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# Modelling of edge crack formation and propagation in ceramic laminates using the stress-energy coupled criterion

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#### Abstract

The high compressive stresses in ceramic laminates utilized to enhance their fracture resistance may lead to the formation of edge cracks at the surface of the compressive layers. In this work, a 2D parametric numerical model is developed to assess the effect of residual stress and thickness of the compressive layers on the edge crack formation, by using a coupled stress-energy criterion. The results predict the existence of a lower bound, below which no edge crack occurs (*i.e.* obtaining crack-free laminates), and an upper bound, beyond which onset of the edge crack would lead to the complete delamination of the compressive layer.

**Keywords:** 

Edge crack, residual stresses, ceramic laminate, FE analysis, coupled criterion.

#### Nomenclature

a	Edge crack length (depth)
В	Specimen width
С	Fitting constant
Ε	Young's modulus
G	Energy release rate
$G_{ m c}$	Critical energy release rate, fracture energy
G(a)	Energy release rate as a function of edge crack length
$G_{\rm inc}(a)$	Incremental energy release rate as a function of edge crack length
Н	Height of the specimen (characteristic size of the specimen)
$K_{\rm Ic}$	Fracture toughness
L	Specimen length
$t_{1,t}^{(\text{ATZ})}$	Thickness of the tensile layer
$t_2, t^{(AMZ)}$	Thickness of the compressive layer
$T_{ m A}$	Sum of component A thicknesses
T <sub>B</sub>	Sum of component B thicknesses
$V_{ m A}$	Volume of component A
$V_{ m B}$	Volume of component B
<i>W</i> (0)	Potential energy of the body without a crack
W(a)	Potential energy of the body as a function of edge crack length
<i>x</i> , <i>y</i> , <i>z</i>	Cartesian coordinates
α	Coefficient of thermal expansion
$\Delta T$	Change of the temperature
ν	Poisson's ratio
$\sigma_{c}$	Critical stress – strength of material
$\sigma_{res}$	Residual stress
$\sigma_{_{ m vv}}$	Normal stress along the prospective crack path
AMZ	Alumina with 30% Monoclinic Zirconia
ATZ	Alumina with 5% Tetragonal Zirconia
CC	Coupled Criterion
ERR	Energy Release Rate
	Finite Floment
FE	Finite Element

#### 1. Introduction

Ceramics have been used for many decades as structural components but, due to their inherent brittleness, they have mainly been utilized under compressive loading conditions. Nowadays, most of the new engineering designs need to withstand tensile stresses which imply potential limitations for ceramics due to their low fracture toughness and the sensitivity of ceramic material strength to the presence of defects [1-3]. The brittle fracture of glasses and ceramics is a consequence of the material defects located either within the bulk or at the surface, resulting from the processing and/or machining procedures [4,5]. Under external applied stress, the stress concentration associated with such defects is the common source of failure of ceramic components. If each defect is considered as a crack or a potential source for crack initiation, then the size and type of these defects obviously determine the mechanical strength of the material [6].

The distribution of defects of different sizes within a ceramic component yields a statistically variable strength which can be described by the Weibull theory [7,8]. As a consequence of such a behaviour, there remains a (small) probability of failure even at very small applied loads (i.e., no lower threshold for strength). Since flaws are intrinsic to processing and in most cases unavoidable, the mechanical reliability of ceramic components is associated with such a flaw distribution. In order to reduce both the defect population and the defect size, many studies have been devoted in the past to improve ceramic processing [9]. Another approach to increase strength has been to introduce compressive residual stresses at the surface. A successful example can be found in strengthened glass [10] and more recently Gorilla® glass [11]. However, a significant reduction of strength variability cannot be achieved with these approaches. In an attempt to reduce the level of uncertainty in mechanical strength and to overcome the lack of toughness of structural and functional ceramics, newer approaches have been developed in which knowledge about the energy release mechanisms has resulted in the creation of "flaw tolerant" materials (i.e. reducing strength variability), with improved fracture toughness [9,10,12-27].

Layered ceramic materials (also referred to as "ceramic laminates") are becoming one of the most promising areas of materials technology. They have been proposed as an alternative for the design of structural ceramics with improved fracture toughness, strength and mechanical reliability. Among all, laminates designed with strong interfaces and compressive residual stresses have led to an increase in fracture energy, thermal shock resistance and, in some cases, a decrease in the sensitivity of the material strength to the different size of defects. The utilization of tailored compressive residual stresses acting as physical barriers to crack propagation has succeeded in many ceramic systems [17,23,25,28-31].

However, a limiting factor in the design of these multilayer systems is the fact that the beneficial compressive stresses in one type of layers have to be balanced by (potentially critical) tensile

stresses in the counterpart layers. Therefore, the use of relatively high residual stresses to enhance the mechanical behaviour can lead to the onset of initial cracks in the layers, which may later propagate in service under external applied stresses, leading to failure of the component. Figure 1 illustrates typical surface cracks associated with residual stresses in planar ceramic-ceramic multilayer systems [32]. Tunnelling cracks may appear at the free surface of the layers with tensile stresses, and are oriented perpendicular to the layer plane [33,34]. Another type of cracks are the socalled "edge cracks", which initiate from pre-existing flaws at the free surface of compressive layers, oriented parallel to the layer plane [35,36]. The third type is delamination, mainly occurring at the corner interface between adjacent layers.



Figure 1. Schematic of surface cracks in ceramic laminates designed with tensile (t) and compressive (c) residual stresses [32].

Experimental observations have shown that edge cracking may be associated not only with the magnitude of internal compressive stresses but also with the thickness of the compressive layer [26]. Some authors have speculated that this phenomenon may be related to crack bifurcation observed in some laminates with relatively high compressive residual stresses [37,38]. In a recent work, the present authors have applied a stress-energy criterion to set the conditions for edge cracking formation and extension within a particular laminate [39]. However, an understanding of the parameters related to the onset and extension of such edge cracks in the compressive layers is still lacking.

In this work, a 2D parametric finite element (FE) model is developed to predict the onset and propagation of edge cracks in ceramic laminates. The FE model utilizes the stress-energy coupled criterion (CC) [40], which combines the necessary stress and energy conditions for the onset of the edge/tunnelling cracks. Several geometries are examined, and the effect of the compressive residual stresses and thickness of the compressive layers on the formation and propagation of edge cracks in ceramic laminates is analysed.

#### 2. Experimental observations

The ceramic laminate being examined consists of 9 alternated layers combining two ceramic materials: (i) alumina with 5% tetragonal zirconia, named as ATZ, (ii) alumina with 30% monoclinic zirconia, referred to as AMZ [26]. Figure 2 shows a schematic of a prismatic bending bar with approx. sizes of (LxBxH) 45mm x 4mm x 3mm. Due to the different thermal strains during cooling down from the sintering temperature, elastic strains are generated in the ATZ and AMZ layers, which lead to internal (in-plane) residual stresses. In this particular case, the ATZ layers develop tensile stresses and the AMZ layers are subjected to compressive stresses. For more details on the estimation of residual stresses, references [26,36] can be read.

In Fig. 2, an edge crack along the centre of the AMZ layer can clearly be seen. This is due to the tensile stress component generated at the free surface, having its maximum value at the edge, and decreasing quickly along the z direction into the material (see [35] for more details). The most relevant properties of both materials were determined in monolithic samples, and are listed in Table 1 [17].

Table 1. Material properties of the layers of the ceramic laminate [17]								
Material	E	ν	$\alpha  \mathrm{x10^6}$	$\sigma_{ m c}$	$K_{ m Ic}$	$G_{ m c}$		
	[GPa]	[-]	[K <sup>-1</sup> ]	[MPa]	$[MPa.m^{1/2}]$	$[J/m^2]$		
ATZ	390±10	0.22	9.8±0.2	422±30	3.2±0.1	25±2		
AMZ	280±10	0.22	8±0.2	90±20	2.6±0.1	23±2		
y z x	Edge (surface crack		200 µī	ATZ layer AMZ layer Lan cross	ninate -section $\rightarrow$ a $\leftarrow$ AMZ ATZ			

Figure 2. Experimental observation of the edge crack phenomenon in a compressive AMZ layer, and stress redistribution at the free surface of the thin compressive layer.

Due to relatively high temperature changes applied to the specimens (around 1300°C), the dependence of Young's modulus, *E*, and the coefficient of thermal expansion,  $\alpha$ , on temperature should be introduced to estimate the residual stresses at a given temperature. Nevertheless, in

general, the decrease of *E* with temperature is associated with an increase in  $\alpha$ . Thus, both effects may be counterbalanced. This temperature dependence has not been taken into account in our study for the sake of simplicity, but it is foreseen to include it in further calculations to obtain more accurate results.

The critical tensile stress  $\sigma_c$  (i.e. bending strength) and energy release rate  $G_c$  (derived from fracture toughness measurements) were measured at room temperature. The strength of brittle materials as those studied here may change with temperature if (i) the critical defect size changes with temperature and/or (ii) if the  $K_{Ic}$  varies with temperature. The size of critical defects might change with temperature if (for instance) phenomena such as subcritical crack growth is considered. In our case, simulation of the cooling down process from sintering is done, and thus this may be ruled out. What could change with temperature is the  $K_{Ic}$  of the material due to, for instance, changes in the microstructure. This might occur at higher temperatures (e.g. above 1400°C) but not below the temperature considered in this study. Same argument explained above applies also to the critical energy release rate  $G_c$  (based on  $K_{Ic}$ ). No significant change in  $K_{Ic}$  for the temperature range studied here is thus expected.

#### 3. Numerical modelling of the edge cracking

#### 3.1 FE model

The FE model for this study has been designed as a fully parametric model, which enables automatic creation of any arbitrary laminate configuration with different volume ratios of particular material components (leading to different levels of residual stresses) or different thicknesses of the AMZ layer. Based on the real geometry of specimens, the considered model consists of nine layers composed by alternating ATZ and AMZ materials. The height and width of the laminate is fixed for all simulations to be H=3mm and B=4mm, respectively. The thickness of the inner AMZ layers, i.e.  $t_2$ , is varied in the interval (30-350µm), and the thicknesses of the ATZ layers, i.e.  $t_1$ , is correspondingly adjusted to reach the total thickness of 3mm. The material properties for the ATZ and AMZ layers needed for the numerical simulation are listed in Table 1.

To simulate (i) the nucleation and (ii) the propagation of the edge crack and its dependency on the layer thickness and level of residual stress inside the layer, a 2D FE model of the laminate cross-section is created – see Figure 3. Quadratic PLANE183 elements with generalized plane strain option are used to correctly capture the thermoelastic stress state in the laminate. FE software ANSYS 15.0 is used for this purpose. The thickness of the inner AMZ layer is set to values between 30µm and 350µm; the prospective length (depth) of the edge crack into the AMZ layer is set to be within the interval 0µm to 400µm. In each simulation, an edge crack is introduced within each AMZ layer and for every thickness of the AMZ layer. In the first step, when the edge crack length is

equal to  $0\mu m$  (no edge crack) the stresses  $\sigma_{yy}$  along the prospective crack path and potential energy W(0) of the uncracked body are calculated and stored for further post-processing.

In next simulations, the prospective edge crack of a given length is introduced in the model and the actual potential energy of the cracked body (for each crack length) W(a) is calculated again and used later for the calculation of the incremental energy release rate  $G_{inc}(a)$  and the energy release rate (ERR) G(a) at the crack tip as follows:

$$G_{inc}(a) = -(W(0) - W(a))/a$$
  

$$G(a) = -dW(a)/da$$
(1)

For verification purposes, the ERR G(a) at the crack tip is also numerically calculated using ANSYS, utilizing the implemented CINT function (employing J-integral). It leads to values very close to those provided by the energy approach based on Eq. (1). The advantage of the energy approach is that we can directly calculate the incremental ERR  $G_{inc}(a)$  as well as the ERR at the crack tip G(a). If the contour integral method is to be used, more care about the used mesh is necessary, and a recalculation of G(a) to  $G_{inc}(a)$  has also to be made in the post-processing. It should be pointed out that, although two edge cracks (in AMZ layers) are present in the 1/4 FE model, results of G(a),  $G_{inc}(a)$  and  $\sigma_{yy}$  are the same for both these cracks (if a symmetric and periodic laminate is considered). Therefore, in the following, post-processing of only one crack (placed in the bottom AMZ layer) is taken into account.



Figure 3. Schematic of the fully parametric FE model, developed to study the propagation of edge cracks in the compressive AMZ layers.

In order to determine the suitable conditions for the onset of the edge crack, the coupled stress-energy criterion is employed. A small description of the method is given below; for more details please see [40,41].

#### **3.2** Coupled stress energy criterion (CC)

The coupled (stress-energy) criterion (CC) states that a crack originates if two conditions (i.e. stress and energy conditions) are simultaneously fulfilled – namely  $G_{inc}(a) \ge G_c^{(AMZ)}$  and  $\sigma_{yy} \ge \sigma_c^{(AMZ)}$ . The first condition states that there should be enough energy available to create a crack, and the second condition stipulates that the tensile stress should be higher than the material tensile strength all along the new presumed crack path. The CC [40,41] allows us avoiding any assumption on the existence of flaws able to trigger cracking, as is commonly used [37,38]. In addition, there is no adjustable parameter in the CC, whereas the flaw size must be selected when using other methods in order to fit with the experimental measures. This choice is somewhat arbitrary, because it does not rely on micrographic observations. Moreover, the approach that considers a flaw size analyses the early stage of the edge cracking, and assumes a further crack growth in depth and along the specimen faces (i.e. *channelling*) to reach the observable state (see Fig. 2). In the case of the CC, we make the assumption that the crack almost simultaneously appears all around the specimen and then grows in depth.

#### 3.3 Application to ceramic laminates

In order to illustrate the application of the stress-energy criterion to describe the edge cracking in laminates, a sample layered architecture is chosen (depicted in Fig. 3) considering a thickness of  $t_2$ =150µm for the AMZ layers. Since the ATZ and AMZ are strongly bonded (with strong interfaces), a tensile and compressive (in-plane) stress field is originated in the ATZ and AMZ layers, respectively, during cooling down from the sintering reference temperature. In this study, the reference temperature under which stresses develop is chosen as 1300 °C - see Ref. [44], which may be a low bound for this type of ceramic laminates [42]. The magnitude of residual stresses in the layers is increasing during this process as a function of the different temperature increment,  $\Delta T$ , reaching a maximum at room temperature. For the sake of simplicity, we discuss some examples for different selected  $\Delta T$ , i.e. for different magnitude of compressive stresses.

According to the numerical modelling, implementing the stress-energy CC, the relationship between  $G_{\rm inc}(a)$  and  $G_{\rm c}^{\rm (AMZ)}$ , as well as between  $\sigma_{\rm yy}$  and  $\sigma_{\rm c}^{\rm (AMZ)}$ , can be computed, as a function of

the (potential) edge crack length, *a*, for different temperature increments,  $\Delta T$ . Accordingly, the formation and propagation of an edge crack during cooling down from the reference temperature can be estimated. Figures 4a, 4b and 4c show the normalized incremental energy release rate  $G_{inc}(a)/G_c^{(AMZ)}$ , the normalized energy release rate  $G(a)/G_c^{(AMZ)}$  and the normalized tensile stress  $\sigma_{yy}(a)/\sigma_c^{(AMZ)}$  for a given AMZ layer thickness, i.e.  $t^{(AMZ)} = 150 \,\mu\text{m}$ , and compressive residual stresses (in the AMZ layers) of -400 MPa, -432 MPa, and -700 MPa, respectively. These values correspond to  $\Delta T$  values of -730 °C, -788 °C and -1280 °C, respectively.





Figure 4. The normalized incremental energy release rate  $G_{\rm inc}(a)/G_{\rm c}^{\rm (AMZ)}$ , energy release rate  $G(a)/G_{\rm c}^{\rm (AMZ)}$  and tensile stress  $\sigma_{yy}(a)/\sigma_c^{\rm (AMZ)}$  for  $t^{\rm (AMZ)}=0.150$ mm and compressive residual stress in AMZ layer: (a)  $\sigma_{\rm res}^{\rm (AMZ)}=-400$ MPa, (b)  $\sigma_{\rm res}^{\rm (AMZ)}=-432$ MPa, (c)  $\sigma_{\rm res}^{\rm (AMZ)}=-700$ MPa.

If the difference between reference and investigated temperature is relatively low (e.g.  $\Delta T$ =-730°C), the magnitude of compressive residual stresses remains moderate low (in this particular case  $\sigma_{\text{res}}^{(\text{AMZ})}$ =-400MPa). As a result, no edge crack is initiated (see Figure 4a), because either the energy or the stress criteria are not fulfilled at any point (i.e.  $G_{\text{inc}}(a) \leq G_{c}^{(\text{AMZ})}$  and  $\sigma_{yy} < \sigma_{c}$ ).

In the particular situation that the specimen is cooled down with  $\Delta T$ =-788°C, corresponding to a value of residual stresses  $\sigma_{res}^{(AMZ)}$ =-432MPa, both criteria are simultaneously fulfilled for the first time (namely  $G_{inc}=G_c^{(AMZ)}$  and  $\sigma_{yy}=\sigma_c$ ), and the edge crack is originated with a sudden jump from zero length to length  $a_1 = 59\mu m$ , as is depicted in Figure 4b).

In the case that the specimen is reaching the room temperature, i.e.  $\Delta T$ =-1280°C, the residual compressive stress inside the AMZ layers reaches its maximum value of -700MPa. The corresponding stress and energy curves are illustrated in Figure 4c. According to the referred figure, once the crack is nucleated, it propagates through the AMZ layer provided that the ERR at the crack tip G(a) be larger than  $G_c^{(AMZ)}$ . The final crack length after the cooling down process is thus determined by point 3 in Figure 4c, reaching a value of  $a_3$ =166µm in this case.

A consequence derived from these diagrams is that increments on  $\Delta T$ , associated with increments of residual stress in the compressive layers, may yield the condition where the curve of G(a) becomes higher than  $G_c^{(AMZ)}$  at all points along the possible edge crack path. In such a case, the edge crack would propagate through the entire AMZ layer, leading to the delamination of the laminate.

#### 4. Results and discussion

The influence of the AMZ layer thickness and magnitude of residual stress inside this layer on the formation and propagation of the edge crack is investigated using the FE model and applying the CC. Different laminate configurations are computed, where the thickness of the AMZ layer is varied in the interval 30 to 350 $\mu$ m and the thicknesses of the ATZ layers correspondingly adjusted to reach a total thickness of 3mm. The magnitude of residual stress in the AMZ layers is considered between –200MPa and –800MPa for each laminate configuration. We caution the reader that, since the total height of the laminate is kept constant for this study, each configuration results in different volume ratios between ATZ and AMZ materials, and therefore different magnitude of residual stresses (upon the same  $\Delta T$ ), see Appendix for more details. In order to enable a comparative study of edge crack formation and propagation in laminates with different layer thicknesses at a given level of residual stress, a corresponding  $\Delta T$  (inducing that level of residual stress) has to be first calculated for each laminate configuration.

After selecting a given residual stress level, a set of simulations is performed by introducing an edge crack with a length between  $0\mu m$  (no edge crack) and  $400\mu m$  (with a step of  $1\mu m$ ), enabling

the estimation of *G*,  $G_{inc}$  and  $\sigma_{yy}$  (along the prospective crack path) as a function of the edge crack length *a*. These quantities are calculated through a FE analysis only for one specific temperature increment, i.e.  $\Delta T = -100^{\circ}$ C, and then (due to the linear elastic character of the solution) recalculated to arbitrary level of residual stress in the AMZ layer (for more information see the Appendix).

For illustrative purposes, we consider now the magnitude of residual stress in the AMZ layer to be  $\sigma_{res}^{(AMZ)}$ =-200MPa. The normalized ERR and tangential stress  $\sigma_{yy}$  ahead of the crack tip are plotted together in Figure 5a. This plot clearly shows that no edge cracking is possible, because both the energy and stress conditions are not fulfilled for any potential edge crack length (i.e. the conditions  $\sigma_{yy} \ge \sigma_c$  and  $G_{inc}(a) \ge G_c^{(AMZ)}$  are not simultaneously fulfilled for the range of edge crack length).

If the level of compressive stress is increased up to a value of  $\sigma_{res}^{(AMZ)}$ =-300MPa, as is shown in Figure 5b, it can clearly be observed that, for AMZ layers equal or thicker than 222µm, edge cracking is predicted, because both conditions  $\sigma_{yy} \ge \sigma_c$  and  $G_{inc}(a) \ge G_c^{(AMZ)}$  are simultaneously fulfilled (see Point 1 in Fig. 5b). The predicted initial length of the edge crack corresponds to  $\approx 85\mu$ m. Once the edge crack is created, it propagates as long as the ERR at the crack tip remains higher than the fracture energy of the material, i.e.  $G(a) \ge G_c^{(AMZ)}$ . Again for layer thicknesses lower than 222µm, no edge cracking is predicted. On the other hand, when the AMZ layer is thicker or equal to  $\approx 307\mu$ m, the edge crack propagates through the entire plane of the AMZ layer since  $G(a) \ge G_c^{(AMZ)}$  all along the crack path (G(a) is equal to  $G_c^{(AMZ)}$  only at the point 2 in Fig. 5b). Beyond that critical thickness, the edge crack would propagate through the entire AMZ layer, yielding total delamination of the laminate.

Further increasing the compressive stress level up to  $\sigma_{res}^{(AMZ)}$ =-500MPa decreases the critical thickness required for edge crack formation to 85µm (see Fig. 5c). In addition, as a consequence of such high compressive stresses, another critical thickness results (i.e. 204 µm in Fig. 5c), where the G(a) curve becomes higher than or equal to  $G_c^{(AMZ)}$  at all points, along the possible edge crack path, and total fracture of the laminate is predicted.



c)



Figure 5. Normalized incremental energy release rate  $G_{inc}(a)/G_c^{(AMZ)}$ , normalized energy release rate  $G(a)/G_c^{(AMZ)}$  and normalized tensile stress  $\sigma_{yy}(a)/\sigma_c^{(AMZ)}$ , for different thicknesses of the compressive AMZ layer at the following residual compressive stress: (a)  $\sigma_{res}^{(AMZ)}$ =-200MPa, (b)  $\sigma_{res}^{(AMZ)}$ =-300MPa, and (c)  $\sigma_{res}^{(AMZ)}$ =-500MPa.

Figure 6 shows the combined effect of residual stress  $\sigma_{res}^{(AMZ)}$  and AMZ layer thickness  $t^{(AMZ)}$  on the final edge crack length, as is predicted by the parametric studies, where  $\sigma_{res}^{(AMZ)}$  is varied between –200MPa and –800MPa and  $t^{(AMZ)}$  between 30µm and 350µm. The corresponding curves allow estimating the final edge crack depth (indicated in µm in the curve) for corresponding values of  $\sigma_{res}^{(AMZ)}$  and  $t^{(AMZ)}$ . One can thus easily retrieve from this plot the critical combinations of both parameters, which may lead to edge cracking. If their combination lays in the light grey area, i.e. at the bottom of the graph, no edge cracking is expected. If the pair ( $\sigma_{res}^{(AMZ)}$  and  $t^{(AMZ)}$ ) lays in the central part of the graph, edge cracking is expected to occur and propagate into the AMZ layer a certain depth, as is prescribed by the number at the corresponding curve. Finally, the top dark grey area of the plot reveals the "undesired" combination of  $\sigma_{res}^{(AMZ)}$  and  $t^{(AMZ)}$ , which would lead to the total breakage of the AMZ layer (the energy release rate at the tip of the edge crack is higher than  $G_c$  all along the prospective edge crack path – in the whole mid-plane of the AMZ layer).

The critical thickness of the AMZ layer leading to the edge cracking, i.e.  $t_c^{(AMZ)}$ , can also be described using the equation derived (in a similar form) by Ho and Hillman in [35] and employed also in [38]:

$$t_c^{(\text{AMZ})} = \frac{K_{lc}^{2 \text{ (AMZ)}}}{C \cdot \sigma_{\text{res}}^{2 \text{ (AMZ)}}},$$
(2)

where  $K_{Ic}$  is the fracture toughness of the compressive layer,  $\sigma_{res}$  is the in-plane compressive residual stress, and *C* is a constant as estimated by the above authors to be equal to 0.34 (see [35] and [38] for more details). By implementing the CC approach to the solution of the edge cracking problem and fitting Eq. (2), we obtain a very similar result for *C* constant – namely *C*=0.32. For comparison purposes, the dependence of the critical layer thickness on the level of residual stress  $\sigma_{res}^{(AMZ)}$ , as is predicted by Eq. (2), is represented in Figure 6 by the black thick dotted line. One can see a very good agreement of this relation with predictions made by the coupled stress-energy criterion, especially in the region of residual stresses –700MPa to –350MPa. Nevertheless, for residual stresses higher than –350MPa, Eq. (2) may no longer be valid, and thus predictions made by the CC approach should be taken into account.



Figure 6. Depths of the edge cracks in  $\mu$ m against residual compressive stress in the AMZ layer and thickness of the compressive layer. The numbers in white boxes inside the plot denote the final edge crack depth in  $\mu$ m for certain combination of  $\sigma_{res}^{(AMZ)}$  and  $t^{(AMZ)}$ .

#### 5. Conclusions

This work presents a novel approach to predict the onset and propagation of surface cracks (namely edge cracks) in ceramic laminates, associated with the residual stresses developed after cooling down from the sintering step. A full parametric 2D finite element model has been developed to simulate the initiation and propagation of the edge crack in the compressive layers. The conditions for crack initiation/propagation are assessed using a stress-energy coupled criterion. The analysis only requires the values of the elastic modulus and Poisson's ratio of the layers, the coefficient of thermal expansion, and the toughness and tensile strength of the compressive layer. There is no adjustable parameter, and there is no need to assume the presence of surface defects to initiate fracture. It is further found that, for a given thickness of the compressive layer, no edge crack is to initiate for relatively low internal (in-plane) compressive residual stress in the layer. For higher stress values, edge crack may initiate and grow in a stable manner. Finally, for relatively higher stress values, the formation of edge cracks is followed by the fracture (delamination) of the entire layer in an unstable fashion. Additional work is needed to validate the obtained results on different real specimens with various thicknesses and levels of residual stresses, aiming to provide guidelines for the fabrication of layered ceramics with controlled surface cracks.

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#### Appendix

In every case where dissimilar materials are sealed together through a relative strong interface and subsequently undergo differential dimensional change, stresses arise between the materials [43]. Hence, a particular challenge in the processing of ceramic laminates is to understand the nature of these residual stresses, especially if they have to be used to enhance their mechanical properties. In ceramic laminates, residual stresses can be due to different factors: (i) different CTEs, (ii) phase transformations and/or (iii) chemical reactions. For ideal elastic materials, neglecting the influence of the external surfaces (where stresses may relax) and considering the laminate as an infinite plane plate (*i.e.* zero net bending moment), the stress field can be determined analytically [14,43,44]. In each layer, a homogeneous and biaxial residual stress state exists far from the free edges. The stress magnitude in each layer,  $\sigma_{res,i}$ , can be defined as follows:

$$\sigma_{\text{res},i} = \frac{E_i}{1 - \nu_i} (\overline{\alpha} - \alpha_i) \Delta T = \frac{E_i}{1 - \nu_i} \Delta \varepsilon_i$$
(A.1)

where  $E_i$ ,  $v_i$  and  $\alpha_i$  are material properties of the *i*<sup>th</sup> layer (*i.e.* Young's modulus, Poisson's ratio and coefficient of thermal expansion, respectively).  $\Delta \varepsilon_i = (\overline{\alpha} - \alpha_i) \Delta T$  is the mismatch strain of the *i*<sup>th</sup> layer, where the coefficient  $\overline{\alpha}$  is given as a weighted averaged expansion coefficient of the laminate:

$$\overline{\alpha} = \sum_{i=1}^{N} \frac{E_i t_i \alpha_i}{1 - \nu_i} \bigg/ \sum_{i=1}^{N} \frac{E_i t_i}{1 - \nu_i}$$
(A.2)

with  $t_i$  being the thickness of the *i*<sup>th</sup> layer and *N* the number of layers. Note that the reference temperature  $T_{ref}$  is, in practice, not easy to determine. For typical alumina-based or silicon-based ceramics, this stress-free empirical temperature is generally between 1200 °C and the sintering temperature (*approx*. 1500 °C), depending on the components, compositions, etc. [42,45,46].

A particular simple laminate case is that using only two types of layer materials (A and B). In such a case, Eq. (A.2) can be written in the form [30]:

$$\overline{\alpha} = \left(\frac{E_{A}\alpha_{A}}{1 - \nu_{A}} \cdot \sum_{i=1}^{n_{A}} t_{A,i} + \frac{E_{B}\alpha_{B}}{1 - \nu_{B}} \cdot \sum_{i=1}^{n_{B}} t_{B,i}\right) / \left(\frac{E_{A}}{1 - \nu_{A}} \cdot \sum_{i=1}^{n_{A}} t_{A,i} + \frac{E_{B}}{1 - \nu_{B}} \cdot \sum_{i=1}^{n_{B}} t_{B,i}\right)$$
(A.3)

According to Eq. (11), the magnitude of the residual stresses depends on the ratio between the total thickness of the layers of type A (*i.e.*  $T_{\rm A} = \sum t_{{\rm A},i}$ ) and B (*i.e.*  $T_{\rm B} = \sum t_{{\rm B},i}$ ). The ratio of total thickness of the layer materials equals their volume ratio:  $T_{\rm B} / T_{\rm A} = V_{\rm B} / V_{\rm A}$ . Thus the magnitude of

residual stresses depends only on this volume ratio and not on the thickness of the individual layers *i* [47]:

$$\sigma_{\text{res},i} = f_i \left( \sum_{j=1}^{n_A} t_{A,j} / \sum_{j=1}^{n_B} t_{B,j} \right) = f_i \left( T_B / T_A \right) = f_i \left( V_B / V_A \right)$$
(A.4)

In order to study the influence of the AMZ layer thickness and magnitude of residual stress inside this layer on the formation and propagation of the edge crack, different laminate configurations have been calculated, where the thickness of the AMZ layer has been varied in the interval (30-350µm) and the thicknesses of the ATZ layers correspondingly tailored to reach a total thickness of 3mm. Since the total thickness of the laminate is kept constant, the change of the AMZ layer thickness modifies the volume ratio between materials, and thus the magnitude of residual stress in the AMZ layers, as is inferred from Eq. (A.3).

#### Note:

The solved problem is considered as linear elastic. Therefore, solution of just one arbitrary residual stress state (given by arbitrary  $\Delta T$ ) in a laminate of specific volume ratio (AMZ layer thickness) is sufficient. One can afterwards recalculate the results of  $\sigma_{yy}$ , G(a), and  $G_{inc}(a)$  along the crack path, to values corresponding to arbitrary residual stress state  $\sigma'_{res}^{(AMZ)}$  lying in the investigated interval -800 MPa to -200 MPa. The multiplication factor for this conversion: (i) in the case of stress recalculation, it is equal to the ratio of residual stress in the AMZ layer for given  $\Delta T$  (calculated for instance by Eq. (A.1) or using FEM) to the desired level of residual stress in the above mentioned investigated interval; (ii) in the case of energy release rate recalculations, it is the factor from (i) considered in the second power as follows:

$$\sigma'_{yy}(a) = \sigma_{yy}(a) \cdot \left(\frac{\sigma'_{res}^{(AMZ)}}{\sigma_{res}^{(AMZ)}}\right)$$

$$G'(a) = G(a) \cdot \left(\frac{\sigma'_{res}^{(AMZ)}}{\sigma_{res}^{(AMZ)}}\right)^{2}$$

$$G'_{inc}(a) = G_{inc}(a) \cdot \left(\frac{\sigma'_{res}^{(AMZ)}}{\sigma_{res}^{(AMZ)}}\right)^{2}.$$
(A.5)

 $\sigma'_{\rm res}^{(\rm AMZ)}$  is the desired level of residual stress in the AMZ layer and  $\sigma_{\rm res}^{(\rm AMZ)}$  is the level of compressive residual stress reached in the laminate of given volume ratio subjected to a specific  $\Delta T$  (in our case  $\Delta T$ =-100). The quantities  $\sigma_{yy}$ , G(a), and  $G_{\rm inc}(a)$  on the right-hand side of Eqs. (A.5) correspond to the residual stress state  $\sigma_{\rm res}^{(\rm AMZ)}$  (calculated using FE analysis), and the quantities on

the left-hand side of Eqs. (A.5) correspond to values which would be calculated in the same way in a laminate with the level of residual stress  $\sigma'_{
m res}^{(\rm AMZ)}$  . Such recalculations of stresses and energy Acceleration release rates for arbitrary level of residual stress in the AMZ layer help to save a lot of computational time necessary for performing such a parametric study.