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## Static and Dynamic Electronic Characterization of Organic Monolayers Grafted on a Silicon Surface

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Organic layers chemically grafted on silicon offer excellent interfaces that may open the way for new organic-inorganic hybrid nanoelectronic devices. However, technological achievements rely on precise electronic characterization of such organic layers. We have prepared ordered grafted organic monolayers (GOMs) on Si(111), sometimes termed self-assembled monolayers (SAMs), by hydrosilylation reaction with either a 7-carbon or an 11-carbon alkyl chain, with further modification to obtain amine-terminated surfaces. X-ray photoelectron spectroscopy (XPS) is used to determine the band bending ( $\sim 0.3$  eV), and ultraviolet photoelectron spectroscopy (UPS) to measure the work function ( $\sim 3.4$  eV) and the HOMO edge. Scanning tunneling microscopy (STM) confirms that the GOM surface is clean and smooth. Finally, conductive AFM is used to measure electron transport through the monolayer and to identify the transition between the tunneling and the field emission regimes. These organic monolayers offer a promising alternative to silicon dioxide thin films for fabricating metal-insulator-semiconductor (MIS) junctions. We show that gold nanoparticles can be covalently attached to mimic metallic nano-electrodes and that the electrical quality of the GOM is completely preserved in the process.

### Introduction

Molecular electronics offers new strategies for downscaling integrated circuit devices.<sup>1</sup> On the one hand, single-molecule experiments strive to investigate the fundamental phenomena when electrons are driven through a single molecule.<sup>2-5</sup> On the other hand, a layer of organic molecules can provide a unique connection to the existing silicon-based electronics, and be used to perform specific functions such as diodes, resonant tunnel diodes, memories or transistors. Unfortunately, the

interface of silicon (Si) is often affected by oxidation and it is extremely challenging to prepare a silicon-molecule interface with tailored and defect-free electronic characteristics.<sup>6-9</sup> Even more challenging is to fabricate metal-insulator-semiconductor (MIS) junctions with an organic monolayer as the insulating layer.<sup>10, 11</sup> In the past fifteen years, several chemical methods have been proposed for functionalizing silicon surfaces with highly ordered organic monolayers. Hydrosilylation involves the reaction of oxide-free, H-terminated Si surfaces with alkene functionality. This enables the grafting of alkyl chains molecules to form a stable Si-C bond using several different activation processes: thermal, catalytic, photochemical or radicals.<sup>12-16</sup> The mechanisms at play for this reaction are now well established,<sup>17-20</sup> and the properties offered by such Grafted Organic Monolayers (GOMs) are harvested for a number of applications. An alternative method, based on supercritical carbon dioxide, has also been used to prepare organic layers on oxide-free Si.<sup>21, 22</sup> A few devices have already been proposed, based on GOM/Si heterostructures, such as organic memories,<sup>23</sup> ideal Schottky diodes,<sup>9</sup> organic photovoltaic solar cells,<sup>24</sup> biological sensors,<sup>25, 26</sup> and double-tunnel junctions for Coulomb blockade.<sup>27</sup>

GOMs are highly ordered organic layers, in which structural homogeneity leads to spatially homogeneous electronic properties and well-defined band structures. For these

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Electronic Supplementary Information (ESI) available: chemical preparation of the GOM and of the gold nanoparticles, other STM and c-AFM images, calculation of the surface sensitivity of XPS, XPS Au 4f core-level spectra, additional UPS spectra, useful parameters for band bending calculation. See DOI: 10.1039/x0xx00000x

sensitive applications, these ultrathin molecular layers have to meet several requirements: (1) *chemical*: to protect the silicon substrate from oxidation and minimize the formation of interface states especially during post-processing steps, (2) *dielectric*: to function well as rectifier, Schottky barrier, tunnel junction, and (3) *linker*: to connect another electrode (thin film or nanoscale electrode). In this context, it is crucial to precisely evaluate the work function, amount of surface defects, band bending throughout the processing steps and ensure they meet the expected electronic requirements.

Different molecules have been investigated in the last ten years, and the band diagram of the corresponding monolayers was established with X-ray photoemission spectroscopy (XPS), ultraviolet photoemission spectroscopy (UPS),<sup>28-33</sup> and Kelvin probe force microscopy (KPFM)<sup>34, 35</sup>.

In the present work we focus on two types of GOMs with different thicknesses that can each act as a tunnel junction. We also chemically post-modify the layer with an amine moiety, making it possible to attach gold nanoparticles, i.e. nanoscale electrodes, acting as a non-planar MIS junction. We have already shown that this structure exhibits Coulomb blockade under the tip of a scanning tunneling microscope (STM).<sup>27, 36</sup> In the present study, we monitor the dependence of the main electric parameters of the interface (band bending, work function, LUMO edge) on each processing step of their chemical preparation. We also measure and describe the transport regime through these tunnel junctions by using conductive atomic force microscopy (c-AFM).

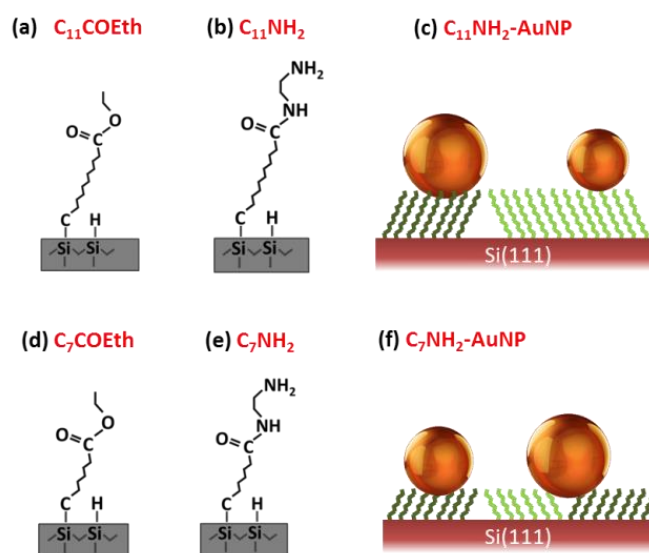
## Experimental section

### Material and methods

The samples are obtained from Si(111) monocrystals, doped n+ with a resistivity of 0.01 Ohm.cm (dopant concentration of  $2 \times 10^{18} \text{ cm}^{-3}$ ) and with a miscut of  $0.5^\circ$  in the  $\langle \bar{1}\bar{1}2 \rangle$  direction. They are cut into  $10 \times 8 \text{ mm}^2$  pieces and prepared by wet chemical methods in a  $\text{N}_2$ -purged glovebox (amount of water and oxygen below 1 ppm) following a well-established protocol<sup>12-16, 20</sup> described in our previous studies.<sup>27, 36, 37</sup> (see also ESI for additional details) Briefly, the Si substrates are cleaned with a Piranha solution, then in an  $\text{NH}_4\text{F}$  solution to produce atomically flat, H-terminated Si(111) surfaces.<sup>38</sup> Next, two different alkene molecules (with different alkyl chain lengths) are used to organic monolayers (GOM) by hydrosilylation, denoted  $\text{SiC}_{11}\text{-COEt}$  and  $\text{SiC}_7\text{-COEt}$  (**Figure 1-a** and **Figure 1-d**). The long GOM, ethyl-undecylenate, is reacted with H-Si(111) by thermal hydrosilylation at  $200^\circ\text{C}$ <sup>37</sup> while the short GOM, ethyl-heptenoate, is grafted by UV activation.<sup>27</sup> These two surfaces are terminated with an ester and can be modified following a two-step procedure. In the first step the ester is deprotected into a carboxylic moiety; in the second step, this termination is reacted with N-hydroxysuccinimyl (NHS) to obtain an amine. These two surfaces are depicted in **Figure 1-b** and **Figure 1-e** and termed  $\text{SiC}_{11}\text{-NH}_2$  and  $\text{SiC}_7\text{-NH}_2$ . The amine group is essential to attach gold nanoparticles through direct amine-gold bonding. The fully reacted surfaces, with Au nanoparticles, remain stable

enough in an Ar-filled plastic container for shipping from Texas to France (for XPS, STM and AFM characterization) and to California (for conductive AFM measurements).

Gold nanoparticles (AuNPs) are prepared as a colloidal solution from reduction of  $\text{HAuCl}_4$  by ascorbic acid<sup>27, 36, 39, 40</sup> (details in the ESI). The amine terminated surfaces are dipped into the colloidal solution for about 10 min after the solution was acidified at  $\text{pH} \sim 5$ . The amine is protonated, which enables the attachment of AuNPs. The surface density is measured with AFM and found to be  $7 \times 10^9 \text{ AuNP/cm}^2$ <sup>27, 36</sup>. TEM measurements have shown that the AuNPs are spherical and with an average diameter of 8.2 nm and a size dispersion of 4.0 nm, which allows Coulomb blockade experiments.<sup>27, 36</sup> We estimate that 0.3% of the surface is covered with gold (average distance between AuNPs is 120 nm). The two AuNP-functionalized surfaces depicted in **Figure 1-c** and **Figure 1-f** are labeled  $\text{C}_{11}\text{NH}_2\text{-AuNP}$  and  $\text{C}_7\text{NH}_2\text{-AuNP}$ .



**Figure 1.** Sketch of the six samples used in the present study. The molecules are closely packed and protect the Si(111) substrate against oxidation. Two alkyl chains were grafted (C7 and C11) and two terminations were prepared. Gold nanoparticles were deposited on the amine terminated surfaces.

### Instrumentation

STM was used to check the quality of the GOM, performed in an UHV chamber (base pressure  $3 \times 10^{-11}$  mbar) after the surface was annealed in vacuum at  $150^\circ\text{C}$  for 30 min. This procedure removes the physisorbed molecules (mostly water) and stabilizes the STM imaging conditions. Importantly it does not alter the organic layer as revealed by infrared absorption measurements<sup>27, 37</sup>.

X-Ray Photoelectron Spectroscopy (XPS) was carried in UHV conditions and the core-level spectra were measured with a non-monochromatized Al  $\text{K}\alpha$  source ( $h\nu = 1486.61 \text{ eV}$  for the main Al  $\text{K}\alpha_{1,2}$  line). The takeoff angle of the photoelectrons was normal to the surface. The overall energy resolution was 0.85 eV (source and analyzer). For UV photoemission spectroscopy (UPS) measurements, the UV source was the He I line ( $h\nu = 21.21 \text{ eV}$ ) and a spectral resolution of 50 meV was achieved. Binding energies (BE) and kinetic energies (KE) are

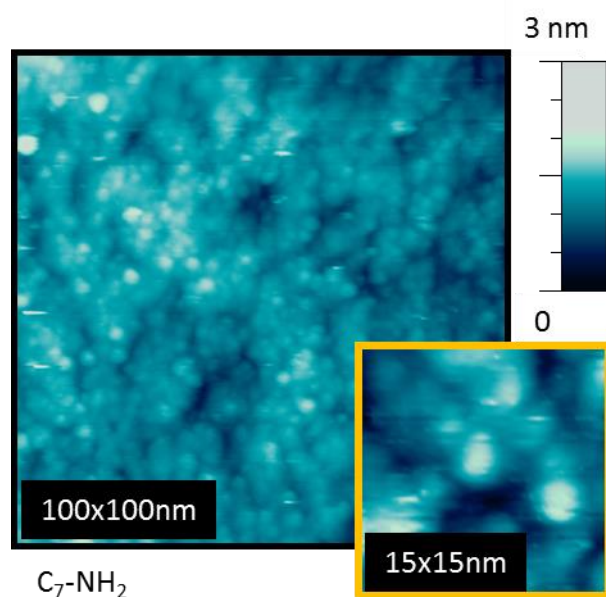
referenced with respect to the Fermi level. The Fermi edge is determined via UV photoemission spectroscopy of a freshly sputtered (unannealed) polycrystalline gold foil. Work functions are determined by measuring the cutoff of the secondary electron edge (see below).

Conductive AFM measurements were performed in an UHV chamber (base pressure  $5 \times 10^{-9}$  torr) to measure the transport properties of the GOMs. The AFM measurements were carried out with a Cr/Pt tip on samples previously annealed for 30 min at  $120^\circ\text{C}$  in vacuum. Finally, AFM was also used in contact mode to record the topography of the samples and to record I-V data on selected points.

## Results

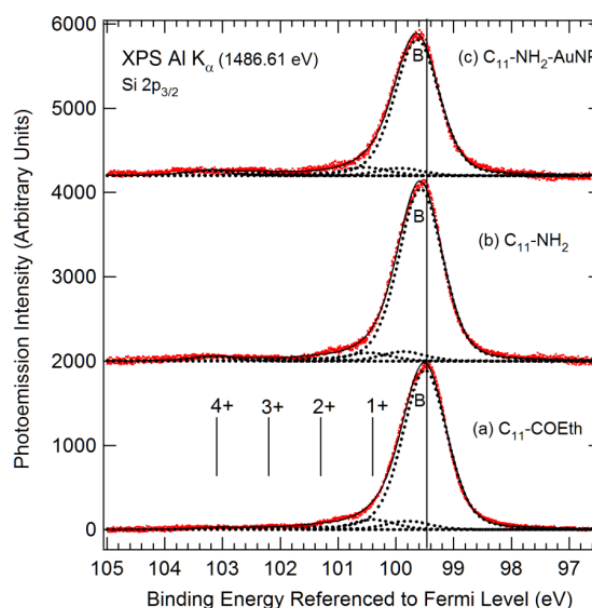
### Morphology of the GOM surface (STM)

**Figure 2** shows STM images of the  $\text{C}_7\text{-NH}_2$  surface. This  $100 \times 100 \text{ nm}^2$  image remains stable, as expected for a compact and homogeneous GOM monolayer. The surface exhibits circular spots (inset of **Figure 2**) of typically 4 nm diameter due to small domains of molecules in which the  $\text{C}_7$  alkyl chains are tilted in the same direction with respect to the surface, as schematically shown in **Figure 1 c** and **f**.



**Figure 2.** STM image ( $100 \times 100 \text{ nm}$ ) of the  $\text{C}_7\text{-NH}_2$  molecular layer grafted on Si(111) recorded at room temperature under a sample bias of  $-2 \text{ V}$ . The surface was annealed at  $150^\circ\text{C}$  for 30 min before scanning. Molecules are organized in bundles of  $\sim 4 \text{ nm}$  diameter (inset).

GOMs with long chain molecules,  $\text{C}_{11}\text{-NH}_2$  also yield stable STM images similar to those in **Figure 2** (see ESI), consistent with good molecular organization. The thickness of the amine-terminated monolayers, measured by ellipsometry, is 1.3 nm for  $\text{C}_7\text{-NH}_2$  and 1.6 nm for  $\text{C}_{11}\text{-NH}_2$ .<sup>27, 37</sup>



**Figure 3.** Si  $2p_{3/2}$  core-level peaks from XPS experiments (red dots) of the (a)  $\text{C}_{11}\text{-COEt}$ , (b)  $\text{C}_{11}\text{-NH}_2$  and (c)  $\text{C}_{11}\text{-NH}_2\text{AuNP}$  grafted organic monolayers. Fits are made with sums of pseudo-Voigt curves (see text) and several contributions are highlighted. The main peak from the bulk is denoted B. The four oxidation states of silicon are indicated from 1+ to 4+. The position of peak B is used for measuring the surface band bending in our samples using the fact that the energy distance between the valence band maximum and the Si  $2p_{3/2}$  binding energy is  $98.74 \text{ eV}$ .<sup>41</sup>

### Estimation of the surface oxidation (XPS)

The XPS chemical analysis of  $\text{C}_7\text{-NH}_2$  and  $\text{C}_{11}\text{-NH}_2$  surfaces was essential for confirming the bonding of the organic layer as depicted in **Figure 1** and published earlier.<sup>27, 36</sup> We focus now on analyzing the traces of oxide at the interface since they are responsible of most of the electronic surface defects. The Si  $2p$  core-levels spectra for three  $\text{C}_{11}$  GOMs are presented in **Figure 3**. In the present experimental conditions, photoelectrons probe *ca.* 3 nm below the surface (detail of the calculation given in the ESI). Deconvolution of the main spectral feature therefore includes the bulk contribution denoted B, and a weaker surface components representing Si-C with  $+0.3 \text{ eV}$  shifts from the bulk peak accounting for Si-C bonds formed as the GOMs are grafted via the alkene head.<sup>42</sup> The Si-H component, expected at  $+0.14 \text{ eV}$  from B is incorporated in the main peak.<sup>43</sup> Four Si oxidation states ( $+0.9 \text{ eV}$  per O ligand) need to be considered as the samples were shortly exposed to air.<sup>41</sup> The intensity of these oxidation states is used to measure the impact of the successive treatments on the silicon wafer. For the  $\text{C}_{11}\text{-COEt}$  sample, the bulk line is at  $99.50 \text{ eV}$  and the sum of the four oxidation states  $\Sigma_{\text{ox}}$  corresponds to 9% of the spectral weight. It can be roughly interpreted as 9% of the silicon atoms being in an oxidized state. The 4<sup>th</sup> oxidation state 4+ ( $\text{SiO}_2$ ) has a weight of only 1%. For the  $\text{C}_{11}\text{-CNH}_2$  sample, the bulk line is at  $99.57 \text{ eV}$ , and  $\Sigma_{\text{ox}}=8\%$ , similar to the  $\text{C}_{11}\text{-COEt}$  case, but the 4<sup>th</sup> oxidation state is now more visible (2%). Finally, after attaching the Au NPs ( $\text{C}_{11}\text{-CNH}_2\text{-AuNP}$ ), the bulk line is at  $99.63 \text{ eV}$  and  $\Sigma_{\text{ox}}$  is equal to 11% (the 4<sup>th</sup> state weighs now 3%). While oxidation progresses slightly with each subsequent treatment, the attack is believed to be local (closer to “pitting”, occurring at ill-

protected spots) rather than homogeneous, and remains at a acceptably low level, even after overseas shipping. The Si  $2p_{3/2}$  positions for the two types of GOMs are collected in Table 1. The Si  $2p_{3/2}$  values found for the  $C_7$  GOMs are very close to that found for the  $C_{11}$  one.

### Surface band bending measurements (XPS)

Band bending is observed because electrically active defects are present at the surface.<sup>44</sup> Indeed, if the functionalization process could saturate every dangling bond, the surface would be electrically neutral, and the semiconductor would be in flat-band conditions. The presence of defects leads to the localization of charges at the surface (negative for n-type silicon or positive for p-type), and the formation of a space charge of opposite sign in Si. An electric field appears and the bands bend upward (n-type) or downward (p-type). The absolute position of the Si  $2p_{3/2}$  (peak B) is used to determine the surface band bending  $qV_{bb}$ , which write:  $qV_{bb} = (E_F - E_{vbm})_B - (E_F - E_{vbm})_S$  (1) The index  $B$  and  $S$  designates the bulk and surface, respectively,  $E_F$  is the Fermi level and  $E_{vbm}$  the silicon valence band maximum (see **Scheme 1**).  $(E_F - E_{vbm})_B$  is calculated from the dopant concentration  $N_D$  and in the present case  $(E_F - E_{vbm})_B = 1.05$  eV. At the surface  $(E_F - E_{vbm})_S$  is determined by the measurement of the BE of Si  $2p_{3/2}$  core level,  $BE(\text{Si } 2p_{3/2})^{\text{meas}}$  and the knowledge of the energy difference  $(E_{vbm} - BE(\text{Si } 2p_{3/2}))$ , which is constant and equal to 98.74 eV.<sup>41</sup> Then  $qV_{bb}$  writes as:

$$qV_{bb} = (E_F - E_{vbm})_B - [BE(\text{Si } 2p_{3/2})^{\text{meas}} - (E_{vbm} - BE(\text{Si } 2p_{3/2}))] \quad (2)$$

Finally one gets:

$$qV_{bb} = 99.79 \text{ eV} - BE(\text{Si } 2p_{3/2})^{\text{meas}} \quad (3)$$

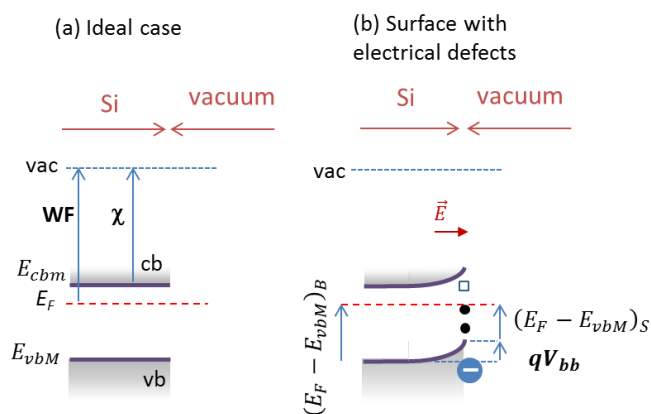
For example, for the  $C_{11}$ -COEth sample, the Si  $2p_{3/2}$  BE is measured at 99.49 eV and the Si bands bent upwards by an amount  $qV_{bb} = +0.30$  eV. Once the band bending is known, the surface charge density  $\sigma$  can be derived following the method shown in Sze:<sup>45</sup>  $\sigma = \sqrt{2\epsilon_0\epsilon_{Si}qV_{bb}N_D}$ , where  $\epsilon_{Si}$  is the relative permittivity of Si,  $qV_{bb}$  is the band bending energy (in J) and  $N_D$  is the donor concentration in the semiconductor. Knowing that the Si atom surface density is  $7.85 \times 10^{14}$  atom/cm<sup>2</sup>,<sup>45</sup> we can express  $\sigma$  in term of number of unit charges per Si surface atom  $n^S$ .

respectively) are flat near the surface.  $WF$  is the work function ( $WF = 4.12$  eV in our case),  $\chi$  is the electronic affinity of the surface and the vacuum level is denoted *vac*. In the presence of electrically active surface states ( $b$ ), the defects below the Fermi-level  $E_F$  are charged and the interface acquires a net negative charge. This charge transfer is compensated by an electric field. The surface band bending can be measured by comparing the measured value of  $E_F - E_{vbm}$  to the bulk value.

The  $qV_{bb}$  and  $n^S$  values obtained for our different samples are collected in **Table 1**. All band bending values are circa +0.3 eV and for all terminations,  $n^S$  is in the range  $\sim 3 \times 10^{-3}$  unit charges per Si atom, which corresponds to an amount of unit charge per surface area of  $2.4 \times 10^{12} e^-/\text{cm}^2$ . For comparison, transport measurements were carried out with the mercury probe method on a similar Si/GOM interface prepared in our group with the same method. An interface state density as low as  $1.6 \times 10^{11} \text{ V}^{-1}/\text{cm}^2$  was obtained, which corresponds to an interface density of  $\sim 1 \times 10^{11} e^-/\text{cm}^2$  at an applied bias of 0.5V.<sup>46,47</sup> We observed 25 times more interface states in our case, possibly due to extra handling needed for these measurements. To obtain a flat band situation, the areal density of defects should be at least one order of magnitude below this value.<sup>48,49</sup> The electrical defect is likely a triply coordinated silicon  $P_b$ -like defect,<sup>50,51</sup> with a double occupancy ( $\text{Si}^{\cdot}$ ) given the present high n-doping. As the  $\sigma$  Si-C bond does not introduce (bonding and antibonding) states in the gap, the origin of electrical defects is very likely related to the unintentional oxidation of the surface, which is hard to fully avoid during air exposure during the required transfer steps. We see no difference in  $qV_{BB}$  between the  $C_7$ -COEth and the  $C_{11}$ -COEth, which confirms that the two molecular layers have very similar structures despite the slightly different hydrosilylation processes (UV or thermal activation). Rather we observe a slight decrease in  $qV_{BB}$  (towards a flat band configuration) after  $\text{NH}_2$  termination. Interestingly, gold nanoparticle deposition does not create new defects.

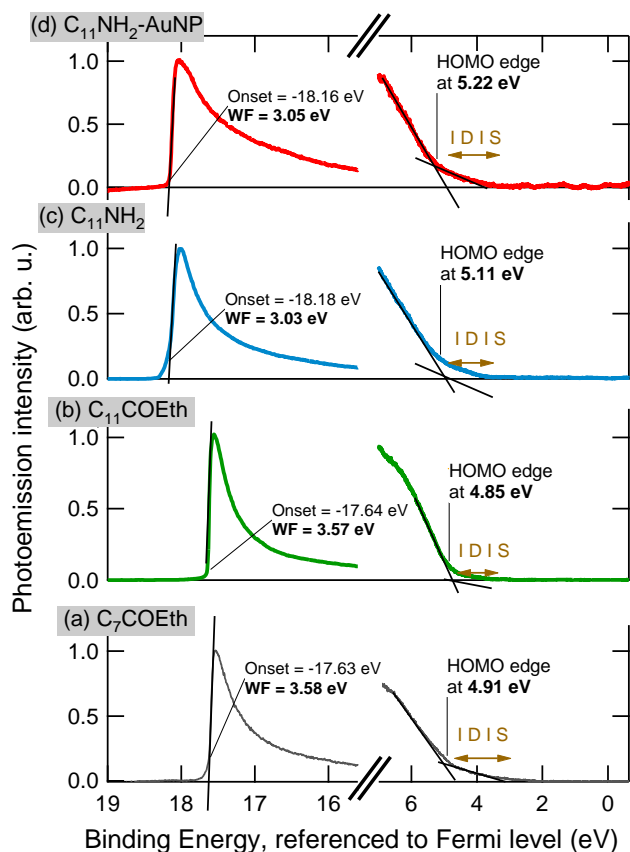
### GOM electronic structure (UPS)

The UV photoelectron spectra of  $C_{11}$ -COEth and  $C_7$ -COEth GOMs are presented in **Figure 4**. Note that the He I emission is composed of a main line  $I\alpha$  at 21.21 eV and of a satellite  $I\beta$ , shifted to higher energy by 1.854 eV and representing 3% of the  $I\alpha$  intensity; therefore, the corresponding photoemission contribution is subtracted from the raw data for all the spectra in **Figure 4**. Because the C 2p/Si 3p cross-section ratio is  $\sim 18.5$  at  $h\nu = 21.21$  eV,<sup>52</sup> the UPS spectra are dominated by the contribution of the organic layer. The Highest Occupied Molecular Orbital (HOMO) of the organic layer appears in the steep decrease of the UPS signal on the right side of the spectra in **Figure 4**. It is accompanied by a *tail* on its low binding energy side, which has previously been assigned to filled induced density of interface states (IDIS).<sup>29</sup> Typically, the tail extends up to 3.6-3.2 eV in the GOM gap. Nevertheless, the overall barrier height for electrons between the Si conduction band and the alkyl electronic levels is determined by the edges of the LUMO levels because these states extend throughout the alkyl chain, unlike the *tails*.<sup>29</sup> As shown in **Figure 4**, we determine the HOMO edges graphically at the intersection of



**Scheme 1.** Band diagram of an n-doped silicon surface. In the ideal case (a), when there are no interface states the conduction and valence bands (cb and vb

two lines, one for the HOMO edge and one for the gap state tail. The HOMO edge is  $\sim 4.9$  eV below the Fermi level for  $C_{11}$ -COEth and for  $C_7$ -COEth (see also Table 1 for more data). This value is consistent with data obtained by Salomon on a very similar Si- $C_{12}H_{25}$  GOM on n-Si(111).<sup>29, 53</sup> For the Si- $C_{11}$  GOM, the addition of the  $NH_2$  moiety only slightly shifts the HOMO edge to 5.1-5.2 eV.



**Figure 4.** Secondary electron (SE) edges (left part of the spectra) and valence UP Spectra (right spectra) of (a)  $C_7$ -COEth, (b)  $C_{11}$ -COEth, (c)  $C_{11}$ - $NH_2$ , and (d)  $C_{11}$ - $NH_2$ -AuNP. The cutoffs of the SE curves are indicated by the vertical bars and are used to calculate the work functions of the different organic monolayers. The edges of the UP Spectra correspond to the highest occupied molecular orbital (HOMO)

### Work function of the GOM

The work function (WF) is by definition the energy difference between the vacuum level and  $E_F$ . It is obtained from the secondary electron edge (SE) curve measured with the He source, as follows:

$$WF = h\nu + KE_{cutoff} - KE_{Fermi} \quad (4)$$

where  $KE_{Fermi}$  is the kinetic energy of the Fermi level (measured on a clean gold surface) when no bias is applied to

the sample (see ESI for more details). The electron affinity  $\chi$  is the energy difference between the vacuum level and the minimum of the conduction band  $E_{cbm}$ . It is an intrinsic property of the modified Si surface. The expression for  $\chi$  is:

$$\chi = WF - (E_{CBM} - E_F) = WF - (E_g - (E_F - E_{VBM})) \quad (5)$$

where  $E_g$  is the band gap (1.12 eV).

The secondary electron edges (the excitation source is the He lamp) are shown for all the samples in **Figure 4** and in Fig. S4 of the ESI. As the KE scale is referenced to  $E_F$ , the position of the cutoff gives directly the WF. WF and electron affinity  $\chi$  are given in **Table 1**. Note that the SE edges are very sensitive to the homogeneity of the surface. Indeed on a patchy surface the lower cutoff is the averaged cutoff of the different areas,<sup>54</sup> and the edge of the higher WF region can emerge as a distinct onset. The steepness of the cutoffs in **Figure 4** points to a good surface homogeneity. It should also be noted that  $C_{11}$ -COEth and  $C_7$ -COEth have the same WF and  $\chi$ , indicating that there is no effect of the alkyl chain length on the work function in this case. This is in line with previous theoretical studies<sup>55</sup> as well as experiments with alkanethiols on gold,<sup>56</sup> showing that the WF is practically the same for molecules ranging from  $CH_3(CH_2)_7SH$  to  $CH_3(CH_2)_{17}SH$ . The value of  $\chi$  ( $\sim 3.2$  eV) is about 1 eV smaller than that of the H-terminated Si(111)- $1\times 1$  surface ( $\sim 4.2$  eV<sup>57, 58</sup>). On H-terminated Si(001) surfaces, the attachment of an octadecene GOM leads to a decrease in WF in the range 0.6-0.9 eV.<sup>34</sup> A DFT calculation on a ( $CH_3(CH_2)_4$ ) GOM on Si(001) shows that the WF decrease is due to the substitution of Si-H by Si-C bonds and to the dipole of the polar groups ( $CH_3$ ) within the molecule.<sup>34</sup> Note that  $\chi$  is still 0.5 eV lower than that of Si(111) surfaces directly terminated by  $CH_3$  (3.7 eV<sup>34</sup>), possibly due to the dipole (with a normal projection oriented outward) borne by the ethanoate.

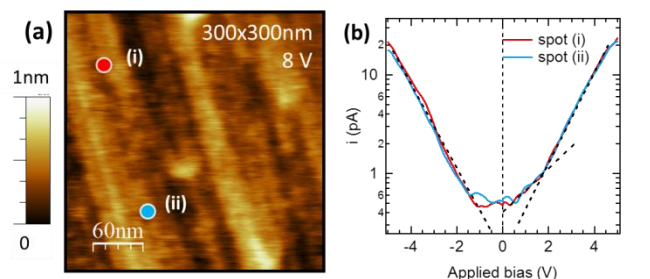
Finally, the addition of the terminal amine moiety produces layers with WF (**Figure 4**) and  $\chi$  (**Table 1**) smaller than that of the original ethanoate GOM. This means that the projection of the dipole of the amine termination (oriented outward) is larger, in absolute value, than that of the ethanoate. However in stark contrast with the ethanoate GOMs, the WF decrease is much smaller for the "short"  $C_7NH_2$  (-0.15 eV) than for the "long"  $C_{11}NH_2$  (-0.48 eV) GOM. This strongly suggests that the amine termination process is much more efficient on the  $C_{11}$ -COEth than on the  $C_7$ -COEth GOM. A plausible explanation is that the  $C_7$  is less ordered than the  $C_{11}$  GOM, with ethanoate functionalities less available for reaction. This is consistent with the higher resistance of  $C_7$ -COEth against oxidation in air, compared to that  $C_{11}COEth$ .<sup>36</sup>

Sample	$BE(Si\ 2p_{3/2})$ (eV)	$(E_F - E_{VBM})_S$	$qV_{bb}$ (eV)	$n^S$ $e^-/Si\ atom$	WF (eV)	$\chi^S$ (eV)	HOMO edge (eV)
	Binding energy		Band bending*	Surface charge density*	Work function	electronic affinity	
C <sub>7</sub> -COEth	99.48	0.74	0.31	$3.5 \times 10^{-3}$	3.58	3.20	4.91
C <sub>11</sub> -COEth	99.49	0.75	0.30	$3.5 \times 10^{-3}$	3.57	3.20	4.85
C <sub>7</sub> -NH <sub>2</sub>	99.55	0.81	0.24	$3.1 \times 10^{-3}$	3.39	3.05	
C <sub>11</sub> -NH <sub>2</sub>	99.57	0.83	0.22	$3.0 \times 10^{-3}$	3.03	2.74	5.11
C <sub>7</sub> -NH <sub>2</sub> -AuNP	99.59	0.85	0.20	$2.8 \times 10^{-3}$	3.32	3.05	
C <sub>11</sub> -NH <sub>2</sub> -AuNP	99.63	0.89	0.16	$2.5 \times 10^{-3}$	3.05	2.82	5.22
Si(111)-7x7						4.16 <sup>e</sup>	
H-Si(111)						4.17 <sup>a,b</sup>	
CH <sub>3</sub> -Si(111)						3.7 <sup>c</sup>	
Sputtered Au					5.0		
Au(111)					5.1 <sup>d</sup>		

**Table 1.** Electronic properties measured for our six samples.  $BE(Si\ 2p_{3/2})$  (referenced to  $E_F$ ) is used to compute  $(E_F - E_{VBM})_S$ , the (positive) band bending  $qV_{bb}$  and the surface charge density expressed in unit charge per Si atom (per monolayer). (\*) These values are averaged over the photoemission probing depth, the “true”  $qV_{bb}$  ( $n^S$ ) values being slightly greater as explained in the SI. The work function WF is obtained from the secondary electron edge cutoff (He I source). It is used to calculate the surface electronic affinity. The HOMO edge is also obtained from the valence band spectra spectra (He I). Values for the electronic affinity are taken from (a) Akremi *et al.*<sup>57</sup> (b) Hunger *et al.*<sup>58</sup> (c) Hunger *et al.*<sup>59</sup> (d) Michaelson *et al.*<sup>60</sup> (e) Hollinger *et al.*<sup>61</sup>

### GOM conductivity (Conductive-AFM)

The electron transport through the GOM was measured using conductive-AFM in contact mode. A typical AFM image is shown in **Figure 5** over an area of  $300 \times 300\text{ nm}^2$  taken on a C<sub>7</sub>-NH<sub>2</sub> surface. A series of images was recorded with different values of sample bias (tip grounded) and the topography found to be closely similar to that of **Figure 5-a**. It displays parallel structures that are 30 nm wide corresponding to atomic terraces of the Si(111) surfaces and consistent with the sample miscut ( $\alpha=0.5^\circ$  in the  $\langle 1\bar{1}2 \rangle$  direction yielding  $\sim 35\text{ nm}$  wide terraces).<sup>62</sup> At 0 V bias, the RMS roughness of this functionalized surface is 1.3 nm (see SI for another AFM image). The surface morphology remains unchanged even after scans with 8 V sample bias. Spectroscopic data were also recorded at various points of the surface and two of them are displayed in **Figure 5-b** (taken at spots indicated on the image).



**Figure 5.** (a) Topographic image ( $300 \times 300\text{ nm}$ ) obtained with conductive AFM, in contact mode for the C<sub>7</sub>-NH<sub>2</sub> sample. A bias of 8 volt was applied to the sample while scanning. The parallel lines are terraces of the silicon substrate. (b) Spectroscopy curves acquired on the two spots marked on image (a). The curves are averaged over 10 sweeps. They show the two transport regimes discussed in the text.

### Discussion

#### Surface dipole

Surface dipole is used to describe the energetic profile that a test charge undergoes when it crosses the interface. It is intrinsically linked to the electronic affinity and the work function of a surface. The formation of an ordered molecular layer creates a surface dipole layer that can either enhance or reduce the ability of extracting electrons from the surface. An

electron coming from the bulk material will need more energy for crossing the interface if an inward oriented dipole is formed. The surface dipole energy  $-e\delta$  is obtained as the difference between the actual electron affinity  $\chi^S$  and the intrinsic silicon affinity:

$$\chi^{Si} - e\delta = \chi^S - \chi^{Si} \quad (6)$$

where  $e$  is the absolute value of the elementary charge

In eq. (6) a value of 4.05 eV is taken for  $\chi^{Si}$ , as it is commonly used in evaluating Schottky barriers.<sup>45</sup>

Terminat ion	C <sub>7</sub> OE th	C <sub>7</sub> N H <sub>2</sub>	C <sub>11</sub> OE th	C <sub>11</sub> N H <sub>2</sub>	H	CH <sub>3</sub> *	C <sub>5</sub> H 11	C <sub>18</sub> H 37
Ref.	this work	this work	this work	this work	Ref. 33	Ref. 33	Ref. 34	Ref. 31
Dipole / eV	-0.85	-1.0	-0.85	-1.31	-0. 07	-0.4 2	-0.6	-0.5 8

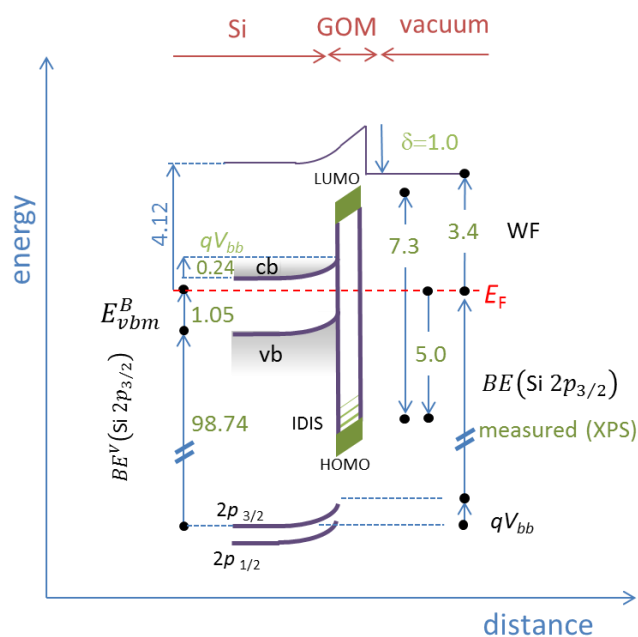
**Table 2.** Comparison of the molecular surface dipole energies for different molecular functionalizations of the n-doped Si(111) surfaces. CH<sub>3</sub> is marked with an asterisk, because it binds to every silicon atom whereas in all the other surfaces the molecule replaces hydrogen for only half of the silicon surface atoms.

The measured values for the molecular dipole energies presented in **Table 2** lead to several conclusions. First, molecular grafting leads to negative dipole energies (all  $\chi^S$  are smaller than  $\chi^{Si}$ ), corresponding to a dipole oriented outward. For all the GOMs studied here, the dipole energy has the same sign (negative) as those found for comparable organic layers. A comparison between SiC<sub>7</sub>-COEth and SiC<sub>11</sub>-COEth shows that the dipole energies are identical ( $\delta = -0.85$  eV). Actually it was shown that the potential drop occurs mostly because of the strong polarization of Si-C bond.<sup>34</sup> The alkyl chain length has a more subtle effect on the WF that was discussed in details by Fagas *et al.* whose conclusion supports our results of a limited difference in dipolar contribution between 7 and 11 carbon chain lengths.<sup>55</sup> However, further modification of SiC<sub>7</sub>-COEth into SiC<sub>7</sub>-NH<sub>2</sub> increases the absolute value of the dipole energy ( $-e\delta = -1.0$  eV). Calculations further confirm that an amine terminal group greatly contributes to the surface dipole.<sup>55, 63</sup> What might be surprising at first glance is that the effect is still greater for SiC<sub>11</sub>-NH<sub>2</sub> ( $-e\delta = -1.31$  eV) than for SiC<sub>7</sub>-NH<sub>2</sub> ( $-e\delta = -1.0$  eV), although this is most likely due to the better ordering of the C<sub>11</sub> alkyl chain compared to that of the C<sub>7</sub> one, ultimately leading to a denser layer.

### Band diagram of C<sub>7</sub>-NH<sub>2</sub>

**Scheme 2** summarizes the positions of the SiC<sub>7</sub>-NH<sub>2</sub> surface energy levels using values discussed previously (the other GOMs of the present study behave similarly and the values are summarized in **Table 1**). The value for the HOMO-LUMO gap was taken from data published elsewhere where the authors used IPES (Inverse Photo-Emission Spectroscopy) to evaluate the LUMO level.<sup>29, 53, 64</sup> For example the HOMO-LUMO gap of Si-C<sub>10</sub>H<sub>21</sub> is evaluated at 7.3 eV by Kahn's group, and their data show that the gap does not change more than 0.2 eV when the alkyl chain length is increased from C<sub>6</sub> to C<sub>18</sub>. Therefore we retain the value of 7.3 eV for our GOMs. The band diagram represents the energy profile that an electron would feel when

moving along the  $x$  axis. At around 15 nm away from the surface (depletion layer), it feels the upward band bending (0.24 eV), then crosses the tunnel barrier (the LUMO edge is at  $\sim 2.3$  eV above the Fermi level) and is able to move out of the surface if its initial energy is greater than 3.4 eV above the Fermi level (definition of the work function). Moreover, we have shown that the presence of induced density of interface states (denoted IDIS on **Scheme 2**) strongly affects the transport through the molecular layer.



**Scheme 2.** Band diagram of the C<sub>7</sub>-NH<sub>2</sub> sample, where an amine terminated molecule with a C<sub>7</sub> alkyl chain was grafted on Si(111). It forms a compact and homogeneous monolayer whose main electronic properties have been measured (values given in eV): the band bending, ( $E_{bb}$ ), the work function (WF), the molecular dipole ( $\delta$ ), the position of the highest occupied molecular orbital below the Fermi level (HOMO). IDIS are induced density of interface states. Values are given in eV.

### Modification of the surface electronic properties by dispersed AuNPs

The two amine-terminated surfaces with amine decorated with 8 nm gold nanoparticles (C<sub>7</sub>-NH<sub>2</sub>-AuNP and C<sub>11</sub>-NH<sub>2</sub>-AuNP) have 0.3% of their surface covered with gold. This is a negligible amount when probed by spectroscopies that average over millimetric areas. Therefore, the influence of gold on the overall electronic surface properties is expected to be negligible (surface density below  $10^{10}$  NP cm<sup>-2</sup>) and this surface is used for checking how the chemical deposition of nanoparticles affects the global electronic properties of the GOM. The work function values reported in **Table 1** indicate that the WFs shift by only  $-0.07$  eV and  $+0.02$  eV upon deposition of AuNPs on C<sub>7</sub>-NH<sub>2</sub> and C<sub>11</sub>-NH<sub>2</sub>, respectively. This demonstrates that the chemical treatment applied to the samples for depositing the metallic nanoparticles does not modify significantly the electronic properties of the GOM. Moreover, the relatively low WFs obtained for the GOM ( $< 3.4$  eV) compared to gold (5.1 eV) suggest that, at thermodynamic equilibrium, gold



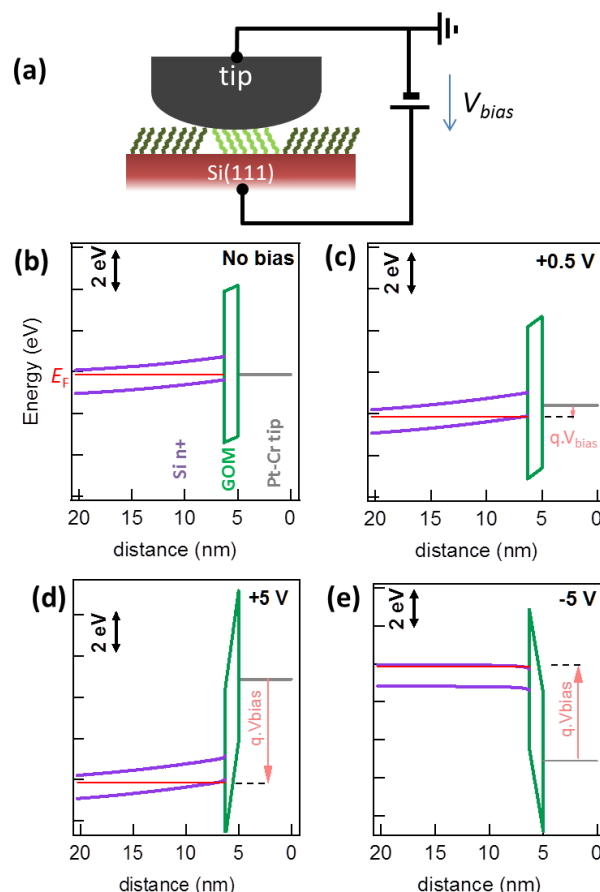
nanoparticles will spontaneously accumulate electrons which easily cross the tunnel barrier of the GOM. This charging behavior was recently demonstrated with KPFM by measuring the charge state of individual nanoparticles of different size. For example a 10 nm nanoparticle accommodates five supplemental electrons.<sup>65</sup>

### Transport behavior of the SiC<sub>7</sub>-NH<sub>2</sub> surface

The band diagram presented in **Scheme 2** provides the shape of the organic electric barrier when no bias is applied to the surface. When the metallic tip of the conductive-AFM is contacted to the layer (see **Scheme 3-a**), the electrostatic equilibrium of the Metal-Insulator-Semiconductor (MIS) structure changes.<sup>45</sup> The application of an external bias further modifies the structure, which needs to be addressed for understanding the electronic transport mechanism. Electronic transport through molecular layers is controlled by two parallel active mechanisms: the Schottky barrier inside the semiconductor caused by the band bending near the interface, and the tunnel barrier formed by the organic insulator. From the electrostatic values evaluated above, the profile of the band diagram was drawn numerically using the freely available software designed by Knowlton and Southwick<sup>66, 67</sup>. **Scheme 3-a** shows that, at zero bias when the AFM tip is in contact with the molecular surface, the silicon bands bend upward (inversion regime). The depletion layer spans over 20 nm. When a moderate positive voltage is applied to the semiconductor (e.g., when the AFM tip is grounded), the transport occurs by tunneling through the molecular barrier. **Scheme 3-c** shows that the electrons have to cross a trapezoidal barrier, in which case the transport is described by<sup>45, 68-71</sup>

$$I \propto V \exp\left(-\frac{2d\sqrt{2m^*\phi}}{\hbar}\right) \quad \text{Equation 7}$$

where  $d$  is the barrier thickness,  $m^*$  is the electron effective mass, and  $\phi$  is the barrier height.



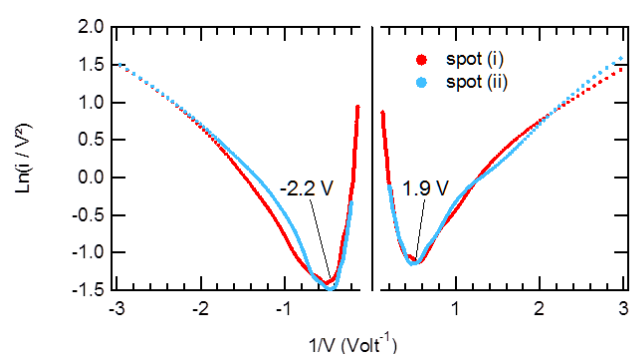
**Scheme 3.** Band diagram drawn when the tip of the conductive AFM is in contact with the Si-C<sub>7</sub>NH<sub>2</sub> GOM (a). Calculations are done with the parameters discussed above. At zero sample bias (b) the silicon is already in inversion. With moderate sample bias (+0.5V (c)) the barrier is trapezoidal (tunneling regime) whereas at strong bias (d & e) the barrier is triangular and the transport is dominated by thermionic emission.

However, when the bias is strongly increased (positive bias), the electric field across the GOM becomes important and the electrons face a triangular barrier. The transport starts being dominated by field emission (Fowler-Nordheim regime), as depicted in **Scheme 3-c & d**, and the current-voltage follows the relationship (positive bias).<sup>68</sup>

$$I \propto V^2 \exp\left(-\frac{4d\sqrt{2m^*\phi^3}}{3\hbar qV}\right) \quad \text{Equation 8}$$

A Fowler-Nordheim plot is the graph of  $\ln(I/V^2)$  against  $1/V$  and is given in **Figure 6**. From Eq. (7), it obvious that  $\ln\left(\frac{I}{V^2}\right) \propto \frac{1}{V} - 2\frac{d}{\hbar}\sqrt{2m^*\phi}$  for a tunneling regime and therefore we expect a linear evolution with a positive slope. This tunneling regime is identified in **Figure 6** for biases comprised between  $-2.2$  V and  $1.9$  V (large values of  $1/V$ ). On the other hand, Eq. (8) shows that a linear evolution is also expected for strong positive biases, but with a negative slope. This trend is confirmed by our experiments for values of  $1/V$  smaller than  $1/1.9$  Volt<sup>-1</sup>. **Figure 6** clearly shows the transition between the tunneling and the field emission regimes, corresponding to the minima at  $-2.2$  and  $+1.9$  V. Moreover, the intersection with the vertical axis occurs at  $-2\frac{d}{\hbar}\sqrt{2m^*\phi}$  for the low bias regime and the barrier height  $\phi$  can be evaluated (with  $d=1.3$  nm and

$m^*=0.25m_e^{53}$ ). For positive bias, we calculate  $\phi = 0.06$  eV. From the simplified diagram of **Scheme 3-c**, we expect a value of  $\sim 2.5$  eV. The discrepancy between the experimental and calculated values points to the complex transport mechanism. It shows that the Induced Density of Interfaces States detected by our UPS spectra provide additional low energy paths for the electron to cross the tunnel barrier. Moreover the simplified diagram of **Scheme 3** is calculated for a planar MIS structure, whereas the tip of the AFM cannot be represented with an infinite plane. Curvature will decrease the amount of band bending and therefore also the overall barrier height. The consideration of all these parameters exceeds the scope of the present study.



**Figure 6.** Fowler-Nordheim plot where the transition between the tunneling regime (low bias regime) and the field emission (high bias) regime appears as a minimum in the graph. The two curves correspond to the two spots identified in Fig. 5.

## Conclusion

The complete energy diagram was derived for six organic alkyl-chain monolayers directly grafted on oxide-free, atomically flat H-terminated Si(111) surfaces. As shown by XPS, the GOMs clearly provide a barrier to oxidation and other chemical reactions during subsequent chemical processes: replacement of ethanoate by the amine head, AuNP deposition, and inevitable exposure to air. We established and analyzed in detail the band bending diagram of the GOMs on silicon and used it to explain the electronic transport properties of the GOMs. The conclusions derived from this work demonstrate that the surfaces functionalized by hydrosilylation are among the best candidates for building tailored hybrid nano-devices where the organic monolayers provide the electrically active layer. As theoreticians start providing methods for optimizing *a priori* the band diagram of a grafted organic monolayer<sup>72</sup> and other experimental studies continue to develop new approaches for fabricating MIS structures with organic monolayers,<sup>73</sup> GOM will likely become an essential component for the next generation nano-electronic devices.

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