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1 **Title:**

2 **GENICULATE GANGLION TUMORS: CLINICAL PRESENTATION AND**
3 **SURGICAL RESULTS.**

4

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25

26 **Keywords:** Geniculate ganglion; hemangioma; schwannoma; meningioma; facial nerve graft

27 **Abstract**

28 *Objective.* Facial nerve tumors are rare lesions mostly located in the geniculate ganglion. This
29 study aims to compare those tumors limited to the geniculate ganglion in terms of clinical
30 features and postoperative outcomes.

31 *Study Design.* Case series with chart review.

32 *Settings.* University tertiary reference center.

33 *Subjects and Methods.* Medical charts of 17 patients operated on for a geniculate ganglion
34 tumor removal (10 hemangiomas, 6 schwannomas, one meningioma) were reviewed.
35 Hemangiomas and schwannomas were compared for preoperative facial nerve function,
36 hearing, tumor size, and postoperative outcomes.

37 *Results.* Facial palsy was observed in all cases. Regarding the preoperative facial nerve
38 function, severe facial palsy (House-Brackmann grade V and VI) was present in 70% of cases
39 for hemangiomas and for no case of schwannoma ($p = 0.01$), although hemangiomas were
40 significantly smaller tumors ($p=0.01$). Hearing loss was observed in 4 cases (23.5%), and was
41 related to tumor volume ($p<0.0001$). A complete excision was achieved in all cases, and a
42 facial nerve graft was performed immediately after interruption in 16 patients (94%).
43 Postoperative facial nerve function was improved or stabilized in 94% of cases. A
44 preoperative House-Brackman grade VI was shown as a negative factor for postoperative
45 facial nerve function.

46 *Conclusions.* Differences in clinical presentations could help in establishing the good
47 therapeutic option depending on the tumor type. Surgery, when indicated, is safe and effective,
48 and postoperative outcomes are not related to tumor type.

49

50 INTRODUCTION

51 Facial nerve (FN) tumors are rare lesions of the petrous bone.¹ They include schwannomas,
52 hemangiomas, and meningiomas. Schwannomas represent 0,8% of petrous bone tumors.² It is
53 a benign, encapsulated, slow growing lesion arising from the Schwann cells, that can involve
54 any of the different segments of the FN, the geniculate ganglion (GG) being the most frequent
55 segment involved.³ FN hemangiomas encompass 0.7% of petrous bone tumors⁴ and they
56 have been nowadays reclassified in the group of vascular malformations.⁵ As schwannomas,
57 hemangiomas are mainly located in the GG.⁵ FN meningiomas are an extremely rare tumor,
58 with only some case reports reported in the literature.^{6,7} They arise from arachnoid cells
59 accompanying the FN during its embryonic formation.⁸ The GG and the internal auditory
60 canal are the two preferential locations of petrous bone meningiomas.⁷

61 When the tumor is limited to the GG, the most common symptom is facial paralysis. Even if
62 the radiological features of these lesions (MRI and CT-scan) might help in the differential
63 diagnosis, no study has been done to analyze differences in clinical presentation among the
64 different tumor types that could help in establishing a correct preoperative diagnosis. This is
65 nowadays essential in order to define the correct management of these tumors considering the
66 increasing number of patients affected by FN schwannoma treated with stereotaxic
67 radiosurgery.⁹

68 Thus, the aim of this study was to compare the different tumor types of GG tumors in regards
69 to their preoperative clinical features and postoperative results.

70 **Methods**

71 Medical records of patients operated on for an intrapetrous FN tumor from 1988 to 2013
72 period in a tertiary referral center were retrospectively reviewed. All patients gave their
73 informed consent for the use of their clinical data, and the ethics committee of Pitie-
74 Salpetriere hospital approved the study. All the patients were operated on and evaluated by
75 the same senior surgeon (OS), for preoperative and postoperative features, because he was the
76 only physician present for the entire duration of the inclusion period, and the most
77 experienced one for FN tumor cases.

78 Inclusion criteria were an isolated GG tumor on the basis of imaging and post-operative
79 histological examination. Tumors located in another segment of the FN or spreading from the
80 GG to another segment were excluded. Non-primary FN tumors involving the petrous bone
81 with extension to GG (metastasis, cholesteatomas) were also excluded. Patients with a
82 diagnosis of type II neurofibromatosis were excluded because of the risk of multiple lesions
83 involving the FN. The tumor type was confirmed by histological examination after tumor
84 excision. Frozen sections of the facial nerve at proximal (labyrinthine) and distal (tympanic)
85 segment of the FN were performed in all but one patient who underwent tumor excision
86 without interruption of the FN.

87

88 *Pre-operative Assessment*

89 The data at the first consultation included demographic information, presenting symptoms,
90 facial function according to the House and Brackman (HB) scale,¹⁰ the mean pure-tone
91 audiometry (PTA) (mean of 500,1000, 2000 and 3000 Hz) in air and bone conduction with
92 headphones, hearing classification according to AAO-HNS, and vestibular function evaluated
93 by a caloric test. A vestibular impairment was defined as a lateralization and a directional
94 preponderance more than 25% calculated by Jongkee's formula. Imaging (CT scan and
95 magnetic resonance imaging – MRI - in T1-WI, T2-WI, and T1 with contrast) was available

96 for all patients and was analysed for tumor size on the post-contrast T1-WI sequences, and
97 the presence of cochlear or labyrinthine fistula on CT-scan and T2-WI.

98

99 *Peri- and Post-operative Data*

100 Perioperative data included surgical approach, and, in case of interruption of the FN, the type
101 of reconstruction of the nerve.

102 Postoperative data included complications, FN function at 12 months and at the last
103 consultation, auditory outcomes, and recurrences detected by MRI studies performed every
104 year after surgery in the three first postoperative years of follow-up (FU).

105

106 *Statistical Analysis*

107 Results are presented as mean \pm standard deviation (SD). Hemangiomas and schwannomas
108 were compared using Fisher tests for qualitative data; Wilcoxon tests, Kruskal-Wallis tests
109 and Pearson tests for quantitative data. Statistical tests were performed using R (version
110 3.2.3). Differences were considered statistically significant when $p < 0.05$.

111

112 **Results**

113 *Patients*

114 Seventeen patients were included in this study (**Table 1**). They were affected by hemangioma
115 in 10 cases (59%), schwannoma in 6 cases (35%) and meningioma in one case (6%). The
116 mean age was 43 ± 12.9 years (range = 22 - 68). The tumours were located in the right
117 temporal bone in 8 cases (47%) and in the left in 9 cases (53%). There was no difference
118 between the groups in side of the tumor, sex or age (Fisher and Wilcoxon tests).

119

120 *Preoperative Data*

121 All patients presented some degree of facial impairment as first symptoms. The overall facial
122 function was grade II in one case (6%), grade III in one case (6%), grade IV in 8 cases (47%),
123 grade V in 4 cases (23.5%), and grade VI in three cases (17.5%). Preoperative FN function
124 was not related to tumor size, to patient age, or to preoperative duration of facial palsy
125 (Kruskall-Wallis test). However, severe FN palsy (grade V or VI) was observed only in
126 hemangioma cases: 7 patients with hemangioma (70%) had a HB V or VI and no patients
127 with schwannoma (p=0.01, Fisher test – **Table 2**)

128 The pattern of the facial palsy was progressive in 11 cases (65%), sudden for 4 patients
129 (23.5%), and recurrent in two cases (12%). There was no significant difference between these
130 different modes of evolution depending on the type of tumor (Fisher test, data not shown).

131 Regarding the hearing status, an overall hearing impairment was present in 4 cases (23.5%),
132 which were three schwannomas and one hemangioma, which were three cases of
133 sensorineural hearing losses and one case of conductive hearing loss. The PTA was
134 significantly higher in case of schwannoma compared to hemangioma (p=0.007 – Wilcoxon
135 test, **Table 2**) but a significant correlation between tumor size and PTA was found (Pearson
136 test, r=0.8, p<0.0001).

137 There was no difference in vestibular impairment depending on tumor type (**Table 2**) or
138 tumor size (Fisher test).

139 Regarding the size of the tumor (**Table 2**), hemangiomas were significantly smaller compared
140 to schwannomas: the mean diameter was 8 ± 3.7 mm and 16 ± 7.3 mm for hemangioma and
141 schwannoma respectively (p=0.01 – Wilcoxon test). Two patients had a pre-operative
142 cochlear fistula identified on pre-operative imaging studies (case 12 and 16, **table 1**).

143

144 *Surgery*

145 Regarding the surgical approach, the middle cranial fossa was used in all cases. Computer-
146 assisted surgical navigation ¹¹ and the facial nerve stimulating burr ¹² were used since 2007

147 and 2010 respectively. A total tumor resection was achieved in all cases; the FN was
148 interrupted and immediately repaired in 16 patients (94%), using a great auricular nerve graft
149 in 15 cases and a sural nerve graft (due to the small diameter of the great auricular nerve) in
150 one case. One patient (6%) underwent a total resection of a GG schwannoma with an
151 anatomically intact FN at the end of the procedure. In this particular case, a good dissection
152 plane could be found easily and the tumor could be separated for the FN (case 14, **table 1**).

153

154 *Postoperative Outcomes*

155 There were no major complications: no temporal lobe injuries, no cerebro-spinal fluid leaks.
156 One case of asymptomatic postoperative extradural hematoma with spontaneous resolution
157 was observed.

158 The mean follow-up was 3.7 ± 2.99 years, range = 1 – 11 (n=16). One patient was lost to
159 follow-up one month after surgery. At the last postoperative consultation, the overall facial
160 function (n=16) was grade III in 11 cases (69%), grade IV in 4 cases (25%), and grade V in
161 one case (6%). Facial function improved in 12 cases (75%), stabilized in 3 cases (19 %) and
162 worsened in one case (6%) from a HB II to a HB III. Regarding hemangiomas and
163 schwannomas' cases that had FN interruption with a FN graft (n= 14), the overall facial
164 function at last postoperative consultation was grade III in 9 cases (64%), grade IV in 4 cases
165 (29%), and grade V in one case (7%). Facial function improved in 10 cases (71%), stabilized
166 in 3 cases (21 %) and worsened in one case (7%) from a HB II to a HB III. Severe synkinesis
167 were reported for two patients (14%) who had a HB IV. There was no difference in FN
168 outcomes comparing hemangioma cases to schwannoma cases (**Table 1**, Fisher test).

169 Patient's age, tumor size and duration of preoperative facial palsy were not related to FN
170 function at 12 months postoperatively (Kruskall-Wallis test). Conversely, preoperative HB
171 grade VI was significantly related to poorer post-operative FN function after FN grafting in
172 patients operated for hemangioma or schwannoma (n=14): indeed, a worse postoperative FN

173 function (grade IV, V, VI) was present in all patients who had a preoperative HB VI (n=3),
174 and only in 18% (n=2) of patients who had less severe preoperative FN function (n=11)
175 (p=0.02 – Fisher test).

176 Regarding the hearing, it was preserved in 15 cases (94%) and worsened in one patient
177 affected by a cochlear fistula from a class C to a class D (6%).

178 Post-operative MRI was available for 16 patients and no recurrence was detected in a yearly-
179 performed MRI.

180

181

182 **Discussion**

183 This study demonstrates that, although the main symptom is facial palsy for all types of GG
184 tumors, facial impairment is more severe in cases of hemangioma than in cases of
185 schwannoma. Severe FN palsy in cases of hemangioma has already been reported in other
186 studies,¹³⁻¹⁵ but this is the first study that compares these two tumor types.

187 Several hypotheses were highlighted in literature to explain the FN palsy in GG tumors: the
188 compression by the growing tumor^{7,16} is certainly one of the main factors followed by the
189 invasion of the nerve identified by histological analysis.¹⁴ Moreover, since hemangioma is a
190 vascular malformation that develops from the rich venous plexus that surround the GG,¹⁷
191 some authors raised the hypothesis of a vascular steal that causes a facial palsy by an ischemic
192 phenomenon for this type of tumor.¹⁸ This could account for the more severe FN impairment
193 for hemangioma that is usually smaller at diagnosis compared to schwannoma, as already
194 reported in other studies.^{13,19} These clinical differences, together with radiological features
195 (**Table 3, Figure 1**), could help in making the correct diagnosis.

196 The present study describes only cases of total excision. It shows that postoperative outcomes
197 did not depend on tumor histology for GG tumors. When surgery is indicated, the
198 postoperative FN function improves in most of the patients with the majority of patients

199 reaching a postoperative HB grade III (64% of cases), which is in line with results reported on
200 literature: from 55% to 86% of HB III after facial nerve grafting.²⁰⁻²² Synkinesis are very
201 difficult to asses, but the low incidence of severe synkinesis in the present study could be
202 explained by the use of short grafts, from labyrinthine to tympanic portion of the facial nerve
203 (tumors located only on the geniculate ganglion), that could contribute to a more precise
204 axonal regrowth. Only a preoperative HB grade VI doesn't provide a satisfactory recovery of
205 the nerve. This has been already been pointed out in another studies.^{20,22} The complete tumor
206 resection remains the curative treatment for GG tumors, and its indication depends on the
207 preoperative facial nerve function.³ Indeed, since a FN interruption is necessary in most of the
208 cases to achieve a complete resection, most of the authors advocate surgery when the FN
209 function is at least HB III,^{3,20,21,23} or worse. A conservative approach with dissection of the
210 FN was possible in one schwannoma as proposed by others.^{24,25} Such a result was not
211 achieved for hemangiomas due to the tumor invasion of the FN,¹³ although some cases have
212 been reported in literature.^{13,26} In case of meningioma, only one report with a dissection of the
213 FN from the tumor has been published.²⁷

214 Regarding hearing, surgery allows hearing preservation in most of the cases through a middle
215 cranial fossa approach, that is routinely used for GG tumors.^{15,17,19} As showed in this study,
216 preoperative cochlear fistula could be associated to a worsening of hearing.^{13,14}

217 Other options can be proposed for the management of GG tumors. The first is the wait-and-
218 scan strategy, which can be a good option in case of a non-growing poor symptomatic tumor,
219 with a normal or near-normal FN function (HB I and II). These tumors are more frequently
220 schwannomas than hemangiomas because of a more severe facial function in cases of
221 hemangiomas as seen previously. In a review of 120 cases of GG hemangiomas, only 11 had
222 been observed, and the facial function remained stable only for 28% of observed cases.¹⁵ The
223 second option is a decompression surgery that aims to avoid the axonal lesions of the FN
224 caused by the tumor compression in the Fallopian canal. Wilkinson et al reported an

225 improvement of the FN function in 16% of cases and a decrease in 21% of cases for 21
226 patients who had a decompression surgery for a FN schwannoma, with no difference in
227 tumor's evolution between decompression and observation.²⁰ Decompression can be a good
228 option when the tumor is confined in the Fallopian canal, but most of the time, when the
229 tumor is located only on the GG, the bony roof has already been eroded by the tumor itself.

230 Radiosurgery is a viable option in case of growing schwannoma of the GG with a FN function
231 grade I or II. The goal of radiosurgery is to reduce or to stabilize the tumor volume and the
232 facial nerve function. According to the literature, the tumor size is stabilized or reduced in
233 83% to 100% of patients, and FN function is improved or stabilized in 67% to 100% of
234 patients.^{20,28-31} Regarding patients' hearing after radiosurgery, a meta-analysis of 14 patients
235 treated with radiosurgery for FN schwannoma for whom the auditory data were available
236 reported 36.7% of patients whose hearing worsened.⁹ Concerning hemangiomas, no studies
237 have been yet published on the use of radiosurgery for these tumors. So, in case of
238 preoperative GG tumors with a good preoperative FN function (grade I or II), in order to
239 avoid unnecessary and ineffective treatment, establishing a correct diagnosis of the tumor
240 type is fundamental because only schwannoma could be successfully treated with
241 radiosurgery. In the other cases, wait-and-scan policy is a viable option.

242 Limitations of the study include its retrospective nature, and the poor statistical power due to
243 the small sample size. This is the result of GG tumor's scarcity. This also enables the ability
244 to perform multivariate analysis for prognosis factors assessment. Finally, the assessment of
245 FN function can be discussed because of the subjectivity of HB scale. Also, this scale is not a
246 good scale for synkinesis and spasm evaluation, as a patient can be assessed on a HB III or IV
247 regarding the severity of his spasms and synkinesis. Nevertheless, it is the more common
248 scale used by neurotologists in literature, and results of this study are comparable to other
249 postoperative outcomes in terms of FN function.^{20,21}

250

251 **Conclusion**

252 Hemangioma appears to be smaller in size but more aggressive on FN function than
253 schwannoma. Establishing the correct diagnosis is mandatory for choosing the appropriate
254 management (**Figure 2**) and, when surgery is indicated, this option is safe and effective with
255 few complications and no recurrences.

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Patient	Age (year)	Tumor	Tumor size (mm)	Duration of FN palsy (months)	FN management	HB pre	HB post	PTA pre (dB)	PTA post (dB)	FU (year)
1	46	Hem	7.7	4	FNG	IV	III	11	16	1.5
2	28	Hem	4	12	FNG	V	III	10	7	3.3
3	46	Hem	8	15	FNG	III	III	10	14	1.3
4	22	Hem	15	22	FNG	V	III	5	9	3.4
5	58	Hem	13	24	FNG	VI	IV	10	10	3.9
6	53	Hem	6	32	FNG	V	<i>LFU</i>	5	<i>LFU</i>	<i>LFU</i>
7	32	Hem	5	39	FNG	VI	IV	5	10	1.2
8	30	Hem	10	50	FNG	V	III	34	41	11.2
9	47	Hem	4	60	FNG	VI	V	5	15	1.3
10	55	Hem	9	250	FNG	IV	III	5	10	2
11	35	Schw	15	2	FNG	II	III	20	20	4.9
12	68	Schw	13	5	FNG	IV	IV	53	101	1
13	24	Schw	10	8	FNG	IV	III	15	18	5.4
14	41	Schw	15	12	Dissection	IV	III	24	21	9.3
15	50	Schw	11	26	FNG	IV	III	16	16	2
16	37	Schw	30	179	FNG	IV	IV	120	120	5.9
17	48	Men	5	44	FNG	IV	III	10	10	2.6

328 **Table 1:** Patients' characteristics.

329 HB = House and Brackman; Schw = schwannoma; Hem = hemangioma; Men = meningioma; FNG =

330 Facial nerve graft; FU = follow up; LFU = lost to follow-up

	<i>Total</i>	Hemangioma	Schwannoma	Meningioma	p
	<i>N=17</i>	N=10	N=6	N=1	
Size (mm) (mean ± SD)	<i>11 ± 6.3</i>	<i>8 ± 3.7</i>	<i>16 ± 7.3</i>	5	0.03*
FN function					
Grade II	<i>1</i>	0	1	0	0.4
Grade III	<i>1</i>	1	0	0	1
Grade IV	<i>8</i>	2	5	1	0.03*
Grade V	<i>4</i>	4	0	0	0.2
Grade VI	<i>3</i>	3	0	0	0.2
PTA (dB) (mean ± SD)	<i>21 ± 28</i>	<i>10 ± 8.7</i>	<i>41 ± 41</i>	10	0.007*
Vestibular impairment	<i>7</i>	2	4	1	0.1
Tinnitus	<i>2</i>	0	2	0	0.2

331 **Table 2:** Preoperative clinical features of 17 patients who underwent surgery for GG tumor.

332 PTA = Pure-tone audiometry; * = significant (Fisher and Wilcoxon tests comparing hemangioma to

333 schwannoma).

		Schwannoma	Hemangioma	Meningioma
CT scan		Smooth-walled Homogenous hypodensity round or oval-shaped Hourglass aspect	Irregular margins Intratumoral calcifications with honeycomb appearance	Irregular margins Rare intratumoral calcification
CT scan with contrast		Irregular enhancement	Avid enhancement	Avid enhancement
MRI	T1	Iso or hypointense	Isointense	Iso or hypointense
	T2	Iso or hyperintense	Hyperintense	Iso or hyperintense
	T1 with contrast	Irregular avid enhancement	Avid enhancement	Avid enhancement

334 **Table 3:** Radiological features for the three more frequent GG tumors^{6,32,33}

335

336 **Figures Legends**

337

338 **Figure 1:** T1 with contrast MRI showing a GG hemangioma (A), a GG meningioma (B), and
339 a GG schwannoma (C).

340

341 **Figure 2:** Management of geniculate ganglion tumors depending on the tumor type.

342 HB = House and Brackman.

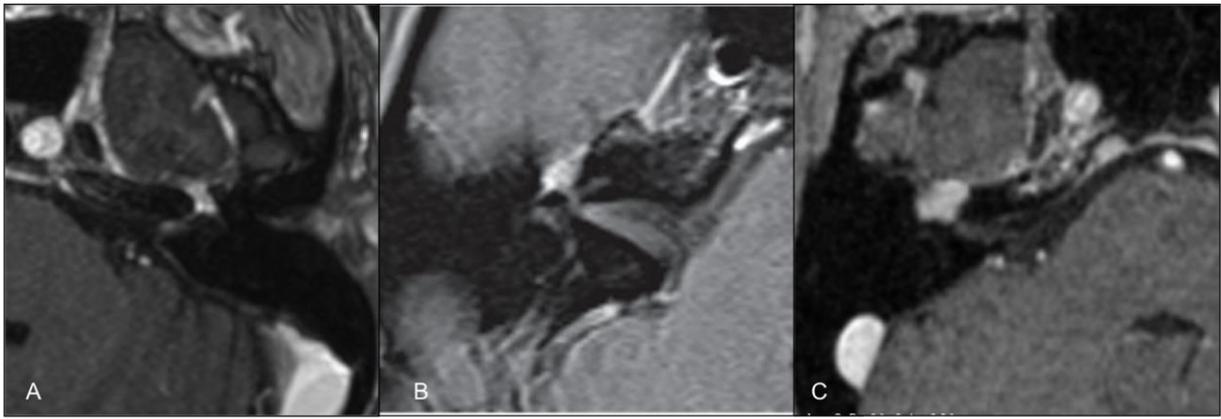


Fig. 1

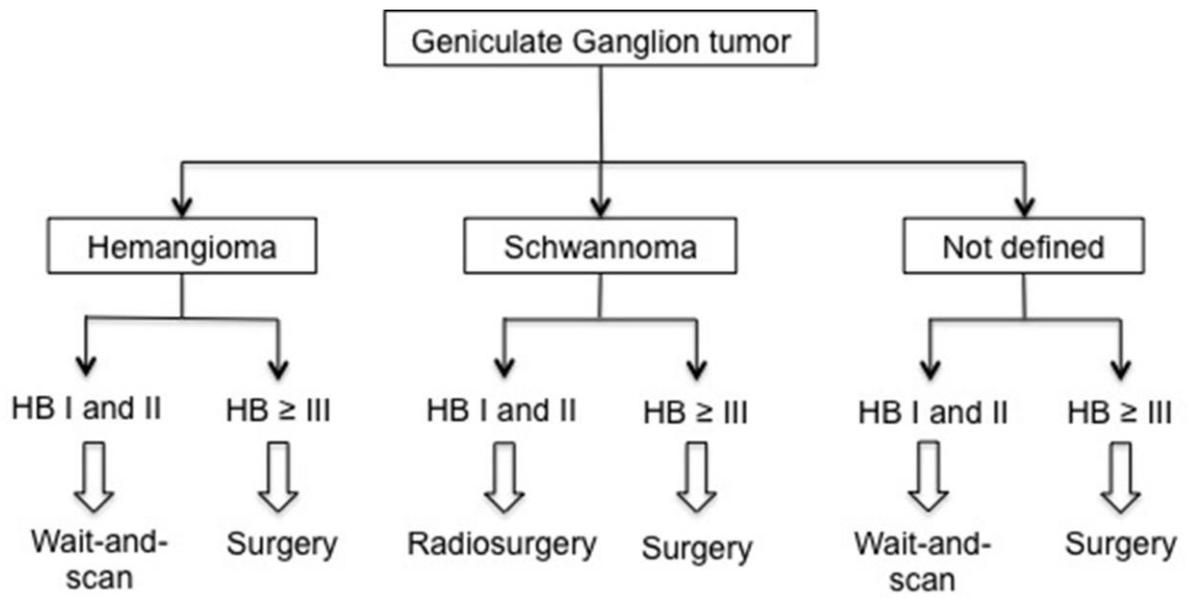


Fig. 2