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# Late Roman and Byzantine Mosaic opaque “Glass-ceramics” *Tesserae* (5<sup>th</sup>-9<sup>th</sup> century)

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## Abstract

Forty-two mosaic coloured/opaque “glass” *tesserae* from three sites (Milan, Italy; Durrës, Albania; Hierapolis, Turkey) situated in the Western and Eastern parts of the Roman/Byzantine Empire, dated between the 5<sup>th</sup> and the 9<sup>th</sup> centuries, were studied by optical microscopy, SEM-EDX and Raman microspectroscopy in order to investigate the nature of their pigments and opacifiers as well as the microstructure of glass ceramic materials. The Raman signatures of glass matrix and phases dispersed in the soda-lime glassy matrix showed the presence of six opacifiers/pigments. The use of soda ash glass in the *tesserae* from Durrës (post 8<sup>th</sup> c.) allows refining the mosaic debated chronology. The use of soda ash matrix glass together with the presence of calcium antimonates ( $\text{Ca}_2\text{Sb}_2\text{O}_7$  and  $\text{CaSb}_2\text{O}_6$ ), pyrochlore solid solution/Naples' yellow ( $\text{PbSb}_{2-x-y}\text{Sn}_x\text{M}_y\text{O}_{7-\delta}$ ) and cuprite ( $\text{Cu}_2\text{O}$ ) or metallic copper ( $\text{Cu}^0$ ) in many samples show the technological continuity in a Roman tradition. However, the presence of cassiterite ( $\text{SnO}_2$ ) and quartz ( $\text{SiO}_2$ ) in one sample from the beginning of the 5<sup>th</sup> century, diverging from Roman technology, offers a chronological marker to identify newly (not re-used) produced *tesserae*.

## Graphical abstract

**Keywords:** Microstructure-final; Spectroscopy; Glass ceramics; Colour; Byzantine/Roman Empire

## Highlights

Opaque/coloured glass mosaic *tesserae* exhibit a glass ceramics microstructure.

The innovative use of cassiterite ( $\text{SnO}_2$ ) and quartz ( $\text{SiO}_2$ ) in 5<sup>th</sup> century *tesserae* is evidenced.

The technological innovations went alongside the continual use of Roman recipes (calcium antimonate and yellow pigments).

## Novelty

The first use of cassiterite and quartz in the beginning of the 5<sup>th</sup> c. as well as the use of calcium antimonates after Roman times in the *tesserae* produced *ex novo* with mixed glasses were demonstrated.

## 1. Introduction

Glass mosaics are considered among the most outstanding and elaborate forms of mural and floor decoration in Antiquity. From the Late Antique and Byzantine periods onwards, coloured glass and gold leaf *tesserae* covered large surfaces and were the prevalent material of wall mosaics [1]. Despite important interest for mosaic *tesserae* [2-7], the workshops where glass *tesserae* were made are still unknown. Moreover, analytical data available for Late Roman and Early Byzantine (4<sup>th</sup>-8<sup>th</sup> c.) *tesserae*, especially opaque *tesserae*, have not allowed accurate dating.

The large number of studies and chemical analyses performed on ancient (transparent) glass pieces over the last decades suggests that glass manufacturing was a two-stage process: first the production of glass ingots and then the manufacture of glass objects [8]. Abundant literature is also available on raw materials and their provenance, on the compositional classification of raw glass, and on the chronology of the glass objects (e.g. [9-11]). In these studies, a glass is considered as a homogeneous material and no attention was paid to the glass ceramic character of coloured/opacified samples. Glass *tesserae* from the 1<sup>st</sup> to the 8<sup>th</sup> c. were made from soda-lime glass characterized by low potassium, magnesium and phosphorus contents (so-called natron-type glass) [6, 12]. After the 8<sup>th</sup> c. a new type of soda-lime-silica glass containing higher potassium, magnesium and phosphorus contents, produced with plant ashes rich in soda and lime, serving as flux, was introduced. However, both natron and ash glass continued to be used side-by-side until the 12<sup>th</sup> c., Note, some mixed-types, i.e. natron glass mixed with ash glass or ash, due to glass recycling have been also identified [4].

Some scholars suggested that mosaic *tesserae* produced from the 4<sup>th</sup>-5<sup>th</sup> c. onwards could originate either from the dismantling of older mosaics or the melting of cullet [13-19]. Surely, *tesserae* re-using was a widespread practice, attested by many sources from the 1<sup>st</sup> to the 12<sup>th</sup> c. [2,8,20], but it is nowadays impossible to define the ratio between re-use and new production.

The split of production between primary workshops, which melt glass ingots, and secondary workshops, which fabricated artefacts, has important impact on the interpretation of the analytical data. Consequently, the chemical composition of the *tesserae* based glass is not specific to the workshop producing the artefact, but rather to the primary infrastructure producing the raw material. Since the addition of colouring and opacifying agents to prepare a glass mosaic cake is a complex process (first a glass cake is made, and then the mosaic master cuts it in *tesserae* pieces), their identification make difficult the location of the secondary workshop where the glassy cakes were made.

It was reported that the glass coloration technology did not evolve significantly between the 1<sup>st</sup> and the 9<sup>th</sup> c. [21]. Actually, optically clear glass colour was mainly due to transition metal ions dissolved in the glass network, usually iron ( $\text{Fe}^{2+}/\text{Fe}^{3+}$ ), cobalt ( $\text{Co}^{2+}$ ), copper ( $\text{Cu}^{2+}$ ) and manganese ( $\text{Mn}^{2+}$ ,  $\text{Mn}^{3+}$ ). Other colouring effects were produced by forming a glass-metal composite by the dispersion of metal nanoparticles, namely copper [22-24], silver [22,25] and gold [22,26]. The high absorption of the metal particle plasmon requires however special manufacturing techniques in order to keep a low concentration of metal particles (limited diffusion from the surface, alternation of colourless and coloured thin layers, atmosphere control, etc. [22]). Lastly, colour can be obtained by forming a glass ceramic: a pigment is dispersed in a glass or precipitation on cooling is favoured through the saturation of molten glass.

Opacification arises from the difference in optical index between the glass matrix and second phase(s). Opacity is obtained by the incorporating a phase with a higher

optical index than the matrix one into the transparent matrix, generally a crystalline phase. Alternatively, intentionally generating (sub)micron gas bubbles [27] cause light scattering. Antimony-based opacifiers, namely white calcium antimonate, were used from the beginning of glass production in the Near East and Egypt, around 1500 BC, until the Roman period [28-30], but were subsequently replaced by tin oxide [28, 31-33]. These opacifiers remained in the use until the Renaissance and even modern times. Calcium phosphate was also used as opacifier from the 5<sup>th</sup> c. onwards, especially in the Eastern Mediterranean [34-40]. From the 10<sup>th</sup> c. onwards, Byzantine glassmakers produced mosaic *tesserae* employing quartz (ground silica sand), a less efficient but extremely cheap opacifier [41-43]. So the hue is a combination of the contribution of the transition element ions (colorants) and the quantity of the opacifiers.

The chronological evolution of the opacifiers can be used:

1. To distinguish new production from re-used one:
  - re-use, if the techniques used in Roman times have been attested in later mosaics;
  - new production, if technological discontinuities have been observed with respect to Roman technology;
2. to determine secondary glassmaking workshops and supply routes, if the different opacification techniques could be linked to the specific areas of production.

This study presents the identification of glass *tesserae* opacifier agents within forty-two *tesserae*, sampled from three sites situated in the Western and Eastern areas of the Roman/Byzantine Empire, and dated between the 5<sup>th</sup> and the 9<sup>th</sup> centuries, analysed by SEM-EDX and Raman spectroscopy. The earliest samples correspond chronologically to the first evidence of technological changes in opacification (5<sup>th</sup> c.), which delimited the start of a period ending with the introduction of a new raw glass ceramic production technology during the 9<sup>th</sup> century.

Each site has a disputed chronology as follows:

Italy, Milan: loose *tesserae* found during the excavations conducted in the church of St. Lawrence from 1913 to 1920 (Supporting information, **Fig. S1a**) document the vault decoration of this celebrated building, likely dated to the 5<sup>th</sup> c. [44]. In particular loose *tesserae* from St. Aquilino, the octagonal chapel of St. Lawrence, have been sampled: the use of stylistic criteria provided us a wide chronological milestone (late 4<sup>th</sup> or 5<sup>th</sup> c.) and allowed to identify the work of craftsmen of different origins (local or Levantine).

Albania, Durrës: the *in situ* Byzantine mosaic in the Christian chapel inside the amphitheatre has been controversially dated between the 6<sup>th</sup> and the 8<sup>th</sup> c. [45,46] (Supporting information, **Fig. S1b**).

Turkey, Hierapolis: loose *tesserae* were found during archaeological excavations inside the Theatre, in the demolition layers (before 9<sup>th</sup> c.), and in the St. Philip church (6<sup>th</sup> - 9<sup>th</sup> c). The Theatre *tesserae* were assigned to the 6<sup>th</sup> c. wall decoration of an unknown church whereas the St. Philip one, to the demolition layers dated from the Seldjuk period (1037-1194). Then *Tesserae* and mosaic fragments were assigned to the 6<sup>th</sup> c. wall decoration of the St. Philip church and its restoration performed in the 9<sup>th</sup> c. [47] (Supporting information, **Fig. S1c**).

To identify the opacification techniques and to document the technological changes between Roman and Byzantine eras, *tesserae* were analysed not only by optical microscopy and SEM-EDX, but also by Raman spectroscopy. Note, this technique is a powerful tool for the non-invasive analysis of materials [48,49] that characterizes both

the silicate network (nanostructure and microstructure heterogeneity) [50-55] and the crystalline secondary phases [2,3,7,50,56-58]. Despite the interest for the identification of crystalline phases, very few Raman analyses of ancient mosaic *tesserae* have been published [2,3,7,57,58].

## 2. Materials and Experimental Methods

Forty-two *tesserae* from three sites are selected (described in **Fig. 1** and **Supporting information, Fig. S1**; several details are given in **Table S1**). Typical dimensions are comprised between 5x5x7 and 9x9x12 mm<sup>3</sup>. The samples were carefully observed in order to define colours and optical characteristics of the glass. Because of the surface deterioration of most of the *tesserae*, appearing as a yellowish and whitish weathered surface layer, a soft mechanical abrasion was performed with '1200' grade SiC paper under the optical microscope to identify chromatic hues and to facilitate the microstructure examination. As far as possible, all the macro-coloured areas were analysed in all the different hues. Some *tesserae* were polished using SiC paper and diamond paste felts before the SEM-EDS analysis and by Raman spectroscopy (**Fig. 1a**). Ten representative *tesserae* were selected for EDS analysis.

*Optical Microscopy*: the polished sections were observed under a Wild-Heerberg stereomicroscope coupled to multiple magnifications (5x and 10x objectives) and a Olympus BX51 Olympus + Th4-200 microscope (100x objective) for finer observations (**Fig. 2**).

*SEM-EDS*: chemical analysis and images were obtained under a JEOL 5410LV SEM-EDX using an acceleration voltage of 20 kV. Quantitative elemental analysis was performed (oxide) with Iridium Ultra software based on the ZAF calculation method. The validity of the measurements was monitored by applying the same procedure to certified glass-reference samples "Corning Museum B, C and D" and American "National Bureau of Standard (NBS 620)", as usual [59-61]. The error is below 1% for SiO<sub>2</sub>, Na<sub>2</sub>O and CaO, and below 5% for the other oxides.

*Raman microspectroscopy*: the analysis was carried out by Raman spectroscopy using two different instruments excited with blue and green laser, respectively (blue excitation is well adapted to record the spectrum of poor coloured silicate glasses and the green excitation offers a good compromise whatever the colour of the analyzed material):

- a LabRam HR 800 spectrometer (HORIBA Scientific, Longjumeau, France) coupled to an Olympus BX microscope (10x, 50x, and 100x standard and long working distance objectives), equipped with a Coherent Ar<sup>+</sup> ion laser. Here the 458 nm, blue line, is used. The scanned areas range between ~10<sup>4</sup> and 5 μm<sup>2</sup> as a function of the objective magnification.
- a LabRam Infinity spectrometer (Dilor, Lille, France) coupled to a BX microscope, equipped with a Nd:YAG green laser (532 nm).

In order to select the different spots to be analysed by Raman scattering, the crystalline phases and the glass matrix have been examined first under the optical microscope to. Spectra were processed by LabSpec software. A linear segment baseline was applied to remove the fluorescence background making the comparison of the spectra recorded with different instruments more reliable [62]: the spectral components/background including the Boson peak were removed to keep the bending and stretching components of SiO<sub>4</sub> vibrational unit only.

## 3. Results and discussion

The *tesserae* have heterogeneous structure: crystalline phases are dispersed in the glass matrix (Fig. 2) leading to a glass ceramic material. In the most abundant yellow, green-yellow and green *tesserae*, yellow crystals are dispersed in colourless or green glass with bubbles, as a few tens of micrometres in size individuals or aggregates. In some points the individual and aggregates are arranged in layers (Fig. 2b and 2d), which indicates that two mixtures were added to the molten matter and roughly mixed. In the case of blue and turquoise *tesserae*, white crystallised grains up to 150  $\mu\text{m}$  in size were observed, more abundant in the light blue *tesserae*, because they were used to whiten the colours and obtain many hues. Their large dimensions indicate that they were added to the glass precursor. The bubbles (Fig. S2a) and rare aggregates of white crystals give rise to the translucent to opaque aspect of many *tesserae*. The red and orange *tesserae* show ‘dark’ layers on optical micrographs (Fig. 2c). These layers are actually transparent green zones free of pigments, interspersed with coloured opaque red zones that are commonly observed in a glass coloured by metal copper nanoparticles [24].

The compositional data obtained for 10 *tesserae* glass are given in Table 1. Representative Raman signatures of the glass matrix are shown in Fig. 3, and crystalline phases in Fig. 5. Composition biplots are presented in Fig. 4.

### 3.1 Glass matrix

The different compositions of the glass matrix are identified in Table 1. These compositional groups were obtained by subtracting the contribution of the colorants, decolorants and opacifiers (Table 2) from the composition of the “coloured glass” matrix and then normalizing of the subtracted or corrected data to 100 wt%. In the case of *tesserae* DU\_A\_1, DU\_A\_3 their heterogeneous structure allows a specific analysis of glass matrix (without pigments). As shown in Table 1 and Fig. 4a, the MgO and K<sub>2</sub>O contents are in agreement with the glass type prevailing in the Roman times until the 8<sup>th</sup>-9<sup>th</sup> c., a soda-lime-silica glass containing potassium and magnesium oxides below 1.5 wt% each and phosphorus oxide below 0.2 wt% [9]. Natron, a sodium carbonate mineral from Egypt associated with low amounts of chlorides and sulphates, was the flux used to produce this glass [11].

It is admitted that the natron was mixed and fused together with a silica-lime sand in which quartz and calcium carbonate were present in suitable ratios. The higher magnesium, potassium and phosphorus contents of two *tesserae* from Durrës (DU\_A\_2, DU\_A\_3) indicate a soda-lime-silica glass made from soda plant ashes [63]. This allowed dating the above-mentioned *tesserae* after the 8<sup>th</sup> c. In the case of the two *tesserae* from Hierapolis, one exhibits a high magnesium content (HA\_C\_16) correlated to a high phosphorus content (0.92 wt% P<sub>2</sub>O<sub>5</sub>, Table 1), and the second (HA\_C\_10) a higher potassium content (1.95 wt% K<sub>2</sub>O, Table 1). According to the published interpretations of the 6<sup>th</sup> c. mosaics from Sagalassos [4], HA\_C\_10 glass could result from the contamination of soda ashes to natron-based glass, during the cooking in reducing atmosphere.

The Al<sub>2</sub>O<sub>3</sub> and CaO contents (Table 1) depending on the sand employed in raw glass production, form three different groups. The first (N1 in Table 1) corresponds to the typical calcium/alumina content of Roman glass (CaO between 4 to 7%) [9] (samples HA\_C\_12, HA\_C\_13, SA\_5\_20, SA\_6\_18), the second (N2, in Table 1) corresponds to high calcium contents (sample DU\_A\_2,) (CaO between 9-11%) whereas the third (N3 in Table 1) can be assigned to high alumina and low calcium contents (samples HA\_C\_15, HA\_C\_16), typical of eastern Byzantine production (Al<sub>2</sub>O<sub>3</sub> between 6-7%) [4,9,64]. Despite the small number of analysed *tesserae*,

different groups can be clearly identified in each of three sites, indicating different supplies: N1 for Milan, N2 for Durrës, and N1 and N3 for Hierapolis.

The glass is a more or less polymerized  $\text{SiO}_4$  network, each tetrahedron sharing or not its oxygen ion. The  $\text{Si}^{4+}$  substitution by  $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Pb}^{2+}$  and  $\text{Ca}^{2+}$  ions lowers the number of strong covalent Si-O bonds and hence the melting point. The colouring 3d transition ions are hosted in the sites of the  $\text{SiO}_4$  polymeric network. Non-invasive micro-Raman spectrometry of amorphous silicate phases in glass and enamelled objects has already demonstrated its potential to characterize the glass network [49,50,55,56,62,65,66]. The Raman spectrum of an amorphous silicate is composed of two broad bands, the signature of the  $\text{SiO}_4$  tetrahedra forming the covalent glassy network : the (multicomponent) band centred around  $500\text{ cm}^{-1}$  mainly corresponding to the symmetrical deformation vibrational modes ( $\delta_s \text{SiO}_4$ ); the second band around  $1000\text{ cm}^{-1}$  corresponds to the symmetric stretching vibrations of the tetrahedron ( $\nu_s \text{SiO}_4$ ). Actually, the contribution of asymmetric stretching and bending modes can be neglected [51,53]. The number of characteristic components can be reduced to five, each of them corresponds to a different type of  $\text{SiO}_4$  tetrahedrons forming the silicate polymeric network: isolated tetrahedron or tetrahedral linked by common 1, 2, 3 or 4 oxygen atoms.

According to the above mentioned compositions, the Raman signatures (**Fig. 3**) can be classified as those typical of soda-lime-silicate glass, corresponding to “Glass family 3” in the Raman signature guide [66]. Only the purple-brown **DU\_A\_6** sample from Durrës shows a slightly different chemical pattern, with better defined *ca.*  $945\text{ cm}^{-1}$  peak, generally due to higher potassium content (**Fig. 4b**). According to literature [55, 66], the graphical representation of  $\nu_s \text{SiO}_4$  vs.  $\delta_s \text{SiO}_4$  maximum wavenumber allows to distinguish the types of glass. **Fig. 4b** confirms that the *tesserae* studied in this work are mostly lime-soda glass, only two from Milan are closer to soda glass [55,66]. However, their calcium content is lower (**Table 1**). Two samples (**HA\_C\_15**, **HA\_C\_16**) from Hierapolis also have low calcium contents, but because of a rather high fluorescence signal covering the Raman's spectra, the Raman signature of the matrix cannot be unambiguously determined .

### 3.2. Crystalline dispersed phases

Apart from the typical spectra of the glass matrix, the most characteristic peaks of various crystalline phases, which serve as pigments and opacifiers, have been detected. The phases identified by Raman are listed in **Table 2**, and representative spectra are shown in **Fig. 5**.

The observation under the SEM reveals that some crystals display euhedral shapes that indicate a growth in the molten glassy matrix: According to their sizes they have been classified in two categories:: 1) microcrystals ( $>1\text{ }\mu\text{m}$ ), 2) submicronic crystals (**Fig. 2e**). The first type is also observed in crystalline aggregates ( $20\text{-}50\text{ }\mu\text{m}$ ). In many needle-like or geometrically *tesserae*, the shaped crystals are present in various amounts, particularly in red (**Fig. S2b**) and yellow pieces. Only sample **SA\_5\_20** (blue glass *tesserae*) shows dendritic crystals, usually observed as growth in low viscosity liquid phase (**Fig. 2f**). These crystals are made of calcium and silicium, two of the major glass components. These devitrification crystals, produced when the molten glass is poured into the glass cakes or slabs (at about  $\sim 900\text{ }^\circ\text{C}$ ), are not related to colour feature. Wollastonite  $\text{CaSiO}_3$  Raman fingerprint, with its characteristic stretching peak at  $971\text{ cm}^{-1}$  is identified (**Fig. 5d**) in the blue *tesserae* (**SA\_4\_15**).

The opacification of yellow, green, turquoise and blue glass is obtained by the addition of tiny crystallites, dispersed in the glassy matrix identified as follows (**Fig. 5 and Table 3**).

*Calcium antimonate*: seven red, blue and grey samples from Milan and Durrës (**SA\_3\_12, SA\_3\_13, SA\_5\_20, SA\_6\_23, HA\_C\_8, HA\_C\_11 and HA\_C\_12**; see **Fig. 1**) show a major peak at *ca.* 672 cm<sup>-1</sup> and less intense ones at ~239, 324, 340, 524 cm<sup>-1</sup> (**Fig. 5c**). This series of peaks was recently identified as the Raman signature of calcium antimonate (Ca<sub>2</sub>Sb<sub>2</sub>O<sub>7</sub>) orthorhombic phase [3,7,58]. On the other hand, the Raman signature of one green *tessera* from Milan (**SA\_3\_10**) corresponds to cubic calcium antimonate (CaSb<sub>2</sub>O<sub>6</sub>, **Fig. 5b**) phase with characteristic peaks at ~481 and 632 cm<sup>-1</sup>. The spectrum presented in **Fig. 5f**, characteristic because of the enhanced intensity of 518 cm<sup>-1</sup> peak and the presence of 1350-1604 cm<sup>-1</sup> carbon doublet, could be explained by a polarisation effect (oriented single crystal spectrum) and/or by the modification of the calcium antimonate signature in relation with some oxygen deficiency. Previous studies on Roman glass (1<sup>st</sup> - 4<sup>th</sup> c.) suggested that the presence of calcium antimonate was related to an *in situ* crystallization [30,67]. It is unknown whether calcium antimonate precursor is added as a natural mineral (Sb<sub>2</sub>S<sub>3</sub> or pyrochlore) or a previously prepared (synthetic) compound (Sb<sub>2</sub>O<sub>3</sub>).

*Lead antimonate pyrochlore*: eight green, yellow and green-blue *tesserae* from Hierapolis and Milan (**HA\_C\_1, HA\_C\_2, HA\_C\_3, HA\_C\_4, HA\_C\_5, HA\_C\_9, SA\_4\_17, SA\_3\_9 and SA\_5\_20**) consistently show three wide peaks at about 335, 452 and 509 cm<sup>-1</sup> and a very strong peak at 142 cm<sup>-1</sup> (**Fig. 5g**) associated to bindhemite (PbSb<sub>2</sub>O<sub>7</sub>) [3,50,68-70], common in Roman mosaic glass. The submicronic crystals of orthorhombic antimonate and cubic antimonate are often associated to lead antimonate. This phenomenon may be generated by the high temperature (>850°C), that gives rise to the lead tin yellow [33] decomposition and also produces the devitrification crystal (**Fig. 6**).

*Pyrochlore solid solution (Naples yellow-type pigments)*: five yellow or yellow-green *tesserae* from Durrës (**DU\_A\_1, DU\_A\_2, DU\_A\_3, DU\_A\_4 and DU\_A\_5**) show a strong band at ~130 cm<sup>-1</sup> and small peaks at 320 and 440 cm<sup>-1</sup> (**Fig. 5h**), the Raman signature of pyrochlore bindhemite solid solution (PbSb<sub>2-x-y</sub>Sn<sub>x</sub>M<sub>y</sub>O<sub>7-δ</sub>) [71-73].

*Cassiterite*: four blue and red *tesserae* from Milan (**SA\_3\_9, SA\_3\_12, SA\_3\_13, SA\_4\_16**) show a major peak at ~635 cm<sup>-1</sup> and a smaller peak at 775 cm<sup>-1</sup>, identified as cassiterite, SnO<sub>2</sub> (**Fig. 5a, Fig. 2d**). Only the second minor band allows clearly distinguishing this compound from orthorhombic calcium antimonate (**Fig. 5b**) [58].

*Quartz*: it is added in the glass matrix to obtain a raw opacification in blue and in green *tesserae* (**SA\_2\_4, SA\_3\_9, SA\_4\_15, DU\_A\_5**). The presence of quartz (for example in **DU\_A\_5**, **Fig. 1c**) may enhance the precipitation and the stability of lead antimonates [74]. The stability of the mixture varies according to processing conditions, temperature and time.

*Copper-base phases*: the Raman spectrum of the orange *tessera* from Milan (**SA\_1\_1**) shows a strong peak at ~220 cm<sup>-1</sup> (**Fig. 5e**) recognized as cuprite (Cu<sub>2</sub>O [73, 75]). Colour and opacity in the red and orange glass (**SA\_1\_1, SA\_3\_12, HA\_C\_12**) may result from the presence of cuprite crystals and/or metallic copper particles (Cu<sup>0</sup>). It is a well-known fact that the control of the copper oxidation state and the production of cuprite crystals require strong technical skills. It is difficult to assess the dominant colouring agent by Raman spectroscopy [23], but copper metal being the most efficient colouring agent and it has furthermore been observed in glass of similar composition and colour [76].

### 3.3 Technology of opacification

As referred, three possibilities are available for the opacification process [21, 50, 67, 77]:

1. *in situ* crystallization during the elaboration process,
2. addition of an opacifier-rich glass called *corpo* and a yellow pigment called *anima* to base glass, as reported in ancient recipes [78,79] or
3. addition of previously synthesized opacifying crystals (pigment [7,29]).

The crystalline aggregates of cubic calcium antimonate ( $\text{CaSb}_2\text{O}_6$ ), orthorhombic antimonate ( $\text{Ca}_2\text{Sb}_2\text{O}_7$ ) and pyrochlore are probably added to the glass matrix because a zoned phase around the crystal is visible, corresponding to the partial dissolution of crystals in the glass matrix (Fig. 6). However, we remark that in the case of small white crystals ( $< 1 \mu\text{m}$ ) the question of technology remains open, because of the dispersion phase absence. Nucleation during complex cooling/heating cycle is likely.

In the *tesserae* opacified with cassiterite (SA\_3\_12, SA\_4\_16, SA\_3\_13, SA\_3\_9), larger opacifying crystals (20-40  $\mu\text{m}$ ) (Fig. 2d and S2b) are observed, without the dispersion phase. The presence of secondary small micron crystals as shown in Fig. 2d is consistent with the precipitation of the dissolved tin during cooling. Pyrochlore, once mixed/dispersed in a blue matrix, usually is used to produce the shades of yellow and green

For our *tesserae* the yellow, yellow-green, red and orange colours are obtained by addition of pyrochlore and cuprite or metallic copper, respectively. We have to however denote that in our *tesserae* the presence of calcium antimonate, cassiterite and quartz is not directly connected to a particular colour (Table 3), as shown in Table 2, but to particular recipes, which can potentially be linked to the specific areas of production (or workshops).

### 3.4 Chronology: opacification and mosaic preparation

The combination Raman and SEM/EDS analyses allow to recognize many types of raw glass and opacification recipes (Table 2).

Calcium antimonates are usually considered as typical Roman opacifying agents. The only known medieval examples of the use of calcium antimonates (excluding re-used glass) seem to be the 9<sup>th</sup>-c. enamels (plant-ash sodic glass opacified with calcium carbonate) from St. Ambrose church in Milan [39]. However, in our samples they are also used to produce the mixed glass *tesserae* (natron/ash, HA\_C\_10). The coloration and opacification by addition of lead antimonate, allegedly typical of Roman times, is also attested in many Byzantine *tesserae*, some of them (e.g. those from Durrës, in our case) made with ash plant glass proving a technological continuity at least up to the 8<sup>th</sup>-9<sup>th</sup> c. This pigment is also used in Byzantine pottery [80]. We could however demonstrate for some of our *tesserae* that the opacification technique diverges from Roman technology. The use of cassiterite in the beginning of the 5<sup>th</sup> c. is proved by the data obtained for the *tesserae* from St. Aquilino in Milan: these *tesserae* appear as the most ancient evidence of the use of this mineral, superseding the testimony from the Baptistery of Milan (late 5<sup>th</sup> c. - beginning of the 6<sup>th</sup> c.) [6].

Quartz white shades the *tesserae*. Quartz opacification is used in the Milan and Durrës *tesserae*, in association with other opacification processes, for example the addition of Naples yellow. Based on the data obtained, the Milan and Durrës *tesserae* can be considered as the earliest attestation of this technique, previously identified only from the 10<sup>th</sup> c. onwards [42,43]. These new recipes (opacification with cassiterite and quartz), not attested in Roman times, offer an indicator to refine our chronology and to

identify newly produced *tesserae*, distinguishing between medieval production and Roman glass recycling.

Our data also allow refining the chronology of the Durrës and Hierapolis mosaics. In Durrës, the use of soda ash glass suggests a post-8<sup>th</sup> c. chronology; in Hierapolis the use of mixed glass (ash and natron) hints towards a 6<sup>th</sup>-7<sup>th</sup> c. chronology [4].

#### 4. Conclusion

The study of the glass ceramic microstructure of coloured/opaque tesserae requires new approaches.

Their very heterogeneous microstructure does prohibit an efficient characterization of the material using LA-ICP methods based on the description of these materials as “homogeneous” glass, as proposed by some authors. Non-destructive Raman microspectroscopy appears as a very efficient technique to compare and classify glass ceramic *tesserae*. Additional SEM/EDS analysis of representative pieces allowed refining the most debated chronology of the Durrës mosaic (post 8<sup>th</sup> c.) and the opacification recipes’ chronology used in the production of *tesserae*. The chronological and geographical origins of the technological breakthrough could be identified. In fact, the first use of cassiterite and quartz in the beginning of the 5<sup>th</sup> c. as well as the use of calcium antimonates after Roman times in the tesserae produced *ex novo* with mixed glasses were demonstrated. A new production of *tesserae* (alongside re-using) has been highlighted, based on the analytical identification of clearly distinct recipes from those expected for Roman technology. Analytical data revealed the presence of cassiterite and quartz in natron glass, and of yellow pigments and calcium antimonate in both ash glass (after 8<sup>th</sup> c.) and mixed glass matrix (after 6<sup>th</sup> c.). These technological innovations went alongside the continual use of time-honoured Roman recipes (calcium antimonate and yellow pigments).

The above mentioned diverging technological paths, if attested in different regions, could be used as criteria to differentiate supply routes or workshops. For instance, the use of cassiterite recipes in Milan not only diverges from Roman technology, but also from recipes employing in other sites in Italy. In fact, *tesserae* from churches in Rome (from the 4<sup>th</sup> to the 12<sup>th</sup> c. [26,81-84]) and from Southern Italy (Piazza Armerina, 4<sup>th</sup> c. [84]; Foggia and Faragola, 6<sup>th</sup> c. [86,87] show the persistence of a Roman technology (natron base glass with calcium antimonate as opacifier). *Tesserae* from Ravenna [38,61,88], Vicenza and Padova [40] reveal two different supplies during the 5<sup>th</sup> century that could be linked to two workshops. The first one produced *tesserae* with one technique attested in Rome and in southern Italy (natron glass with calcium antimonate); the second producing *tesserae* with another technique (natron glass with calcium phosphate), as documented in Eastern Mediterranean workshops [15,34-37].

The recipe based on the addition of cassiterite has been recognized in mosaics from Rome only from the 13<sup>th</sup> c. onwards as well as in some objects manufactured, it was also attested in objects manufactured in the Germanic Kingdom between the 5<sup>th</sup> and the 7<sup>th</sup> c. and above all in Celtic enamels [32]. The opacification recipes with cassiterite prove that another route of supply, diverging from those supplying Rome and Ravenna, existed. The previous studies have demonstrated the use of cassiterite for enamel and beads production in India and in Northern Europe [32,89]: the recipe could originate from both areas. Then it must not be excluded that the presence of cassiterite is a marker of a local production in Milan, because it could be identified in 6<sup>th</sup> c. mosaics of St. John’s Baptistery [6, 20] and in the later mosaics of St. Ambrose [90].

No reasonable explanation is yet available for the use in the same mosaic of *tesserae* similar in aspect, but opacified by using different recipes (**Table 3**). Several

hypotheses can be proposed. The cakes brought by the mosaicists from another centre have been insufficient to complete the decoration, requiring the use of Italian supply sources (dismantling or new production?). It is also possible to imagine that the *tesserae* available in Italy were insufficient, requiring the import of glass cakes from another workshops. When in the same site *tesserae* produced by different techniques are observed, this may suggest a non-local production: if a workshop was in activity close to the site, only one technique should be detected.

By improving the analytical data available for the opacification's recipes used in the production of glass in the Mediterranean area, specific opacification recipes could be geolocalized and the supply routes of mosaicists (from secondary workshops to buildings) could be traced.

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**Table 1:** Chemical composition of the tesserae glass (expressed in wt% of the oxides) and type of glass (C: sodic plant ash; N: natron type, NC: mixed natron-sodic plant ash type; N1: CaO between 4 and 7%, N2: CaO between 9 and 11%, N3: Al<sub>2</sub>O<sub>3</sub> between 6 and 7%). \*Only the glass matrix is analyzed.

Sample	Glass	Na <sub>2</sub> O	MgO	Al <sub>2</sub> O <sub>3</sub>	SiO <sub>2</sub>	P <sub>2</sub> O <sub>5</sub>	SO <sub>3</sub>	Cl <sub>2</sub> O	K <sub>2</sub> O	CaO	TiO <sub>2</sub>	MnO <sub>2</sub>	Fe <sub>2</sub> O <sub>3</sub>	Co <sub>3</sub> O <sub>4</sub>	Cu <sub>2</sub> O	ZnO	SnO <sub>2</sub>	Sb <sub>2</sub> O <sub>5</sub>	PbO <sub>2</sub>
DU_A1*	C	12.26	2.91	1.55	69.32	0.23	0.25	0.84	2.37	9.28	0.10	0.34	0.40	n.i.	0.09	n.i.	n.i.	n.i.	n.i.
DU_A2	N2	15.25	0.80	2.79	66.58	0.23	0.20	0.70	1.10	9.37	0.30	0.80	1.79	n.i.	0.10	n.i.	n.i.	n.i.	n.i.
DU_A3*	C	12.85	2.99	1.69	65.36	0.23	0.20	0.60	2.79	10.96	0.10	1.69	0.40	n.i.	n.i.	0.13	n.i.	n.i.	n.i.
HA_C_10	NC	18.12	0.97	2.61	62.84	0.09	0.55	1.55	1.95	5.59	0.12	0.09	0.47	0.06	0.77	0.21	0.63	1.90	1.48
HA_C_12	N1	14.10	0.57	2.96	66.41	0.26	0.18	1.56	0.75	7.37	0.10	0.39	0.54	0.11	0.26	0.29	0.50	2.11	1.53
HA_C_13	N1	16.61	0.59	2.86	63.00	0.29	0.25	1.59	0.68	7.59	0.10	0.35	0.67	0.17	0.18	0.16	0.94	2.61	1.35
HA_C_15	N3	18.96	0.47	6.45	60.31	0.30	0.41	1.28	0.70	4.88	0.10	0.08	0.61	0.13	0.44	0.17	0.72	1.63	2.37
HA_C_16	N3	16.03	2.50	6.33	63.18	0.92	0.99	0.49	0.59	5.02	0.07	0.08	1.07	0.05	0.19	0.14	0.57	1.33	0.46
SA-5-20	N1	23.73	0.51	3.13	61.55	0.19	0.29	1.18	0.44	4.52	0.13	0.36	0.48	0.06	0.12	0.11	0.39	0.98	1.83
SA-6-18	N1	18.77	0.55	3.92	62.92	0.26	0.31	1.44	0.51	3.52	0.10	0.37	0.44	0.09	1.40	0.26	0.40	2.98	1.76

**Table 2:** Results synthesis: the raw glass group (see Table 1 and text) and the opacification recipes presented by site, chronology and color.

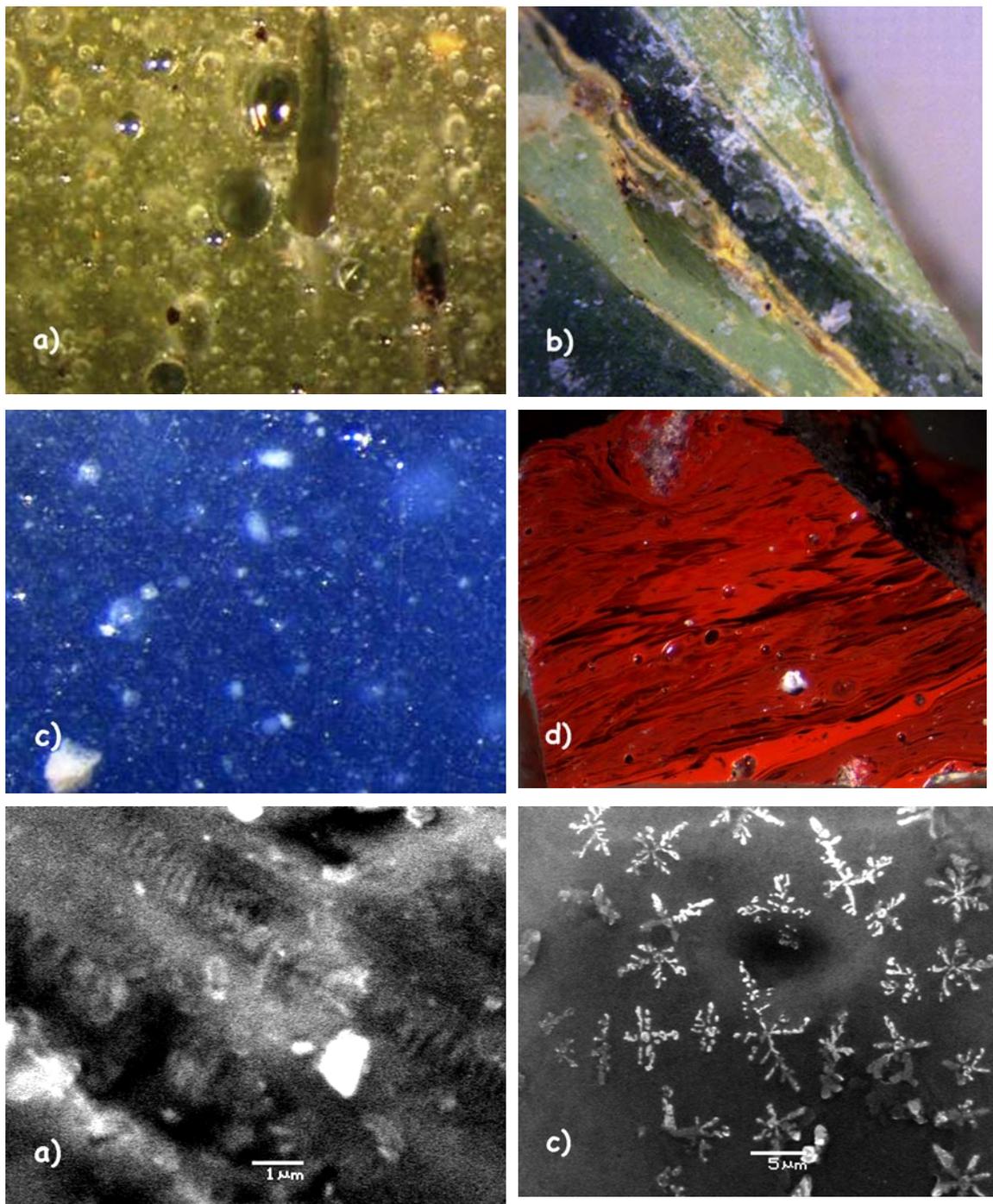
		Milan, St. Aquilino	Hierapolis	Durres, Amphitheatre chapel
<b>Date</b>		5 <sup>th</sup> c.	6 <sup>th</sup> c.	8 <sup>th</sup> c.
<b>Raw glass group</b>		Natron1	Natron1, Natron3, Mixed (soda ash and natron)	Natron2, Soda Ash
<b>Recipes of opacification</b>	<i>blue</i>	Calcium antimonate (CaSb <sub>2</sub> O <sub>6</sub> , Ca <sub>2</sub> Sb <sub>2</sub> O <sub>7</sub> ) Cassiterite (SnO <sub>2</sub> ), Quartz	Calcium antimonate (CaSb <sub>2</sub> O <sub>6</sub> , Ca <sub>2</sub> Sb <sub>2</sub> O <sub>7</sub> )	Bubbles
	<i>green and yellow</i>	Yellow pigments (PbSb <sub>2</sub> O <sub>7</sub> )	Yellow pigments (PbSb <sub>2</sub> O <sub>7</sub> , PbO-Pb <sub>3</sub> O <sub>4</sub> -PbO <sub>2</sub> )	Yellow pigments, Quartz (PbSb <sub>2</sub> )
	<i>red</i>	Cuprite(Cu <sub>2</sub> O)/ Cu <sup>0</sup> nanoparticles	Cuprite(Cu <sub>2</sub> O)/ Cu <sup>0</sup> nanoparticles	Cuprite(Cu <sub>2</sub> O)/ Cu <sup>0</sup> nanoparticles

**Table 3:** Color, provenance (SA: Milan, St. Aquilino (beginning 5<sup>th</sup> c.); DU: Durrës, amphitheatre chapel (6<sup>th</sup> c.-9<sup>th</sup> c.); HA: Hierapolis, fragment from St. Philip (6<sup>th</sup> c.-9<sup>th</sup> c.), main Raman peaks and phase assignment of coloring /opacifying agents identified in the glass mosaic tesserae.

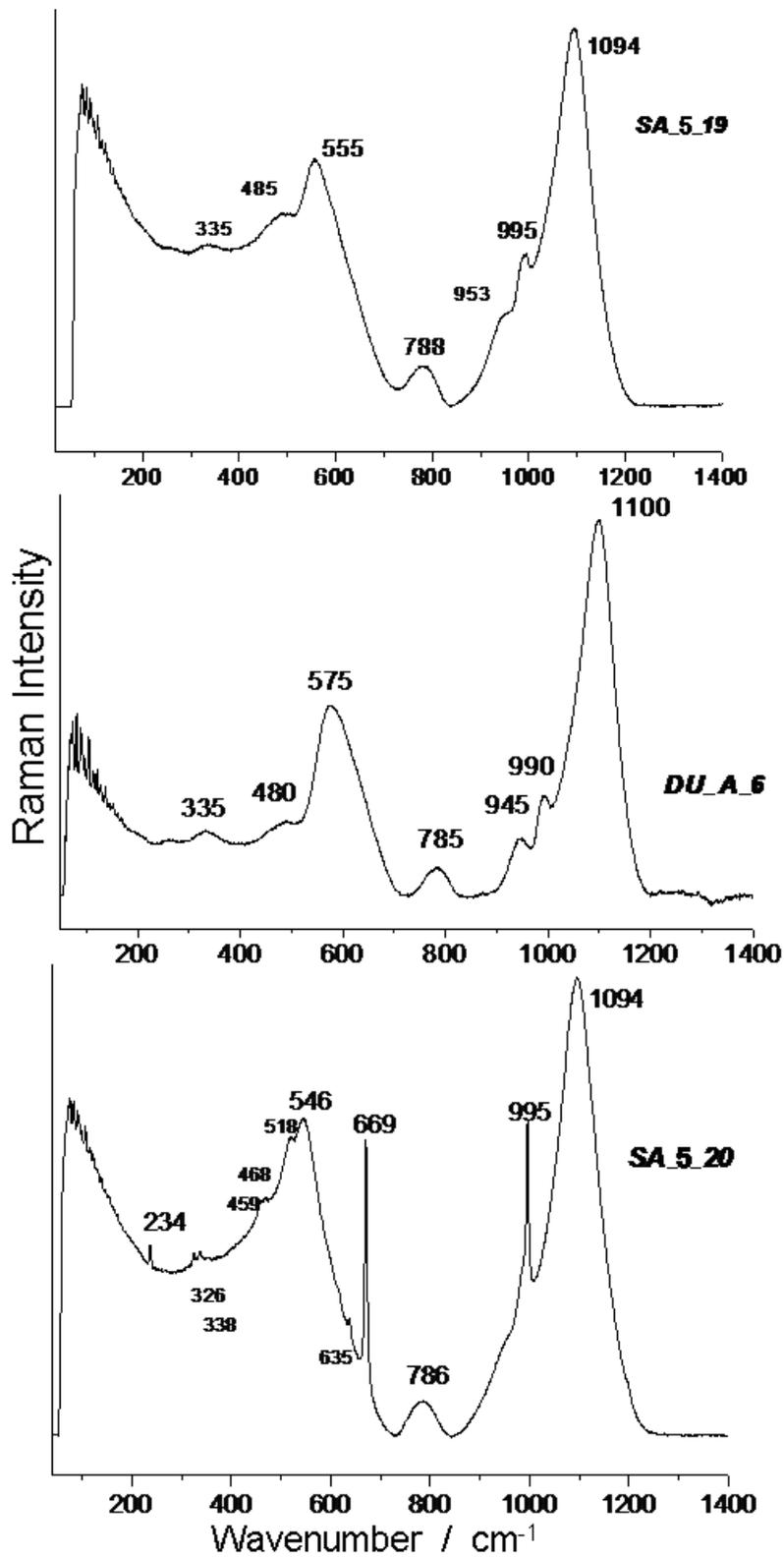
Color	Hue	sample	position of peaks cm <sup>-1</sup>	phase assignment
Blue	dark blue	HA_C_8	237-325-338-522-671	CaSb <sub>2</sub> O <sub>6</sub>
		HA_C_9	142-335-514	PbSb <sub>2</sub> O <sub>7</sub>
	blue	SA_4_15	203-265-355-464	SiO <sub>2</sub>
			326-414-639-971-1043	CaSiO <sub>3</sub>
	light blue	SA_4_16	203-262-353-463	SiO <sub>2</sub>
			634-777	SnO <sub>2</sub>
	light blue gray	SA_5_20	234-324-337-520-669	CaSb <sub>2</sub> O <sub>6</sub>
			140-335-456-507	PbSb <sub>2</sub> O <sub>7</sub>
			234-324-618-669	CaSb <sub>2</sub> O <sub>6</sub>
			203-265-356-465	SiO <sub>2</sub>
			636-775	SnO <sub>2</sub>
			140-335-456-507	PbSb <sub>2</sub> O <sub>7</sub>
			HA_C_11	234-324-618-669
	light blue gray	HA_C_11	236-322-337-522-669	CaSb <sub>2</sub> O <sub>6</sub>
SA_4_18		458-615-639-995-1074	alkali sulphate	
DU-A9		139-339-453	PbSb <sub>2-x</sub> Fe <sub>2</sub> Si <sub>u</sub> O <sub>7-δ</sub>	
Yellow and green	light turquoise	HA_C_4	140-340-454-511	PbSb <sub>2</sub> O <sub>7</sub>
	turquoise-green	HA_C_5	142-337-511	PbSb <sub>2</sub> O <sub>7</sub>
		DU-A8	456-615-636-997-1078	alkali sulphate
	green-turquoise	SA_4_17	142-335-452-509	PbSb <sub>2</sub> O <sub>7</sub>
		DU-A5	129-316-437	PbSb <sub>2-x</sub> Fe <sub>2</sub> Si <sub>u</sub> O <sub>7-δ</sub>
	203-260-350-465		SiO <sub>2</sub>	
	green	SA_3_10	324-372-481-632-788-828	Ca <sub>2</sub> Sb <sub>2</sub> O <sub>7</sub>
		HA_C_13	113-274-507-740	PbO-Pb <sub>3</sub> O <sub>4</sub> -PbO <sub>2</sub>
	green yellow	HA_C_3	140-337-454-511	PbSb <sub>2</sub> O <sub>7</sub>
	yellow green	HA_C_2	142-337-456-511	PbSb <sub>2</sub> O <sub>7</sub>
	dark yellow	HA_C_1	142-337-454-511	PbSb <sub>2</sub> O <sub>7</sub>
		DU_A1	126-318-438	PbSb <sub>2-x</sub> Fe <sub>2</sub> Si <sub>u</sub> O <sub>7-δ</sub>
	yellow	DU_A2	129-316-437	PbSb <sub>2-x</sub> Fe <sub>2</sub> Si <sub>u</sub> O <sub>7-δ</sub>
			135-263-713-1085	CaCO <sub>3</sub>
DU_A3		129-318-438	PbSb <sub>2-x</sub> Fe <sub>2</sub> Si <sub>u</sub> O <sub>7-δ</sub>	
DU-A4		133-324-441	PbSb <sub>2-x</sub> Fe <sub>2</sub> Si <sub>u</sub> O <sub>7-δ</sub>	
Orange and Red	orange	SA_1_1	217-410-636	Cu <sub>2</sub> O
	Red	SA_3_12	236-324-337-520-669	CaSb <sub>2</sub> O <sub>6</sub>
			634-775	SnO <sub>2</sub>
			467-617-639-995-1076	alkali sulphate
			634-775	SnO <sub>2</sub>
			217-410-636	Cu <sub>2</sub> O/Cu <sup>o</sup>
HA_C_20	217-410-636	Cu <sub>2</sub> O/Cu <sup>o</sup>		
White	Gray	HA_C_12	239-327-337-522-672	CaSb <sub>2</sub> O <sub>6</sub>



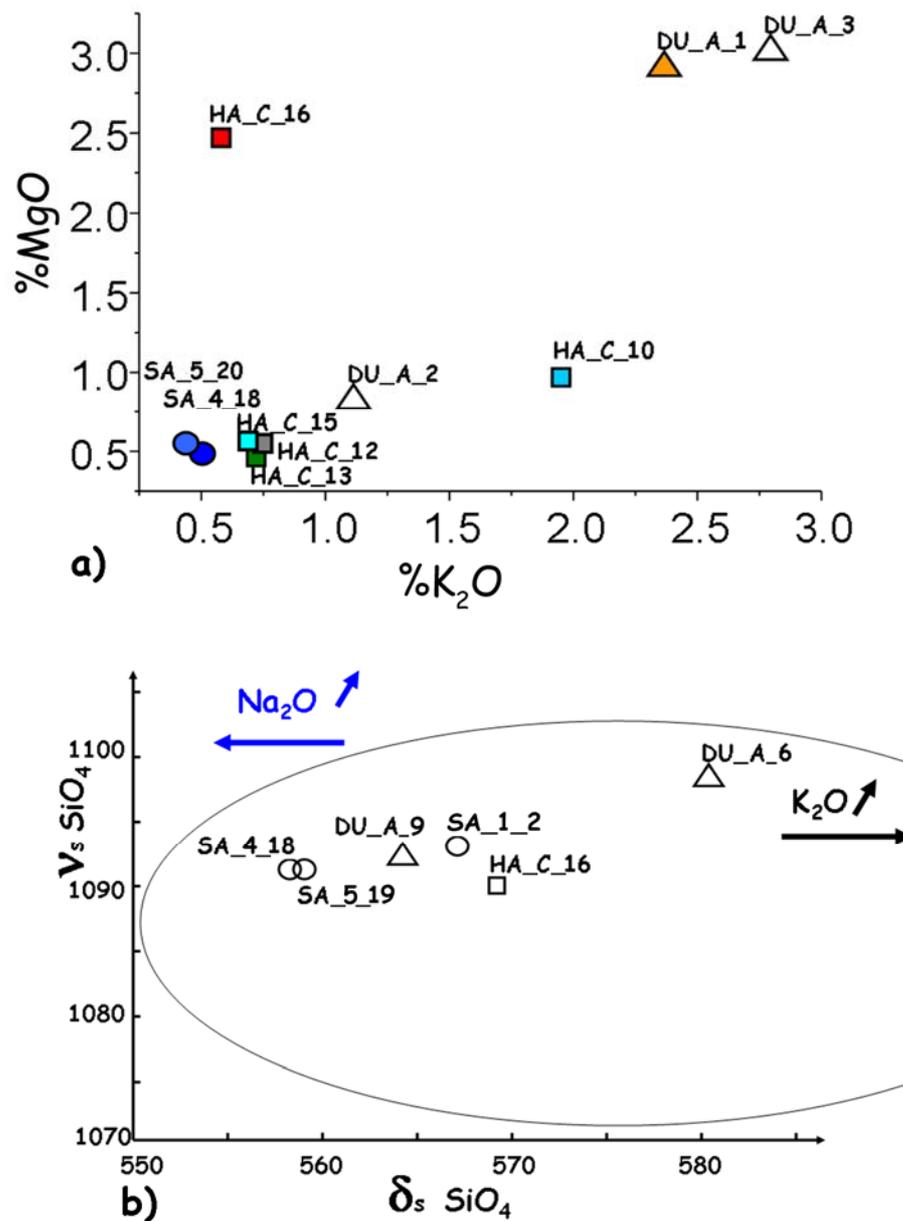
**Fig. 1:** Tesserae from (a) Milan (SA), (b) Hierapolis (HA\_C), (c) Durrës (DU\_A); see Supporting information, Table S1 for details.



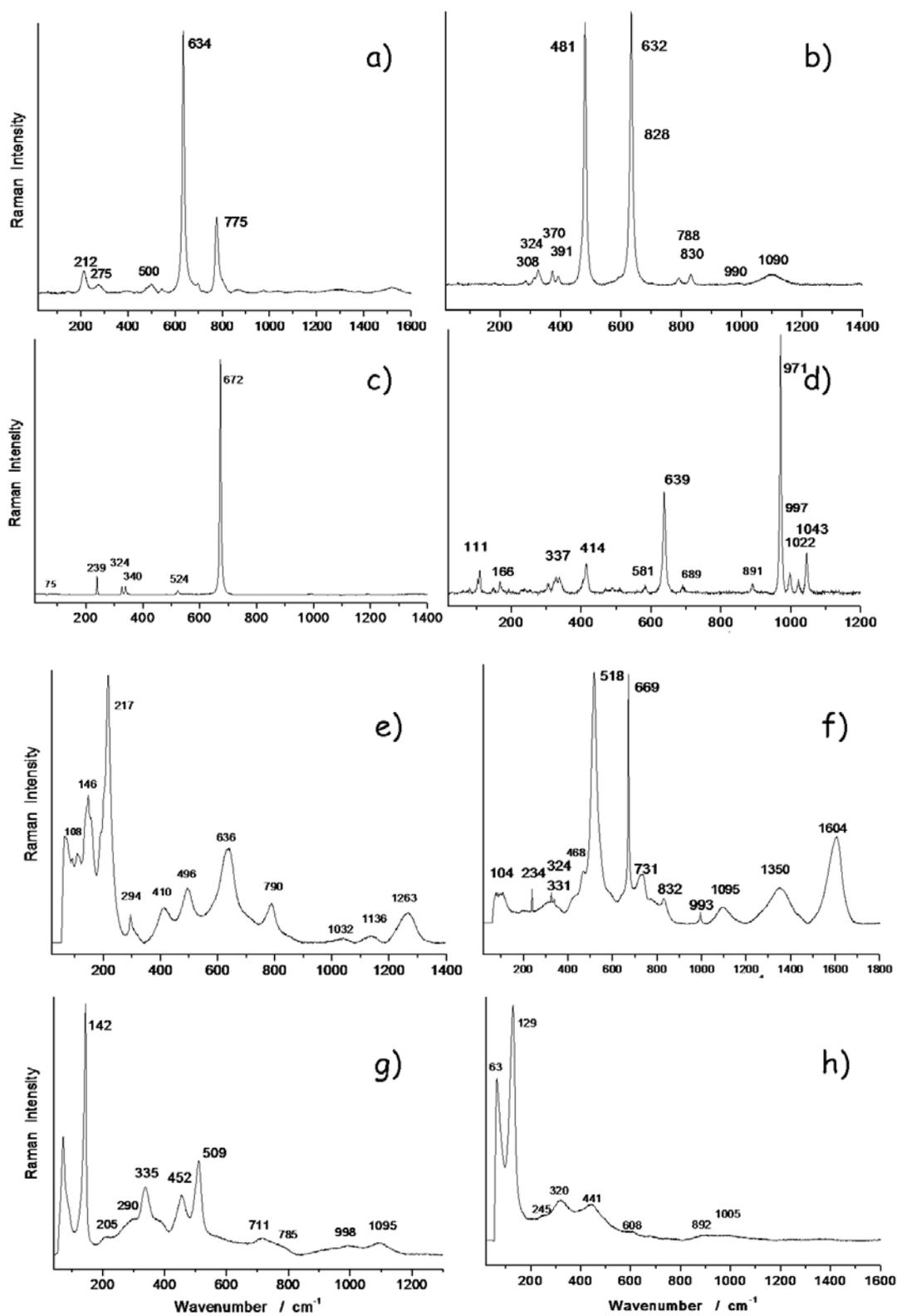
**Fig. 2** Typical tesserae microstructures. Optical micrographs: a) green tessera DU A\_5 from Durrës (long side of micrograph 2.4 mm), b) green tessera HA\_C\_2 from Hierapolis (long side of micrograph 3.4 mm), c) red tessera HA\_C\_16 (long side of micrograph 2.3 mm), d) blue tessera SA\_3\_9 from Milan (long side of micrograph 65 $\mu$ m). SEM/EDS micrographs: e) faceted crystal and f) dendritic crystals.



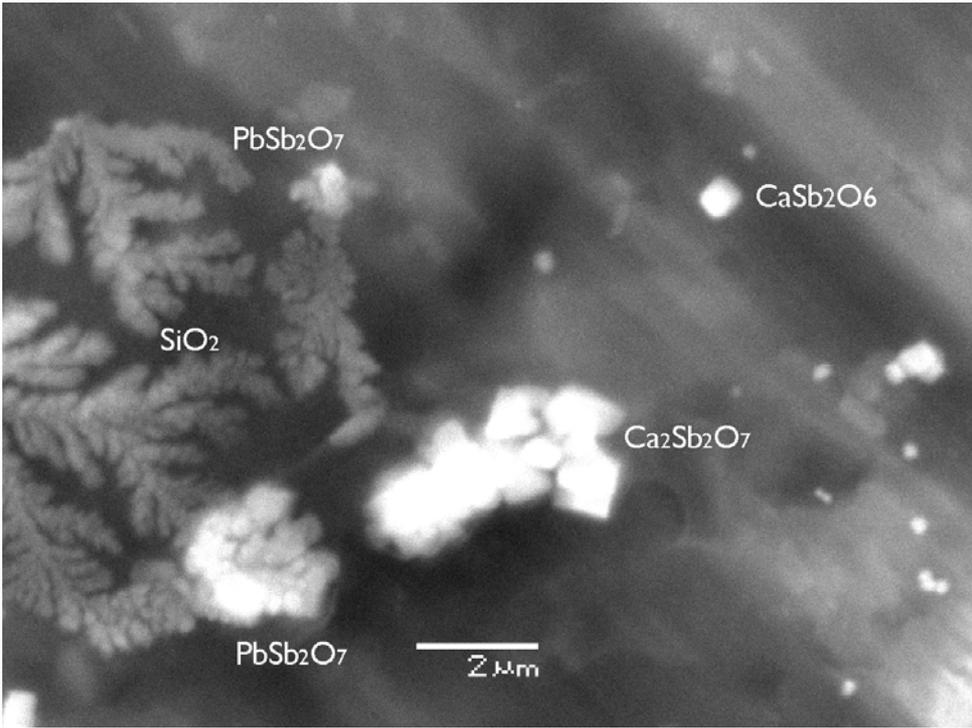
**Fig. 3:** Typical Raman signature of soda-lime-silica glass matrices (see Fig. 1 and Supporting information, Table S1 for label details).



**Fig. 4:** a) MgO vs. K<sub>2</sub>O content expressed in wt% of oxide of tesserae from Durrës (triangle), Hierapolis (square) and Milan (circle); b) Stretching (ν<sub>s</sub>) vs. bending (δ<sub>s</sub>) band maxima wavenumber of glass matrix for tesserae from Durrës (amphitheatre chapel, triangle), Hierapolis (St Philip, square) and Milan (St Aquilino, circle). The delimited area corresponds to soda-lime glass [55, 66]. Arrows indicate the effect of composition variation (Na<sub>2</sub>O and K<sub>2</sub>O increase).



**Fig. 5:** Representative Raman spectra of the different crystalline phases observed (see text and **Table 3** for phase assignments).



**Fig. 6:** Crystals observed in sample SA\_5\_20.