-robots tower and a mobile single robot. The reactive program (*i.e.*, the model) computes the consequences of the moves induced by the input commands; in particular, it takes trace of the *visited* nodes, and the encountered undistinguishable configuration classes. As soon as such a class has been reached twice, a flag *stuck* is raised. And, all along the execution, a *validity* flag is computed that way: *stuck* \Rightarrow number of new *visited* nodes is ≤ 4 . A model-checker tool is then used to check the following invariant: whatever be a sequence of input move commands, *valid* remains true. In other terms, the invariance of *valid* is sufficient to establish that, starting from any configuration with a tower and a single moving robot, at most 4 new nodes can be visited before the configuration becomes indistinguishable from some already encountered configuration. Concretely, the model is written in the Lustre language [10, 16], and is itself partially generated by a "meta" program written in oCaml (which computes, in particular, the classes). The source is made of approximately 150 lines of oCaml, and 100 lines of Lustre. The invariance checking is performed by the model-checker from the lustre distribution. □

Theorem 3 *There exists no deterministic exploration protocol in ATOM using 1, 2, or 3 oblivious robots for a (3,3)-Grid.*

Proof. According to Theorem 1, we only need to consider the case of 3 robots.

Assume that there exists an exploration protocol \mathcal{P} in ATOM for a (3,3)-Grid using 3 robots. By Lemma 1, there exists a sequential execution $e = \gamma_0, \dots, \gamma_w$ that starts from a towerless configuration, only followed by configurations containing at least one towers, and such that for every x, y with $0 \leq x < y$, γ_x and γ_y are distinguishable.

¹ <http://www-verimag.imag.fr/~raymond/misc/robots/>

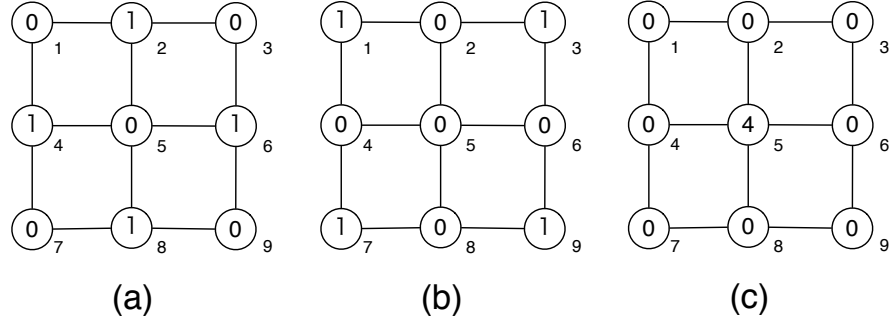


Figure 2: Three possible configurations in a $(3,3)$ -Grid with 4 robots.

In γ_0 , 3 nodes are visited. The execution being sequential, no new node is visited in the first step where a tower of two robots is created. So, in γ_1 , 3 nodes are visited and there exists a tower of two robots \mathcal{R}_1 and \mathcal{R}_2 .

- Assume that \mathcal{R}_1 and \mathcal{R}_2 never moved after γ_1 . Then, by Lemma 3, at most 4 new nodes are visited until the termination of e . So, at the termination of e , at most 7 distinct nodes have been visited, a contradiction.
- Assume that \mathcal{R}_1 or \mathcal{R}_2 eventually moved. Let γ_ℓ the first configuration from which \mathcal{R}_1 or \mathcal{R}_2 moves. From the previous case, at most 7 distinct nodes have been visited before γ_ℓ . The execution being sequential, only one robot of the tower moves during the step from γ_ℓ to γ_{i+1} and as in e only the first configuration is towerless, that robot moves to an occupied node. Now, the view of \mathcal{R}_1 and \mathcal{R}_2 are identical in γ_ℓ . So, there exists an execution e' starting from the prefix $\gamma_0, \dots, \gamma_\ell$ where both \mathcal{R}_1 and \mathcal{R}_2 move from γ_ℓ to the same occupied node. As no new node is visited during the step, still at most 7 nodes are visited once the system is in the new configuration and this configuration contains a tower of 3 robots. By Lemma 2, at most one new node is visited from this latter configuration. So, at the termination of e' , at most 8 distinct nodes have been visited, a contradiction.

□

Theorem 4 *There exists no deterministic exploration protocol in ATOM using 1, 2, 3, or 4 oblivious robots for a $(3,3)$ -Grid.*

Proof. According to Theorem 3, we only need to consider the case of 4 robots.

Assume, by the way of contradiction, that there exists an exploration protocol \mathcal{P} for a $(3,3)$ -Grid with 4 robots in ATOM.

Figure 2 depicts three possible configurations for a $(3,3)$ -Grid with 4 robots. In Figure 2, symbols inside the circles represent the multiplicity of the node and numbers next the circle are node's labels to help explanations only. Note that both Configuration (a) and (b) can be initial configuration.

From now on, consider any synchronous execution of \mathcal{P} (synchronous executions are possible in the asynchronous model) starting from configuration (a). By “synchronous” we mean that robots execute each operation of each cycle at the same time.

Configuration (a) is not a terminal configuration by Remark 1. So at least one robot move in the next Move operation. Moreover, the views of all robots are identical in (a). So, every robot moves in the next Move operation. Two cases are possible:

- Every robot moves to Node 5 and the system reaches Configuration (c). In this case, none of the corners has been visited, so Configuration (c) is not terminal and at least one robot moves in during the next Move operation. Moreover, the views of all robots are identical, so every robot moves in the next Move operation. Each robot cannot differentiate its four possible possible destinations. So, the adversary can choose destinations so that the system reaches configuration (a) again.
- Every robot moves to a corner node and as its view is symmetric, the destination corner is chosen by the adversary. In this case, the adversary can choose destinations so that the system reaches configuration (b). Configuration (b) being not terminal, at least one robot moves in during the next Move operation. Moreover, the views of all robots are identical, so every robot moves in the next Move operation. Each robot cannot differentiate its two possible possible destinations. So, the adversary can choose to destinations so that the system reaches configuration (a) again.

From the two previous case, we can deduce that there exist executions of \mathcal{P} that never terminates, so \mathcal{P} is not an exploration protocol, a contradiction. \square

4 Deterministic solution using three robots

In this section, we focus on solutions for the exploration problem that use three robots only, in CORDA and assuming weak multiplicity detection. Recall that there exists no deterministic solution for the exploration using three robots in a (3, 3)- or (2, 2)-grid in that model (Section 3). Moreover, exploring a (3, 1)-grid using three robots is straightforward. So, we consider all remaining cases. We split our study into 2 cases. A general deterministic solution for any (i, j) -grid such that $j > 3$ is given in Subsection 4.1. The particular case of the (2, 3)-grid is solved in Subsection 4.2.

4.1 General Solution

Overview. Our deterministic protocol works according to the following three main phases:

Set-Up phase: The aim of this phase is to create a single line of robots located at a corner and along one of the longest borderlines of the grid — refer to Figure 3. Let us refer to this configuration as the **Set-Up** configuration. The phase can be initiated from any arbitrary towerless configuration that is not a **Set-Up** configuration. Note that no tower is created during this phase.

Orientation phase: The starting configuration of this phase is the **Set-Up** configuration. The aim of this phase is to give an orientation of the grid. In order to achieve that, one tower is created on the longest line allowing the robots to establish a common coordinate system—refer to Figure 4. The resulting configuration is referred to as an **Oriented** configuration.

Exploration phase: This phases starts from an **Oriented** configuration in which exactly one node is occupied by a single robot, called *Explorer*. Based on the coordinate system defined during the **Orientation** phase, the explorer visits all the nodes, except the two already visited ones—refer to Figure 7, page 18.

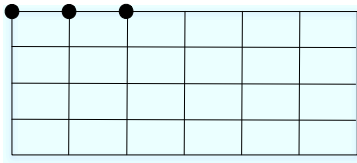


Figure 3: Set-Up Configuration

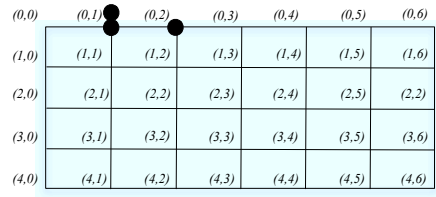


Figure 4: Coordinate system built by the Orientation phase

Set-Up phase. Starting from any towerless configuration, the **Set-Up** phase ends at a **Set-Up** configuration, where there is a single line of robots along a longest borderline of the grid, and such that one extremity is located at a corner. In order to do so, we distinguish three main configurations as follows:

Leader: In a such configuration there is exactly one robot located at one corner of the grid.

Choice: In such a configuration, there are at least two robots that are located at a corner of the grid. Thus, we have to choose one of these robots to remain at a corner. The other ones have to leave the corner.

Undefined: In such a configuration, there is no robot in any corner of the grid. The idea is then to elect one robot that will move to join one of the corners of the grid.

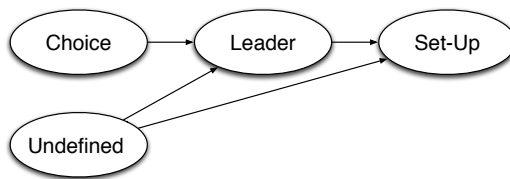


Figure 5: Set-Up phase

Figure 5 shows the possible transitions between the main classes of configurations until reaching a **Set-Up** configuration.

In the following, we present the behavior of robots, referred to as \mathcal{R}_1 , \mathcal{R}_2 , and \mathcal{R}_3 , in each of the main configurations. Note that such configurations are declined into several subconfigurations.

1. The configuration is of type **Leader**: In such a configuration, there is exactly one robot that is in a corner of the grid. Let \mathcal{R}_1 be this robot. We consider the following subcases:
 - The configuration is of type **Strict-Leader**: In such a configuration, there is no other robot on any borderline having the corner where \mathcal{R}_1 is located as extremity. In this case, the robots that are the closest to \mathcal{R}_1 are the ones allowed to move. Their destination is their adjacent free node on a shortest path towards the closest free node that is on a longest borderline having the corner where \mathcal{R}_1 is located as extremity. (If there is several shortest paths, the adversary makes the choice.)
 - The configuration is of type **Half-Leader**: In such a configuration, there is only one robot \mathcal{R}_2 that is on a borderline having the corner where \mathcal{R}_1 is located as extremity. Two subcases are possible:

- The configuration is of type **Half-Leader1**: $\mathcal{R}2$ is on a longest borderline. In this case the third robot $\mathcal{R}3$ is the one allowed to move. Its destination is its adjacent free node towards the closest free node on the borderline that contains both $\mathcal{R}1$ and $\mathcal{R}2$. (If there is several shortest paths, the adversary makes the choice.)
- The configuration is of type **Half-Leader2**: $\mathcal{R}2$ is not on the longest borderline. In this case $\mathcal{R}2$ is the one allowed to move, its destination is its adjacent free node outside the borderline. (Note that in the case there is no such an free node, $\mathcal{R}2$ moves first to an free node on its own borderline and then it moves outside the borderline.)

- The configuration is of type **All-Leader**: All the robots are on the same borderline as $\mathcal{R}1$. Let refer to the other robots as $\mathcal{R}2$ and $\mathcal{R}3$, respectively. Note that $\mathcal{R}2$ and $\mathcal{R}3$ are not necessary on the same borderline. Thus, we have the two subcases as follow:

- The configuration is of type **Fully-Leader**: In such a configuration, all the robots are on the same borderline, $D1$. The two following subcases are then possible:
 - The configuration is of type **Fully-Leader1**: In the case where $D1$ is a longest borderline and the robots do not form a line, then let $\mathcal{R}2$ be the closest robot from $\mathcal{R}1$. If $\mathcal{R}1$ and $\mathcal{R}2$ are not neighbors, then $\mathcal{R}2$ is the only robot allowed to move and its destination is its adjacent free node towards $\mathcal{R}1$. In the case where $\mathcal{R}1$ and $\mathcal{R}2$ are neighbors, the remaining robot, $\mathcal{R}3$, will be the only robot allowed to move, its destination will be its adjacent free node towards $\mathcal{R}2$.
 - The configuration is of type **Fully-Leader2**: In the case $D1$ is not the longest borderline. Then, the robot that is the closest to $\mathcal{R}1$ leaves the borderline by moving to its neighboring free node outside the borderline it belongs to.
- The configuration is of type **Semi-Leader**: $\mathcal{R}2$ and $\mathcal{R}3$ are not on the same borderline. Two subcases are possible:
 - The configuration is of type **Semi-Leader1**: In this case $i \neq j$. Either $\mathcal{R}2$ or $\mathcal{R}3$ is located on the smallest borderline. This latter moves to its adjacent free node outside the borderline.
 - The configuration is of type **Semi-Leader2**: In the case $i = j$. Let denote by $Distance(R, R')$ the *distance* (that is, the length of a shortest path) in the grid between the two nodes where R and R' are located. If $Distance(\mathcal{R}1, \mathcal{R}2) \neq Distance(\mathcal{R}1, \mathcal{R}3)$ then the robot that is the closest to $\mathcal{R}1$ is the only one allowed to move, its destination is its adjacent free node outside the borderline. Otherwise ($Distance(\mathcal{R}1, \mathcal{R}2) = Distance(\mathcal{R}1, \mathcal{R}3)$), either (a) there is an free node between $\mathcal{R}1$ and $\mathcal{R}2$, or (b) $\mathcal{R}1$ is both neighbor to $\mathcal{R}2$ and $\mathcal{R}3$. In case (a), $\mathcal{R}1$ is the only robot allowed to move and its destination is its adjacent free node towards one of its two borderlines (the adversary will make the choice). In case (b), $\mathcal{R}2$ and $\mathcal{R}3$ moves and their destination is their adjacent free node on their borderline.

2. The configuration is of type **Choice**: In this configuration there are at least two robots located at a corner of the grid. We split our study into the following cases:

- The configuration is of type **Choice1**: In this configuration there are exactly two robots that are located at a corner of the grid. Let $\mathcal{R}1$ and $\mathcal{R}2$ be these robots. (i) In the case where $\mathcal{R}3$ is on the same borderline as either $\mathcal{R}1$ or $\mathcal{R}2$ but not both (suppose that it is $\mathcal{R}1$) then $\mathcal{R}2$ is the one allowed to move, its destination is its adjacent free node towards the borderline that contains both $\mathcal{R}1$ and $\mathcal{R}3$. (ii) In the case where the three robots are on the same borderline, then if $Distance(\mathcal{R}1, \mathcal{R}3) < Distance(\mathcal{R}2, \mathcal{R}3)$ then $\mathcal{R}2$ moves to its adjacent free node on

the border lone towards \mathcal{R}_3 . Otherwise ($Distance(\mathcal{R}_1, \mathcal{R}_3) = Distance(\mathcal{R}_2, \mathcal{R}_3)$), \mathcal{R}_3 will move to its adjacent free node on the same borderline towards either \mathcal{R}_1 or \mathcal{R}_2 (the adversary will choose the destination in that case). (iii) If \mathcal{R}_3 is not on a borderline it moves to the closest longest borderline that contains either \mathcal{R}_1 or \mathcal{R}_2 . (Note that in the case of symmetry, the adversary will choose the direction to take.)

- The configuration is of type **Choice2**: In this configuration all the robots are located at a corner of the grid. The robot allowed to move is the one that is located at a node that is common to the two borderlines of the other robots. Let \mathcal{R}_1 be this robot. The destination of \mathcal{R}_1 is its adjacent free node on the longest borderline. (Note that in the case of symmetry, the adversary will choose the direction to take.)

3. The configuration is of type **Undefined**: In this configuration, there is no robot that is located at any corner of the grid. The cases below are then possible:

- The configuration is of type **Undefined1**. In this case $i = j$ and there is one borderline that contains two robots \mathcal{R}_1 and \mathcal{R}_2 such that \mathcal{R}_1 is closer from a corner than \mathcal{R}_2 and \mathcal{R}_3 . Let D_1 be this borderline. \mathcal{R}_3 is the only one allowed to move and its destination is its adjacent free node on a shortest path towards D_1 . (If there are several shortest paths, the adversary makes the choice.)

- The configuration is of type **Undefined2**. It is any configuration different from type **Undefined1**, where there is exactly one robot that is the closest to one corner of the grid. In this case, this robot is the only one allowed to move, its destination is its adjacent free node on a shortest path towards the closest free node that is at the corner. (If there are several shortest paths, the adversary makes the choice.)

- The configuration is of type **Undefined3**: There are exactly two robots that are the closest to a corner of the grid. Let \mathcal{R}_1 and \mathcal{R}_2 be these two robots. If $Distance(\mathcal{R}_1, \mathcal{R}_3) = Distance(\mathcal{R}_2, \mathcal{R}_3)$ then \mathcal{R}_3 is the only one allowed to move, its destination is its adjacent free node that is on a shortest path towards one of the two robots \mathcal{R}_1 or \mathcal{R}_2 . (Note that the adversary will choose the direction to take.) If $Distance(\mathcal{R}_1, \mathcal{R}_3) \neq Distance(\mathcal{R}_2, \mathcal{R}_3)$ then the robot that is the closest to \mathcal{R}_3 will be the only one allowed to move. Its destination is its adjacent free node that is on a shortest path to the closest corner of the grid. (If there are several shortest paths, the adversary makes the choice.)

- The configuration is of type **Undefined4**: There are three robots that are closest to a corner of the grid. The cases below are then possible:

- The configuration is of type **Undefined4-1**: There is exactly one robot that is on a borderline of the grid. In this case this robot is the only one allowed to move. Its destination is its adjacent free node that is on a shortest path to a closest corner of the grid. (In case of two shortest paths, the adversary breaks the symmetry in the first step.)
- The configuration is of type **Undefined4-2**: In such a configuration, there are exactly two robots on the borderline of the grid. Let \mathcal{R}_1 and \mathcal{R}_2 be these two robots. The robot allowed to move is \mathcal{R}_3 . Its destination is its adjacent free node towards a closest corner. (The adversary may have to break the symmetry.)
- The configuration is of type **Undefined4-3**: The three robots in this configuration are on borderlines of the grid. (i) If there are more than one robot on the same borderline. Note that in this case there are exactly two robots on the same borderline, and let \mathcal{R}_1 and

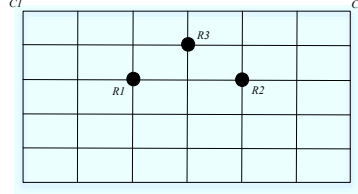


Figure 6: Sample of a configuration of type Undefined4-4

\mathcal{R}_2 be these robots. Then \mathcal{R}_3 will be the only one allowed to move and its destination is its adjacent free node towards the corner. (ii) If there is at most one robot on each borderline: Exactly one borderline is perpendicular to the two others. The robot on that borderline is the only one allowed to move and its destination is its adjacent node towards the closest corner. (The adversary may have to break the symmetry.)

- The configuration is of type Undefined4-4: In this case there is no robot on any borderline. (i) In the case where there are two robots, \mathcal{R}_1 and \mathcal{R}_2 , that are closest to the same corner, and \mathcal{R}_3 is the only robot that is closest to another corner, then \mathcal{R}_3 is the only one allowed to move and its destination is its adjacent free node on a shortest path towards the closest corner. (If there are several shortest paths, the adversary makes the choice.) (ii) In the case where there are two robots, \mathcal{R}_1 and \mathcal{R}_2 , that are closest to corners C_1 and C_2 , respectively, where $C_1 \neq C_2$, and \mathcal{R}_3 is closest to both C_1 and C_2 , then \mathcal{R}_3 is the only one allowed to move (refer to Figure 6), and it moves toward C_1 or C_2 according to a choice of the adversary. (iii) In the case where all the robots are closest to different corners, there is one robot \mathcal{R}_1 whose corner is between the two other target corners of \mathcal{R}_2 and \mathcal{R}_3 . The robot allowed to move is \mathcal{R}_1 , its destination is its adjacent free node on a shortest path towards the closest corner. (If there are several shortest paths, the adversary makes the choice.)

The correctness of the Set-Up phase is established by Lemmas 8 and 4.

Lemma 4 *Starting from any arbitrary towerless configuration, Set-Up phase does not create any tower.*

Proof. It is clear that in the case where one robot is allowed to move, no tower is created because the robot always moves to an free adjacent node. Thus let's consider the cases in which there are at least two robots that are allowed to move:

- The configuration is of type Strict-Leader: Suppose that the robot that is at the corner is \mathcal{R}_1 , and the two other ones (that are neither at a corner nor at the same borderline as \mathcal{R}_1) are \mathcal{R}_2 and \mathcal{R}_3 , respectively. \mathcal{R}_2 and \mathcal{R}_3 are allowed to move at the same time only in the case they are at the same distance from \mathcal{R}_1 . Since their destination is their adjacent free node on the shortest path towards the longest borderline that contains \mathcal{R}_1 , we are sure that the both will move to different free nodes. Thus no tower is created in this case.
- The configuration is of type Semi-Leader2: we consider the case in which $Distance(\mathcal{R}_1, \mathcal{R}_2) = Distance(\mathcal{R}_1, \mathcal{R}_3)$ such as there is no free node between \mathcal{R}_1 and both \mathcal{R}_2 and \mathcal{R}_3 respectively. It is clear that if the adversary activates them at the same time no tower is created since they move to their adjacent free node on the borderline they belong to, in the opposite direction

of $\mathcal{R}1$ (recall that they are in two different borderlines). In the case the adversary activates only one robot ($\mathcal{R}2$), no tower is created as well since it moves to its adjacent free node on the borderline it belongs to (note that in this case $i = j$). Note that the configuration reached remains of type *Semi-leader2*, however, $Distance(\mathcal{R}1, \mathcal{R}2) \neq Distance(\mathcal{R}1, \mathcal{R}3)$. Thus the robot that is allowed to move now is $\mathcal{R}3$, which is the one that was supposed to move at the first place. Thus either we retrieve the configuration in which both robots moved (this will happen in the case $\mathcal{R}3$ has an outdated view). Or the configuration reached is of type *Half leader1* and all the robots have a correct view.

From the cases above we can deduce that starting from any configuration that is towerless, *Set-Up* phase does not create any tower and the lemma holds. \square

Lemma 8 is established using the following three technical lemmas.

Lemma 5 *Starting from a configuration of type *Leader*, a configuration of type *Set-Up* is reached in a finite time.*

Proof. In a configuration of type *Leader*, there is only one robot that is at the corner of the grid (suppose that this robot is $\mathcal{R}1$). It is easy to see that in the case $i \neq j$ all the robots will be on the longest borderline of the grid that contains $\mathcal{R}1$ (refer to *Strict Leader*, *HalfLeader1* configurations). Once the robots on the same longest borderline, it is also easy to create a line of robots keeping one robot at the corner. (The robot ($\mathcal{R}2$) that is the closest to $\mathcal{R}1$ moves first until it becomes neighbor of $\mathcal{R}1$. Once it is done, the remaining robot ($\mathcal{R}3$) moves to become neighbor of $\mathcal{R}2$.) Hence we are sure that a configuration of type *Set-Up* is reached in a finite time. In the case $i = j$ when the robots move to the closest borderline that contains $\mathcal{R}1$ either we have the same result as when $i \neq j$ (all the robots will be on the same borderline) and hence we are sure to reach a configuration of type *Set-Up*. Or, each robot $\mathcal{R}2$ and $\mathcal{R}3$ is on the same borderline as $\mathcal{R}1$, however both of them are on different borderlines. The sub-cases are then possible as follow:

1. $Distance(\mathcal{R}1, \mathcal{R}2) \neq Distance(\mathcal{R}1, \mathcal{R}3)$. In this case the robot that is the closest to $\mathcal{R}1$ moves to its adjacent node outside its own borderline (Let this robot be $\mathcal{R}2$). Note that when it moves, its new destination is the closest free node on the same borderline as both $\mathcal{R}1$ and $\mathcal{R}3$ (see *Semi-Leader2* configuration). Thus we are sure that $\mathcal{R}2$ will be on the same borderline of $\mathcal{R}1$ and $\mathcal{R}3$ in a finite time, thus we are sure that the *Set-Up* configuration is reached in a finite time.
2. $Distance(\mathcal{R}1, \mathcal{R}2) = Distance(\mathcal{R}1, \mathcal{R}3)$. The two sub-case below are possible:
 - (a) There is an free node between $\mathcal{R}1$ and the other robots. $\mathcal{R}1$ is the one that will move, its destination is its adjacent free node on one of its two adjacent borderlines. Note that once it has moved, all the robots are in a borderline such as there is one borderline that contains two robots, let $D1$ be this borderline (the configuration is of type *Undefined1*). The robot allowed to move is the one that is not part of $D1$, its destination is its adjacent free node outside the borderline it belongs to. Once it moves, its new destination will be the borderline that contains two robots Thus we are sure that all the robots will be part of the same borderline in a finite time. It is clear that from this configuration is easy to build a configuration of type *Set-Up*. (Note that it is easy to break the symmetry, if any, since we have three robots.)

- (b) There is no free node between $\mathcal{R}1$ and the other robots $\mathcal{R}2$ and $\mathcal{R}3$. In this case $\mathcal{R}2$ and $\mathcal{R}3$ will be the ones allowed to move. Their destination is their adjacent free node on their borderline. In the case the adversary activates them at the same time, we retrieve case 2a. If the adversary activates only one of the two robots, the configuration reached will be of type **Semi-Leader2** such as $Distance(\mathcal{R}1, \mathcal{R}2) \neq Distance(\mathcal{R}1, \mathcal{R}3)$, thus, The robot that is the closest to $\mathcal{R}1$ is the one that is allowed to move. (Note that this robot is the one that was supposed to move at the first place.) If it has an outdated view it will move to its adjacent free node and we retrieve case 2a. If not, it will move to its adjacent free node outside its borderline. When it does, its new destination is the closest free node on the same borderline of the two other robots. Note that when such a robot joins the new borderline, the configuration is of type **Set-Up**.

From the cases above, we can deduce that starting from a configuration of type **Leader**, a configuration of type **Set-Up** is reached in a finite time and the lemma holds. \square

Lemma 6 *Starting from a configuration of type **Choice**, a configuration of type **Leader** is reached in a finite time.*

Proof. It is clear that in the case where all the robots are on one corner of the grid, the next configuration reached is of type **Choice1** since there will be a single robot that will move (refer to Configuration of type **Choice2**). Note that when the configuration is of type **Choice1** the cases below are possible (Let the robots that are at the corner of the grid be $\mathcal{R}1$ and $\mathcal{R}2$ respectively and the third robot be $\mathcal{R}3$):

1. $\mathcal{R}3$ is on the same borderline $D1$ as $\mathcal{R}1$ (Note that in this case $\mathcal{R}2$ is not on $D1$). In this case $\mathcal{R}2$ is the one allowed to move. Note that once it moves, it leaves the corner and the configuration will be of type **Leader** (refer to **Choice1**, case (i)).
2. All the robots are on the same borderline $D1$. In this case the robots $\mathcal{R}3$ will be used to elect one of the two robots at the corner of the grid (refer to **Choice1** configuration case (ii)). If $Distance(\mathcal{R}1, \mathcal{R}3) \neq Distance(\mathcal{R}2, \mathcal{R}3)$ then the robot that is the farthest from $\mathcal{R}3$ leaves the corner, thus, the configuration will contain a single robot that is at one corner of the grid. Hence the configuration will be of type **Leader** in a finite time. In the case $Distance(\mathcal{R}1, \mathcal{R}3) = Distance(\mathcal{R}2, \mathcal{R}3)$, $\mathcal{R}3$ will move first on the borderline towards either $\mathcal{R}1$ or $\mathcal{R}2$ breaking the symmetry, and we retrieve the case in which $Distance(\mathcal{R}1, \mathcal{R}3) \neq Distance(\mathcal{R}2, \mathcal{R}3)$. Thus we are sure that a configuration of type **Leader** is reached in a finite time.
3. $\mathcal{R}3$ is not on a borderline. In this case $\mathcal{R}3$ is the one allowed to move. Its destination is its adjacent free node on a shortest path towards the closest longest borderline that contains either $\mathcal{R}1$ or $\mathcal{R}2$. Thus we are sure that one of the two cases described above will be reached (refer to **Choice1** configuration, case (iii)).

From the cases above we can deduce that a configuration of type **Leader** is reached in a finite time and the lemma holds. \square

Lemma 7 *Starting from a configuration of type **Undefined**, a configuration of either type **SetUp** or type **Leader** is reached in a finite time.*

Proof. It is clear that in the case where the configuration is of type **Undefined2**, we are sure to reach a configuration of type **Leader** in a finite time, since there is only one robot that is the closest to one corner of the grid (this robot will move until it reaches the closest corner). It is also clear that in the case where the configuration is of type **Undefined1**, either a configuration of type **Undefined2** is reached and hence a configuration of type **Leader** is eventually reached or a configuration where there are two robots that are both the closest to a corner of the grid is reached, this case is part of the cases below:

1. There are exactly two robots that are the closest to one corner of the grid (let these two robots be $\mathcal{R}1$ and $\mathcal{R}2$ respectively). In this case $\mathcal{R}3$ will be used to break the symmetry: In the case $Distance(\mathcal{R}1, \mathcal{R}3) = Distance(\mathcal{R}2, \mathcal{R}3)$, $\mathcal{R}3$ will be the one that will move first, its destination is its adjacent node towards either $\mathcal{R}1$ or $\mathcal{R}2$. Note that once it has moved, $Distance(\mathcal{R}1, \mathcal{R}3) \neq Distance(\mathcal{R}2, \mathcal{R}3)$. In the case $Distance(\mathcal{R}1, \mathcal{R}3) \neq Distance(\mathcal{R}2, \mathcal{R}3)$, the robot that is the closest to $\mathcal{R}3$ will be the one allowed to move, its destination is its adjacent free node on a shortest path towards the corner. Note that once it has moved, either it reaches the corner or it becomes the closest one. Thus we are sure that a configuration of type **Leader** is reached in a finite time.
2. All the robots are the closest to a corner of the grid. If the configuration is of type **Undefined4-1**, then there will be one robot that will be allowed to move (the one that is on a borderline), once it has moved, it becomes the closest to one corner of the grid, thus we are sure to reach a configuration of type **Leader** in a finite time. In the case there are two robots at a borderline, The third robot (which is not on a borderline) is the one that will move becoming the closest robot to one corner of the grid. Thus in this case too, we are sure to reach a configuration of type **Leader**. In the case all the robots are on a borderline then, i) if there is more than one robot on the same borderline (note that in this case the borderline contains two robots), the robot that is not part of the borderline moves towards the closest corner becoming the closest one, thus we are sure that a configuration of type **Leader** is reached in a finite time. In the case there is one robot at each borderline, then one robot is easily elected to move becoming the closest to one corner of the grid. Thus, in this case too we are sure to reach a configuration of type **Leader** in a finite time. In the case there is no robot on the borderline. If there are two robots that are the closest to the same corner such as the third robot is the only closest robot to another corner then this robot is the one allowed to move, when it does it becomes the only one that is the closest to one corner of the grid. Thus we are sure to reach a configuration of type **Leader**. In the case there is one robot ($\mathcal{R}3$) that is the closest to both corners $C1$ and $C2$ such as $\mathcal{R}1$ and $\mathcal{R}2$ are also the closest to $C1$ $C2$ respectively, then $\mathcal{R}3$ is the one allowed to move towards one of the closest corner. Note that once it has moved, it becomes the closest one and hence we are sure that a configuration of type **Leader** is reached in a finite time. In the case all the robots are the closest to different corner, we are sure that one of them is the closest one to one corner that is between the two other target corners (the closest to the other robots). This robot is the one allowed to move, its destination is its adjacent free node towards the closest corner. Note that once it moves it becomes either even closer (and hence it will be the only one that can move) or it will reach the corner. In both cases we are sure that a configuration of type **Leader** is reached.

From the cases above we can deduce that starting from a configuration of type **Undefined**, a configuration of type **Leader** is reached in a finite time and the lemma holds. □

Lemma 8 *Starting from any towerless configuration, a configuration of type Set-Up is reached in a finite time.*

Proof. From Lemma 5, 6 and 7 we can deduce that starting from any arbitrary towerless configuration that does not contain a line of robots on the longest line of the grid, a configuration of type Set-Up is reached in a finite time and the lemma holds. \square

Orientation phase. In this phase, an orientation of the grid is determined in the following manner: The starting configuration of this phase contains a line of robots on the corner of a longest borderline of the grid, and its length is greater than 3. The robot that is at the corner is the one allowed to move, its destination is its adjacent occupied node. Once it has moved, a tower is created. Then, we can determine a coordination system where each node has unique coordinates, see Figure 4 (page 11). The node with coordinates $(0,0)$ is the unique corner that is the closest to the tower. The X-axis is given by the vector linking the node $(0,0)$ to the node where the tower is located. The Y-axis is given by the vector linking the node $(0,0)$ to the node that does not contain the tower.

Lemma 9 *Starting from a configuration of type Set-Up, a configuration of type Oriented is reached in one step.*

Exploration phase. From Lemmas 4-9, we know that, starting from any initial configuration, the system reaches an Oriented configuration in finite time and without creating any tower except in the last step.

In the first oriented configuration, we know that nodes of coordinates $(0,0)$ and $(0,1)$ are already visited. Then, to ensure that the exploration phase remains distinct from the previous phases and to keep the coordinate system, we should only authorize the robot that is single in a node to move. Let call this robot the *explorer*.

To explore all nodes except nodes of coordinates $(0,0)$ and $(0,1)$, the explorer should order all coordinates in such a way that (i) $(0,0)$ and $(0,1)$ are before its initial position (that is $(0,2)$) and all other coordinates are after; and (ii) for all non-maximum coordinates (x,y) , if (x',y') is the successor of (x,y) in the order, then the nodes of coordinates (x,y) and (x',y') are neighbors. Such an order can be defined as follows:

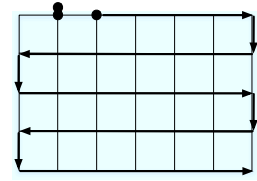


Figure 7: Exploration phase

$$(a, b) \preceq (c, d) \equiv b < d \vee [b = d \wedge ((a = c) \vee (b \bmod 2 = 0 \wedge a < c) \vee (b \bmod 2 = 1 \wedge a > c))]$$

Using the order \preceq , the explorer moves as follows: While the explorer is not located at the node having the maximum coordinates according to \preceq , the explorer move to the neighbor whose coordinates are the successor of the coordinates of its current position.

Lemma 10 *The Exploration phase terminates in finite time and once terminated all nodes have been visited.*

By Lemmas 4-10, follows:

Theorem 5 *The deterministic exploration of any (i, j) -Grid with $j > 3$ can be solved in CORDA using 3 oblivious robots and the three phases Set-Up, Orientation, and Exploration.*

4.2 Exploring (2,3)-Grids

The idea of the solution for the (2,3)-Grid is rather simple. Consider the two longest borderlines of the grid. Since there are 3 robots on the grid, then there exists one of the two longest borderlines, D , that contains either all the robots or exactly two robots. In the second case, the robot that is not part of D moves to its adjacent free node on the shortest path towards the free node on D . Thus, the three robots are eventually located on D . Next, the robot not located on the corners of the grid moves to one of its two neighboring occupied nodes (the destination is chosen by the adversary). Thus, a tower is created. Once the tower is created, the grid is oriented. Then, the single robot moves to its adjacent free node in the longest borderline that does not contain a tower. Next, it explores the nodes of this line by moving in the direction of the tower. When it becomes neighbor of the tower, all the nodes of the (2,3)-Grid have been explored.

Theorem 6 *The deterministic exploration of a (2,3)-Grid can be solved in $CORDA$ using 3 oblivious robots.*

5 Deterministic solution for (3,3)-grid using five robots

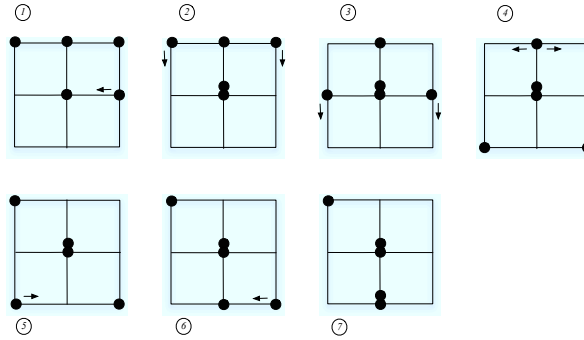


Figure 8: Exploration task on grids (3,3)

In this section, we propose an algorithm that solves the exploration with 5 robots on the (3,3)-Grid, in $CORDA$ and assuming weak multiplicity detection. The algorithm works in two phases, the **Exploration** phase and the **Preparation** phase. Figures 8 and 9 depict the **Exploration** phase.

Exploration starts from three special configurations shown in either Figure 8-Case (1), Figure 9-Case(1a), or Figure 9-Case(1b). In the former case, the unique robot that is (1) in a borderline, (2) not at a corner, and (3) not in the borderline linking the two occupied corners, moves toward the center. In Case (1a) in Figure 9, the unique robot located at a corner moves toward one of its neighbors (chosen by the adversary). Similarly, in Case (1b) in Figure 9, the robot located at the center moves toward one of its neighbors. In the three cases, one tower is created and the system reaches Case 2 of either Figure 8 or Figure 9, depending on the initial configuration. Next, the exploration is made following the movements depicted in either Figure 8 or Figure 9, respectively.

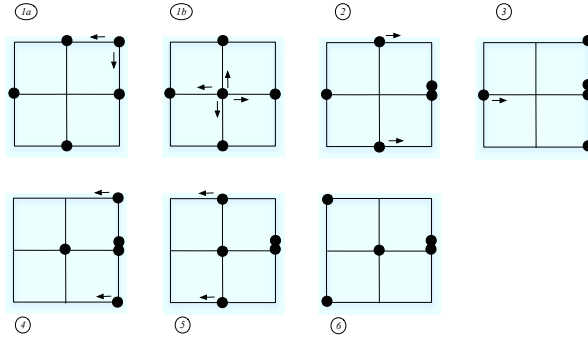


Figure 9: Special Exploration of grids $(3, 3)$

The **Preparation** phase starts from any towerless configuration that is not one of the three initial configurations of the exploration phase. The **Preparation** phase aims at reaching one of these three initial configurations. The detailed algorithm of this phase is left as an exercise for the reader—a solution is given in the appendix.

Theorem 7 *The deterministic exploration of a $(3, 3)$ -Grid can be solved in *CORDA* using 5 oblivious robots.*

6 Conclusion

We presented necessary and sufficient conditions to explore a grid shaped network with a team of k asynchronous oblivious robots. Our results show that, perhaps surprisingly, exploring a grid is easier than exploring a ring. In the ring, deterministic (respectively, probabilistic) solutions essentially require five (resp., four) robots. In the grid, three robots are necessary (even in the probabilistic case) and sufficient (even in the deterministic case) in the general case, while particular instances of the grid do require four or five robots. Note that the general algorithm given in that paper requires exactly three robots. It is worth investigating whether exploration of a grid of n nodes can be achieved to any number k ($3 > k \geq n - 1$) of robots, particularly when k is even.

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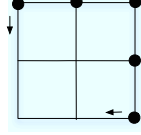


Figure 10: Configuration $(3, 1, 1)$

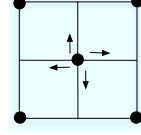


Figure 11: Instance of a configuration $(2, 1, 2)$

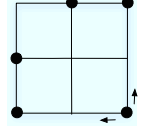


Figure 12: Instance of a configuration $(2, 1, 2)$

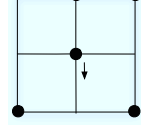


Figure 13: Instance of a configuration $(2, 1, 2)$

A Preparation phase of the algorithm working with 5 robots in the $(3, 3)$ -Grid

The aim of the **Preparation** phase is to reach one of the special configurations, where the **Exploration** phase can start. It starts from an arbitrary towerless configuration that is not one of the three initial configurations shown in either Figure 8 or Figure 9.

Let us define some terms that will be used later: let the interdistance d be the minimal distance among distances between each pair of robots. We call a d .block a sequence of consecutive robots that are at distance d . The size of an 1.block is the number of robots it contains. We refer to a configuration by a set of three values $(X1, X2, X3)$ such as Xi represents the number of robots on the line i . Note that $X1$ and $X3$ are borderlines. Since the grid is of size $(3, 3)$, we do not know which borderlines correspond to $X1$ and $X3$. Some ambiguities can appear and thus for the same configuration there will be many possible sequences $(X1, X2, X3)$. The robots could be confused not knowing which action to take. To avoid this situation, we will use the following method: First we will choose one or two guide lines in the following manner: the line that contains the d .biggest d .block of robots is elected as a guide line. Note that the guide line can only contain two or three robots. In the case there are two possible guide lines that are perpendicular to each other, then i) in the case only one of this two guide lines is at the borderline of the grid, then this line is the guide line. ii) In the other case, the guide line is elected as follow: Let $D1$ be one possible guide line and $D2, D3$ be the lines that are horizontal to $D1$. In the same manner let $D'1$ be the other possible guide line and $D'2, D'3$ be the lines that are horizontal to $D'1$. Let B be the number of the biggest d .blocks on the lines Di and B' be the number of the biggest d .blocks on the lines $D'i$. The guide line is the one corresponding to the biggest value among B and B' . For Instance in Figure 14, the configuration can be $(2, 1, 2)$ or $(2, 2, 1)$. We can see that $d = 1$, and the size of the biggest 1.block is equal to 2. Note that there is an 1.block of size 2 on two borderlines that are perpendicular to each other (on $D3$ and $D'1$ —refer to Figure 14). Let B be the number of 1.blocks on the lines that are horizontal to $D3$, clearly $B = 2$. In the same manner, let B' be the number of 1.blocks of size 2 on the lines that are horizontal to $D'1$ (clearly $B' = 1$). We can see that $B > B'$, thus the guide lines are both $D3$ and $D1$ (The lines that are considered are the ones that are horizontal to $D3$ and $D1$). Thus the configuration is of type $(2, 1, 2)$.

The triple set $(X1, X2, X3)$ refer then to the number of robots that are horizontal to the guide lines. The following cases are then possible:

- The configuration is of type $(1, 1, 3)$. Two sub-cases are possible: i) The configuration is

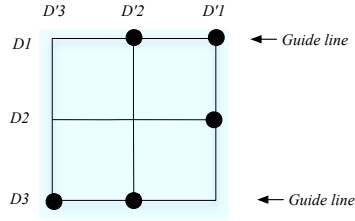


Figure 14: Guide-lines, configuration of type $(2, 1, 2)$

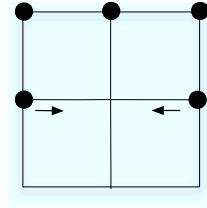


Figure 15: Instance of a configuration $(3, 2, 0)$

similar to the one shown in Figure 10. It is clear that in this case no guide line can be determined. The robots allowed to move are the ones that are at the corner having one free node as a neighbor, their destination is their adjacent free node on the borderline they belong to. ii) The remaining cases: One line can be elected as the guide line, this line is the one that contains an 1.block of size 3 ($X3$). The robot that is alone on the borderline ($X1$) is the one allowed to move, its destination is its adjacent free node on the shortest path towards the middle line (the one that contains $X2$). Note that in a case of symmetry, the adversary will break the symmetry by choosing one of the two possible neighboring nodes.

- The configuration is of type $(1, 2, 2)$. The robot that is alone on the borderline ($X1$) is the one allowed to move, its destination is its adjacent free node on the shortest path towards the free node on the line that contains $X2$.
- The configuration is of type $(1, 3, 1)$. Two sub-cases are possible: i) The configuration is similar to the one shown in Figure 9, Step 1. Note that for this configuration, there is a dedicated algorithm that solves the exploration problem. The algorithm is detailed in Figure 9. Note that since the system is asynchronous, the adversary in some steps of the algorithm can activates one of the two robots that are allowed to move. In this case, the robot that was supposed to move in the first place is the only one that can move, thus by moving the configuration reached when both robots were activated is reached again ii) The remaining cases: we are sure that there is one robot that is part of an 1.block of size 3 (in the middle line) that has two neighboring free nodes (Note that there is only five robots and a single 1.block of size 3), let this robot be $\mathcal{R}1$. $\mathcal{R}1$ is the only one allowed to move, its destination is its adjacent free node towards the closest robot that is in one of the two borderlines that are horizontal to the 1.block of size 3.
- The configuration is of type $(2, 1, 2)$. Note that the configuration does not contain an 1.block of size 3. Let $D1$ and $D3$ be the two borderlines corresponding to $X1$, $X2$ respectively. The

sub-cases below are possible:

- Both $D1$ and $D2$ contains robots at distance 2 ($d = 2$). In this case, we are sure that there is one robot on the center of the grid (on the middle of the middle line, otherwise the configuration will contains an 1.block of size 3). This robot is the one allowed to move, its destination is one of adjacent free node towards the borderline (refer to Figure 11).
- The robots on $D1$ are at distance 1 and the robots on $D2$ are at distance 2. If the robot that is in the middle line (according to the guide line) is also on a borderline (see Figure 12), we are sure that there is one robot at the corner of the grid not having any neighboring robot. This robot is the one allowed to move, its destination is one of its adjacent free node. If the robot is in the center of the grid (see Figure 13), then this robot is the one allowed to move its destination is its adjacent free node towards $D2$.
- Both $D1$ and $D2$ contains robots at distance 1 ($d = 1$). Let $D3$ be the middle line that is horizontal to both $D1$ and $D2$. The robot allowed to move is the one that is on $D3$, its destination is its adjacent node towards $D1$ or $D2$ (The scheduler will make the choice in the case of symmetry).
- The configuration is of type $(2, 3, 0)$. In this case the robot that in the middle line that contains three robots having an free node as a neighbor on the line that contains two robots is the one allowed to move, its destination is this adjacent free node.
- The configuration is of type $(3, 0, 2)$. In this case the robots that are in $X3$ (the line that contains two robots) are the one allowed to move, their destination is their adjacent free node on the shortest path towards $X2$.
- The configuration is of type $(3, 2, 0)$ but is different from the special configuration (refer to Figure 15). The robots allowed to move are the two robots that are on the line corresponding to $X2$. Their destination is their adjacent free node on the line that contains $X2$. Its is clear that in the case the adversary activates only one of these two robots the configuration reached will be the Special configuration (see Figure 8, step 1), Thus the exploration task can be performed as shown in 8. In the case the adversary activates both robots at the same time, then a tower is created and the configuration reached is like the one shown in Figure 8, step 2. In this case too the exploration can be performed.

Note that once one of the two special configurations is built, one tower is created and the exploration task can be performed. refer to Figures 8 and 9.

Correctness Proof.

Lemma I *Starting from a configuration of type $(1, 2, 2)$, a configuration of type $(2, 3, 0)$ is reached in a finite time.*

Proof. In a configuration of type $(1, 2, 2)$ the robot that is allowed to move is the one that is alone on the borderline containing $X1$, let $\mathcal{R}1$ be this robot, its destination is its adjacent free node towards $X2$, Since line $X2$ contains two robots, when $\mathcal{R}1$ joins $X2$, $X2$ will contain an 1.block of size 3 and $X1$ will contain no robot. Thus the configuration reached is of type $(2, 3, 0)$ and the lemma holds. \square

Lemma II *Starting from a configuration of type $(1, 3, 1)$, either a configuration of type $(2, 2, 1)$ or of type $(2, 1, 2)$ is reached in a finite time.*

Proof. When the configuration is of type $(1, 3, 1)$, we are sure that there is one robot that is part of the 1.block of size 3 on X_2 that has two neighboring free nodes. This robot is the one allowed to move its destination is its adjacent free node towards the closest robot on either X_1 or X_2 . Suppose that such a robot is the one that is in the middle of the 1.block of size 3. Once the robot has moved, the configuration becomes of type $(2, 1, 2)$ and the lemma holds. If such a robot is at the extremity of the 1.block of size 3, then by moving, the configuration reached is of type $(2, 2, 1)$ and the lemma holds. \square

Lemma III *Starting from a configuration of type $(2, 1, 2)$, a configuration of type $(3, 0, 2)$ is reached in a finite time.*

Proof. The cases below are possible:

1. Both D_1 and D_2 contains robots at distance 2 ($d = 2$). It is clear that in this case there is one robot that is in the center of the grid. This robot is the one allowed to move, its destination is one of its adjacent free node. By moving, the robot join a borderline. Note that this borderline contains an 1.block of size 3. Thus the configuration reached will be $(3, 0, 2)$.
2. The robots on D_1 are at distance 1 and the robots on D_2 are at distance 2. In this case the robot that is on the borderline on D_2 , being at the corner of the grid and not having any neighboring robot is the one that moves towards one of its adjacent free node. Note that once the robot has moved, the configuration reached remains of type $(2, 1, 2)$, however, both D_1 and D_2 contains robots at distance 1.
3. Both D_1 and D_2 contains robots at distance 1 ($d = 1$). Let D_3 be the middle line that is horizontal to both D_1 and D_2 . In this case the robot that is on D_3 is the one allowed to move, its destination is its adjacent free node towards one of the two neighboring borderlines that contain an 1.block of size 2. Note that we are sure that this robot has at least one free node as a neighbor otherwise the configuration contains a single 1.block of size 3 and the configuration will not be of type $(2, 1, 2)$. Once the robot has moved, a new 1.block of size 3 is created at one borderline and the configuration will be of type $(3, 0, 2)$.

From the cases above, we can deduce that starting from a configuration of type $(2, 1, 2)$, a configuration of type $(3, 0, 2)$ is reached in a finite time and the lemma holds. \square

Lemma IV *Starting from a configuration of type $(2, 3, 0)$, a configuration of type $(3, 2, 0)$ is reached in a finite time.*

Proof. When the configuration is of type $(2, 3, 0)$, the robot allowed to move is the one that is on the line that contains X_2 having an free node as a neighbor on the line that contains two robots. Note that once the robot has moved, a new 1.block of size 3 is created one borderline of the grid. Thus the configuration reached will be of type $(3, 2, 0)$ and the lemma holds. \square

Lemma V *Starting from a configuration of type $(3, 0, 2)$, either a configuration of type $(3, 2, 0)$ or of type $(3, 1, 1)$ is reached in a finite time.*

Proof. When the configuration is of type $(3, 0, 2)$, the robots that are on the line that $X3$ are the one allowed to move. When they do, they move to their adjacent free node towards the line that is horizontal to the the one containing an 1.block of size 3. Note that in the case the adversary activates both robots allowed to move at the same time, then the configuration reached is of type $(3, 2, 0)$ and the lemma holds. If it is not the case, the configuration reached is of type $(3, 1, 1)$ and the lemma holds. \square

Lemma VI *Starting from a configuration of type $(3, 1, 1)$, either a configuration of type $(3, 2, 0)$ or of type $(2, 2, 1)$ is reached in a finite time.*

Proof. In the case the configuration is similar to the one shown in Figure 10. The robots that are at the corner having an free node as a neighbor are the one allowed to move. Their destination is their adjacent free node. Note that in the case the adversary activates both robots at the same time, the configuration reached is of type $(2, 2, 1)$ and the lemma holds. In the case the adversary activates only one robot, then the configuration reached remains of type $(3, 1, 1)$ but it is different from the Figure 10. For the other configurations of type $(3, 1, 1)$ (all the configurations that are different from the one shown in Figure 10). The robot that is allowed to move is the one that is single on the borderline that contains $X3$. Its destination is its adjacent free node on the shortest path towards the line that contains $X2$. Note that once it has moved, the configuration reached is of type $(3, 2, 0)$ and the lemma holds. \square

Lemma VII *Starting from a configuration of type $(1, 2, 2)$, a configuration of type $(3, 2, 0)$ is reached in a finite time.*

Proof. From Lemma I, we are sure that starting from a configuration of type $(1, 2, 2)$, a configuration of type $(2, 3, 0)$ is reached in a finite time. From Lemma IV we are sure that starting from a configuration of type $(2, 3, 0)$, a configuration of type $(3, 2, 0)$ is reached in a finite time. Thus we can deduce that starting from a configuration of type $(1, 2, 2)$, a configuration of type $(3, 2, 0)$ is reached in a finite time and the lemma holds. \square

Lemma VIII *Starting from a configuration of type $(1, 3, 1)$, a configuration of type $(3, 2, 0)$ is reached in a finite time.*

Proof. From Lemma II, we are sure that starting from a configuration of type $(1, 3, 1)$, a configuration of type $(2, 2, 1)$ is reached in a finite time. From Lemma VII we are sure that starting from a configuration of type $(1, 2, 2)$, a configuration of type $(3, 2, 0)$ is reached in a finite time. Thus we can deduce that starting from a configuration of type $(1, 3, 1)$, a configuration of type $(3, 2, 0)$ is reached in a finite time and the lemma holds. \square

Lemma IX *Starting from a configuration of type $(2, 1, 2)$, a configuration of type $(3, 2, 0)$ is reached in a finite time.*

Proof. From Lemma III, we are sure that starting from a configuration of type $(2, 1, 2)$, a configuration of type $(3, 0, 2)$ is reached in a finite time. From Lemma V, we are sure that starting from a configuration of type $(3, 0, 2)$, a configuration of type $(3, 2, 0)$ is reached in a finite time. Thus we can deduce that starting from a configuration of type $(2, 1, 2)$, a configuration of type $(3, 2, 0)$ is reached in a finite time and the lemma holds. \square

Lemma X *Starting from any configuration that is towerless, a configuration of type $(3, 2, 0)$ is reached in a finite time.*

Proof. From Lemmas IV-IX, we can deduce that starting from any configuration that is towerless, a configuration of type $(3, 2, 0)$ is reached in a finite time and the lemma holds. \square

Lemma XI *Starting from one of the three special configurations, all the nodes of the grid are explored and the algorithm stops.*

Proof. It is easy to see from Figure 8, that all the nodes of the grid are explored. Thus the lemma holds. \square

Lemma XII *Starting from any configuration of type $(3, 2, 0)$, the exploration can be performed.*

Proof. If the configuration is the special configuration (refer to Figure 8 (step 1)), then according to Lemma XI, the exploration task is performed and all the nodes of the ring are explored. If the configuration is as the one show in Figure 15, then the two robots that are not part of the 1.block of size 3 are the one allowed to move, their destination is their adjacent node in the center of the grid. In the case where the adversary activates only one of the two robots allowed to move, the special configuration is reached and the lemma holds. If both robots are activated then a tower is created in the center of the grid and the configuration reached will be as the one shown in Figure 8 (Step2) and in this case too the exploration is performed and the lemma holds. \square

From the lemmas above we can deduce that:

Theorem 7 *The deterministic exploration of a $(3, 3)$ -Grid can be solved in *CORDA* using 5 oblivious robots.*