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Original research article

Chemoradiation in rectal squamous cell carcinoma: bi-institutional case series.

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Running title: Squamous cell carcinoma of the rectum.

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Keywords: squamous cell carcinoma; rectal cancer; chemoradiation; colostomy; survival; toxicity.

ABSTRACT

Background and Purpose: Primary rectal squamous cell carcinoma (SCC) is an uncommon disease. Early reports stated that surgery is the most effective treatment. However, recent publications suggest conservative strategy with chemoradiation provides satisfactory results.

Patients and Methods: We have retrospectively studied the medical charts of 23 patients treated for a rectal SCC in two teaching hospitals in France between 1992 and 2013. Twenty-one patients received an exclusive chemoradiotherapy and two a pre-operative CRT followed by a planned surgery. Patients received pelvic irradiation with a dose ranging from 36 to 45 Gy followed by a boost of 15 to 23 Gy. Twenty-two patients received a concurrent chemotherapy.

Results: After CRT, the rate of clinical complete response was 83%. With a median follow-up of 85 months, 5-year overall survival rate was 86%. Five patients presented with a relapse. The 5-year disease-free survival rate was 81%. The 5-year colostomy-free survival rate was 65%. Three patients (13%) presented with grade 3-4 late rectal toxicity.

Conclusions: Although retrospective, this is the largest cohort of patients treated with CRT for a rectal SCC. Exclusive CRT could result in high local control rate and prolonged survival in rectal SCC patients with a high rate of organ preservation.

1. Introduction

Colorectal carcinoma is the third leading cause of death due to cancer worldwide. Squamous cell carcinoma (SCC) is an extremely rare subtype accounting for 0.1 to 0.25% of colorectal tumours. First described by Raiford in 1933 [1], one hundred cases have since been reported in the literature. The origin of the tumour remains unclear but several theories have emerged. Some authors suggest pluripotent stem cells with an epidermoid differentiation capacity. It has been hypothesized that mucosal aggression, secondary to bowel inflammatory disease, HPV infection, or ionizing radiations to cause squamous metaplasia underlying tumour development. Diagnosis requires rectoscopy or colonoscopy with biopsies of visible abnormalities. In 1979, Williams et al. [2] defined conditions to be fulfilled: (1) careful rectal endoscopy to exclude proximal extension of anal cancer, (2) primary SCC ruled out, (3) lack of a fistula tract lined by squamous cells, (4) absence of glandular differentiation.

The best therapeutic strategy for rectal SCC has to be defined. In non-metastatic patients, early reports supported radical surgery as the standard treatment [2,3]. However, based on the experience achieved in anal SCC patients, CRT has become the treatment of choice in most of cases. Radical surgery is limited to patients without response after CRT or at the time of relapse. This retrospective study aims to assess the outcome of patients with rectal SCC treated with CRT in two French university hospitals.

2. Patients and Methods

2.1. Patient selection

Between November 1992 and October 2013, 23 patients with rectal SCC were treated in the Departments of Radiation Oncology in Tenon Hospital, Paris (n=13) and Besançon University Hospital (n=10). Patients with tumours involving the anal canal or the ano-rectal junction were excluded. We reviewed retrospectively medical charts of all patients for demographic data,

tumour location and stage, and CRT characteristics. Disease staging was defined according to the 2002 American Joint Committee on Cancer (AJCC) anal cancer staging manual, sixth edition. The pre-treatment evaluation included physical examination, rectal endoscopy with tumour biopsy, transrectal EUS, abdominal ultrasound, and SCC antigen dosage. The study was approved by the Institutional Review Board of Tenon Hospital.

2.2. Study treatment

All patients started their treatment with CRT. All patients but two underwent a three-dimensional conformal radiation therapy (3DCRT). Intensity-modulated radiation therapy (IMRT) by volumetric modulated arc therapy (VMAT) concerned two patients.

Patients in both centres were treated with radiation therapy plans quite similar to those of anal SCC [4].

A planning CT scan was required to define target volumes.

In Tenon hospital, the following volumes were based on the International Commission on Radiation Units and Measurements 50 Report [5]: the gross tumour volume (GTV) was determined on the planning CT scan; the clinical target volume (CTV) was defined as the GTV, anal canal, mesorectum, presacral nodes, bilateral internal, external and primitive iliac nodes, and inguinal nodes; the planning target volume 1 (PTV1) included the CTV plus a safety margin of 10 mm in all directions. In general, the upper beam limit of PTV1 was at the top edge of the sacral vertebral body 1. After 45 Gy, the reduced PTV (PTV2) was limited to the GTV and the centimetric lymph nodes plus a margin of 10 mm in all directions. Treatment was performed with a linear accelerator of at least 6 MV with an isocentric technique. Customized blocks or multileaf settings were used to minimize the radiation dose to the normal tissues and OARs. Total dose on PTV1 was 45 Gy in fractions of 1.8 Gy five times weekly. After a period of rest, the patients received in PTV2 a dose of 15 to 20 Gy in fractions of 1.8 Gy five times weekly.

The Besançon University Hospital's treatment approach was based on EORTC recommendations [6]. For instance, the cranial border of beams was located on to the top of S2. The two sequences of irradiation of 36 Gy and 23.4 Gy were separated by two weeks of rest. Patients received concurrent chemotherapy with different regimens: cisplatin (25 mg/m^2 on days 1-4 and 29-32 or 100 mg/m^2 on days 1 and 29) and 5-fluorouracil (5FU) (800 to 1000 mg/m^2 on days 1-4 and 29-32) ($n=12$); capecitabine (825 mg/m^2 bi-daily every day of irradiation) and mitomycine C (10 mg/m^2 on days 1 and 50) ($n=4$); 5-fluorouracil (5FU) (1000 mg/m^2 on days 1-4 and 29-32) and mitomycine C (10 mg/m^2 on days 1 and 50) ($n=3$); mitomycine C (10 mg/m^2 on days 1 and 50) and cisplatin ($25 \text{ mg/m}^2/\text{week}$) ($n=1$); weekly cisplatin ($40 \text{ mg/m}^2/\text{week}$) ($n=2$). One patient did not receive concurrent chemotherapy because of very early stage (T1N0) and severe cardiac comorbidities.

2.3. Follow-up

During treatment, patients were evaluated weekly for toxicity by clinical examination. Complete blood count with differential and platelet counts, renal and liver function tests were performed before each cycle of chemotherapy.

The first assessment of the tumour response was performed by clinical examination and transrectal sonography two to six weeks after the first course of irradiation. In absence of response, the patient was referred to the surgeon to undergo abdominoperineal or low anterior resection.

The second assessment was done two to three months after the boost and included additionally SCC dosage, chest X-ray, and abdominal ultrasound. Follow-up clinical examination was performed every 3 months during the first year, then every 6 months during 4 years and annually thereafter.

2.4. Statistical analysis

The primary endpoints of the study were local control, disease-free survival (DFS), overall survival (OS), colostomy-free survival (CFS), sphincter function [7], and late complications according to the LENT-SOMA scoring system [8]. DFS was defined as the time interval from diagnosis (date of biopsy) to first disease progression or death from any cause if disease progression did not occur. Alive patients without progression were censored at the last follow-up. OS was defined as the time interval from diagnosis to death (from all causes) or last follow-up. Patients alive were censored at last follow-up. The CFS was defined as the number of patients alive without colostomy at the last follow-up. The primary efficacy endpoints were computed using the Kaplan-Meier method and compared using the log-rank test [9]. Statistical differences between survival curves were tested by the two-tailed log-rank test. In order to assess the precision of the obtained estimates, hazard ratios (HRs) and confidence intervals (CIs) 95% were assessed from the Cox proportional hazards models. Chi-square test or Fisher's exact tests, when suitable, were used to compare the nonparametric and parametric qualitative data. Multiple regression analysis was used to study prognostic factors. Variables with a P-values ≤ 0.2 in the univariable analysis were included in the multivariable analysis. Differences were assumed to be significant when $P < 0.05$. Analyses were performed using Statview® software version 5 (SAS Institute, Cary, NC, USA).

3. Results

3.1. Study population

Patient and tumour characteristics are summarized in Table 1. Overall, 23 patients with rectal SCC were treated in the Departments of Radiation Oncology in Tenon Hospital (n=13) and Besançon University Hospital (n=10). Most of the patients were women (87%). The median age was 59.5 years (range, 42-88 years). Noteworthy, four women had a history of cervical intraepithelial neoplasia. HIV infection was not found. The presence of an HPV infection was

searched in five tumour specimens by genotyping with polymerase chain reaction (INNO-LiPA® HPV Genotyping Extra - Innogenetics). Among them, four were positive for HPV infection (80%). Patients treated in Besançon had more advanced tumours (90% of T3-T4 tumours versus 46% in Tenon).

3.2. Treatment efficacy

Treatment characteristics for all patients are presented in Table 2. In the evaluation conducted after the completion of the first course of radiation therapy, we observed a clinical complete response in five patients (22%), a partial response in twelve patients (52%), a response of less than 50% in four patients (17%) and no response in two patients (9%). The overall response rate was 74%. Among the 23 patients, two patients with locally advanced disease (T3N1M0 and T4N1M0) with circumferential rectal involvement underwent a planned abdominoperineal resection (APR) with colostomy five weeks after the completion of the first course of radiation therapy. They did not receive an additional boost. Noteworthy, they had both a complete histologic response without residual tumour on the pathological specimen.

A clinical complete response was observed in 19 patients (83%) after the completion of the treatment with the tumour boost showed. Two patients with residual disease underwent an abdominoperineal resection.

3.3. Survival

The median follow-up was 85 months (range, 12-161 months). Five patients (22%) presented with a recurrence (Figure 1). Median time to recurrence was 13 months (range, 5-91 months). Two patients had an isolated local recurrence cured by a salvage surgery. Two patients presented with metastatic recurrence and one patient with a local progression and synchronous metastasis. The 5-year DFS rate was 81% (CI 95%, 73-90%) for the whole population (Figure 2).

There were three deaths recorded. Two deaths occurred in patients with no evidence of tumour disease. The 5-year OS rate was 86% (CI 95%, 76-94%) for the whole population (Figure 3).

Overall, six patients (26%) had a colostomy, among them four at the time of APR, one for tumour progression with rectal ulceration, and one for grade 4 rectal toxicity. The 5-year CSF rate was 65% (CI 95%, 50-80%).

3.4. Tolerance

Overall, during follow-up, eighteen patients (78%) complained of at least one rectal late inconvenience. The most common symptoms were anal pain reported by ten patients (43%), rectal bleeding reported by seven patients (30%), and increase of stools reported by five patients (22%). Three patients (13%) presented with grade 3-4 late rectal toxicities: one case of grade 3 rectal ulcer and two cases of grade 4 rectovaginal fistula requiring a colostomy. No case of urologic or dermatologic severe late toxicity has been reported.

4. Discussion

Rectal SCC is an orphan disease with the four largest cases series reported by MSKCC [10], M.D. Anderson Cancer Center [11], Lyon [12], and Rome [13] including respectively 12, 14, 11, and 10 patients (Table 3). Given the rarity of this disease, data on pathogenesis, risk factors, prognosis, biological behaviour, and therapeutic management are lacking. In most cases, diagnosis occurred at advanced stage. Most of recent publications have used anal SCC TNM staging [10,15] while some authors choose to classify their cases according to rectal adenocarcinoma TNM classification [15,16].

In ancient surgical series, 5-year overall survival was 32% in rectal SCC, comparing poorly with 50% in rectal adenocarcinoma [17]. Surgery was supposed to offer the best chance of cure and has been widely used [18,19]. Surgical or endoscopic mucosal resection is appropriate in

selected T1 tumours with mucosal invasion. For more advanced disease, abdominoperineal resection was the standard technique but carried a significant morbi-mortality.

This present study represents the largest series reported to our knowledge. We reviewed the outcomes of 23 patients treated in two French institutions. Most of our patients were successfully treated with exclusive chemoradiation. The 5-year overall survival was 86%. Local control was achieved in 83% of cases. These rates are consistent with those reported in the literature and are presented in Table 3 [10-14,16,20–22].

Several concurrent chemotherapy regimens were given to our patients but mostly consisted of 5FU and cisplatin that was the preferred regimen in France for anal SCC before the final results of Intergroup RTOG 9811 phase III trial [23]. The combination of 5FU with mitomycin C is currently the standard regimen used with concurrent radiation therapy in anal SCC, providing higher colostomy free and overall survival rates compared to 5FU and cisplatin [23]. In our series, six patients (26%) had a colostomy, among them four at the time of APR, one for tumor progression with rectal ulceration, and one for grade 4 rectal toxicity. This illustrates clearly the major challenges we have to face: disease control, organ preservation, and radiation induced morbidity.

Intensity-modulated radiation therapy (IMRT) is a highly conformal mode of radiation delivery that allows for relative sparing of nearby normal structures while maintaining or increasing dose to the target volume. For anal SCC, skin and gastrointestinal acute toxicity can require treatment breaks that could impact unfavourably on treatment outcomes [24]. By sparing normal tissues, IMRT could decrease acute toxicity, resulting in fewer treatment breaks and shorter overall treatment time [25]. This approach may lead to improved disease control and tolerance.

We recommend treating patients with rectal SCC as anal SCC, with curative and preservative intent based on exclusive chemoradiation. Radiation therapy volumes should include the

tumour, the mesorectum, the presacral nodes, and the internal iliac nodes [26]. The external iliac nodes should also be included for T4 tumours involving anterior structures. The inguinal iliac nodes should be discussed for tumours of the lower third of rectum. The recommended prophylactic dose to non-involved lymph nodes is unclear as patients in this series received either 36 Gy (Besançon) or 45 Gy (Paris). Most authors recommend a minimal dose of 54 Gy to the tumour but it should not exceed 60 Gy as shown for anal SCC by Peiffert et al. in the FFCD-PRODIGE 5 trial [27].

Evaluation of residual tumour is a critical issue. Nahas et al. reported the outcome of seven patients with rectal SCC that underwent salvage surgery after chemoradiation (50.4 Gy with concurrent 5FU-mitomycin C) because of clinical partial response [10]. Six of them had a complete pathologic response. This result raises two questions: imaging methods efficiency and optimal timing of surgery, if indicated.

Cummings et al. showed that regression rate of anal SCC over time was not an optimal measure of the treatment effectiveness: median time to complete response was three months, but some tumours could take up to 12 months to disappear [28]. This advocates a “wait and see” strategy for patients with persistent disease without progression in anal SCC, and, by extension, rectal SCC.

Use of PET CT has been assessed in recent publications to monitor treatment response and select candidates that really request salvage surgery [29–31]. Yeh et al. reported in four patients with resolution of hypermetabolic activity after preoperative chemoradiation a complete pathology response [21]. In another small series, two out of three patients with pathologic complete response had also a complete metabolic response; for the patient with persistent signal, PET CT was performed only three weeks after completion of CRT [30]. Therefore, authors recommend a delay over six weeks.

This study has some important limitations. First, this is a retrospective study but this is inherent to the rarity of the disease. Second, the treatments of patients were heterogeneous with different radiation doses and concurrent chemotherapy regimens. However, this is the largest series of rectal SCC to our knowledge demonstrating a high rate of organ preservation with an excellent survival.

Cumulatively, this study and recent publications advocate conservative approach based on exclusive chemo-radiation as primary modality in localized rectal SCC.

Surgery as main treatment is now outdated but should be considered for patients with incomplete tumour response or local recurrence.

Conflict of Interest Statement

None declared.

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Figure Legends

Figure 1. Cumulative incidence of local failure for all patients.

Figure 2. Kaplan Meier disease free survival curve for all patients.

Figure 3. Kaplan Meier overall survival curve for all patients.

Table 1. Characteristics of patients.

Table 2. Treatment characteristics and tumor response for all patients.

Table 3. Largest series on chemoradiation for rectal squamous cell carcinoma.

Table 1. Characteristics of patients.

Parameter	Total	
	N	%
Age (years)		
Mean	59.5	
Median	59	
Range	42-88	
Sex		
Male	3	13
Female	20	87
Tumor location		
Middle rectum	7	30
Low rectum	16	70
Tumor grade		
Well differentiated	5	21
Moderately differentiated	13	57
Poorly differentiated	2	9
Unknown	3	13
Tumor length (mm)		
Mean	49	
Median	52	
Range	10-100	
Distance from anal sphincter (mm)		
Mean	31	
Median	20	
Range	10-80	
Rectal circumference involved		
25%	11	48
50%	6	26
75%	3	13
100%	3	13
Stage T		
T1	4	17
T2	4	17
T3	9	40
T4	6	26
Stage N		
N0	7	30
N1	14	61
N2	2	9
Total	23	100

Abbreviations: N, number of patients; T, tumor; N, node.

Table 2. Treatment characteristics and tumor response for all patients.

Patients	Center	First course dose (Gy)	Concurrent chemotherapy	Tumor response	Boost dose (Gy)	Total dose (Gy)	Treatment length (day)	Tumor response
1	Tenon	45	CDDP-5FU	100%	16	61	77	100%
2	Tenon	45	CDDP-5FU	> 50%	16	61	89	100%
3	Tenon	45	CDDP-5FU	> 50%	20	65	99	100%
4	Tenon	47	CDDP-5FU	> 50%	16	63	94	100%
5	Tenon	45	CDDP-5FU	> 50%	16	61	94	100%
6	Tenon	45	CDDP-5FU	< 50%	16	61	84	100%
7	Tenon	45	CDDP-5FU	100%	0	45	34	APR
8	Tenon	45	None	> 50%	20	65	84	100%
9	Tenon	45	CDDP-5FU	> 50%	16	61	82	100%
10	Tenon	45	CDDP-5FU	100%	0	45	32	APR
11	Tenon	45	CDDP-5FU	100%	20	65	84	100%
12	Tenon	45	CDDP-5FU	100%	16	61	92	100%
13	Tenon	45	CDDP-5FU	< 50%	20	65	96	100%
14	Besaçon	36	Cape-MMC	0	23	59	65	100%
15	Besaçon	36	5FU-MMC	> 50%	24	60	38	100%
16	Besaçon	36	Cape-MMC	< 50%	23	59	80	100%
17	Besaçon	45	5FU-MMC	> 50%	18	63	80	100%
18	Besaçon	50	Cape-MMC	> 50%	16	67	92	100%
19	Besaçon	36	Cape-MMC	< 50%	31	67	70	0
20	Besaçon	45	Weekly CDDP	> 50%	10	55	95	100%
21	Besaçon	36	5FU-MMC	0	23	59	64	50%
22	Besaçon	36	CDDP-MMC	> 50%	31	67	64	100%
23	Besaçon	36	Weekly CDDP	> 50%	23	59	58	100%

Abbreviations: CDDP, cisplatin; 5FU, 5-fluorouracile; Cape, capecitabine; MMC, mitomycin C; APR, abdominoperineal resection.

Table 3. Largest series on chemoradiation for rectal squamous cell carcinoma.

Author	N	Treatment	CCR (%)	Recurrence (%)	Surgery (%)	5-year overall survival (%)
Nahas [10]	12	RT 50.4 Gy 5FU-mito C/cisplatin	-	-	58	-
Clark [14]	7	RT 50.4 Gy 5FU-mito C/cisplatin	-	-	14	-
Rasheed [20]	6	RT 45-50.4 Gy 5FU-mito C/cisplatin	67	17	33	-
Tronconi [16]	6	RT 50.4-59.4 Gy 5FU-mito C/cisplatin	60	20	50	-
Yeh [21]	5	RT 30-60 Gy 5FU-mito C/cisplatin	80	20	40	66
Musio [13]	8	RT 45-70 Gy 5FU-mito C/oxaliplatin	75	12.5	25	-
Péron [12]	11	RT 45-62 Gy 5FU-mito C/cisplatin	64	18	36	-
Sturgeon [11]	14	RT 38-58 Gy cisplatin-5FU/cape	-	21	14	81
Present study	23	RT 45-65 Gy 5FU-mito C/cisplatin	83	22	26	86

Abbreviations: N, number of patients; CCR, complete clinical response; RT, radiation therapy ; 5-FU, 5-fluorouracil; mito C, mitomycin C ; cape, capecitabine.

Figure Legends

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Figure 1

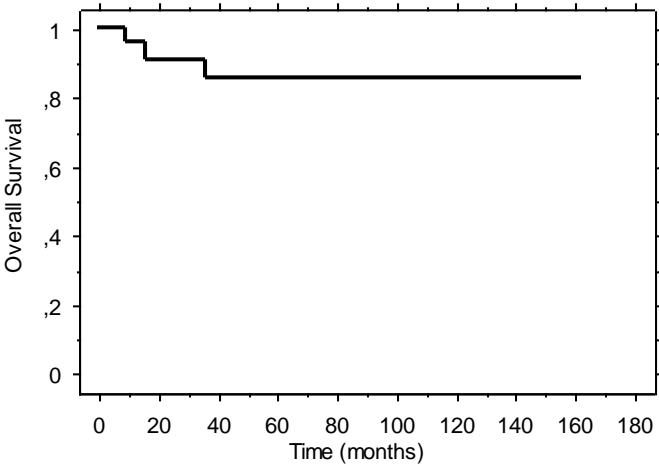


Figure 2

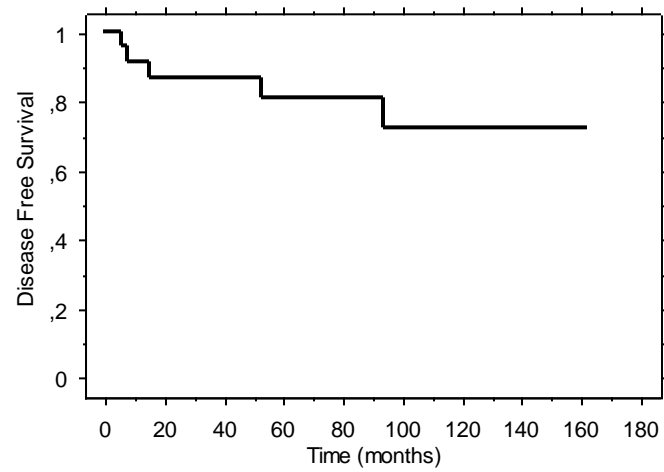


Figure 3

