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Hand-Eye Self-Calibration of an Ultrasound Image-Based Robotic System

Marie-Aude Vitrani and Guillaume Morel
Universite Pierre et Marie Curie - Paris 6 - Paris Universitas
ISIR - Institut des Systèmes Intelligents et de Robotique
4 place jussieu,
75005 PARIS, France
{vitrani, morel}@robot.jussieu.fr

Abstract—In recent years, there has been an increasing interest in developing systems that couple a robotic device with an ultrasound imager. Applications range from automatic probe positioning to ultrasound image-based guidance of instruments. One issue in these systems is to determine, prior to the intervention, the localization of the probe with respect to the robot. Literature suggests using external localizers, but they add to the complexity of the system, and the resulting precision is usually not good due to the addition of errors in the kinematic chain. In this paper, we study the hand-eye calibration problem without using any additional localizers. A generic system consisting of a fixed probe observing an instrument manipulated by a robot is used. We first derive a simplified model for mapping the image of the instrument with its 3D location with respect to the probe and then propose a calibration procedure based on a minimization algorithm. Results show that although very simple models were used for the imaging device, the localization is quite precise, as it results in errors of less than 2mm, which is enough of a number of ultrasound guided interventions.

I. INTRODUCTION

Because it is portable, real time, inexpensive and not irradiating, ultrasonic imaging is by far the most widely used medical imaging modality. Ultrasound imaging systems are used either for non invasive observation only, or for monitoring an intervention. In the first case, the practitioner has to precisely and stably positions the probe so as to obtain the desired cross-section for proper observation. In the latter, the surgeon moves an instrument inside a patient while observing the motion of the instrument with the other hand he manipulates the instrument toward the target. This requires high skills for coordinating the hands holding the instrument and the probe. In fact, the ultrasound image gives only 2D cross-section of the 3D operating region, containing no depth information. Therefore, manual ultrasound guided interventions are limited to simple tasks, such as puncture using a needle [1].

Within this context, robotic systems are being developed in order to accomplish ultrasound image-based guidance in a more performing way than manual procedures. More and more interventional systems exploit both robotics and medical imaging. A major issue in these systems is the registration of the different devices that is necessary to perform precise positioning.

A first solution consists of mechanically attaching the instrument holder with the probe. For example, a system for guiding a needle by visual servoing is proposed in [2]. It consists of an ultrasound probe and a two degrees of freedom needle manipulator. The needle manipulator is mechanically forced to remain in the probe plane. The needle orientation and penetration are directly known from the manipulator joint position, thus not requiring any external registration. However, this approach limits the applications to those where the range of motion of the instrument with respect of the probe is small. It is thus not appropriate for applications where the probe location and the instrument port are to be chosen independently by the surgeon. On the contrary, in this research, we target applications where:

1) the relative localization of the probe holder and the instrument holder are to be setup independently;
2) the instrument has more than one or two degrees of freedom w.r.t. the probe.

A typical application described in [3], [4] consists of intracardiac surgery, where an instrument, manipulated by a robot, is inserted into the beating heart, where it can move with four degrees of freedom while its motion is observed through a trans-esophagus ultrasonic probe. By no way the probe can be physically linked to the instrument. To cope with this type of registration need, there has been a significant effort towards 3D reconstruction, associated with 3D imaging. In this class of systems, pre-or peroperative images are processed in order to reconstruct 3D information which is then used by the robot. In [5], two robots collaborate. A first robot handles the ultrasound probe. Thanks to a localization of the anatomical target in the image and geometrical model, a second robot, handling a needle, is commanded towards the computed 3D position. In vitro tests emphasize a better accuracy than with manual procedures. The system proposed by [6] allows carrying out a biopsy of the prostate. The prostate 3D model is reconstructed using the ultrasound images. Thus the robot is fed with the 3D model in order to compute the trajectory. The tests on dead
bodies showed a final positioning error of about, at best, 2.5 mm. Some systems also use the images to perform, in real time, the 3D reconstruction of the desired position instead of establishing a patient model from preoperative images, [7], [8]. In these systems, the target is detected and tracked in the images. Their 3D position can then be calculated in a robot coordinate frame. The main limits of these approaches are:

1) external localizers are used for the registration of the devices, which adds to the complexity and price of the overall system, while it is desirable to limit the amount of equipment brought into the operating room;
2) the final geometrical positioning algorithm consists of chaining several transforms, from the probe to the robot base, then from the robot base to the instrument, which results in significant errors.

Avoiding the accumulation of geometrical errors is always possible by closing the loop, namely positioning the instrument using visual servoing. In this case, corrections are constantly made so that the instrument location in the image is finally correct, whatever the geometrical errors are, thanks to the capacity of rejecting static disturbances. However, apart from the specific visual servoing technique that we proposed in [4], all the other existing techniques (see e.g. [9], [10]) require the knowledge of the location of the probe with respect to the instrument.

Therefore, there is a common need for a registration procedure that avoids the use of external sensors. In [11], such a calibration is proposed by the use of a phantom visible in the ultrasound 2D cross section, resulting in errors as small as 2.5 mm for a focus depth of 6 cm. This approach is however not valid for the type of targeted applications, since the phantom could not be used in an operating room.

In this paper, we have used a conventional hand-eye calibration procedure, which, to our knowledge, had never been used so far with ultrasound imaging. Its principle is to identify the unknown parameters of a measurement equation, thanks to several image measures taken at several positions of the instrument w.r.t. the probe. Only the relative motion of the instrument is known, thanks to the instrument holder proprioceptive sensors. In the case of ultrasound imaging, the difficulty lies not only in the image noise, which is high, but also in the fact that the imaging geometry is rather complex, as the probe produces a cross section over a slice of unknown and depth-varying thickness. This hardly translates into parametric equations. We thus used a simplified model with a planar cross section, arbitrarily identifying the center of image blob to the image of a 3D point belonging to a known straight line of the instrument. In spite of this drastic simplification, after calibration, the remaining error between the real measurements and the model remains less than 2 pixels.

The rest of the paper is organized as follows: Section II describes the canonical system used to demonstrate the approach and its simplified model. In section III, the calibration algorithm is detailed. Finally, Section IV shows the experimental validation.

II. ULTRASOUND BASED ROBOTIC SYSTEM

A. System overview

A canonical configuration of a robot-held instrument guided through US imaging has been used for this study. It is sketched in Fig. 1. The robot-held instrument is observed by an ultrasound probe, which images are transmitted in real time to a computer.

The instrument is attached to the end-effector of the robot in such a way that its axis is parallel to the axis of the last robot joint denoted by the use of a phantom visible in the ultrasound 2D cross section, resulting in errors as small as 2.5 mm for a focus depth of 6 cm. This approach is however not valid for the type of targeted applications, since the phantom could not be used in an operating room.

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The rest of the paper is organized as follows: Section II describes the canonical system used to demonstrate the approach and its simplified model. In section III, the calibration algorithm is detailed. Finally, Section IV shows the experimental validation.

B. Geometrical modelling

In order to derive the identification algorithm, a first step is to establish a geometrical model between the 3D position and orientation of the instrument and its 2D position in the image. Literature and documentation report that the points visible in the US image are those belonging to the intersection of the visualized object and a 3D thick beam, as illustrated in Fig. 2. Therefore, the relationship between the position and orientation of the instrument and the center of gravity of the image blob, grouping all the visible points after image binarization, is quite complex to derive. We thus propose a simplification consisting of identifying the center of gravity $G$ of the instrument image and the point $M$ corresponding at
the intersection of a line $\mathcal{D}$ (which represents the axis of the instrument) and a plan $\Pi$ representing the ultrasound probe, see Fig. 2.

![Diagram of an instrument and ultrasound beam](image)

**Fig. 2.** Modelling hypothesis

The following coordinate frames are used for the geometrical modelling (see Fig. 3):

- $\mathcal{F}_0 = \{O_0; i_0, j_0, k_0\}$ is the coordinate frame attached to the robot base.
- $\mathcal{F}_b = \{O_b; i_b, j_b, k_b\}$ is the coordinate frame attached to the robot end-effector.
- $\mathcal{F}_I = \{I; i_1, j_1, k_1\}$ is the coordinate frame attached to the instrument $\mathcal{I}$, with $I$ belonging to the instrument axis and the vector $k_1$ being parallel to the instrument axis. The assembly described previously allows to choose $k_1 = k_6$ and $I = O_0$.
- $\mathcal{F}_P = \{P; i_P, j_P, k_P\}$ is the coordinate frame attached to the ultrasound probe $\mathcal{P}$ with $k_P$ perpendicular to the ultrasound plane, and $P$ the origin of the ultrasound beam.

![Diagram of coordinate frames and angles](image)

**Fig. 3.** Coordinate frames and angles used

Deriving the geometrical model consists in finding the coordinate of the point $M$ in the frame attached to the probe. The point $M$ belongs to the plane $\Pi$ as well as to the line $\mathcal{D}$.

Since point $M$ belongs to line $\mathcal{D}$, one has:

$$\begin{cases}
d_{PM}^T k_P = 0 \\
d_{IM} = \perp k_I
\end{cases}$$

(1)

where, as in the rest of the paper, $d_{AB}$ denotes the vector from point $A$ to point $B$. Projecting in the probe frame $\mathcal{F}_P$ one gets:

$$\begin{cases}
I = -\frac{\ell k_P^T (\ell d_{PO_0} + R_{P-0}^0 d_{O_b k_6})}{\ell k_P^T R_{P-0} R_{0-6} k_6} & \text{if } \ell k_P^T k_P \neq 0 \\
\ell d_{PM} = \ell d_{PO_0} + R_{P-0}^0 d_{O_b k_6} + l R_{P-0} R_{0-6}^0 k_6
\end{cases}$$

(2)

where, as in the rest of the paper, $\ell b$ denotes the components of a vector $b$ is the basis of frame $\mathcal{F}_A$ and $R_{A-B}$ is the rotation from frame $\mathcal{F}_A$ to frame $\mathcal{F}_B$. It can be noticed that the condition $\ell k_6^T k_P \neq 0$ means that the instrument and the plane $\Pi$ intersect in one point.

### C. Parameters to be identified

The proposed geometric model depends on the geometrical model of the robot $d_{0_b k_6}$ and $R_{0-6}$ and on the location of its base with respect to the probe $d_{0_b k_6}$ and $R_{S-6}$.

Since a very precise industrial robot (Staubli TX40) is used in these experiments, one can assume that the position $d_{0_b k_6}$ and orientation $R_{0-6}$ of its end-effector with respect to its base are perfectly known. Moreover, we know that $\ell k_6 = \begin{bmatrix} 0 & 0 & 1 \end{bmatrix}^T$.

The unknown parameters of the model are thus the parameters describing the position and orientation of the base of the robot with respect to the probe $d_{PO_0}$ and $R_{P-0}$. Finally, it should be noted that the measures in the image are extracted in pixels, therefore they must be converted into meters to fit in the geometrical model. The relationship between measures of pixels coordinates $s_{mes}$ of the blob center of gravity and its coordinates in meters $\ell d_{PM}$ in the frame attached to the probe writes:

$$s_{mes} = \begin{bmatrix} k_x & 0 & 0 \\
0 & k_y & 0 \\
0 & 0 & 0 \end{bmatrix} \ell d_{PM}$$

(3)

with $k_x$ and $k_y$ being scale change gains to apply along $i_P$ and $j_P$ respectively.

The vector which contains all the uncertain parameters writes:

$$p = \begin{bmatrix} \ell x_{O_0} & \ell y_{O_0} & \ell z_{O_0} & r_x & r_y & r_z & k_x & k_y \end{bmatrix}^T$$

(4)

with:

- the vector $\ell d_{PO_0} = \begin{bmatrix} \ell x_{O_0} & \ell y_{O_0} & \ell z_{O_0} \end{bmatrix}^T$ being the position of the base frame origin expressed in the probe frame;

- the angles $r_x$, $r_y$ and $r_z$ being the XYZ Euler angles which describe the orientation of the base frame with respect to the probe frame.
III. CALIBRATION PROCEDURE

A. Principle

The calibration procedure is to optimize a set of badly-known parameters \( \mathbf{p} \) of a system by minimizing the error between a set of measured variables \( \mathbf{s}_{\text{meas}} \) with the same variables reconstructed \( \mathbf{s}_{\text{rec}} \) using a model \( \mathbf{s} = f(\mathbf{p}) \). More precisely the principle of calibration is as follows:

- The system is placed in \( n \) configurations. For each configuration \( i \in \{ 1...n \} \), variables \( \mathbf{s}_{\text{meas},i} \) are measured;
- Badly-known parameters are optimized so as to minimize the error between the measured variables and the variables computed by the model;
- Once the best parameters obtained, the calibration is validated through a set measures that have not been used for optimization.

The way to optimize the parameters is described below:

For the \( i \)th location of the instrument, one computes the Jacobian matrix that maps the temporal derivatives of the parameters to be identified into the temporal derivatives of the measures. This relationship is written below:

\[
\hat{s}_{\text{meas},i} = \mathbf{J}_{\text{pi}} \hat{\mathbf{p}}
\]

It is a nonlinear problem of optimization since the matrix \( \mathbf{J}_{\text{pi}} \) depends on the parameters \( \mathbf{p} \). However, an iterative procedure using a linearized relation provides good results, [13], providing that the initial guess is not too far from the real value.

Assuming small variation of the parameters \( \delta \mathbf{p} \), one can write:

\[
\delta \mathbf{s}_{\text{meas},i} = \mathbf{J}_{\text{pi}} \delta \mathbf{p}
\]

For the \( n \) measures, this equation is:

\[
\delta \mathbf{s} = \mathbf{J} \delta \mathbf{p}
\]

with \( \delta \mathbf{s} = [\delta \mathbf{s}_{\text{meas},1} \; \delta \mathbf{s}_{\text{meas},2} \; \ldots \; \delta \mathbf{s}_{\text{meas},n}]^T \) and \( \mathbf{J} = \begin{bmatrix} \mathbf{J}_{\text{p1}}^T & \mathbf{J}_{\text{p2}}^T & \ldots & \mathbf{J}_{\text{pn}}^T \end{bmatrix}^T \).

This matrix equation is then solved in the least squares sense.

Thus, if the matrix \( \mathbf{J} \) has a maximal rank, the inverted relation is:

\[
\delta \mathbf{p} = \mathbf{J}^+ \delta \mathbf{s}
\]

with \( \mathbf{J}^+ = (\mathbf{J}^T \mathbf{J})^{-1} \mathbf{J}^T \) being the \( \mathbf{J} \) pseudo-inverse.

The following algorithm is then used to minimize the error between the variables \( \mathbf{s}_{\text{rec}} \) reconstructed from the model and the variables \( \mathbf{s}_{\text{meas}} \) measured:

\[
\mathbf{p} = \mathbf{p}_{\text{init}}
\]

\[
\mathbf{s}_{\text{rec}} = f(\mathbf{p})
\]

\[
\delta \mathbf{p} = \mathbf{J}^+ \| \mathbf{s}_{\text{rec}} - \mathbf{s}_{\text{meas}} \|
\]

\[
\mathbf{p} = \mathbf{p} + \delta \mathbf{p}
\]

while \( \| \mathbf{s}_{\text{rec}} - \mathbf{s}_{\text{meas}} \| > \varepsilon_{\text{max}} \) and \( \| \delta \mathbf{p} \| > \delta \mathbf{p}_{\text{max}} \)

with \( \mathbf{p}_{\text{init}} \) being an estimate value for the parameters to be optimized, \( f(\mathbf{p}) \) being the model, \( \varepsilon_{\text{max}} \) the error under which one the founded parameters are assumed to be the optimized ones and \( \delta \mathbf{p}_{\text{max}} \) the parameters variation under which one a local minimum is reached.

B. Derivation of the jacobian matrix

For the \( i \)th configuration of the system, the parameter jacobian matrix \( \mathbf{J}_{\text{pi}} \) is then computed by deriving the geometrical model with respect to the uncertain parameters:

\[
\dot{s}_{\text{meas},i} = \mathbf{J}_{\text{pi}} \dot{\mathbf{p}}
\]

We denote:

\[
\begin{align*}
\mathbf{J}_k & = \begin{bmatrix} \dot{k}_x & \dot{k}_y \end{bmatrix} \\
\mathbf{J}_{\text{mi}} & = \begin{bmatrix} \dot{k}_x & \dot{k}_y \end{bmatrix}
\end{align*}
\]

Thus the parameters’ jacobian matrix \( \mathbf{J}_{\text{pi}} \) writes for the \( i \)th configuration:

\[
\mathbf{J}_{\text{pi}} = [\mathbf{J}_k + \mathbf{J}_{\text{mi}}]
\]

To derive the matrix \( \mathbf{J}_k \) is trivial:

\[
\mathbf{J}_k = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}
\]

To compute \( \mathbf{J}_{\text{mi}} \), one needs to calculate the temporal derivative components \( \dot{\mathbf{d}}_{\text{PM}} \) with respect to the parameters \( \delta \mathbf{p} \):

\[
\begin{align*}
\dot{\mathbf{d}}_{\text{PM}} &= \mathbf{d}_{\text{POO}} - \mathbf{P}_{\text{dPOO}} \times \mathbf{P}_{\text{OPO}} \\
\end{align*}
\]

\[
\mathbf{P}_{\text{dPOO}} = \mathbf{d}_{\text{POO}} - \mathbf{P}_{\text{dPOO}} \times \mathbf{P}_{\text{OPO}} + l \cdot \mathbf{k}_6 - l \cdot \mathbf{k}_6 \\
\text{with} \quad \mathbf{P} = \mathbf{P}(0/P) = [\dot{x}, \dot{y}, \dot{z}]^T \text{ and where all vectors are expressed in the coordinate frame } \mathcal{F}_P.
\]

One thus gets:

\[
\begin{align*}
\mathbf{J}_{\text{mi}} &= K \begin{bmatrix}
1_{3 \times 3} - \frac{k_x k_y}{k_6^2} \\
-k_x k_y k_6 \\
1_{3 \times 2} - \frac{k_x k_y}{k_6^2} \mathbf{d}_{\text{POO}} \\
0_{3 \times 2}
\end{bmatrix}
\end{align*}
\]

IV. EXPERIMENTAL VALIDATION

A. Experimental set-up

The experimental set up, Fig. 4, is made of an ultrasound probe plunged into a tank of water. This probe provides images of an instrument manipulated by a 6 degrees of freedom robot, the Staubli TX40. The tip of the instrument is a 300mm long thin cylinder with a 3mm diameter. It consists of a steel rod covered with polyurethane. This guarantees a
blob of high intensity with limited artifacts. The robot is placed in such a way that the instrument intersects the ultrasound beam.

B. Calibration and validation of the proposed modelling

The first experiment is performed with an ultrasound machine well settled: having a clear track of the instrument with no artifact. This amounts to:

- a unmodified intensity of the echoes (intensity gain = 1 dB);
- a 21.5 cm maximal depth of visibility.

The Fig. 5 shows the ultrasound image provided with such a tuning.

![Image of ultrasound machine](image)

The parameters are identified using 20 measures, leading in the following set for the identified parameters:

\[
\begin{align*}
P_{X0} &= 29.11 \text{ cm} \\
P_{Y0} &= 21.74 \text{ cm} \\
P_{Z0} &= 25.17 \text{ cm} \\
\end{align*}
\]

\[
\begin{align*}
r_x &= 0.0371 \text{ degrees} \\
r_y &= 0.0606 \text{ degrees} \\
r_z &= 175.2514 \text{ degrees} \\
k_x &= 1.83 \text{ pixel/mm} \\
k_y &= 1.81 \text{ pixel/mm}
\end{align*}
\]

Figure 6 shows the results for this optimization, that compare the positions measured during the experiment \(s_{\text{max,i}}\) with the position provided by the geometrical modelling using the identified parameters. The maximum error is 1.48 mm in \(x\) (i.e along \(P_b\)) and 1.88 mm in \(y\) (i.e along \(P_c\)) and the mean error is about 0.6 mm in \(x\) and in \(y\), which correspond to approx. one image pixel. Therefore, the precision of the model using the identified parameters gets down to the resolution of the US imaging system. The identified parameters and the proposed modelling allow proper reconstruction of the instrument positions in the image.

In order to verify that the calibration is still good for positions which were not used during optimization procedure, the robot is placed into 5 other locations and the measured instrument position is compared with the reconstructed position for these 5 locations. Results are plotted on Fig. 7.

![Graphs showing parameter identification with a well tuned ultrasound machine](image)

Even if the error seems larger for fifth point than for the other four locations, the error is similar as the one obtained during the optimization procedure. The maximum error is 1.50 mm in \(x\) and 0.67 mm in \(y\) and the mean error is about -0.23 mm in \(x\) and -0.14 mm in \(y\). Thus the calibration is still correct.

This overall validates the model simplification and the calibration procedure in the case of a well settled ultrasound system.

C. Influence of the ultrasound machine tuning

In order to assess the influence of ultrasound machine settings onto the proposed calibration procedure several experiments were performed using settings that lead to higher noise and different depths. The parameters which could influence the modelling are the gain of intensity applied to the image and the maximal depth of visibility.

![Graphs showing validation of the proposed geometrical modelling with a well tuned ultrasound machine](image)
1) Experiment with a high intensity gain applied to the image: A second experiment is performed, applying higher gain intensity. The gain intensity modifies the form, the intensity and the size of the instrument track in the image as shown in Fig. 8. The previous experiment is performed again. The location of the probe with respect to the robot base is the same as previously, only the gain intensity is changed: 20 dB instead of 1 dB.

2) Experiment with a short maximal depth visibility: A last experiment was conducted to illustrate that the maximum depth of visibility has no influence on the proposed model. In this aim, the previous experiment is carried out once more. The transformation between the robot base and the probe is unchanged, the intensity gain is 1 dB, but the maximum depth of visibility is 10.8 cm instead of 21.5 cm. This produces a zoom on the part of the image that is close to the origin of the ultrasound beam, Fig. 10.

As the visible area is smaller than in previous experience, only 9 positions were achieved. In addition, gains from scale change between meters and pixels are changed due to the new maximum depth of visibility. Therefore, a new identification of the parameters must be done. After the reconstruction, results still emphasize a good precision as illustrated in Fig. 11. The mean error is 0.88 mm in x and 0.84 mm in y, with a maximum error of 1.68 mm in x and 2.20 mm in y between the measured and rebuilt positions in the image. These errors are similar to those obtained with an ultrasound machine well settled. The maximum depth of visibility does not affect the validity of the proposed model. Furthermore, the optimized parameters are:

$$\begin{align*}
    s_{x_0} &= 29.76 \text{ cm} \\
    s_{y_0} &= 22.74 \text{ cm} \\
    s_{z_0} &= 25.02 \text{ cm} \\
    r_x &= 0.3788 \text{ degrees} \\
    r_y &= -0.0215 \text{ degrees} \\
    r_z &= 175.3632 \text{ degrees} \\
    k_x &= 3.72 \text{ pixel/mm} \\
    k_y &= 3.57 \text{ pixel/mm}
\end{align*}$$

These results are consistent. Indeed, the geometrical configuration of the system has not been modified between the experiences, in particular, the position and orientation of the robot base with respect to the probe has remained constant. The optimization should provide the same orientation and position than previously which is the case as the error between the new optimized parameters and the previous ones is $[e_{x_0} e_{y_0} e_{z_0}] = [-6 -10 1] \text{ millimeters}$ and $[e_{r_x} e_{r_y} e_{r_z}] = [-0.34 \ 0.08 \ -0.11] \text{ degrees}$. The errors on $e_{x_0}$ and $e_{y_0}$ are due to a shift of the origin of the image with respect to the ultrasound probe which in turn is due to the change in the depth gain. Also, as the depth factor has been divided by 2, it is not surprising that we identify that $k_x$ has been multiplied by 2.03 while $k_y$ has been multiplied by 1.97, which gives a 1.5% error with respect to the gain change expectation. Through these experiments, we validate the hypothesis which consists to match the center of gravity of a blob with the intersection between a line representing the instrument and a plane representing the ultrasound beam. Moreover, we demonstrate that the validity of this hypothesis does not
depend on the tuning of the ultrasound machine.

V. CONCLUSION

Although we’ve been using a simple model together with a straightforward iterative optimization method, the results shown in this paper emphasize an unexpected precision, which, in certain cases, is as low as the US image resolution. Ultimately, since the precision is measured by matching a model to a set of measurements, a simple inverse model can be used to reach any desired position located in the image with a millimetric precision. Note that the presented setup was also used for real time control of the instrument using visual servoing, during in vivo beating heart experiments, [4].

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