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1 Indian Ocean and Indian summer monsoon:  
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39 **Abstract**

40

41 The relationship between the Indian Ocean and the Indian Summer Monsoon (ISM) and their  
42 respective influence over the Indo–Western North Pacific (WNP) region are examined in the  
43 absence of El Niño Southern Oscillation (ENSO) in two partially decoupled global  
44 experiments. ENSO is removed by nudging the tropical Pacific simulated Sea Surface  
45 Temperature (SST) toward SST climatology from either observations or a fully coupled  
46 control run. The control reasonably captures the observed relationships between ENSO, ISM  
47 and the Indian Ocean Dipole (IOD).

48

49 Despite weaker amplitude, IODs do exist in the absence of ENSO and are triggered by a  
50 boreal spring ocean-atmosphere coupled mode over the South-East Indian Ocean similar to  
51 that found in the presence of ENSO. These pure IODs significantly affect the tropical Indian  
52 Ocean throughout boreal summer, inducing a significant modulation of both the local Walker  
53 and Hadley cells. This meridional circulation is masked in the presence of ENSO. However,  
54 these pure IODs do not significantly influence the Indian subcontinent rainfall despite  
55 overestimated SST variability in the eastern equatorial Indian Ocean compared to  
56 observations. On the other hand, they promote a late summer cross-equatorial quadrupole  
57 rainfall pattern linking the tropical Indian Ocean with the WNP, inducing important zonal  
58 shifts of the Walker circulation despite the absence of ENSO.

59

60 Surprisingly, the interannual ISM rainfall variability is barely modified and the Indian Ocean  
61 does not force the monsoon circulation when ENSO is removed. On the contrary, the  
62 monsoon circulation significantly forces the Arabian Sea and Bay of Bengal SSTs, while its  
63 connection with the western tropical Indian Ocean is clearly driven by ENSO in our

64 numerical framework. Convection and diabatic heating associated with above-normal ISM  
65 induce a strong response over the WNP, even in the absence of ENSO, favoring moisture  
66 convergence over India.

67

68

69 **Keywords:** Coupled climate model – El Niño–Southern Oscillation – Indian Ocean (Dipole)

70 – Indian summer monsoon – Ocean–atmosphere interactions – Rainfall

## 71 **1) Introduction**

72

73 The Indian Summer Monsoon (ISM) provides about 75–90% of annual rainfall over India  
74 from June to September (JJAS) with significant year-to-year variability. Predicting its  
75 interannual variations is of utmost importance as ISM is critical for the economy and  
76 agriculture of the country, with more than a billion people depending on fresh-water and  
77 farming.

78

79 The interannual variability of ISM Rainfall (ISMR) tightly relates to the El Niño Southern  
80 Oscillation (ENSO) phenomenon (e.g., Walker 1924; Sikka 1980; Rasmusson and Carpenter  
81 1983). The Walker circulation shifts eastward in the Indian sector during El Niños, inducing  
82 anomalous subsidence and reduced rainfall over India, and *vice versa* during La Niñas (Wang  
83 et al. 2005). In addition to ENSO, many studies have pointed out significant connections  
84 between ISMR and the Indian Ocean (Rao and Goswami 1988; Ashok et al. 2001, 2004;  
85 Gadgil et al. 2004, 2005, 2007; Krishnan et al. 2003; Krishnan and Swapna 2009; Clark et al.  
86 2000; Terray et al. 2003, 2007; Yang et al. 2007; Izumo et al. 2008; Park et al. 2010; Boschat  
87 et al. 2011; Roxy et al. 2015; Shukla and Huang 2016a).

88

89 In particular, the Indian Ocean Dipole (IOD, Reverdin et al. 1986; Saji et al. 1999; Webster et  
90 al. 1999; Murtugudde et al. 2000; Gadgil et al. 2004) has a two-way interaction with the ISM.  
91 Positive IOD events (pIODs) are associated with cooler (warmer) than normal SSTs in the  
92 eastern equatorial (western tropical) Indian Ocean, and reversely during negative IOD events  
93 (nIODs). The IOD is one of the main ocean-atmosphere coupled modes of variability in the  
94 Indian Ocean sector and its existence relates to coupled dynamics in the Indian Ocean  
95 (Annamalai et al. 2003; Fischer et al. 2005; Spencer et al. 2005; Behera et al. 2006). Its

96 growth during boreal summer and peak in September–November (SON) are related to both  
97 wind–thermocline–SST and wind–evaporation–SST feedbacks over the equatorial Indian  
98 Ocean and off the coast of Sumatra (Li et al. 2003; Spencer et al. 2005). It is very often  
99 triggered by ENSO, leading to a hot debate whether IOD exists without ENSO or not  
100 (Yamagata et al. 2002; Gualdi et al. 2003; Wu and Kirtman 2004; Fischer et al. 2005; Behera  
101 et al. 2006; Roxy et al. 2010; Dommenges 2011; Krishnaswamy et al. 2015; Zhao and Nigam  
102 2015; Wang et al. 2016), and can also be triggered by subsurface dynamics independently  
103 from ENSO (Rao et al. 2002).

104

105 The IOD–ISM relationship does not necessarily reach the statistical significance level when  
106 considering long-term observed time-series (Gadgil et al. 2004, 2005, 2007; Ihara et al. 2007).  
107 The way IODs can influence ISM remains also highly controversial. Some authors suggest a  
108 direct influence through moisture transport over the western Indian Ocean or modifications in  
109 the local Hadley cell, with enhanced ascendance (subsidence) and a northward (southward)  
110 shift of its uplift branch over India during pIODs (nIODs) that enhances (reduces) ISM  
111 (Ashok et al. 2001, 2004; Gadgil et al. 2004; Behera et al. 2005; Ashok and Saji 2007;  
112 Ummenhofer et al. 2011). Others suggest that IODs counteract the influence of ENSO on ISM  
113 and that the IOD–ISM relationship varies complementarily to the ENSO–ISM relationship at  
114 longer timescales. As an illustration, the IOD–ISM relationship has strengthened in the recent  
115 decades (Ashok et al. 2001, 2004; Ashok and Saji 2007; Izumo et al. 2010; Ummenhofer et al.  
116 2011; Krishnaswamy et al. 2015) due to non-uniform warming of the Indian Ocean (Ihara et  
117 al. 2008; Cai et al. 2009; Roxy et al. 2014), while the reverse is observed for the ENSO–ISM  
118 relationship (Kumar et al. 1999; Ashrit et al. 2001; Ihara et al. 2008). However, El Niños (La  
119 Niñas) tend to be associated with pIODs (nIODs) by favoring easterly (westerly) wind  
120 anomalies over the eastern equatorial Indian Ocean during boreal spring, which trigger

121 coupled dynamics over the equatorial Indian Ocean (Annamalai et al. 2003; Li et al. 2003;  
122 Gualdi et al. 2003; Ashok et al. 2003; Bracco et al. 2005; Fischer et al. 2005; Behera et al.  
123 2006). More recently, IODs have also been suggested as potential trigger of ENSO, with  
124 nIODs at a particular year tending to be followed by El Niños in the subsequent year, and  
125 pIODs by La Niñas (Luo et al. 2010; Izumo et al. 2010, 2014; Zhou et al. 2015; Jourdain et al.  
126 2016).

127

128 The way around, ISMR has also been shown to influence Indian Ocean variability, including  
129 IOD variability. Many studies have suggested that tropical Indian Ocean SSTs may be  
130 considered as a passive element of the ISM system at the interannual timescale (Shukla 1987).  
131 A strong ISM can favor either nIODs by producing westerly wind anomalies at the equator  
132 (e.g., Loschnigg et al. 2003; Kulkarni et al. 2007; Webster and Hoyos 2010), or pIODs by  
133 inducing southeasterly wind anomalies along the western coast of Sumatra (Annamalai et al.  
134 2003; Krishnan and Swapna 2009). Note finally that the ENSO–IOD–ISM system could be  
135 part of the Tropical Biennial Oscillation (TBO; Yasunari 1991; Meehl and Arblaster 2002;  
136 Meehl et al. 2003; Loschnigg et al. 2003; Terray et al. 2005; Drbohlav et al. 2007; Webster  
137 and Hoyos 2010).

138

139 This brief review indicates that there are still large uncertainties in the sign and amplitude of  
140 the two-way IOD–ISM relationship, mainly because of the strong influence exerted by ENSO  
141 on both IOD and ISM. A way to clarify this two-way relationship is to untangle ENSO-  
142 induced and no-ENSO IOD–ISM relationships. The traditional way to do so consists in  
143 compositing cases for which, e.g., IODs do not co-occur with ENSOs (Ashok et al. 2003; Saji  
144 and Yamagata 2003; Pokhrel et al. 2012; Cherchi and Navarra 2013), or in linearly removing  
145 the influence of ENSO (Clark et al. 2000; Guan et al. 2003; Pillai and Mohankumar 2010;

146 Shukla and Huang 2016a). These two classical approaches remain, however, questionable  
147 since the number of pure IODs is very small in the observation record and ENSO influence  
148 can be delayed over time and is not linear (Compo and Sardeshmukh 2010). SST-forced  
149 atmospheric simulations with imposed SST patterns have also been used to mimic the  
150 influence of pIODs or nIODs on ISM (Ashok et al. 2001, 2004), but these models do not  
151 account for the coupled nature of the ISM (Wu and Kirtman 2004; Wang et al. 2004, 2005). A  
152 more physically consistent approach is using coupled ocean-atmosphere simulations with  
153 partial decoupling over a region of interest. Such approach has been already successfully used  
154 to analyze the roles of Indian and Atlantic Oceans on ENSO (Luo et al. 2010; Santoso et al.  
155 2012; Terray et al. 2016), the impacts of SST errors on ISM (Prodhomme et al. 2014), and the  
156 IOD evolution and its forcing mechanisms in the absence of ENSO (Fischer et al. 2005;  
157 Behera et al. 2006; Wang et al. 2016).

158

159 Here, we build upon these previous successes and make use of a partial coupling strategy to  
160 clarify the two-way synchronous IOD–ISM relationships in the absence of ENSO. Two  
161 dedicated sensitivity experiments are run with a state-of-the-art Atmosphere–Ocean Global  
162 Climate Model (AOGCM) with tropical Pacific SSTs nudged toward SST climatology  
163 derived from a control run or observational data. These two experiments allow documenting  
164 the ISM and IOD climatology and variability, and understanding the two-way interactions  
165 between ISM and IOD and their remote influence without ENSO. The differences between  
166 the two nudged experiments, if any, will be used to test the robustness of the results and the  
167 impact of the mean SST state changes on these characteristics.

168

169 The paper is organized as follows. Section 2 presents the observations used for model  
170 validation, the model experiments, and the methodology used for analyzing the two-way



171 synchronous IOD–ISM relationships without ENSO. Section 3 is model validation and  
172 discusses the basic effects of removing ENSO on both ISM and IOD. Section 4 analyzes the  
173 influence of IOD and ISM in the presence and absence of ENSO over the Indo–Western  
174 North Pacific sector, including the two-way synchronous IOD–ISM relationships. Section 5  
175 gives main conclusions and discussion.

176

177

## 178 **2) Experimental setup, observations and methodology**

179

### 180 *2.1) Experimental setup*

181

182 Three global simulations are run using the SINTEX-F2 AOGCM (Masson et al. 2012) with  
183 the ECHAM5.3 atmosphere (Roeckner et al. 2003) at T106 spectral resolution ( $\sim 1.125^\circ \times$   
184  $1.125^\circ$ ) and 31 hybrid sigma-pressure levels, and the NEMO ocean (Madec 2008) at  $0.5^\circ \times$   
185  $0.5^\circ$  horizontal resolution, 31 vertical levels and with the LIM2 ice model (Timmermann et al.  
186 2005). The two model components are coupled using the Ocean–Atmosphere–Sea–Ice–Soil  
187 (OASIS3) coupler (Valcke 2006). The coupling information is exchanged every 2h with no  
188 flux correction. The model does not require flux adjustment to maintain a near stable climate,  
189 and accurately simulates the tropical Pacific SST mean state, ENSO variability, and the  
190 monsoon-ENSO relationships (Masson et al. 2012; Terray et al. 2012, 2016).

191

192 The first simulation is a 210-yr fully coupled ocean-atmosphere experiment (Terray et al.  
193 2016). It is used as a control (CTL hereafter) for ensuring that SINTEX-F2 simulates  
194 reasonably both the mean tropical climate and the ENSO–IOD–ISM system and allows an  
195 objective assessment of the effects of ENSO on the IOD and ISM statistics in Section 3. The

196 two remaining simulations are 110- and 50-yr integrations (FTPC and FTPC-obs,  
197 respectively) similar to CTL, except over the tropical Pacific (see domain defined by dark  
198 blue shading in Fig. 1h,j) where SSTs are nudged toward the daily SST climatology from  
199 CTL in FTPC and the 1982–2010 AVHRR-V2 daily Optimum Interpolation SST  
200 observations (Reynolds et al. 2007) in FTPC-obs. Following Luo et al. (2005), the nudging  
201 method used in these two simulations modifies the non-solar heat fluxes in the tropical Pacific  
202 Ocean through a correction term, scaling with the SST model error, that completely removes  
203 ENSO-scale variability (Prodhomme et al. 2015; Terray et al. 2016). The damping term in  
204 this nudging technique ( $-2400 \text{ W m}^{-2} \text{ K}^{-1}$ ) corresponds to the 1-day relaxation time for  
205 temperature in a 50-m ocean layer. The only difference between the two no-ENSO  
206 experiments is the tropical Pacific SST bias correction in FTPC-obs since the nudging is done  
207 toward the AVHRR-V2 SST climatology in this simulation. Thus, the comparison between  
208 FTPC and FTPC-obs allows testing the robustness and sensitivity of our results to the mean  
209 background SST in the tropical Pacific. Table 1 summarizes the coupling strategy utilized for  
210 each simulation, and all the following analyses exclude the first 10 years to let the three  
211 simulations spin-up.

212

## 213 2.2) *Observations and methodology*

214

215 The Hadley Centre Sea Ice and Sea Surface Temperature dataset (HadISST; Rayner et al.  
216 2003) is used for evaluating the CTL ability in simulating the annual mean SST climatology  
217 and its monthly variability. To foster direct comparisons, HadISST has been linearly  
218 interpolated onto the CTL horizontal grid. Both the full data period (1870–2013) of HadISST  
219 and the two sub-periods, pre- and post-1979, are considered to account for long-term SST

220 background and uncertainties induced by the late 1970s climate shift when evaluating the  
221 different simulations in Section 3.

222

223 Table 2 details the main acronyms and the location of the different regions utilized for  
224 computing the rainfall and SST indices used in this study. HadISST is used to evaluate the  
225 mean annual cycle and interannual variability in observed SSTs of the Niño3.4, western  
226 (wIOD) and eastern (eIOD) IOD regions. The Indian Rainfall (IR) index simulated by CTL  
227 over the Indian subcontinent is evaluated against the All Indian Rainfall index (AIR;  
228 Parthasarathy et al. 1995). The AIR index is an area-weighted average of 306 rain gauges  
229 distributed across India from 1871 onwards and is frequently used to assess the relationships  
230 between ISMR and Indo–Pacific SSTs (e.g., Boschat et al. 2011, 2012). The length of the  
231 AIR time-series allows a fair and consistent comparison with our long coupled simulations,  
232 but note that the use of a satellite-based IR instead of the AIR yields similar results if we  
233 restrict our analysis to the post-1979 period for observations (not shown).

234

235 The variability and lead-lag relationships between the different times-series in both  
236 observations and simulations are described by simple statistics, such as standard deviation and  
237 Bravais-Pearson linear correlation in Section 3. A monthly linear trend is removed before  
238 computing standard deviations and correlations from HadISST SSTs in order to avoid  
239 contamination of the statistics by the global warming trend, which is absent from our CO<sub>2</sub>-  
240 fixed simulations.

241

242 The specific role of IOD and ISMR on Indo-Pacific climate variability and the relationships  
243 between IOD and ISM are then compared in the presence and absence of ENSO through a  
244 linear regression approach performed on CTL, FTFC and FTFC-obs experiments (Section 4).

245 The standardized SON IOD and JJAS IR seasonal indices (see Table 2) are used in these  
246 regression analyses. The regressed spatial anomalous patterns describe the monthly evolution  
247 of water and energy cycles (rainfall, latent heat fluxes, and net shortwave radiations at the  
248 surface), atmospheric circulation (850-hPa wind, 200-hPa velocity potential), and thermal  
249 state of the ocean (SSTs and depth of the 20°C oceanic isotherm: 20d hereafter) from June to  
250 September, i.e. during the ISM. The statistical confidence of the results is evaluated by  
251 comparing the slope of each regression to the 90<sup>th</sup> percentile threshold value obtained by  
252 regressing 1000 randomly perturbed time-series having mean and variance similar to the  
253 original time-series onto the SON IOD / JJAS IR predictors.

254

255 To verify that the linear regression analysis does not hide any asymmetry between pIODs and  
256 nIODs, a composite analysis based on the IOD index has also been performed. The results  
257 reveal that the simulated pIOD and nIOD patterns are strongly symmetric with each other in  
258 the presence and absence of ENSO (not shown), justifying the use of a linear regression  
259 analysis to synthetically describe the IOD–ISM relationships in our simulations.

260

261

### 262 **3) Model evaluation and statistical effects of Pacific SST nudging**

263

#### 264 *3.1) Annual mean climatology and variability*

265

266 The annual mean climatology and variability of monthly SSTs simulated by CTL are  
267 evaluated against long-term SST observations between 40°S and 40°N (Fig. 1a-f). The  
268 observed spatial distribution in annual mean SST climatology (Fig. 1a) is accurately captured  
269 by the CTL (Fig. 1c), with a spatial pattern correlation of +0.98. In contrast with many

270 AOGCMs without flux adjustments, the CTL has only a small cold tongue bias in the central  
271 equatorial Pacific (Fig. 1e). However, the model errors remain significant with warm biases of  
272 1–3K in the tropics, especially in the upwelling regions, and cold biases of 1–2K in the mid-  
273 latitudes (Fig. 1e).

274

275 The spatial correlation between the observed and CTL monthly SST variability (Fig. 1b,d) is  
276 +0.72. This indicates fair simulation of the main observed SST variability pattern in the  
277 tropics and mid-latitudes. The CTL captures reasonably SST variability in the tropical Pacific  
278 despite largely confined to the equatorial belt and in the tropical Indian Ocean, except  
279 significant overestimation along the shores of Java and Sumatra (Fig. 1f) due to overactive  
280 boreal fall upwelling (Fischer et al. 2005; Terray et al. 2012). Elsewhere, the SST variability  
281 in CTL is slightly stronger than observed.

282

283 The suppression of ENSO variability in FTFC does not impact the mean SST state (Fig. 1g),  
284 but does reduce significantly the SST variability in the tropical Pacific by construction, but  
285 also in the extra-tropical Pacific and tropical Indian Oceans (Fig. 1h). This reduction in SST  
286 variability outside the tropical Pacific highlights the global nature of ENSO teleconnections,  
287 which are absent in FTFC. On the other hand, nudging toward an observed tropical Pacific  
288 SST climatology in FTFC-obs significantly decreases the warm SST bias everywhere (Fig.  
289 1i), and SST variability is further decreased compared to CTL in the eastern equatorial Indian  
290 Ocean and the subtropical Atlantic Ocean (Fig. 1j). This demonstrates that a significant part  
291 of the warm SST mean biases in the Indian and Atlantic sectors has a remote origin in the  
292 tropical Pacific. Changes in the Indian Ocean mean state induced by tropical Pacific SST bias  
293 correction also implies that FTFC-obs may be more complex than FTFC to analyze the direct  
294 influence of ENSO suppression on the two-way IOD–ISM relationships.

295

296 *3.2) Mean annual cycle and variability*

297

298 Figure 2a,e shows the mean annual cycle and variability of observed and simulated monthly  
299 IR (Table 2). The CTL captures realistically the rainfall annual cycle over India. However, the  
300 simulated IR index is affected by a dry bias during ISM (Fig. 2a), due to a too equatorward  
301 position of the boreal summer ITCZ and a delayed ISM onset (Prodhomme et al. 2014, 2015).  
302 Despite of this mean dry bias, monthly IR variability is well captured by CTL (Fig. 2e).  
303 Surprisingly, the suppression of ENSO variability in FTPC does not significantly modify the  
304 mean annual cycle and variability of IR (Fig. 2a,e) despite the strong relationship between  
305 ENSO and ISM variability in the CTL (see below). Compared to CTL and FTPC, FTPC-obs  
306 improves the IR annual cycle with a peak in June as observed (Fig. 2a). However, the dry IR  
307 bias during ISM persists in FTPC-obs, suggesting that reducing the warm SST bias over the  
308 Indian Ocean is not sufficient to shift the ITCZ northward. FTPC-obs also simulates enhanced  
309 IR variability in June, suggesting a more variable ISM onset (Fig. 2e). Since these changes are  
310 not shared by FTPC and FTPC-obs, they partly relate to the rectification of the mean state of  
311 the Indian Ocean induced by the correction of the Pacific SST biases in FTPC-obs.

312

313 The same statistical analysis is performed for the Niño3.4, wIOD, and eIOD SST indices  
314 (Table 2). The CTL reasonably captures the SST mean annual cycle over the three regions  
315 (Fig. 2b-d). Its main weaknesses include a timing error in the Niño3.4 region, with coldest  
316 SSTs peaking in boreal fall instead of boreal winter. This bias is related to the misrepresented  
317 eastern Pacific cold tongue seasonal cycle in the SINTEX model, as in most AOGCMs (Li  
318 and Xie 2014). The CTL struggles also in capturing the observed SST magnitude. The warm  
319 bias in annual mean tropical SSTs (Fig. 1e) is prominent during boreal spring, while it is

320 reduced from late boreal summer to fall over the three regions (Fig. 2b-d), and even of  
321 reversed sign in the eIOD pole during boreal fall. This highlights a strong seasonal  
322 dependency of model SST biases. In particular, the CTL experiences a cold SST bias in the  
323 eIOD pole from mid-June to late November, reaching up to 1K during September (Fig. 2d).  
324 This longstanding cold bias in SINTEX and other AOGCMs originates from too shallow  
325 equatorial thermocline and too intense evaporation in the eastern Indian Ocean during boreal  
326 summer and fall (Fischer et al. 2005; Cai et al. 2013). The CTL also reasonably captures the  
327 timing of the observed peaks of variability in the Niño3.4 and eIOD regions (Fig. 2f,h), as  
328 well as the relatively flat SST variability observed in the wIOD region (Fig. 2g). Main model  
329 biases concern SST variability that is underestimated in the Niño3.4 region during the ENSO  
330 peak (Fig. 2f) and largely overestimated in the eIOD pole during the IOD peak (Fig. 2h). The  
331 latter error is associated with too strong wind–thermocline–SST and wind–evaporation–SST  
332 feedbacks simulated by the SINTEX model in the eIOD pole during boreal fall (Fischer et al.  
333 2005; Terray et al. 2012). Additional analyses have also been done to further evaluate the SST  
334 variability simulated in the Indian Ocean. The main results (not shown) indicate first a better  
335 agreement between the HadISST data and the CTL over the wIOD pole when considering the  
336 recent observed decades, hence substantial observational uncertainties resulting from the  
337 scarcity of in situ data before 1979 and/or changes in the low-frequency variability of the  
338 Indian Ocean. Second, the strongest observed and simulated SST variability does peak during  
339 boreal fall when considering the traditional IOD index, consistent with the literature.

340

341 The mean annual cycle of the Niño3.4 SSTs is almost the same in CTL and FTFC (Fig. 2b)  
342 because tropical Pacific SSTs of the latter are nudged toward the daily SST climatology of the  
343 former. It is also similar in the Indian Ocean despite of the absence of ENSO in FTFC (Fig.  
344 2c-d). On the other hand, by construction, FTFC-obs almost perfectly corrects the CTL timing

345 and magnitude errors in the Niño3.4 region (Fig. 2f). It also largely corrects the boreal spring  
346 warm SST bias of the two IOD poles (Fig. 2c-d), which therefore partly originates from  
347 remote errors in the annual cycle of tropical Pacific SSTs. However, FTPC-obs fails (as  
348 FTPC) at correcting the cold bias of boreal fall eIOD SSTs (Fig. 2d). This persistent bias is  
349 thus relatively independent from simulated ENSO variability and the mean SST background  
350 errors in the tropical Pacific in our simulations and have, thus, a local origin.

351  
352 SST variability is logically suppressed in the tropical Pacific in the absence of ENSO (Fig. 2f)  
353 and also systematically reduced over the two IOD poles by a rather constant factor (Fig. 2g-  
354 h). This corroborates the hypothesis that some IODs may be triggered or amplified by ENSO  
355 (Gualdi et al. 2003; Annamalai et al. 2003; Yu and Lau 2005; Luo et al. 2010). However, the  
356 eIOD SST variability simulated by FTPC and FTPC-obs remains strong and even higher than  
357 the observed one. This is partly related to the model mean state bias (e.g. Fig. 2d), but  
358 confirms that IODs exist without ENSO in our two nudged experiments as in previous  
359 modeling studies (Fischer et al. 2005; Behera et al. 2006; Luo et al. 2010; Santoso et al. 2012;  
360 Wang et al. 2016). This also suggests that eIOD may be more fundamental than wIOD for  
361 explaining IOD life cycle, as recently suggested in the observations (Zhao and Nigam 2015).

362

### 363 *3.3) ENSO–IOD–ISM relationships*

364

365 The CTL ability in representing both the synchronous and delayed relationships of the  
366 ENSO–IOD–ISM system is evaluated through a lead-lag correlation analysis between the  
367 Niño3.4, wIOD, eIOD SST, and ISMR indices. Figure 3a shows the observed and CTL-  
368 simulated lead/lag relationships between ISMR (i.e., JJAS IR: see Table 2) and monthly  
369 Niño3.4 SSTs from one year before (year -1) to one year after (year +1) the year of the ISM



370 season (year 0) and the Niño3.4 SST autocorrelation. While with weaker intensity (partially  
371 due to the longer length of CTL), the CTL correctly captures the synchronous negative  
372 observed relationship, with warm SST anomalies in the eastern and central Pacific during the  
373 developing stage of ENSO associated with negative ISMR anomalies, and *vice versa* for cold  
374 SST anomalies. This negative relationship slowly disappears with the decaying stage of  
375 ENSO and the observed correlations between ISMR and Niño3.4 SST during year +1 are  
376 well-reproduced by CTL. This good model skill mainly results from accurate timing of ENSO  
377 since the shape of the simulated Niño3.4 SST autocorrelation is similar to that observed (Fig.  
378 3a). At longer leads/lags, the ISMR–ENSO relationship is weak and mostly insignificant in  
379 both observations and CTL.

380

381 The observed relationship between SON SSTs from the wIOD and eIOD poles and monthly  
382 Niño3.4 SSTs (Fig. 3b) indicates that pIODs occur frequently during El Niños and nIODs  
383 during La Niñas, consistent with previous studies. This is reflected by positive (negative)  
384 correlations observed during year 0 and the first half of year +1 in the wIOD (eIOD) pole.  
385 Such opposition of phase is captured by the CTL only when excluding the 5°S–5°N band  
386 prior to form the wIOD SST index because of too intense pIODs in the SINTEX AOGCM  
387 (see Table 2).

388

389 We finally address the CTL ability in simulating the two-way relationships between IOD and  
390 ISM by showing lead/lag correlations between ISMR and monthly wIOD and eIOD SSTs  
391 (Fig. 3c). These relationships are weak and noisy in both observations and CTL. The  
392 exception is the negative correlation between ISMR and monthly wIOD and eIOD SSTs  
393 during boreal fall and winter of year 0 and during year +1. This suggests that above- (below-)  
394 normal ISMRs are followed several months later by negative (positive) tropical Indian Ocean

395 SST anomalies. This negative relationship appears first in the western Indian Ocean during  
396 boreal summer (Fig. 3c). This is consistent with the strong relationship between ENSO and  
397 both ISM and Indian Ocean variability, especially the basin-wide warming (cooling) of the  
398 Indian Ocean following El Niños (La Niñas).

399

400

#### 401 **4) IOD and ISM influences on Indo-Pacific variability**

402

403 Despite errors in the eIOD SST magnitude, the CTL reasonably captures the variability of the  
404 ENSO–IOD–ISM system during year 0 (Fig. 3). This gives confidence in utilizing the  
405 SINTEX model to disentangle ENSO-induced and pure IOD–ISM relationships. This section  
406 clarifies these pure relationships, as well as remote connections with the Western North  
407 Pacific (WNP) by comparing the CTL to the two no-ENSO experiments.

408

##### 409 *4.1) IOD influence on ISM and Indo–WNP variability*

410

411 The SON eIOD SST index is used for assessing the influence of IODs on interannual  
412 variability in the Indo–WNP sector. This index is preferred to the traditional IOD index  
413 because the IOD variability is mainly driven by the eIOD variability in both the presence and  
414 absence of ENSO in our modeling framework. It is worth noting that the results shown  
415 hereafter are similar when using the traditional IOD index (not shown). This demonstrates  
416 that the eIOD index is a good proxy of IODs in our modeling framework, with positive SST  
417 anomalies in the eIOD pole during boreal fall corresponding to nIODs.

418

419 We first focus on the springtime initiation of IODs by showing the regression maps of April-

420 May (AM) SST, latent heat flux, rainfall and low-level wind anomalies onto the normalized  
421 SON eIOD SST index for CTL (Fig. 4a-b), FTPC (Fig. 4c-d), and FTPC-obs (Fig. 4e-f). In all  
422 simulations, positive eIOD SST anomalies during boreal fall are lead by significant boreal  
423 spring ocean-atmosphere anomalies over the South-East Indian Ocean (SEIO). The AM  
424 regressed fields suggest that a regional coupled mode involving positive (negative) SST and  
425 rainfall anomalies and cyclonic (anticyclonic) low-level circulation anomalies over the SEIO  
426 is the main trigger of nIODs (pIODs). This atmospheric pattern is similar to that described as  
427 a key trigger of many IODs in the presence of ENSO in both observations and AOGCMs  
428 (Gualdi et al. 2003; Li et al. 2003; Annamalai et al. 2003; Terray et al. 2007). Our two no-  
429 ENSO experiments demonstrate that such precursor atmospheric pattern may exist even  
430 without ENSO, as a pure regional mode or linked to tropical-extra-tropical interactions in the  
431 Indian Ocean (Terray et al. 2005, 2007). This coupled pattern of variability is sufficient to  
432 initiate a positive wind–evaporation–SST feedback off the coast of Sumatra and Java and to  
433 trigger westerly wind anomalies (and a wind–thermocline–SST feedback) along the equatorial  
434 Indian Ocean during IOD events (Fig. 4), confirming their fundamental roles in IOD onset (Li  
435 et al. 2003; Spencer et al. 2005). Interestingly, this boreal spring coupled mode is shifted a  
436 few degrees southwestward in FTPC-obs, which has a colder Indian Ocean background mean  
437 state than FTPC and CTL (Figs. 1g,i and 2c-d) due to the rectification of tropical Pacific SST  
438 errors. This favors stronger low-level westerlies over the central equatorial Indian Ocean and  
439 a stronger Somali jet off the African coast in FTPC-obs (Fig. 4f). As a result, evaporating  
440 cooling (warming) is enhanced over the western equatorial Indian Ocean (SEIO) leading to  
441 the emergence of a northwest-southeast dipole of SST anomalies in the tropical Indian Ocean  
442 during boreal spring in FTPC-obs (Fig. 4e). Thus, IOD-like zonal SST patterns are nearly  
443 symmetric as soon as boreal spring in FTPC-obs, while remain asymmetric until late June in  
444 CTL and FTPC (see Fig. 5a-b). In addition to the strength of the low-level equatorial wind

445 anomalies (Sun et al. 2014), the location of the regional ocean-atmosphere coupled mode and  
446 the background SST mean state *per se* are also critical for the emergence of IOD-like SST  
447 patterns during the onset phase of the IOD events.

448

449 The boreal summer evolution of IOD-related SST and 20d anomalies is described from June  
450 to September for the CTL and the two no-ENSO experiments (Figs. 5 and 6, respectively).

451 The morphological differences between IODs in the different experiments rapidly weaken in  
452 early boreal summer (Fig. 5a-b,e-f,i-j), and the mechanisms explaining the evolution of IOD-  
453 related SST and 20d anomalies is very similar between the three experiments. During early  
454 nIOD summers, significant positive SST anomalies are located off the coast of Sumatra and  
455 Java (Fig. 5), and an equatorial downwelling Kelvin wave develops in the eastern equatorial  
456 Indian Ocean (Fig. 6) in response to the westerly wind anomalies over the equatorial Indian  
457 Ocean during boreal spring (Fig. 4). These equatorial subsurface anomalies rapidly affect the  
458 thermocline along the coast of Sumatra. Subsequently, both the SST and 20d anomalies  
459 originating from the eastern equatorial Indian Ocean progressively propagate westward and  
460 intensify along the equator through Ekman convergence/divergence for peaking in  
461 September-October (not shown). This mechanism highlights that the subsurface and coupled  
462 dynamics over the SEIO are critical for IOD-like SST anomalies to develop (Li et al. 2003;  
463 Terray et al. 2007; Wang et al. 2016), even in the absence of ENSO.

464

465 Contrary to this common mechanism, the morphology of IOD-related SST and 20d anomalies  
466 also differs between the three experiments (Figs. 5 and 6). First, the magnitude and spatial  
467 coverage of eIOD SST anomalies are greater in the CTL (Fig. 5a-d) than the FTPC (Fig. 5e-  
468 h), while the overall 20d anomaly pattern is similar between these two experiments (Fig. 6a-d  
469 and e-h, respectively), which also share the same background SST mean state (Fig. 1g). This

470 indicates that ENSO amplifies IOD patterns at the surface but not in the subsurface, consistent  
471 with the independence of the subsurface to ENSO reported by Rao et al. (2002). Second, there  
472 are again significant differences between FTPC-obs and the two other experiments. In the  
473 northern Indian Ocean, CTL and FTPC simulate significant negative SST anomalies  
474 extending from the eastern Arabian Sea to the southern tip of India (Fig. 5a-h) and significant  
475 negative 20d anomalies in the eastern Arabian Sea and Bay of Bengal (Fig. a-h). This  
476 suggests that these regional anomalies are mostly independent from ENSO in our modeling  
477 framework. On the other hand, FTPC-obs simulates broader zonal IOD SST patterns (Fig. 5i-  
478 l) than CTL (Fig. 5a-d) and FTPC (Fig. 5e-h), and boreal summer 20d anomalies that are  
479 positive in the Bay of Bengal and SEIO and negative mainly in the South-West Indian Ocean  
480 (Fig. 6i-l). In the southern Indian Ocean, negative 20d anomalies simulated by CTL and  
481 FTPC in the  $5^{\circ}$ - $25^{\circ}$ S- $60^{\circ}$ - $100^{\circ}$ E region during early summer, progressively move westward,  
482 but remain systematically weak along the African coast during the ISM (Fig. 6a-h). By  
483 contrast, those simulated by FTPC-obs are more intense, spreading from the eastern coast of  
484 Tanzania to  $\sim 100^{\circ}$ E throughout the ISM, with greatest anomalies located north of Madagascar  
485 (Fig. 6i-l). Such differences between FTPC-obs and the two other experiments point toward  
486 the need to better assess the role of the mean SST background on both SST and 20d  
487 variability in the Indian Ocean in order to understand the IOD variability.

488

489 The influence of IODs on boreal summer rainfall and atmospheric circulation over the Indo-  
490 WNP sector is now explored for the different experiments (Figs. 7-8). During nIOD years, the  
491 three experiments simulate early summer positive rainfall anomalies in the central and eastern  
492 equatorial Indian Ocean (Fig. 7a-b,e-f,i-j) consistent with enhanced convection over the SEIO  
493 during boreal spring (Fig. 4b,d,f). This rainfall center is much more intense and widespread  
494 spatially in the presence of ENSO. In the CTL, it extends up to Indonesia and is associated

495 with strong surface wind convergence (Fig. 7a-d) and upper-level wind divergence (Fig. 8a-d)  
496 there, consistent with a strong modulation of the Walker circulation associated with growing  
497 La Niñas. On the other hand, the rainfall center and associated circulation anomalies remain  
498 confined over the eIOD pole during early summer in the absence of ENSO (Figs. 7e-l and 8e-  
499 l). It is shifted southwestward and less regionally confined in FTFC-obs, which simulates  
500 amplified surface wind convergence and upper-level wind divergence (Figs. 7i-l and 8i-l) than  
501 FTFC (Fig. 7e-h and 8e-h). The three experiments struggle to produce negative (positive)  
502 rainfall anomalies over the western equatorial Indian Ocean in response to nIODs (pIODs),  
503 which contrasts with the traditional view that the main atmospheric response to IOD  
504 variability during boreal summer is over the equatorial Indian Ocean. Such zonal rainfall  
505 dipole is simulated only during the mature phase of IODs (Figs. 7d,h,l and 8d,h,l). It is again  
506 much stronger in the CTL than the two no-ENSO experiments. This relates to stronger  
507 equatorial westerly wind anomalies simulated in the presence of ENSO due to stronger  
508 convection over the eIOD pole and the Maritime Continent. This also relates to the presence  
509 of negative 200-hPa velocity potential anomalies over most of the Indian sector induced by La  
510 Niñas.

511

512 In addition, the three experiments simulate a meridional dipole in rainfall that persists  
513 throughout most of the ISM, with positive (negative) anomalies in the equatorial (northern)  
514 Indian Ocean during nIOD years (Fig. 7), and *vice versa* during pIOD years. This is consistent  
515 with the modulation of the local Hadley cell seen in the absence of ENSO, with 200-hPa  
516 divergence over the warm eIOD pole and 200-hPa convergence and compensating subsidence  
517 over the North Indian Ocean during some months of nIOD summers (Figs. 8e-l). These  
518 meridional upper-level circulation anomalies are greater in FTFC than FTFC-obs, consistent  
519 with the more significant and persistent surface and subsurface cold temperature anomalies

520 over the North Indian Ocean in FTPC (Fig. 5e-h) than FTPC-obs (Fig. 5i-l). However, this  
521 anomalous Hadley cell remains locked over oceanic regions surrounding the Indian  
522 subcontinent in both no-ENSO experiments and is masked in the CTL, resulting in weak and  
523 barely significant IR anomalies most of the time in all experiments. Therefore, the poor  
524 influence of IODs on ISMR in the presence of ENSO (Figs. 3c and 7a-d) does not result from  
525 counter effects between ENSO and IOD in our modeling framework. While the IOD influence  
526 on ISM involves changes in the meridional circulation over the Indian sector (Ashok et al.  
527 2001, 2004; Gadgil et al. 2004; Behera et al. 2005; Ashok and Saji 2007; Ummenhofer et al.  
528 2011), it is rather weak in our no-ENSO experiments, suggesting that other modes account for  
529 ISM variability.

530

531 Last but not least, significant differences are found between the CTL and the two no-ENSO  
532 experiments over the Indo-WNP sector. In the presence of ENSO, a quasi-zonal rainfall mode  
533 links the eIOD–Indonesian sector with the western tropical and equatorial Pacific throughout  
534 the ISM, with a strong upper-level divergence over the former and convergence over the latter  
535 during nIOD summers (Figs. 7a-d and 8a-d). This mode involves strong changes in the  
536 Walker circulation and is driven by El Niño-to-La Niña transitions since rainfall anomalies in  
537 the western tropical and equatorial Pacific establish during the preceding boreal winter (not  
538 shown). The connection between the Indian and WNP sectors significantly differ in the  
539 absence of ENSO. The rainfall pattern simulated by FTPC and FTPC-obs over the tropical  
540 Indian Ocean is embedded in a late summer cross-equatorial rainfall quadrupole pattern  
541 extending over the Indo–WNP sector (Fig. 7g and 7k-l). This evidences strong remote effects  
542 of IODs in the absence of ENSO. This IOD-induced rainfall mode is accompanied by robust  
543 changes in the atmospheric circulation. Its low-level nIOD signature corresponds to cyclonic  
544 wind and positive rainfall anomalies over the SEIO and the WNP traditionally reported during

545 growing La Niñas and linked to the TBO (e.g., Wang et al. 2003; Li et al. 2006). Its upper-  
546 level nIOD signature reveals strong divergence anomalies (negative 200-hPa velocity  
547 potential anomalies) extending from the SEIO to the WNP that grow and intensify until  
548 August in FTFC (Fig. 8e-g) and September in FTFC-obs (Fig. 8i-l). This confirms the  
549 significant forcing of the Indian Ocean can have on the WNP variability (e.g., Li et al. 2006)  
550 and complements the results by Chowdary et al. (2011) who show that removing the tropical  
551 Indian Ocean variability within partially decoupled global experiments dramatically weakens  
552 the WNP interannual variability.

553

#### 554 *4.2) ISM influence on Indian Ocean and Indo–WNP variability*

555

556 Figures 9 and 10 show the regression maps of surface temperature (i.e., SST over ocean and  
557 skin temperature over land), 850-hPa wind, rainfall, and 200-hPa velocity potential anomalies  
558 from June to September onto the normalized ISMR anomalies for the CTL and FTFC. Results  
559 for FTFC-obs are similar to FTFC, hence not shown. Consistent with Fig. 3a-b and the  
560 literature (see Introduction), above-normal ISMRs occur during growing La Niñas in the  
561 CTL. This is reflected by significant surface cooling over the central and eastern tropical  
562 Pacific, strengthened low-level easterlies over the equatorial Pacific (Fig. 9a-d) and a  
563 westward shift in the Walker circulation (Fig. 10a-d), with positive rainfall anomalies over  
564 India and an equatorial band extending from the eIOD pole to the Maritime Continent. Over  
565 the Indian Ocean, the atmospheric response to a above-normal ISMs (and to the La Niña  
566 conditions) involves a seesaw between the Somali and the eastern Indian Ocean cross-  
567 equatorial winds with an enhanced Somali jet and monsoon flux over the central Arabian Sea  
568 throughout the ISM (Fig. 9a-d). This strengthens (weakens) the climatological monsoon



569 fluxes in the western (eastern) Indian Ocean, hence promotes the emergence of a nIOD-like  
570 SST pattern during the course of boreal summer (Fig. 9a-d).

571

572 Without ENSO, above-normal ISM exerts also a significant and robust influence on Indian  
573 Ocean SSTs, but the SST anomalous pattern does not exhibit any similarity with IOD (Fig.  
574 9e-h). This suggests that ENSO plays a prominent role in governing the seesaw relationship in  
575 the inter-hemispheric transport and the resulting SST IOD-like pattern over the Indian Ocean  
576 in CTL and confirms the weak intrinsic relationship between IOD and ISM (Fig. 9e-h). In  
577 FTFC, cold SSTs are found over the Arabian Sea, but not over the western equatorial Indian  
578 Ocean from July to September (Fig. 9f-h). They primarily result from enhanced evaporative  
579 cooling in response to the stronger monsoon flux (Fig. 9e-h) and increased cloud cover  
580 associated with the enhanced monsoon rainfall (Fig. 10e-h). The Arabian Sea is thus an  
581 important source of moisture for ISMR, consistent with previous studies (Izumo et al. 2008;  
582 Boschat et al. 2011; Levine and Turner 2013; Prodhomme et al. 2014).

583

584 Finally, without ENSO, active convection and diabatic heating over India induce a strong  
585 signal to the East over the WNP and the China Sea (Fig. 10e-h). This forms a strong zonal  
586 dipole in rainfall and atmospheric circulation, with 200-hPa divergence over India and the  
587 Arabian Sea opposing to 200-hPa convergence and decreased rainfall over the WNP. The  
588 large convection-induced diabatic heating over India generates a large-scale divergent  
589 anomalous circulation at upper levels in the north subtropics associated with strong low-level  
590 anticyclonic circulation anomalies over the WNP and off-equatorial easterly wind anomalies  
591 over the China Sea and the Bay of Bengal (Fig. 9e-h). The close similarity of this atmospheric  
592 pattern with the numerical results of Rodwell and Hoskins (2001) suggests that this  
593 atmospheric response is mainly driven by the east-west differential heating induced by the

594 ISMR anomalies through the planetary-scale upper-level divergent circulation and a Kelvin-  
595 wave response on the equatorward portion of the WNP anticyclone. In turn, the strong off-  
596 equatorial low-level easterly anomalies over the Bay of Bengal constructively interact with  
597 the southwesterly wind anomalies over the Arabian Sea and increase significantly the  
598 moisture convergence toward the Indian subcontinent (Fig. 9e-h).

599

600 Thus, our no-ENSO experiments complement the traditional view that strong (weak) WNP  
601 monsoon (ISM) occurs during developing El Niños, and reversely during decaying El Niños  
602 (Wang et al. 2001; Chou et al. 2003; Boschat et al. 2011; Prodhomme et al. 2015; Ratna et al.  
603 2016). In FTPC, this rainfall dipole is mainly driven by atmospheric internal variability that  
604 can develop without ENSO and even in the absence of strong SST anomalies in the WNP or  
605 the Indian Ocean (Fig. 9e-h).

606

607 Importantly, this subtropical ISM–WNP rainfall dipole clearly differs from the rainfall  
608 quadrupole simulated during ENSO-free IOD years, which is more oceanic and equatorially  
609 confined (Figs. 7-8). This means that two distinct modes of variability connect the Indian and  
610 the Western Pacific sectors in the absence of ENSO: a subtropical zonal mode driven by  
611 ISMR and associated diabatic heating (Fig. 10e-h), and a cross-equatorial quadrupole mode  
612 influenced by IOD variability and coupled ocean-atmosphere dynamics over the SEIO (Figs.  
613 7-8).

614

615

## 616 **5. Conclusion and discussion**

617

618 Partial ocean-atmosphere decoupling experiments are used to discuss the influence of ISMR  
619 and IOD variability over the Indo–WNP sector in the absence of ENSO. This approach  
620 complements observation-based studies that often utilize linear regression techniques to  
621 remove ENSO’s influence and stand-alone atmospheric simulations that do not account for  
622 air-sea feedbacks in monsoon regions.

623

624 A control simulation is first analyzed to ensure realistic representation of the ENSO–IOD–  
625 ISM system, a difficult task for current AOGCMs (e.g., Cai et al. 2009; Terray et al. 2012;  
626 Sperber et al. 2013; Shukla and Huang 2016b). Despite biased magnitude of eIOD SSTs, the  
627 control reasonably captures many observed features of the ENSO–IOD–ISM system (Figs. 1-  
628 3). This gives confidence in utilizing the SINTEX AOGCM for untangling ENSO-induced  
629 and no-ENSO IOD–ISM relationships. Two no-ENSO experiments, FTPC and FTPC-obs, are  
630 then run with SST variability removed in the tropical Pacific through nudging toward the SST  
631 climatology from the control and observations, respectively. The signal shared by the two no-  
632 ENSO experiments is a robust response to ENSO removing, while inter-experiment  
633 differences result from differential mean SST background induced by the tropical Pacific SST  
634 bias rectification in FTPC-obs only.

635

636 Surprisingly, the model mean state (annual mean and mean annual cycle) is very similar  
637 between CTL and FTPC outside the nudging region (Figs. 1-2). Two hypotheses may explain  
638 such similarity. First, the SST climatology and annual cycle over the tropical Pacific may  
639 include the rectification of the Pacific mean state induced by the ENSO variability in the  
640 CTL. By this mechanism, any rectification of the mean state due to ENSO can still be present  
641 in FTPC. The important differences in mean state between FTPC and FTPC-obs are  
642 consistent with such interpretation. Second, changes in the interannual variability do not

643 necessary induce changes in the mean state, and reversely. This is especially true in current  
644 coupled models that struggle in capturing the observed positive skewness of ENSO (Masson  
645 et al. 2012), hence possible cancelling effects between El Niños and La Niñas on the mean  
646 state of our century-long control run may also explain the similarity.

647

648 While ENSO suppression significantly reduces SST variability in the Indian Ocean, it does  
649 not prevent IODs to exist (Figs. 2,4-6). This confirms the importance of the subsurface and  
650 local ocean-atmosphere feedbacks over the tropical SEIO for IOD trigger and evolution  
651 (Fischer et al. 2005; Behera et al. 2006; Terray et al. 2007; Wang et al. 2016). The greater  
652 similarity of the onset of IODs between CTL and FTFC compared to FTP-OBS suggests that  
653 this phase is more influenced by the correction of the Pacific and Indian mean state than by  
654 the removal of ENSO.

655

656 Both no-ENSO experiments simulate a significant boreal summer meridional dipole in  
657 rainfall during IOD years that also exists in the presence of ENSO. The strong diabatic  
658 heating associated with enhanced rainfall over the eIOD pole during nIOD summers  
659 modulates the local Hadley circulation (Figs. 7-8), inducing negative rainfall anomalies in the  
660 northern Indian Ocean during boreal summer. The reverse prevails during pIOD summers.  
661 Such changes in the local Hadley circulation are attenuated in the presence of ENSO because  
662 global-scale changes in the Walker circulation dominate. However, the IOD influence on  
663 ISMR barely emerges from noise in all experiments. This may be a model bias since the CTL  
664 and the two nudged experiments overestimate the eIOD SST variability and underestimate the  
665 wIOD SST variability compared to observations (Fig. 2g-h). Apart from this modest influence  
666 on ISMR, pure IODs promote a late summer cross-equatorial quadrupole rainfall pattern  
667 linking the North Indian Ocean with the WNP (Figs. 7-8), consistent with the WNP

668 monsoon–warm Indian Ocean interactions described in previous studies (Wang et al. 2003; Li  
669 et al. 2006). This rainfall patterns greatly differs from that simulated in the presence of ENSO,  
670 confirming potential opposite effects between IOD and ENSO (e.g., Ashok et al. 2001; Pepler  
671 et al. 2014).

672

673 The way around, the interannual variability of ISM does not influence IODs during their  
674 developing stage when ENSO is removed in our modeling framework (Fig. 9e-h). This  
675 contrasts with the control and observations for which positive (negative) ISMR anomalies  
676 tend to favor nIODs (pIODs) (Figs. 3c and 9a-d). This result is consistent with the fact that  
677 above-normal ISMs can co-occur with either nIODs (e.g., Loschnigg et al. 2003; Kulkarni et  
678 al. 2007; Webster and Hoyos 2010) or pIODs (Annamalai et al. 2003; Krishnan and Swapna  
679 2009). On the other hand, the two no-ENSO experiments highlight a significant forcing of the  
680 enhanced monsoon circulation onto the Arabian Sea SSTs (Fig. 10), suggesting a passive role  
681 of the Indian Ocean in the absence of ENSO. This is in line with Shukla (1987), but contrasts  
682 with many recent observational studies (Boschat et al. 2011; Shukla and Huang 2016a). It is  
683 thus of utmost importance to determine the model dependency of this result.

684

685 Finally, convection and diabatic heating associated with above-normal ISM induce strong  
686 upper-level convergence, subsidence, and low-level anticyclonic anomalies in the WNP,  
687 forming hence a strong subtropical dipole in rainfall and atmospheric circulation (Fig. 10).  
688 While this dipole and associated atmospheric circulation are weaker in the absence of ENSO,  
689 this mode can be interpreted as a pure response to enhanced ISMR (Rodwell and Hoskins  
690 2001) with no active role of SST anomalies in the absence of ENSO. This suggests that ISM  
691 has an active rather than a passive role in tropical variability. Again, it is important to confirm  
692 the model dependency of this result, which has important implications for ISM predictability.

693

694 Despite not perfect, our partially ocean-atmosphere decoupled experiments clearly  
695 demonstrate that the IOD–ISM relationship is weak even in the absence of ENSO, letting  
696 room for two independent modes of variability to develop in the Indo–WNP sector: a purely  
697 atmospheric subtropical zonal mode driven by convection and diabatic heating over India and  
698 a quadrupole tropical atmospheric mode driven by warm ocean-atmosphere interactions over  
699 the SEIO and IOD.

700

701 Additional work is clearly required to test the robustness and model dependency of these  
702 results, which may shed new light on the mechanisms underlying the ISM variability. Our  
703 next step is to focus on IOD triggering with and without ENSO in a multi-model framework.

704

705

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707

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964 **Table Captions**

965

966 **Table 1:** Summary and acronyms of the different coupled simulations performed with  
967 the SINTEX-F2 AOGCM. The column “Setup” describes the differences between the  
968 different experiments. See Fig. 1h,j for the definition of the tropical Pacific domain where  
969 nudging is performed in FTFC and FTFC-obs.

970

971 **Table2:** Acronym, peak season and location of the area-averaged rainfall and SST  
972 indices used for assessing ISMR, ENSO and IOD variability in Sections 3 and 4. An Indian  
973 Rainfall (IR) times-series over the Indian subcontinent defines ISMR, Niño3.4 SSTs is used  
974 as an ENSO index and, finally, the traditional wIOD and eIOD regions, as defined by Saji et  
975 al. (1999), represent the IOD variability. See text for further details.

976 **Figure Captions**

977

978 **Figure 1:** (a) Annual mean SST climatology estimated from the HadISST data over the  
979 1870–2013 period. (b) Standard deviation of monthly SSTs after removing the mean annual  
980 cycle and the monthly linear trend due to global warming from the HadISST data. See Section  
981 2.2 for details. (c-d) Same as (a-b) but for the CTL. (e-f) Same as (a-b) but for CTL biases  
982 against the HadISST data. (g-h) and (i-j) Same as (a-b) but for differences between the two  
983 no-ENSO experiments and the CTL. Only biases/differences that are significant at the 95%  
984 confidence level according to a Student t test for SST mean state and a chi-square test for SST  
985 variability are shown in panels e to j. The dark blue area over the tropical Pacific in the panels  
986 h and j is the region where SSTs have been nudged toward SST climatology in the FTPC and  
987 FTPC-obs experiments.

988

989 **Figure 2:** (a) Mean annual cycle of monthly Indian rainfall for the 1871–2013 AIR data,  
990 the CTL, and the two no-ENSO experiments. (b-d) Same as (a) but for monthly SSTs over the  
991 Niño3.4 region, and the western and the eastern IOD poles, respectively. The 1870–2013  
992 HadISST data is used for observations. (e) Same as (a), but for monthly standard deviations of  
993 Indian rainfall. (f-h) Same as (b-d) but for monthly standard deviations of SST anomalies. The  
994 observed SST indices in panels f to h have been detrended to remove the global warming  
995 trend before estimating the standard deviations. See Table 2 for acronyms and index  
996 locations.

997

998 **Figure 3:** (a) Lead-lag correlations between ISMR and monthly Niño3.4 SSTs for the  
999 1871–2013 AIR–HadISST observations and the CTL (black and blue solid lines,  
1000 respectively). The dotted lines correspond to observed and CTL-simulated Niño3.4 SST

1001 autocorrelation computed between December-January (DJ) Niño3.4 SSTs and monthly  
1002 Niño3.4 SSTs. (b) Same as (a) but for lead-lag correlations between monthly Niño3.4 SSTs  
1003 and SON SSTs from the western (solid lines) and eastern (dotted lines) IOD poles. (c) Same  
1004 as (a) but between ISMR and monthly SSTs from the western (solid lines) and eastern (dotted  
1005 lines) IOD poles. The monthly trend of observed SST variability is removed as in Fig. 1 to  
1006 foster direct comparisons with our CO<sub>2</sub>-fixed simulations. Lead-lag correlations are computed  
1007 for a 3-yr window from one year before (year -1) to one year after (year +1) the year of the  
1008 ISM season (year 0). The blue, green and pink vertical bands symbolize the ISM, IOD, and  
1009 ENSO peaks, respectively. Correlation values outside the limit of the two pink lines are  
1010 significant at the 90% confidence level according to a Pearson test.

1011

1012 **Figure 4:** (a) April–May bi-monthly SST (shadings; K) and latent heat flux (blue and red  
1013 contours for negative and positive anomalies, respectively; contours every 2 W.m<sup>-2</sup>)  
1014 anomalies regressed onto normalized boreal fall (i.e., SON) eIOD SST anomalies for the CTL  
1015 experiment. Positive latent heat flux anomalies warm the ocean. Black contours and purple  
1016 dots show SST and latent heat flux anomalies significant at the 90% confidence level  
1017 according to a bootstrap test, respectively. See Section 2.2 for details on the bootstrap test and  
1018 Table 2 for the location of the eIOD index. (b) Same as (a) but for rainfall (shadings; mm.day<sup>-1</sup>)  
1019 and 850-hPa wind (vectors; m.s<sup>-1</sup>) anomalies for the CTL experiment. Black contours and  
1020 purple vectors show rainfall and 850-hPa wind anomalies significant at the 90% confidence  
1021 level, respectively. (c-d) Same as (a-b) but for the FTFC experiment. (e-f) Same as (a-b) but  
1022 for the FTFC-obs experiment.

1023

1024 **Figure 5:** July to September monthly SST anomalies regressed onto normalized boreal  
1025 fall (i.e., SON) eIOD SST anomalies for the (a-d) CTL, (e-h) FTFC, and (i-l) FTFC-obs

1026 experiments. Positive values correspond to warm SSTs. Black contours are anomalies  
1027 significant at the 90% confidence level according to a bootstrap test.

1028

1029 **Figure 6:** Same as Fig. 5 but for 20d (i.e., depth of 20°C isotherm) anomalies. Positive  
1030 values correspond to a deep thermocline.

1031

1032 **Figure 7:** Same as Fig. 5 but for monthly rainfall (shadings; mm.day<sup>-1</sup>) and 850-hPa wind  
1033 (vectors; m.s<sup>-1</sup>) anomalies for the (a-d) CTL, (e-h) FTFC, and (i-l) FTFC-obs experiments.  
1034 Black contours are significant rainfall anomalies and purple vectors are significant 850-hPa  
1035 wind anomalies, both at the 90% confidence level according to a bootstrap test.

1036

1037 **Figure 8:** Same as Fig. 5 but for monthly 200-hPa velocity potential (shadings; 10<sup>6</sup> m<sup>2</sup>.s<sup>-1</sup>)  
1038 anomalies for the (a-d) CTL, (e-h) FTFC, and (i-l) FTFC-obs experiments. Black contours  
1039 are significant 200-hPa velocity potential anomalies at the 90% confidence level according to  
1040 a bootstrap test. Positive 200-hPa velocity potential anomalies correspond to abnormal upper-  
1041 level mass flux convergence.

1042

1043 **Figure 9:** July to September monthly surface temperature (shadings; K) and 850-hPa  
1044 wind (vectors; m.s<sup>-1</sup>) anomalies regressed onto normalized ISMR anomalies for the (a-d) CTL  
1045 and (e-h) FTFC experiments. Black contours are significant surface temperature anomalies  
1046 and purple vectors are significant 850-hPa wind anomalies, both at the 90% confidence level  
1047 according to a bootstrap test.

1048

1049 **Figure 10:** Same as Fig. 9 but for monthly rainfall (shadings, mm.day<sup>-1</sup>) and 200-hPa  
1050 velocity potential (contours every 2 x 10<sup>-6</sup> m<sup>2</sup>.s<sup>-1</sup>) anomalies for the (a-d) CTL and (e-h) FTFC

1051 experiments. Black contours and purple dots are significant rainfall and 200-hPa velocity  
1052 potential anomalies at the 90% confidence level according to a bootstrap test, respectively.

1053

	<b>Integration</b> (years)	<b>Setup</b>	
<b>CTL</b>	210	Full ocean-atmosphere coupling	
<b>FTPC</b>	110	Decoupled tropical Pacific by nudging toward an SST climatology	CTL SST climatology
<b>FTPC-obs</b>	50		OISST-v2 SST climatology

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**Table 1:** Summary and acronyms of the different coupled simulations performed with the SINTEX-F2 AOGCM. The column “Setup” describes the differences between the different experiments. See Fig. 1h,j for the definition of the tropical Pacific domain where nudging is performed in FTPC and FTPC-obs.

1060

	<b>Season</b>	<b>Location</b>	
<b>IR*</b>	JJAS	5°N–25°N	70°E – 95°E
<b>Niño3.4</b>	DJ	5°S–5°N	170°W–120°W
<b>wIOD**</b>	SON	10°S–10°N	50°E–70°E
<b>eIOD</b>		10°S – Eq	90°E–110°E

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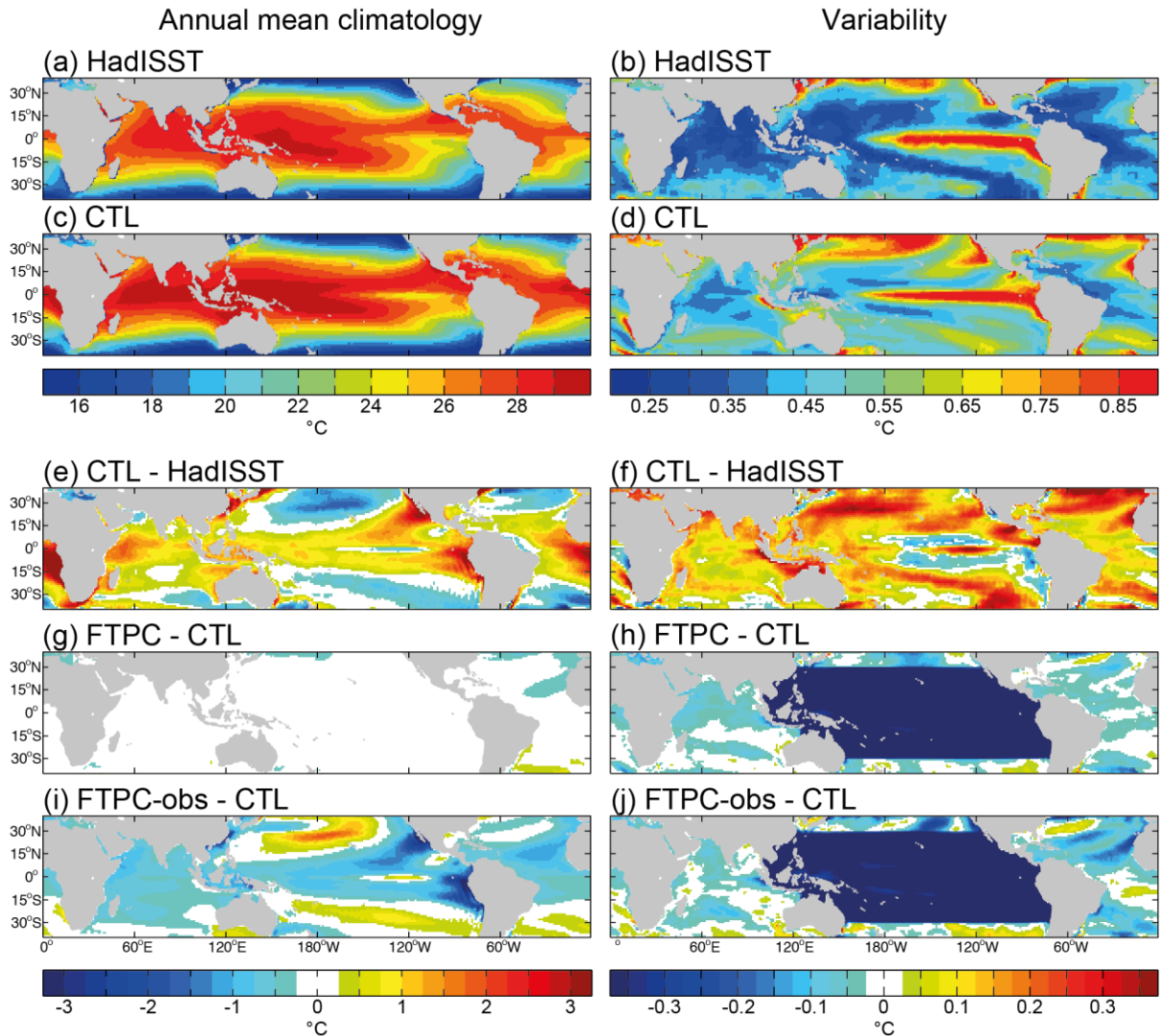
1072

\* The Indian Rainfall (IR) times-series is computed from land points only in the specified domain.

\*\* The 5°S–5°N band has been removed prior to compute the wIOD SST index in the simulations to exclude the strong intrusion of the eastern equatorial cold tongue in the western Indian Ocean simulated during simulated pIODs. See text for details.

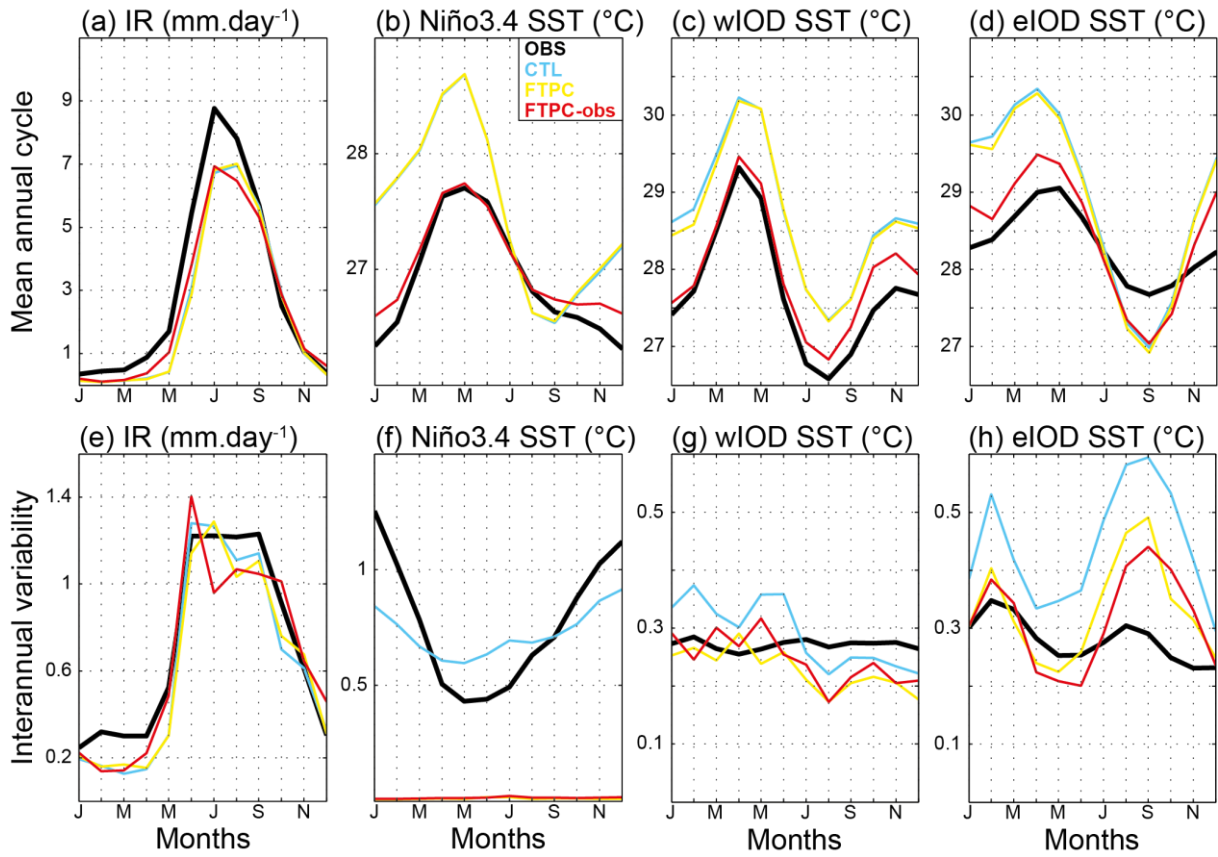
**Table 2:** Acronym, peak season and location of the area-averaged rainfall and SST indices used for assessing ISMR, ENSO and IOD variability in Sections 3 and 4. An Indian Rainfall (IR) times-series over the Indian subcontinent defines ISMR, Niño3.4 SSTs is used as an ENSO index and, finally, the traditional wIOD and eIOD regions, as defined by Saji et al. (1999), represent the IOD variability. See text for further details.





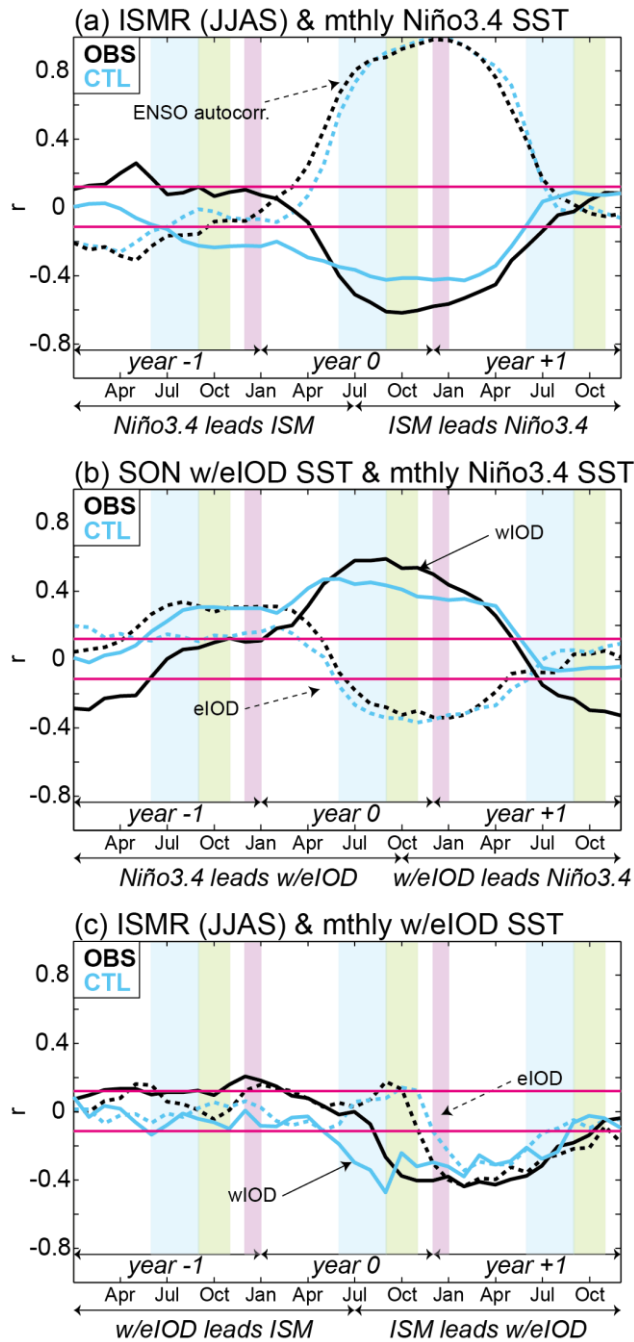
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**Figure 1:** (a) Annual mean SST climatology estimated from the HadISST data over the 1870–2013 period. (b) Standard deviation of monthly SSTs after removing the mean annual cycle and the monthly linear trend due to global warming from the HadISST data. See Section 2.2 for details. (c-d) Same as (a-b) but for the CTL. (e-f) Same as (a-b) but for CTL biases against the HadISST data. (g-h) and (i-j) Same as (a-b) but for differences between the two no-ENSO experiments and the CTL. Only biases/differences that are significant at the 95% confidence level according to a Student t test for SST mean state and a chi-square test for SST variability are shown in panels e to j. The dark blue area over the tropical Pacific in the panels h and j is the region where SSTs have been nudged toward SST climatology in the FTPC and FTPC-obs experiments.



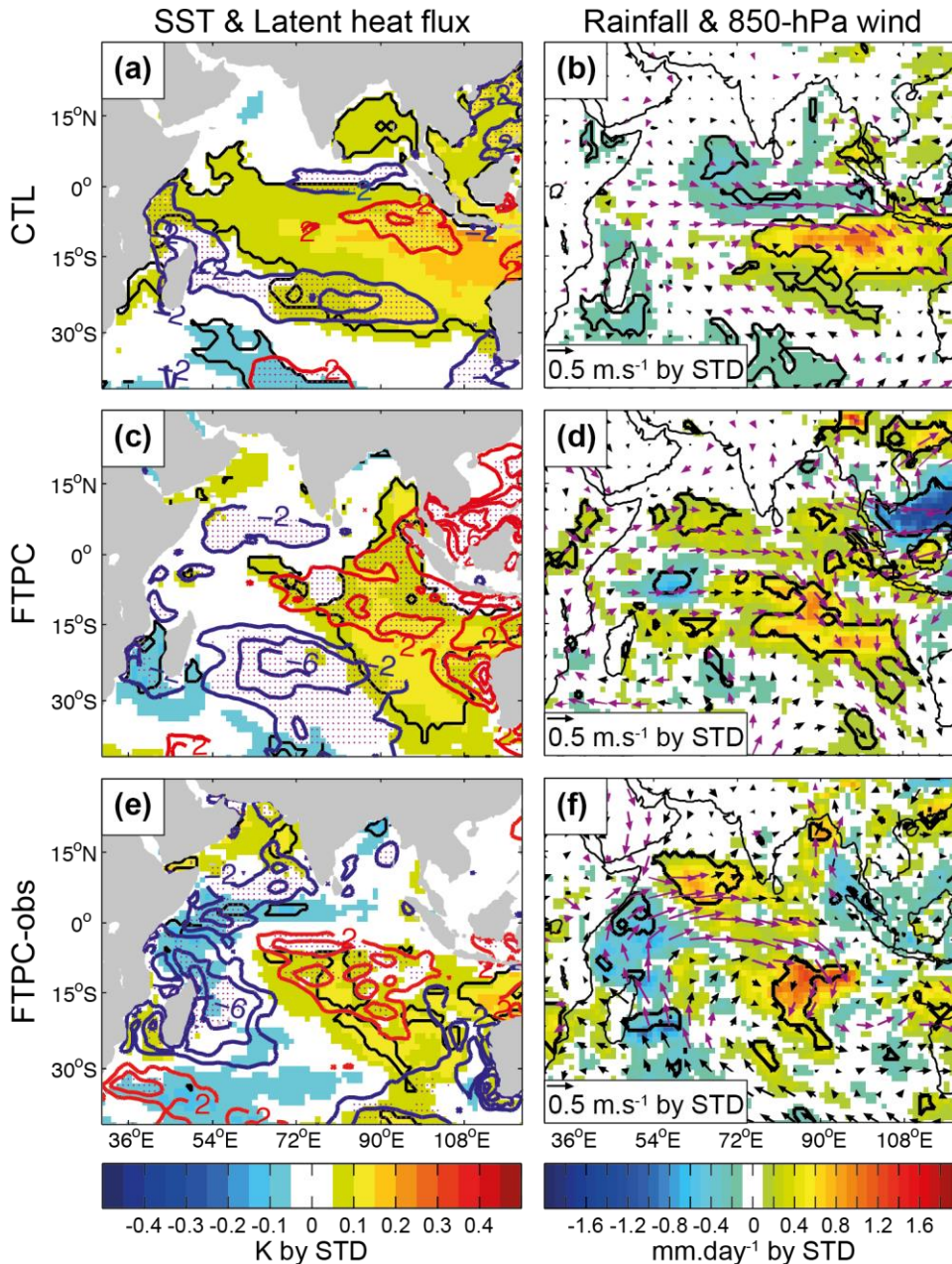
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**Figure 2:** (a) Mean annual cycle of monthly Indian rainfall for the 1871–2013 AIR data, the CTL, and the two no-ENSO experiments. (b-d) Same as (a) but for monthly SSTs over the Niño3.4 region, and the western and the eastern IOD poles, respectively. The 1870–2013 HadISST data is used for observations. (e) Same as (a), but for monthly standard deviations of Indian rainfall. (f-h) Same as (b-d) but for monthly standard deviations of SST anomalies. The observed SST indices in panels f to h have been detrended to remove the global warming trend before estimating the standard deviations. See Table 2 for acronyms and index locations.



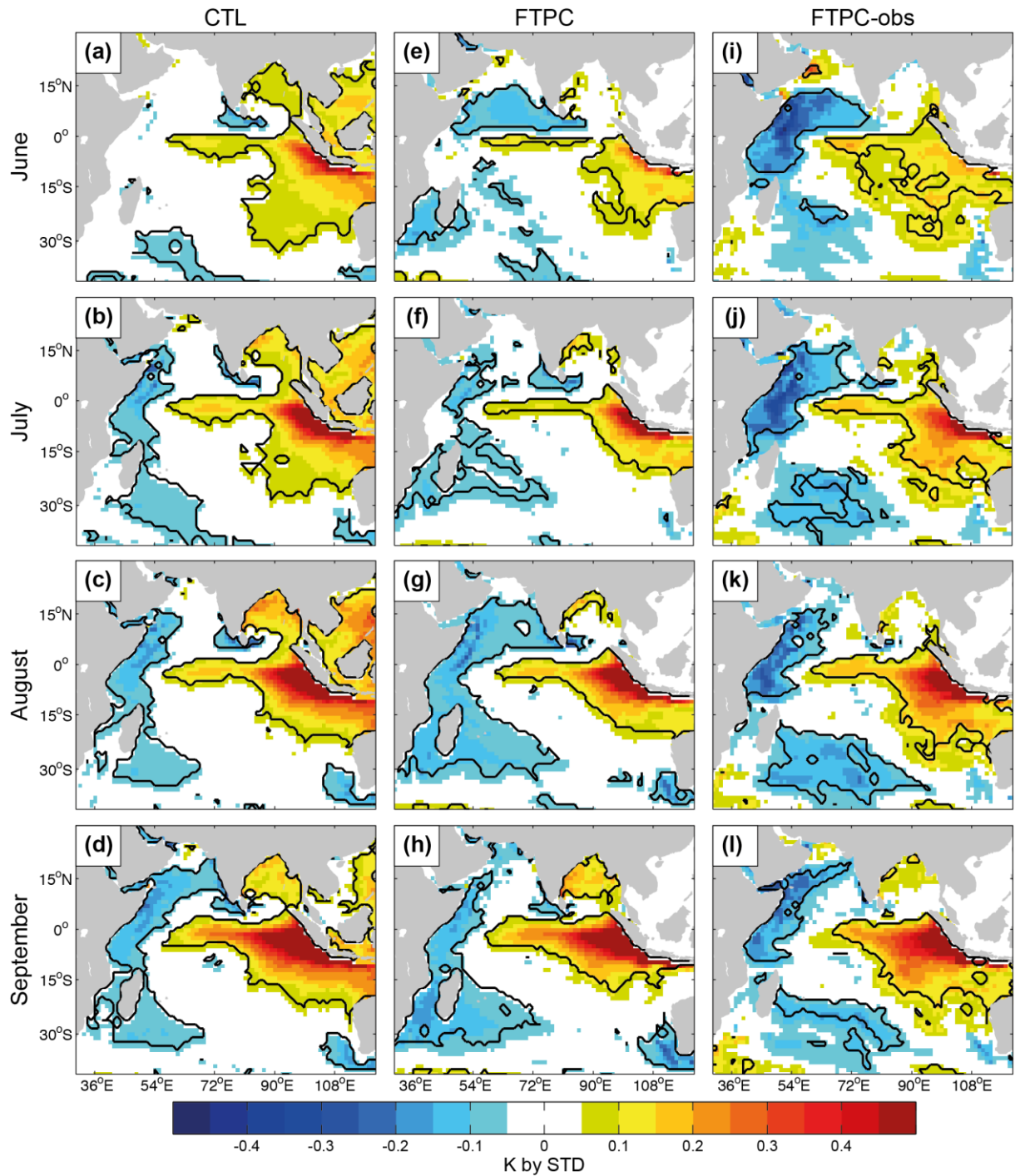
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**Figure 3:** (a) Lead-lag correlations between ISMR and monthly Niño3.4 SSTs for the 1871–2013 AIR–HadISST observations and the CTL (black and blue solid lines, respectively). The dotted lines correspond to observed and CTL-simulated Niño3.4 SST autocorrelation computed between December-January (DJ) Niño3.4 SSTs and monthly Niño3.4 SSTs. (b) Same as (a) but for lead-lag correlations between monthly Niño3.4 SSTs and SON SSTS from the western (solid lines) and eastern (dotted lines) IOD poles. (c) Same as (a) but between ISMR and monthly SSTS from the western (solid lines) and eastern (dotted lines) IOD poles. The monthly trend of observed SST variability is removed as in Fig. 1 to foster direct comparisons with our CO<sub>2</sub>-fixed simulations. Lead-lag correlations are computed for a 3-yr window from one year before (year -1) to one year after (year +1) the year of the ISM season (year 0). The blue, green and pink vertical bands symbolize the ISM, IOD, and ENSO peaks, respectively. Correlation values outside the limit of the two pink lines are significant at the 90% confidence level according to a Pearson test.



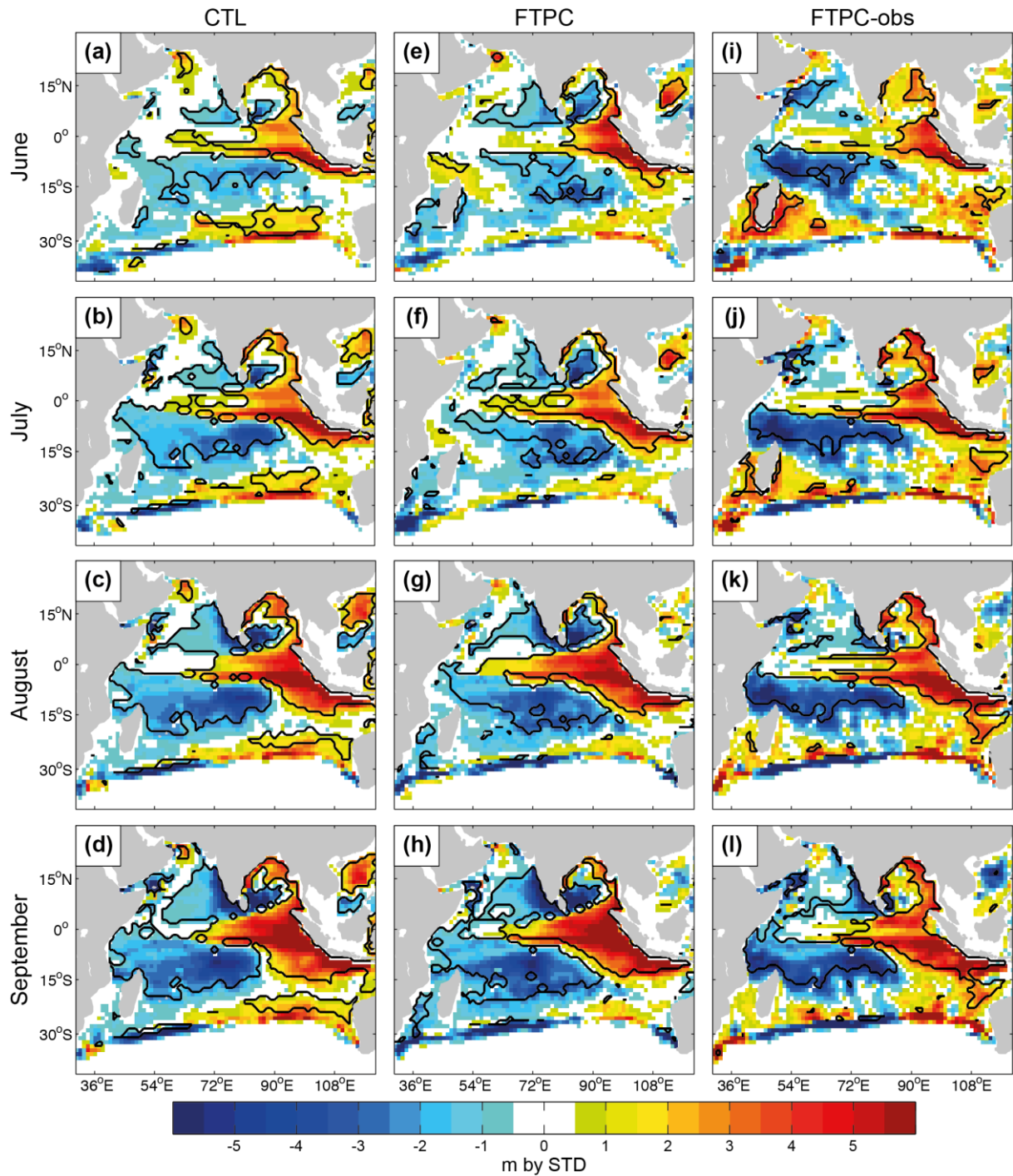
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**Figure 4:** (a) April–May bi-monthly SST (shadings; K) and latent heat flux (blue and red contours for negative and positive anomalies, respectively; contours every 2  $\text{W.m}^{-2}$ ) anomalies regressed onto normalized boreal fall (i.e., SON) eIOD SST anomalies for the CTL experiment. Positive latent heat flux anomalies warm the ocean. Black contours and purple dots show SST and latent heat flux anomalies significant at the 90% confidence level according to a bootstrap test, respectively. See Section 2.2 for details on the bootstrap test and Table 2 for the location of the eIOD index. (b) Same as (a) but for rainfall (shadings;  $\text{mm.day}^{-1}$ ) and 850-hPa wind (vectors;  $\text{m.s}^{-1}$ ) anomalies for the CTL experiment. Black contours and purple vectors show rainfall and 850-hPa wind anomalies significant at the 90% confidence level, respectively. (c-d) Same as (a-b) but for the FTPC experiment. (e-f) Same as (a-b) but for the FTPC-obs experiment.



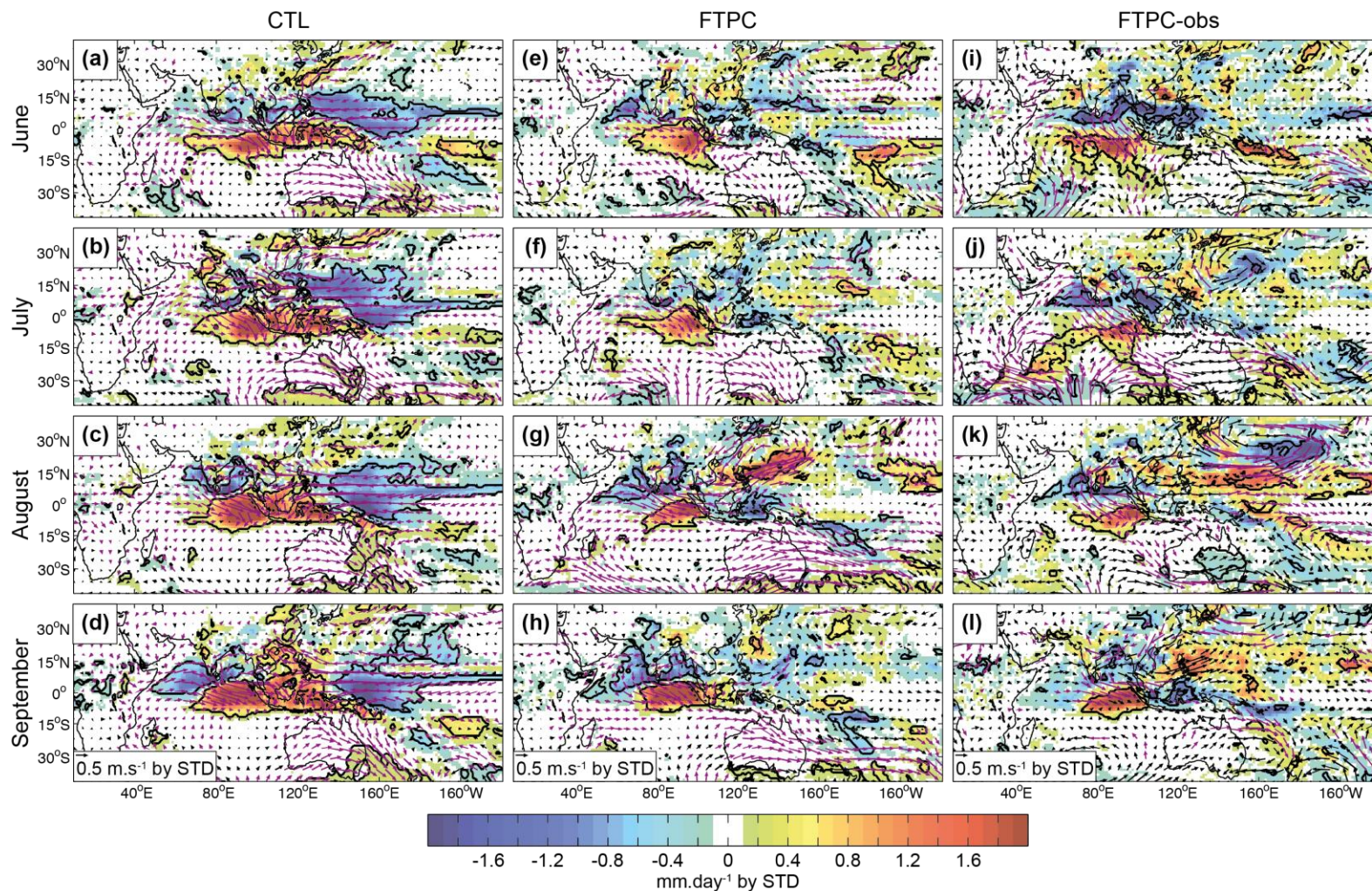
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**Figure 5:** July to September monthly SST anomalies regressed onto normalized boreal fall (i.e., SON) eIOD SST anomalies for the (a-d) CTL, (e-h) FTPC, and (i-l) FTPC-obs experiments. Positive values correspond to warm SSTs. Black contours are anomalies significant at the 90% confidence level according to a bootstrap test.



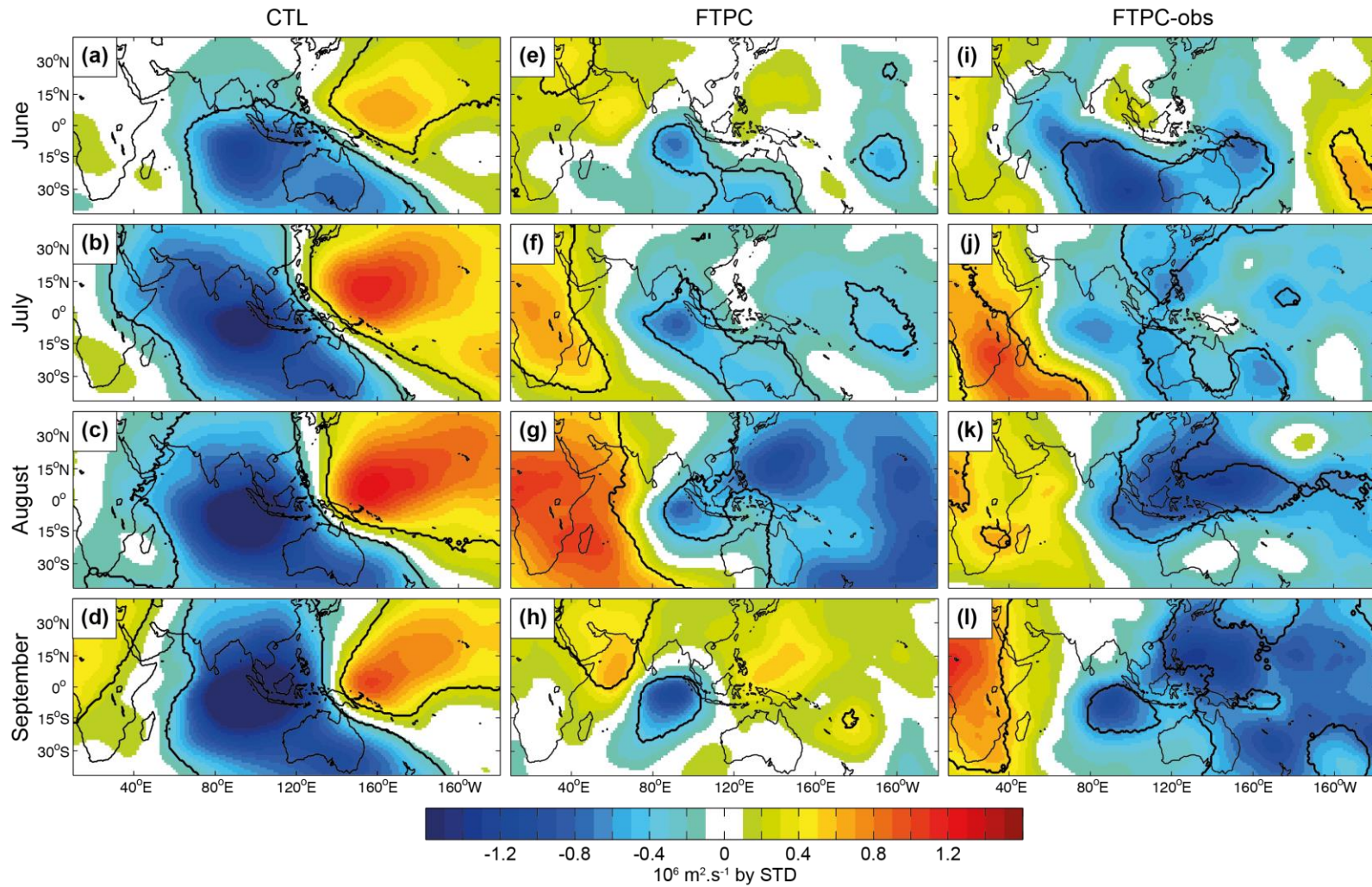
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**Figure 6:** Same as Fig. 5 but for 20d (i.e., depth of 20°C isotherm) anomalies. Positive values correspond to a deep thermocline.



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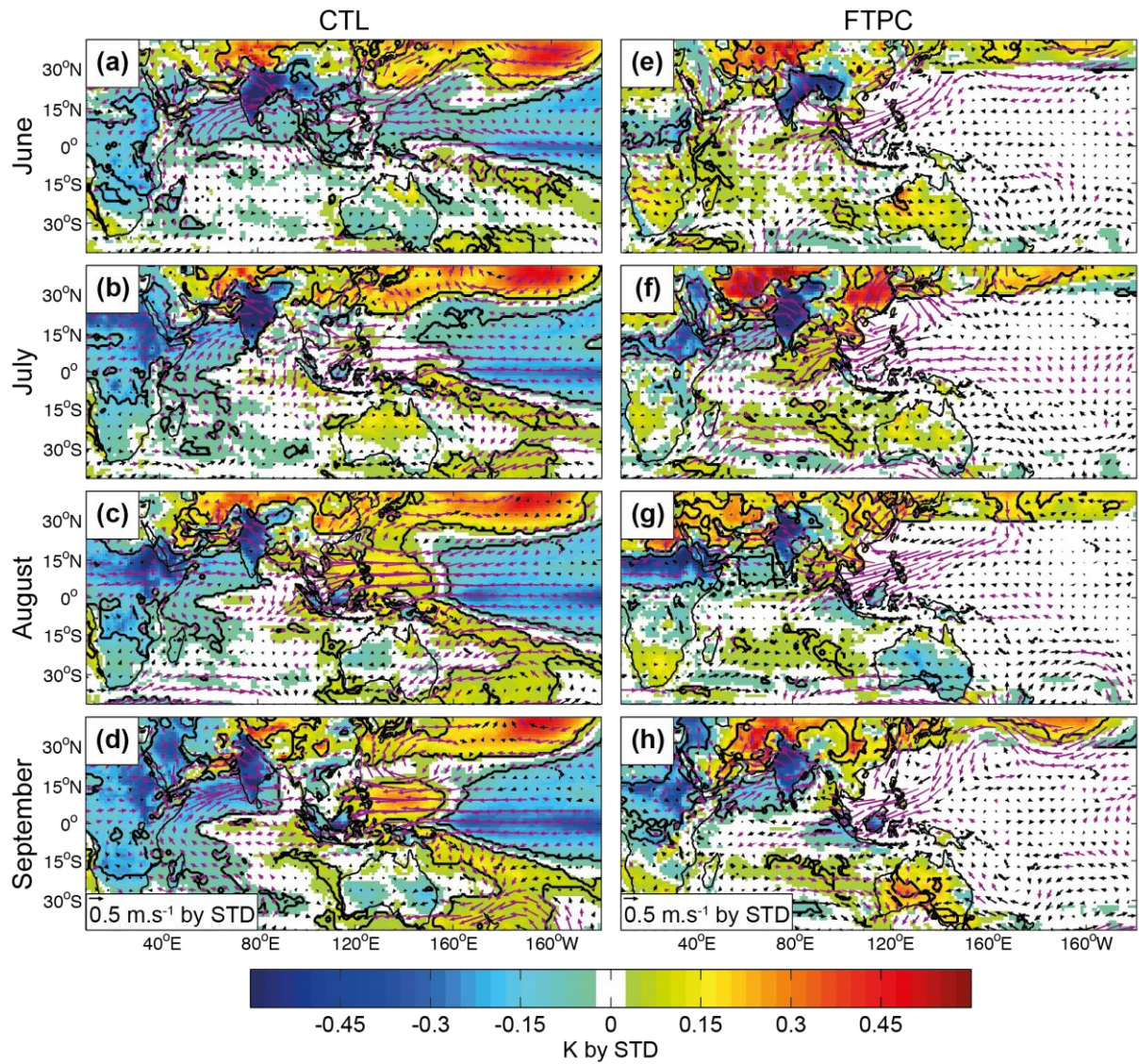
**Figure 7:** Same as Fig. 5 but for monthly rainfall (shadings;  $\text{mm}\cdot\text{day}^{-1}$ ) and 850-hPa wind (vectors;  $\text{m}\cdot\text{s}^{-1}$ ) anomalies for the (a-d) CTL, (e-h) FTPC, and (i-l) FTPC-obs experiments. Black contours are significant rainfall anomalies and purple vectors are significant 850-hPa wind anomalies, both at the 90% confidence level according to a bootstrap test.



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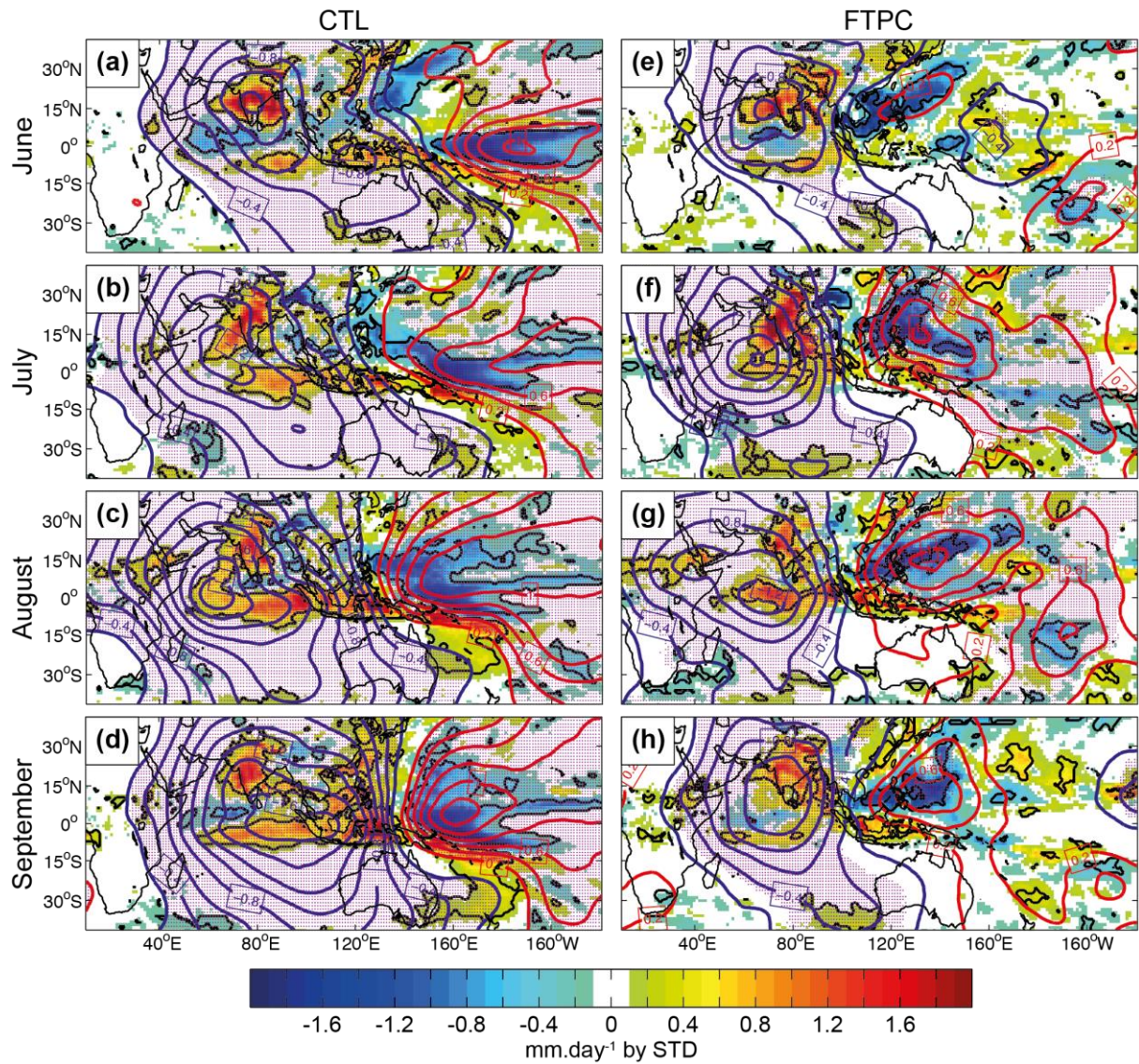
1142 **Figure 8:** Same as Fig. 5 but for monthly 200-hPa velocity potential (shadings;  $10^6 \text{ m}^2 \cdot \text{s}^{-1}$ ) anomalies for the (a-d) CTL, (e-h) FTPC, and (i-l)  
1143 FTPC-obs experiments. Black contours are significant 200-hPa velocity potential anomalies at the 90% confidence level according to a bootstrap  
1144 test. Positive 200-hPa velocity potential anomalies correspond to abnormal upper-level mass flux convergence.





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**Figure 9:** July to September monthly surface temperature (shadings; K) and 850-hPa wind (vectors;  $\text{m.s}^{-1}$ ) anomalies regressed onto normalized ISMR anomalies for the (a-d) CTL and (e-h) FTFC experiments. Black contours are significant surface temperature anomalies and purple vectors are significant 850-hPa wind anomalies, both at the 90% confidence level according to a bootstrap test.



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**Figure 10:** Same as Fig. 9 but for monthly rainfall (shadings, mm.day<sup>-1</sup>) and 200-hPa velocity potential (contours every  $2 \times 10^{-6} \text{ m}^2 \cdot \text{s}^{-1}$ ) anomalies for the (a-d) CTL and (e-h) FTPC experiments. Black contours and purple dots are significant rainfall and 200-hPa velocity potential anomalies at the 90% confidence level according to a bootstrap test, respectively.