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# Ion Intercalation Dynamics of Electrosynthesized Mesoporous WO<sub>3</sub> Thin Films Studied By Multi-Scale Coupled Electrogravimetric Methods

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## Abstract

Mesoporous WO<sub>3</sub> thin films were prepared electrochemically by using an ionic surfactant during the synthesis, and the electrochemical properties are investigated in comparison with their dense analogues. This report specifically highlights the suitability of a time resolved coupled electrogravimetric method to follow meticulously the ion intercalation/extraction phenomena which revealed the enhanced ion intercalation/extraction behavior of electrodeposited mesoporous WO<sub>3</sub> thin films for diverse applications in energy storage and electrochromism. This methodology (electrochemical impedance spectroscopy (EIS) and its coupling with a fast quartz crystal microbalance (QCM)) has the ability to detect the contribution of the charged or uncharged species during the electrochemical processes, and to deconvolute the global EQCM responses into the anionic, cationic, and the free solvent contributions. Our study identifies the involvement of several charged species ( $Li^+$ ,  $Li^+.H_2O$ ) in the compensation of charge, and  $H_2O$  molecules indirectly contribute to the process in both dense and mesoporous WO<sub>3</sub> thin films. Even a slight contribution of  $ClO_4^-$  ions was detected in the case of mesoporous analogues. The results of the study indicate that the transfer resistances of  $Li^+$  and  $Li^+.H_2O$  are decreased when the WO<sub>3</sub> films are mesoporous. A more significant difference is observed for the larger and partially dehydrated  $Li^+.H_2O$  ions, suggesting that increased surface area and pore volume created by mesoporous morphology facilitate the transfer of larger charged species. The relative concentration changes of cations are also magnified in the mesoporous films. The final concentration variations are higher in mesoporous films than that in the dense analogues; ~ 4 times and ~10 times higher for  $Li^+$  and for  $Li^+.H_2O$ , respectively. To the best of our knowledge, an unambiguous identification of species other than desolvated cations (e.g.  $Li^+$  ions), the information on their transfer dynamics and quantification of the transferred species have never been reported in the literature to describe the charge compensation process in WO<sub>3</sub> based electrodes.

**Keywords:** WO<sub>3</sub>, mesoporosity, surfactant-assisted electrodeposition, Li intercalation/extraction, *ac*-electrogravimetry, EQCM, ion transfer dynamics.

## 1. Introduction

In recent years, the use of metal oxide thin films with nanoscale porosity for diverse electrochemical applications, *e.g.* energy storage, electrochromic devices, *etc.* has become a subject of growing interest.<sup>1-4</sup> Among these materials, tungsten (VI) oxide ( $\text{WO}_3$ ) is a particular metal oxide which can offer a wide range of technological applications. It is a representative metal oxide of a group of chromogenic materials due to the coloration effects associated with various processes.<sup>2-7</sup> Aside from its potential applications including Li-ion batteries,<sup>8-10</sup> photoelectrochemical,<sup>11-13</sup> solar,<sup>14-15</sup> and fuel cells,<sup>16-19</sup>  $\text{WO}_3$  has been extensively studied as a promising electrochromic material. Meanwhile,  $\text{WO}_3$  has already been integrated in low-voltage electrochromic devices for smart windows, which emphasizes the high technological relevance of this kind of material. Nevertheless, currently available devices still suffer from several shortcomings, such as quite long electrochromic response times.<sup>20</sup> Thus, new developments and approaches to improve such systems may have a direct technological impact.

Even though not all the underlying details of the mechanism of electrochromism are fully understood, the overall process generally involves the simultaneous insertion of cations and electrons into the inorganic matrix during the reduction step ( $\text{W}^{+6}/\text{W}^{+5}$ ), and the subsequent formation of coloring centers ( $\text{W}^{+5}$ ).<sup>7,20,21</sup> This reversible electrochemical insertion or intercalation of electrolyte ions into an electrode material is the fundamental operation principle of many electrochemical devices; therefore it is crucial to optimize the interactions between electrolyte ions and electrode materials.

For the improvement of the performance of metal oxide based electrodes, main attention has been attributed to their nanostructuration with various morphologies due to the unique properties and functionalities that can effectively be exploited in electrochemical devices.<sup>2-4,20-22</sup> Various synthesis methods such as sol-gel,<sup>2,3,20</sup> spray pyrolysis/sputtering,<sup>23,24</sup> and hydrothermal synthesis,<sup>25,26</sup> are commonly employed for the elaboration of  $\text{WO}_3$  leading to different morphologies. However, electrochemical synthesis remains to be a fast, simple, low-cost and low-temperature technique which may also lead to the formation of homogenous nanoscale films with desirable qualities.<sup>6,27-30</sup> The incorporation of surfactant molecules in the electrogenerated film results in the production of thin nanostructured films by using potential-controlled self-assembly of surfactant-inorganic aggregates at solid-liquid interfaces.<sup>6</sup> This approach manipulates surfactant-inorganic assemblies only in the thin interfacial region by electrochemically controlling surface interactions, which allows the formation of nanostructured films from dilute surfactant solutions. After deposition, surfactants can be easily removed from the pores by washing with a suitable alcohol, leading to the porous inorganic replicas of the surfactant phases.<sup>6</sup> These nanostructures, with small sizes, and large surface area to volume ratios, are expected to facilitate the ion intercalation/extraction process.

These improvements are often attributed to (i) a facilitated transfer and short diffusion length for ions transport, (ii) a high electrode/electrolyte contact area, and (iii) a better stress/strain management of the material during ion intercalation/extraction.

The morphology dependent performance and ion intercalation behaviour of electrochromic  $\text{WO}_3$  thin films have been investigated by several *in situ* or *ex situ* characterization techniques,<sup>31-35</sup> including electrochemical and optical methods,<sup>5,20,22</sup> wide-angle X-ray scattering combined with electrochemical studies,<sup>20</sup> Raman spectroscopy,<sup>21</sup> and X-ray photoelectron spectroscopy studying electrochromic materials before and after cation intercalation.<sup>5,36</sup> However, none of these methods alone provide the information on the exact identification of the intercalated ionic species, their dynamics of transfer at the interfaces, as well as the role of electrolyte composition and the effect of ions solvation on the intercalation/extraction phenomena. The status of inserted ions is not a quite solved problem and the characterization of their transfer dynamics is not straightforward using conventional characterization tools. The main challenge is to find an eligible technique offering mechanistic solutions in a single body that can be used to study ion intercalation phenomena *in-situ* and in contact with an electrolyte. There have been studies using quartz crystal microbalance (QCM) as an *in situ* gravimetric probe to study the insertion and/or electroadsorption of ions in metal oxide or carbon based electrodes.<sup>37-42</sup> Conducting electrodes coated on the QCM resonator surface are used as working electrodes in an electrochemical cell, and thus, the electrodeposition process can be monitored. Materials of interest deposited are studied in terms of mass changes during the electrochemical processes. Santos *et al.* studied the  $\text{Li}^+$  ion intercalation/extraction behaviour of dense  $\text{WO}_3$  films in acetonitrile solution containing  $\text{LiClO}_4$  by QCM measurements during cyclic voltammetry (EQCM).<sup>37</sup> The mass-to-charge ratios ( $\frac{\Delta m}{\Delta q}$ , MCR) were calculated from the EQCM data in  $\text{LiClO}_4/\text{acetonitrile}$  electrolytes, which can be used to estimate the molar mass of the species ( $M_w \approx \frac{\Delta m}{\Delta q} \times \text{Faraday's number}$ ) inserted in the material. If the intercalation process is only due to the charge compensation of the valence variations of W sites ( $\text{W}^{+6}/\text{W}^{+5}$ ) by  $\text{Li}^+$ , one can expect to obtain a value matching to the equivalent weight of the lithium ions. However, none of the values obtained from the MCR was in the order of the equivalent weight of either ions ( $\text{Li}^+$ , or  $\text{ClO}_4^-$ ) or solvent molecules (acetonitrile) of the study. The equivalent weight values, higher than that of  $\text{Li}^+$  ( $7 \text{ g mol}^{-1}$ ) were simply attributed to the acetonitrile molecules, accompanying the  $\text{Li}^+$  ions.<sup>37</sup> A similar study by Vondrak *et al.* investigated the electrochemical insertion of  $\text{H}^+$ ,  $\text{Li}^+$ ,  $\text{Na}^+$  ions into thin layers of  $\text{WO}_3$  by EQCM in propylene carbonate. EQCM data of their work also detected molar mass values higher than that would correspond to the cation present in the

electrolyte. Therefore, it was suggested that ions do not enter the space lattice alone but may be accompanied by propylene carbonate solvent molecules.<sup>38</sup> These studies are significant contributions to highlight the complexity of the electrochromic system, and to show that simplified electrical charge compensation processes may not be realistic to describe the ion intercalation/extraction mechanisms in metal oxide based electrodes. However, the limitations of the technique appear here clearly. EQCM gives a global response corresponding in fact to several possible pathways such that ions, ions with solvation shells and even indirectly free solvent molecules can contribute to the electrochemical process. Additionally, ions may lose a part of their solvation to access to the sites in smaller nanopores.<sup>42</sup> These possible pathways, and kinetic or dynamic aspects of the ion intercalation/extraction processes have never been characterized in the previous EQCM studies due to the limitations of the technique.

Here an alternative characterization tool was proposed to overcome the limitations of the classical EQCM to study the ion intercalation/extraction mechanisms in metal oxide based electrodes. Specifically, the electrochromic behavior related to the cation intercalation/extraction in WO<sub>3</sub> thin films was investigated by coupled time resolved characterization methods (fast QCM/electrochemical impedance spectroscopy). This method, so-called *ac*-electrogravimetry consists of *in-situ* coupling of electrochemical impedance spectroscopy (EIS) and fast quartz crystal microbalance (QCM).<sup>43-48</sup> Although QCM exists more or less routinely in laboratories these days, this coupling has been developed in a limited number of laboratories worldwide. The *ac*-electrogravimetry simultaneously measures the electrochemical impedance,  $\Delta E/\Delta I(\omega)$ , and the mass/potential transfer functions ( $\Delta m/\Delta E(\omega)$ ) during a sinusoidal potential perturbation with a small amplitude applied to the modified electrode.<sup>43-48</sup> The mass/potential transfer functions allow the change in mass due to a unit charge passing through the electrode/film/electrolyte interfaces to be determined. It provides the access to the relevant information on (i) the kinetics of species transferred at the solid/solution interfaces, and their transport in the bulk of the materials, (ii) the nature of these species as well as their relative concentration within the material. This coupling dominates over the limitations of QCM technique and has the ability to deconvolute the global mass variations provided by QCM measurements. Specifically, it detects the contribution of the charged or uncharged species and to identify anionic, cationic, and the free solvent contributions during various redox processes, and recently has been applied to study pseudo-capacitive charge storage mechanisms in Li-birnessite type MnO<sub>2</sub> electrodes.<sup>48</sup>

In the present work, this coupled methodology is exploited to study the ion intercalation/extraction behaviour of mesoporous WO<sub>3</sub> films. For their fabrication, an electrochemical pathway was chosen, so-called surfactant-assisted electrodeposition,<sup>6,27,29,30</sup> which provides a facile, low temperature and rapid alternative to the mesoporous thin film synthesis. In the literature, cation

intercalation/extraction studied by UV-vis spectroscopy during cyclic voltammetry measurement revealed that both amorphous and crystalline mesoporous films significantly improve the electrochromic response times due to the shortening of the mean diffusion path lengths.<sup>20,49</sup> The other studies discussed that the crystalline materials increase the energy barrier for lithium ions, and thus, their electrochemical capacity may be inferior than their amorphous analogues.<sup>5,21,50</sup> Here, we particularly focus on the amorphous materials rather than crystalline ones to emphasize its role in the electrochemical performance of WO<sub>3</sub> films. The structure, morphology and the composition of the mesoporous electrochemically synthesized films were characterized, and compared with their dense counterparts. The electrochemical performance and ion intercalation/extraction mechanisms were studied in aqueous LiClO<sub>4</sub> electrolytes by electrogravimetric methods (EQCM, and *ac*-electrogravimetry). Special attention was given to the poorly understood aspects, such as the nature of the ions involved in the charge compensation, solvation and the role of the electrolytes and the dynamic information of ions transfer at the electrode/electrolyte interfaces. It has been demonstrated herein that the *ac*-electrogravimetry responses of the electrodeposited WO<sub>3</sub> thin films can serve as a gravimetric probe to study the complex Li<sup>+</sup> intercalation/extraction mechanisms and to extract subtleties unreachable with classical tools.

## 2. Experimental

**Chemicals:** The following chemicals were used to prepare peroxotungstic acid (PTA) solutions employed in the electrodeposition process and the characterization of the resulting thin films: tungsten powder (99.99%, Aldrich Chem, USA), 30% w/w hydrogen peroxide (analytical reagent, Sigma-Aldrich Germany), propan-2-ol (99.7% Sigma-Aldrich, Germany), platinum black (99.97%, Sigma-Aldrich, USA), sodium dodecyl sulfate (SDS) (ACS reagent, 99.0% Sigma-Aldrich, Japan) and LiClO<sub>4</sub> (98% sigma-Aldrich, Japan).

**WO<sub>3</sub> thin film synthesis:** The electrodeposition solutions for the synthesis of dense and mesoporous WO<sub>3</sub> thin films were prepared as described elsewhere.<sup>6,30</sup> Briefly, 0.9 g W powder was dissolved in 30 ml of 30% H<sub>2</sub>O<sub>2</sub> which takes about 4 hours for complete dissolution. A small portion of Pt black was then added to remove the excess of H<sub>2</sub>O<sub>2</sub>. This removal process can be accelerated by heating the solution up to 70 °C to obtain a pale-yellow solution of H<sub>x</sub>WO<sub>3</sub>. The solution was diluted to 50 mM with a 50:50 water and isopropanol mixture. For the mesoporous thin film synthesis, the solution also contained 0.05 M sodium dodecyl sulfate (SDS).

Gold-patterned quartz substrates of 9 MHz (Temex, France) were used as working electrodes. A platinum grid and an Ag/AgCl (3M KCl saturated with AgCl) served as counter electrode and reference electrode, respectively. The electrochemical WO<sub>3</sub> synthesis was performed by potentiostatic electrodeposition at -0.5 V vs Ag/AgCl (3M KCl saturated with AgCl). During electrodeposition, a salt-bridge junction equipped with a porous glass frit on the end was used to prevent the reference electrode from being contaminated by the media and vice versa. The electrodeposition was performed by using a potentiostat (Autolab PGSTAT100) and the deposition process was monitored by quartz crystal microbalance measurements. Film area is 0.25 cm<sup>2</sup> (gold electrode on the quartz resonator) and the film thickness was controlled by the electrodeposition time, typically for 15, 20 or 30 minutes. The SDS surfactant in the WO<sub>3</sub> thin films was extracted from the inorganic matrix by washing with ethanol/water mixture before the electrogravimetric studies.

For high resolution transmission electron microscopy (HR-TEM) studies, WO<sub>3</sub> thin films were also deposited on gold substrates (gold foil, 0.05 mm thickness, 99.95% purity (Goodfellow)) by surfactant assisted electrodeposition at -0.5 V for 30 min, followed by a thermal treatment at 450°C for 2h (heating rate of 5°C/min). The samples were scraped off from the gold substrates and ultrasonically dispersed in ethanol.

**Structural Characterization:** The film morphology and the thickness were investigated by field emission gun scanning electron microscopy (FEG-SEM) (Zeiss, Supra 55). The elemental analysis was performed with an energy dispersive x-ray (EDX) detector associated to the FEG-SEM equipment. The oxidation state of the tungsten and composition of the films were determined by X-

ray photoelectron spectroscopy (XPS) (on a VG ESCALAB 250i-XL spectrometer using monochromatic Al K $\alpha$  radiation as the X-ray source). High resolution transmission Electron Microscopy (HR-TEM) analysis was performed using a JEOL 2010 UHR microscope operating at 200 kV equipped with a TCD camera.

**Characterization with Coupled Electrochemical Methods (EQCM and *ac*-Electrogravimetry):**

The electrochemical synthesis was monitored by a lab-made QCM device providing the electrodeposited mass to be determined by frequency variation ( $\Delta f_m$ ) of the quartz crystal resonator based on the Sauerbrey equation.<sup>51</sup> The ( $\Delta f_m$ ) can be converted into the mass change ( $\Delta m$ ) of the quartz crystal by using the Sauerbrey equation:

$$\Delta m = -\frac{\sqrt{\rho_q \mu_q}}{2 f_0^2} \cdot \Delta f = -k_s \cdot \Delta f_m \quad (1)$$

where  $\rho_q$  is the density of quartz (2.648 g/cm<sup>3</sup>),  $\mu_q$  is the shear modulus of quartz ( $2.947 \times 10^{11}$  g/cm.s<sup>2</sup>),  $f_0$  is the fundamental resonance frequency of the quartz, and  $k_s$  is the theoretical sensitivity factor. An experimental value, 1.09 ng Hz<sup>-1</sup>, of this constant was used in this work as justified previously.<sup>52</sup>

The classical EQCM measurements are based on the coupling of cyclic voltammetry (CV) with QCM measurements, and provide the information on the mass variations (calculated from Eq. 1) of the electrode during electrochemical processes.

For *ac*-electrogravimetry, a four-channel frequency response analyzer (FRA, Solartron 1254) and a potentiostat (SOTELEM-PGSTAT) are used. The QCM is used under dynamic regime, the modified working electrode (0.2 cm<sup>2</sup>) is polarized at a selected potential, and a sinusoidal small amplitude potential perturbation (50 mV rms) is superimposed. The microbalance frequency change,  $\Delta f_m$ , corresponding to the mass response,  $\Delta m$ , of the modified working electrode is measured simultaneously with the *ac* response,  $\Delta I$ , of the electrochemical system. The frequency range is between 63 kHz and 10 mHz. The resulting signals are sent to the four-channel FRA, which allowed the electrogravimetric transfer function,  $\frac{\Delta m}{\Delta E}(\omega)$ , and the electrochemical

impedance,  $\frac{\Delta E}{\Delta I}(\omega)$ , to be simultaneously obtained at a given potential.

The electrochemical experiments were performed in aqueous 0.5 M LiClO<sub>4</sub> solution. The gold-patterned quartz substrates of 9 MHz (Temex, France) were used as working electrodes. A platinum grid and an Ag/AgCl (3M KCl saturated with AgCl) served as the counter electrode and the reference electrode, respectively. During electrochemical tests, a salt-bridge junction equipped with



a porous glass frit on the end was used to prevent the reference electrode from being contaminated by the media and vice versa.

### 3. Theory

The *ac*-electrogravimetry methodology and theoretical background were previously discussed by Gabrielli *et al.*<sup>43,44</sup>

Briefly, *ac*-electrogravimetry consists of coupling of electrochemical impedance spectroscopy (EIS) with a fast quartz crystal microbalance (QCM) used in *ac*-mode. It allows the response in current,  $\frac{\Delta E}{\Delta I}(\omega)$ , electrical transfer function, and in mass,  $\frac{\Delta m}{\Delta E}(\omega)$  mass-potential transfer function to be simultaneously obtained owing to a sinusoidal potential perturbation with a small amplitude ( $\Delta E$ ). The combination of such transfer functions provides the possibility of a fair separation of different electrochemical processes, which involves concomitantly the mass and charge changes.

The transfer of two cations (c1 and c2), and an anion (a) in the electroactive films incorporating three different and independent sites, P1, P2, and P3 during the redox reaction of the host material  $\langle P \rangle$  where a single electronic transfer takes place can be expressed as:



where  $\langle P1c1 \rangle$  and  $\langle P2c2 \rangle$  are the film matrices doped with cations, and  $\langle P3a \rangle$  is the film matrices doped with anions. The cation and anion transfers at the film|solution interface are only taken into account as rate-limiting steps since the ionic transports inside the thin film and in the solution are supposed to be fast enough through thin films or in sufficiently concentrated electrolytes.

Under the effect of a sinusoidal potential perturbation with low amplitude,  $\Delta E$ , imposed to the electrode/film/electrolyte system, sinusoidal fluctuations of concentration,  $\Delta C_i$ , are observed. In the present case, for electroactive metal oxide thin films, the change of the concentration,  $\Delta C_i$ , of each species (cation1 (c1), cation 2 (c2), anion (a), and free solvent (s)) with potential  $\Delta E$  can be calculated using Eq. (5-8):

$\left. \frac{\Delta C_{c1}}{\Delta E} \right _{th}(\omega) = -\frac{G_{c1}}{(j\omega d_f) + K_{c1}} \quad (5)$	$\left. \frac{\Delta C_{c2}}{\Delta E} \right _{th}(\omega) = -\frac{G_{c2}}{(j\omega d_f) + K_{c2}} \quad (6)$
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$\left. \frac{\Delta C_a}{\Delta E} \right _{th} (\omega) = -\frac{G_a}{(j\omega d_f) + K_a} \quad (7)$	$\left. \frac{\Delta C_s}{\Delta E} \right _{th} (\omega) = -\frac{G_s}{(j\omega d_f) + K_s} \quad (8)$
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where  $\omega=2\pi f$  is the pulsation,  $d_f$  is the film thickness and  $K_i$  and  $G_i$  are the partial derivatives of the flux,  $J_i$ , (Supporting information Eq. (10 and 11)) with respect to the concentration and the potential respectively,  $K_i = \left( \frac{\partial J_i}{\partial C_i} \right)_E$  and  $G_i = \left( \frac{\partial J_i}{\partial E} \right)_{C_i}$ , where  $J_i$  stands for the flux of the species  $i$  crossing the film/electrolyte interface. More precisely,  $K_i$  is the kinetics rate of transfer and  $G_i$  is the inverse of the transfer resistance,  $R_{t_i}$ , of the species at the film/electrolyte interface (where  $i$  is the cation c1 or c2, the anion a, or the free solvent s). The Supporting Information Section B (Eq. 10-14) provides detailed information on these parameters.

The concentration/potential transfer function (Eq. 5-8) permits us to obtain all the other theoretical transfer functions. Therefore, the following theoretical expressions are used to fit the experimental responses of electrochemical impedance, charge/potential, electrogravimetric and partial electrogravimetric transfer functions.

The charge/potential transfer function,  $\left. \frac{\Delta q}{\Delta E} \right|_{th} (\omega)$ , is calculated for the insertion/expulsion of the two cations, c1 and c2 and an anion, a and by using the Faraday number,  $F$ , and the film thickness,  $d_f$ :

$$\left. \frac{\Delta q}{\Delta E} \right|_{th} (\omega) = F d_f \left( \frac{G_{c1}}{j\omega d_f + K_{c1}} + \frac{G_{c2}}{j\omega d_f + K_{c2}} - \frac{G_a}{j\omega d_f + K_a} \right) \quad (9)$$

Then, the theoretical Faradaic impedance,  $Z_F|_{th} (\omega)$  relative to the global ionic transfer of the electroactive film for three charged species, cations (c1 and c2) and anion involved in the charge compensation is:

$$Z_F|_{th} (\omega) = \frac{1}{j\omega d_f F \left[ \frac{G_{c1}}{(j\omega d_f) + K_{c1}} + \frac{G_{c2}}{(j\omega d_f) + K_{c2}} - \frac{G_a}{(j\omega d_f) + K_a} \right]} \quad (10)$$

For calculating the theoretical electrochemical impedance,  $\left. \frac{\Delta E}{\Delta I} \right|_{th} (\omega)$ , an interfacial capacitance must be added in parallel to the Faradaic impedance.

The electrogravimetric transfer function,  $\left. \frac{\Delta m}{\Delta E} \right|_{th}(\omega)$ , can be calculated theoretically, taking into account of the uncharged species contribution (solvent molecules in this case) through the two parameters  $K_s$  and  $G_s$ :

$$\left. \frac{\Delta m}{\Delta E} \right|_{th}(\omega) = -d_f \left( m_{c1} \frac{G_{c1}}{(j\omega d_f) + K_{c1}} + m_{c2} \frac{G_{c2}}{(j\omega d_f) + K_{c2}} + m_a \frac{G_a}{(j\omega d_f) + K_a} + m_s \frac{G_s}{(j\omega d_f) + K_s} \right) \quad (11)$$

where  $m_{c1}$ ,  $m_{c2}$ ,  $m_a$  and  $m_s$  are the atomic weight of involved species.

Partial mass/potential TFs are also estimated either by removing the c2 contribution, calculating

$$\left. \frac{\Delta m}{\Delta E} \right|_{th}^{c1as}(\omega) ; \text{ or the c1 contribution, calculating } \left. \frac{\Delta m}{\Delta E} \right|_{th}^{c2as}(\omega) ; \text{ or the anion contribution, calculating}$$

$$\left. \frac{\Delta m}{\Delta E} \right|_{th}^{c1c2s}(\omega) \text{ (Supporting Information Eq. (23-25)).}$$

These theoretical expressions were used to fit the experimental responses of the electrochemical

impedance,  $\frac{\Delta E}{\Delta I}(\omega)$ , the charge/potential transfer function  $\frac{\Delta q}{\Delta E}(\omega)$ , and the electrogravimetric

transfer functions,  $\frac{\Delta m}{\Delta E}(\omega)$ . These results were compared with the experimental data which

provided the key parameters ( $m_i$ ,  $K_i$ ,  $G_i$ , and  $Rt_i$ ) to be extracted.

## 4. Results and discussion

**4.1 Synthesis, Structure and Morphology of Dense and Mesoporous Thin Films:** Thin films of dense and mesoporous  $\text{WO}_3$  were synthesized on the gold electrode of a quartz resonator in a one-step potentiostatic electrodeposition method from solutions containing peroxotungstic acid (PTA) species (see Experimental Section and Ref. **6** and **30** for details). For the electrochemical synthesis of the mesoporous  $\text{WO}_3$  thin film, surfactant molecules (sodium dodecyl sulfate (SDS)) were added in the electrodeposition solution as templating agents. Typical current and microbalance frequency profiles, simultaneously measured on the quartz crystal during electrodeposition are presented in Fig. S1. The frequency variations ( $\Delta f$ ) are converted to the corresponding mass variations ( $\Delta m$ ) by using the Sauerbrey equation (Eq. 1). The mass variation profiles during potentiostatic electrodeposition for both dense and mesoporous films are presented in Fig. 1. The initial deposition current is higher for mesoporous thin films than that of dense  $\text{WO}_3$  thin films (Fig. 1). The deposition current density depends on both the sheet resistance of the working electrode and the electrical conductivity of the PTA electrolyte solution.<sup>53</sup> Since identical substrates (gold coated quartz resonators) are used as working electrodes, the higher initial deposition current for the mesoporous  $\text{WO}_3$  deposit (Fig. 1B) is mainly due to the higher conductivity of the deposition solution resulted from the addition of the ionic surfactant molecules (SDS). In both cases, the deposition current initially decreases, finally approaching a steady value (Fig. 1), which is consistent with previous reports.<sup>53</sup> The gold electrode is a conductor while the  $\text{WO}_3$  is a semiconductor and the contributions of the two components determine the relative amount of the deposition current decrease. The time for stabilization of the deposition current is higher for the films synthesized in the presence of SDS surfactant (Fig. 1B) than that of a dense film electrodeposition. In the absence of SDS templates, impingement/percolation probably takes place at relatively lower thickness of the  $\text{WO}_3$  deposits since larger grain formation may easily occur. But in the presence of SDS, the grain growth is limited due to the presence of SDS micellar structures on the gold electrode/solution interface. This may result in a slight delay in approaching a steady current value (Fig. 1B). Therefore, the differences in the deposition current profiles in Fig. 1 already give indications of the formation of different morphologies of  $\text{WO}_3$  thin films in the presence and absence of SDS templating agents. In other words, the mechanism of electrogeneration appears strongly dependent on the bath composition.

The characteristic frequency of the quartz resonator decreases as a function of the electrodeposition time (Fig. S1) corresponding to a mass increase of the gold electrode of the quartz resonator. The mass variations calculated from the frequency variations are also presented in Fig. 1. The total deposited mass is higher for the films synthesized in the presence of SDS surfactant (Fig. 1B), indicating the incorporation of the surfactant molecules. These films are considered to be

inorganic/organic hybrids composed of a  $\text{WO}_3$  inorganic matrix surrounding the SDS surfactant micelles, and they are extracted from the inorganic matrix in a subsequent step following the electrodeposition.

The morphology of the electrochemically synthesized  $\text{WO}_3$  thin films was characterized by FEG-SEM and HR-TEM. In the absence of the surfactant molecules, fairly dense thin films composed of sphere-like shape nanoparticles of  $\text{WO}_3$  are obtained, which is consistent with previous reports (Fig. 2).<sup>6,53</sup> Similar morphologies are obtained for the mesoporous counterparts on the scale of FEG-SEM observations. The average film thickness is  $\sim 300$  nm under these experimental conditions (Fig. 2B), and it can be tailored by changing the electrodeposition time.

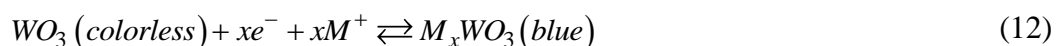
The EDX analysis coupled with FEG-SEM observations indicates the presence of W and O in as-deposited dense thin films (Fig. S2). A small contribution of Au also appears which is due to the gold electrode of the quartz crystal substrate. The EDX spectrum of films synthesized in the presence of SDS templates shows additional peaks (Fig. S3) corresponding to Na element, indicating the successful incorporation of the SDS templates in the inorganic/organic hybrids. The contribution of Na peak is substantially decreased after the extraction of the SDS templates, signifying the facile removal of the SDS templates (Fig. S4).

The pore morphology of the  $\text{WO}_3$  thin films electrodeposited in the presence of SDS templates was investigated by HR-TEM (Fig. 3) which revealed spherical mesopores of around 2-5 nm. The mesopores in the present films are randomly ordered and have a certain dispersity in their pore size but fairly homogeneous domains expand within the film. Compared with the mesoporous thin film preparations based on the evaporation induced self-assembly (EISA), surfactant assisted electrodeposition method employs more dilute surfactant solutions. The main principle is based on the potential-controlled self-assembly of surfactant–inorganic aggregates at solid-liquid interfaces.<sup>6</sup> The concentration increase at the electrode surface leads to the formation of surfactant micelles, and the electrodeposition process occurs around these template structures. The subsequent removal of surfactant micelles leads to the formation of mesoporous films which are inverse replicas of the micellar structures, as shown in Fig. 3. Elemental composition analysis of the mesoporous  $\text{WO}_3$  thin films was also obtained by EDX analysis coupled with HR-TEM observations. The main contributions in the EDX spectrum in Fig. 3D are attributed to the presence of W and O elements (Cu peaks in Fig. 3D are originated from TEM grids).

To determine the exact composition and the oxidation state of the W species, as-deposited thin films were characterized by X-ray photoelectron spectroscopy (XPS) and the results are shown in Fig.4. All peaks can be indexed to W and O elements (except the presence of Au peaks due to the substrate) indicating the high purity of as-synthesized sample. A fitting curve of the W 4f level is

presented in Fig. 4B. The peaks at binding energies of 35.6 and 37.7 eV match greatly well with the reported values for the  $W^{6+}$  oxidation state, indicating that the synthesized  $WO_3$  is stoichiometric.<sup>36</sup>

**4.2 Electrochemical Studies:** The optical variation of the  $WO_3$  can be controlled by the application of a reversible voltage based on the double intercalation/extraction of cations and electrons into/out from the material. The general coloration process of  $WO_3$ , which is a cathodic coloring electrochromic material is often presented in the literature by the following equation:



where  $M^+$  refers to a non-solvated cation such as  $H^+$ ,  $Li^+$ ,  $Na^+$ . This simplified intercalation/extraction mechanism is based on the charge compensation process by cations. When applying a negative voltage on  $WO_3$  layer, electrons and cations are inserted, and the electrons reduce the  $W^{6+}$  ions to  $W^{5+}$ . Whereas by applying positive voltage, electrons and cations are extracted, and  $W^{5+}$  ions are oxidized to  $W^{6+}$ . The general view in the literature is that the integrated cathodic current density over time equates to the amount of cation intercalated to form tungsten bronze  $M_xWO_3$  (Eq. 12), indicating that a higher current density means faster cation intercalation kinetics.<sup>54</sup> However, this simplified scheme does not take into account of (i) the ion solvation effect, (ii) the possible presence of more than one ionic species which may favour/disfavour the kinetics of the electrochromism and charge/discharge rates, and (iii) the influence of free electrolyte molecules that can interact, indirectly, with porous electrodes. Although often stated as a paradigm, to the best of our knowledge, the indirect effect of the solvent molecules on the charge compensation mechanisms has not been thoroughly studied. In the following part, these aspects of the intercalation/extraction mechanism will be discussed in detail by EQCM and *ac*-electrogravimetry characterizations for both dense and mesoporous amorphous  $WO_3$  thin films in aqueous  $LiClO_4$  electrolytes.

**4.2.1. The cyclic voltammetry and EQCM study:** The EQCM is a simultaneous measurement of the resonance frequency variation of a quartz resonator determined by QCM during CV measurements. A typical EQCM curve gives the potential *vs* current profile, simultaneous to the frequency variation *vs* potential response. The quartz resonance frequency variations are converted into mass changes of the electrodes by Sauerbrey relation,<sup>51</sup> e.g. the mass variations of the  $WO_3$  electrodes during coloration (reduction bias) and bleaching (oxidation bias) can be monitored.

The EQCM results of a dense and mesoporous  $WO_3$  film tested at room temperature in aqueous 0.5M  $LiClO_4$  electrolytes are presented in Fig. 5. The CV curves show a capacitive response in the anodic region whereas the coloration reaction is observed in the potential range of -0.15 V to -0.5 V *vs* Ag/AgCl. In agreement with the literature data under similar dynamic conditions, an asymmetric

voltammogram is obtained with a broad anodic loop displaying an extraction of cationic species that is slower than its cathodic insertion at this scan rate (Fig. 5). The capacitive behaviour is somehow more pronounced in the CV response of the dense films compared with that for a mesoporous film (Fig. 5). This suggests a higher contribution of surface electroadsorption process, compared with the faradaic response (shown by blue arrows in Fig. 5) in the case of dense WO<sub>3</sub> thin films. The cathodic and anodic current density of the mesoporous films (Fig. 5B) are higher than those observed for the dense films (Fig. 5A), which may indicate faster cation intercalation/extraction kinetics, as discussed in Ref. 54. The current values measured are almost four times higher than that obtained for a dense film. The mass variations are also influenced by the presence of the mesopores (Fig. 5), and the QCM response is amplified (~ 4 times) in the case of a mesoporous film. This enhanced behaviour can probably be attributed to the increased specific surface area and pore volume as a result of the mesopores providing a better accommodation of the electroactive sites and have an advantageous effect on the coloration process. The mass responses shown in the reduction branches (Fig. 5) correspond to the resonance frequency drops of the modified quartz resonators due to the mass increases of the films during reduction bias (coloration). In a reverse process, during oxidation bias (bleaching), the inserted species are expelled out from the film, resulting in a decrease of the electrode mass. The molecular mass of the species (cations and/or the other ionic/non-ionic species if present) involved in the charge compensation can be obtained roughly with further calculation. To do so,  $F \times \frac{\Delta m}{\Delta q}$  function was determined ( $F \times \frac{\Delta m}{\Delta q} = F \frac{\Delta m / \Delta t}{\Delta Q / \Delta t}$ ) from the EQCM data. Fig. 6 depicts the variation of  $F \times \frac{\Delta m}{\Delta q}$  values as a function of the applied potential for a dense and a mesoporous WO<sub>3</sub> thin film. According to this calculation, an absolute molecular mass value of ~ 5-6 g/mol was obtained for mesoporous films measured in 0.5 M aqueous solutions of LiClO<sub>4</sub> with a scan rate of 50 mV s<sup>-1</sup>, which is close to the molecular weight of Li<sup>+</sup>. If there is strictly one species involved, the  $F \times \frac{\Delta m}{\Delta q}$  function should be equivalent to the molecular mass of the species intercalated/extracted. However, for a complex electrochemical system, these values correspond to an average molecular weight related to the various species, and it is actually an average in terms of mass and kinetics. Therefore, at this point, one can arguably discuss that at this fast scan rate (50 mV s<sup>-1</sup>), Li<sup>+</sup> ions are exclusively involved in the charge compensation process. However, it cannot guarantee the absence of any other species which may have different time constants and cannot be detected at this scan rate (such as heavier ions including ClO<sub>4</sub><sup>-</sup>, hydrated forms of lithium ions, or free water molecules). Indeed, these results show the limitations of the classical EQCM technique. The EQCM gives a global response, and does not provide unambiguous

information on which of the possible scenarios actually takes place. To investigate the subtleties that cannot be reached by EQCM method, an *ac*-electrogravimetry study was performed on mesoporous WO<sub>3</sub> films and compared with their dense analogues.

**4.2.2 *Ac*-electrogravimetry study:** To be able to have a resolution at the temporal level in the intercalation/expulsion mechanism, *ac*-electrogravimetry (electrochemical impedance spectroscopy (EIS) coupled with fast QCM) was used to characterize WO<sub>3</sub> films. *Ac*-electrogravimetry measurements were performed in aqueous 0.5 M LiClO<sub>4</sub> solution, at stationary potential values in the range of 0.1 V to -0.4 V vs Ag/AgCl (3M KCl saturated with AgCl).

**A. *Ac*-electrogravimetry study of dense and mesoporous WO<sub>3</sub> thin films at -0.3 V vs Ag/AgCl:**

Fig. 7 exhibits the electrochemical impedance spectroscopy,  $\frac{\Delta E}{\Delta I}(\omega)$ , of a dense and mesoporous thin film, at a selected potential, specifically at -0.3 V vs Ag/AgCl. This potential value is presented because it corresponds to a situation where the electrodeposited film is in the reduced state. The  $\frac{\Delta E}{\Delta I}(\omega)$  responses (Fig. 7 A and B) have the usual shape, when dealing with an ion-blocking electrode, from which it is difficult to easily extract information. The low frequency trend was related to a parasitic response which is more pronounced in the mesoporous films (Fig. 7B), and was fitted by using Eq. 21 in the Supporting Information. The experimental transfer function and the fitted data from the model (see theoretical part, Eq. 10 and the supporting information, Eq. 21) are reported on the same graphs; a good agreement between the two sets of data is evident in terms of shape and frequency distribution (Fig. 7). It should be noted that there is no evident part with a slope equals to 45° or below in the electrochemical impedance response; therefore, the rate limiting step is not the mass transport in the films or in the solution but rather ionic transfer between the solution and the film.<sup>40</sup> The charge/potential transfer functions (TFs),  $\frac{\Delta q}{\Delta E}(\omega)$ , (Fig. 8 A, and B) permit to separate the ionic contribution without any possibility to identify the ionic species involved. It should be indicated here that the contribution of the parasitic reaction, occurring at low frequencies, was removed for keeping only the ionic transfer response. A large and slightly suppressed loop was obtained for both dense and mesoporous WO<sub>3</sub> thin films without a fair separation. The theoretical functions in Fig. 8 indicated that there are more than one charged species involved in both cases (Fig. 8 A and B). Indeed, the time constants corresponding to each ionic transfer appear to be very close to each other. It is important to note that the  $\frac{\Delta q}{\Delta E}(\omega)$  response of



the mesoporous film is magnified (~ 5 times) (Fig. 8 B), compared to the response of a dense film (Fig. 8 A). At this stage, it is impossible to distinguish between the anion and the cation contributions and to determine the nature of the ionic species involved. Nevertheless, the  $K_i$  and  $G_i$  constants were determined for two ions (for dense) and three ions (for mesoporous)  $\text{WO}_3$  thin films (see Table I for the  $K_i$  and  $G_i$  values) and were used in the following fittings.

Then, to identify and clarify the contribution of the charged and also the uncharged species (*i.e.* free electrolyte molecules), the mass/potential transfer functions,  $\frac{\Delta m}{\Delta E}(\omega)$  are scrutinized. In the

mass/potential TF ( $\frac{\Delta m}{\Delta E}(\omega)$ , Fig. 9 A and B), one big loop appears in the third quadrant at medium and low frequencies (MF and LF). This loop can be attributed to either one species or to two species where their time constants are not sufficiently different from each other. The loops in the third quadrant are characteristic for cation contributions or free solvent molecules in the same flux direction. Another contribution also appears in Fig. 9 B (for the mesoporous  $\text{WO}_3$  thin film) at very low frequencies in the fourth quadrant (either anions or water molecules with opposite flux direction compared to cations) highlighting the challenge in obtaining an exact identification of these two or three loops. Therefore, several configurations were tested using theoretical functions (Eq. 11) to determine the exact contribution of each species.

The mass response of a dense  $\text{WO}_3$  thin film was fitted by considering three species cation 1 ( $c1 = \text{Li}^+$ ), cation 2 ( $c2 = \text{Li}^+ \cdot \text{H}_2\text{O}$ ) and free solvent molecules ( $s = \text{H}_2\text{O}$ ) (Fig. 9 A). In the case of a mesoporous  $\text{WO}_3$  film, a similar response is obtained, but with an additional contribution from another charged species, the anion ( $a = \text{ClO}_4^-$ ). They appear characteristically in the fourth quadrant. Here, they are the slowest species transferred in the mesoporous films as they are detected at lower frequency region in Fig. 8 or 9 B. It is important to note that Eq. 11 involves the molecular weight of the ionic and/or nonionic species, providing their identification by their molecular weight. Therefore, cations or their hydrated forms can be detected with an estimation of the hydration level (e.g. cation 1 ( $c1 = \text{Li}^+$ ), cation 2 ( $c2 = \text{Li}^+ \cdot n\text{H}_2\text{O}$   $n=1$ )). Since the bulk hydration numbers for  $\text{Li}^+$  ions is high,  $n \sim 7-8$ ,<sup>55</sup> the cation 2 ( $c2 = \text{Li}^+ \cdot n\text{H}_2\text{O}$   $n=1$ ) detected in our study can be considered as partially dehydrated. The contribution of various species to electrochemical modulation with different kinetics of transfer has already been observed in the earlier work of Hillman *et al.* on nickel hydroxide thin films by combining probe beam deflection (PBD) technique and EQCM.<sup>56,57,58</sup>

The partial mass/potential TFs were analyzed to validate our previous hypothesis involving three and four different species for dense and mesoporous films, respectively. Partial mass/potential TFs are estimated for dense films (not shown), for example by removing the c2 contribution, calculating  $\left. \frac{\Delta m}{\Delta E} \right|_{th}^{c1s}$  or by removing the c1 contribution, calculating  $\left. \frac{\Delta m}{\Delta E} \right|_{th}^{c2s}$  as only three species are involved in the case of a dense film. The partial TFs for mesoporous films were also analyzed, specifically  $\left. \frac{\Delta m}{\Delta E} \right|_{th}^{c1c2s}$ ,  $\left. \frac{\Delta m}{\Delta E} \right|_{th}^{c1as}$ , and  $\left. \frac{\Delta m}{\Delta E} \right|_{th}^{c2as}$  (Fig. S5, Supporting Information) and all exhibited a good agreement between the theoretical and experimental data. These partial mass/potential TFs provide a crosscheck for validating the hypothesis involving multiple species and for obtaining a better separation of the various contributions. The  $K_i$  and the  $G_i$  constants are determined by fitting the experimental data using the theoretical functions for  $\frac{\Delta q}{\Delta E}(\omega)$ ,  $\frac{\Delta E}{\Delta I}(\omega)$ , and  $\frac{\Delta m}{\Delta E}(\omega)$  (Eq. 9, 10 and 11) with the criteria of achieving a good agreement between the experimental and theoretical data (strictly for all of the TFs, including partial mass/potential TFs (Eq. 23-25, Supporting Information)). The equivalent weight of the charged and uncharged species is determined by the electrogravimetric TF,  $\frac{\Delta m}{\Delta E}(\omega)$  which provide the identification of the species (Table 1). The  $G_i$  parameters permit to calculate the resistance ( $Rt_i$ ) of the transfer for each species (Eq.13, Supporting Information). The kinetic constants ( $K_i$ ) obtained from *ac*-electrogravimetry at -0.3V vs Ag/AgCl reveal the transfer rates of species at the electrode/electrolyte interfaces. Both for dense and mesoporous WO<sub>3</sub> thin films: (i) Li<sup>+</sup> species contribute at high frequencies (the fastest species), (ii) free water molecules appear at the intermediate frequencies, and (iii) Li<sup>+</sup>.H<sub>2</sub>O appears at low frequencies (the slowest species). In the case of mesoporous WO<sub>3</sub> thin films, an additional contribution is observed at the lowest frequency range corresponding to the anions transfer (ClO<sub>4</sub><sup>-</sup>) and their transfer kinetics is slower than cationic species and free water molecules (see values in Table I). The  $G_i$  values determined from the *ac*-electrogravimetry study are related to the transfer resistance, ( $Rt_i = \frac{1}{FG_i}$ ) which can explain the ease or the difficulty of the transfer of the ionic or nonionic species at the electrode/electrolyte interface. The transfer resistance  $Rt_i$  values follow the order which is the inverse of that observed for the kinetics parameters ( $K_i$ ) (Table I). Specifically, for dense films, it pursues the order:  $Rt_i(Li^+.H_2O) \gg Rt_i(H_2O) \gg Rt_i(Li^+)$ , and for mesoporous

films the same order persists:  $Rt_i(ClO_4^-) \gg Rt_i(Li^+.H_2O) \gg Rt_i(H_2O) \gg Rt_i(Li^+)$ , except the presence of additional  $ClO_4^-$  anions exhibiting the highest transfer resistance.

There are two cationic species ( $Li^+$  and  $Li^+.H_2O$ ) detected in both dense and mesoporous thin films. The kinetic parameters and resistance values for their interfacial transfer can be compared in Table I. One of the most remarkable differences is that the  $Rt_i(Li^+.H_2O)$  value for dense films is much higher than that for a mesoporous film. This strongly suggests that the transfer of hydrated lithium species ( $Li^+.H_2O$ ) at the interfaces is much easier in mesoporous  $WO_3$  thin films than in dense counterparts. Thus, the transfer of larger hydrated lithium species ( $Li^+.H_2O$ ) is favored when  $WO_3$  thin films are mesoporous. Based on the  $K_i$  values, the  $Li^+$  species are transferred slightly slower in the case of mesoporous films but their transfer resistance is in the same order of magnitude, or even slightly lower than that in dense films. These results indicate that mesoporous films facilitate both  $Li^+$  and  $Li^+.H_2O$  transfer compared to dense  $WO_3$  thin films. The  $ClO_4^-$  anions contribution was detected in mesoporous films, but their transfer is slow and more difficult compared to other species.

Another important parameter to compare is the  $\frac{\Delta C_i}{\Delta E} (\omega \rightarrow 0) = -\frac{G_i}{K_i}$  value which can be considered as the quantity of the species transferred per potential unit at low frequencies of modulation. In other words, it is related to the capability to store charged or uncharged species in a particular material. It is evident that the quantity of the cationic species transferred per potential unit is much higher in mesoporous  $WO_3$  thin films than in dense films. For the dense films, the higher capacitance related to the cations is obtained with  $Li^+$ . On the contrary, for mesoporous films hydrated  $Li^+$  gives the highest values (Table I). The contribution of anions which is only detected for mesoporous films, is rather small based on the quantity transferred per potential unit (Table I). The presence of large  $ClO_4^-$  anions, although in small quantity, is likely related to the mesoporosity of the  $WO_3$  thin films. Probably due to its large ionic radius,  $ClO_4^-$  anions cannot be involved in the charge compensation process of a dense film.

The transfer kinetics and the resistance of free water molecules are somehow similar to those of  $Li^+$  species in both dense and mesoporous films, suggesting that these are the water molecules accompanying the transfer of  $Li^+$  species most likely due to the electrodragging (Table I). The quantity of the water molecules transferred per potential unit is slightly higher for mesoporous films, which is in agreement with the increased pore volume.

The results obtained from the measurements at  $E = -0.3V$  vs. Ag/AgCl, first of all indicate that there are other species than only  $Li^+$  ions; such as hydrated lithium ions ( $Li^+.H_2O$ ), free electrolyte molecules (water in the present case); or even anionic species present in the electrolyte may contribute to the charge compensation in the electrochromic process of  $WO_3$  thin films. In the following, the kinetic parameters ( $K_i$ ) and the transfer resistance ( $Rt_i$ ) of the species are investigated as a function of the applied potential.

**B. Ac-electrogravimetry study of dense and mesoporous  $WO_3$  thin films as a function of potential:**

Figure 10 shows the evolution of the kinetic parameters ( $K_i$ ) and the transfer resistance ( $Rt_i$ ) of the species as a function of the potential applied. This potential range corresponds to the conditions where the intercalation/extraction process of the  $WO_3$  thin films is observed. Based on the  $K_i$  values presented in Fig. 10 A and B,  $Li^+$  ions are persistently the fastest species transferred both in dense and mesoporous  $WO_3$  thin films.

It is obvious that there are two different cation populations ( $Li^+$  and  $Li^+.H_2O$ ) for both dense and mesoporous films at all the potentials studied. Compared to the dense films, the kinetics of transfer for  $Li^+$  ions is slower in the mesoporous films and this could be related to the morphology of the films. The hydrated lithium ions  $Li^+.H_2O$  in the electrolyte lose their hydration shell and get transferred at the interface, (bulk electrolyte/dense  $WO_3$  film interface). But if this transfer happens at an electrode/electrolyte interface of a confined pore wall (as in the case of a mesoporous  $WO_3$  film), the dehydration of the hydrated lithium ions in a small confined space may occur slower than that may happen in the bulk of the electrolyte. Therefore, one can arguably discuss that the different dehydration kinetics may be responsible for the differences in the  $K_i(Li^+)_{meso}$  and  $K_i(Li^+)_{dense}$  values shown in Fig. 10. The transfer kinetics of the larger, partially dehydrated  $Li^+.H_2O$  is in the same order of magnitude in both mesoporous and dense films (Fig. 10 A and B). The transfer kinetics of free water molecules are somehow close to the values of the  $Li^+$  ions in Fig. 10 A and B. Additionally, these water molecules have the same flux directions with the cations, suggesting that they might be the water molecules accompanying the transfer of  $Li^+$  species most likely due to the electrodragging. At all potential values studied, independent from the film morphology there are two types of cations, specifically fully dehydrated and partially dehydrated lithium species. However, the transfer resistance values reveal significant differences between mesoporous and dense  $WO_3$  thin films (Fig. 10 C and D). Both  $Li^+$  and  $Li^+.H_2O$  have lower transfer resistance,

indicating the ease of their transfer at the electrode/electrolyte interfaces when the  $\text{WO}_3$  films are mesoporous. A more significant difference is observed for the larger and partially dehydrated  $\text{Li}^+ \cdot \text{H}_2\text{O}$  ions, suggesting that increased surface area and pore volume, created by the mesoporous morphology, favor the larger charged species transfer.

To quantify the role of each species,  $\left. \frac{\Delta C_i}{\Delta E} \right|_{\omega \rightarrow 0} = -\frac{G_i}{K_i}$  has been estimated as a function of the

applied potential. The integration of the  $\left. \frac{\Delta C_i}{\Delta E} \right|_{\omega \rightarrow 0}$  against potential gives the insertion isotherm.

Fig. 11 presents the relative concentration change,  $(C_i - C_0)$  for  $\text{Li}^+$ ,  $\text{Li}^+ \cdot \text{H}_2\text{O}$ ,  $\text{H}_2\text{O}$  and  $\text{ClO}_4^-$  species for dense (Fig. 11 A) and mesoporous  $\text{WO}_3$  (Fig. 11 B) thin films. A significant difference is observed in the relative concentration change of the species when  $\text{WO}_3$  thin films are mesoporous. Both the  $(C_i - C_0)$  values for  $\text{Li}^+$  and  $\text{Li}^+ \cdot \text{H}_2\text{O}$  cations are magnified for mesoporous films. It is important to note that the kinetics of transfer values for  $\text{Li}^+$  species were higher (faster kinetics) in dense films compared to that in mesoporous films, and their ease of transfer was in the same order of magnitude (Fig. 10 A, B and C). This point underlines the differences between kinetics and thermodynamics related to the transferred species. However, the final concentration variation is  $\sim 4$  times higher in mesoporous films than that in the dense films. Despite of the differences in their dynamics of transfer, the final concentration variations are magnified in the mesoporous  $\text{WO}_3$  thin films. It should be noticed that these subtleties are underlined for the very first time for both dense and mesoporous  $\text{WO}_3$  films.

The  $(C_i - C_0)$  values for  $\text{Li}^+ \cdot \text{H}_2\text{O}$  present a drastic difference between the two films, and it is  $\sim 10$  times higher in mesoporous films compared to the dense  $\text{WO}_3$ . Their transfer resistance at the interfaces was also significantly smaller in mesoporous films (Fig. 10 D), indicating that these large and partially dehydrated  $\text{Li}^+ \cdot \text{H}_2\text{O}$  species are much easier to be transferred. Thus,  $\text{Li}^+ \cdot \text{H}_2\text{O}$  ions are easily transferred in higher quantities when  $\text{WO}_3$  films are mesoporous. The  $(C_i - C_0)$  values for water molecules are in the same order of magnitude, or no significant difference is observed. The  $(C_i - C_0)$  value for  $\text{ClO}_4^-$  anions is low compared with the cationic species, thus only a small quantity is transferred. Their kinetics of transfer was also slow and difficult as it was shown in Table I.

Overall, the contribution of the charged species is certainly magnified in mesoporous  $\text{WO}_3$  films compared to the dense films. This qualitative and quantitative study of ionic and nonionic species contribution in the charge compensation process, together with dynamic information of their

interfacial transfer further proves the advantageous nature of nanostructuring of  $\text{WO}_3$  films for potential applications. For most of these application (e.g. in electrochromism), it is important to have a fast switching during the coloration/decoloration process which is related to the charge compensation of the redox reaction between  $\text{W}^{6+}/\text{W}^{5+}$ . The beneficial aspect of mesoporous  $\text{WO}_3$  films is more likely related to the better accommodation of both  $\text{Li}^+$  and  $\text{Li}^+.\text{H}_2\text{O}$  cations. Particularly, hydrated lithium species  $\text{Li}^+.\text{H}_2\text{O}$  are transferred rapidly and easily at the mesoporous electrode/electrolyte interfaces, and their concentration variations in the electrode is significantly higher with respect to that in their dense analogues.

## 5. Conclusions

Mesoporous  $\text{WO}_3$  thin films were synthesized by surfactant assisted electrodeposition method. The idea of using an ionic surfactant (SDS) during the electrochemical preparation of  $\text{WO}_3$  films led to the creation of ~5 nm spherical mesopores which are templated by the corresponding spherical micelles. The dense analogues were also electrochemically synthesized under similar conditions but in the absence of SDS templates.

The electrochromic behavior related to the cation intercalation/extraction in amorphous mesoporous and dense  $\text{WO}_3$  thin films was investigated by coupled time resolved characterization methods (fast QCM/electrochemical impedance spectroscopy). The chemical nature and the role of each species (ions, solvated ions, and free solvent molecules), directly or indirectly involved in the electrochemical processes were estimated for the very first time.

Our study identifies the involvement of several charged species ( $\text{Li}^+, \text{Li}^+.\text{H}_2\text{O}$ ) in the charge compensation, and  $\text{H}_2\text{O}$  molecules indirectly contribute to the process in both dense and mesoporous  $\text{WO}_3$  thin films. Even a slight contribution of  $\text{ClO}_4^-$  ions was detected in the case of mesoporous analogues.

Compared to the dense films, the kinetics of transfer for  $\text{Li}^+$  ions is slower in the mesoporous films. This surprising result can be attributed to the transfer of ions occurring at different sites in the films. This transfer probably happens at an electrode/electrolyte interface of a confined pore wall (as in the case of a mesoporous  $\text{WO}_3$  film), the dehydration of the hydrated lithium ions in a small confined space may occur slower than that may happen in the bulk of the electrolyte. Therefore, the different dehydration kinetics may be responsible for the differences in the  $K_i(\text{Li}^+)_{\text{meso}}$  and  $K_i(\text{Li}^+)_{\text{dense}}$ . The transfer kinetics of the larger, partially dehydrated  $\text{Li}^+.\text{H}_2\text{O}$  is in the same order of magnitude in both mesoporous and dense films, indicating that these larger ions interact with the surface or close to surface sites of the mesoporous  $\text{WO}_3$  films.

Contrary to the kinetics of transfer, both  $Li^+$  and  $Li^+.H_2O$  have lower transfer resistance, indicating the ease of their transfer at the electrode/electrolyte interfaces when the  $WO_3$  films are mesoporous. A more significant difference is observed for the larger and partially dehydrated  $Li^+.H_2O$  ions, suggesting that increased surface area and pore volume created by mesoporous morphology facilitate the larger charged species transfer.

The relative concentration changes of  $Li^+$  and  $Li^+.H_2O$  cations are also magnified for the mesoporous films. The final concentration variation for  $Li^+$  species is  $\sim 4$  times higher in mesoporous films than that in the dense films. For  $Li^+.H_2O$ , this value is  $\sim 10$  times higher in mesoporous films compared to the dense  $WO_3$ .

This qualitative and quantitative study of ionic and nonionic species contribution in the charge compensation process, together with dynamic information of their interfacial transfer further proves the advantageous nature of nanostructuring of  $WO_3$  films for potential applications. The results of the study indicate that the transfer resistances of the cations are much lower when the  $WO_3$  films are mesoporous. This observation is probably in agreement with the previous reports in the literature indicating a fast switching during the coloration/decouration process of the mesoporous films. Another beneficial aspect of mesoporous  $WO_3$  films is related to the better accommodation of both  $Li^+$  and  $Li^+.H_2O$  cations. Particularly, hydrated lithium species  $Li^+.H_2O$  are transferred rapidly and easily at the mesoporous electrode/electrolyte interfaces, and their concentration variations in the electrode is significantly higher with respect to that occurs in their dense analogues. To the best of our knowledge, an unambiguous identification of species other than  $Li^+$  ions, the information on their transfer dynamics and quantification of the transferred species have never been reported in the literature.

This study particularly focuses on the investigation of amorphous mesoporous and dense  $WO_3$  thin films. The fact that crystalline materials increase the energy barrier for lithium ions, and thus their electrochemical capacity may be inferior than their amorphous analogues has been discussed in the literature.<sup>21,50</sup> The aspect of crystallinity of the films can also be studied when  $WO_3$  thin films thermally treated at different temperatures, thus possessing different degree of crystallinity. However, such an electrogravimetric study requires the utilization of the resonators as substrates that have better thermal resistance than quartz crystals, and will be the subject of a further study.

Overall, these results are exciting and the combination of fast QCM with electrochemical impedance spectroscopy offer a great opportunity for better understanding of the ion intercalation/extraction dynamics in porous materials which is not possible with single EQCM measurements. Therefore, the establishment of the *ac*-electrogravimetry characterization in the

nanostructured, ion-insertion materials is significant for designing optimized materials for the next generation electrochromic and energy storage devices.

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### Supporting Information Available

Electrochemical synthesis of WO<sub>3</sub> thin films and the corresponding QCM profiles, structural characterization via EDX during FEG-SEM analyses, the *ac*-electrogravimetry methodology and the details of the theoretical model considering the transfer of two cations, an anion and free solvent molecules at the electrode/electrolyte interface, and the partial electrogravimetric transfer functions of mesoporous WO<sub>3</sub> thin films.

**Acknowledgments:** Ms. Sandra Casale is acknowledged for the HR-TEM measurements.

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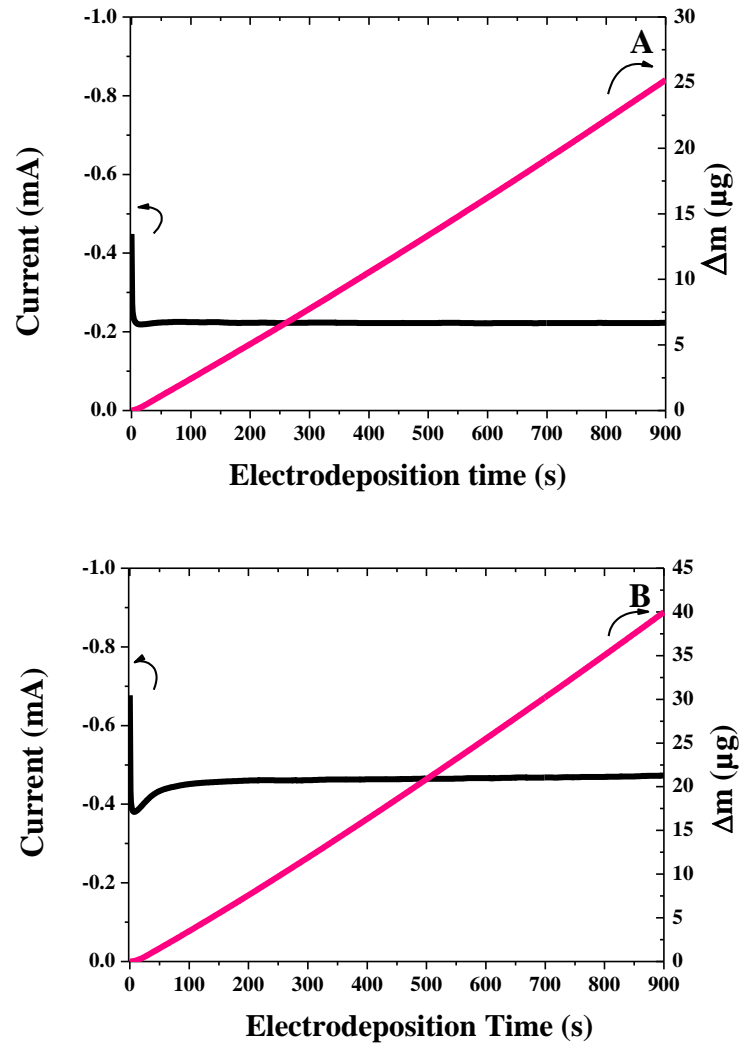
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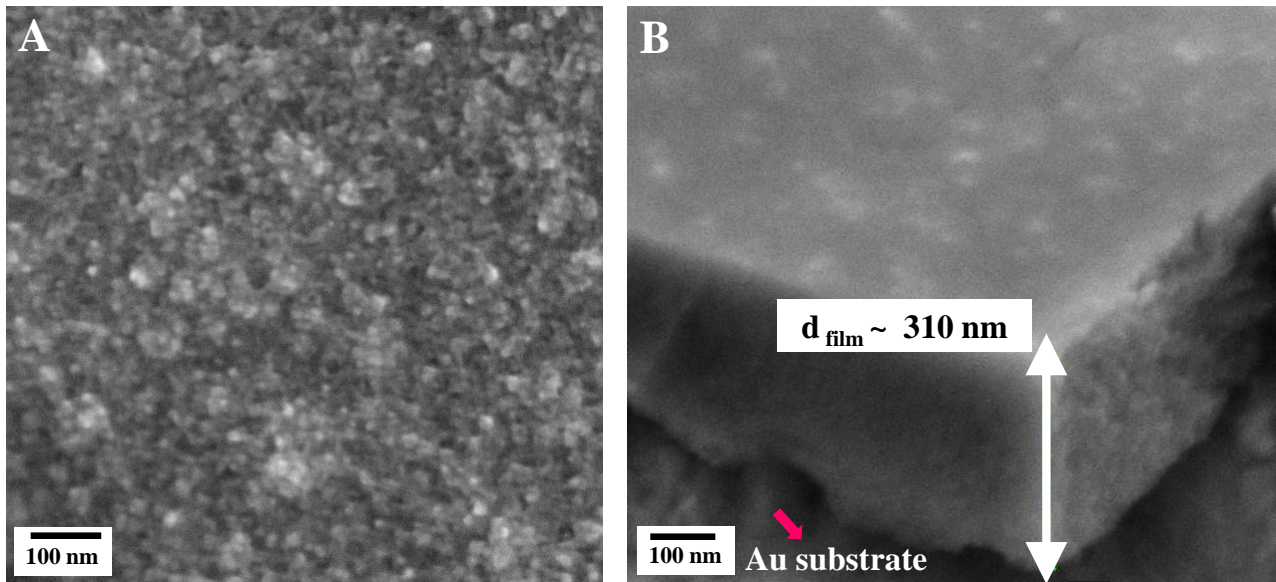
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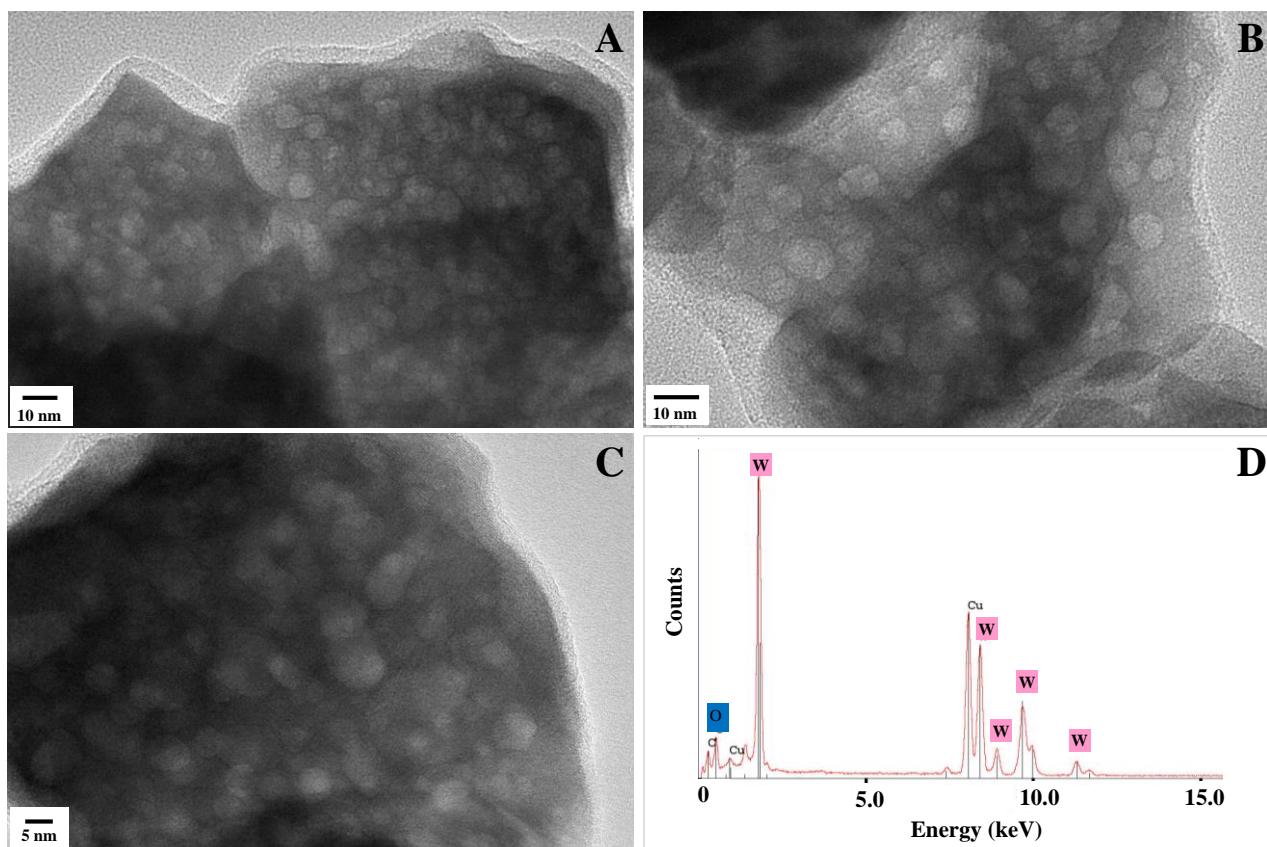
## FIGURES



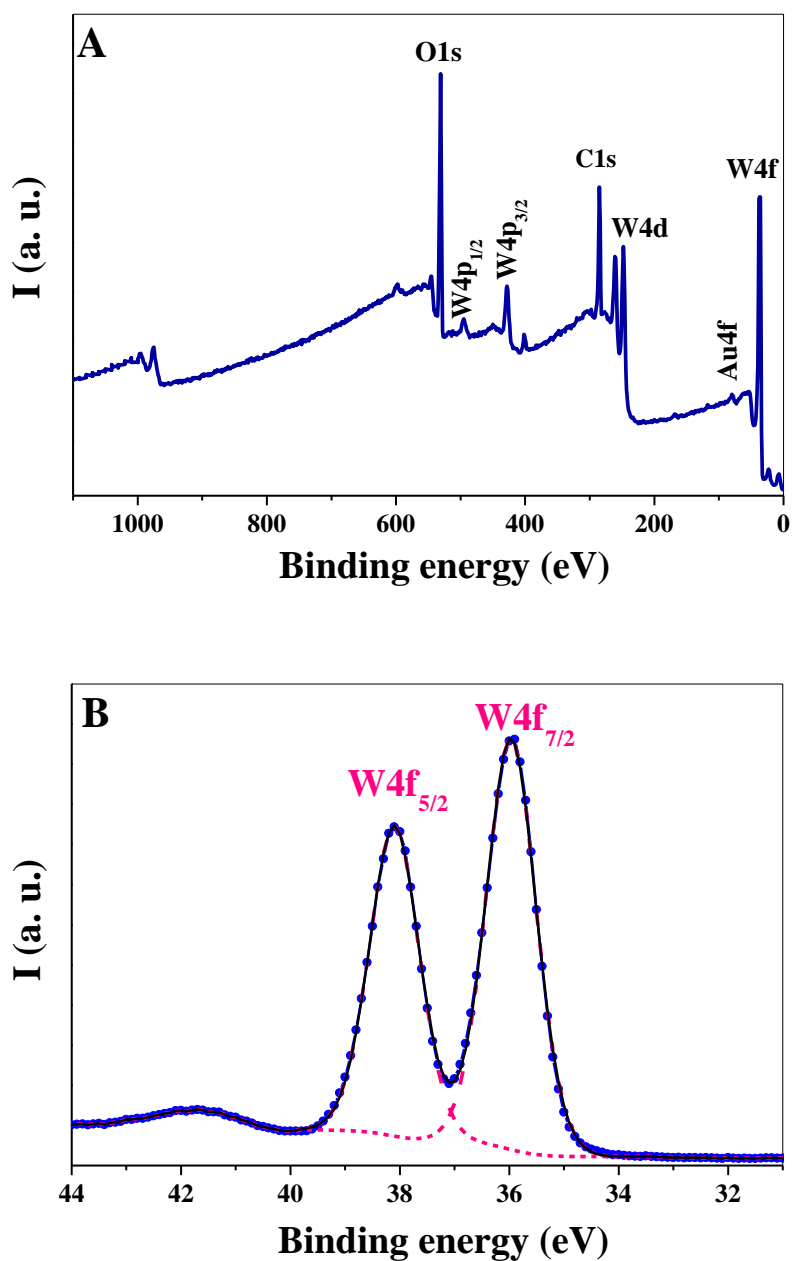
**Fig. 1** Synthesis of  $\text{WO}_3$  thin films: typical electropotentiostatic deposition data and simultaneous QCM characterization (mass variation of the quartz crystal during electrodeposition) of (A) dense and (B) mesoporous  $\text{WO}_3$  thin films (deposited at  $-0.5$  V vs Ag/AgCl during 15 min).



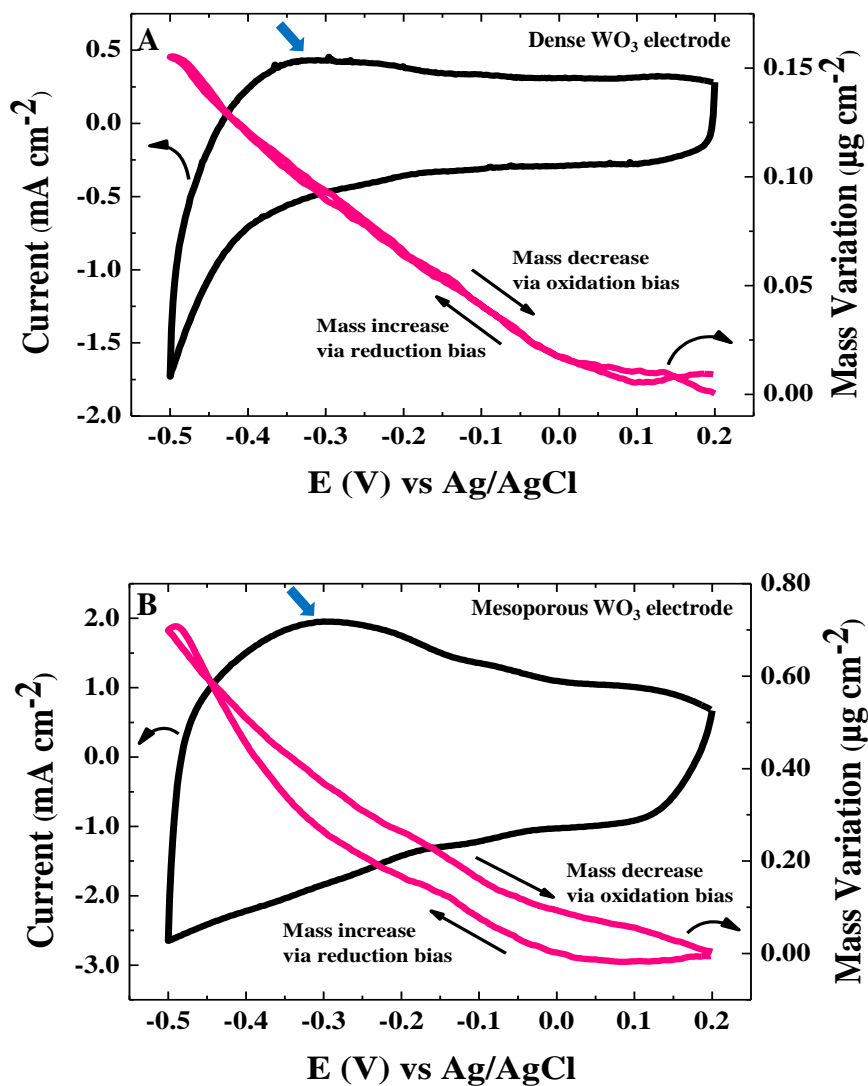
**Fig. 2** FEG-SEM images showing the top view (A) and the cross-section (B) of an as deposited dense  $\text{WO}_3$  thin film (electrodeposited on the Au electrode of a quartz resonator at  $-0.5$  V vs Ag/AgCl during 20 min).



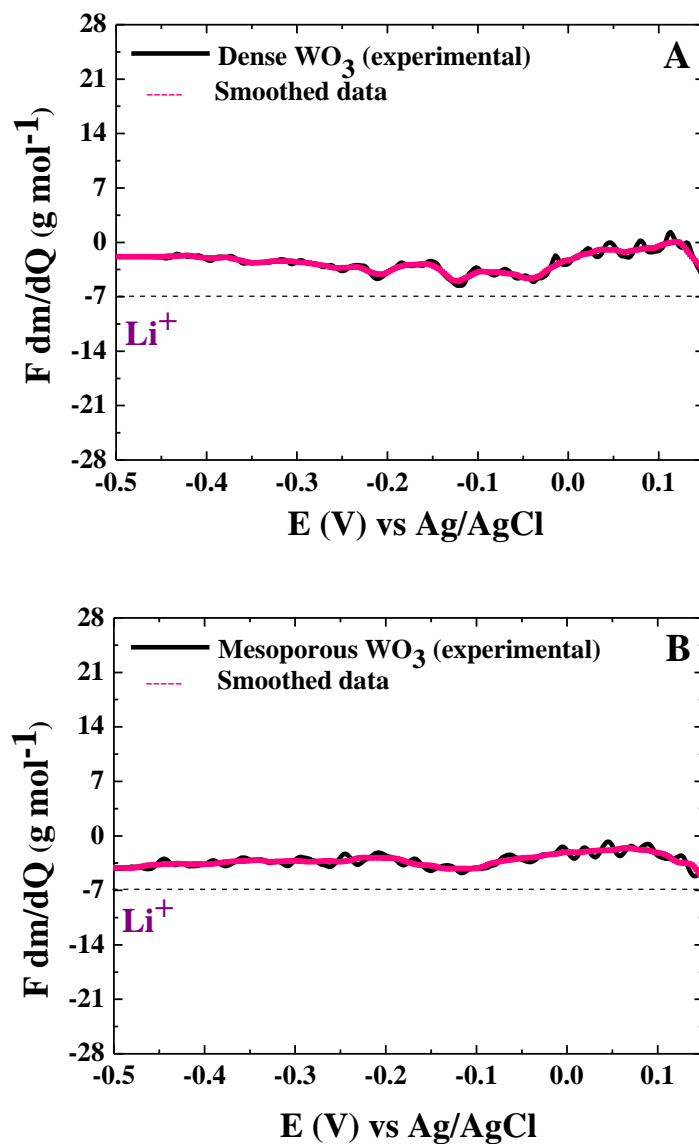
**Fig. 3** HR-TEM images (A, B, C) and the EDX pattern (D) of mesoporous WO<sub>3</sub> thin films deposited by surfactant assisted electrodeposition (using SDS) at  $-0.5$  V vs Ag/AgCl for 30 min, sample is thermally treated at 450°C for 2h.



**Fig. 4** X-ray Photoelectron Spectroscopy (XPS) survey spectrum (A) and the corresponding W 4f core level spectrum (B) of as-prepared dense WO<sub>3</sub> film electro synthesized at  $-0.5$  V vs Ag/AgCl for 20 min.

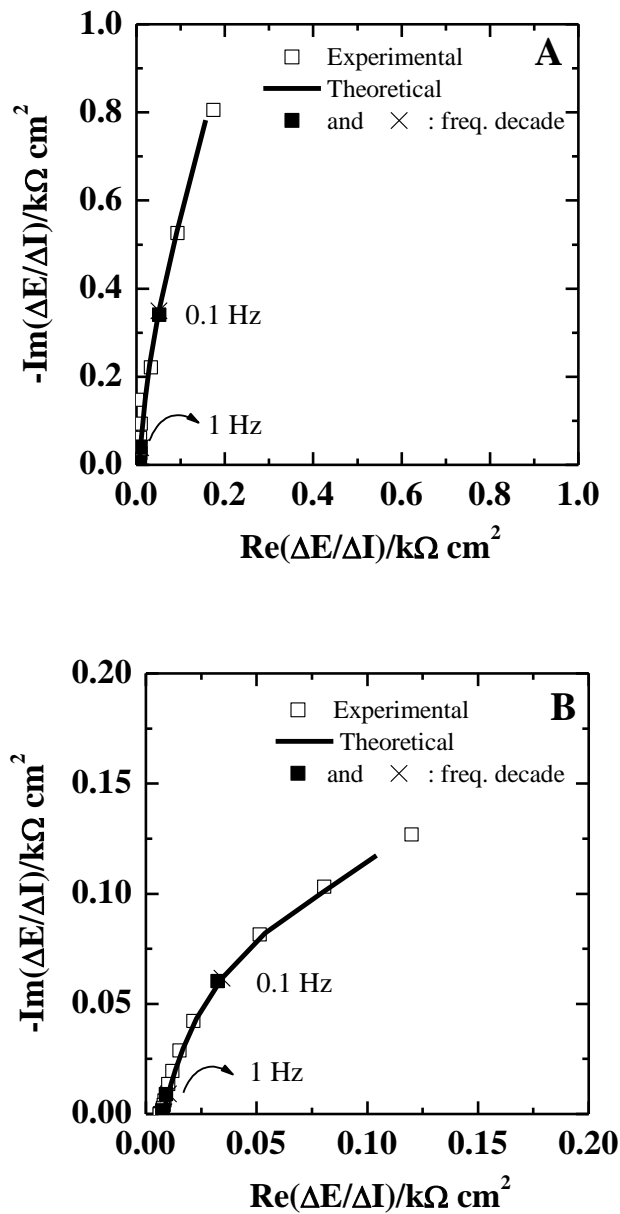


**Fig. 5** Cyclic voltammograms and mass responses obtained simultaneously during the electrochromic response of (A) dense and (B) mesoporous WO<sub>3</sub> thin films deposited on the gold electrode of the quartz resonator. Measurements are in 0.5M aqueous solution of LiClO<sub>4</sub> with a scan rate of 50 mV s<sup>-1</sup>.

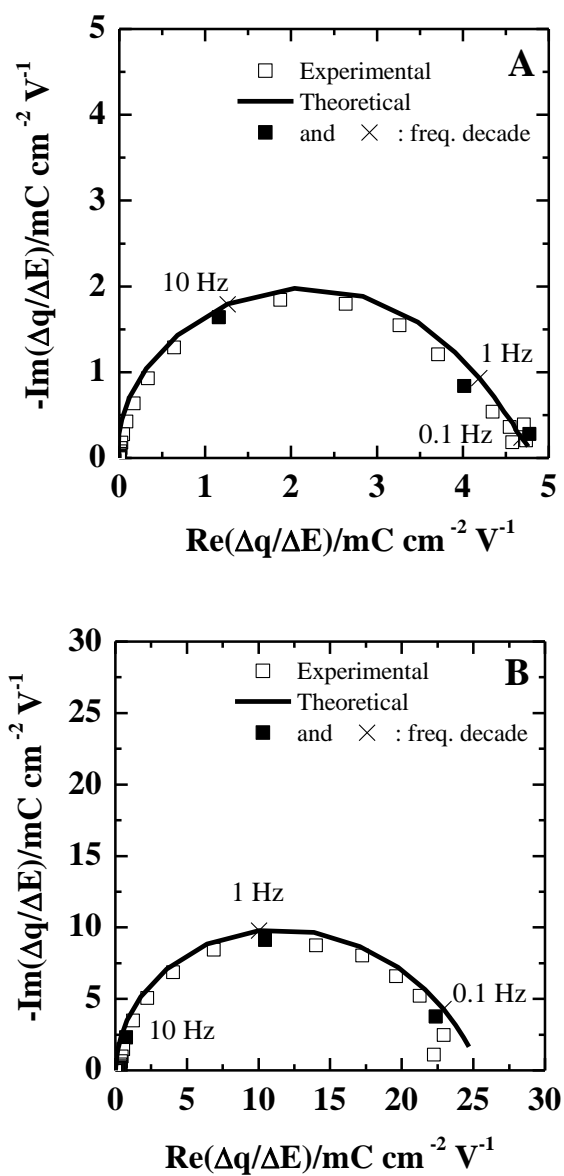


**Fig. 6**  $F \times \frac{\Delta m}{\Delta q}$  values corresponding to the average molecular weight of the species involved in the charge compensation as a function of the potential for a dense (A) and a mesoporous (B)  $\text{WO}_3$  film cycled in 0.5 M aqueous  $\text{LiClO}_4$  solutions (obtained from the reduction branch of the EQCM results at a scan rate of  $50 \text{ mV s}^{-1}$ ).

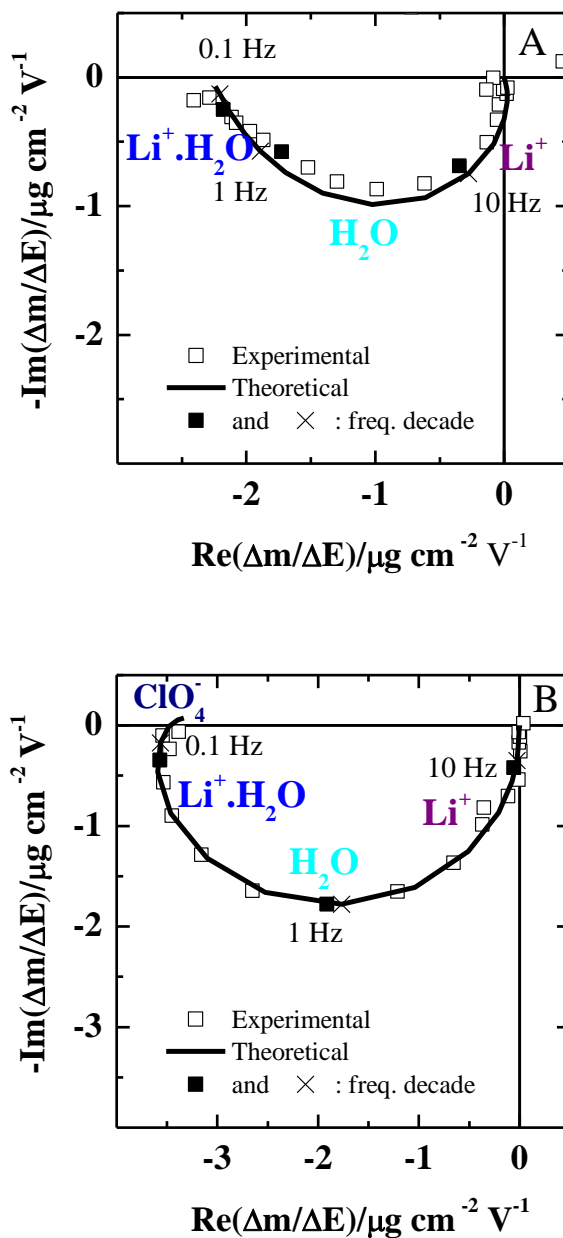




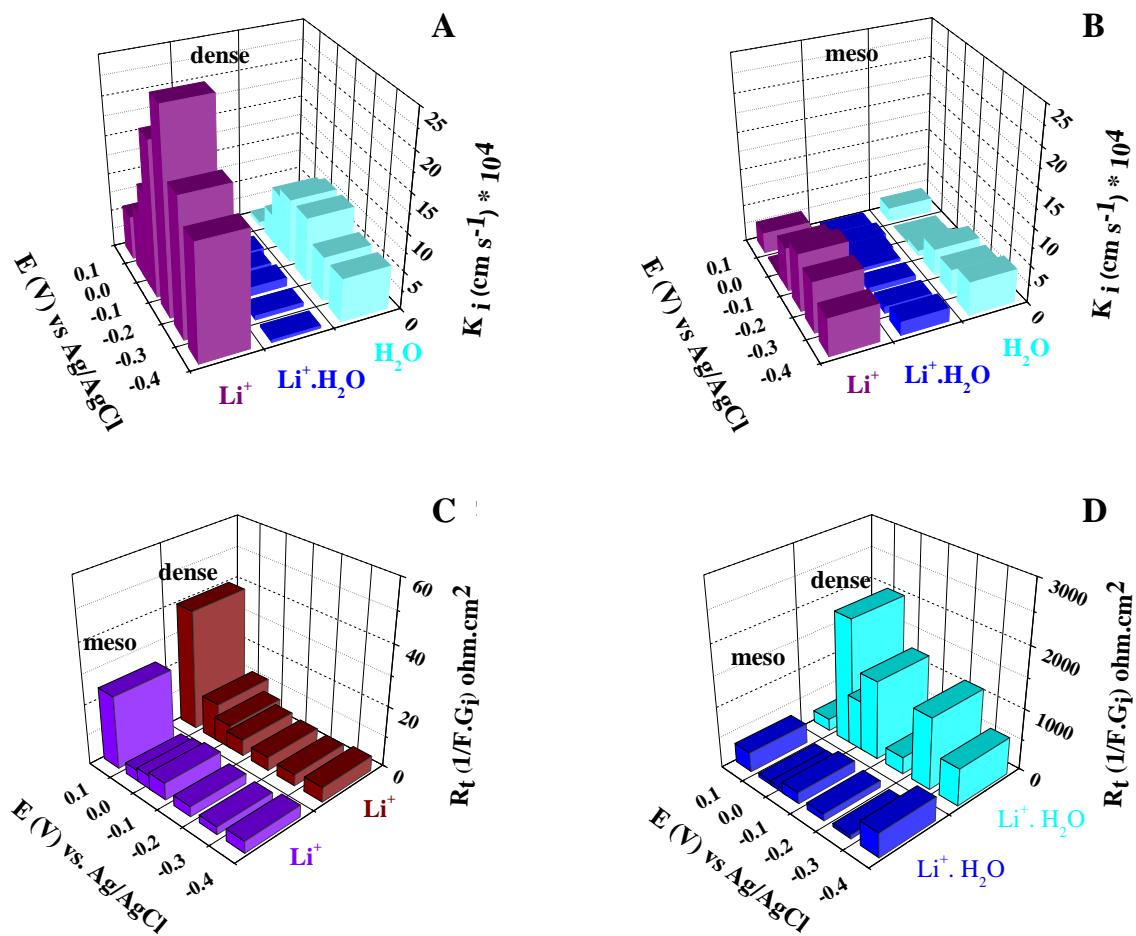
**Fig. 7** The electrochemical impedance,  $\frac{\Delta E}{\Delta I}(\omega)$  for a dense (A) and a mesoporous (B) WO<sub>3</sub> thin film, measured in aqueous 0.5 M LiClO<sub>4</sub> electrolyte at -0.3 V vs Ag/AgCl (both experimental and theoretical curves are given).



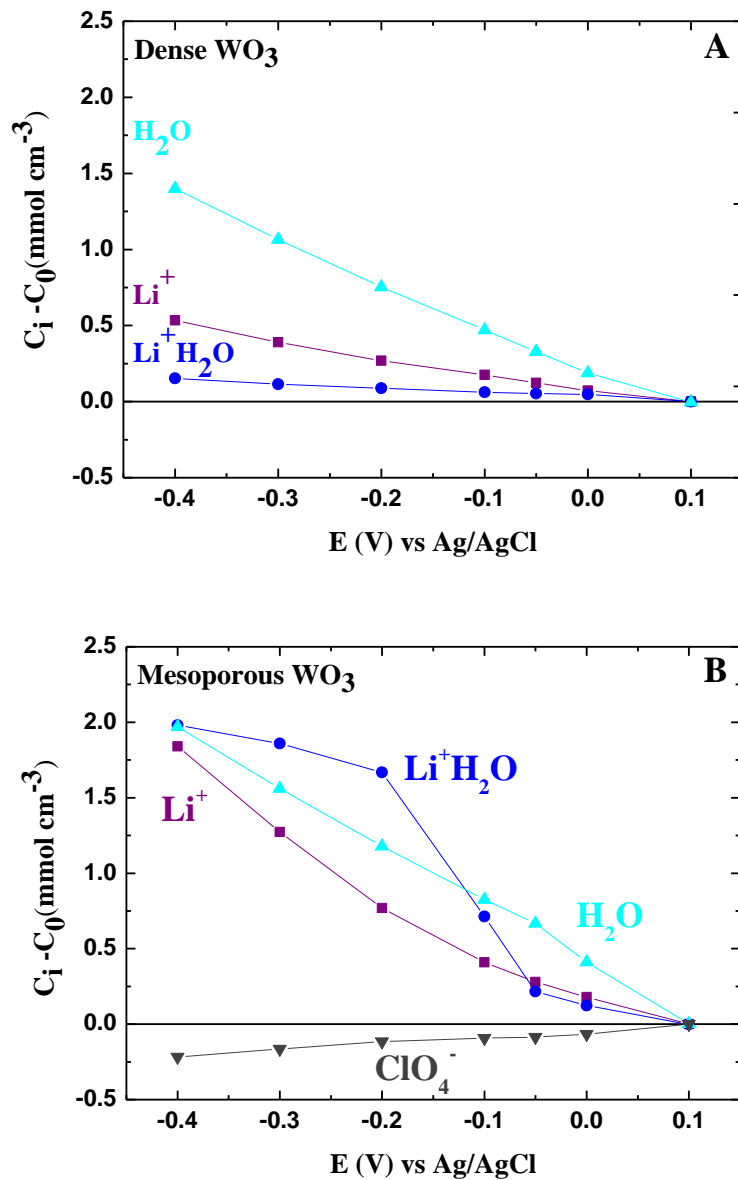
**Fig. 8** Charge/potential transfer functions,  $\frac{\Delta q}{\Delta E}(\omega)$  for a dense (A) and mesoporous (B)  $\text{WO}_3$  thin film measured in aqueous 0.5 M  $\text{LiClO}_4$  electrolyte at -0.3 V vs Ag/AgCl (both experimental and theoretical curves are given).



**Fig. 9** The mass/potential transfer functions,  $\frac{\Delta m}{\Delta E}(\omega)$ , for a dense (A) (involving three species, specifically  $\text{Li}^+$ ,  $\text{Li}^+\cdot\text{H}_2\text{O}$  and  $\text{H}_2\text{O}$ ) and a mesoporous  $\text{WO}_3$  thin films (B) (involving four species, specifically  $\text{Li}^+$ ,  $\text{Li}^+\cdot\text{H}_2\text{O}$ ,  $\text{ClO}_4^-$  and  $\text{H}_2\text{O}$ ), respectively. The measurements are performed in aqueous 0.5 M  $\text{LiClO}_4$  electrolyte at -0.3 V vs Ag/AgCl (both experimental and theoretical curves are given).



**Fig. 10** Evolution of kinetic parameters,  $K_i$ , for a dense (A) and mesoporous (B)  $\text{WO}_3$  thin films, and the comparative curve of the transfer resistance values ( $R_{t_i} = 1/FG_i$  ( $\Omega \text{ cm}^2$ )) for cationic species in dense and mesoporous  $\text{WO}_3$  thin films,  $\text{Li}^+$  ions (C), and  $\text{Li}^+\cdot\text{H}_2\text{O}$  ions (D), presented as a function of applied potential.



**Fig. 11** Evolution of the relative concentration,  $C_i - C_0$ , of each species over the potential applied, for a dense (A) and a mesoporous (B)  $\text{WO}_3$  thin film, measured in 0.5 M  $\text{LiClO}_4$  aqueous electrolyte.

## TABLES

**Table I.** Estimated values for  $K_i$  (kinetics of transfer),  $G_i$  (the inverse of the transfer resistance),  $R_{ti}$  (transfer resistance) and  $-G_i/K_i$  (the quantity transferred per potential unit) parameters extracted from the fitting results of *ac*-electrogravimetry measurements in aqueous 0.5M LiClO<sub>4</sub> at -0.3 V vs. Ag/AgCl for dense and mesoporous WO<sub>3</sub> films.

	$M_i$ (g mol <sup>-1</sup> )	Species Identification	$K_i \times 10^{+5}$ (s cm <sup>-1</sup> )	$G_i \times 10^{+6}$ (mol s <sup>-1</sup> cm <sup>-2</sup> V <sup>-1</sup> )	$Rt_i = \frac{1}{FG_i}$ (Ω cm <sup>2</sup> )	$\frac{\Delta C_i}{\Delta E}(\omega) = -\frac{G_i}{K_i}$ (mol cm <sup>-3</sup> V <sup>-1</sup> )
<b>Dense WO<sub>3</sub></b>						
$c_2$ (cation 1)	7+18	$Li^+ \cdot H_2O$	$5.2 \pm 0.5$	$0.01 \pm 0.001$	1175.6	$1.1 \times 10^{-4}$
$s$ (solvent)	18	$H_2O$	$57.8 \pm 6$	$1.96 \pm 0.2$	5.3	$10.7 \times 10^{-4}$
$c_1$ (cation 1)	7	$Li^+$	$179.1 \pm 18$	$2.69 \pm 0.3$	3.9	$3.9 \times 10^{-4}$
<b>Mesoporous WO<sub>3</sub></b>						
$c_2$ (cation 2)	7+18	$Li^+ \cdot H_2O$	$8.0 \pm 0.8$	$0.18 \pm 0.02$	57.5	$18.6 \times 10^{-4}$
$s$ (solvent)	18	$H_2O$	$33.0 \pm 3.3$	$1.32 \pm 0.1$	7.9	$15.6 \times 10^{-4}$
$c_1$ (cation 1)	7	$Li^+$	$67.4 \pm 6.7$	$4.10 \pm 0.4$	2.5	$12.7 \times 10^{-4}$
$a$ (anion)	96	$ClO_4^-$	$2.8 \pm 0.3$	$-0.02 \pm 0.002$	592.2	$-1.6 \times 10^{-4}$

