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1 Identification of microRNA expression profile related to lymph node status in women 2 with early stage grade 1-2 endometrial cancer

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- 25 **Running title:** microRNA and endometrial cancer

26 Abstract

27 Conventional methods used for histologic classification and grading of endometrial 28 cancer (EC) are not sufficient to predict lymph node metastases. MicroRNA signatures have 29 recently been related to EC pathologic characteristics or prognosis. The aim of this study was 30 to evaluate whether microRNA profiles of grade 1 - 2 endometrioid adenocarcinomas can be 31 related to nodal status and used as a tool to adapt surgical staging in early-stage EC. 32 MicroRNA expression were assessed in nine formalin-fixed paraffin-embedded (FFPE) EC 33 primary tumors with positive lymph node and in 27 FFPE EC primary tumors with negative 34 lymph node, matched for grade, stage and lymphovascular space involvement status. A 35 microarray analysis showed that there was more than a two-fold significant difference in the expression of 12 microRNAs between the two groups. A qRT-PCR assay was used to confirm 36 37 these results: the expression levels of five microRNAs (microRNA-34c-5p, -375, -184, 34c-38 3p, and 34b-5p) were significantly lower in the EC primary tumor with positive lymph node 39 compared to those with negative lymph node. A minimal p-value approach revealed that 40 women with a microRNA 375 fold change <0.30 were more likely to have positive lymph 41 node (n=8; 53.3%) compared with those with a microRNA 375 fold change >0.30 (n=1; 42 4.8%), p=0.001. Furthermore, women with a microRNA 184 fold change <0.30 were more 43 likely to have positive lymph node (n=6; 60.0%) compared with those with a microRNA 184 44 fold change >0.30 (n=3; 11.5%), p=0.006. This is the first study investigating the relative 45 expression of mature microRNA genes in early-stage grade 1- 2 EC primary tumors 46 according to the nodal status. This microRNA expression profile provides a potential basis for further study of the microRNA function in EC and could be used as a diagnostic tool for 47 48 nodal status.

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51 Introduction

52 Endometrial cancer (EC) is the most common gynecologic tumor in women in 53 developed countries. The highest estimated incidences in 2012 are in the USA and Canada 54 (19.1/100 000) and northern (12.9/100 000) and western Europe (15.6/100 000) (1)(2). 55 Women are often diagnosed at an early stage (stage I of the International Federation of 56 Gynaecology and Obstetrics (FIGO) classification), when the disease is still confined to the 57 uterus, representing almost 75% of the cases. The most frequently occurring histologic 58 subtype is endometrioid adenocarcinoma, which is a hormone-receptor-positive EC, with a 59 good prognosis (3). Women can be classified with early-stage EC at low-, intermediate- or 60 high-risk for recurrence by a combination of histologic criteria (i.e. depth of myometrial 61 invasion and histologic type and grade) (4).

62 Standard treatments for early stage EC consist of primary hysterectomy and bilateral 63 salpingo-oophorectomy. In this specific setting, many centers in the United States perform lymph node sampling, lymph node dissection or sentinel lymph node mapping in many or 64 65 most EC, showing that indications for lymph node dissections are not well established. 66 European guidelines have recently been modified and lymphadenectomy is no longer 67 recommended in women with low- (FIGO stage IA, grade 1 and grade 2, endometrioid 68 adenocarcinoma) or intermediate- (FIGO stage IA, grade 3 type 1 EC; FIGO stage IB, grade 1 69 and grade 2, endometrioid adenocarcinoma) risk EC (4). However, several authors have 70 demonstrated that such an approach may lead to under-treatment as 10% of women of low-71 risk and 15% of intermediate-risk EC have nodal metastases (5,6), rates which can be higher 72 in the case of lymphovascular space involvement (LVSI) on the primary tumor (7–9).

73 It is now well established that conventional methods used for histologic classification 74 and grading of EC are not sufficient to predict lymph node metastases. This is probably due to 75 considerable heterogeneity within endometrioid histologic subtypes (10). Hence, additional tools including highly sensitive and specific molecular prognostic biomarkers are needed to select the women for whom complete surgical staging should be performed in order to better adapt adjuvant therapies.

79 MicroRNAs (miRNAs) are short length (~22 nucleotides), naturally existing RNAs 80 functioning at the posttranscriptional level either by regulating mRNA degradation or by 81 translating repression through binding of the 3'-untranslated regions (3'-UTRs) of mRNAs 82 (11). The human genome encodes at least 1 500 miRNAs, and it is estimated that more than 83 30% of all mRNA expression is regulated by miRNAs (12). They have been linked to a 84 variety of physiologic and pathologic processes, including carcinogenesis and can act as 85 metastatic activators or suppressors (13)(14). Recently, miRNA signatures have been related 86 to the pathologic characteristics and prognosis of EC (15). However, there are few data about 87 nodal status-associated miRNA regulation in EC, especially in women at low and 88 intermediate risk. miRNAs, with their remarkable stability, can be studied in formalin-fixed 89 paraffin-embedded (FFPE) specimens (16) and are promising biomarkers for tumor staging.

90 The aim of this study was thus to evaluate whether miRNA profiles of grade 1 - 291 endometrioid adenocarcinomas can be related to nodal status and used as a tool to adapt 92 surgical staging.

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101 Materials and Methods

102 Experimental design

103 Approval for the present study was obtained from the local Medical Ethics Committee (CPP 104 Ile-de-France V; e-4-15) and written informed consent was obtained from all women who 105 provided the tissue samples used in this study. The experimental design for profiling the 106 miRNA changes of nodal involvement in grade 1 - 2 early-stage FFPE primary EC tumor 107 specimens is shown in Figure 1.

Thirty-six women with early-stage EC (i.e. women with primary tumor confined to the corpus 108 109 uteri with or without nodal involvement) who underwent primary surgical treatment 110 (including total hysterectomy, bilateral salpingo-oophorectomy and systematic nodal staging) 111 between January 2003 and December 2012 in Tenon University Hospital - APHP were 112 enrolled in the study. Nine of these women had lymph node (LN) metastases (positive LN) 113 and met the following inclusion criteria: endometrioid adenocarcinoma, grade 1 or 2, FIGO 114 stage I. The remaining 27 women, without LN metastases (negative LN), met the same 115 inclusion criteria and were used as control subjects. One-to-three matching was performed 116 according to the following three criteria: FIGO stage (IA, IB), histologic grade (grade 1, grade 117 2), and LVSI status (positive, negative). The exclusion criteria were as follows: previous 118 malignancies, history of chemotherapy or radiotherapy, inflammatory disease, or Lynch 119 syndrome (the search for a loss of expression of one of the Mismatch Repair proteins by 120 immunohistochemistry and for tumor instability (microsatellite instability replication error 121 repeats phenotype) were performed when EC occured before the age 50 years or when there 122 was a suggestive family history).

123 The clinical and pathologic variables of the women were extracted from maintained EC 124 databases and included age, parity, body mass index (BMI, calculated as weight in kilograms 125 divided by the square of height in meters), comorbidities (diabetes, dyslipidemia), 2009 FIGO

stage, histologic type and grade, depth of myometrial invasion, LVSI status, and time betweensurgery and sample analysis.

The median age was 72 years [interquartile range (IQR): 65-78] in the negative LN group and 68 years [IQR: 64-76] in the positive group (p=0.84). The two groups were comparable for FIGO stage, histologic grade, and LVSI status. Furthermore, the comorbidities (diabetes and dyslipidemia), parity, BMI, tumor size, European Society of Medical Oncology (ESMO) risk group of recurrence and LVSI status did not differ according to the nodal status. The median time between surgery and sample RNA extraction was 32 months [IQR: 21-79] in the negative LN group and 30 months [IQR: 15-60] in the positive group (p=0.95). (Table 1)

135

136 Histologic Characteristics

Histologic grade 1 is defined by 5% or less of a solid nonsquamous, nonmorular growth pattern; histologic grade 2 by 6% to 50% of solid nonsquamous, nonmorular pattern; and histologic grade 3 by more than 50% of solid nonsquamous, nonmorular growth pattern (17). The presence of grade 3 nuclei involving more than 50% of the tumor increases the final tumor grade by 1. A tumor is considered LVSI positive when tumor emboli are found within a space clearly lined by endothelial cells on haematoxylin and eosin (H&E)-stained sections (18).

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145 **RNA extraction from FFPE tissues**

146 FFPE tissues were obtained from the hysterectomy specimens. FFPE tissue blocks were 147 sectioned on a standard microtome (Leica-microsystems RM 2145) to generate successive 10 148 µm sections which were evaluated by a pathologist. Regions of invasive carcinoma were 149 confirmed and marked on each slide. For each sample, marked regions from two slides were microdissected using a new, sterile blade and the dissected tissues were placed immediatelyinto an RNase-free microcentrifuge tube.

152 Total RNA was extracted using the miRNeasy FFPE Kit (Qiagen, Courtaboeuf, France), 153 according to the manufacturer's instructions. Briefly, a deparaffinization solution was added 154 to the FFPE samples. The tissues were then digested with protease and treated with DNase. 155 After washing, the RNA, including the small miRNA fraction, was eluted with 20 ul distilled 156 water. The concentrations and quality of the RNA recovered were measured using the 157 Nanodrop 1000 A spectrophotometer (Nanodrop Technologies, Wilmington, DE, USA). The 158 median ratio of 260/280 was 1.87 (inter quartile range (IQR): 1.84-1.90) and the median 159 concentration was 415.8 ng/µl (IQR: 327.1-568.2).

160

161 Microarray hybridization and data analysis

162 To offset the high cost of an individual miRNome, the 27 specimens of negative LN status 163 were pooled by 3 in accordance with the matching criteria. In this way the influence of 164 individual differences among the women were limited while maintaining opportunities for 165 exploration of possible statistical variations. Microarray analysis was conducted on 18 distinct 166 specimens: nine specimens with positive LN and nine specimens with negative LN. 167 Microarray hybridization on miRNA 4.0 chips (Affymetrix) was conducted at the genomic 168 platform of the Institut Cochin, Paris. After validation of the RNA quality with Bioanalyzer 169 2100, 1 µg of total RNA was biotin labelled following the FlashTag Biotin HSR RNA 170 labelling kit (Affymetrix).

After overnight hybridization, the miRNA 4.0 chips were washed in the Fluidic Station FS450
(Affymetrix) following a specific protocol and scanned using the GCS3000 7G. The scanned
images were then analyzed with Expression Console software (Affymetrix) to obtain raw data
(CEL files) and metrics for Quality Control. No apparent outliers were detected. Specific

- 175 miRNA sanalysis was performed using Partek[®] Flow[®] software, version 3.0 Copyright ©;
- 176 2014 (Partek Inc., St. Louis, MO, USA). CEL files were imported and normalized using
- 177 Robust Multi-array Averaging (RMA) (19).
- 178 Genes with a nominal p-value ≤ 0.05 were considered to be differentially expressed. Among 179 these, genes showing a variation of 2 were retained for further analysis.
- 180

181 Validated target gene and enrichment analysis

To estimate the biologic effects of the differentially expressed miRNAs, lists of validated target genes were determined using currently available databases, including Tarbase® and Mirtarbase®. Gene Ontology (GO) enrichment analysis was performed on the lists using Genomatix GePS (release 2.4.0, Genomatix BH, Munich).

186

187 Validation of candidate miRNAs with reverse transcription (RT) and quantitative real 188 time PCR (qRT-PCR)

One µg of total RNA was used in all RT reactions which were performed with the miScript[®]II
RT Kit, using 5x miScript HiSpec Buffer method, according to the manufacturer's
instructions (Qiagen, Courtaboeuf, France) with a Thermo Hybaid PXE 0.2 Thermal Cycler.
cDNA samples were stored at -20°C for further use.

miRNA expression was analyzed by real-time PCR using miScript SYBR[®] Green PCR Kit (Qiagen, Courtaboeuf, France) according to the manufacturer's instructions with an initial activation step at 95°C for 15 minutes, followed by 45 cycles of 94°C for 15 seconds, 55°C for 30 seconds and 70°C for 30 seconds. A final melting curve analysis was performed to verify that a single product was amplified. All steps were performed in duplicate using a LightCycler® 480 System (Roche). The results are expressed as Ct values and normalized on the calculated median Ct of each sample (Δ Ct). miRNA primers were from Qiagen 200 (Courtaboeuf, France). Relative expression was calculated using the comparative Ct method 201 (2- $\Delta\Delta$ Ct). SNORD68 and RNU6 were both used as endogenous controls for data 202 normalization.

For the results from the qRT–PCR on miRNA expression, data are expressed as means \pm SEM. Means between two groups were compared using the Mann Whitney test. p < 0.05 was considered to be statistically significant. GraphPad Prism version 5 was used for analysis of tissue samples (GraphPad Software, La Jolla, CA, USA).

207

208 **Principal component analysis (PCA)**

PCA, a dimensionality reduction technique using a linear transformation applied onmultidimensional data, was used to categorize miRNA (20).

PCA and biplot plotting were performed using custom code in R 3.1.3 software, availableonline.

213

214 Optimal miRNA fold-change cut-offs correlated with final nodal status

We calculate the Δ Ct value by minus the Ct value of target microRNA by reference (endogenous control) microRNA. Normalized target microRNA expression level was calculated by 2^{- Δ Ct}. We further calculated the fold change of sample of interest to control sample by comparing their normalized target microRNA expression level.

For qualitative analysis, we calculated optimal cut-offs for each miRNA to correlate semiquantitative expression and final nodal status. The optimal fold-change (FC) cut-off was determined by a minimal p-value approach. This involved dichotomizing the FC level into dummy variables with a cut-off every 0.01 units of its range of values. Fisher tests comparing the number of women with or without LN metastases for every dummy variable were then calculated. The cut-off with the minimal p-value was chosen as the optimal cut-off for thisvariable.

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227 Statistical analysis

Unless otherwise specified, data were managed with an Excel database and analysed using R3.1.3 software, available online.

- 230
- 231 **Results**
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233 Distinct miRNA signatures of type 1 EC primary tumors with positive LN

234 To establish a miRNA profile for primary endometrioid adenocarcinoma EC with 235 positive LN, we performed a microarray analysis comparing endometrioid adenocarcinoma 236 EC tumors with positive LN to those with negative LN. We focused our study on the 2,560 237 probes containing sequences for mature miRNAs. Among them, 142 probes had consistent 238 normalized fluorescent intensities between the two groups (positive LN vs. negative LN) (p < p239 0.05) (Figure 2a). As illustrated by the volcano plot representation (Figure 2b), there was 240 more than a two-fold significant difference in the normalized fluorescence intensity of 12 of 241 these miRNAs between the positive LN vs. negative LN groups: seven miRNAs (miR 34c-5p, 242 miR 375, miR 184, miR 34c-3p, miR 34b-5p, miR 148a-3p and miR 504-5p) had a decreased 243 expression and five miRNAs (miR 129-5p, miR 5001-5p, miR 6068, miR 4467, miR 6850-244 5p) had an increased expression in samples from the positive LN group compared to samples 245 from the negative group (Table 2).

GO term enrichment analysis was then performed using the list of all known validated targets for the miRNAs exhibiting at least a two-fold change, with significant value (p<0.05), in the EC primary tumors with positive LN. Genes related to cell transformation, genomic

instability, cellular metabolic process, neoplasm invasiveness, neoplasm cell transformation,
growth arrest, malignant neoplasms, cancer progression, and microsatellite instability were
specifically enriched (Figure 3).

252

253 Evaluation of miRNA expression by real-time qRT-PCR analysis

254 A qRT-PCR assay was used to confirm the expression of the miRNAs that were 255 selected from the previous step. The expression levels of five miRNAs were significantly 256 lower (miRNA-34c-5P, -375, -184, 34c-3p, and 34b-5p; p=0.006, 0.0008, 0.001 and 0.001, 257 respectively) in the EC primary tumors with positive LN compared to those with negative LN. 258 Two miRNAs (miR 148a-3p, miR 504-5p) and four miRNAs (miR 129-5p, miR 5001-5p, 259 miR 6068, miR 4467) were down- and up-regulated, respectively, with no significant 260 difference, in the EC primary tumors with positive LN compared to those with negative LN 261 (Figure 4).

262 After eigenvalue decomposition of the 10 original dimensions (miR 34c-5p, miR 375, 263 miR 184, miR 34c-3p, miR 34b-5p, miR 148a-3p, miR 504-5p, miR 5001-5p, miR 6068, and 264 miR 4467), four principal components were found to be significant (with an eigenvalue >1). 265 These components explained 75.72% of the variance in the data (Figure 5a). The two 266 principal components explaining the greatest data variance are presented in Table 3. The first 267 principal component, accounting for 32.02% of the variance, was dominated by tumor-268 suppressor miRNAs, especially of the miR 34 family, including miR 34b-5p, miR 34c-5p and 269 miR 34c-3p. The second component, accounting for 24.31% of the variance, was dominated 270 by miR 129-5p.

A biplot of the first and second components (those explaining the greatest variance) is shown in Figure 5b, demonstrating an opposition between miRNAs that were defined by microarray as being up-regulated miRNAs (miR 129-5p, miR 6068, miR 5001-5p, miR 4467) and downregulated miRNAs (miR 34c-3p, miR 34c-5p, miR 34b-5p, miR 375, miR 504-5p,
miR 184, and miR 148a-3p) in the positive LN group.

276

277 Correlation between miRNA expression and nodal status in EC

278 Optimal cut-offs denoting the strongest correlation between quantitative expression of 279 the miRNAs that were selected from the previous step and final LN status are summarized in 280 Figure 6. The FC cut-offs defined were 0.30, 0.30, 0.0852, 0.09, 0.50, 1.45, and 1.75 for miR 281 375, miR 184, miR 34c-5p, miR 34b-5p, miR 148a-4p, miR 129-5p, and miR 4467, 282 respectively. miR 375 and miR 184 had the most significant p-values: 0.001 and 0.006, 283 respectively. We compared nodal status according to the cut-offs previously determined: 284 women with EC and a miRNA 375 FC < 0.30 were more likely to have positive LN (n=8; 285 53.3%) compared with those with an miRNA 375 FC > 0.30 (n=1; 4.8%), p = 0.001; women with EC and an miRNA 184 FC < 0.30 were more likely to have positive LN (n=6; 60.0%) 286 287 compared with those with a miRNA 184 FC > 0.30 (n=3; 11.5%), p = 0.006 (Table 4).

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290 **Discussion**

Our results show that in grade 1 - 2 early-stage EC, women with LN metastases have different miRNA profiles compared with those without metastatic LN. Moreover, we found that final LN status can be accurately predicted using miRNA expression level.

Nodal status in grade 1 - 2 early-stage EC is currently a major subject of debate. Although lymphadenectomy is no longer recommended in early-stage EC since the publication of a meta-analysis demonstrating no impact on survival, there are several discrepancies about how to manage women with early-stage/grade 1 - 2 EC in terms of surgical staging (21). The major limitation of preoperative imaging techniques (3), such as MRI and CT scan, is the poor detection rate of LN metastases (5). Moreover, a prospective multicentre study showed that 12% of women with low- to intermediate-risk EC had LN metastases that would have been overlooked if LN staging had not been performed (6). This partially explains the great heterogeneity of recurrence rates in women with presumed earlystage tumors. Hence, the main challenge for physicians managing women with early-stage grade 1 - 2 EC is when to opt for lymphadenectomy and, in the absence of data on lymph node status, when to opt for adjuvant therapy.

306 To address this issue, we assessed miRNA level expression in primary tumors 307 according to LN status. According to our chip analysis, seven miRNAs were downregulated 308 and five upregulated more than two-fold between the positive LN vs. negative LN groups. 309 Also, according to the enrichment analysis, the validated target genes for these differentially 310 expressed miRNAs were exclusively involved in carcinogenic pathways, underlining the 311 strength of our analysis. These results support data from the current literature showing that 312 miRNAs can act as tumor suppressors or oncogenes in various cancers (13) including EC 313 (22,23). Furthermore, recent evidence indicates that several miRNAs, including miR 34, 314 regulate the metastatic process through the expression of epithelial to mesenchymal transition 315 (EMT) – transcriptions factors (TF)s or EMT-activating signalling pathways 316 (SNAIL1/SNAIL2, basic helix-loop-helix (bHLH), E47, E2-2, TWIST1/TWIST2, and ZEB 317 (ZEB1/ZEB2) families) (24) that act as E-cadherin repressors (25) and, ultimately, enhance 318 cell migration and invasiveness in various cancers including EC (26–28).

After validation by RT-qPCR of miRNA selected from the previous step, we found that miR 34b-5p, miR 34c-5p, miR 34c-3p, miR 375, and miR 184 emerged as being particularly relevant to determine positive or negative LN metastatic status in women with grade 1 - 2 early-stage EC. Furthermore, miR 34b-5p, miR 34c-5p, miR 34c-3p, and miR 184 dominated the first principal component of the PCA analysis. This is in accordance with current literature: the miR-34 family (miR-34a, b and c) is attracting a lot of attention since it

325 has been found to play a key role as a tumor suppressor in several cancers (29). Indeed, it is a 326 direct target of the tumor suppressor gene p53 inducing apoptosis, cell cycle arrest and 327 senescence when upregulated. It also negatively influences the viability of cancer stem cells 328 and inhibits metastasis formation. The miR 34 family acts on apoptosis and cell cycle through 329 the repression of many proteins involved in the regulation of these two biologic processes. In 330 particular, the miR-34 family members bind to the 3'-UTRs of genes such as CDK4 and 331 CDK6 (cell cycle proteins), Bcl-2 (an apoptosis regulator), SNAIL (epithelial mesenchymal 332 transition) and CD44 (migration and metastasis), thus repressing their expression (29). 333 Numerous studies have shown the dysregulation of miR 34 in various types of cancers, 334 including hepatocellular, mesothelial and colon cancer, melanomas, leukemia. 335 nasopharyngeal cancer (30), prostate cancer (31), neuroblastomas (32), glioblastoma (33) and 336 breast cancer (34). Yet, little research has been conducted in EC, apart from a functional study 337 by Li et al. demonstrating that miR-34c acts as a tumor suppressor in HEC-1-B cells, and that 338 E2F3 protein may be a target of miR-34c (35).

In the same way, miR-184 functions as a tumor suppressor in various cancers (renal carcinoma (36), glioma (37,38), non-small-cell lung cancer (39), oral squamous cell carcinoma (40), breast cancer (41)) by repressing oncogenes such as TNFAIP2 (37), SND1 (38), CDC25A, c-MYC (39) and regulating the AKT/mTORC1 pathway (41). As suggested by various studies, miR 184 might be a useful diagnostic and therapeutic tool for malignant diseases (38,39). However, to the best of our knowledge, ours is the first study to provide data about miR 184 expression in EC.

Finally, a recent review by Yan et al. emphasized the emerging role of miR-375 in cancer and specified that miR-375 is frequently downregulated in multiple types of cancer, especially in hepatocarcinoma (42), esophagus cancer (43), osteosarcoma (44), lung cancer (45), and acts as a tumor suppressor by repressing many critical oncogenes such as PDK1,

350 JAK2, IGF1R (46), AEG-1 (42), and suppressing the PI3K/Akt pathway (44). Furthermore, 351 miR-375 might be used as a diagnostic and prognostic biomarker in various cancers. In this 352 setting, reduced miR-375 expression could be a predictor of poor outcome (HR: 12.8, 95% 353 CI: 3 to 49) and distant metastasis (HR: 8.7, 95% CI: 2 to 31) in head and neck squamous cell 354 carcinomas (47). Also, women with metastatic non-small-cell lung cancer (NSCLC) had 355 lower plasma miR 375 expression than those with non-metastatic NSCLC (p<0.05) (45). 356 However, miR-375 has only rarely been assessed in EC. One study found miR 375 to be 357 associated with histologic type EC (downregulated in papillary-serous carcinoma compared 358 with carcinosarcoma) (FC=-5.1, p-value=3.8E-04) (48).

359 We focused our analysis on post-operative FFPE EC primary tumors since it is known 360 that FFPE specimens can be used for real-time PCR-based quantitative miRNA expression 361 studies (16). This meant we could draw on the entire specimen collection of the Pathology 362 Department containing samples taken between 2003 and 2012, and select samples to form two 363 groups fully respecting the matching criteria. However, to provide a diagnostic tool, this 364 analysis should be validated on preoperative samples. Unfortunately, assessing miRNA 365 expression in preoperative biopsy samples might be difficult due to the contamination of 366 normal endometrial or myometrial tissue. A heterogenic sample, with distinct miRNA profiles 367 (13), could lead to a wrong analysis. Interestingly, two recent studies have demonstrated that 368 circulating miRNAs have a high accuracy in diagnosing endometrioid EC ((miR-15b, -27a, 369 and -233) (49) and (miR-9/miR-1228 and miR-9/miR-92a) (50)). The miRNA described in 370 our study may be preoperatively assessed in blood samples of women with grade 1 - 2 early-371 stage EC and might serve as a novel, non-invasive biomarker in the future, avoiding 372 discrepancies in histologic type and grade between preoperative biopsies and final histology.

373 Some limitations of the study should be underlined. Because of the use of FFPE 374 specimens with insufficient quality and RNA integrity, we could not assess the expression of

exact targets for the miRNAs validated in this study. Future research is needed to resolve thisproblem.

377 Conclusion

In conclusion, this is the first study investigating the relative expression of mature miRNA genes in early stage grade 1 - grade 2 EC primary tumors according to the nodal status. This miRNA expression profile may provide a basis for further study of the miRNA function in endometrioid adenocarcinoma, and be used as a diagnostic tool for nodal status.

382

383 **Disclosure/conflict of interest**

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535 **Titles and legends to figures**

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537 **Figure 1** Flowchart describing the constitution of groups and the experimental design.

EC, endometrial cancer; FFPE: formalin-fixed paraffin-embedded; FIGO, International
Federation of Gynaecology and Obstetrics; LVSI, lymphovascular space involvement;
miRNA, microRNA; RT, reverse transcription; qPCR, quantitative real time polymerase
chain reaction

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543 Figure 2 (a) Heat map of microRNA deregulation in positive LN vs. negative LN FFPE grade 544 1-2 EC primary tumor specimen. 142 probes had consistent normalized fluorescent intensities 545 between the two groups, negative LN vs. positive LN (p<0.05). (b) Volcano plot. Abscissa is 546 the logarithmic value of the level of variation (LogRatio) and ordinate is the negative 547 logarithm of the statistical value (-log (p)) of fluorescence intensities of the hybridized probes 548 from positive or negative LN samples. There was more than a two-fold change in normalized 549 fluorescence intensity of 12 microRNAs (blue triangle) between the positive LN vs. negative 550 LN groups (p < 0.05): 7 have decreased intensity (on the left) and 5 have increased intensity 551 (on the right).

EC, endometrial cancer; FFPE, formalin-fixed paraffin-embedded; LN, lymph node

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Figure 3 Enrichment analysis. Gene ontology enrichment analysis was performed (Genomatix GePS (release 2.4.0, Genomatix BH, Munich)) using the list of all known validated targets for the miRNAs exhibiting at least a two-fold change, with significant value (p<0.05), in the EC primary tumors with positive lymph node.

558 EC, endometrial cancer

- 560 Figure 4 qRT-PCR assay. The expression levels of 5 microRNAs (miR 34c-5P, miR 375,
- 561 miR 184, miR 34c-3p, and miR 34b-5p) were significantly lower in the grade 1-2 EC FFPE
- 562 primary tumor samples with positive LN compared to those with negative LN. Mann-Whitney
- 563 test, *p < 0.05; **p < 0.01; ***p < 0.001; mean \pm SEM
- EC, endometrial cancer; FFPE, formalin-fixed paraffin-embedded; LN, lymph node

- 566 Figure 5 (a) After eigenvalue decomposition of 10 original dimensions (miR 34c-5p, miR 567 375, miR 184, miR 34c-3p, miR 34b-5p, miR 148a-3p, miR 504-5p, miR 5001-5p, miR 6068, 568 and miR 4467), 4 principal components were found to be significant (with an eigenvalue >1). 569 These components explained 75.72% of the variance components. (b) Biplot of the first and 570 second components. There is an opposition between microRNAs that have been defined by 571 microarray as being upregulated (miR 129-5p, miR 6068, miR 5001-5p, miR 4467) and 572 downregulated (miR 34c-3p, miR 34c-5p, miR 34b-5p, miR 375, miR 504-5p, miR 184, and 573 miR 148a-3p) in positive LN group. 574 PC, principal component; LN, lymph node 575 576 Figure 6 Optimal fold-change cut-offs denoting a correlation between microRNA expression 577 and lymph node status in histologic grade 1-2 EC primary tumor specimens.
- 578 (a) miR 34c-5p; (b) miR 375; (c) miR 184; (d) miR 34c-3p; (e) miR 34b-5p; (f) miR 148a-3p;
- 579 (g) miR 504-5p; (h) miR 129-5p; (i) miR 5001-5p; (j) miR 6068; (k) miR 4467
- 580 EC, endometrial cancer
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 Table 1 Epidemiologic and histologic characterizations between women with negative or positive LN.

EC, endometrial cancer; FFPE, formalin-fixed paraffin-embedded; LN, lymph node; IQR, interquartile range; BMI, body mass index; FIGO, International Federation of Gynaecology and Obstetrics; ESMO, European Society for Medical Oncology; LVSI, lymphovascular space involvement

		EC FFPE primary tumor Negative LN n=27	EC FFPE primary tumor Positive LN n=9	P-value
Age, median (IQR)		72 (65 - 78)	68 (64 - 76)	0.84
Diabetes	n(%)	3 (11,1%)	0 (0%)	0.56
Dyslipidemia n(%)		3 (15.8%)	1 (20%)	1
Parity, m	edian (IQR)	2 (1 - 3)	1 (0 - 3)	0.85
BMI, med	lian (IQR)	24 (22 - 27)	25 (20 - 29)	0.92
FIGO Sta	ge, n(%)			1
	IA	13 (48.1%)	4 (44.4%)	1
	IB	14 (51.9%)	5 (55.6%)	
Histologic grade, n(%)				- -
	Grade 1	9 (33.3%)	3 (33.3%)	1
	Grade 2	18 (66.7%)	6 (66.7%)	
Tumor size (mm), median (IQR)		40 (25 - 40)	40 (25 - 42)	0.82
ESMO ris	sk group, n(%)	•		
	Low risk 13 (48.1%)		4 (44.4%)	1
	Intermediate risk	14 (51.9%)	5 (55.6%)	
LVSI, n(%	6)			
	Yes	9 (33.3%)	3 (33.3%)	1
	No	18 (66.6%)	6 (66.6%)	
Time between surgery and sample analysis (months), median (IQR)		32 (21 - 79)	30 (15 - 60)	0.95

Table 2 List of the downregulated (fold-change<-2, p-value<0.05) and upregulated (fold-change>2, p-value<0.05) microRNA between positive LN *vs*. negative LN FFPE histologic grade 1-2 EC primary tumor specimen.

Downregulated microRNAs			Upregulated microRNAs		
Name	Fold Change	P-Value	Name	Fold Change	P-Value
miR 34c-5p	-5.92	0.009	miR 129-5p	2.90	0.033
miR 375	-5.39	0.020	miR 5001-5p	2.26	0.026
miR 184	-5.24	0.031	miR 6068	2.11	0.036
miR 34c-3p	-5.07	0.017	miR 4467	2.08	0.047
miR 34b-5p	-4.04	0.016	miR 6850-5p	2.06	0.042
miR 148a-3p	-2.48	0.031			
miR 504-5p	-2.06	0.025			

Table 3 Correlations between initial variables and principal components. The first principal component, accounting for 32.02% of the variance, was dominated by tumor-suppressor micro RNAs, especially of the miR 34 family, including miR 34b-5p, miR 34c-5p and miR 34c-3p. The second component, accounting for 24.31% of the variance, was dominated by miR 129-5p.

Principal component 1			Principal component 2		
Micro RNA	correlation	p-value	Micro RNA	correlation	p-value
miR 34b-5p	0.85	5.03e-11	miR 129-5p	0.71	1.30e-06
miR 34c-5p	0.85	8.83e-11	miR 34c-3p	0.65	1.56e-05
miR 34c-3p	0.64	2.93e-05	miR 6068	0.63	3.43e-05
miR 184	0.53	8.87e-04	miR 5001-5p	0.63	3.51e-05
miR 504-5p	0.50	1.80e-03	miR 4467	0.48	2.80e-03
miR 148a-3p	0.40	1.61e-02	miR 34c-5p	0.44	6.74e-03
miR 129-5p	-0.45	5.33e-03	miR 34b-5p	0.43	8.98e-03
miR 6068	-0.51	1.57e-03	miR 148a-3p	-0.45	6.06e-03
miR 5001-5p	-0.60	1.43e-04			

Table 4 Optimal fold-change cut-offs denoting the strongest correlation between micro RNA

expression and LN status in histologic grade 1-2 EC primary tumor specimens.

EC, endometrial cancer; FFPE, formalin-fixed paraffin-embedded; LN, lymph node; FC, fold-

change

		EC FFPE primary tumor Negative LN N=27	EC FFPE primary tumor Positive LN N=9	p-value		
miR 375						
	FC > 0.30	20 (95.2%)	1 (4.8%)	0.001		
	FC < 0.30	7 (46.7%)	8 (53.3%)	0.001		
miR 184						
	FC > 0.30	23 (88.5%)	3 (11.5%)	0.006		
	FC < 0.30	4 (40.0%)	6 (60.0%)	0.006		
miR 34c-5p)					
	FC > 0.0852	18 (90.0%)	2 (10.0%)			
	FC < 0.0852	9 (56.3%)	7 (43.7%)	0.049		
miR 34b-5p)					
	FC > 0.09	23 (85.2%)	4 (14.8%)	0.026		
	FC < 0.09	4 (44.4%)	5 (55.6%)	- 0.026		
miR 148a-3p						
	FC > 0.50 22 (88.0%)		3 (12.0%)	0.012		
	FC < 0.50	5 (45.5%)	6 (54.5%)	0.012		
miR 129-5p						
	FC > 1.45	3 (37.5%)	5 (62.5%)	0.013		
	FC < 1.45	24 (85.7%)	4 (14.3%)			
miR 4467						
	FC > 1.75	3 (42.9%)	4 (57.1%)	0.0497		
	FC < 1.75	24 (82.8%)	5 (17.2%)			

Inclusion criteria

- Primary surgical treatment for EC with total hysterectomy and systematic nodal staging
- Endometrioid adenocarcinoma EC
- Grade 1 Grade 2 EC
- FIGO stage IA IB

Exclusion criteria

- Previous malignancies
- History of chemotherapy
- · History of radiotherapy
- Lynch syndrome
- Inflammatory disease





Figure 2







Figure 4













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