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Automatic Guidance of a Surgical Instrument with Ultrasound Based Visual Servoing

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Abstract—Surgeons can hardly perform manually complex tasks under ultrasound (US) imaging. Visual servoing appears as a possible solution to assist the surgeon in such an operation. The idea of the proposed system is to allow the surgeon to select a desired position on a US image and then program a robot to automatically move the instrument towards the location indicated by the surgeon. This approach requires robust tracking of the instrument in the US image, modeling of the overall system and implementation of a visual servoing loop. This paper presents geometrical and kinematic models of the system, as well as the control loop design, which is validated through both numerical simulations, and results of in vitro experiments.

Index Terms—Image-guided surgery, visual servoing, ultrasound.

I. INTRODUCTION

The ultrasound (US) imaging techniques were introduced in medicine in the 1950s. These low cost devices provide high resolution images in real time. They are used mostly for pure imaging purposes (that is in the sole aim of producing images), but also in a few intervention procedures. In this case, the surgeon moves an instrument inside a patient while observing the motion of the instrument with an outer ultrasound probe. This requires high coordination skills between the hands holding the instrument and the probe. Indeed, the ultrasound image gives only a 2D cross-section of the 3D working volume which contains no depth information. Therefore, manual US guided interventions are mainly limited to simple tasks such as puncture of lesions using a needle [1].

In order to ease the manipulation under ultrasound observation, a possible solution is to automatically realize the hand/eye synchronization. The proposed approach consists in an image guided robotic system using US-based visual servoing: in the US image the instrument is detected and a desired position is specified by the surgeon. The position error is then used in a visual servoing loop to move the instrument towards the specified goal. This loop guarantees that the surgical instrument is always visible in the US image.

In the literature, only little research is reported on the use of an ultrasound probe in a robotic system. The proposed systems can be divided into two groups.

In the first group, a robot is used to manipulate an ultrasound probe. In most of these papers, the US image is considered as an output of the system, but it is not used as a measurement device to control the robot motion. Here, the robot allows for long distance telemanipulation for remote US imaging (e.g. [2], [3]), or for enhanced quality imaging thanks to the control of the force applied by the probe to the patient [4]. In contrast, in [5], a telemanipulated probe holder is presented with automatic visual tracking features. Its control is shared between the surgeon and a visual servoing loop. This loop is aimed at automatically tracking features extracted from a region of interest which correspond to a given anatomic structure (e.g. a carotid artery). This system allows for enhanced imaging capabilities, such as physiological motion compensation. Namely, the probe holder moves automatically in order to obtain a fixed image of the tracked target.

In the second group of papers, which correspond to the scope of our research, a robot is used to move an instrument under the supervision of a US imaging system. In [6], a computer-assisted robotic system is used for ultrasound-guided biopsy. A robot holds a needle, which is to be inserted into an organ under the supervision of the surgeon. The system provides the surgeon with a reconstructed 3D view of the probe and the needle, but there is no automatic guidance feature. Furthermore, the 3D reconstruction requires a number of additional equipments to localize the US probe and the needle.

In [7], a robotic system is presented, which includes a manipulator moving a surgical instrument towards a target. Automatic tracking of the target is provided, and fed to the robot controller. However, this system can be viewed as indirect visual servoing: the visual servo loop is not expressed in the image. Rather, the robot position is controlled from a 3D measurement obtained by image tracking. As a result, the system requires additional equipment to measure the position of the probe with respect to the manipulator, together with extensive calibration procedures to estimate the geometric transforms between several equipments. This prevents for an application to a clinical case, since in vitro experimental results exhibit positioning errors as large as 15 mm (in terms of standard deviation errors).

Finally, in [8], direct visual servoing is used to automatically guide a needle for a percutaneous cholecystostomy. A mechatronic device is presented, comprising a US probe and a 2 degrees of freedom needle manipulator. The needle is mechanically constrained to lie within the US plane. Its orientation and penetration are automatically controlled thanks to a visual servoing loop aimed at placing the needle tip...
into a tracked target. Here, as the needle and the probe are mechanically connected, there is no need for any extra devices to locate the probe with respect to the needle manipulator. However, this research applies only for a particular kind of planar tasks.

In this paper, we consider the broader problem of controlling an instrument that does not entirely lie in the US plane. The proposed approach is based on direct visual servoing of the points corresponding to the intersection of the instrument with the ultrasound plane. A conventional, model based, approach of the visual servoing controller design is used [9]. In contrast to most of the cited papers, the approach proposed in this paper does not require any cumbersome additional localizer. Indeed, only a rough estimate of the probe location with respect to the robot is needed in order to evaluate the so-called image jacobian, that maps the instrument velocity into the image features velocity. It the estimation suffers from errors, this only affects the closed loop behavior, but the final precision of the positionning in the US image is not effected. This is a well known robustness characteristic of visual servoing [9].

The rest of paper is organized as follows: the next section introduces the surgical task and describes the complete system. Then, the geometrical and kinematic models are derived in Sect. III. In Sect. IV the control loop is presented. Sect. V gives experimental results. The last section concludes this paper.

II. SYSTEM DESCRIPTION

A. Notations

In the subsequent sections, the following notations are used:
- \( a \) for a scalar,
- \( A \) for a point,
- \( C_a \) for a vector which coordinates are expressed in the base \( B_C \) of the frame \( F_C \) attached to the point \( C \),
- \( A \) for a matrix,
- \( d_{AB} \) is the vector from point \( A \) to point \( B \),
- \( [C_a]_\times \), the skew symmetric matrix associated with \( C_a \), so that for any vector \( C_b \), \( [C_a]_\times C_b = C_a \times C_b \).

B. System Description

The considered task is a minimally invasive heart mitral valve repair operation. Our research, in cooperation with partners of the Gabie/Robea CNRS program, focuses on the following scenario for the intervention:

1) a US probe is placed in the oesophagus of the patient;
2) a small incision is made in the patient torso, and a trocar is placed in order to allow for an access into the left ventricle;
3) an instrument (a pair of forceps), held by a robot manipulator, is carefully introduced in the trocar;
4) the surgeon rotates the US probe plane until he/she locates the instrument;
5) a visual servoing loop is then launched, aimed at automatically control the position of the instrument in the US image. This provides two kinds of capabilities:

- if the desired image location stays still, while the surgeon moves the probe, then the instrument automatically follows the probe motion in order to keep a constant image position;
- if the probe stays still, and the surgeon indicates in the screen a new desired location, then the instrument automatically moves towards the desired location while staying in the image plane.

The overall system is sketched in Fig. 1. In this figure, one can observe that the system is designed so that the intersection of the surgical tool with the US plane is represented by two points (in fact, \( \textit{blobs} \)) in the image.

III. MODELING

The following assumptions are made in order to model the system:
- The geometrical and kinematic models of the robot are considered to be well-known.
- The US image is assumed to be a 2D cross-section of the 3D workspace (referred as \textit{echographic plane} or \textit{echographic image}).
- The US probe pose (i.e. position and orientation) with respect to the robot base is assumed to be known (the robustness with respect to errors in this model will be studied in Sect. IV).

A. Geometrical Model

The instrument \( I \) is modeled with three straight lines intersecting at point \( I \): one line represents the instrument axis, the other two lines model the jaws of the forceps. The intersection of the US plane \( \Pi \) with the forceps is modeled by two points denoted \( M_1 \) and \( M_2 \), see Fig. 2(a). Three orthonormal coordinate frames are defined, Fig. 2(a):

- \( \mathcal{F}_T = \{T; \; T_I, \; T_J, \; T_K\} \) is the coordinate frame attached to the robot base at point \( T \) which denotes the trocar,
• $\mathcal{F}_P = \{P, P_{1P}, P_{2P}, P_{kP}\}$ is the coordinate frame attached to the US probe with $P_{kP}$ perpendicular to the US plane, and $P$ the origin of the US rays.

• $\mathcal{F}_I = \{I, I_{kI}, I_{jI}, I_{kI}\}$ is the coordinate frame attached to the instrument. The vector $I_{kI}$ is parallel to the instrument axis, Fig. 2(b).

In order to control these four degrees of freedom, four independent variables characterizing the instrument displacement in the US image were chosen: the desired 2D velocity of the points $M_1$ and $M_2$ in the US plane.

Therefore, the kinematic model is the relation giving the velocity of the points $M_1$ and $M_2$ in the echographic plane as a function of the kinematic variables $\omega_{I/P}$ and $\dot{d}$. This relation is expressed through the image jacobian $J$:

$$\dot{s} = J \begin{bmatrix} \omega_x \\ \omega_y \\ \omega_z \\ \dot{d} \end{bmatrix}.$$  \hfill (3)

In the next, the kinematic relation is derived for one point $M_i$, then the image jacobian is computed writing the obtained relation for both points $M_1$ and $M_2$.

Noticing that $M_i$ is defined as the intersection of the jaw $i$ with a plane, it is not a physical point. Therefore, its velocity with respect to the probe frame, $\mathbf{v}_{M_i/P}$ writes

$$\mathbf{v}_{M_i/P} = \mathbf{v}_{M_i/I} + \mathbf{v}_{M_i \in I/P},$$  \hfill (4)

where $\mathbf{v}_{M_i/I} = i_{kI} \dot{t}_i$ is the velocity of $M_i$ with respect to the instrument, and $\mathbf{v}_{M_i \in I/P}$ is the velocity of the physical point coinciding with $M_i$ at the considered instant, with respect to the probe frame.

Projecting (4) onto the vector $k_P$ perpendicular to the US plane yields

$$0 = i_{kI} k_P^T t_i + k_P^T \mathbf{v}_{M_i \in I/P}.$$  \hfill (5)

Using the resulting expression of $i_{kI}$ in (4) yields

$$\mathbf{v}_{M_i/P} = -\frac{1}{k_P^T t_i} \begin{bmatrix} k_P^T \mathbf{v}_{M_i \in I/P} t_i - k_P^T t_i \mathbf{v}_{M_i \in I/P} \end{bmatrix}.$$  \hfill (6)

Thus, the velocity of the point $M_i$ is:

$$\mathbf{v}_{M_i/P} = -\frac{1}{k_P^T t_i} k_P \times (t_i \times \mathbf{v}_{M_i \in I/P}).$$  \hfill (7)

B. Image Jacobian

In the intervention scenario, the instrument is introduced into the heart through a trocar fixed on the heart wall, see Fig. 3. Hence, only four intracardiac degrees of freedom remain. Three of these remaining kinematic variables are the elements of $\Omega_{I/P} = [\omega_x, \omega_y, \omega_z]^T$, the angular velocity of the instrument tip with respect to the US probe. The last kinematic variable is $d$, the translational velocity of the instrument tip along the instrument axis.

The matrix $R_{I \rightarrow P}$ represents the rotation from the instrument frame to the US frame. $d_{I/I} = -d_{kI}$ and $d_{IM_i} = l_{i} t_i$ for $i = \{1, 2\}$. Furthermore, $I_{kI} = [t_x 0 - t_z]^T$ and $I_{t2} = [t_x 0 - t_z]^T$ with $t_x^2 + t_z^2 = 1$.

The geometrical model expresses the instrument coordinates $s = [x_{M1}, y_{M1}, x_{M2}, y_{M2}]^T$ in the US image as a function of instrument pose.

As $M_1$ and $M_2$ belong both to the instrument and to the US plane, the geometrical model must verify:

$$\begin{cases} l_1 t_i = d_{IM_i}, \\ (P_{kP})^T P_{PM_i} = 0. \end{cases}$$  \hfill (1)

Thus, the model can be expressed in the base $B_P$ as:

$$P s = \begin{bmatrix} 1 & 0 & -p_{x1} \\ 0 & 1 & -p_{y1} \\ 1 & 0 & -p_{x2} \\ 0 & 1 & -p_{y2} \end{bmatrix} P t_i = R_{I \rightarrow P} I_{kI}.$$  \hfill (2)

where

$$P = [x_I, y_I, z_I]^T,$$

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B. Image Jacobian

In the intervention scenario, the instrument is introduced into the heart through a trocar fixed on the heart wall, see Fig. 3. Hence, only four intracardiac degrees of freedom remain. Three of these remaining kinematic variables are the elements of $\omega_{I/P} = [\omega_x, \omega_y, \omega_z]^T$, the angular velocity of the instrument tip with respect to the US probe. The last kinematic variable is $d$, the translational velocity of the instrument tip along the instrument axis.
Furthermore, as $T$ is an invariant point, one has (using similar notations as for (4)):

$$\mathbf{v}_{T/P} = 0 = \mathbf{v}_{T/P} + \mathbf{v}_{T} \in \mathbb{I} / \mathbb{P} .$$  \hspace{1cm} (8)

Thus, the velocity of the physical point coinciding with $M_i$ is:

$$\mathbf{v}_{M_i} \in \mathbb{I} / \mathbb{P} = - \frac{1}{k_2} t_i \mathbf{k}_p \times t_i \times (-d\mathbf{k}_I + (d\mathbf{k}_I - l_i t_i) \times \Omega_{\mathbb{I} / \mathbb{P}}) .$$  \hspace{1cm} (9)

The relation giving the velocity of $M_i$ in the US plane as a function of the kinematic variables is obtained when substituting $\mathbf{v}_{M_i} \in \mathbb{I} / \mathbb{P}$ from (9) into (7):

$$\mathbf{v}_{M_i} = - \frac{1}{k_2} t_i \mathbf{k}_p \times t_i \times (-d\mathbf{k}_I + (d\mathbf{k}_I - l_i t_i) \times \Omega_{\mathbb{I} / \mathbb{P}}) .$$  \hspace{1cm} (10)

This relation, expressed in the base $\mathcal{B}_p$, can be rewritten into the following matrix equation:

$$\begin{bmatrix}
\mathbf{P}'(\mathbf{M}_i/P)_x \\
\mathbf{P}'(\mathbf{M}_i/P)_y
\end{bmatrix} = \mathbf{J}_{M_i} \begin{bmatrix}
\omega_x \\
\omega_y \\
\omega_z \\
d
\end{bmatrix} = \mathbf{J}_{M_i} \mathbf{u} ,$$  \hspace{1cm} (11)

$$\mathbf{s} = \mathbf{J} \mathbf{u} = \begin{bmatrix}
\mathbf{J}_{M_1} \\
\mathbf{J}_{M_2}
\end{bmatrix} \mathbf{u} .$$  \hspace{1cm} (12)

The Jacobian matrix can be written as:

$$\mathbf{J} = \mathbf{S} \mathbf{R}^T \mathbf{A} \mathbf{B}$$  \hspace{1cm} (13)

where:

$$\mathbf{S} = \begin{bmatrix}
1 & 0 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 & 0 \\
0 & 0 & 1 & 0 & 0 \\
0 & 0 & 0 & 0 & 1
\end{bmatrix} , \quad \mathbf{R} = \begin{bmatrix}
\mathbf{R}_{\mathbb{I} / \mathbb{P}} & \mathbf{0}_{3 \times 3} \\
\mathbf{0}_{3 \times 3} & \mathbf{R}_{\mathbb{I} / \mathbb{P}}
\end{bmatrix} ,$$

$$\mathbf{A} = \begin{bmatrix}
\begin{bmatrix}
[k_2]_x \\
[k_2]_y \\
[k_2]_z
\end{bmatrix} \\
\begin{bmatrix}
[k_2]_x \\
[k_2]_y \\
[k_2]_z
\end{bmatrix}
\end{bmatrix} ,$$

$$\mathbf{B} = \begin{bmatrix}
\begin{bmatrix}
[t_1]_x \\
[t_1]_y \\
[t_1]_z
\end{bmatrix} \\
\begin{bmatrix}
[t_2]_x \\
[t_2]_y \\
[t_2]_z
\end{bmatrix}
\end{bmatrix} .$$

In order to solve this equation for $\mathbf{v}_{M_i} \in \mathbb{I} / \mathbb{P}$, the following relations are applied:

$$\{ \forall r_i, (a \times x = b) \Leftrightarrow (x = r - \frac{1}{a^T}a \times b \text{ if } a^Tb = 0) \} ,$$

$$\{ \forall x, (a \times x)^T a = 0 \} .$$  \hspace{1cm} (15)

The obtained solution is:

$$\mathbf{v}_{M_i} \in \mathbb{I} / \mathbb{P} = r_i (t_i + t_i \times [\mathbf{k}_p \times \mathbf{k}_p \times \mathbf{v}_{M_i} / \mathbb{P}]) ,$$

$$\mathbf{v}_{M_i} = r_i (t_i - t_i \times [t_i \times \mathbf{v}_{M_i} / \mathbb{P}]) .$$  \hspace{1cm} (16)

To derive the inverse kinematic model this equation is projected onto the vectors $\mathbf{k}_p, \mathbf{j}_1$, and $\mathbf{t}_2$ for each point $M$. Thus, a six equations system with six unknowns ($r_1$, $r_2$, and the kinematic variables: $\omega_x$, $\omega_y$, $\omega_z$, and $d$) is obtained. Thereafter, the kinematic variables are expressed as functions of the 2D velocity of $M_1$ and $M_2$ by solving the previous system. Then, the inverse kinematic model can be written in a matrix form:

$$\mathbf{u} = \mathbf{J}^{-1} \mathbf{s} .$$  \hspace{1cm} (17)

The inverse image Jacobian $\mathbf{J}^{-1}$ is:

$$\mathbf{J}^{-1} = \mathbf{C} \mathbf{R} \mathbf{S}^T ,$$  \hspace{1cm} (19)

where $\mathbf{S}$ and $\mathbf{R}$ are the matrix defined in (13), and:

$$\mathbf{C}^T = \begin{bmatrix}
0 & \frac{t_z}{\Delta_2} & 0 \\
\frac{t_y}{\Delta_2} & 0 & 0 \\
\frac{t_x}{\Delta_2} & \frac{t_y}{\Delta_2} & 0 \\
\frac{t_z}{\Delta_2} & 0 & \frac{t_x}{\Delta_2} \\
0 & \frac{t_y}{\Delta_2} & \frac{t_z}{\Delta_2} \\
0 & \frac{t_x}{\Delta_2} & \frac{t_z}{\Delta_2}
\end{bmatrix} ,$$

$$\Delta_1 = (l_1 + l_2) d + 2l_1 l_2 z ,$$

$$\Delta_2 = (l_1 + l_2) 2d z .$$

Note that the obtained inverse Jacobian matrix, that can be used in the control loop, depends on two kinds of parameters:

- parameters that rely on the robot and instrument geometry only, and can be supposed to be perfectly known, such as $t_x$, $t_z$, and $d$;
- parameters that depend on the location of the probe with respect to the robot, such as $\mathbf{R}_{\mathbb{I} / \mathbb{P}}$, $l_1$ and $l_2$. In a practical intervention, these can be known only approximately, which may challenge the system robustness.

**IV. CONTROL**

This section describes the chosen control loop and gives some simulation results. The desired position $s_{\mathbb{D}}$ is the vector of the desired 2D coordinates of $M_1$ and $M_2$ in the US plane. This desired position is specified by the surgeon in the echographic image. The error $e$ between the desired and the
measured position in the US plane is fed into the controller. The visual servoing loop computes the command velocity vector $\mathbf{u}$ using the inverse kinematic model.

In the control loop, the inverse image jacobian has to be estimated as the jacobian depends on several parameters that are not perfectly known. As the robot kinematics and the instrument geometry are accurately known, the major parameter uncertainties lie in the estimation of the relative pose between US probe and robot base (namely $\mathbf{R}_{I \rightarrow P}$, $l_1$ and $l_2$). Therefore, only an approximate inverse jacobian matrix $\hat{\mathbf{J}}^{-1}$ can be used in the controller:

$$\mathbf{u} = \lambda \hat{\mathbf{J}}^{-1} \mathbf{e} = \lambda \hat{\mathbf{J}}^{-1} (s_d - s), \quad (20)$$

where $\lambda$ is a scalar proportional gain. Using the previous control law, the closed-loop behavior of the system is:

$$\dot{\mathbf{e}} = -\mathbf{Ju} = -\lambda \mathbf{J} \hat{\mathbf{J}}^{-1} \mathbf{e}. \quad (21)$$

If all the parameters are perfectly known, the estimated inverse jacobian is equal to the inverse jacobian. Thus, the system closed-loop behavior becomes:

$$\dot{\mathbf{e}} = -\lambda \mathbf{J} \mathbf{J}^{-1} \mathbf{e} = -\lambda \mathbf{e}. \quad (22)$$

This equation is linear for $\mathbf{e}$, which means that the variables are well decoupled. Thus, the error exponentially converges towards zero.

Numerical simulations were performed to evaluate the closed loop behavior of the system. Results for simulation of a perfectly known case are shown in Fig. 4. The error exponentially converges toward zero and the instrument displacement is in straight lines, according to (22).

When parametric uncertainties occur, the analytical expressions of $\mathbf{J}$ and $\hat{\mathbf{J}}^{-1}$ do not allow to easily perform theoretical studies on the stability of (21). A first observation is that any translation of the US probe parallel to the US plane does not affect the parameters $\mathbf{R}_{I \rightarrow P}$, $l_1$ and $l_2$. Therefore, the jacobian estimation is robust with respect to the positioning uncertainties of the probe along the US plane. The only parameters modifying the inverse image jacobian are the orientation of the US probe with respect to the robot base and the distance between the robot base and the US plane measured along $k_P$.

In order to practically study the influence of these errors, extended simulations were performed while including significant amount of errors in the estimation of the location of the probe with respect to the robot base. Simulation results indicate that even within large uncertainties the error still converges toward zero and the control loop is still stable. As an illustration, Fig. 5 displays the closed loop behavior for two kinds of uncertainties:

- errors in the orientation of the probe around the axes $i_P$, $j_P$ and $k_P$.
- translation errors along $k_P$.

In spite of quite large errors, the system is still stable.

V. EXPERIMENTAL RESULT

A. Set-up

The experimental set-up consists of a box full of water in which an US probe is placed and a surgical tool moved...
by a robot. The robot (MC2E, french acronym for compact manipulator for endoscopic surgery, developed at the Laboratoire de Robotique de Paris) used to manipulate the instrument is especially suited for minimally invasive robotic surgery applications and provides, with its spherical structure, 4 degrees of freedom at the instrument tip, Fig. 6(a). A force control have been developed on this robot and tested during in vivo experiments. The geometrical and kinematics models of the robot are presented in [10].

To identify the instrument echoes in the echographic image, real time detection, labeling, and tracking algorithms were developed, [11].

As US images are very noisy and contains a lot of artifacts (Fig. 6(b)), the tracking of the instrument must be very robust. The used algorithms compute the coordinate vector $s$ in pixels. They are converted into metric coordinates using a simple gain that was experimentally identified ($0.0008 \text{ mm} = 1 \text{ pixel}$). The overall computation time is less than 10 ms, thus being bellow the 40 ms frame rate of the US probe.

![MC2E robot](image1)

(a) MC2E robot

![US image](image2)

(b) US image

Fig. 6. Elements of the experimental system

The experiment is performed as follows:

The user selects the desired goal $s_d$ in the echographic image. Then the current instrument coordinates $s$ in the image and the corresponding error $\varepsilon$ are calculated and transmitted via a TCP/IP connection to the visual servoing loop. Thereafter, the command velocity of the instrument is computed according to (20) and sent to the robot controller.

![Experimental setup](image3)

Fig. 7. Experimental setup

B. Results

During experiments, the probe is manually kept under water and its relative pose with respect to the robot base is roughly estimated. The first experiment is performed in order to test the proposed control loop. Two desired instrument positions in the image are selected. The instrument is then moved by visual servoing from one to the other. Figure 8 shows the displacement in the image and the error $\varepsilon$ between the desired position and the measured position. As the error exponentially converges toward zero, the closed loop behavior presented in (21) is verified and the variables are well decoupled. This can be seen as well in the coordinates plot where the instrument displacements approximately follow straight lines. The settling time is approximately 6s, which is consistent with the tuned gain $\lambda = 0.4s^{-1}$.

Additional experiments were performed in order to test the control loop robustness. Namely, the experiment as previously described was run including an error in the estimation of the probe orientation around $k_P$. The results are shown in Fig. 9, for an increasing orientation error. Similarly to the simulation results, the control loop shows good robustness in the conducted experiments: although the exponential convergence is not guaranteed anymore, the system finally converges towards its desired value. Note that, due to the integral effect in the loop, the final error is always zero, up to the measurement noise, which was experimentally found to be less than +/- 1 pixel. This correspond to a geometric positioning error of less than 1 mm. The experimentally observed robustness is encouraging in the perspective of in vivo experiments.

VI. CONCLUSION

A robotic system with US imaging is presented. This system uses US based visual servoing to control the position of an instrument inside the heart. Modeling of the system and control loop are developed. Experiments show good stability of the visual servoing loop, even in the presence of parametric errors.

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