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1 **Evaluation of branched GDGTs and leaf wax *n*-alkane $\delta^2\text{H}$ as**
 2 **(paleo) environmental proxies in East Africa**

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26
 27 **Abstract**

28 The role of mountain evolution on local climate is poorly understood and
 29 potentially underestimated in climate models. One prominent example is East Africa,
 30 which underwent major geodynamic changes with the onset of the East African Rift
 31 System (EARS) more than 250 Myr ago. This study explores, at the regional East African
 32 scale, a molecular approach for terrestrially-based paleo-climatic reconstructions that
 33 takes into account both changes in temperature and in altitude, potentially leading to an

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34 improved concept in paleo-climatic reconstructions. Using surface soils collected along
35 pronounced altitudinal gradients in Mt. Rungwe (n=40; Southwest Tanzania) and Mt.
36 Kenya (n=20; Central Kenya), we investigate the combination of 2 terrestrial proxies, leaf
37 wax *n*-alkane $\delta^2\text{H}$ ($\delta^2\text{H}_{\text{wax}}$) and branched glycerol dialkyl glycerol tetraether (br GDGT)
38 membrane lipids, as (paleo) elevation and (paleo) temperature proxies, respectively. At
39 the mountain scale, a weak link between $\delta^2\text{H}_{\text{wax}}$ and altitude ($R^2 = 0.33$) is observed at
40 Mt. Kenya, but no relationship is observed at Mt. Rungwe. It is likely that additional
41 parameters, such as decreasing relative humidity (RH) or vegetation changes with
42 altitude, are outcompeting the expected ^2H -depletion trend along Mt. Rungwe. In
43 contrast, br GDGT-derived absolute mean annual air temperature (MAAT) and
44 temperature lapse rate ($0.65\text{ }^\circ\text{C}/100\text{ m}$) for both mountains are in good agreement with
45 direct field measurements, further supporting the robustness of this molecular proxy for
46 (paleo) temperature reconstructions. At the regional scale, estimated and observed $\delta^2\text{H}$
47 data in precipitation along 3 mountains in East Africa (Mts. Rungwe, Kenya and
48 Kilimanjaro) highlight a strong spatial heterogeneity, preventing the establishment of a
49 regional based calibration of $\delta^2\text{H}_{\text{wax}}$ for paleoaltitudinal reconstructions. Different from
50 that, an improved regional soil calibration is developed between br GDGT distribution
51 and MAAT by combining the data from this study (Mts. Rungwe and Kenya) with
52 previous results from East African surface soils along Mts. Kilimanjaro (Tanzania) and
53 Rwenzori (Uganda). This new regional calibration, based on 105 samples, improves both
54 the R^2 (0.77) and RMSE (root mean square error; $2.4\text{ }^\circ\text{C}$) of br GDGT-derived MAAT
55 over the global soil calibrations previously established ($R^2 = 0.56$; RMSE = $4.2\text{ }^\circ\text{C}$) and
56 leads to more accurate (paleo) temperature reconstructions in the region.

57

58 **1. Introduction**

59 The East African Rift System (EARS) is one of the best examples of an active rift
60 system. The initial rifting in the area dates back to the Permo-Triassic and Cretaceous
61 (Macgregor, 2015). The development of this complex system with several phases of
62 rifting dramatically changed the East African landscape. Yet its potential influence on the
63 vegetation and local and African climate is hardly constrained. Previous modelling
64 (Sepulchre et al., 2006) postulated that the rise of the EARS should have greatly
65 contributed to the long term aridification of East Africa. However, these simulations only
66 compared 2 extreme scenarios: (i) no elevation and (ii) high elevation – reflecting the
67 lack of a well constrained history of the EARS rise. Moreover, paleo-climate
68 reconstructions in East Africa have long been hampered by 2 challenges: (i) traditional
69 hydrological proxies, such as lake level studies, are influenced not only by changes in the
70 amount of precipitation but also by ambient temperature; (ii) temperature changes are
71 poorly constrained because few sedimentary proxies are sensitive enough to accurately
72 record the small scale temperature variations in tropical lakes (*Verschuren et al., 2009*).

73 Recently, novel proxies were developed based on the analysis of fossil organic
74 compounds containing environmental information in their structures. Notably, leaf wax
75 *n*-alkane $\delta^2\text{H}$ ($\delta^2\text{H}_{\text{wax}}$) was proposed as a (paleo) elevation proxy (Jia et al., 2008) while
76 branched glycerol dialkyl glycerol tetraether (br GDGT) membrane lipids are
77 increasingly used as a (paleo) temperature proxy (Weijers et al., 2007). The combined use
78 of these 2 proxies could thus help to better constrain the relationships between
79 topography and climate in East Africa.

80 Long chain *n*-alkanes are produced primarily by higher terrestrial plants (Eglinton
81 and Hamilton, 1967) and their $^2\text{H}/^1\text{H}$ ratio, typically expressed as $\delta^2\text{H}_{\text{wax}}$, is linked with
82 the $^2\text{H}/^1\text{H}$ ratio of the plant water source (e.g. Sauer et al., 2001). Because *n*-alkanes are
83 well preserved in the geological archives (Eglinton and Eglinton, 2008) and their
84 hydrogen not easily exchangeable (Schimmelmann et al., 2006), the $\delta^2\text{H}_{\text{wax}}$ is frequently
85 used in paleo-climate studies to track the variability of the $^2\text{H}/^1\text{H}$ ratio of meteoric water
86 ($\delta^2\text{H}_p$), as recently reviewed by Sachse et al. (2012). The ‘altitude effect’, described by
87 Dansgaard (1964), is one of the physical parameters impacting the $\delta^2\text{H}_p$ and it
88 corresponds to the progressive ^2H -depletion of precipitation along altitudinal gradients
89 due to successive Rayleigh distillation equilibria as the air mass rises. This effect has
90 been observed in precipitation along several mountains across the world (Araguás-
91 Araguás et al., 2000) including 2 equatorial African mountains: Mt. Cameroon
92 (Cameroon; Gonfiantini et al., 2001) and Mt. Kilimanjaro (Tanzania; Zech et al., 2015).
93 The ability of $\delta^2\text{H}_{\text{wax}}$ to track this altitudinal effect was first shown at Mt. Gongga (China;
94 Jia et al., 2008). This relationship was further observed at several mountains in Asia,
95 Oceania and America (e.g. Luo et al., 2011; Ernst et al., 2013; Bai et al., 2015; Zhuang et
96 al., 2015).

97 Branched GDGTs represent another group of biomarkers increasingly used for
98 paleo-climate reconstructions in terrestrial archives (e.g. Peterse et al., 2011). These
99 membrane lipids (Suppl. Fig.) are ubiquitous in both terrestrial and aquatic environments
100 (Schouten et al., 2013) and are produced by yet unknown bacteria. In soils from across
101 the globe, the relative abundance of the different br GDGTs was shown to depend on
102 environmental parameters (Weijers et al., 2007), leading to the development of global

103 calibrations between br GDGT distribution and mean annual air temperature (MAAT)
104 and pH (Weijers et al., 2007; Peterse et al., 2012). These compounds can therefore be
105 used as paleo-thermometers. Soil-derived br GDGTs have been investigated along
106 several mountain transects (e.g.; Peterse et al., 2009; Ernst et al., 2013; Anderson et al.,
107 2014) with a high variability in temperature (as temperature decreases with altitude). In
108 the previously published papers, the related br GDGT-derived MAAT were found to (i)
109 be in agreement with local measured MAAT and (ii) relate with altitude (i.e. following
110 the natural temperature gradient), even though a high degree of scatter was observed for
111 some case studies (e.g. Mt. Gongga; Peterse et al., 2009).

112 The combination of $\delta^2\text{H}_{\text{wax}}$ and br GDGT provided promising results which
113 significantly expand their potential for (paleo) climate reconstruction. In modern soils,
114 they were found to both correlate with altitude along Mt. Gongga (China, Jia et al., 2008
115 and Peterse et al., 2009), Mt. Meghalaya (India, Ernst et al., 2013) and the Southern Alps
116 (New Zealand, Zhuang et al., 2015) implying that $\delta^2\text{H}_{\text{wax}}$ was recording the altitude effect
117 in $\delta^2\text{H}_p$ and br GDGTs the natural temperature gradient. In geological archives, the
118 approach has been employed to reconstruct the early Eocene elevation and climatic
119 history of the Sierra Nevada (Hren et al., 2010). It was deduced from the analysis of
120 $\delta^2\text{H}_{\text{wax}}$ from fossil leaves and br GDGTs from the sediment matrix that, during the
121 Eocene Climatic Optimum, Sierra Nevada elevation was high (> 2 km) with warmer air
122 temperature than today. In East Africa, however, altitudinal transects from the southern
123 slope of Mt. Kilimanjaro (Tanzania) was investigated by several groups (Sinninghe
124 Damsté et al., 2008; Peterse et al., 2009; Zech et al., 2015), resulting in inconsistent
125 results. While estimated air temperatures derived from the analysis of br GDGTs were

126 consistent with the observational temperature data (Sinninghe Damsté et al., 2008), no
127 clear altitudinal trend was observed for $\delta^2\text{H}_{\text{wax}}$ (Peterse et al., 2009; Zech et al., 2015).
128 This prompts the question regarding the relative importance of site (mountain)-related
129 and regional (East African)-related controls on the link between altitude and soil $\delta^2\text{H}_{\text{wax}}$
130 record.

131 In the present study, $\delta^2\text{H}_{\text{wax}}$ and br GDGTs were analysed in 60 surface soils
132 along 2 East African mountains – Mt. Rungwe (n=40; Southwest Tanzania; Fig. 1) and
133 Mt. Kenya (n=20; Central Kenya; Fig. 1) – to further test their joint applicability as
134 (paleo) environmental proxies in this climatically sensitive area. Our results were then
135 combined with previously published data for 2 additional East African mountains (Mt.
136 Rwenzori, Uganda, Loomis et al., 2011; Mt. Kilimanjaro, Tanzania, Peterse et al., 2009,
137 Sinninghe Damsté et al., 2008 and Zech et al., 2015) to potentially generate regional
138 calibrations of $\delta^2\text{H}_{\text{wax}}$ variations with elevation and br GDGT variations with
139 temperature. This could improve the precision/quality of regional scale (paleo) elevation
140 and (paleo) temperature reconstructions in comparison with global calibrations. The
141 ultimate goal of this work is to introduce improved tools for terrestrially-based paleo-
142 climatic reconstructions in East Africa that take into account not only temperature but
143 also altitude changes, and which could then be used to reconstruct the evolution of
144 topography and climate dynamics over geological time in the EARS.

145

146

147 2. Materials and Methods

148

149 2.1. Study sites

150 Br GDGTs and *n*-alkanes were analysed in 40 surface soils collected between 500
151 and 2800 m along the gentle then steep slope of Mt. Rungwe in 2012 and 2014, and in 20
152 samples collected between 1900 and 3300 m along the steady gentle slope of Mt. Kenya
153 in 2013 (Table 1). About 50-60 g of soil were collected in the A horizon (0-5 cm) and
154 kept at room temperature before shipping to France by plane.

155 Mt. Rungwe is located in the Southwest of Tanzania (9° S, 33° E; Fig. 1) and
156 experiences a typical tropical climate, with alternations between a hot and humid (from
157 November to May) season and a colder and drier season (from June to October;
158 Delalande et al., 2008). Natural vegetation along the altitudinal transect belongs to the
159 Zambeziyan Miombo-type woodland at low altitude and Afromontane vegetation at higher
160 altitude (e.g. Vincens et al., 2003). Agriculture – including banana, rice, cocoa, tea,
161 coffee, maize cultivations – is practiced up to 1500 m leading to partial deforestation of
162 the mountain slopes (Williamson et al., 2014). MAAT was continuously measured with
163 temperature loggers (weather station, DAVIS, Instruments, Hayward, CA, USA) at 540
164 m, 920 m and 1720 m and varied from 25.6 °C at 540 m to 22.6 °C at 920 m and 16.9 °C
165 at 1720 m, leading to a temperature gradient of 0.7 °C/100 m.

166 Mt. Kenya is the highest mountain in Kenya (5199 m.a.s.l.) and is located on the
167 equator (0° S 37° E; Fig. 1). The twice-yearly passage of the Intertropical Convergence
168 Zone (ITCZ) leads to a bimodal rainfall pattern characterized by the onset and duration of
169 the long rains (March to May) and short rains (October to November), the other months
170 experiencing a drier climate (Camberlin et al., 2014). Present day vegetation is marked by

171 five distinguishable altitudinal zones, (Bremond et al., 2008; Hamilton, 1982) from the
172 mountain forest belt at its base (1960–2500 m.a.s.l.) to Afroalpine at its top (3400–
173 4200 m.a.s.l.), with Bamboo and Ericaceous zones in between. Based on meteorological
174 data from weather stations along Mt. Kenya and in Central Kenya (Camberlin et al.,
175 2014; Kenya Meteorological Department, 1984; Kenya Meteorological Department;
176 Smith, 1993), temperature lapse rate along Mount Kenya was determined as 0.63 °C/100
177 m.

178

179 2.2. Sample preparation

180 Samples were frozen and freeze-dried at their arrival in Paris (France) and then
181 kept at -18 °C prior further treatment. Sample preparation was identical to that detailed
182 by Coffinet et al. (2014). Briefly, soils were extracted (3 × 5 min) with dichloromethane
183 (DCM): methanol (MeOH) (9:1, v:v) using an accelerated solvent extractor (ASE 100 –
184 Dionex; 100°C, 10 × 10⁶ Pa). The extract was separated into 2 fractions through a 2 cm
185 diam. column of Al₂O₃ (activated overnight at 150 °C) using heptane:DCM (9:1, v:v) and
186 DCM:MeOH (1:1, v:v) respectively. The 2 fractions were then rotary evaporated, re-
187 dissolved in 1 ml heptane and centrifuged using an Eppendorf Mini Spin centrifuge (1
188 min, 7000 rpm) prior to further analysis.

189

190 2.3. n-Alkane analysis

191 *n*-Alkanes were analysed at UPMC, Paris, France by gas chromatography coupled
192 to a mass spectrometer (GC-MS) using an Agilent Network 6890 GC System coupled
193 with a 5973 Mass Selective Detector, with electron impact at 70eV. 1 µl was injected and

194 the separation was achieved using a Restek RXI-5 Sil MS silica capillary column (30 m ×
195 0.25 mm i.d., 0.50 µm film thickness) with He as the carrier gas at 1 ml/min flow rate.

196 The GC oven initial temperature was set to 50 °C and then increased to 320 °C at a 4
197 °C/min. Samples were injected in splitless mode and the injector temperature was 280 °C.

198 *n*-Alkane hydrogen isotopic composition was measured at Newcastle University,
199 UK using a Delta V+ isotope-ratio mass spectrometer (IRMS, ThermoFisher) connected
200 to a GC Ultra Trace (ThermoFisher), a Finnigan GC Combustion III (ThermoFisher) and
201 a high temperature conversion (HTC) system set up at 1400 °C. The GC oven initial
202 temperature was set to 50 °C and then increased to 250 °C at 15 °C/min and from 250 °C
203 to 320 °C at 5 °C/min. The GC oven was held at 320 °C for 15 min. Every sample was
204 analysed in duplicate and the ²H/¹H ratio was reported on the VSMOW (Vienna standard
205 mean ocean water) scale to determine compound specific δ²H_{C_n} (‰). The H₃ factor was
206 measured daily and varied between 2.48 and 2.61 during the course of analysis.

207 Sample *n*-alkane δ²H values were corrected individually using an *n*-alkane standard (*n*-
208 C16 to *n*-C30; mix A5) and 5α-androstane standard (A. Schimmelmann, Indiana
209 University), run at the beginning and at the end of each sample sequence. Standard error
210 of the measurements of the long chain *n*-alkanes (C₂₅-C₃₁) from this standard mix ranged
211 between 0.3‰ and 1.1‰.

212

213 2.4. Br GDGT analysis

214 Br GDGT analysis was performed at UPMC, Paris, France by high pressure liquid
215 chromatography coupled to a mass spectrometer with an atmospheric pressure chemical
216 ionization source (HPLC-APCI-MS). Samples from Mt. Rungwe collected in 2014 were

217 analysed with a Shimadzu LCMS-2020, as described by Coffinet et al. (2015), whereas
218 samples from Mt. Kenya and those from Mt. Rungwe collected in 2012 were analysed
219 with an Agilent 1100 series HPLC instrument equipped with an automatic injector and
220 coupled to a PE Sciex API 3000 mass spectrometer, using a procedure described by
221 Coffinet et al. (2014). Similar chromatographic conditions were used on the 2 machines
222 and samples from Coffinet et al. (2014) were re-run with the Shimadzu LCMS-2020 to
223 assess the method reproducibility between the 2 LCMS apparatus (mean errors: 0.03 for
224 MBT, 0.05 for CBT and 0.28 °C for MAAT).

225 Semi-quantification of br GDGTs was performed by comparing the integrated
226 signal of the respective compound with the signal of a C₄₆ synthesised internal standard
227 (Huguet et al., 2006) assuming their response factors to be identical.

228 The MBT' (methylation index of branched tetraethers; eq. 1; Peterse et al., 2012)
229 and CBT (cyclisation ratio of branched tetraethers; eq. 2; Weijers et al., 2007) indices
230 were determined from the following equations:

$$231 \quad \text{MBT}' = \frac{[\text{Ia} + \text{Ib} + \text{Ic}]}{[\text{IIIa}] + [\text{IIa} + \text{IIb} + \text{IIc}] + [\text{Ia} + \text{Ib} + \text{Ic}]} \quad (1)$$

$$232 \quad \text{CBT} = -\log\left(\frac{[\text{IIb}] + [\text{Ib}]}{[\text{IIa}] + [\text{Ia}]}\right) \quad (2)$$

233 Roman numerals refer to the structures in the Supplementary Figure.

234 Based on triplicate injections, the maximal analytical error for the different indices was:
235 0.09 for MBT' and 0.03 for CBT.

236 MAAT was estimated from the global soil calibration developed by Peterse et al.,
237 (2012; Eq. 3):

$$238 \quad \text{MAAT} = 0.81 - 5.67 \times \text{CBT} + 31.0 \times \text{MBT}' \quad (3)$$

239

240 2.5. Estimation of the H isotopic composition of precipitation

241 To characterize the H isotope composition of precipitation ($\delta^2\text{H}_p$) at the studied
242 sites, results from previous publications were gathered (Rieti-Shati et al., 2010; Nivet et
243 al., 2015; Zech et al., 2015). Due to the limited number of instrumental data, $\delta^2\text{H}_p$ was
244 also estimated with the Online Isotopes in Precipitation Calculator (OIPC; Bowen, 2016;
245 Bowen and Revenaugh, 2003). The OIPC model was developed to allow point estimation
246 of $\delta^2\text{H}_p$. It is based on a spatial interpolation from the 340 Global Network for Isotope in
247 Precipitation (GNIP) station database. This interpolation includes the latitude and altitude
248 effects on the isotopic composition of precipitation but in some regions, including East
249 Africa, it is limited by additional sources of uncertainty. In East Africa, the major source
250 of uncertainty is the small number of GNIP stations which lowers the dataset resolution
251 (Bowen and Revenaugh, 2003; West et al., 2004) while the $\delta^2\text{H}_p$ variability is there
252 especially high (due to the intertropical context and the orographic complexity). In
253 addition, Bowen and Wilkinson (2002) pointed out 2 high altitude stations (Addis-Abeba
254 and Entebbe) where the measured isotopic composition of precipitation was anomalously
255 enriched in heavy isotopes, leading to an increased uncertainty in the prediction of $\delta^2\text{H}_p$
256 in this region. For the estimations along the 3 studied mountains, the 95% confidence
257 level of the model varied from 3-4‰ at low altitudes to 6‰ at higher altitudes.

258 **3. Results**

259

260 3.1. $\delta^2\text{H}_p$ and $\delta^2\text{H}_{\text{wax}}$ along the altitudinal transects at Mt. Kenya and Mt. Rungwe

261 The $\delta^2\text{H}_p$ values along Mts. Kenya, Rungwe and Kilimanjaro obtained using the
262 OIPC (the Online Isotopes in Precipitation Calculator; Bowen, 2016; Bowen and
263 Revenaugh, 2003; Fig. 2) span from -42‰ at 3270 m.a.s.l. to -23‰ at 1900 m.a.s.l. along
264 Mt. Kenya and from -49‰ at 2800 m.a.s.l. to -16‰ at 520 m.a.s.l. along Mt. Rungwe and
265 from -45‰ at 3245 m.a.s.l. to -23‰ at 1727 m.a.s.l. along Mt. Kilimanjaro.

266 *n*-Alkanes were analysed in 20 soil samples collected between 1900 to 3160 m
267 along Mt. Kenya and in 40 soil samples collected between 500 and 2800 m along Mt.
268 Rungwe. The distribution parameters of these compounds (chain length range, C_n range;
269 average chain length, ACL; carbon preference index, CPI; cf. Supplementary Table 1) do
270 not show any statistically relevant correlation with altitude. Along the two transects, long
271 chain *n*-alkanes with odd-over-even predominance were the most abundant, as reflected
272 by overall high ACL (28 ± 2 ; Suppl. Table 1) and CPI values (between 3 and 18; Suppl.
273 Table 1). These results indicate that *n*-alkanes present in the soils originate predominantly
274 from higher plants. The $\delta^2\text{H}$ values are comprised between -109‰ and -177‰ (mean -
275 143‰) at Mt. Rungwe, and between -116‰ and -167‰ at Mt. Kenya (mean -141‰;
276 Suppl. Table 1). The weighted mean $\delta^2\text{H}_{\text{wax}}$ of the long chain *n*-alkanes (C_{27} , C_{29} , C_{31})
277 varied between -166‰ and -125‰ (Table 1) along Mt. Rungwe and between -158‰ and
278 -119‰ along the slope at Mt. Kenya.

279

280

281

282 3.2. Br GDGT-derived mean annual air temperature (MAAT) along the altitudinal
283 transects at Mts. Rungwe and Kenya

284 Br GDGTs were analysed along Mt. Kenya in the same soil samples as those
285 analysed for *n*-alkanes (n=20). Br GDGT proxies and corresponding temperature
286 estimates were previously determined in 20 surface soils collected at Mt. Rungwe in 2012
287 (Coffinet et al., 2014). This dataset was extended in the present study by the analysis of
288 br GDGTs in 16 additional soil samples (same sample set as for the *n*-alkane analysis
289 except 3 of them which were not analysed, see details in Table 1) collected along Mt.
290 Rungwe. The distribution of br GDGTs was similar in soils collected in 2012 and 2014,
291 as shown by the comparable ranges of MBT' and CBT values in these samples (cf. Suppl.
292 Table 2).

293 Br GDGT-derived MAATs were estimated using the global soil calibration
294 (Peterse et al., 2012) and varied from 9.3 °C at 3270 m.a.s.l. to 16.9 °C at 1900 m.a.s.l.
295 along Mt. Kenya and from 12.2 °C at 2055 m.a.s.l. to 22.5 °C at 529 m.a.s.l. (Table 1).

296

297 **4. Discussion**

298

299 4.1. Variation of $\delta^2\text{H}$ of *n*-alkanes in East Africa

300 In East Africa, the altitude effect on the hydrogen isotopic ratio of precipitation
301 ($\delta^2\text{H}_p$) was reported along Mt. Kilimanjaro above 2000 m.a.s.l. (1.4‰/100 m) while it
302 tends to be much weaker at lower altitudes (Zech et al., 2015 and Fig. 2). As Gonfiantini
303 et al. (2001) observed at Mt. Cameroon (Cameroon), the altitudinal gradient in the $\delta^2\text{H}_p$
304 seems to increase with altitude. Along Mt. Rungwe, the establishment of a long term

305 monitoring of the isotopic composition of precipitation is ongoing (Nivet et al., 2015).
306 Preliminary data indicate values ranging from -16.9‰ at 1720 m.a.s.l. to -12.9‰ at 920
307 m.a.s.l. and -11.3‰ at 540 m.a.s.l. (Fig. 2) but more data are needed at higher altitudes to
308 compare with Mts. Kilimanjaro and Cameroon trends. Along Mt. Kenya, Rieti-Shati et al.
309 (2000) suggested the altitude effect to be absent based on precipitation events collected
310 between 3000 and 4000 m.a.s.l. and groundwater collection above 4500 m.a.s.l. However
311 this assumption is based on a very limited dataset from high altitude sampling sites on the
312 western side of the mountain whereas sampling for the present study was performed on
313 the North-East slope of Mt. Kenya. Long-term monitoring of precipitation along a wide
314 elevation range of this slope side at Mt. Kenya is needed to precisely assess the variation
315 of its isotopic composition.

316 Because of this limited number of measured isotopic values of precipitation along
317 the studied mountains, $\delta^2\text{H}_p$ had to be estimated using the OIPC (the Online Isotopes in
318 Precipitation Calculator; Bowen, 2016; Bowen and Revenaugh, 2003; Fig. 2). A ^2H -
319 depletion lapse rate of 1.5 ‰/100 m was computed for Mts. Rungwe and Kenya and of
320 1.4‰/100 m for Mt. Kilimanjaro, similar to the one measured by Zech et al. (2015)
321 above 2000 m.a.s.l. (Fig. 2). Nevertheless, along the latter, the OIPC data were observed
322 to be shifted from the measured ones, particularly at higher altitudes, towards more
323 depleted values and to a higher extent than the 95% confidence level estimated by the
324 model (ca. 11‰ difference; Fig. 2). Such a shift was already observed and discussed in
325 Kenya by Soderberg et al. (2013) and is likely due to the low number of GNIP stations
326 whilst the rain composition exhibits a high spatial variability in the region. Therefore the
327 absolute values derived from the OIPC must be treated with caution. However, they

328 should depict the likely trend of $\delta^2\text{H}_p$ related to the altitude effect and allow comparison
329 between the three studied sites. Notably, the $\delta^2\text{H}_p$ varies from one mountain to another
330 (Fig. 2). At a given altitude, 870 m.a.s.l. for example, $\delta^2\text{H}_p$ equals -22‰ , -12‰ , -8‰
331 along Mts. Rungwe, Kilimanjaro and Kenya, respectively. More specifically, the more
332 northern the latitude, the more enriched the $\delta^2\text{H}_p$. This illustrates that, in addition to
333 altitude, a latitudinal effect is also likely to impact the $\delta^2\text{H}_p$ distribution in East Africa, as
334 previously noted at the global scale by Dansgaard (1964). No calibration of $\delta^2\text{H}_p$ with
335 altitude could thus be deduced at the regional, East African, scale and the altitudinal
336 effect in $\delta^2\text{H}_p$ could only be tracked at the mountain scale. Consequently, in the
337 following, the ability of $\delta^2\text{H}$ of *n*-alkanes ($\delta^2\text{H}_{\text{wax}}$) to track the altitudinal effect on $\delta^2\text{H}_p$
338 was solely investigated at the mountain scale for each study site.

339 The concentration-weighted mean values of $\delta^2\text{H}$ $\text{C}_{27} - \text{C}_{31}$ alkanes ($\delta^2\text{H}_{\text{wax}}$, Table
340 1) were plotted against altitude for Mt. Kenya and Mt. Rungwe (Fig. 3A-B). A
341 statistically significant, though scattered, ^2H -depletion trend was observed along Mt.
342 Kenya ($-1.2\text{‰}/100\text{ m}$; Fig. 3A; $p < 0.05$) close to the gradient observed for precipitation
343 along Mt. Kilimanjaro ($-1.4\text{‰}/100\text{ m}$, Fig. 2). This result indirectly suggests the
344 existence of an altitude effect in $\delta^2\text{H}_p$ along Mt. Kenya, unlike what was previously
345 reported by Rieti-Shati et al. (2000). The $\delta^2\text{H}_{\text{wax}}$ values along Mt. Kenya support the
346 hypothesis that *n*-alkanes are able to record the altitude effect in their $^2\text{H}/^1\text{H}$ ratio,
347 consistent with evidence from several other mountain systems in Asia (e.g. Jia et al.,
348 2008; Bai et al., 2015) and New Zealand (Zhuang et al., 2015). In contrast, no trend was
349 noticed at Mt. Rungwe (Fig. 3B, $p > 0.05$), as previously observed along Mt. Kilimanjaro
350 (Peterse et al., 2009; Zech et al., 2015).

351 For these two mountains (Rungwe and Kilimanjaro), we suggest that the altitude
352 effect observed in the precipitation may have been overprinted during the biosynthesis of
353 *n*-alkanes and/or their diagenetic reworking in the soil. As reviewed by Sachse et al.
354 (2012), lipid biosynthesis comprises several steps where $^2\text{H}/^1\text{H}$ fractionation can occur.
355 The magnitude of fractionation on the end-product $\delta^2\text{H}_{\text{wax}}$ signal is still poorly
356 constrained for most plant species. Notably, Sachse et al. (2012) highlighted several
357 parameters that could have a high impact on the $\delta^2\text{H}_{\text{wax}}$ fractionation, such as vegetation
358 changes, deposition processes and leaf physiology. Several studies pointed out large
359 variability in the leaf-derived $\delta^2\text{H}_{\text{wax}}$ of different species within a same site (e.g.
360 Chikaraishi and Naraoka, 2007; Pedentchouk et al., 2008). Thus, the important vegetation
361 changes with altitude documented along Mts. Kilimanjaro and Rungwe (Bremond et al.,
362 2008; Williamson et al., 2014) could have influenced the $\delta^2\text{H}_{\text{wax}}$ signal, leading to an
363 overprinting of the altitude effect on the $\delta^2\text{H}$ of the precipitation. Moreover, Zech et al.
364 (2015) postulated that along Mt. Kilimanjaro the altitudinal effect should be outcompeted
365 by the increasing evaporative ^2H -enrichment of the leaf water due to changes in humidity
366 with increasing altitude. The impact of these potential additional effects could be
367 enhanced in East Africa, where the altitude effects on $\delta^2\text{H}_p$ (Fig. 2) are in the lower range
368 of the gradients measured across the world (between -1 and -4‰/100 m, Araguás-
369 Araguás et al., 2000).

370 The results from this study highlight the complexity of the H isotopic signal
371 recorded in *n*-alkanes from East African soils. The altitude effect on $\delta^2\text{H}_p$ was recorded in
372 the $\delta^2\text{H}_{\text{wax}}$ signal at only one altitudinal transect (Mt. Kenya). This suggests that the use
373 of $\delta^2\text{H}_{\text{wax}}$ as a (paleo) elevation proxy might be site-dependent. This study also points to

374 the competitive impact of different hydroclimatic and biogeochemical factors on the soil
375 $\delta^2\text{H}_{\text{wax}}$ record. Unfortunately the lack of extensive environmental monitoring in these
376 remote locations prevented us from determining which of these factors are overprinting
377 the $\delta^2\text{H}_{\text{wax}}$ signal at the other two mountains. Notably, more instrumental data are needed
378 to improve the estimation of the $\delta^2\text{H}_p$ in East Africa. These observations probably also
379 hold for other mountainous regions across the world and should therefore be taken into
380 consideration in regional scale (paleo) elevation studies. The application of $\delta^2\text{H}_{\text{wax}}$ for
381 (paleo) elevation reconstructions must therefore be limited to the mountain scale and
382 should always be accompanied by detailed surveys of the environmental setting of the
383 study site.

384

385 4.2. East African regional br GDGT calibration

386 Linear altitudinal gradients of measured mean annual air temperatures (MAAT)
387 were observed along all the investigated East African mountains: 0.56 °C/100 m in Mt.
388 Kilimanjaro (Tanzania, Sinninghe Damsté et al., 2008),, 0.53 °C/100 m in Mt. Rwenzori
389 (Uganda, Loomis et al., 2011), 0.73 °C/100 m in Mt. Rungwe (Tanzania, Coffinet et al.,
390 2014, Williamson et al., 2014) and 0.63 °C/100 m in Mt. Kenya (Kenya, Camberlin et al.,
391 2014; Kenya Meteorological Department, 1984; Kenya Meteorological Department;
392 Smith, 1993). The goal of this study was to investigate whether it is possible to establish
393 a regional East African framework for the use of soil derived-br GDGTs as a paleo-
394 thermometer. Thus, the measured MAAT data from all the local East African transects
395 mentioned above were combined to calculate a regional temperature lapse rate (Fig. 4).
396 This rate, determined as 0.65 °C/100 m, with 97% of the MAAT variance being

397 explained by altitudinal variations (Fig. 4), is similar to the one commonly used in low
398 precision studies (Rolland, 2003; Grab, 2013 and references therein) and will allow us to
399 assess the effect of temperature changes on br GDGT distribution at the regional scale.

400 In addition to Mts. Kenya and Rungwe investigated in the present study, br
401 GDGT distribution was previously investigated along two additional East African
402 mountains, Mt. Rwenzori (Uganda; Loomis et al., 2011) and Mt. Kilimanjaro (Tanzania,
403 Sinninghe Damsté et al., 2008). Br GDGT-derived MAATs were estimated using the
404 global soil calibration (Peterse et al., 2012; Weijers et al., 2007) and were found to
405 successfully record the decrease in MAAT with altitude along the slopes of these two
406 previously studied mountains. However, the soils sampled at the highest elevations in Mt.
407 Rwenzori exhibited a significant cold bias (Loomis et al., 2011). The authors suggested
408 that the water saturation of these soils may additionally impact the br GDGT distribution.
409 An impact of extreme soil water content (either aridity or saturation) on br GDGT
410 distribution and corresponding temperature estimates has indeed been reported in several
411 publications (e.g. Huguet et al., 2010; Dirghangi et al., 2013; Menges et al. 2014; Dang et
412 al., 2016).

413 Br GDGT-derived MAATs calculated using the soil calibration by Peterse et al.
414 (2012) were also shown to decrease linearly with altitude along Mts. Rungwe and Kenya
415 ($R^2 = 0.79$ and 0.66 respectively; figures not shown). Combined together, these four
416 studies highlight the robustness of br GDGTs as a paleo-temperature proxy, at least for
417 East Africa (MAAT lapse-rate = 0.4 °C/100 m, $R^2 = 0.63$; Fig. 5C). Despite this positive
418 assessment, the application of the global soil calibration still leads to a substantial

419 uncertainty in temperature reconstruction (Root Mean Square Error (RMSE) = 4.2 °C,
420 Peterse et al., 2012; $R^2 = 0.56$, Fig. 5A).

421 Combination of the new and previously published (Sinninghe Damsté et al., 2008;
422 Loomis et al., 2011; Coffinet et al., 2014) data from East Africa, leads to a br GDGT
423 dataset from in total 105 soils. Because of the potential additional impact of water
424 saturation on brGDGT distribution and thus associated temperature estimates, the high
425 elevation samples from Loomis et al. (2011) were not used in this dataset. Based on this
426 integrated sample set, a least square multiple linear regression was performed between br
427 GDGT distribution (MBT' and CBT indices) and the available MAAT for the four sites
428 (Mt. Kenya, Rwenzori, Kilimanjaro and Rungwe). The following equation was obtained:

$$429 \text{ MAAT} = -8.76 \times \text{CBT} + 24.24 \times \text{MBT}' + 9.60 \quad (R^2 = 0.77, \text{RMSE} = 2.4 \text{ } ^\circ\text{C}) \quad (4)$$

430 This regional soil calibration (Fig. 5B) strongly improves both the R^2 and RMSE
431 of br GDGT-reconstructed MAAT over the global soil calibration derived from globally
432 distributed soils (Fig. 5A, Peterse et al., 2012). The new East African calibration
433 produces robust quantitative temperatures from br GDGT distributions in soils as it takes
434 into account the regional specificities in soil water content, soil type, biome type, all of
435 which that could potentially impact br GDGT abundance and distribution (e.g. Dirghangi
436 et al., 2013; Menges et al., 2014). This highlights the necessity for regional
437 determinations of the relationship between temperature and br GDGT distribution in
438 order to improve past-temperature estimates. But it also points to the question of defining
439 coherent regions over the globe with a relevant scale.

440 The East African soil calibration developed in this study was applied to the
441 MAAT reconstruction in modern soils along all four altitudinal transects (Fig. 5D). The

442 resultant br GDGT-derived MAAT lapse rate ($0.52\text{ }^{\circ}\text{C}/100\text{ m}$; Fig. 5D) is closer to the
443 measured one ($0.65\text{ }^{\circ}\text{C}/100\text{ m}$; Fig. 5D) than when using the global soil calibration (0.41
444 $^{\circ}\text{C}/100\text{ m}$; 5C), but still underestimates the actual lapse rate by ca. 20 %. This points to
445 the unsuitability of using br GDGTs alone to reconstruct past elevation changes.
446 Independently of that, the correlation between MAAT estimates and altitude is higher
447 when using the new regional soil calibration ($R^2 = 0.80$; Fig. 5D) than the global one (R^2
448 $= 0.63$; Fig. 5C), and closer to the correlation found between instrumental MAAT and
449 altitude ($R^2 = 0.97$; Fig. 4).. Therefore, the consistency and increase in quality of this new
450 regional calibration for temperature reconstruction calls for its wider application in
451 paleosol-based paleo-climatic reconstructions in East Africa. So far, in this region, br
452 GDGT-derived proxies have only been used in lacustrine sedimentary records (e.g.
453 Tierney et al., 2010; Sinninghe Damsté et al., 2012). This regional but valuable climatic
454 information can also be deduced from paleosol sequences (e.g. Hatté et al., 2001; Gocke
455 et al., 2014), which are abundant in East Africa due to the high rate of volcanic activity
456 (e.g. in the Rungwe volcanic province, Fontijn et al., 2010). First applications of br
457 GDGTs in such soil settings from across the world have revealed promising results (e.g.
458 Peterse et al., 2011; Zech et al., 2012).

459

460 **5. Conclusions**

461

462 $\delta^2\text{H}_{\text{wax}}$ and br GDGT distributions were determined in 60 surface soils collected
463 along altitudinal transects of two East African mountains (Mt. Rungwe in Tanzania and
464 Mt. Kenya in Kenya). The obtained values were combined with data from altitudinal
465 gradients of previous studies in the region to assess the applicability of integrated $\delta^2\text{H}_{\text{wax}}$

466 and br GDGTs data sets as coupled paleo-topography and paleo-temperature proxies,
467 respectively, in East Africa. Variations in $\delta^2\text{H}_{\text{wax}}$ do not systematically document
468 altitudinal changes, with only one out of the three investigated mountains showing a
469 positive relationship – even though the altitude effect was present in the $\delta^2\text{H}$ of
470 precipitation. At the regional (East African) scale, coupling of estimated and observed
471 $\delta^2\text{H}_p$ data highlights their spatial heterogeneity. The use of $\delta^2\text{H}_{\text{wax}}$ as a paleo-elevation
472 proxy must therefore be restricted to site (mountain)-specific studies. In contrast, br
473 GDGT-derived MAAT reliably track measured MAAT gradients along the four studied
474 mountains (Mts Rungwe, Kenya, Kilimanjaro and Rwenzori). The large dataset of both
475 measured and br GDGT-derived MAAT in the study area enabled to establish a new
476 regional East African soil calibration between br GDGT distribution and MAAT. This
477 new calibration improves the accuracy of MAAT reconstruction for the studied region, in
478 comparison to other global soil calibrations, and highlights the potential of br GDGTs as
479 paleo-thermometers in recent and ancient East African soils.

480

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493

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680 the Southern Alps, NZ: Implications for paleoclimatology and paleoaltimetry.
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682

Figure captions

683 **Fig. 1.** Map of East Africa. The different sites mentioned in this study are represented
684 with a star. A zoom-in is made for Mt. Kenya and Mt. Rungwe, the 2 sites specifically
685 investigated in this study, and altitudinal profiles of the corresponding transects are
686 shown.

687

688 **Fig. 2.** $\delta^2\text{H}_p$ estimations from the OIPC (the Online Isotopes in Precipitation Calculator;
689 Bowen, 2016; Bowen and Revenaugh, 2003) along Mt. Rungwe, Tanzania (red segment),
690 Mt. Kenya, Kenya (green segment) and Mt. Kilimanjaro, Tanzania (blue segment)
691 together with on field $\delta^2\text{H}_p$ measurements along Mt. Kilimanjaro (blue circles; Zech et
692 al., 2015) and Mt. Rungwe (red diamonds; Nivet et al. 2015). Note that the delimitations
693 of each segment corresponds to the altitudinal range of the sample set investigated in the
694 present study for each mount.

695

696 **Fig. 3.** $\delta^2\text{H}_{\text{wax}}$ values (A) along the altitudinal transects at Mt. Kenya, Kenya and (B)
697 along the altitudinal transect at Mt Rungwe, Tanzania.

698

699 **Fig. 4.** Measured mean annual air temperature (MAAT) along altitudinal gradients in
700 East Africa: Mt Rungwe (red diamonds; Coffinet et al., 2014); Mt. Kenya (green
701 triangles; Camberlin et al., 2014), Mt. Rwenzori (purple squares; Loomis et al., 2011),
702 Mt. Kilimanjaro (blue circles; Sinninghe Damsté et al., 2008)

703

704 **Fig. 5.** Comparison of the accuracy and precision of (A) the global soil MBT'/CBT
705 calibration (Peterse et al., 2012) and (B) the new East African regional MBT'/CBT
706 calibration developed in this study, the 1:1 line being represented in dashed grey as a
707 reference. Application of these 2 calibrations to reconstruct br GDGT-derived MAAT
708 variation with altitude in East Africa: (C) the global soil calibration (Peterse et al., 2012),
709 (D) the new East African soil calibration where the black solid line is the derived linear
710 regression obtained from br GDGT-derived MAAT and the dashed black line is the
711 measured MAAT altitudinal gradient (as obtained in Fig. 3). Colours correspond to each
712 mountain of the region: Mt Rungwe (red diamonds; Mt. Rungwe, this study and Coffinet
713 et al., 2014); Mt. Kenya (green triangles; this study), Mt. Rwenzori (purple squares;
714 Loomis et al., 2011), Mt. Kilimanjaro (blue circles; Sinninghe Damsté et al., 2008);

715

716

717 **Table 1.** Weighted average $\delta^2\text{H}$ of C_{27} , C_{29} and C_{31} *n*-alkanes (denoted $\delta^2\text{H}_{\text{wax}}$) together
718 with br GDGT-derived mean annual air temperature (MAAT) from Peterse et al. (2012)
719 calibration and from the regional calibration developed in this study.

720

721

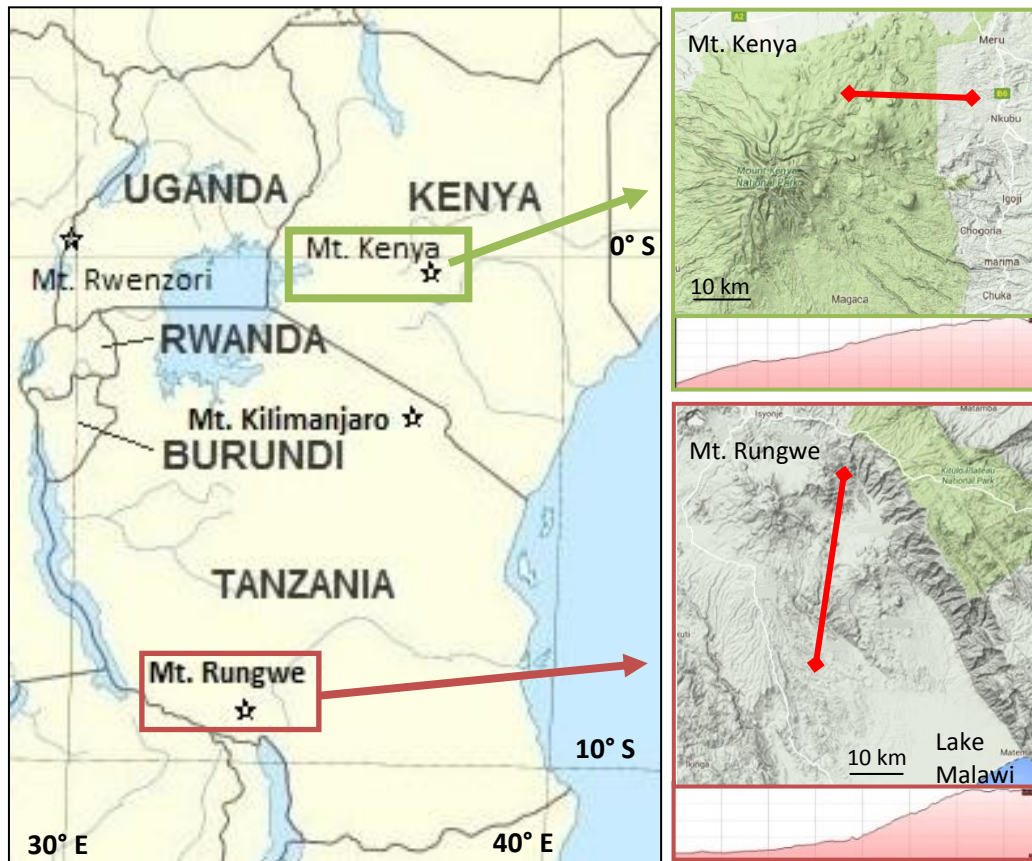


Figure 1.

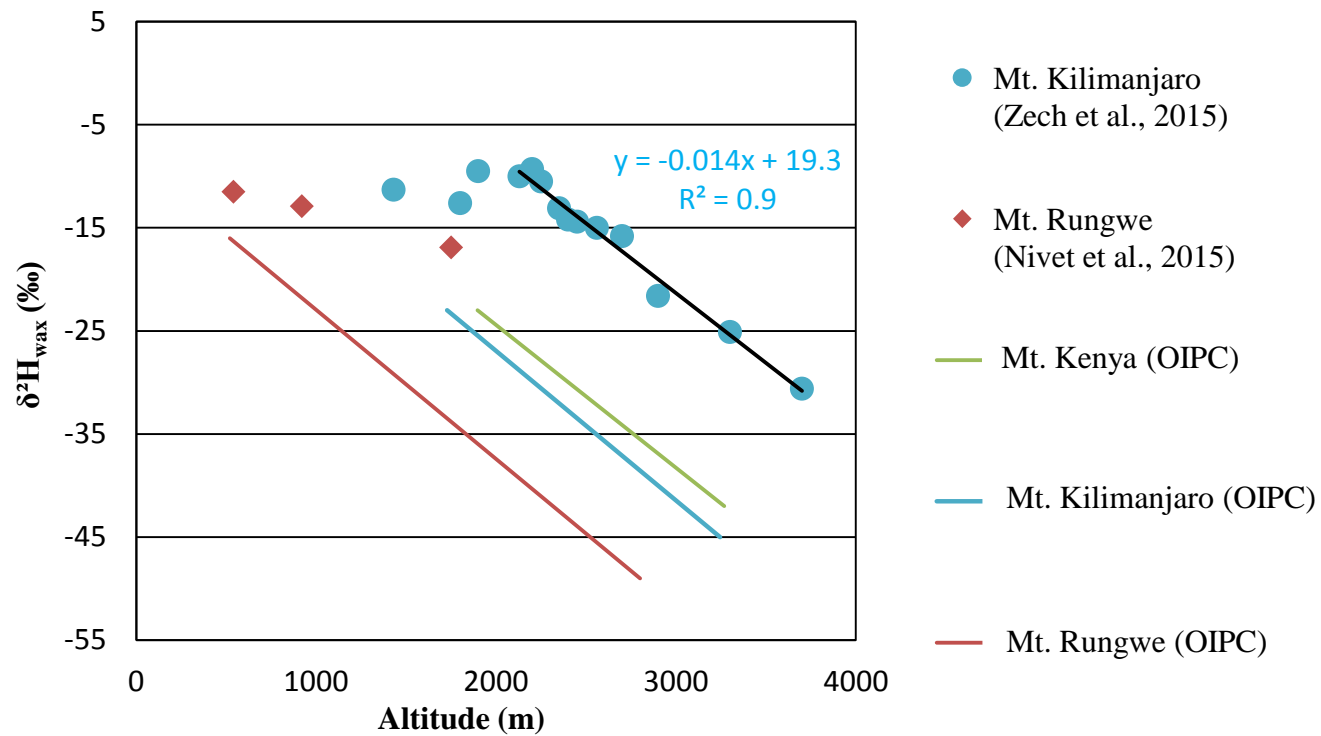


Figure 2.

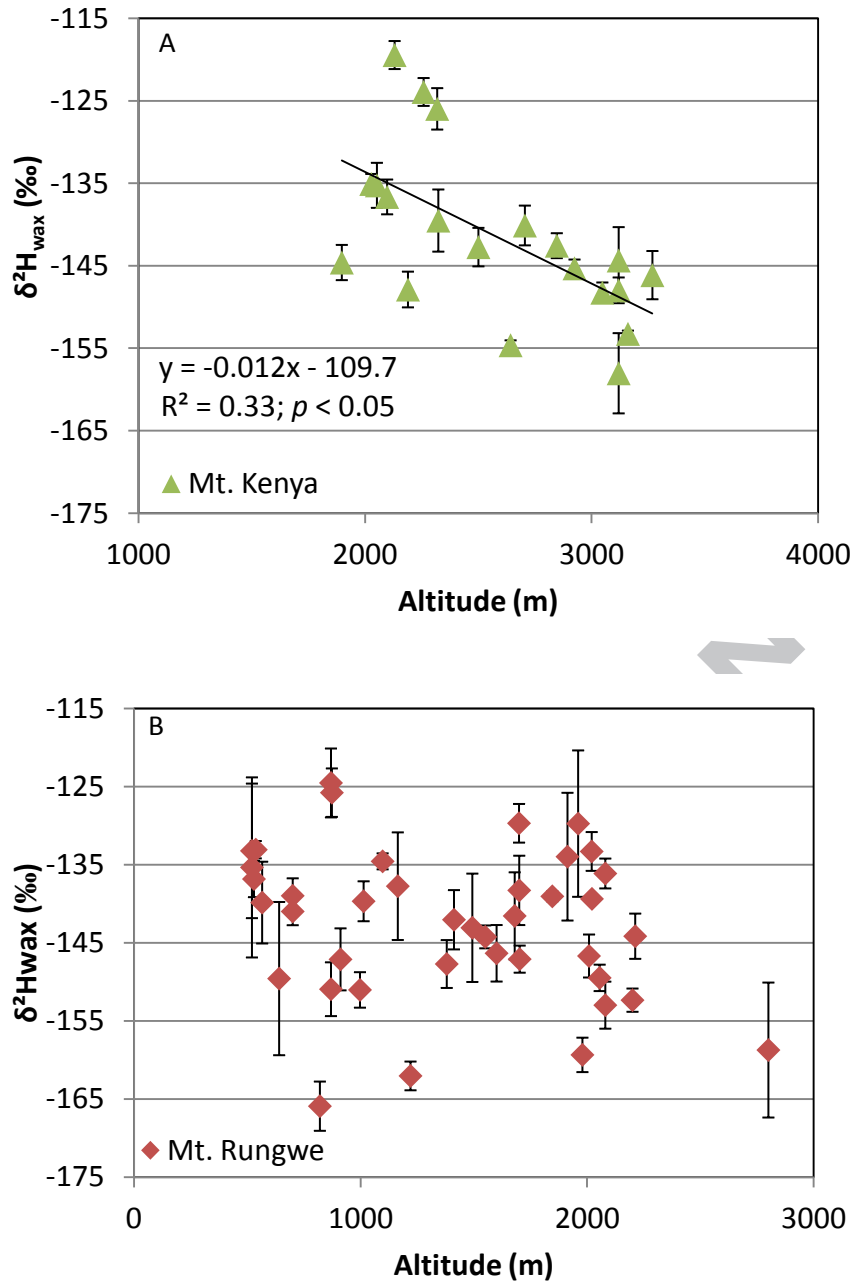


Figure 3.

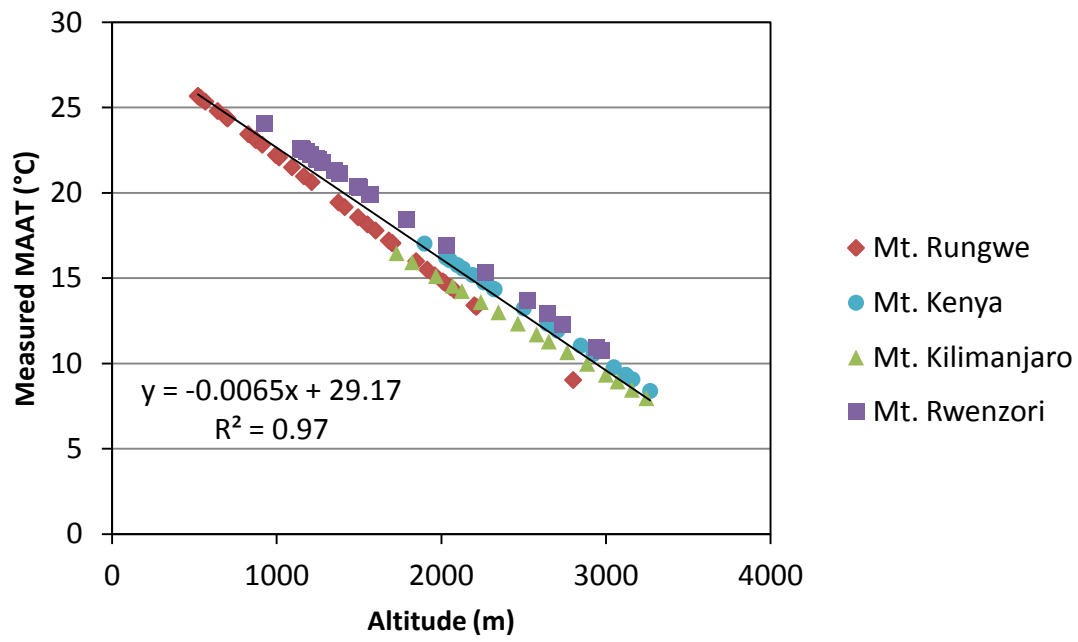


Figure 4.

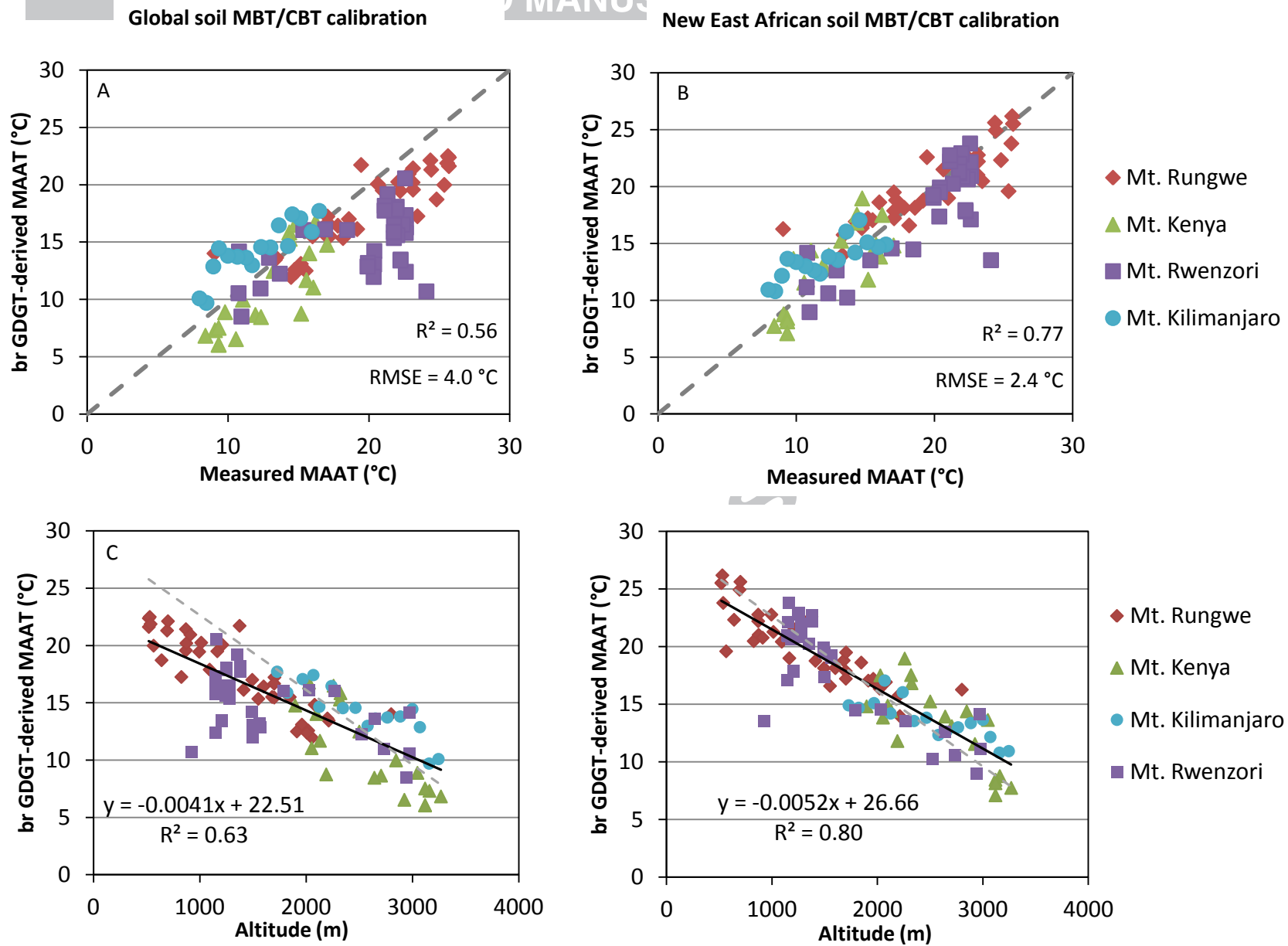


Figure 5.

722

723 **Table 1.**

724

camp.	n°	altitude (m.a.s.l.)	MAAT (Peterse et al.. 2012)	MAAT (this study)	$\delta^2\text{H}_{\text{wax}}^a$ (‰)	camp.	n°	altitude (m.a.s.l.)	MAAT (Peterse et al.. 2012)	MAAT (this study)	$\delta^2\text{H}_{\text{wax}}$ (‰)	camp.	n°	altitude (m.a.s.l.)	MAAT (Peterse et al.. 2012)	MAAT (this study)	$\delta^2\text{H}_{\text{wax}}$ (‰)
Mt. Rungwe (2012)	1	520	21.6	25.9	-133±9	Mt. Rungwe (2014)	22	537	21.9	24.5	-133±1	Mt. Kenya (2013)	41	3160	10.0	9.6	-153±0
	2	520	22.4	26.0	-135±12		23	565	20.0	20.8	-140±5		42	3119	10.2	9.4	-148±2
	3	529	22.5	26.6	-137±2		24	700	22.1	26.1	-141±2		43	3119	10.2	8.9	-158±5
	4	640	18.7	22.8	-150±10		25	873	20.2	22.0	-126±3		44	3119	10.2	8.1	-144±4
	5	700	21.3	25.4	-139±2		26	911	20.9	21.9	-147±4		45	3268	9.3	8.7	-146±3
	6	820	17.3	21.0	-166±3		27	1013	20.2	22.2	-140±3		46	3047	10.3	13.9	-148±1
	7	869	19.6	22.8	-151±3		28	1164	19.5	20.2	-138±7		47	2924	11.7	11.8	-145±1
	8	869	21.4	23.6	-125±4		29	1412	16.1	19.4	-142±4		48	2846	11.9	14.7	-143±2
	9	997	19.5	23.3	-151±2		30	1493	17.0	19.0	-143±7		49	2705	13.0	13.4	-140±2
	10	1097	17.9	21.1	-135±1		31	1600	16.4	18.9	-146±4		50	2642	13.2	14.1	-155±1
	11	1220	20.1	22.3	-162±2		32	1699	16.7	18.8	-130±2		51	2500	13.8	15.9	-143±2
	12	1380	21.7	23.5	-148±3		33	1700	15.5	18.0	-138±4		52	2323	14.7	17.8	-140±4
	13	1550	15.4	17.5	-144±1		34	1913	12.5	17.3	-134±8		53	2189	15.5	12.4	-148±2
	14	1680	15.5	19.3	-142±6		35	1960	13.1	17.6	-130±9		54	2097	16.2	15.8	-137±2
	15	1702	17.2	20.2	-147±2		36	2008	12.4	17.0	-147±3		55	2052	16.4	14.5	-135±3
	16	1846	15.5	19.2	-139±1		37	2212	13.5	15.0	-144±3		56	1897	16.9	15.9	-145±2
	17	2020	12.8	16.8	-133±2		38	2080	n.a. ^b	n.a.	-136±2		57	2027	16.4	18.5	-135±1
	18	2055	12.2	17.1	-149±2		39	2021	n. a.	n.a.	-139±0		58	2130	15.8	15.0	-119±2
	19	2080	14.8	17.7	-153±1		40	1979	n.a.	n.a.	-159±2		59	2258	15.1	19.6	-124±2
	20	2200	13.6	16.5	-152±1								60	2318	14.9	18.2	-126±3
	21	2800	14.0	17.0	-159±9												

725 ^athe analytical error of at least duplicate measurements is given726 ^bnot analysed for GDGT content.

727