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A robust ultrasound-based visual servoing approach for automatic guidance of a surgical instrument with in vivo experiments

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Abstract—This paper presents a visual servoing approach for automatic guidance of an instrument. In this approach, the visual sensor is an ultrasound probe that observes an instrument inserted inside the beating heart of a patient and manipulated by a robot. Following the preliminary results presented in [1], the present paper provides a new parameterization of the visual feature signal that allows for enhanced robustness, and shows the first in vivo results on this ongoing research.

Index Terms—Surgical robotics, ultrasound imaging, visual servoing.

I. INTRODUCTION

Ultrasound imaging systems are low cost, non invasive devices that provide high resolution images in real time. They are mainly used in the sole aim of producing images. However, they can also be employed for intervention procedures, where the surgeon moves an instrument inside a patient while observing the motion of the instrument with an outer ultrasound probe. This requires high skills for coordinating the hands holding the instrument and the probe. Indeed, the ultrasound image gives only a 2D cross-section of the 3D working volume which contains no depth information. Therefore, manual ultrasound guided interventions are limited to simple tasks, such as puncture using a needle [2].

Within this context, robotic systems are being developed in order to perform ultrasound image-based guidance in a more performing way than manual procedures. In the literature, the proposed systems can be divided into two groups.

In the first group, a robot is used to manipulate an ultrasound probe. In most of these papers the ultrasound image is considered as an output of the system, but it is not used as a measurement device to control the robot motion. Here, the robot allows for long distance telemanipulation for remote ultrasound imaging (e.g. [3], [4]), or for enhanced quality imaging thanks to the control of the force applied by the probe to the patient [5]. In contrast, in [6], a telemanipulated probe holder is presented with automatic visual tracking features. This system allows for enhanced imaging capabilities, such as physiological motion compensation. In [7], another visually servoed system is proposed, which allows for positioning a probe along 6 degrees of freedom, with respect to an artificial phantom with known geometry. This method is aimed at being applied to the calibration of a 3D ultrasound imaging system. In the second group of papers, which correspond to the scope of our research, a robot is used to move an instrument under the supervision of an ultrasound imaging system. In [8], a computer-assisted robotic system is used for ultrasound-guided biopsy. A robot holds a needle, which is to be inserted into an organ under the supervision of the surgeon.

The system provides the surgeon with a reconstructed 3D view of the probe and the needle, but there is no automatic guidance feature. Furthermore, the 3D reconstruction requires a number of additional equipments to localize the ultrasound probe and the needle. In [9], a robotic system is presented, which includes a manipulator moving a surgical instrument toward a target. Automatic tracking of the target is provided, and fed to the robot controller. The visual servo loop is not expressed in the image; as a result, the system requires additional equipment to measure the position of the probe with respect to the manipulator, together with extensive calibration procedures to estimate the geometric transforms between several equipments. The system exhibits positioning errors as large as 15 mm RMS. In [10], direct visual servoing is used to automatically guide a needle for a percutaneous cholecystostomy. A mechatronic device is presented, comprising a ultrasound probe and a 2 degrees of freedom needle manipulator. The needle is mechanically constrained to lie within the ultrasound plane. Its orientation and penetration are automatically controlled thanks to a visual servoing loop aimed at placing the needle tip into a tracked target. Here, as the needle and the probe are mechanically connected, there is no need for any extra devices to locate the probe with respect to the needle manipulator. However, this research applies only for a particular kind of planar tasks. In [1], we have considered the broader problem of controlling an instrument that does not entirely lie in the ultrasound plane. The proposed approach is based on direct visual servoing. The present paper provides the most recent results of this research: the overall system design presented in [1] is kept (see Sect. II), but a new parameterization for the image features to be servoed is proposed (see Sect. III).
this parameterization, the closed loop system exhibits more robustness (see Sect. IV), and the control law does not require any knowledge of the probe location with respect to the robot. Also, the parameterization allows for coping with the visibility problem (see Sect. V). Finally, in vivo experiments are presented for the first time in Sect. VI.

II. VISUALLY SERVOED SYSTEM OVERVIEW

A. Mitral valve surgery

The mitral valve is one of the four cardiac valves, Fig. 1. It separates the left atrium and the left ventricle, and ensures that the blood flows from the atrium to the ventricle when the heart beats. It is made up of two leaflets attached inside the ventricle through chords. These chords help opening and closing the valve during the cardiac cycle. When a chord breaks it drastically affects the valve efficiency. The valve leaflets do not completely seal when the valve is closed so that the blood flows back into the atrium. This pathology is called mitral valve insufficiency. It is diagnosed with ultrasound images of the heart.

To repair the valve, a classical intervention consists in attaching a neo-chord in order to replace the broken chord. This operation requires the installation of a bypass machine. Then the atrium is open to reach the valve. Thus, this procedure is very invasive for the patient. The procedure proposed in this paper allows for beating heart surgery. The idea is that a pair of forceps is inserted inside the left ventricle through the heart tip. This instrument is moved towards the leaflet using ultrasound images. These images can be produced by a transoesophagus probe or by a probe placed in contact with the heart wall. One tip of the neo-chord is then attached to the leaflet and the other one outside the ventricle. This procedure cannot be performed manually because the coordination between the hands holding the probe and the instrument is too difficult. In the proposed robotic system, this coordination is realized automatically.

B. System description

The overall system is sketched in Fig. 2. It involves a robot holding an instrument, inserted into the heart through a trocar. The instrument is observed by an ultrasound probe, which images are sent in real time to a computer. In the chosen configuration, each jaw of the instrument intersects the ultrasound plane. Thus, two blobs are visible in the image, which allows for the instrument localization, thanks to appropriate image processing algorithms, see [11].

More precisely, the image processing provides in real time the coordinates of the center of gravity \( P_1 \) and \( P_2 \) of the two blobs representing the instrument image. The goal of the controller is to move \( P_1 \) and \( P_2 \) at a desired location, which the surgeon specifies by simply clicking in the image. The controller is inspired from conventional visual servoing techniques, which principle is briefly recalled in the next.

C. Visual servoing principle

A visual servoing loop (see Fig. 3) is a controller aimed at moving a set of image features \( s \) extracted from the image towards a desired value \( s_d \).

Most commonly, the command \( u \) sent to the robot is calculated with the following control law:

\[
u = -\lambda \hat{J}_s^{-1} (s_d - s) = -\lambda \hat{J}_s^{-1} \varepsilon \tag{1}\]

where \( \lambda \) is a scalar proportional gain and \( \hat{J}_s \) is the estimate of the image jacobian matrix \( J_s \) defined by:

\[
\dot{s} = J_s u \tag{2}
\]

The associated closed loop behavior is then:

\[
\dot{\varepsilon} = -\dot{s} = -\lambda J_s \hat{J}_s^{-1} \varepsilon \tag{3}
\]

A sufficient condition for the stability is that the matrix product \( J_s \hat{J}_s^{-1} \) is positive definite. In this case, the final error is guaranteed to be null.
It can be seen from (3) that the robustness of the control law strongly depends on both the structure of $J_s$ and the frequency to accurately estimate $J_s$. In other words, an important part of the design of a visual servo loop is the choice of the features in the image $s$ and the computation of both $J_s$ and its estimate, $\hat{J}_s$.

A first set of features was presented in [1]. The feature vector $s$ was simply constructed from grouping the coordinates of $P_1$ and $P_2$. In this paper, another set of features is proposed which results in a more robust control law.

### III. FEATURES AND IMAGE JACOBIAN

#### A. Image features

The studied features are represented on Fig. 4(a). The feature vector $s$ is chosen as:

$$s = [sx \delta sy]^T$$

(4)

where:

- $[sx sy]$ are the image coordinates of the point $P$ defined as the midpoint of $P_1$ and $P_2$,
- $\delta$ is the distance between $P_1$ and $P_2$,
- $\theta$ is the angle between the image horizontal axis and $d_{P_1P_2}$.

![Features in the image](image1.png)

(a) Features in the image

![Command vector](image2.png)

(b) Command vector

![Frame description](image3.png)

(a) Features in the image

From this choice, a crucial issue is to provide a kinematic model as given in (2).

#### B. Geometrical modeling

In order to derive a model for the control design, a first modelling simplification consists in assuming that $P_1$ and $P_2$ coincide with the two points $M_1$ and $M_2$ that correspond to the intersection of two lines (representing the instrument jaws) and a plane $\Pi$ (representing the ultrasound plane, which is assumed to provide a 2D cross-section of the 3D workspace).

In the next, four orthonormal coordinate frames will be used, Fig. 5(a):

- $\mathcal{F}_I = \{ T; I_T, I_I, k_I \}$ is the coordinate frame attached to the robot base at point $T$ which denotes the trocar center (fulcrum point),

![System Modelling](image4.png)

Fig. 5. System Modelling

- $\mathcal{F}_S = \{ S; I_S, j_S, k_S \}$ is the coordinate frame attached to the ultrasound probe $S$ with $k_S$ perpendicular to the ultrasound plane, and $S$ the origin of the ultrasound rays,
- $\mathcal{F}_M = \{ M_1; I_M, j_M, k_S \}$, where $i_M$ is defined as $i_M = \frac{d_{M_1M_2}}{\|d_{M_1M_2}\|}$.

Moreover, we will use in the next the following notations: $d_{TI} = -d_{k_I}$, $d_{I_M} = i_M t_i$ for $i = \{1,2\}$,

$$t_1 = [-tx 0 -tz]^T \quad \text{and} \quad t_2 = [tx 0 -tz]^T$$

with $t_1^2 + t_2^2 = 1$.

#### C. Image jacobian

As the instrument is introduced into the heart through a trocar fixed on the heart wall, only four intracardiac degrees of freedom remain, Fig. 4(b). Therefore, the command sent to the robot can be expressed as:

$$u = [\omega_y, d, \omega_x, \omega_z]^T$$

(5)

where $[\omega_x, \omega_y, \omega_z]^T = I_{I/S} ^{\Omega_{I/S}}$ is the angular velocity of the instrument tip with respect to the ultrasound probe and $d$ is the translation velocity of the instrument tip along $k_I$.

In order to compute for $\dot{s}$ with respect to $u$, one first writes $\dot{s}$ in the base $\mathcal{B}_M$:

$$\dot{s} = \begin{bmatrix} \sin \theta & 0 & -\cos \theta & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \cos \theta & 0 \end{bmatrix} \begin{bmatrix} 5 \mathbf{V}(M_1/S)_{x} \\ \delta \\ 5 \mathbf{V}(M_1/S)_{y} \\ \theta \end{bmatrix}$$

$$= \mathbf{R} \begin{bmatrix} 5 \mathbf{V}(M_1/S)_{x} \\ \delta \\ 5 \mathbf{V}(M_1/S)_{y} \\ \theta \end{bmatrix}$$

(6)
Thus, the image jacobian $J_s$ can be decomposed as:

$$J_s = RJ$$

(7)

where $J$ is defined by:

$$v = Ju$$

(8)

In the next, each line of $J$ is computed separately.

1) Velocity of point $P$: The relation giving the velocity of one point $M_i$ in the image as a function of the instrument velocity is, [1]:

$$V(M_i/S) = -\frac{1}{k_M}t_i k_s \times 
\begin{bmatrix}
t_i \times \left(-\frac{d}{dt}k_i + (d_k - t_i) \times \Omega_{I/S}\right)
\end{bmatrix}$$

(9)

This relation, expressed in the base $B_M$, can be rewritten into the following matrix equation:

$$\begin{bmatrix}
M V(M_i/S)_x \\
M V(M_i/S)_y
\end{bmatrix} = 
\begin{bmatrix}
J_{M_x} \\
J_{M_y}
\end{bmatrix} u$$

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\begin{bmatrix}
J_{M_x} \\
J_{M_y}
\end{bmatrix} u$$

(10)

Thus to obtain the relation giving the velocity of the point $P$, one needs to compute:

$$\begin{bmatrix}
M V(P/S)_x \\
M V(P/S)_y
\end{bmatrix} = 
\begin{bmatrix}
J_{P_x} \\
J_{P_y}
\end{bmatrix} u = \frac{1}{2} \begin{bmatrix}
J_{M_x} + J_{M_2x} \\
J_{M_y} + J_{M_2y}
\end{bmatrix} u$$

(11)

2) Velocity of the angle $\theta$: The angular velocity of the tip of the instrument is:

$$\Omega(I/S) = \Omega(I/M) + \Omega(M/S)$$

(12)

Using the angle definition as shown in Fig. 5(b), (12) can be re-written as:

$$\omega_x i_1 + \omega_y j_1 + \omega_z k_1 = -\dot{\beta} \hat{j}_1 - \dot{\alpha} \hat{k}_M + \dot{\theta} k_s$$

(13)

To identify the terms leads to:

$$\dot{\theta} = \dot{\theta}u = \begin{bmatrix} 0 & 0 & \sin \beta \cos \beta \\
0 & \cos \beta \cos \alpha \end{bmatrix} u$$

(14)

3) Velocity of the distance $\delta$: The distance between $M_1$ and $M_2$ is:

$$\delta = ||M_1M_2|| = M_1M_2^T i_M$$

(15)

Its velocity is then given by:

$$\dot{\delta} = (V(M_2/S) - V(M_1/S))^T i_M$$

(16)

Therefore:

$$\dot{\delta} = J_{\delta} u = \begin{bmatrix} J_{M_2x} - J_{M_1x} \end{bmatrix} u$$

(17)

4) Final kinematic model: Finally, the kinematic model of the system can be written as:

$$v = Ju = \begin{bmatrix} J_{P_x} \\
J_{\delta} \\
J_{P_y} \\
J_{\theta}
\end{bmatrix} u$$

(18)

Note that the jacobian matrix is a block triangular matrix (which a posteriori justifies the choice for ordering the coordinates of both $s$ and $u$). This means that the two commands $\omega_x$ and $\delta$ will produce neither velocity of point $P$ along $J_M$ nor rotation of the instrument in the image. This block-diagonal structure is a nice property of the proposed parameterization, as it provides a significant increase of the visual servoing loop robustness [13].

5) Image jacobian estimation: It can be noticed that, if the angles $\alpha$ and $\beta$ are both equal to zero, then the jacobian matrix becomes diagonal and writes:

$$J_{(0,0)} = \begin{bmatrix} 0 & 0 & 0 & 0 \\
-2 & 0 & 0 & 0 \\
0 & 0 & 2 & 0 \\
0 & 0 & 0 & 1
\end{bmatrix}$$

(19)

In the next, it will be supposed that the geometric configuration of the system is chosen such that the ultrasound plane is approximately perpendicular to the instrument axis. In this case, the matrix $J$ is approximately diagonal, and its estimate will be set as:

$$J_{(0,0)} = RJ$$

(20)

Note that $R$, which only depends on the measured parameter $\theta$ can be assumed to be perfectly known. From (1), the control law is finally:

$$u = -\lambda \bar{J}_{(0,0)}^{-1} \varepsilon = -\lambda \bar{J}_{(0,0)}^{-1} R^T \varepsilon$$

(21)

A very interesting feature of this control law is that it does not require any on-line estimation of the probe location with respect to the robot. This feature is quite interesting in the context of a medical application, as it allows for not using, in the system, any additional external localizer for registration.

IV. Simulation Results

Numerical simulations were performed to evaluate the closed loop behavior of the system. In Fig. 6, the simulation is performed with $\lambda = 1$, considering a case where the instrument is perpendicular (at the desired configuration) to the ultrasound plane, with a small displacement involved. In this case, one has $\bar{J}_{(0)} \approx J_s$, so that (3) can be re-written as:

$$\dot{e} = -\lambda \bar{J}_{(0)}^{-1} e \approx -\lambda J_s^{-1} e = -e$$

(22)

This equation is linear for $e$ and the error exponentially converges toward zero.

Moreover, additional simulations indicate that even with large orientations between the instrument and the perpendicular
to the probe plane, the error still converges toward zero and the control loop is still stable. As an illustration, Fig. 7 shows simulation results when the orientation reaches $\alpha = 50$ degrees and $\beta = 35$ degrees. In spite of this large orientation, the system is still stable.

Although the stability is maintained, one can see in Fig. 7.a that the distance $\delta$ between the two blobs reaches approximately 8 cm. This distance is not realistic as the jaws length is, in practice, limited, which was not accounted for in the simulation. In fact, in a real situation, the jaws would not intersect the plane anymore, which means that the controller would fail. This corresponds to the well known visibility constraint in conventional visual servoing. To overcome this problem, we have designed a modified control law, that allows for a better control of the distance $\delta$, and, in fine, a better guarantee for the instrument visibility.

V. VISUAL SERVOING WITH ENHANCED DEPTH CONTROL

With the control law (21), the closed loop behavior for the error in $\delta$ is

$$\dot{\delta} = J_\delta u = J_\delta \left[ -\lambda J_{11}^{-1} \varepsilon'_x, -\lambda J_{22}^{-1} \varepsilon'_y, -\lambda J_{33}^{-1} \varepsilon'_y, -\lambda J_{44}^{-1} \varepsilon_\theta \right]$$

(23)

where $\varepsilon' = R \varepsilon$. It can be seen that large errors on $\ddot{e}_x$, $\ddot{e}_y$, or $\varepsilon_\theta$ can induce large velocities for $\dot{\delta}$. This is due to the fact that the control law does not perfectly decouple the system. As a result, even when $\dot{\delta}$ is (e.g.) positive, the coupling terms can result in a positive value for $\dot{\delta}$, which leads to large errors. To cope with this problem, we wish to bound $\dot{\delta}$ by:

$$-\varepsilon_{\delta_{\text{max}}} < \dot{\delta} < \varepsilon_{\delta_{\text{max}}}$$

(24)

In other words, we want that:
- if $\varepsilon_{\delta} \geq \varepsilon_{\delta_{\text{max}}}$ then $\dot{\delta} < 0$
- if $\varepsilon_{\delta} \leq -\varepsilon_{\delta_{\text{max}}}$ then $\dot{\delta} > 0$

A simple control law that provides this property is:

$$u = \left[ 0, -\lambda J_{22}^{-1} \varepsilon_2, 0, 0 \right]^T$$

(25)

Indeed, the closed loop behavior for $\varepsilon_{\delta}$ is then:

$$\dot{\delta} = -\lambda J_{22} \varepsilon_2$$

(26)

and $J_{\delta_2}$ and $J_{22}$ have the same sign. However, obviously, the control law (25) does not allow for the three other coordinates of $s$ to converge. Therefore, the idea is to switch between from control law (21) to control law (25) when the error $\varepsilon_{\delta}$ reaches its bound. In order to avoid for chattering induced by switches between control laws, a continuous controller is implemented, which final form is:

$$u = \begin{cases} 
0, & \text{if } |\varepsilon_{\delta}| < \varepsilon_0 \\
-\rho J_{11}^{-1} \varepsilon'_x, & \text{if } |\varepsilon_{\delta}| > \varepsilon_{\delta_{\text{max}}} \\
-\rho J_{33}^{-1} \varepsilon'_y, & \text{if } |\varepsilon_{\delta}| > \varepsilon_{\delta_{\text{max}}} \\
-\rho J_{44}^{-1} \varepsilon_\theta, & \text{otherwise}
\end{cases}$$

(27)

where:

$$\rho = \begin{cases} 
\lambda, & \text{if } |\varepsilon_{\delta}| < \varepsilon_0 \\
\varepsilon_{\delta_{\text{max}}}/\varepsilon_{\delta_{\text{max}}}, & \text{if } 0 \leq |\varepsilon_{\delta}| \leq \varepsilon_{\delta_{\text{max}}} \\
0, & \text{otherwise}
\end{cases}$$

(28)

This control law corresponds to controller (21) if $|\varepsilon_{\delta}| < \varepsilon_0$, controller (25) if $|\varepsilon_{\delta}| > \varepsilon_{\delta_{\text{max}}}$, and a linear interpolation between the two controllers when $0 \leq |\varepsilon_{\delta}| \leq \varepsilon_{\delta_{\text{max}}}$. Figure Fig. 7.b illustrates the efficiency of the approach. Indeed, it can be seen that the distance $\delta$ is well controlled which ensured the instrument visibility along the path.

VI. IN VIVO EXPERIMENT

In vivo experiments have been performed on pig at the surgical school of Paris, Fig. 8(a). In these experiments, the ultrasound probe used is manually maintained in contact with the heart as transoesophagus probe cannot be used on porks. The robot (MC2E, french acronym for compact manipulator
for endoscopic surgery, developed at the Laboratoire de Robo-
tique de Paris) used to manipulate the instrument is especially
suited for minimally invasive robotic surgery applications and
provides, with its spherical structure, 4 degrees of freedom
at the instrument tip. Fig. 8(b). The design of the robot is
presented in [14].

The experiment is performed as follows:
The user specified the desired goal in the ultrasound image.
Then the current instrument coordinates in the image and
the corresponding error are calculated and transmitted via a
TCP/IP connection to the visual servoing loop. Thereafter, the
command velocity of the instrument is computed according
to (1) and sent to the robot controller.

Figure 9 shows the in vivo experiment results. These results
are noisy because of numerous artifacts in the ultrasound
images. Eventhough, the error converges toward zero and the
desired position is reached.

VII. CONCLUSION

A robotic system with ultrasound imaging is presented. This
system uses ultrasound based visual servoing to control
the position of an instrument inside the heart. Modeling
of the system and control loop are developed. Remarkably,
the controller does not require any explicit model for the

location of the probe with respect to the robot. Simulations
predict good robustness of the visual servoing loop. In vivo
experiments were successfully performed.

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