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1 **Particulate matter stoichiometry driven by microplankton community**
2 **structure in summer in the Indian sector of the Southern Ocean**

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12 Southern Ocean – Microplankton – Particulate stoichiometry – Subsurface chlorophyll maximum – Nutrient
13 diffusion

14 **Abstract**

15 Microplankton community structure and particulate matter stoichiometry were
16 investigated in a late summer survey across the Subantarctic and Polar Front in the Indian
17 sector of the Southern Ocean. Microplankton community structure exerted a first order control
18 on PON:POP stoichiometry with diatom-dominated samples exhibiting much lower ratios (4
19 – 6) than dinoflagellate and ciliate-dominated samples (10 – 21). A significant fraction of the
20 total chlorophyll *a* (30 - 70%) was located beneath the euphotic zone and mixed layer and
21 sub-surface chlorophyll features were associated to transition layers. Although microplankton
22 community structure and biomass was similar between mixed and transition layers, the latter
23 was characterized by elevated Chl:POC ratios indicating photoacclimation of mixed layer
24 communities. Empty diatom frustules, in particular of *Fragilariopsis kerguelensis* and
25 *Pseudo-nitzschia*, were found to accumulate in the Antarctic Zone transition layer and were
26 associated to elevated BSi:POC ratios. Furthermore, high Si(OH)₄ diffusive fluxes (>1 mmol
27 m² d⁻¹) into the transition layer appeared likely to sustain silicification. We suggest transition
28 layers as key areas of C and Si decoupling through (i) physiological constraints on carbon and

29 silicon fixation (ii) as active foraging sites for grazers that preferentially remineralize carbon.
30 On the Kerguelen Plateau, the dominant contribution of *Chaetoceros Hyalochaete* resting
31 spores to microplankton biomass resulted in a three-fold enhancement of POC concentration
32 at 250 m, compared to other stations. These findings further highlight the importance of
33 diatom resting spores as a significant vector of carbon export through the intense
34 remineralization horizons characterizing Southern Ocean ecosystems.

35 **Introduction**

36 The Southern Ocean connects the three major Ocean basins and is important for heat and
37 carbon exchange with the atmosphere, representing a critical conduit by which anthropogenic
38 CO₂ enters the ocean (Sabine et al. 2004; Khatiwala et al. 2009). Modeling studies have
39 suggested that nutrients exiting the Southern Ocean, through the formation of mode water,
40 may constrain primary production in vast areas of the global Ocean (Sarmiento et al. 2004;
41 Dutkiewicz et al. 2005). The efficiency and stoichiometry of surface nutrient depletion by the
42 biological pump in the Southern Ocean can thus have major implications for global Ocean
43 productivity (Primeau et al. 2013). A large fraction of present-day Southern Ocean surface
44 waters are referred to as “High-Nutrient, Low-Chlorophyll” areas (HNLC, Minas et al. 1986)
45 where low trace-metal concentrations, in particular iron, can limit primary production (Martin
46 1990; de Baar 1990) and result in a weaker biological pump (e.g. Salter et al. 2012). Regional
47 trace metal inputs from shelf sediments and glacial melt-water can sustain large scale (100
48 km) and long lasting (several months) phytoplankton blooms in proximity to island systems
49 such as South Georgia, Crozet and Kerguelen plateaus (Whitehouse et al. 2000; Blain et al.
50 2001; Pollard et al. 2007).

51 Many studies of phytoplankton blooms in the Southern Ocean usually focus on the
52 euphotic zone and studies using satellite data (e. g. Park et al. 2010; Borriane and Schlitzer
53 2013) are restricted to the surface. However, subsurface chlorophyll maxima (SCM) deeper
54 than the euphotic zone at the base of the mixed layer are recurrent in late summer in the
55 HNLC waters of the Southern Ocean (Parslow et al. 2001, Holm-Hansen and Hewes 2004;
56 Holm-Hansen et al. 2005). Sub-surface chlorophyll features were also observed over the
57 productive central Kerguelen Plateau in late summer (February) with chlorophyll *a*
58 concentrations $>2.5 \mu\text{g L}^{-1}$ (Uitz et al. 2009), suggesting that SCM are not strictly restricted to
59 the HNLC waters. These sub-surface biomass features are observed around 100 m and thus

60 escape satellite detection depth (~20 m in productive areas; Gordon and McCluney 1975).
61 This region of the water column, also called the “transition layer”, is defined as the interface
62 between the stratified ocean interior and the highly turbulent surface mixed layer (Johnston
63 and Rudnick 2009).

64 Diatoms typically dominate spring/summer phytoplankton blooms in the Southern
65 Ocean (Korb and Whitehouse 2004; Armand et al. 2008; Quéguiner 2013), and the subsurface
66 chlorophyll maximum is also characterized by a dominance of diatom biomass (Kopczynska
67 et al. 2001; Armand et al. 2008; Gomi et al. 2010). Both studies from Armand et al. (2008)
68 and Gomi et al. (2010) described a similarity between the mixed layer and deep diatom
69 communities. However, Kopczynska et al. (2001) reported a difference between the mixed
70 layer and the subsurface phytoplankton diatom assemblage with a dominance of larger species
71 in the deeper samples. Additionally, high regional and inter-annual variability of diatom
72 assemblages in the SCM is reported from two consecutive summer surveys in the Polar
73 Frontal Zone and the Seasonal Ice Zone in the Indian Sector of the Southern Ocean (Gomi et
74 al. 2010).

75 It has been proposed that the development of sub-surface biomass features in the
76 Southern Ocean is linked to iron depletion in the mixed layer (Parslow et al. 2001). Under
77 these conditions, phytoplankton accumulates in temperature minimum layers that are
78 frequently associated to the pycnocline and/or nutricline (Holm-Hansen and Hewes 2004).
79 The similarity that is frequently observed between mixed layer and the SCM diatom
80 communities supports this hypothesis (Armand et al. 2008; Gomi et al. 2010). It is presently
81 unclear, however, if the SCM phytoplankton communities are predominantly senescent and/or
82 poorly active (Parslow et al. 2001; Armand et al. 2008) or productive communities with low
83 growth rates sustained by nutrient diffusion through the pycnocline (Holm-Hansen and Hewes
84 2004; Quéguiner 2013). Irrespective of photosynthetic production levels, it has been

85 suggested previously that the transition layer could be an important foraging site for various
86 micro- and mesozooplanktonic grazers (Kopczynska et al. 2001; Gomi et al. 2010). A coupled
87 study of microplankton assemblages and particulate matter stoichiometry is therefore of
88 particular importance to gain a better understanding of SCM formation and their impact on
89 carbon and biomineral cycling through transition layers in the Southern Ocean.

90 Redfield (1958) first described the homogeneity of deep water N and P stoichiometry
91 and its coherence with plankton stoichiometry and the resulting “Redfield-ratio” has been a
92 central tenet in modern oceanography. The quantity of particulate matter data has increased
93 substantially in recent years and stoichiometric nutrient ratios are commonly observed to
94 deviate from Redfield values. A recent large scale data synthesis demonstrated that
95 PON:POP ratios are not homogeneous at a global scale and may reflect latitudinal patterns
96 related to plankton community composition (Martiny et al. 2013). Diatoms, for example, are
97 known to have a lower N:P ratio than dinoflagellates or chlorophyceae (Quigg et al. 2003; Ho
98 et al. 2003; Sarthou et al. 2005).

99 There are alternative explanations for latitudinal trends in particulate matter
100 stoichiometry. The growth rate hypothesis (Elser et al. 1996) suggests that among one
101 phytoplankton taxa, changes in physiological status affects the allocation of nutrients to
102 various macromolecular pools with different N:P stoichiometry. For example, competitive
103 equilibrium in nutrient limiting conditions will lead to the synthesis of N-rich proteins
104 required for nutrient acquisition. During exponential growth, there is an increased demand for
105 the synthesis of P-rich ribosomes which are required for cell component synthesis. (Elser et
106 al. 1996; Sterner and Elser 2002; Klausmeier et al. 2004). This general scheme might be
107 modulated by local availability of nutrients, and phytoplankton for example have been
108 reported to synthesize non-phosphorous lipids in oligotrophic, low P environments (Van
109 Mooy et al. 2009). Temperature has also been identified as a factor strongly influencing the

110 N:P ratios and Southern Ocean diatoms contain more P-rich rRNA at low temperatures
111 (Toseland et al. 2013). These observations reinforce the need of a joint description of
112 plankton community structure and stoichiometry to document how plankton biogeography
113 might impact Southern Ocean nutrient stoichiometry at local scale (Weber and Deutsch 2010).

114 In the present study, we report data acquired late summer in the Subantarctic Zone, the
115 Polar Frontal Zone, and the Antarctic Zone in the Indian Sector of the Southern Ocean. Our
116 objectives are (1) to assess whether patterns in sub-surface chlorophyll features are linked to
117 biomass accumulation at physical interfaces, (2) to compare microplankton assemblages
118 between the mixed layer and transition layer and identify physiological changes and potential
119 ecological processes occurring within the transition layer, (3) investigate the statistical
120 relationship between microplankton community structure and particulate matter stoichiometry
121 in contrasting hydrological environments, and (4) to assess how biogeochemical processes
122 within the transition layer modulate the intensity and stoichiometry of the particulate matter
123 transfer from the mixed layer to the mesopelagic ocean.

124 **Material and Methods**

125 **OISO23 cruise and sampling strategy**

126 The OISO23 cruise took place onboard the R/V *Marion Dufresne* in the Indian sector of the
127 Southern Ocean from the 6 January to the 23 February 2014. The biogeochemical study
128 presented here is focused on 11 stations located on a latitudinal transect in the Subantarctic
129 Zone (SAZ), Polar Frontal Zone (PFZ) and Antarctic Zone (AAZ), linking the two island
130 systems of Crozet and Kerguelen (Fig. 1, Table 1). Conductivity-Temperature-Depth (CTD,
131 Seabird SBE 911 plus) casts were performed at each station. Samples for nutrients and
132 chlorophyll *a* analyses were taken at 20 fixed depths. Precise sampling depths for particulate
133 matter and microplankton abundance were chosen at each station following a preliminary
134 analysis of the down-cast temperature, salinity and fluorescence profiles. Samples were taken

135 in the mixed layer, in the strong density gradient beneath the mixed layer (transition layer)
136 and at a constant depth of 250 m. The last depth was chosen as a reference depth located
137 under the annual upper mixed layer for this sector of the Southern Ocean (Park et al. 1998; de
138 Boyer Montégut et al. 2004).

139 **Derived hydrological parameters**

140 The turbulent diffusivity coefficient was computed with the Thorpe scale method using the
141 Shih et al. (2005) parameterization as previously described in Park et al. (2014). The
142 robustness of the Thorpe scale calculation using this indirect method depends on the level of
143 CTD processing prior to the computation (Park et al. 2014). The diffusivity coefficient (K_z in
144 $\text{m}^2 \text{s}^{-1}$) was calculated as follows:

$$145 \quad K_z = 1.6 \nu^{1/2} L_t N^{1/2} \quad (1)$$

146 where ν is the cinematic viscosity of seawater ($1.5 - 1.8 \cdot 10^{-6} \text{ m}^2 \text{ s}^{-1}$ for $T = 0$ to $5 \text{ }^\circ\text{C}$), L_t is the
147 Thorpe scale (vertical density overturning scale, in m) and N is the Brunt-Väisälä buoyancy
148 frequency (s^{-1}) defined as :

$$149 \quad N = \left(-\frac{g}{\rho_e} \times \frac{d\rho}{dz} \right)^{1/2} \quad (2)$$

150 where g is the gravitational acceleration (9.81 m s^{-2}), ρ_e is a constant reference density for
151 seawater, ρ is the seawater density and z is the depth (m). Brunt-Väisälä buoyancy frequency
152 was used to quantify the water column stability and the strength of the physical interface
153 associated with the transition layer. Each K_z profile was averaged in 10 m bins. The Thorpe
154 scale method cannot resolve overturns smaller than 20 cm, consequently K_z values $< 10^{-5} \text{ m}^2 \text{ s}^{-1}$
155 were set to this minimal value based on in situ measurements around the Kerguelen plateau
156 with a Turbo MAP profiler (Park et al. 2014).

157 The mixed layer depth (MLD) was calculated using a 0.02 kg m^{-3} density-difference
158 criterion relative to the density at 20 m (Park et al. 1998). The depth of the euphotic layer (Z_e ,
159 1% of the surface irradiance, in m) was calculated from the vertical profile of fluorescence-
160 derived chlorophyll a using Morel and Berthon (1989) formulation:

$$161 \quad Z_e = 568.2 \left(\int_0^Z chla \, dz \right)^{-0.746} \quad (3)$$

162 where $chla$ is the chlorophyll a concentration (mg m^{-3}) derived from the calibrated CTD
163 fluorometer (WET Labs ECO FL, see below for calibration method). The calculation was
164 performed iteratively downward from the surface until $z = Z_e$.

165 **Biogeochemical analyses**

166 **Particulate matter: particulate organic carbon (POC), nitrogen (PON),** 167 **phosphorous (POP), biogenic silica (BSi) and chlorophyll a analysis**

168 For POC and PON, 2 L of seawater were filtered on precalcinated (450°C , 24 h) 25 mm
169 Whatman GF/F filters stored in precalcinated glass vials and dried overnight at 60°C . Filters
170 were decarbonated by fumigating pure HCl (Merck) during 10 h. POC and PON were
171 measured on a Perkin Elmer C,H,N 2400 autoanalyser calibrated with acetanelyde. Detection
172 limits were defined as the mean blank plus three times the standard deviation of the blanks
173 and were 0.17 and $0.04 \mu\text{mol L}^{-1}$ for POC and PON, respectively. For POP, 500 mL of
174 seawater was filtered on precalcinated GF/F filters. POP was analyzed following a wet
175 oxidation procedure (Pujo-Pay and Raimbault 1994). Extracts were filtered through two
176 precalcinated GF/F filters prior to spectrophotometric analysis of PO_4^{3-} on a Skalar
177 autoanalyser following the method of Aminot and Kerouel (2007). The detection limit for
178 POP was $0.01 \mu\text{mol L}^{-1}$.

179 For BSi, 1 L of seawater was filtered on 25 mm nuclepore filter of $0.2 \mu\text{m}$ porosity.
180 Filters were placed in cryotubes and dried at 60°C overnight. BSi was estimated by the triple

181 NaOH/HF extraction procedure allowing correction of lithogenic silica (LSi, Ragueneau et al.
182 2005). Filters were digested two times with 0.2 N NaOH at 95 °C during 45 min. At the end
183 of both extractions, aliquots were taken for silicic acid (Si(OH)₄) and aluminum (Al)
184 concentration measurements. A third extraction was performed with 2.9 N HF over 48 h at
185 ambient temperature (~20 °C). Si(OH)₄ was determined colorimetrically on a Skalar
186 autoanalyser following Aminot and Kerouel (2007) and Al was determined fluorimetrically
187 using the Lumogallion complex (Howard et al. 1986). The detection limit for BSi was 0.02
188 μmol L⁻¹. The LSi correction was most important in the vicinity of the plateaus (e.g. at A3,
189 250 m the LSi represented 17% of the total particulate Si).

190 For chlorophyll *a* analysis, 2L of seawater were collected in opaque bottles, filtered
191 onto GF/F filters and immediately placed in cryotubes at -80 °C. Pigments were extracted in
192 90% acetone solution and analyzed using 24 fluorescence excitation and emission
193 wavelengths with a Hitachi F-4500 fluorescence spectrophotometer according to Neveux and
194 Lantoiné (1993). These chlorophyll *a* concentrations measured from niskin bottles were used
195 to calibrate the CTD fluorescence profiles by linear regression (R² = 0.8).

196 **Dissolved nutrients analysis and calculation of diffusive fluxes**

197 For the analysis of major nutrients (NO₃⁻, NO₂⁻, Si(OH)₄, PO₄³⁻), 20 mL of filtered (0.2 μm
198 cellulose acetate filters) seawater was sampled into scintillation vials and poisoned with 100
199 μL of 100 mg L⁻¹ HgCl₂. Nutrient concentrations were determined colorimetrically on a
200 Skalar autoanalyzer following Aminot and Kerouel (2007). Nutrient gradients were calculated
201 at each sampling depth for particulate matter and microplankton based on the three nutrient
202 concentrations (*C*) windowing this depth. Nutrient diffusive fluxes (*N*_{diff} in μmol m⁻² s⁻¹) in
203 the transition layer were calculated as follow:

$$204 \quad N_{\text{diff}} = Kz \frac{dC}{dz} \quad (4)$$

205 Kz profiles are highly variable over short time scales (days to hour), whereas nutrient
206 gradients result from nutrient consumption occurring at longer timescales (weeks to month).
207 To minimize the bias caused by short term Kz variability, nutrient diffusive fluxes were
208 calculated using the average Kz profile from the study region (supplementary Figure 1). A
209 characteristic value of $4.5 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ in the transition layer was derived from the mean Kz
210 profile.

211 **Microplankton abundance, identification and biomass calculation**

212 Seawater samples for microplankton identification and enumeration were collected in 125 mL
213 amber glass bottles and immediately fixed with acid Lugol solution (1% final concentration).
214 Samples were maintained in the dark at ambient temperature until counting (performed within
215 three months after the sampling). Microplankton cells were enumerated from either a 50 mL
216 (mixed layer and transition layer) or 100 mL (250 m) subsample after settling for 24 hours
217 (dark) in an Utermöhl counting chamber. Taxonomic identification was performed under an
218 inverted microscope (Olympus IX71) with phase contrast at 200x and 400x magnification.
219 One half of the counting chamber (mixed layer and transition layer) or the entire surface (250
220 m samples) was used to enumerate the microplankton. The total number of cells counted was
221 >200 except in sample 13 at 250 m. Ciliates and tintinnids were enumerated but not classified
222 into taxa. Dinoflagellates were identified to the genus level, and diatoms were identified to
223 species level when possible, following the recommendations of Hasle and Syvertsen (1997).
224 Full and empty diatoms frustules were enumerated separately. Half or broken frustules were
225 not considered. Due to the preserved cell contents sometimes obscuring taxonomic features on
226 the valve face, taxonomic identification of diatoms to the species level was occasionally
227 difficult and necessitated the categorizing of diatom species to genus or taxa as previously
228 described in Rembauville et al. (2015a).

229 The composition of living diatom biomass was estimated from the abundance of full
230 cells using a species-specific carbon content for diatoms in the Indian sector of the Southern
231 Ocean (Cornet-Barthaux et al. 2007). For species absent from this reference, >20 individuals
232 were measured from microscopic images using the imageJ software. Cell volume for the
233 appropriate shape was calculated following Hillebrand et al. (1999) and carbon content was
234 calculated using a diatom-specific carbon:volume relationship (Menden-Deuer and Lessard
235 2000). The same procedure was used for dinoflagellates and ciliates. For *Chaetoceros*
236 *Hyalochaete* resting spores (CRS), the carbon content for spores over the Kerguelen plateau
237 calculated in Rembauville et al. (2015a) was used. A complete list of microplankton
238 categories and their respective carbon content is provided in Supplementary Table 1.

239 **Statistical analyses**

240 To compare microplankton community structure between samples, Bray-Curtis distance was
241 calculated based on raw microplankton abundances. Samples were clustered using the
242 unweighted pair group method with arithmetic mean (UPGMA). To link microplankton
243 community structure with biogeochemical factors (particulate matter stoichiometry and
244 nutrient diffusive fluxes), a canonical correspondence analysis (CCA) was performed
245 (Legendre and Legendre 1998). Prior to the CCA, microplankton abundances were sorted into
246 groups to facilitate the ecological interpretation of the analysis. For example, a distinction is
247 often made between small and large diatoms that are thought to occupy different niches of
248 nutrient and light availability, and have different sensitivity to grazing in the Southern Ocean
249 (Smetacek et al. 2004; Quéguiner 2013). “Large diatoms (>100 μm)” comprised the following
250 genera: *Corethron*, *Dactyliosolen*, *Membraneis*, *Pleurosigma*, *Proboscia*, *Rhizosolenia* and
251 *Thalassiothrix*. “Small diatoms (<100 μm)” referred to the other diatom genera. Armored
252 dinoflagellates (*Prorocentrum*, *Ceratium*, *Brachidinium*, *Dinophysis*, *Oxytoxum*, *Podolampas*

253 and *Protoperidinium*) were differentiated from naked dinoflagellates (*Gymnodinium* and
254 *Gyrodinium*).

255 **Results**

256 **Hydrological characteristics and nutrients diffusive flux**

257 During the study (11 January to 8 February), the north Crozet bloom had terminated and
258 partly advected by mesoscale features of the SAF associated with strong geostrophic
259 velocities (Fig. 1). Surface waters of the PFZ displayed very low chlorophyll *a* concentration
260 ($<0.3 \mu\text{g L}^{-1}$) and the bloom of the central Kerguelen plateau was also declining ($\sim 0.8 \mu\text{g L}^{-1}$).
261 East of Kerguelen Island, on the northern flank of the PF, a chlorophyll *a* plume originating
262 from coastal waters was advected eastward as the PF merged with the SAF. A potential
263 temperature-salinity diagram (Fig. 2) was used to classify the different stations into discrete
264 hydrological zones, summarized in Table 1. The Subantarctic Zone (SAZ) displayed the
265 highest surface temperatures ($>10^\circ\text{C}$, stations 5, 13 and 14). The Polar Frontal Zone (PFZ)
266 exhibited a clear decrease in surface salinity (<34 , stations 6, 7, 8 and 12) and the Antarctic
267 Zone (AAZ) was characterized by the presence of a temperature minimum layer ($\sim 1.8^\circ\text{C}$;
268 stations 9, 10, 11 and A3). The Si^* ($= \text{Si}(\text{OH})_4 - \text{NO}_3^-$) in intermediate and winter waters was
269 homogeneous ($\sim 0 \mu\text{mol L}^{-1}$) at all stations. Therefore, the Si^* signature of surface waters was
270 used as a tracer for $\text{Si}(\text{OH})_4$ uptake relative to nitrate in the productive layer, rather than
271 differences in preformed nutrients. In the SAZ, Si^* was similar in surface water and
272 intermediate waters ($0 \mu\text{mol L}^{-1}$) whereas in the PFZ and the AAZ, Si^* in surface waters was
273 strongly negative ($<-20 \mu\text{mol L}^{-1}$).

274 At all stations, 30-70% of the vertically integrated chlorophyll *a* occurred deeper than
275 the MLD (Table 2). However, only stations 6, 9, 12, 13 and 14 exhibited a maximum
276 chlorophyll *a* concentration deeper than the MLD. Brunt-Väisälä frequencies (N) were highest
277 in the transition layer and ranged from $4.5\text{-}8.2 \text{ cycles h}^{-1}$, with high values associated with

278 frontal (e.g. station 13 close to SAF) or bathymetric (station 6 near the Crozet plateau)
279 structures. At stations located close to the Kerguelen plateau (9, 10 and A3), Ze was shallower
280 than the MLD. Station 9 displayed a characteristic subsurface chlorophyll maximum where
281 chlorophyll *a* shows a steep increase under the MLD (70 m) and a gradual decrease in the
282 pycnocline down to 150 m (Fig. 3). Kz values peak at $9.5 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ in the mixed layer but
283 display a second maximum associated with the pycnocline, nutriclines, and elevated
284 chlorophyll *a* values of $1.1 \mu\text{g L}^{-1}$. The gradient between 150 m and the mixed layer was
285 much higher for Si(OH)_4 ($20 \mu\text{mol L}^{-1}$ to $<2 \mu\text{mol L}^{-1}$) than for NO_3^- ($27 \mu\text{mol L}^{-1}$ to $23 \mu\text{mol}$
286 L^{-1}) and PO_4^{3-} ($2.2 \mu\text{mol L}^{-1}$ to $1.5 \mu\text{mol L}^{-1}$).

287 Nutrient gradients estimated for the three different layers covered two order of
288 magnitude and were generally larger for Si(OH)_4 (Table 2). Highest Si(OH)_4 gradients (>200
289 $\mu\text{mol m}^{-4}$) were observed in the transition layer in stations of the AAZ close to the Kerguelen
290 plateau (stations 9, 10, A3), leading to large Si(OH)_4 diffusive fluxes ($\geq 1 \text{ mmol m}^{-2} \text{ d}^{-1}$).
291 Highest NO_3^- gradients ($\sim 100 \mu\text{mol m}^{-4}$) were found in the transition layer of stations 5 and 6
292 close to the Crozet Island, associated with the highest NO_3^- diffusive fluxes ($>300 \mu\text{mol m}^{-2} \text{ d}^{-1}$).
293 PO_4^{3-} gradients were at least one order of magnitude lower ($<10 \mu\text{mol m}^{-4}$) than nitrate
294 gradient, resulting in negligible diffusive fluxes.

295 **Particulate matter stocks and stoichiometry**

296 POC concentrations generally decreased with depth with highest values found in the mixed
297 layer of the SAZ ($> 10 \mu\text{mol L}^{-1}$), followed by the AAZ ($4.4\text{-}9.1 \mu\text{mol L}^{-1}$) and PFZ ($4.5\text{-}6.0$
298 $\mu\text{mol L}^{-1}$, Table 2). The largest value of $18.6 \mu\text{mol L}^{-1}$ at station 5 corresponded to a biomass
299 patch in a meander of the SAF (Fig. 1). POC concentrations in the transition layer ranged
300 from 2.7 to $8.2 \mu\text{mol L}^{-1}$ with the highest value observed over the Kerguelen plateau (Station
301 A3). At the reference depth of 250 m POC concentrations were relatively uniform (1.4 ± 0.3

302 $\mu\text{mol L}^{-1}$), although on the Kerguelen plateau (Station A3) they were notably higher (3.4
303 $\mu\text{mol L}^{-1}$).

304 The highest chlorophyll *a* concentration was observed in the warm mixed layer of
305 station 5 ($1.26 \mu\text{g L}^{-1}$). Stations in the PFZ exhibited the lowest mixed layer chlorophyll *a*
306 concentrations ($0.40 - 0.50 \mu\text{g L}^{-1}$), intermediate values were found in the SAZ ($0.60 - 0.90$
307 $\mu\text{g L}^{-1}$) and highest values in the AAZ ($\sim 1 \mu\text{g L}^{-1}$). Unlike POC, chlorophyll *a* values in the
308 transition layer frequently exceeded those in the mixed layer, with the largest value of $1.20 \mu\text{g}$
309 L^{-1} observed at the Kerguelen plateau station A3. Similarly the largest 250 m POC
310 concentration of $0.21 \mu\text{g L}^{-1}$ was observed at station A3, compared to negligible values of <
311 $0.05 \mu\text{g L}^{-1}$ at all other stations.

312 All the samples from the SAZ and PFZ displayed BSi:POC ratios <0.2 (Fig. 4).
313 Conversely, in the AAZ, BSi:POC values were between 0.4 and 0.9 with highest values found
314 in the transition layers. POC:PON ratios generally displayed a typical increase with depth
315 with a notable exception at station A3 (Kerguelen plateau) where the POC:PON ratio was
316 vertically homogeneous (6.3). PON:POP ratios demonstrated more variability than
317 POC:PON. In the AAZ, PON:POP mixed layer ratios were between 4-8, increasing with
318 depth. Mixed layer values in the PFZ (stations 6-10) were slightly higher than the AAZ (6-9),
319 and SAZ samples were notably larger with values >10 . The highest values of 16-21 were
320 found in transition layer PFZ samples located between the Crozet and Kerguelen Islands
321 (stations 6, 7, and 8). Chl:POC ratio (g g^{-1}) were generally highest in the transition layer and
322 lowest in 250 m samples. The largest Chl:POC ratios of 0.016-0.018 were observed in the
323 transition layer of the AAZ.

324 **Microplankton abundance and distribution**

325 The largest microplankton cell abundance ($527 \times 10^3 \text{ cell L}^{-1}$) was observed in the mixed
326 layer of station 5 and corresponded to a community dominated ($>90\%$) by *Bacteriastrium* spp.

327 (Table 3), constituting the external branch of the dendrogram based on Bray-Curtis distance
328 (Fig. 5). The low biomass group A ($<50 \times 10^3$ cell L⁻¹) contained the subgroup C which
329 represented mixed layer and transition layer of PFZ stations 6 and 12 characterized by an
330 equal proportion of full diatoms ($>100 \mu\text{m}$), *Prorocentrum* and naked ciliates. Subgroup D
331 contained all of the 250 m samples (except A3) and transition layer samples from the PFZ
332 stations 7 and 8, the latter characterized in decreasing order by empty diatoms ($<100 \mu\text{m}$),
333 *Prorocentrum* and naked ciliates. Group B (high abundance) contained three subgroups, E, F
334 and G. Subgroup E represented the majority of surface and transition layer samples from the
335 AAZ and was characterized by a strict dominance of full diatoms ($<100 \mu\text{m}$). Subgroup F
336 constituted samples from the mixed and transition layer of SAZ stations 13 and 14 with an
337 assemblage of *Prorocentrum* and full diatoms ($<100 \mu\text{m}$). Finally, subgroup G contained
338 samples from the transition layer and deep layer at A3 dominated by *Chaetoceros*
339 *Hyalochaete* resting spores and full diatoms ($<100 \mu\text{m}$). It is generally stated that bottle
340 sampling might under-sample large and rare diatoms (Armand et al. 2008). Therefore our data
341 might underestimate the contribution of large diatoms to the total microplankton assemblage.

342 The fraction of empty diatoms generally increased with depth (up to 90 % at 250 m in
343 the PFZ), with the notable exception of station A3 where it remained ~20%. *Fragilariopsis*
344 *keruelensis* dominated (>60 %) the empty diatoms in all the samples of the AAZ and PFZ at
345 any depth, with the exception of station A3 (Fig. 6). Station 5 was mostly characterized by
346 empty *Bacteriastrum* spp. cells. In stations 13 and 14 (SAZ) the mixed layer empty diatom
347 community was dominated by *Pseudo-nitzschia* spp., *Thalassiothrix antarctica* and
348 *Chaetoceros Hyalochaete* (vegetative). Mixed layer sample at A3 contained in decreasing
349 abundance empty cells of *F. kerguelensis*, *Pseudo-nitzschia* spp., *Eucampia antarctica* var.
350 *antarctica* and *Corethron* spp. In the transition layer of stations 13 and 14 (SAZ), empty
351 diatoms were dominated by *C. Hyalochaete* (vegetative) and *Pseudo-nitzschia* spp. At A3,

352 empty diatoms in the transition layer were dominated by *Pseudo-nitzschia* spp. (50 %),
353 followed by *C. Hyalochaete* (vegetative) and *E. antarctica* var. *antarctica*. Finally, empty
354 *Pseudo-nitzschia* (45 %), *C. Hyalochaete* (vegetative, 18 %) and *F. kerguelensis* (12 %) were
355 observed at 250 m at A3.

356 **Microplankton POC partitioning**

357 A highly significant linear correlation (Spearman, $n = 33$, $\rho = 0.88$, $p < 0.01$) was found
358 between the measured POC (Table 2) and the calculated total microplankton POC ($\text{POC}_{\text{micro}}$,
359 Table 3). The regression slope (0.7), and significant intercept ($\sim 1 \mu\text{mol L}^{-1}$), suggested that
360 the microplankton biomass calculation underestimated the total POC. At station 5, the mixed
361 layer sample was dominated by *Bacteriastrum* spp. (>60 %, Fig. 7). At stations 6 to 8 (PFZ
362 between Crozet and Kerguelen), naked ciliates (>40 %) and dinoflagellates were the main
363 contributors to $\text{POC}_{\text{micro}}$ at any depth. In the mixed layer samples of stations 13 and 14 (SAZ)
364 dinoflagellates dominated (>50 %) the $\text{POC}_{\text{micro}}$. In the AAZ, diatoms dominated $\text{POC}_{\text{micro}}$ at
365 all stations, with a major contribution of the assemblage of large diatoms (>100 μm):
366 *Rhizosolenia* spp., *Corethron* spp., *T. antarctica*, *Membraneis* and *F. kerguelensis* (<100 μm).
367 The same pattern of dominant taxa was also observed in the transition layer of the AAZ. At
368 stations 12, 13 and 14 (north of Kerguelen), dinoflagellates followed by *Membraneis* and
369 *Pseudo-nitzschia* spp were the main contributors to $\text{POC}_{\text{micro}}$. In the deep samples, $\text{POC}_{\text{micro}}$
370 was dominated by the contribution of dinoflagellates (mainly *Prorocentrum*) and ciliate
371 biomass with a noticeable exception at station A3 with the presence of *C. Hyalochaete* resting
372 spores (>60 % $\text{POC}_{\text{micro}}$).

373 **Particulate matter signature and microplankton assemblages**

374 The first two axes of the CCA accounted for ~ 88 % of the variability within the dataset (Fig.
375 8). Axis 1 opposed AAZ and SAZ stations characterized by a dominance of diatoms and high
376 BSi:POC stoichiometry to the PFZ stations dominated by dinoflagellates and ciliates and a

377 high PON:POP ratio. Axis 2 globally opposed surface samples with marked NO_3^- and PO_4^{3-}
378 gradients associated with full diatoms ($<100 \mu\text{m}$) to the 250 m samples with a high POC:PON
379 ratio associated with empty diatoms ($<100 \mu\text{m}$). Full diatoms ($>100 \mu\text{m}$) were projected close
380 to the Chl:POC ratio and the AAZ transition layer samples. Finally, empty diatoms (>100
381 μm) were projected close to the $\text{Si}(\text{OH})_4$ gradient, the BSi:POC ratio and the transition layer
382 samples and deep samples of the AAZ.

383 **Discussion**

384 **Microplankton community and physiology in the transition layer**

385 During our study (January-February), the period of maximum productivity had already
386 occurred (supplementary animation). The North Crozet bloom ended and was partly advected
387 eastward in the Subantarctic Front (SAF), and the central Kerguelen plateau bloom was also
388 in decline. Large and negative Si^* values in the PFZ and AAZ (Fig. 2) suggested intense
389 $\text{Si}(\text{OH})_4$ utilization compared to nitrate utilization associated to bloom features. This can
390 result from a dominance of diatoms in phytoplankton populations together with an increase in
391 Si:N uptake ratio in response to iron limitation (Hutchins and Bruland 1998; Takeda 1998;
392 Moore et al. 2007). Low concentrations of $\text{Si}(\text{OH})_4$ ($1.8 \mu\text{mol L}^{-1}$; Mosseri et al. 2008) and
393 dissolved iron ($\sim 0.1 \text{ nmol L}^{-1}$; Blain et al. 2008) over the central Kerguelen plateau in summer
394 suggest that both elements may limit diatom growth in summer mixed layers.

395 The subsurface chlorophyll maximum is a recurrent feature in the oligotrophic ocean
396 (Venrick et al. 1973; Letelier et al. 2004; Mignot et al. 2014), the North Sea (Weston et al.
397 2005), and the Arctic (Martin et al. 2010). The SCM can be associated with a phytoplankton
398 biomass maximum (Martin et al. 2010), or the two structures can be uncoupled, suggesting
399 that the vertical distribution of chlorophyll is strongly determined by photoacclimation
400 (Fennel and Boss 2003). In the Southern Ocean, it has been proposed that the development of
401 sub-surface biomass features is linked to such nutrient depletion, in particular iron in the

402 mixed layer (Parslow et al. 2001). Under these conditions, phytoplankton accumulates in
403 temperature minimum layers that are frequently associated to the pycnocline and/or nutricline
404 (Holm-Hansen and Hewes 2004). In the present study a large fraction of integrated
405 chlorophyll *a* was observed below the mixed layer and the euphotic layer in the SAZ, PFZ
406 and AAZ in the vicinity of the Crozet and Kerguelen plateaus. The transition layer constitutes
407 a physical interface of increased water column stability, as diagnosed by maximum Brunt-
408 Väisälä frequencies (Table 2). However, although POC and POC_{micro} concentrations were
409 higher in the transition layer relative to the deep-reference samples, they were notably lower
410 than those of the mixed layer (Table 2, 3), not indicative of biomass accumulation on this
411 physical interface. Furthermore, examples of significant sub-surface biomass accumulation in
412 the Southern Ocean have been associated to divergent diatom communities with an
413 accumulation of larger diatoms at depth (Kopczynska et al. 2001). In our regional survey,
414 mixed layer and transition layer diatom communities were similar, consistent with more
415 localised studies (Armand et al. 2008; Gomi et al. 2010). The data presented above suggests
416 that subsurface chlorophyll features are not necessarily associated with biomass accumulation
417 in the Southern ocean and this is consistent across a broad spatial scale.

418 In the PFZ and AAZ, the highest Chl:POC ratios were observed in the transition layer
419 and we suggest this is linked to photoacclimation. It is known that Chl:POC ratios of
420 phytoplankton can cover more than one order of magnitude (0.003 – 0.055 g g⁻¹; Cloern et al.
421 1995) and due to photoacclimation vary fourfold among single diatom species (Anning et al.
422 2000). The CCA results highlight the association of high Chl:POC ratios with full and large
423 (>100 µm) diatom cells in the transition layer of the AAZ. Southern Ocean diatoms have
424 developed an acclimation strategy to low light and iron levels by increasing the amount of
425 light-harvesting pigments on photosynthetic units, rather than multiplying the number of
426 photosynthetic units (Strzepek et al. 2012).

427 It has been suggested previously that nutrient diffusion through the pycnocline could
428 sustain phytoplankton production in a transition layer when mixed layer nutrient
429 concentrations reach limiting levels (Holm-Hansen and Hewes 2004; Johnston and Rudnick
430 2009; Quéguiner 2013). There was no evidence of oxygen accumulation in the transition layer
431 (data not shown) suggesting minimal photosynthetic production, although diffusion and
432 heterotrophic respiration may have dampened an already low signal. Unfortunately no carbon
433 fixation data is available to validate the hypothesis of negligible photosynthetic rates below
434 the euphotic layer. However, production in the transition layer would also require iron
435 diffusion but ferriclines can be significantly deeper than mixed layers and transition layers.
436 On the Kerguelen plateau, although the transition layer occurs at 110 m, the ferricline is
437 located at 175 m in summer (Blain et al. 2008). This is a pattern generally applicable to the
438 Southern Ocean as a whole, where summer ferricline horizons appear to be systematically
439 deeper than mixed layer depths (Tagliabue et al. 2014) and thus significant carbon fixation by
440 transition layer communities appears unlikely. Our data suggests that sub-surface chlorophyll
441 features can be attributed to photoacclimatation of mixed layer communities within the
442 transition layer, rather than production and subsequent biomass accumulation at this interface.

443 **Late summer transition layers as a site for carbon and silicon decoupling**

444 We propose Southern Ocean transition layers as a key location in the water column
445 where carbon and silicon elemental cycles are decoupled. A notable biogeochemical feature
446 of late summer transition layers in our study region is elevated BSi:POC ratios compared to
447 mixed layer samples (Fig. 4). In contrast to the deep water-column (250 m), mixed layer and
448 transition layer diatom communities are quite similar. This indicates that differences in diatom
449 community structure, (i.e. shifts to larger diatoms in sub-surface communities, Kopczynska et
450 al. 2001) does not act as a major control in driving the patterns in BSi:POC ratios as a
451 function of depth. In contrast, the proportion of empty diatom frustules in the transition layer

452 is markedly increased compared to the mixed layer (Fig. 6). Specifically, we observed an
453 accumulation of empty *F. kerguelensis* and *Pseudo-nitzschia* cells associated to high
454 BSi:POC ratios. Programmed cell death, viral lysis and grazing pressure have all been
455 proposed as mechanisms that could lead to the accumulation of empty frustules (Assmy et al.
456 2013). In this context, transition layers have been identified as grazing hotspots for micro- and
457 meso-zooplankton (Holm-Hansen and Hewes 2004; Gomi et al. 2010). A high BSi:POC ratio
458 is an inherent property to the iron-limited ACC characterized by the dominance of heavily
459 silicified diatoms (Smetacek et al. 2004), our results suggest it might be enhanced within the
460 transition layer transitional layer due to elevated heterotrophic activity and zooplankton
461 grazing. Additionally, transition layers in the SAZ and at A3 displayed a low fraction of
462 empty frustules and a high abundance of large *Corethron* spp. or very large *Thalassiothrix*
463 *antarctica*. The large size of these diatom might confer them a resistance to grazing
464 (Smetacek et al. 2004), resulting in a low proportion of empty frustules for these species.

465 In the AAZ, we observed high Si(OH)_4 diffusive fluxes in the transition layer, mainly
466 driven by a strong Si(OH)_4 gradient generated by the intense silicon utilization by diatoms in
467 surface waters in summer, and to a lesser extent by an increased K_z within the transition
468 layer. Carbon fixation relies on iron-dependent photosynthesis whereas Si fixation depends on
469 energy from respiration (Martin-Jézéquel et al. 2000) and may thus occur independent of light
470 (Chisholm et al. 1978; Martin-Jézéquel et al. 2000). Silicification may be sustained by vertical
471 diffusion of Si(OH)_4 (Table 2) and, even at low levels, may partly contribute to the increase in
472 BSi:POC ratios in AAZ transition layers. Consequently the transition layer may represent a
473 location in the water column where carbon and silicon fixation can become physiologically
474 decoupled, although direct measurements of carbon and silicon uptake (e. g. Closset et al.
475 2014) would be necessary to confirm this hypothesis.

476 **Regional patterns in microplankton diversity and particulate matter**

477 **stoichiometry**

478 The hierarchical clustering and the CCA suggest strong regional patterns in microplankton
479 community structure relative to the frontal location and the depth. The dominance of the sub-
480 tropical diatom *Bacteriastrum* in the warm surface water waters (15 °C) in the SAZ is likely
481 to result from the southward advection of a the Subtropical Front meander. In general mixed
482 layer communities in the SAZ and PFZ were dominated by the dinoflagellate *Prorocentrum*,
483 in terms of both abundance and biomass. A major contribution of dinoflagellates to late
484 summer phytoplankton biomass was also observed in the SAZ of the Crozet Basin
485 (Kopczyńska and Fiala 2003), although flagellates and coccolithophorids dominated the
486 numerical assemblage (Fiala et al. 2004), consistent with the regional pattern of coccolith
487 sedimentation (Salter et al. 2014). Poulton et al. (2007) reported that post-bloom
488 phytoplankton communities in the PFZ, North of the Crozet plateau, were dominated by the
489 nanoplanktonic *Phaeocystis antarctica*, with a low contribution by the small diatom
490 *Thalassionema nitzschioides*. The low contribution of diatoms to late summer biomass in the
491 mixed layer of the SAZ and PFZ is consistent with the commonly observed succession of
492 diatoms to dinoflagellates from spring to summer (Margalef 1978; Barton et al. 2013).
493 Ciliates significantly contributed to phytoplankton biomass in the mixed layer of the PFZ,
494 indicative of nutrient limitation driving a switch towards a more heterotrophic food-web as
495 often observed at a global scale (Margalef 1958; Landry and Calbet 2004) and during
496 artificial (Gall et al. 2001; Henjes et al. 2007) and natural (Poulton et al. 2007) iron-
497 fertilization studies in the Southern Ocean.

498 In contrast to the patterns described above, diatoms still heavily dominated AAZ
499 microplankton communities at the time of sampling (>80 % abundance, >70 % biomass),
500 notably through the contribution of large diatoms such as *Membraneis*, *Corethron* and

501 *Rhizosolenia*. A dominance of the large diatom *Corethron pennatum* to the total biomass was
502 previously reported in late summer in the AAZ south of Crozet Islands (Poulton et al. 2007).
503 In the AAZ west of South Georgia, diatoms also dominate phytoplankton biomass in late
504 summer with a strong contribution of *Pseudo-nitzschia*, *T. antarctica*, and *E. antarctica* var.
505 *antarctica* (Korb and Whitehouse 2004; Korb et al. 2008, 2010). We observed a strong
506 contribution of the very large diatom *Thalassiothrix antarctica* together with *Corethron* spp.
507 to the total biomass at the central Kerguelen plateau station A3. This is consistent with
508 previous observations at the same station in summer during KEOPS1, although in the latter *E.*
509 *antarctica* dominated diatom biomass (Armand et al. 2008). On the Kerguelen plateau
510 dinoflagellates contribution to biomass and abundance was lower (mainly though the
511 representation of the genera *Gyrodinium* and *Prorocentrum*) and similar to observations made
512 during KEOPS1 (>20 % microplankton biomass; Sarthou et al. 2008). Over the Kerguelen
513 plateau, diapycnal iron diffusive flux in summer (Blain et al. 2008; Chever et al. 2010) might
514 sustain diatom production and explain why the microplankton community has not shifted to a
515 dominance of dinoflagellates and ciliates.

516 Regional patterns in PON:POP stoichiometry of particulate matter were strongly
517 correlated with the distribution of major microplankton groups across frontal zones and at
518 different depth horizons. The CCA highlights the general association of elevated PON:POP
519 ratios with dinoflagellates and ciliates. Furthermore, PON:POP ratios were lowest in the
520 mixed layer of the AAZ (4-7) and transition layer of the AAZ (5-8) where biomass is
521 dominated by diatoms (>70 %). In culture, N:P ratios of ~10 for the dinoflagellates
522 *Gymnodinium dominans* and *Oxyrrhis marina* and 10-15 for the ciliate *Euplotes* have been
523 reported (Golz et al. 2015). Under optimal growth conditions *O. marina* exhibits high N:P
524 ratios of 25 (Malzahn et al. 2010). Similarly several studies have reported low N:P ratio from
525 diatom cultures (<10; Quigg et al. 2003; Ho et al. 2003). During the EIFEX artificial-iron

526 fertilization experiment, *F. kerguelensis* was reported to grow with an N:P ratio of 3-4
527 (Hoffmann et al. 2007). During KEOPS2, N:P ratio of 6-15 was found in the high biomass
528 stations of the PFZ east of Kerguelen Islands (Lasbleiz et al. 2014). In agreement with these
529 previous studies, our results suggest that broad-scale shifts in microplankton community
530 composition in the Southern Ocean can modulate particulate matter stoichiometry and are
531 consistent with the major latitudinal trends observed globally (Martiny et al. 2013).

532 There are some notable subtleties to the general trends presented above. SAZ mixed
533 layer particles exhibit relatively high PON:POP ratios (10-12) even if the community was
534 dominated by diatoms (e.g. Station 5; >75% *Bacteriastrium* sp.). Resource allocation in
535 Southern Ocean diatoms is known to be highly sensitive to temperature with more P-rich
536 ribosomes being required for protein synthesis under low temperature resulting in a lower N:P
537 ratio (Toseland et al. 2013). Mixed layer waters of the SAZ are notably warmer (10-15°C)
538 than the AAZ (2-4°C), which may result in higher PON:POP ratio for diatom-dominated
539 communities of the SAZ compared to the AAZ. Iron-limitation is an additional plausible
540 mechanism that may modulate PON:POP ratios. Iron limitation decreases nitrate uptake
541 (Price et al. 1994) and nitrate reductase activity (Timmermans et al. 1994), leading to lower
542 N:P ratio in iron-limited diatom cultures (Price 2005). Furthermore, Hoffmann et al. (2006)
543 reported a strong N:P increase (4 to 16) in the >20 µm fraction following iron addition in
544 iron-limited cultures. The dissolved iron concentration is <0.15 nmol L⁻¹ in the mixed layer in
545 the AAZ over the central Kerguelen plateau in February (Blain et al. 2008) and therefore iron
546 limitation may have lowered PON:POP ratios observed in the diatom-dominated AAZ
547 samples. In conclusion microplankton community structure appears to exert a first order
548 control on PON:POP stoichiometry in late summer in this sector of the Southern Ocean.
549 Physiological constraints linked to environmental factors, such as temperature and iron
550 limitation, are also able to modulate this ratio.

551 **Implications for carbon and silicon export**

552 A recent compilation of carbon export estimates over the Kerguelen plateau (station A3)
553 indicates a strong POC flux attenuation between the mixed layer and 300 m (Rembauville et
554 al. 2015b). In this region we observed similarly high BSi:POC ratios in the transition layer
555 (~0.8) compared to sediment trap samples (0.7 – 1.5) at the end of summer (Rembauville et
556 al. 2015a). *F. kerguelensis* was mostly present in the form of empty frustules in the transition
557 layer, consistent with its classification as a preferential “silica sinker” (Assmy et al. 2013;
558 Smetacek et al. 2004) that has been confirmed by sediment trap studies (Salter et al. 2012;
559 Rembauville et al. 2015a; Rigual-Hernández et al. 2015). In contrast, the large *Rhizosolenia*
560 spp. (~500 µm) and very large *T. antarctica* (up to 3-4 mm) were present as full cells within
561 the transition layer, an observation consistent with their recent quantification as a “carbon
562 sinker” over the central Kerguelen plateau (Rembauville et al. 2015a). However, the large
563 frustule of these species confers a resistance to grazing (e.g. Smetacek et al. 2004) and high
564 Si:C ratio that may drive a significant contribution to silicon sinking.

565 It is generally stated that diatom-dominated ecosystems are more efficient in exporting
566 carbon from the mixed layer compared to more recycling systems dominated by
567 dinoflagellates and ciliates (Smetacek 1985; Legendre and le Fèvre 1989; Boyd and Newton
568 1995, 1999; Legendre and Rivkin 2015). However, despite a dominance of diatoms in the
569 mixed layer microplankton assemblage in the AAZ, the deep (250 m) POC concentrations in
570 the AAZ were comparable to the PFZ and SAZ (0.9-1.36 µmol L⁻¹ versus 1.10 – 1.90 µmol L⁻¹
571 ¹) where dinoflagellates and ciliates dominated the microplankton assemblage. Although one
572 must be cautious in equating standing stocks to fluxes these data suggest that in late summer
573 in the Southern Ocean, a higher proportion of diatoms in the mixed layer does not consistently
574 lead to a higher transfer of carbon at 250 m. Intense zooplankton grazing of diatom biomass in
575 the transition layer, as evidenced by the increased proportion of empty cells relative to the

576 mixed layer, presumably results in the efficient consumption and recycling of exportable
577 biomass reducing diatom-mediated carbon transfer into the ocean interior. This has been
578 suggested previously as an explanation for High biomass Low Export Environments (Lam
579 and Bishop 2007; Lam et al. 2011; Jacquet et al. 2011). Moreover, a strong response of
580 heterotrophic microbial communities to the high primary production levels (Obernosterer et
581 al. 2008) and the association of specific bacterial communities with deep biomass features
582 (Obernosterer et al. 2011) might also strongly contribute to the remineralization of POC over
583 the Kerguelen plateau. An efficient response of both microbial and mesozooplanktonic
584 communities to POC availability is consistent with the inverse relationship between diatom-
585 dominated primary production and export efficiency observed in the Southern Ocean (Maiti et
586 al. 2013). Furthermore we observed a progressive increase of diatoms present as empty
587 frustules through the water column and a significantly higher contribution of dinoflagellates
588 and ciliates to total microplankton POC at 250 m compared to the transition layer. These data
589 show the importance of zooplankton grazing in modulating diatom export production during
590 late summer Southern Ocean ecosystems and highlight the potential importance of ciliates and
591 dinoflagellates to the biological carbon pump at these specific times.

592 A notable exception to the patterns described above are the observations from station
593 A3, on the Kerguelen plateau, where deep microplankton POC is dominated by *Chaetoceros*
594 *Hyalocahete* resting spores (80%), leading to POC concentrations that are ~3 times higher
595 than mean values at 250 m in the AAZ, PFZ and SAZ. This observation is broadly consistent
596 with a recent sediment trap study which documented *C. Hyalochaete* resting spores as the
597 dominant contributor to the annual carbon (>60%) mediated through two rapid flux events
598 occurring at the end of summer (Rembauville et al. 2015a). If the transition layer is a place of
599 intense grazing pressure then our results consolidate the idea that resting spores are a specific
600 ecological vector for carbon export through intense remineralization horizons. Indeed, small

601 and highly silicified *Chaetoceros Hyalochaete* resting spores have been demonstrated to
602 lower copepod grazing pressure in culture (Kuwata and Tsuda 2005). In line with recent
603 sediment trap results, the present study supports the pivotal role of diatom resting spores for
604 carbon export from natural iron fertilized blooms in the Southern Ocean (Salter et al. 2007,
605 2012; Rembauville et al. 2015a). The net impact of diatom-dominated communities on carbon
606 export strongly depends on the ecology of the species present. Preferential silicon sinking
607 species poorly contribute to carbon export contrary to carbon sinking species, such as diatoms
608 that form resting spores. A coupled description of mixed layer properties (nutrient dynamics
609 and phytoplankton communities) and export out of the mixed layer over an entire productive
610 cycle remains necessary to better understand processes responsible for resting spore
611 formation.

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966 **Table 1:** Stations labels, date and locations and attributed hydrological zone. Mixed layer
 967 depth (MLD), Depth of the euphotic layer (Ze), Depth of the fluorescence-derived chlorophyll
 968 maximum (Chl_{max}) and percentage of chlorophyll located under the mixed layer depth.

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970

Station	Date	Location	Zone	MLD (m)	Ze (m)	Depth of Chl_{max} (m)	% Chl under MLD
5	11/01/2014	42°30'S 52°29'E	SAZ	35	35	20	39
6	12/01/2014	44°60'S 52°06'E	PFZ	52	53	75	73
7	14/01/2014	47°40'S 58°00'E	PFZ	59	58	46	54
8	16/01/2014	48°00'S 60°00'E	PFZ	63	44	44	46
9	17/01/2014	48°30'S 65°01'E	AAZ	70	42	77	58
10	19/01/2014	50°40'S 68°25'E	AAZ	76	38	48	28
11	21/01/2014	56°30'S 62°59'E	AAZ	71	66	61	65
A3	23/01/2014	50°38'S 72°05'E	AAZ	78	37	50	53
12	06/02/2014	46°59'S 72°01'E	PFZ	56	58	70	69
13	06/02/2014	44°59'S 73°20'E	SAZ	39	44	47	50
14	08/02/2014	42°28'S 74°54'E	SAZ	38	49	50	70

982

Table 2: Sample code (M : mixed layer, T : transition layer, D : 250 m), sampling depth and concentrations of particulate organic carbon (POC), nitrogen (PON) and phosphorous (POP). Nutrient diffusive flux was calculated using a Kz value of $4.5 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ in the transition layer (see materials and methods).

Sample	Depth (m)	Particulate stock ($\mu\text{mol L}^{-1}$)				Chlorophyll <i>a</i> ($\mu\text{g L}^{-1}$)	Nutrient gradient ($\mu\text{mol m}^{-4}$)			Nutrient diffusive flux ($\mu\text{mol m}^{-2} \text{ d}^{-1}$)			Brunt-Väisälä Frequency (cycle h^{-1})
		POC	PON	POP	BSi		Si(OH) ₄	NO ₃ ⁻	PO ₄ ³⁻	Si(OH) ₄	NO ₃ ⁻	PO ₄ ³⁻	
5M	20	18.60	2.34	0.19	1.07	1.26	0	78	7				3.1
5T	57	3.30	0.47	0.06	0.25	0.20	46	94	1	178	367	6	4.8
5D	250	1.10	0.15	0.02	0.02	0.01	15	35	2				2.3
6M	30	6.00	0.93	0.09	0.17	0.50	0	20	1				2.7
6T	71	5.53	0.85	0.05	0.25	0.67	100	89	7	390	345	25	8.2
6D	250	1.48	0.18	0.02	0.19	0.02	133	37	2				2.5
7M	39	4.85	0.72	0.10	0.16	0.40	37	0	4				2.9
7T	74	2.66	0.41	0.02	0.20	0.41	108	61	2	419	236	8	6.5
7D	249	1.27	0.18	0.02	0.17	0.02	81	20	2				2.2
8M	32	4.56	0.64	0.08	0.10	0.73	18	0	5				1.9
8T	100	4.93	0.80	0.04	0.26	0.37	69	49	3	269	191	10	5.1
8D	250	1.29	0.13	0.02	0.18	0.02	107	15	2				2.8
9M	50	7.25	1.10	0.17	3.19	0.83	27	8	0				2.2
9T	110	5.01	0.73	0.09	4.35	1.04	241	22	4	935	86	16	6.9
9D	250	1.36	0.18	0.02	1.02	0.04	168	37	3				2.9
10M	49	7.79	1.09	0.15	4.91	1.00	12	0	1				2.1
10T	99	3.45	0.42	0.06	3.14	0.69	342	71	6	1328	274	24	5.8
10D	248	1.36	0.17	0.02	0.57	0.03	156	19	2				2.4
11M	49	4.14	0.59	0.15	1.84	0.51	25	31	2				2.7
11T	119	3.46	0.43	0.09	1.52	0.63	143	15	3	556	58	10	4.4
11D	250	0.97	0.10	0.02	0.53	0.03	163	22	1				2.1
12M	40	4.57	0.62	0.07	0.24	0.49	21	18	0				3.3
12T	70	2.96	0.41	0.06	0.29	0.55	64	35	4	250	135	15	6.5
12D	250	1.46	0.15	0.02	0.21	0.03	109	40	1				2.6
13M	21	10.60	1.52	0.13	0.28	0.73	0	0	0				3.4
13T	46	6.77	0.95	0.08	0.37	0.82	71	24	0	277	93	0	8.2
13D	251	1.90	0.25	0.04	0.09	0.02	14	27	1				2.1
14M	20	10.49	1.59	0.15	0.33	0.62	0	0	3				4.1
14T	55	6.81	1.04	0.10	0.65	0.94	64	34	2	251	133	7	6.3
14D	250	1.85	0.20	0.03	0.09	0.04	2	22	1				2.1
A3M	41	9.36	1.47	0.25	4.71	1.10	1	15	0				2.0
A3T	110	8.19	1.31	0.18	5.08	1.20	426	83	6	1655	322	22	6.1
A3D	250	3.39	0.54	0.06	1.50	0.21	142	19	3				2.3

Table 3: Total microplankton cells abundances, total microplankton POC, and relative contribution of each microplanktonic group to the total abundance.

Sample	Total cell abundance (10^3 L^{-1})	Total microplankton POC ($\mu\text{mol L}^{-1}$)	Contribution to total microplankton abundance (%)												
			Empty Diatoms (>100 μm)	Empty Diatoms (<100 μm)	Full Diatoms (>100 μm)	Full Diatoms (<100 μm)	CRS	Naked dinoflagellate	<i>Prorocentrum</i>	Other armored dinoflagellates	Naked ciliates	Tintinnids	Total diatoms	Total dinoflagellates	Total ciliates
5M	527	13.50	0	2	0	90	0	1	5	0	2	0	92	6	2
5T	25	1.34	1	3	1	60	0	4	25	1	4	1	65	30	5
5D	3	0.19	0	0	0	0	0	5	60	2	34	0	0	66	34
6M	49	3.23	0	5	1	19	0	9	38	0	28	1	24	47	28
6T	40	2.34	0	5	1	23	0	6	41	0	23	1	30	46	24
6D	5	0.16	0	48	0	4	0	3	33	1	11	0	52	37	11
7M	5	1.83	0	4	2	20	0	14	36	0	25	0	25	50	25
7T	8	1.26	0	22	2	20	0	6	34	0	15	0	45	40	15
7D	8	0.13	0	52	0	4	0	3	29	1	12	0	56	32	12
8M	12	2.29	0	1	1	17	0	24	24	0	33	0	19	49	33
8T	10	2.07	0	29	1	23	0	5	28	0	14	0	53	32	15
8D	6	0.14	0	15	0	0	0	5	59	1	20	0	15	65	20
9M	89	4.46	1	19	6	57	0	7	7	0	3	0	83	14	3
9T	104	3.41	1	32	3	51	0	4	6	0	2	0	87	10	2
9D	12	0.19	2	50	0	18	0	12	15	0	3	0	70	27	3
10M	145	4.38	1	18	7	67	0	4	3	0	1	0	92	7	1
10T	77	2.36	2	44	7	34	0	5	6	1	2	0	86	11	2
10D	11	0.11	0	38	0	1	0	16	18	0	26	0	39	34	26
11M	114	2.82	1	17	4	71	0	2	1	0	2	0	94	4	2
11T	75	1.50	1	52	2	33	0	5	4	0	2	0	89	9	2
11D	22	0.14	2	82	0	1	0	8	8	0	0	0	84	16	0
12M	24	2.28	0	15	12	35	0	5	28	0	5	1	61	33	5
12T	16	1.24	0	16	5	28	0	3	32	0	16	0	49	35	16
12D	13	0.14	3	63	1	8	0	1	18	0	6	0	75	19	6
13M	149	6.72	0	4	1	24	0	21	39	1	9	0	30	61	9
13T	77	4.31	0	3	1	48	0	9	26	4	8	0	53	39	8
13D	2	0.23	0	0	0	0	0	17	78	0	6	0	0	94	6
14M	103	6.15	1	0	4	23	0	12	42	7	11	1	28	61	12
14T	72	3.50	1	3	8	63	0	10	15	0	0	0	74	26	0
14D	4	0.13	1	5	2	4	0	18	68	1	1	1	12	87	2
A3M	108	7.29	2	17	13	60	0	3	3	1	2	0	91	7	2
A3T	234	5.75	0	13	8	66	8	1	2	0	1	0	95	3	2
A3D	110	2.89	1	17	0	37	39	2	2	0	1	0	94	4	1

Figures legends

Figure 1: Location of the study in the Indian sector of the Southern Ocean and station map. Satellite-derived surface chlorophyll *a* (MODIS level 3 product, 8 days composite) was averaged from 9 January 2013 to 10 February 2014. Arrows correspond to altimetry-derived geostrophic velocities (AVISO MA-DT daily product) averaged over the same period. Grey lines represent the 500 m and 1000 m isobaths. SAF: Subantarctic Front, PF: Polar Front, SAZ: Subantarctic Zone, PFZ: Polar Frontal Zone, AAZ: Antarctic Zone.

Figure 2: Potential temperature/salinity diagram. a) Colored points denote Si* ($\text{Si(OH)}_4 - \text{NO}_3^-$) distribution. Circled labels refer to stations. The main water masses identified are specified: SASW: Subantarctic Surface Water, SAMW: Subantarctic Mode Water, AAIW: Antarctic Intermediate Water, AASW: Antarctic Surface Water, WW: Winter Water, CDW: Circumpolar Deep Water, AABW: Antarctic Bottom Water. b) Detailed view for stations of the PFZ and AAZ.

Figure 3: Example of vertical profiles for station 9. a) Potential density anomaly (σ_θ , black line), fluorescence-derived chlorophyll *a* (grey line) and turbulent diffusion coefficient (K_z , black dashed line). b) Vertical profile of nitrate (triangles), phosphate (circles) and silicate (square).

Figure 4: Particulate matter stoichiometry. a) POC:PON versus BSi:POC. b) PON:POP versus BSi:POC. c) Chl:POC versus BSi:POC. Horizontal dashed line is the mean BSi:POC ratio from Quéguiner and Brzezinski (2002) for the Polar Frontal Zone in the Indian sector of the Southern Ocean (0.47). Vertical dashed line are the mean POC:PON and PON:POP ratios for the Southern Ocean from Martiny et al. (2013) (7.4 and 10.6, respectively).

Figure 5: Dendrogram of the hierarchical clustering (UPGMA agglomeration) based on the Bray-Curtis distance calculated on raw microplankton abundances. Capital letters categorize the groups referred to in the text.

Figure 6: Fraction of empty diatoms for a) mixed layer samples, b) transition layer samples and c) 250 m samples. Black dots represent the fraction of total empty diatoms to the sum of full and empty diatom frustules. Patterned bars refer to the fraction of a diatom group as specified in the legend.

Figure 7: Microplankton POC partitioning for a) mixed layer samples, b) transition layer samples and c) 250 m samples. Patterned bars refer to the contribution of a microplankton group as specified in the legend.

Figure 8: Projection of samples, main microplankton groups and biogeochemical factors (particulate matter stoichiometry and major nutrients diffusive fluxes) on the first two axes of the canonical correspondence analysis (CCA).

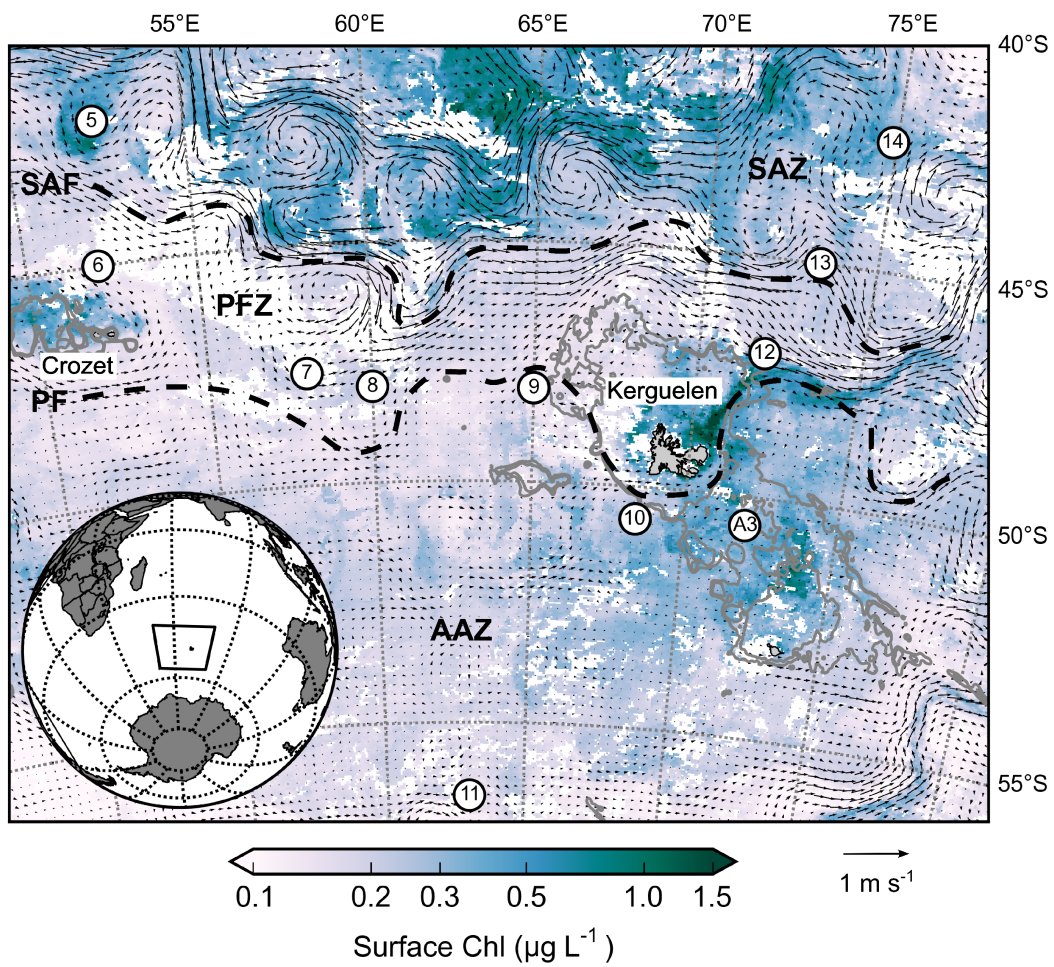


Figure 1.

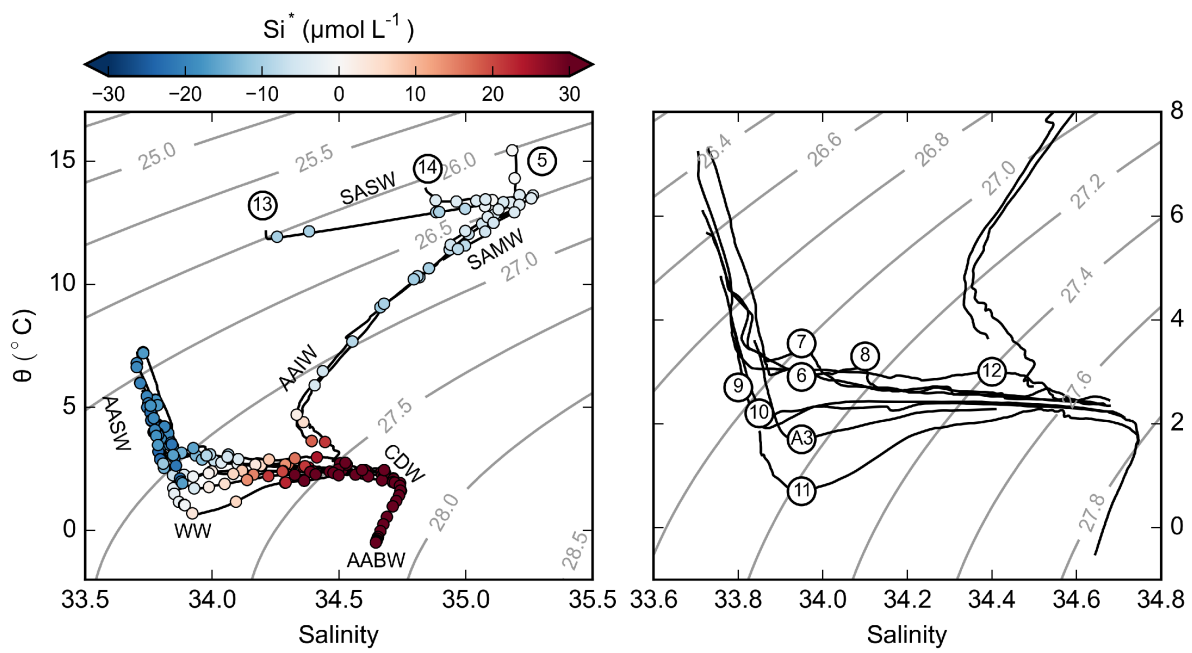


Figure 2.

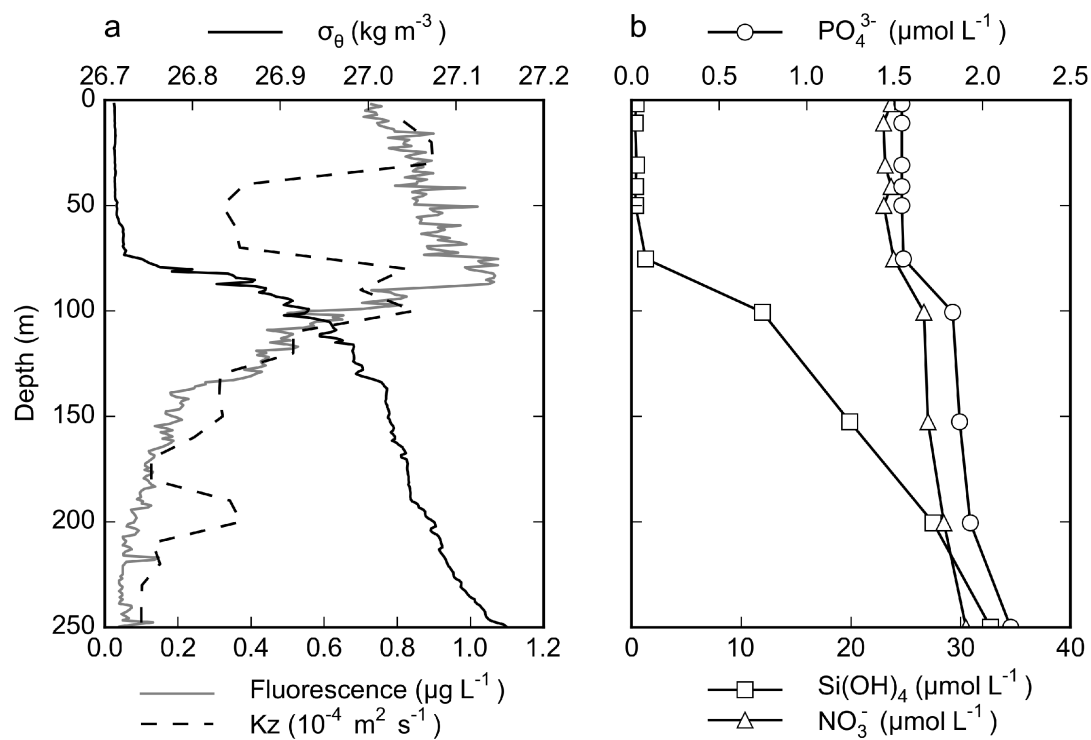


Figure 3.

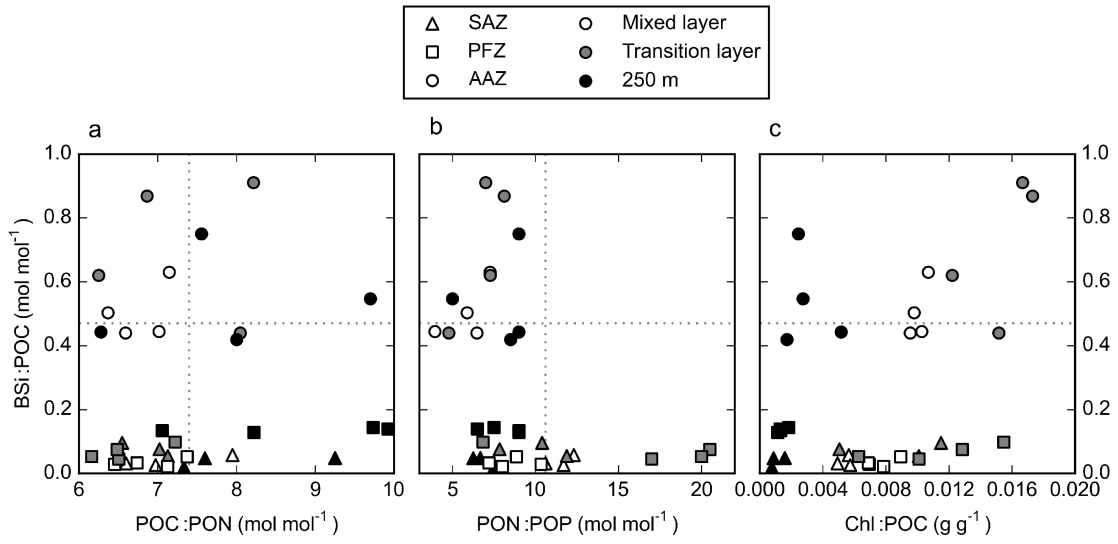


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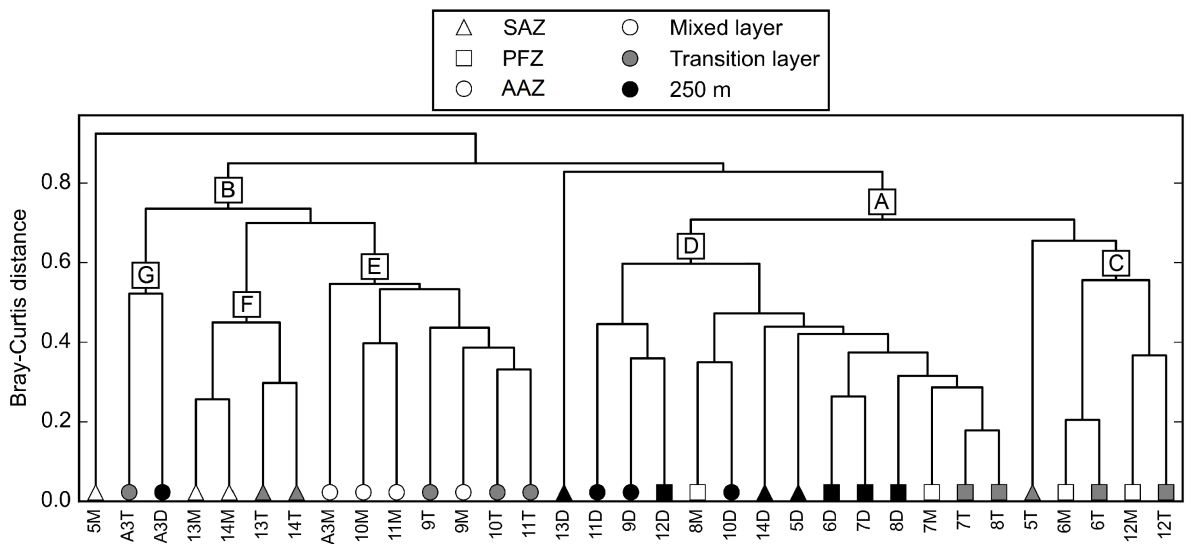


Figure 5.

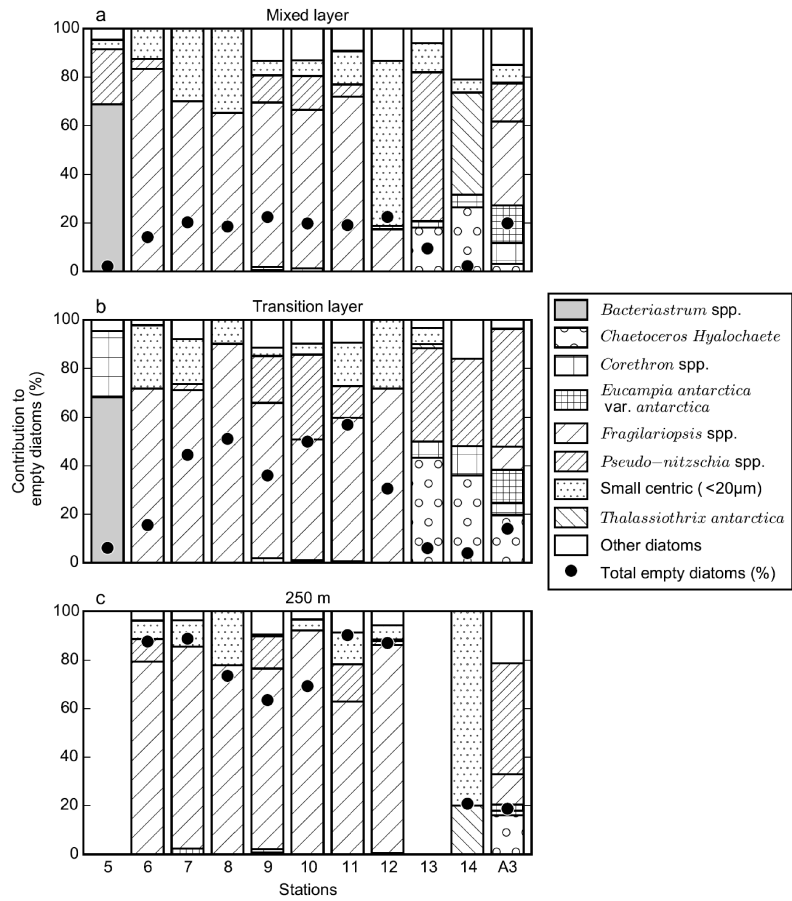


Figure 6.

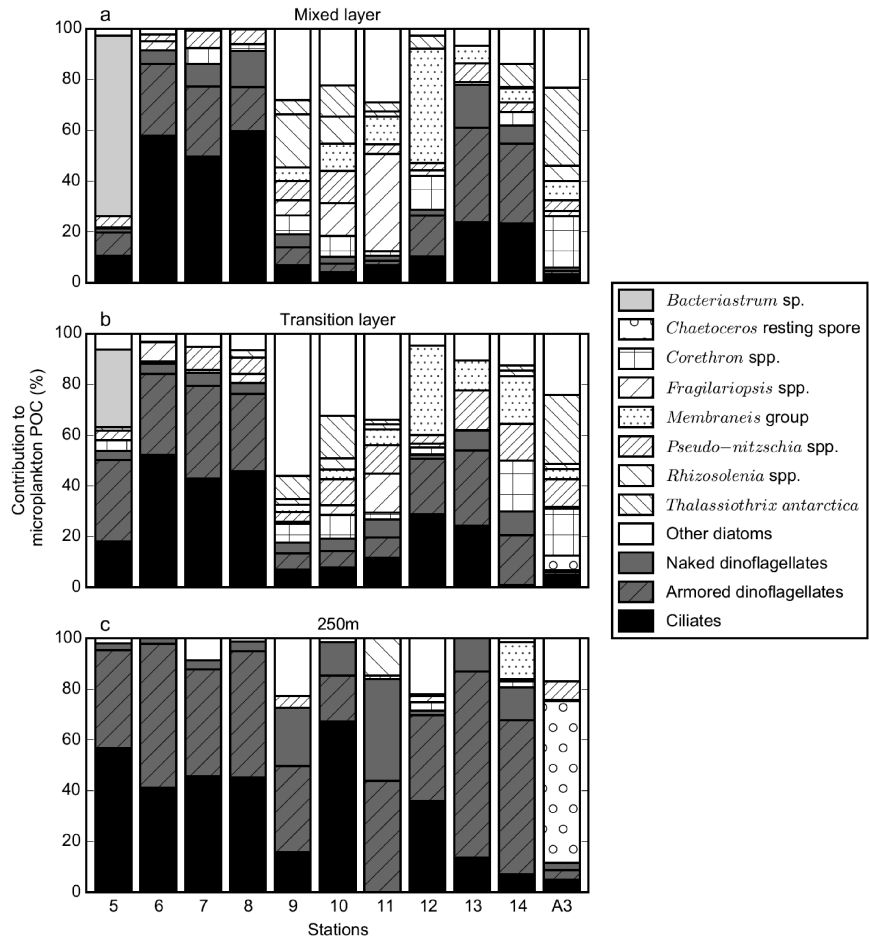


Figure 7.

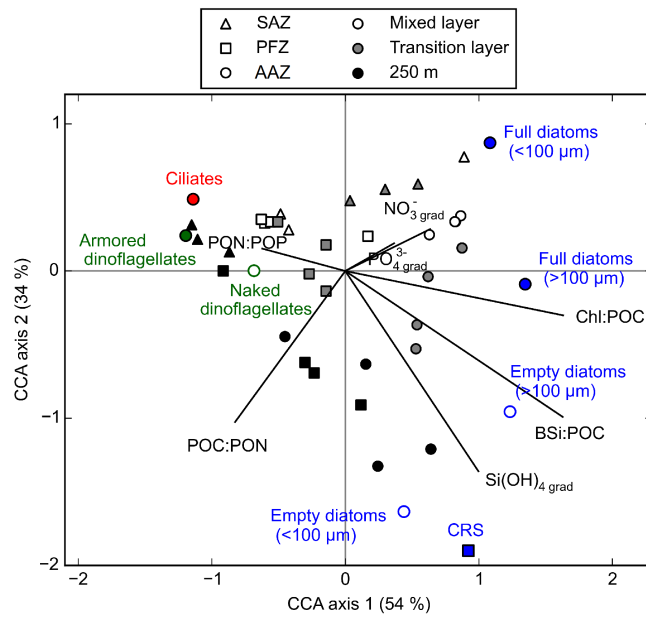


Figure 8.