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# Trans-Amazonian natal homing in giant catfish

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## 42 **Summary**

43 1. Knowledge of fish migration is a prerequisite to sustainable fisheries management and  
44 preservation, especially in large international river basins. In particular, understanding  
45 whether a migratory lifestyle is compulsory or facultative, and whether adults home to their  
46 natal geographic area is paramount to fully appraise disruptions of longitudinal connectivity  
47 resulting from damming.

48 2. In the Amazon, the large migratory catfishes of the *Brachyplatystoma* genus are apex  
49 predators of considerable interest for fisheries. They are believed to use the entire length of  
50 the basin to perform their life cycle, with hypothesized homing behaviours. Here we tested  
51 these hypotheses, using the emblematic *B. rousseauxii* as a model species.

52 3. We sampled adults close to major breeding areas in the Amazon basin (upper Madeira  
53 and upper Amazonas) and assessed their lifetime movements by measuring variations in  
54  $^{87}\text{Sr}/^{86}\text{Sr}$  along transverse sections of their otoliths (ear stones) using laser ablation  
55 multicollector mass spectrometry (LA-MC-ICPMS).

56 4. We demonstrate that larvae migrate downstream from the Andean piedmont to the  
57 lower Amazon, where they grow over a protracted period before migrating upstream as  
58 adults. Contrary to prevailing inferences, not all fish spend their nursery stages in the Amazon  
59 estuary. By contrast, the passage in the lower or central Amazon seems an obligate part of the  
60 life cycle. We further evidence that most adults home to their natal geographic area within the  
61 Madeira sub-basin. Such long-distance natal homing is exceptional in purely freshwater  
62 fishes.

63 5. *Synthesis and applications.* By using otolith microchemistry, we were able to  
64 demonstrate a seemingly compulsory basin-wide migratory life cycle of large Amazonian  
65 catfishes. This makes them the organisms performing the longest migrations (>8000 km) in  
66 fresh waters. This exceptional life history is already jeopardized by two dams recently built in

67 the Madeira River, which block a major migration route and access to a substantial part of  
68 their spawning grounds. Major impacts can be anticipated from the current and forthcoming  
69 hydroelectric development in the Amazon basin, not only on the populations and fisheries of  
70 this apex predator, but also on Amazonian food webs through trophic cascades.

71

72 **Key-words:** *Brachyplatystoma* spp.,  $^{87}\text{Sr}/^{87}\text{Sr}$  ratios, migration, otoliths, hydroelectric dams,  
73 Amazon, anthropogenic activities, freshwater fish

74

## 75 **Introduction**

76

77 Inland waters and their biodiversity provide a wealth of goods and services, but are  
78 increasingly threatened by anthropogenic activities worldwide (Nilsson *et al.* 2005;  
79 Hoeinghaus *et al.* 2009; Vörösmarty *et al.* 2010; Winemiller *et al.* 2016). Although relatively  
80 preserved until recently in comparison with other large river systems, the Amazon basin,  
81 which holds the most diverse freshwater fish fauna on Earth (Pimm *et al.* 2014), is no longer  
82 an exception (Castello *et al.* 2013). Fisheries, one of the most important ecosystem services of  
83 Amazonian fresh waters, largely rely on migratory Characiformes and Siluriformes (Lucas &  
84 Baras 2001; Carolsfeld *et al.* 2003). The utmost importance of migratory animals in  
85 ecosystem functioning and resilience has been stressed in recent studies, emphasizing their  
86 major roles in coupling resident communities and shaping food web dynamics along their  
87 migration routes (Wilcove & Wikelski 2008; Bauer & Hoye 2014). In-depth knowledge of the  
88 movement patterns of these migratory species is required to fully appraise their structuring  
89 importance and to implement relevant management policies. Such crucial information is  
90 lacking for most migratory Amazonian fishes and in particular for some of the most  
91 ecologically and economically important species, the *Brachyplatystoma* spp. (Pimelodidae).  
92 These large (up to 3 m) catfishes are apex consumers (Barthem & Goulding 1997). They

93 support the largest fisheries of the Amazon basin and are often overexploited (Petrere Jr *et al.*  
94 2004 ; García Vasquez *et al.* 2009 ; Agudelo *et al.* 2013). Based on strong circumstantial  
95 evidence, these catfishes – at least *B. rousseauxii* (Castelnau 1855) – were hypothesized to  
96 undertake the longest migrations ever described in fresh waters, from the spawning areas in  
97 the Andean piedmont to the nursery in the Amazon estuary and back (Barthem & Goulding  
98 1997): small juveniles are caught in the lower Amazon and in the freshwater part of the  
99 estuary, body size in fisheries landings increases with distance from the estuary, while ripe  
100 adults and newly hatched larvae are exclusively observed in white water tributaries of the  
101 Andean piedmont in Bolivia, Colombia, Ecuador and Peru (Barthem & Goulding 1997;  
102 García Vasquez *et al.* 2009 ; Cañas & Pine 2011; Agudelo *et al.* 2013 ). Recently, using  
103 otolith microchemistry Hegg, Giarrizzo & Kennedy (2015) provided evidence that the  
104 Amazon estuary might not be the sole nursery area for this species, as was thought originally  
105 (Barthem & Goulding 1997). The hypothesis of a single panmictic population of *B.*  
106 *rousseauuxii* at the scale of the Amazon basin (Batista & Alves-Gomes 2006) was recently  
107 refuted by the existence of several reproductive units, with at least one exclusively found in  
108 the Madeira sub-basin (Carvajal-Vallejos *et al.* 2014). This genetic structure can be explained  
109 either by a resident lifestyle, with individuals spending their entire life in the Madeira or by a  
110 natal homing behaviour. Natal homing, the return of migratory individuals to reproduce in the  
111 geographic area where they originated is widespread among terrestrial and aquatic animals  
112 (Papi 1992). Some of the most fascinating examples of this adaptive strategy are found in  
113 diadromous and marine fishes (Lucas & Baras 2001), such as salmon (Scheer 1939), eels  
114 (Tesch & White 2008) and tunas (Rooker *et al.* 2008). Evidence of long-range natal homing is  
115 scarce, however, for purely freshwater fishes (Lucas & Baras 2001). This behaviour has been  
116 hypothesized in *B. rousseauxii* (Batista & Alves-Gomes 2006 ; Carvajal-Vallejos *et al.* 2014)  
117 but never demonstrated.

118 Elucidating the migratory patterns of this large apex predator roaming the rivers of six  
119 Amazonian countries with different fisheries policies is paramount for designing adequate  
120 conservation and management strategies, especially in view of the current and proposed  
121 hydroelectric development throughout the Amazon basin (Finer & Jenkins 2012; Castello *et*  
122 *al.* 2013). In particular, two large dams (Santo Antonio and Jirau) have been erected since  
123 2011 in the Brazilian part of the Madeira River, near Porto Velho (Fearnside 2014, Fig. 1).  
124 The impacts of these and other forthcoming dams on *B. rousseauxii* largely depend on their  
125 migration patterns: do these fish actually make basin-wide migrations? Do they make a single  
126 or multiple runs? Do they exhibit homing behaviour?

127 Here, in order to address these questions, we sampled adults of *B. rousseauxii* close to  
128 some of their main breeding areas in the Upper Amazonas and Upper Madeira (Fig. 1a),  
129 before the construction of the Madeira dams. We measured  $^{87}\text{Sr}/^{86}\text{Sr}$  ratios in transects along  
130 transverse sections of their otoliths and we compared these observed profiles with those  
131 expected from different scenarios of space utilization and migration (Fig. 2).

132

## 133 **Material and methods**

134

### 135 STUDY AREA

136 In Amazonia, the term Amazon is generally restricted to the river stretch between the estuary  
137 and the confluence with the Negro River, near Manaus (Fig. 1). Further west, the river is  
138 named Solimões until the tri-frontier between Peru, Colombia and Brazil near Tabatinga.  
139 Further upstream, up to the confluence between the rivers Ucayali and Marañon (upstream of  
140 Iquitos, Peru), it is known as Amazonas.

141

### 142 STUDY DESIGN

143 Most studies of fish migration rely on mark–recapture or biotelemetry (Lucas & Baras 2001).  
144 The implementation of these methods, however, is unrealistic for the study of  
145 *Brachyplatystoma* spp. migrations, given the small size of their migratory larvae (Cella-  
146 Ribeiro *et al.* 2015) and the sheer dimensions of the Amazonian basin. The screening of fish  
147 hard body parts for environmental chemicals (such as strontium or barium) or isotopes that  
148 naturally substitute for calcium can be used as a proxy to indicate the fish’s habitat at a  
149 particular age or size (Campana 1999; Kennedy *et al.* 2000; see Appendix S1 in Supporting  
150 Information for details). Methodologies using laser ablation (LA) combined with  
151 multicollector (MC) and inductively coupled plasma mass spectrometry (ICPMS) for the  
152 measurement of Sr isotopes and their ratio ( $^{87}\text{Sr}/^{86}\text{Sr}$ ) along otolith transects enable the fine  
153 reconstruction of habitat use during part or the entire fish’s life (Outridge *et al.* 2002; Barnett-  
154 Johnson *et al.* 2005).

155 Otoliths are made of relatively inert aragonitic calcium carbonate laid down on an  
156 organic matrix, and contrary to other hard body parts, they are not subjected to any resorption  
157 process. In contrast to the situation of many other chemicals, strontium (Sr) isotopic ratios in  
158 otoliths are unaffected by confounding effects of biotic processes (physiological or dietary  
159 dynamics) and abiotic factors (such as temperature) (Kennedy *et al.* 1997; Kennedy *et al.*  
160 2000; Walther & Limburg 2012). The diversity of bedrocks with contrasting strontium  
161 isotopic ratios ( $^{87}\text{Sr}/^{86}\text{Sr}$ ) across the Amazonian Basin, together with the rather limited  
162 variability of these ratios across years and seasons (Palmer & Edmond 1992; Gaillardet *et al.*  
163 1997; Queiroz *et al.* 2009; Pouilly *et al.* 2014; Santos *et al.* 2015) provide heuristic  
164 opportunities for identifying fish geographical origin or reconstructing their migration  
165 patterns (Pouilly *et al.* 2014; Garcez *et al.* 2015; Hegg, Giarrizzo & Kennedy 2015), at least at  
166 the scale of large sub-basins. A finer discrimination between tributaries is rendered more  
167 difficult or impossible by the similarity of their underlying watershed geology.

168 Here we use the classification of Hegg, Giarrizzo & Kennedy (2015), slightly  
169 modified in the light of additional data of strontium isotopic ratios in Amazonian waters  
170 (Palmer & Edmond 1992). We distinguish three main riverine regions (Fig. 1): (i) the Western  
171 Andean tributaries (hereafter, WAT), the Amazon River mainstem and its floodplain  
172 tributaries; (ii) the Madeira sub-basin and lower Negro River; and (iii) tributaries draining the  
173 Brazilian and Guyanese granitic shields, referred to hereafter as “granitic shield tributaries”  
174 (GST). There is some overlap between the Sr isotopic signatures of Madeira waters and those  
175 of the lower course of some GST, especially the Tapajos and Lower Negro River (Fig. 1,  
176 Table S1). Decades of intensive fisheries and scientific sampling, however, never yielded any  
177 ripe adult or larva of *B. rousseauxii* in GST (Barthem & Goulding 1997), in contrast to their  
178 routine occurrence in Andean white water tributaries (Barthem & Goulding 1997; García  
179 Vasquez *et al.* 2009; Cañas & Pine 2011; Agudelo *et al.* 2013). Therefore, otolith core  
180 signatures comprised between 0.715 and 0.726 (range of available  $^{87}\text{Sr}/^{86}\text{Sr}$  signatures in the  
181 Madeira waters, Fig. 1, Table S1) will be considered as evidence of hatching within the  
182 Madeira sub-basin. Likewise, otolith core signatures  $< 0.712$  will be assigned to fish hatched  
183 in WAT waters.

184

#### 185 FISH SAMPLING

186 Fish were sampled between 2005 and 2009, before the construction of the Santo Antonio and  
187 Jirau hydroelectric dams on the Madeira River. Eighteen fish (95–120 cm standard length,  
188 SL) were obtained directly on the fishing grounds of the Bolivian upper Madeira, near Puerto  
189 Villaroel on the Mamoré River, and near Rurrenabaque on the Beni River. Nineteen other fish  
190 (89–141 cm SL) were purchased in Iquitos market, Peru, which lands fish caught within ~  
191 300 km, including the Amazonas, and the lower parts of the Ucayali, Napo and Marañón river  
192 systems. For each specimen, SL was measured (nearest cm) and otoliths (lapilli) were



193 extracted, rinsed in clear water, dried and stored for later examination.

194

## 195 OTOLITH ANALYSIS

196 Every collected otolith was processed at the MARBEC laboratory, Montpellier (France),  
197 mounted in Araldite epoxy resin and a transverse section was made with a low-speed Isomet  
198 saw (Buehler, Düsseldorf, Germany) to obtain a dorso-ventral slice including the otolith core.  
199 The section was then ground and polished using polishing papers and ultra-pure water until  
200 seeing the core on one side.

201 Analyses of Sr isotopes in fish otoliths were carried out in the laboratory LCABIE-IPREM  
202 (Université de Pau et des Pays de l'Adour, France), using a Nu Plasma high resolution MC-  
203 ICPMS (Nu instruments, Wrexham, UK) coupled to an Alfamet femtosecond (fs) laser  
204 ablation system (Nexeya SA, Canejan, France). The laser ablated a transect from the core  
205 (hatching of the fish) to the edge (death of the fish) of the otolith (i.e. perpendicular to the  
206 growth marks) at a speed of  $5 \mu\text{m second}^{-1}$ . The laser was operated at 500 Hz with a pulse  
207 energy of  $8 \mu\text{J}$  to limit the in-depth ablation to no more than  $30 \mu\text{m}$  while the scanner was  
208 doing a permanent  $200\text{-}\mu\text{m}$  wide back and forth movement at a speed of  $1 \text{ mm second}^{-1}$ . In  
209 order to prevent blast effect on the nucleus, the ablation was started  $200 \mu\text{m}$  before the core.

210 Twice a day, we analysed an in-laboratory  $^{87}\text{Sr}/^{86}\text{Sr}$ -certified otolith powder pellet (NIES22,  
211 certified Reference Material produced by the National Institute for Environmental Studies,  
212 Japan) to check the reliability of  $^{87}\text{Sr}/^{86}\text{Sr}$  measurements (Martin *et al.* 2013). In all cases fs-  
213 LA-MC-ICPMS  $^{87}\text{Sr}/^{86}\text{Sr}$  values (mean  $\pm 2 \text{ SD} = 0.709289 \pm 0.000379$ ,  $n = 38$ ) corresponded  
214 well with the in-laboratory-certified values (mean  $\pm 2 \text{ SD} = 0.709240 \pm 0.000021$ ,  $n = 54$ ).  
215 Further details about analytical processes are given in Appendix S1.

216

217 ESTIMATION OF THE BODY LENGTH OF DOWNSTREAM AND UPSTREAM  
218 MIGRANTS IN THE MADEIRA

219 On the basis of environmental signatures, the limit of  $^{87}\text{Sr}/^{86}\text{Sr}$  between the Madeira and  
220 Amazon waters was set at 0.715 (Fig. 1). We considered that *B. rousseauxii* exited the  
221 Madeira when their otolith Sr isotopic ratios were consistently lower than 0.715. Similarly,  
222 we assumed that fish had left the Amazon and re-entered the Madeira when their otolith Sr  
223 isotopic ratios became anew consistently higher than 0.715. For both events, the distances  
224 from the otolith's core were noted (nearest 25  $\mu\text{m}$ ). In order to back-calculate the fish SL at  
225 these moments, we used a relationship between the otolith dimension along the transverse  
226 radius and the fish SL, produced from 351 *B. rousseauxii* caught between 2009 and 2012 by  
227 the Laboratory of Ichthyology and Fisheries of the Universidade Federal do Rondônia (UNIR)  
228 in the Brazilian stretch of the Madeira River. The relationship is linear in small fish (40–50  
229 cm SL) then becomes curvilinear in larger individuals (Fig. S1), as the otoliths of older fish  
230 are thicker. Henceforth, two distinct equations were used for fish  $\leq$  and  $>$  45 cm SL.

231  
232 SIZE OF *B. ROUSSEAUXII* AT FIRST SEXUAL MATURITY

233 The size at first sexual maturity ( $L_m$ ) is defined as the SL at which 50% of the fish are  
234 observed at an advanced maturation stage during the breeding season (Núñez & Duponchelle  
235 2009) (i.e. when oocytes are visible to the naked eye, which means vitellogenesis has started).  
236  $L_m$  is estimated by fitting the fraction of mature individuals per 5-cm SL intervals to a logistic  
237 function (García Vasquez *et al.* 2009; Agudelo *et al.* 2013), where the contribution of each  
238 size class to the model is statistically weighted by the total number of individuals in the same  
239 size class:

240 
$$M = 100(1 + e^{(-a(L_i - L_m))})^{-1}$$

241 where  $M$  (%) is the proportion of mature individuals by size class,  $L_i$  is the central value of  
242 size class  $i$ , and  $a$  and  $L_m$  are the coefficients of the model. Here, the model was adjusted using

243 152 female *B. rousseauxii* (37–125 cm SL) caught in the Brazilian part of the Madeira sub-  
244 basin and for which we histologically determined the stage of gonad maturation. The values  
245 of coefficients  $a$  and  $L_m$  in the model (Fig. S2) were fitted using a non-linear regression with  
246 the quasi-Newton adjustment and were 0.3402 ( $\pm 0.0141$  SE) and 73.33 ( $\pm 0.14$  SE) cm SL,  
247 respectively ( $P < 0.0001$  in both cases).

248

## 249 **Results**

250 As for all migratory fishes, several scenarios can be hypothesized for *B. rousseauxii*,  
251 including resident behaviour in the hatching tributary or sub-basin, long-range migration from  
252 the hatching tributary to the Amazon mainstem followed by homing or straying, and multiple  
253 runs between the Amazon mainstem and the same or different sub-basins. The corresponding  
254 theoretical  $^{87}\text{Sr}/^{86}\text{Sr}$  patterns in the otoliths of fish hatched in the Madeira and WAT are  
255 distinct (Fig. 2). Several patterns can be univocal, whereas others may refer to several  
256 scenarios. In particular, on the sole basis of Sr isotopic signatures, it is uncertain whether fish  
257 ascending the Madeira before their capture did so during their very first upstream run or if  
258 they migrated to WAT in the meanwhile (Fig. 2b, 2e). Likewise, it is almost impossible to  
259 distinguish between fish spending all their life in WAT and those migrating once or several  
260 times between these places and the middle or lower Amazon River (Fig. 2g, 2h, 2j). It is also  
261 difficult to discriminate between fish venturing in the Madeira sub-basin and those entering  
262 GST, because of the overlap between the Sr isotopic signatures of these rivers (Fig. 1b). This  
263 confusion would only arise for intermediate runs, and exclusively in situations where fish in  
264 GST would remain in waters with signatures  $< 0.726$ , thereby resulting in otolith profiles  
265 resembling those illustrated in Fig. 2d or 2k. Intermediate runs in more radiogenic GST would  
266 produce univocal profiles, similar to those illustrated in Fig. 2f or 2l. Regarding the final  
267 upstream run, the interpretation is straightforwardly deduced from the capture location.

268

## 269 INTERPRETATION OF MIGRATION PATTERNS

270 Twelve of the 18 fish sampled in the Madeira sub-basin could be ranked as natal  
271 homers (Fig. 3a, Fig. 2b). Their core Sr isotopic signatures (0.716–0.722) were typical of  
272 Madeira waters, they moved downstream into waters with Amazon and WAT signatures,  
273 grew in these waters, then homed to the Madeira sub-basin, where they remained until their  
274 capture. As explained above, it is uncertain whether these fish ventured into WAT before  
275 returning to the Madeira (Fig. 2b, 2e). By contrast, it is certain that they did not make any  
276 intermediate run in the Madeira or in any GST, as these migratory patterns would have  
277 involved a passage in the Amazon on the way back to the Madeira, which would have  
278 produced Sr isotopic profiles close to those in Fig. 2d and 2f, respectively. Likewise, there is  
279 no ambiguity for the final upstream run, as these fish were captured in the upper Madeira.  
280 Based on the relationship between otolith radius and body length in *B. rousseauxii* (Fig. S1),  
281 these fish would have exited the Madeira at  $3.7 \pm 1.6$  cm SL (mean  $\pm$  S.D.) and returned at  
282  $86.0 \pm 5.7$  cm SL, slightly above the mean size at first sexual maturity ( $73.3 \pm 4.7$  cm SL; Fig.  
283 S2).

284 Ten other fish, all collected in Iquitos, exhibited no single Sr isotopic signal  $> 0.713$  in  
285 their otoliths (Fig. 3b). Therefore, it can be assumed that these fish never entered the Madeira  
286 or GST, and they remained in the Amazon or WAT for their entire lives. By contrast, it is  
287 uncertain whether these profiles correspond to resident or migratory behaviours, with one or  
288 several runs between the Amazon and WAT (scenarios in Fig. 2g, 2h and 2j, respectively).  
289 Based on existing knowledge, however, it is likely that these fish hatched in WAT, travelled  
290 down to the Amazon at a small body size and subsequently returned to WAT. In contrast to  
291 the clear-cut situation for fish homing to the Madeira, no information can be obtained here

292 regarding the size of fish during their (presumed) downstream and upstream runs, except that  
293 all these fish had passed the size at first sexual maturity when they were captured.

294 Five other fish also hatched in waters with WAT or Amazon signatures and collected  
295 later as adults in Iquitos, showed slightly less typical patterns (Fig. 3c). Four of them (Iq3,  
296 Iq6, Iq8 and Iq11) repeatedly moved back and forth in waters with Sr isotopic ratios  
297 intermediate between those of the Amazon and Madeira. The fifth fish (Iq12) showed a  
298 signature profile matching the scenario of multiple runs in several Amazonian sub-basins  
299 (Fig. 2l). This fish, which hatched and lived in waters with WAT and Amazon signatures,  
300 thereafter migrated in highly radiogenic waters ( $^{87}\text{Sr}/^{86}\text{Sr} > 0.730$ ), which are found  
301 exclusively in some GST (probably the Negro or Tapajos rivers, see Fig. 1 and Table S1). It  
302 eventually migrated back to the WAT, where it was caught.

303 For the remaining 10 fish, the edge signatures departed from the core signatures (Fig.  
304 3d). For two of the six fish caught in the Upper Madeira (Ma10 and Be8), the core signatures  
305 were typical of WAT, matching the straying scenario illustrated in Fig. 2c. The core  
306 signatures of the remaining eight fish (0.7125–0.7147) fell in between the ranges of Madeira  
307 and WAT.

308

## 309 **Discussion**

310 The migratory patterns of most fish were relatively univocal and indicated long-range  
311 migration and natal homing behaviour (Fig. 3a). By contrast, the isotopic profiles of several  
312 fish illustrated in Fig. 3c and 3d were less straightforward and require further discussion. Four  
313 fish (Iq3, Iq6, Iq8 and Iq11) apparently moved back and forth in waters with Sr isotopic ratios  
314 intermediate between those of the Amazon and Madeira (Fig. 3c). These ratios could  
315 correspond to sojourns in non-sampled tributaries or refer to fish movements in confluence  
316 areas between waters with highly contrasting signatures (Bouchez *et al.* 2010), as occur in

317 several places in the Amazon River (e.g. confluences with more radiogenic tributaries, such as  
318 the Negro, Madeira and Tapajos rivers; Fig. 1). White water tributaries with high sediment  
319 loads have a much higher and lasting impact than clear or black water tributaries when mixing  
320 with waters of contrasting signatures (Bouchez *et al.* 2010). Hence, it is likely that most  
321 profiles depicted in Fig. 3c and 3d correspond to movements within the confluence area of the  
322 Amazon and Madeira or similar situations. Eight fish from Fig. 3d had core signatures  
323 (0.7125–0.7147) falling in between the ranges of Madeira and WAT. These atypical  
324 signatures might correspond to tributaries for which there is currently no information on Sr  
325 isotopic ratios, and in this particular case, all eight fish would be strayers. Alternatively, it is  
326 possible that these atypical core signatures originated from fish hatched in the Madeira sub-  
327 basin, but moving downstream much faster than others, as can typically happen under higher  
328 flows. Several authors have indeed demonstrated that *B. rousseauxii* reproduces during  
329 contrasting hydrological periods in the main rivers of the Upper Madeira (Cañas & Pine 2011;  
330 Cella-Ribeiro *et al.* 2015). On the basis of hydrological data (HYBAm) upon river discharge,  
331 flow and width in the Madeira River, it can be reasonably assumed that fish hatching and  
332 drifting during high flows could be flushed down into the Amazon at least three times faster  
333 than during periods of low flows. While taking into account the methodological limitations  
334 pertaining to the depth of laser ablation for otolith screening, such faster movements would  
335 inevitably lead to some degree of integration of the Amazon signature into the core  
336 signatures, and thus to lower Sr isotopic ratios than expected for fish hatched in Madeira  
337 waters. If this hypothesis were valid, then four of the eight fish illustrated in Fig. 3d and that  
338 were caught in Iquitos (Iq1, Iq4, Iq7 and Iq19) would be strayers from the Madeira (as  
339 illustrated in Fig. 2i) and the remaining four fish caught in the Madeira (Ma7, Be1, Be2 and  
340 Be5) would be natal homers.

341 Our results tend to indicate the absence of multiple upstream and downstream runs of  
342 *B. rousseauxii* between the Madeira and the Amazon (Fig. 2d), which had been formerly  
343 hypothesized (Barthem & Goulding 1997). The species fine-scale movement patterns cannot  
344 be detailed yet, given the limited variability of  $^{87}\text{Sr}/^{86}\text{Sr}$  ratios along the Amazon and WAT  
345 mainstems and it is uncertain whether fish hatched in the Madeira and WAT share the same  
346 nurseries.

347 There is strong evidence, however, that not all *B. rousseauxii* migrate downstream to  
348 the Amazon estuary, as recently suggested by Hegg, Giarrizzo & Kennedy (2015) on the basis  
349 of fish caught near Manaus. Indeed, fish hatched in the Madeira or WAT and growing  
350 thereafter in the estuary must travel in the meanwhile through the lower Amazon (between the  
351 mouth of the Madeira and Santarem, near the estuary), where the contributions of the Madeira  
352 River and highly radiogenic GSTs tend to increase significantly the Sr isotopic ratio in the  
353 Amazon mainstem to values ranging from 0.7103 to 0.7123 (averaging 0.7115 in Obidos and  
354 Santarem, downstream of sampling points 27; Fig. 1, Table S1). Three fish hatched in WAT  
355 and later caught in Iquitos (Iq 2, Iq 9 and Iq 10) had no single otolith  $^{87}\text{Sr}/^{86}\text{Sr}$  value falling  
356 within this range, thereby indicating that these fish never entered the Amazon estuary at any  
357 life stage. Two other fish hatched in WAT, one caught in Iquitos (Iq 5) and the other one  
358 caught in the Upper Madeira (Ma 10), exhibited such Sr isotopic ratios in their otoliths, but  
359 only at relatively large body sizes (50 and 47 cm SL, respectively), thereby indicating that  
360 they did not move into the estuary during their early juvenile stages. Our results suggest that  
361 the nurseries of these five fish were somewhere upstream of the Obidos-Santarem area. No  
362 such evidence of an absence of movement into the estuary can be deduced for fish hatched in  
363 the Madeira, as these fish always inevitably cross waters with Sr isotopic ratios between  
364 0.7103 and 0.7123 on their way down to the Amazon. Whatever the exact place of the  
365 nurseries and their connections with the spawning areas of *B. rousseauxii*, a protracted period

366 in the lower or central Amazon River at the juvenile stage seems an obligate part of the life  
367 cycle of *B. rousseauxii*, thereby refuting the hypothesis of an alternative resident lifestyle  
368 (Carvajal-Vallejos *et al.* 2014). This certainly applies to fish hatched in the Madeira, for  
369 which evidence is provided here, and presumably to those hatched in the WAT.

370 Our results provide the very first demonstration of natal homing of *B. rousseauxii* in  
371 the Amazon basin, at least for the Madeira sub-basin. This is the longest homing migration  
372 ever documented in potamodromous fishes. Once *B. rousseauxii* have homed to the Madeira,  
373 at about the size of first sexual maturity, they never return to the Amazon. The data base of  
374 water isotopic signatures in the Amazon basin is currently too limited to test whether *B.*  
375 *rousseauxii* home precisely to their hatching tributary, although the pelagic nature of their  
376 semi-buoyant eggs may suggest that salmon-like precision in homing is unlikely (Papi 1992;  
377 Lucas & Baras 2001). Homing is seemingly the predominant behaviour, at least in the  
378 Madeira River, but some fish do stray between sub-basins (Fig. 3d). The relatively low  
379 number of otolith samples analysed in the present study precludes a reliable estimation of the  
380 proportions of different behaviours. The occurrence of both homers and strayers here is  
381 nevertheless consistent with molecular analyses indicating at least three reproductive units of  
382 *B. rousseauxii* in the Amazon basin, one of which is restricted to the Madeira basin (Carvajal-  
383 Vallejos *et al.* 2014).

384 The movement patterns highlighted here somehow differ from those recently  
385 published for the same species by Hegg, Giarrizzo & Kennedy (2015), who did not evidence  
386 fish originating from or moving into the Madeira. These differences presumably owe to at  
387 least three factors. Their sampling localities were different, lying in the estuary (Belem) or  
388 central Amazon (Manaus), and their fish were caught before their upstream spawning runs.  
389 Finally, in contrast to the present study, where fish were collected at all seasons over several  
390 years, all fish studied by Hegg, Giarrizzo & Kennedy (2015) were caught within less than a



391 month. The latter context is more likely to generate a sampling bias that could account for the  
392 absence of fish hatched in the Madeira in their study. Alternatively it cannot be excluded that  
393 fish hatched in the Madeira effectively contribute to the estuarine stock to a lower extent than  
394 those hatched in WAT, especially if their main nursery areas were somewhere else. This brief  
395 comparison emphasizes the need for further studies to elucidate the migrations of *B.*  
396 *rousseauxii* in the Amazonian basin, in particular to understand the contributions of different  
397 sub-basins and tributaries to recruitment and fisheries. In the study by Hegg, Giarrizzo &  
398 Kennedy (2015) and in the present study, sample size was limited to a few tens of fish, but in  
399 both cases the method used generated large numbers of samples over the life of individual  
400 fish. These observations may be auto-correlated, but they nevertheless provide unprecedented  
401 information on the life of this ecologically and economically important species.

402

403         In synthesis, the present study demonstrates basin-wide migrations of *B. rousseauxii*,  
404 with a downstream migration into the Amazon at a small (<3–4 cm SL) body size, a  
405 protracted growth period in the lower or central Amazon at the juvenile stage and a return  
406 upstream run as adults. On the basis of fish captured in the Madeira, this long-range migratory  
407 lifestyle is seemingly compulsory, with no single specimen exhibiting otolith strontium  
408 isotopic profiles characteristic of a resident behaviour. These findings have profound  
409 consequences for the management of this ecologically and commercially important species.  
410 The now demonstrated existence of transboundary movements in *B. rousseauxii* further  
411 advocates for the need of an international fisheries management coordination of this resource  
412 across the Amazon basin (Fabr e & Barthem 2005). Beyond current issues of overexploitation  
413 and complex fisheries management, *B. rousseauxii*, in view of its compulsory basin-wide  
414 migrations, might be imperilled to a greater extent than other migratory fishes by disruptions  
415 of longitudinal connectivity. Until recently the Amazon basin had been moderately affected

416 by damming (Nilsson *et al.* 2005; Vörösmarty *et al.* 2010), with no single dam below 400 m  
417 a.s.l. (Finer & Jenkins 2012) that could have interfered with the migratory life cycle of *B.*  
418 *rousseauxii*. Since 2011, two large dams were erected in the lower Madeira River. Their fish  
419 passage facilities have been found ineffective for accommodating the upstream runs of large  
420 catfishes, including *B. rousseauxii* (Fearnside 2014). As *B. rousseauxii* spawns exclusively in  
421 the white water tributaries of the Andean piedmont (WAT and upper Madeira), it can be  
422 assumed that the access of *B. rousseauxii* to a substantial part of its possible spawning  
423 grounds in the Amazon basin is already strongly compromised. This calls for urgent  
424 modifications of the fish passages of both Jirau and Santo Antonio dams in order to restore  
425 the connectivity between the upper and lower portions of the Madeira. Our results further  
426 urge the need to preserve river connectivity in the still undammed parts of the Amazonian  
427 lowlands and midlands, while tens of other dams are already planned in these regions (Finer  
428 & Jenkins 2012; Castello *et al.* 2013 ; Fearnside 2014).

429         The impacts of multiple obstacles on the migration routes of *B. rousseauxii* might just  
430 be as dramatic as those suffered in temperate regions by anadromous salmonids, which also  
431 undertake basin-wide migrations (Lucas & Baras, 2001), or even worse, for two reasons.  
432 First, *B. rousseauxii* makes its downstream migrations at a much younger age and smaller  
433 body size than salmonids (Celia-Ribeiro *et al.* 2015; present study). Smaller fish have a lower  
434 probability to escape predation or adverse physico-chemical conditions in lentic reservoirs  
435 upstream of dams, because of their limited swimming capacities, and fish-attracting or  
436 deterring devices aiming to facilitate a safe downstream passage are certainly less efficient  
437 than for larger fish (Lucas & Baras 2001; Pelicice, Pompeu & Agostinho 2015). Second, *B.*  
438 *rousseauxii* is an apex consumer, with key ecological functions (Barthem & Goulding 1997),  
439 and its decline could thus have profound top–down effects on the ecosystem through trophic  
440 cascades (Estes *et al.* 2011). To our knowledge, it is most infrequent that large piscine

441 predators exhibit long-range migrations in continental ecosystems. As a consequence,  
442 damming in the Amazon basin could have more severe impacts on ichthyofauna and food webs  
443 than in any other large river system. These issues add to a long list of concerns regarding the  
444 sustainability of large-scale hydroelectric development (Nilsson *et al.* 2005; Vörösmarty *et al.*  
445 2010; Ansar *et al.* 2014), and may require re-evaluating the actual pros and cons of large  
446 hydropower plants in the Amazon basin (Finer & Jenkins 2012; Stickler *et al.* 2013; Kahn,  
447 Freitas & Petrere Jr 2014; Winemiller *et al.* 2016), which hosts the world's richest  
448 biodiversity.

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#### 457

#### 458 **Data accessibility**

459 Source data of fish  $^{87}\text{Sr}/^{86}\text{Sr}$  profiles are available in Supporting Information Table S3.

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589

590 **Figure Legends**



591

592 **Figure 1. (a) Map of the reference water  $^{87}\text{Sr}/^{86}\text{Sr}$  values in the Amazon basin.** Yellow  
593 squares refer to some of the cities mentioned in the text and yellow stars to fish sampling  
594 localities. Black dots refer to water sampling stations, with the following colour code: blue for  
595 the Western Andean tributaries, the Amazon and floodplain tributaries, red for the Madeira  
596 and Lower Negro and purple for the granitic shield tributaries with black or clear waters.  
597 Coloured circles with a white ring correspond to multi-year samples from SO-HYBAm  
598 sampling stations (Table S1). The main Andean white water tributaries are highlighted in dark  
599 blue. The two transverse black bars on the Madeira River upstream of Porto Velho represent  
600 two large hydroelectric dams erected since 2011 (Santo Antônio and Jirau). **(b) Mean**  
601 **isotopic water signatures ( $\pm$  SD) along the Amazon basin** following a west-to-east gradient  
602 from the Andes to the Amazon mouth. Individual water data are provided in Table S1.

603

604 **Figure 2. Isotopic Sr profile patterns corresponding to alternative migration scenarios**  
605 **for *Brachyplatystoma rousseauxii* in the Amazon basin.** The light red and blue bands  
606 correspond to the ranges of water signatures in the Madeira sub-basin, and in the Amazon and  
607 Western Andean tributaries, respectively (see Fig. 1). Rectangles on the horizontal axis of  
608 each graph refer to the inferred downstream (closed symbols) and upstream movements  
609 (hatched symbols), in the granitic shield tributaries (GST, purple), Madeira (red) or Western  
610 Andean tributaries (WAT, blue). The bold black line is the corresponding Sr isotopic ratio in  
611 the fish otolith. For the sake of simplicity, only situations for homers were illustrated for  
612 scenarios involving multiple runs (d, e, f, j, k, l).

613

614 **Figure 3. Laser ablation-MC-ICPMS transects of  $^{87}/^{86}\text{Sr}$  along otolith transverse sections**  
615 **(from the core to the edge) of *Brachyplatystoma rousseauxii*.** Each curve corresponds to

616 one individual caught either in the upper Madeira (Mamoré, Ma and Beni, Be rivers) or in the  
617 upper Amazon (fish landed in Iquitos, Iq; see Fig. 1). Four migratory patterns were identified  
618 among transects (a, b, c, d, see text). The dashed line (Fig. 3a) represents the size at first  
619 sexual maturity of *B. rousseauxii* in the Madeira basin (Fig. S2).

620

621

622

623 **Supporting Information**

624 Additional Supporting Information may be found in the online version of this article:

625

626 **Appendix S1** - Detailed methods.

627

628 **Table S1.** Detailed water  $^{87}\text{Sr}/^{86}\text{Sr}$  data.

629

630 **Table S2.** Laser ablation and multicollector settings.

631

632 **Figure S1.** Relationship between the radius of the otolith transverse section and the standard

633 length of *B. rousseauxii* from the Madeira basin.

634

635 **Figure S2.** Percentage of mature individuals as a function of standard body length in female

636 *B. rousseauxii* from the Madeira River basin.

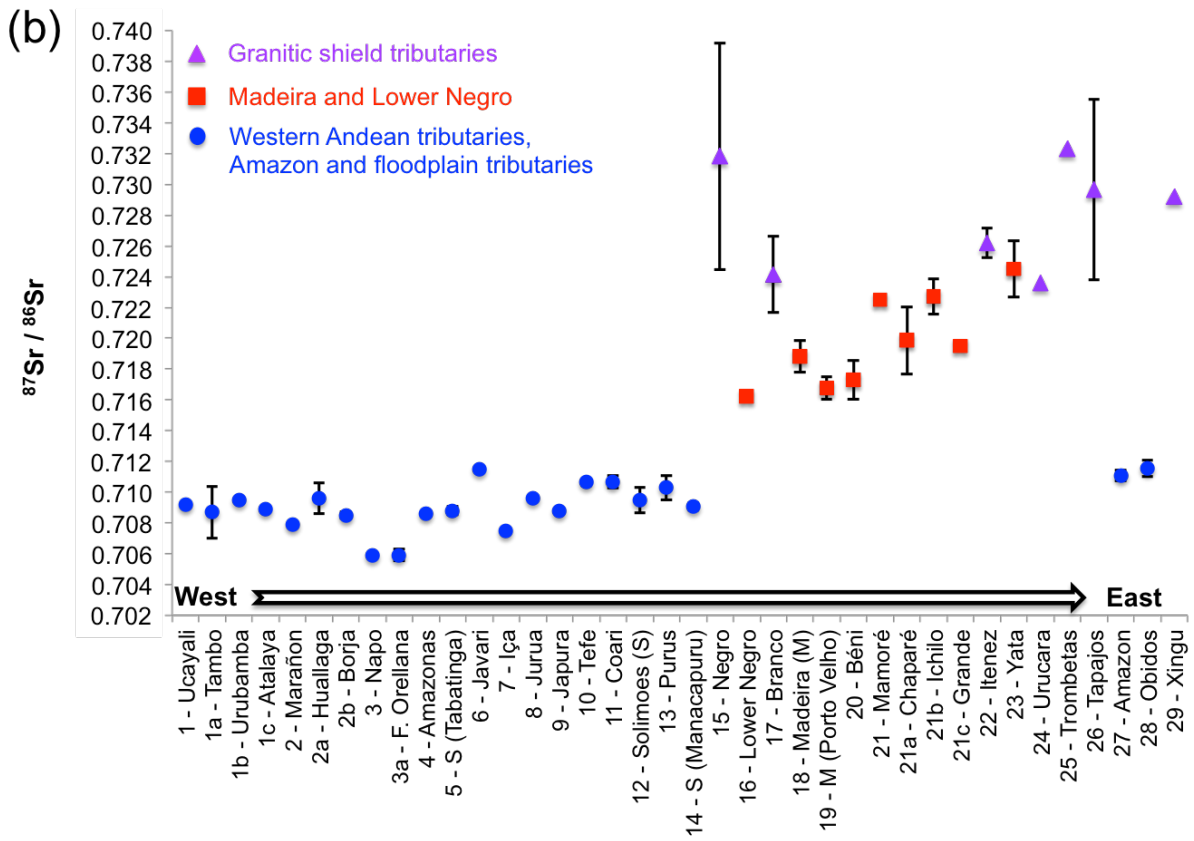
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638 **Table S3.** Otolith transect data. Table of raw otolith transect data for each sample analysed

639 (XLSX).

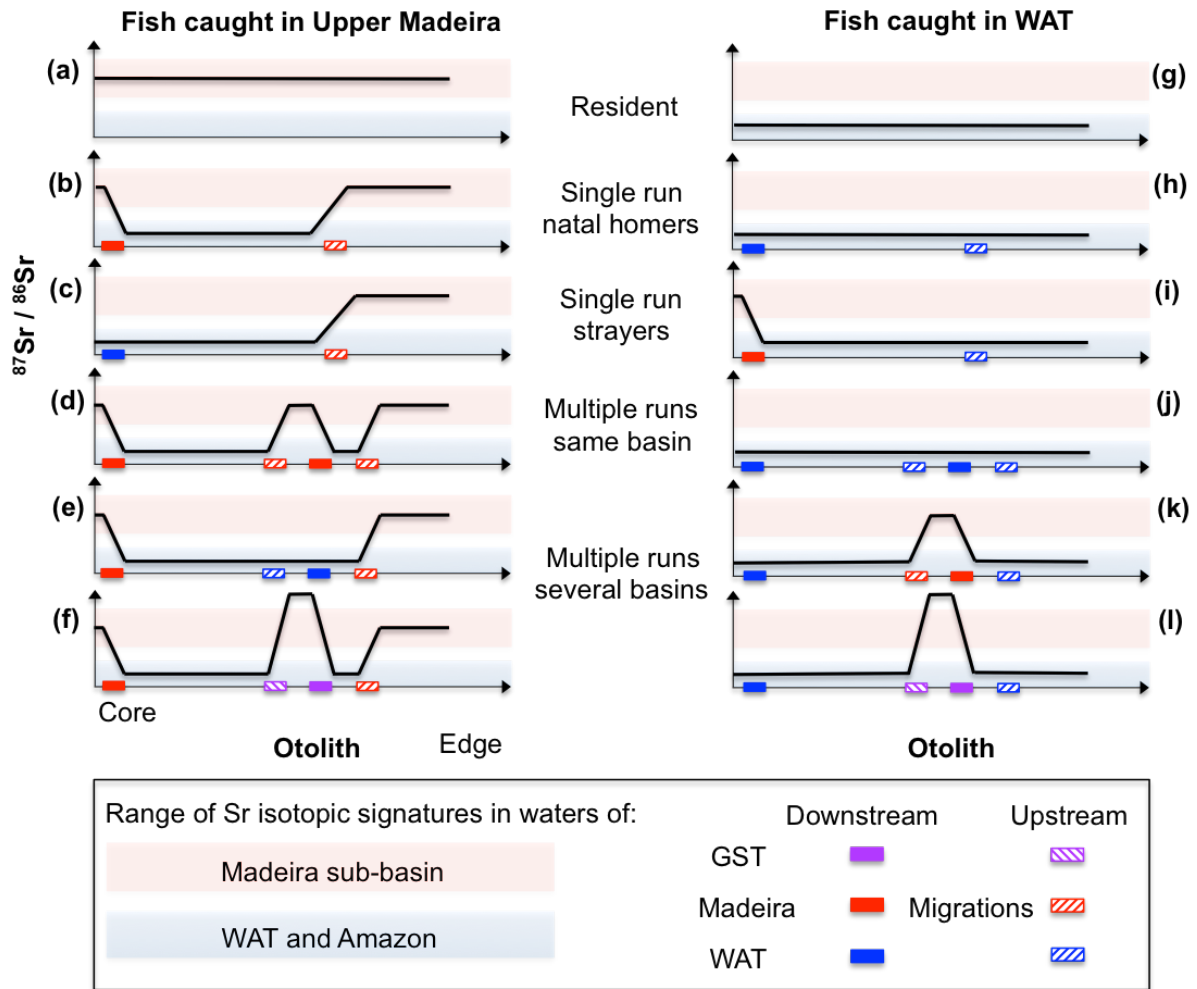


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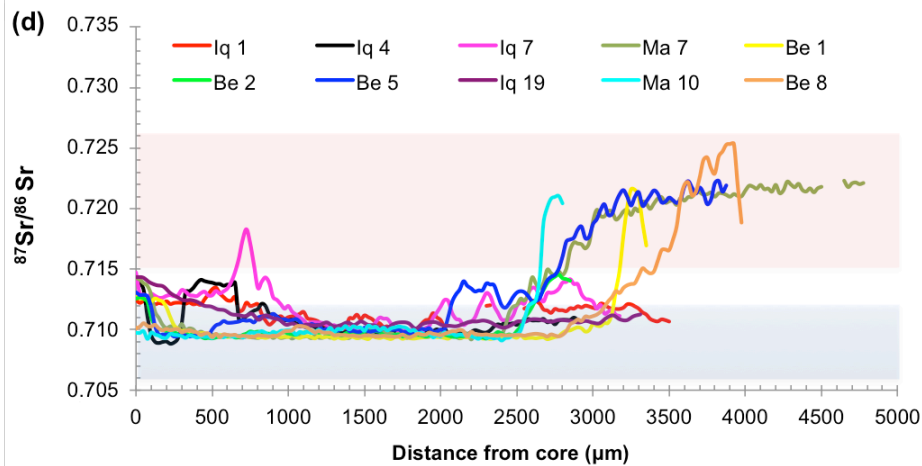
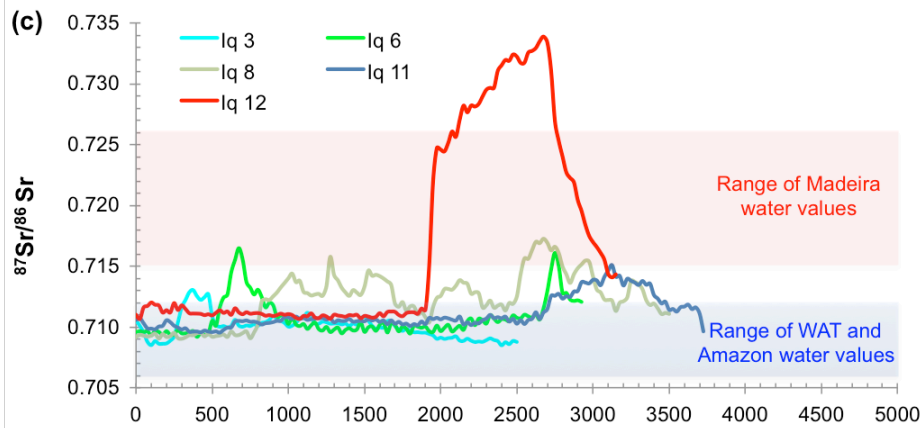
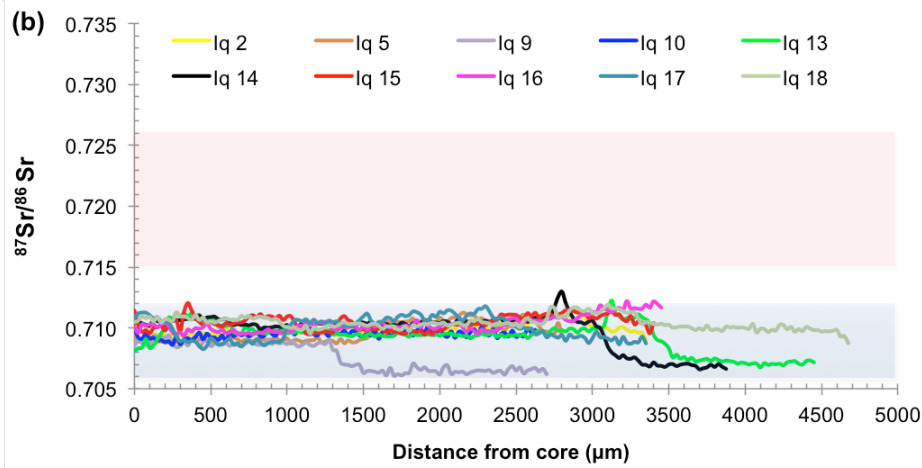
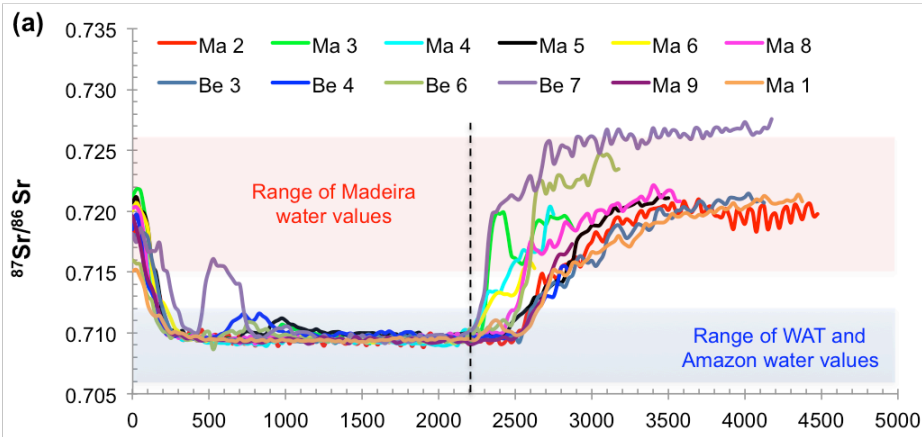
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Fig. 1.



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Fig. 2.



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15 Fig. 3  
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1           **Supporting Information for Duponchelle, F. et al. “Trans-**  
2                           **Amazonian natal homing in giant catfish”**

3  
4   **Appendix S1: detailed methods**

5   **Reconstructing fish migrations using microchemistry of hard body parts.** The bones,  
6   cartilages, scales and otoliths (ear bones) of a fish grow continuously during its life, forming  
7   seasonal growth rings. The screening of these body hard parts for chemical elements or  
8   isotopes gives the opportunity to reconstruct habitat conditions during the fish’s life  
9   (Campana 1999). As fish grow, natural chemical elements such as strontium, barium, lead or  
10   zinc, and their isotopes, readily substitute for calcium in their hard body parts, generally in  
11   proportion to their ambient dissolved concentrations or occurrence in food. Their quantitative  
12   analysis in fish hard body parts can thus be used as a proxy of the fish’s habitat at a particular  
13   age or size. Otoliths are made of relatively inert aragonitic calcium carbonate laid down on an  
14   organic matrix. In contrast to other hard body parts, they are not affected by any resorption  
15   process and are thus considered as reliable internal data loggers registering ambient  
16   environmental conditions (Panfili *et al.* 2002). Trace element concentrations or elemental  
17   ratios, which vary widely between marine and fresh waters, have been extensively used for  
18   studying the migrations of diadromous fishes (Tsukamoto *et al.* 2008 ; Campana & Thorrold  
19   2001 ; Walther & Limburg 2012). However, their use in purely freshwater fishes has proved  
20   more challenging, owing to reduced chemical gradients across a river basin and possible  
21   confounding effects of biotic processes (physiological or dietary dynamics) or abiotic factors  
22   (temperature) on their incorporation into fish otoliths. By contrast, strontium (Sr) isotopic  
23   ratios in otoliths are unaffected by the latter potential biases; they depend almost exclusively  
24   on the age and bedrock geological composition of watersheds, and thus, they barely vary  
25   across years and represent accurate habitat signatures (Walther & Limburg 2012; Kennedy *et*



26 *al.* 1997 ; Kennedy *et al.* 2000). Recently, methodologies using laser ablation (LA) combined  
27 with multicollector (MC) and inductively coupled plasma mass spectrometry (ICPMS) for the  
28 measurement of Sr isotopes and their ratio ( $^{87}\text{Sr}/^{86}\text{Sr}$ ) along otolith transects have opened new  
29 horizons in fish science, as they enable the fine reconstruction of habitat use during part or the  
30 entire fish's life (Outridge *et al.* 2002; Barnett-Johnson *et al.* 2005). The utility of Sr isotopic  
31 ratios and LA-MC-ICPMS for retracing the movements and habitat use of Amazonian fish  
32 has recently been demonstrated (Pouilly *et al.* 2014; Santos *et al.* 2015; Hegg, Giarrizzo &  
33 Kennedy 2015).

34

35 **Sr isotopic analyses in fish otoliths.** Analyses of Sr isotopes in fish otoliths were carried out  
36 in the Laboratoire de Chimie Analytique Bio-inorganique et Environnement (LCABIE) of the  
37 Institut Pluridisciplinaire de Recherche sur l'Environnement et les Matériaux (IPREM) at the  
38 Université de Pau et des Pays de l'Adour, France. All measurements were carried out on a Nu  
39 Plasma HR-MC-ICPMS (Nu instruments, Wrexham, UK) coupled to an Alfamet femtosecond  
40 (fs) laser ablation system (Nexeya SA, Canejan, France). This laser is fitted with a diode-  
41 pumped Yb:KGW crystal laser source (HP1, Amplitude Systèmes, Pessac, France) delivering  
42 360-fs pulses at 1030 nm. The laser source operates within a wide range of repetition rates (1-  
43 100,000 Hz) and low energy (from  $<25 \mu\text{J pulse}^{-1}$  at 100,000 Hz to  $<1,000 \mu\text{J pulse}^{-1}$  below  
44 1,000 Hz), which represents a new approach in analytical applications where high energy and  
45 low repetition rate are commonly used. The laser beam is focused with a 25-mm lens  
46 providing a 15- $\mu\text{m}$  diameter spot size, and it can be rapidly moved (up to 0.5 m/s) with high  
47 repositioning precision owing to 2D galvanometric scanning module fitted to the optical line.  
48 The optical field covered by the laser beam is 25 mm<sup>2</sup>. Further details of a similar laser  
49 ablation system (operating in the IR region) are described elsewhere (Claverie *et al.* 2009;  
50 Tabouret *et al.* 2010). Here the laser was operated at 500 Hz with a pulse energy of 8  $\mu\text{J}$  to

51 limit the in-depth ablation while the scanner was doing a permanent 200- $\mu\text{m}$  wide back and  
52 forth movement at a speed of 1 mm/s then resulting in an apparent 15 x 200  $\mu\text{m}$  laser ablation  
53 sample, the long axis of which was parallel to the otolith's growth marks (which are  
54 considered as pseudo linear at this scale). This "sharp blade" virtual shaping allows  
55 improving MC-ICPMS signal intensity while keeping high spatial resolution. Combined to  
56 this back and forth movement, the sample was continuously moved from the core to the edge  
57 of the otolith (i.e. perpendicular to the growth marks) at a speed of 5  $\mu\text{m}\cdot\text{s}^{-1}$ . In order to  
58 prevent blast effect on the nucleus, the ablation was started 200  $\mu\text{m}$  before the core. The  
59 ablation depth never exceeded 30  $\mu\text{m}$ .

60 The LA-MC-ICPMS coupling was carried out using a 2-m long antistatic tube of 6 mm  
61 external diameter and 4 mm internal diameter (Legris SA, Rennes, France) into the ICP torch,  
62 using helium as carrier gas. A two-inlet torch was used to mix the laser-generated aerosol  
63 together with a liquid aerosol (2%  $\text{HNO}_3$  solution in ultra pure water [18.2 M $\Omega$ ]) nebulised  
64 by means of a pneumatic 200  $\mu\text{L}\cdot\text{min}^{-1}$  self-aspirating micro-concentric nebuliser combined  
65 with a mini-cyclonic Cinnabar spray chamber) before introduction into the plasma (Barats *et*  
66 *al.* 2007). This dual-flow introduction system enables easy optimization of the MC-ICPMS by  
67 nebulising a suitable solution for tuning. Measuring conditions were adjusted for maximum  
68 sensitivity, stability, and plasma robustness (see Table S2). The contribution of  $^{87}\text{Rb}$  to  $^{87}\text{Sr}$   
69 intensity was deduced from the  $^{85}\text{Rb}$  signal (Barnett-Johnson *et al.* 2010). The  $^{83}\text{Kr}$  intensity  
70 was monitored to control any potential interference of  $^{84}\text{Kr}$  and  $^{86}\text{Kr}$  on  $^{84}\text{Sr}$  and  $^{88}\text{Sr}$ ,  
71 respectively. The  $^{86}\text{Sr}/^{88}\text{Sr}$  invariant ratio was used to correct the  $^{87}\text{Sr}/^{86}\text{Sr}$  ratio from  
72 instrumental mass bias using an exponential law (Walther *et al.* 2008). At the beginning and  
73 the end of each half-day session, an in-lab  $^{87}\text{Sr}/^{86}\text{Sr}$  certified otolith powder pellet (NIES22,  
74 certified Reference Material produced by the National Institute for Environmental Studies,  
75 Japan) was analysed to check the reliability of  $^{87}\text{Sr}/^{86}\text{Sr}$  measurements (Martin *et al.* 2013). In

76 all cases fs-LA-MC-ICPMS  $^{87}\text{Sr}/^{86}\text{Sr}$  values (mean  $\pm$  2 SD =  $0.709289 \pm 0.000379$ ,  $n = 38$ )  
77 were in very good agreement with the in-lab certified values (mean  $\pm$  2 SD =  $0.709240 \pm$   
78  $0.000021$ ,  $n = 54$ ).

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