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Bench Evaluation of Four Portable Oxygen Concentrators Under Different Conditions Representing Altitudes of 2438, 4200, and 8000 m

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1 Bench evaluation of four portable oxygen concentrators under different conditions
2 representing altitudes of 2,438, 4,200 and 8,000 meters.
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28 **Abstract**

29 Air travel is responsible for a reduction of the partial pressure of oxygen (O₂) as a result of the
30 decreased barometric pressure. This hypobaric hypoxia can be dangerous for passengers with
31 respiratory diseases, requiring initiation or intensification of oxygen therapy during the flight.
32 In-flight oxygen therapy can be provided by portable oxygen concentrators, which are less
33 expensive and more practical than oxygen cylinders, but no study has evaluated their capacity
34 to concentrate oxygen under simulated flight conditions.

35 We tested four portable oxygen concentrators during a bench test study. The O₂
36 concentrations (FO₂) produced were measured under three different conditions: in room air at
37 sea level, under hypoxia due to a reduction of the partial pressure of O₂ (normobaric hypoxia,
38 which can be performed routinely) and under hypoxia due to a reduction of atmospheric
39 pressure (hypobaric hypoxia, using a chamber manufactured by *Airbus Defence and Space*).

40 The FO₂ obtained under conditions of hypobaric hypoxia (chamber) was lower than that
41 measured in room air (0.92 [0.89-0.92] versus 0.93 [0.92-0.94], p = 0.029), but only one
42 portable oxygen concentrator was unable to maintain an FO₂ ≥ 0.90 (0.89 [0.89-0.89]). In
43 contrast, under conditions of normobaric hypoxia (tent) simulating an altitude of 2,438 m,
44 none of the apparatuses tested was able to achieve an FO₂ greater than 0.76. (0.75 [0.75-0.76]
45 versus 0.93 [0.92-0.94], p = 0.029).

46 Almost all portable oxygen concentrators were able to generate a sufficient quantity of O₂ at
47 simulated altitudes of 2,438 m and can therefore be used in the aircraft cabin. Unfortunately,
48 verification of the reliability and efficacy of these devices in a patient would require a non-
49 routinely available technology and no pre-flight test can currently be performed by using
50 simple techniques such as hypobaric hypoxia.

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53 **Keywords:**

54 - Equipment evaluation

55 - Chronic respiratory failure

56 - Ambulatory oxygen therapy

57 - Hypoxic challenge test

58 - Portable oxygen concentrator

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77 **INTRODUCTION**

78 The minimum authorized pressure on commercial aircraft simulates an altitude of 8,000 feet
79 (2,438 meters) for passengers. At this altitude, atmospheric pressure is decreased by about
80 25% compared to sea level, resulting in hypobaric hypoxia: the partial pressure of oxygen in
81 inspired air corresponds to that observed on the ground during inhalation of a gas mixture
82 containing 15% oxygen (Josephs et al., 2013). Although this hypobaric hypoxia has no
83 consequences for passengers without respiratory diseases, it can be harmful in passengers
84 with chronic respiratory diseases, who may require temporary oxygen therapy or more
85 intensive continuous oxygen therapy (Ahmedzai et al., 2011).

86 Portable oxygen (O₂) concentrators are now approved by the Federal Aviation Administration
87 (FAA) and consequently by all airlines (International Air Transport Association, 2015). They
88 are increasingly used due to their considerable advantages in terms of cost, simplicity and
89 safety compared to the oxygen cylinders conventionally provided by airline companies.
90 Portable oxygen concentrators comprise a zeolite sieve, which binds nitrogen allowing the
91 production of O₂ according to a continuous mode or a pulsed mode (triggered by breathing,
92 less energy-consuming). To our knowledge, only one study has tested the capacity of these
93 apparatuses under hypoxic conditions, but under alpine conditions in COPD patients (Fischer
94 et al., 2013). These apparatuses have never been evaluated on a test bench simulating hypoxia
95 in an aircraft cabin. An hypoxic atmosphere is difficult, expensive and tedious to reproduce
96 and often requires the assistance of military scientists (Dillard et al., 1995; Naughton et al.,
97 1995). To address this issue, we verified whether portable oxygen concentrators were still
98 able to generate O₂ in an hypoxic atmosphere and then studied the possibility of testing these
99 devices by means of a simpler hypoxia test. To answer these questions, we tested the oxygen
100 concentrating capacities of four FAA-approved portable oxygen concentrators (Federal
101 Aviation Administration, 2016) in the laboratory under 2 different conditions of simulated

102 hypoxia: normobaric hypoxia and, more simply, hypobaric hypoxia, ((Dine and Kreider,
103 2008; Edvardsen et al., 2012; Kelly et al., 2008), as this method has been shown to be
104 equivalent to a hypobaric hypoxia test (Dillard et al., 1995; Dine and Kreider, 2008). These
105 two hypoxia conditions simulate different altitudes: 2,438 m (the lowest pressure authorized
106 in an aircraft cabin), and, by curiosity, we also tested a simulated altitude of 4,200 m (the
107 limit for the release of oxygen masks in flight) and 8,000 m (close to the summit of Mount
108 Everest).

109 **METHODS**

110 We conducted a bench test study on four FAA-approved portable oxygen concentrators:
111 SimplyGo (Philips Respironics Inc., Murrysville, PA, USA), Eclipse 3 (Chart Sequal
112 Technologies Inc., Ball Ground, GA, USA), Solo2 (Invacare Corporation, Elyria, OH, USA),
113 iGo (deVilbiss Healthcare Inc., Somerset, PA, USA).

114 The normobaric hypoxic test was performed with an hypoxic generator (decreasing O₂ and
115 increasing nitrogen content) connected to an airtight tent (HYPOXICO Inc., Jalhay, Belgium).

116 The hypobaric hypoxic test was performed with an altitude chamber specifically designed in
117 order to test a portable oxygen concentrator, in collaboration with *Airbus Defence and Space*,
118 based on the principle of generating low pressure in the chamber by means of a rotary vane
119 pump and piloting the chamber with air renewal via a calibrated valve (**Figure 1**). The
120 targeted pressure, measured by an absolute pressure transducer, was 753 mbar (equivalent to
121 the atmospheric pressure at an altitude of 2,438 m. This set-up was also used to perform tests
122 at 450 mbar (atmospheric pressure at 4,214 m) and 356 mbar (atmospheric pressure at 8,000
123 m). An airtight outlet tube from the chamber was used to reliably measure the O₂
124 concentration (FO₂), (MaxO₂+, MAXTEC Inc., Utah, USA). A special oxygen monitor that
125 can be used at low atmospheric pressure (Tetra 3, Crowcon Ltd, Abingdon, UK) was used to
126 ensure that the FO₂ inside the chamber remained stable at 0.209.

127 Each portable oxygen concentrator was tested first in room air (Airbus Defence and space
128 laboratory, altitude: 28 m, atmospheric pressure: about 1000 mbar) and then under conditions
129 of normobaric hypoxia (tent) and hypobaric hypoxia (chamber). Measurements were
130 performed on the same day to limit variations in temperature, relative humidity and
131 atmospheric pressure that could influence the measurement. For each condition, we calculated
132 the median of 30 FO₂ measurements performed over 15 minutes in order to assess the stability
133 of FO₂. Each portable oxygen concentrator was used in continuous mode, because the pulsed
134 mode did not allow reliable measurement of FO₂, and at the possible maximum flow rate, in
135 order to simulate the most unfavorable situation for these apparatuses corresponding to a
136 worst-case scenario. All 3 concentrators were therefore tested at 3 l/min, and one concentrator
137 (SimplyGo) was tested at 2 l/min.

138 Due to the non-normal distribution of the data, the results were expressed as median [q1-q3]
139 and differences between conditions were tested by a Mann-Whitney test.

140 **RESULTS**

141 Under conditions of hypobaric hypoxia (chamber), the FO₂ obtained was lower than that
142 measured in room air (0.92 [0.89-0.92] versus 0.93 [0.92-0.94], p = 0.029), but one of the four
143 apparatuses was unable to achieve an FO₂ ≥ 0.90 (0.89 [0.89-0.89]) (**Table 1**). At simulated
144 altitudes of 4,200 m and 8,000 m in the altitude chamber, none of the apparatuses was able to
145 maintain an FO₂ ≥ 0.9, but three portable oxygen concentrators were still able to concentrate
146 O₂ to achieve an FO₂ of 0.88 [0.88-0.90] (p = 0.0498, n = 3) at a simulated altitude of 4,200 m
147 and one portable oxygen concentrators achieved an FO₂ of 0.83 [0.73-0.84] at 8,000 m
148 (**Figure 2**). In contrast, under conditions of normobaric hypoxia (tent) simulating an altitude
149 of 2,438 m, none of the apparatuses tested was able to achieve an FO₂ greater than 0.76.
150 Overall, FO₂ was 0.17 lower than that measured in room air (0.75 [0.75-0.76] versus 0.93

151 [0.92-0.94], $p = 0.029$). As indicated by the interquartile range, FO_2 measurements remained
152 stable over the 15-minute test period regardless of the condition tested.

153 **DISCUSSION**

154 Measurements performed in an altitude chamber showed that the majority of portable oxygen
155 concentrators tested achieved lower but satisfactory FO_2 under hypobaric hypoxia equivalent
156 to the minimum pressure authorized in an aircraft cabin.

157 Our study confirms the results of a previous study conducted in an alpine environment that
158 demonstrated the capacity of portable oxygen concentrators to produce FO_2 greater than 0.94
159 at altitudes of up to 3,250 m (Fischer et al., 2013). Our simulator showed that O_2 production
160 was still possible at 4,000 m and 8,000 m with some portable oxygen concentrators, which
161 could be useful in contexts such as alpine rescues or hot-air balloons. Portable oxygen
162 concentrators are effectively able to concentrate O_2 even under conditions of hypobaric
163 hypoxia, as all 4 apparatus tested comprise an air compressor before the air enters the zeolite
164 cylinders.

165 However, under simulated conditions of hypobaric hypoxia, the performance of the portable
166 oxygen concentrators was lower than that previously reported (Fischer et al., 2013) and one of
167 the portable oxygen concentrators was unable to generate an FO_2 greater than the
168 recommended 0.90 to be classified as an “oxygen concentrator” (ISO 80601-2-69:2014).
169 These discordant results could be due to the fact that our simulation more closely resembled
170 the conditions of air travel than those of previously published tests or that this new generation
171 of portable oxygen concentrators is less efficient than those previously tested (Fischer et al.,
172 2013). The fact that none of the oxygen concentrators was able to generate an FO_2 greater
173 than 0.94 at sea level (**Table 1**) tends to suggest the decreased performance of this new
174 generation of portable oxygen concentrators, possibly related to miniaturization. However, all
175 of the apparatuses tested were FAA-approved (Federal Aviation Administration, 2016). It

176 should be noted that FAA approval does not comprise any recommendation to test the FO₂
177 under in-flight conditions, although such testing is implied as portable oxygen concentrators
178 are defined as “*small, portable devices that work by separating oxygen from nitrogen and*
179 *other gasses in the air and providing the user with oxygen at a concentration of more than 90*
180 *percent*” (US Department of Transportation - Federal Aviation Administration, 2016).

181 Consequently, in order to reassure users, the capacity of a portable oxygen concentrator to
182 concentrate O₂ under the hypoxic conditions of altitude should be tested prior to authorization
183 of the use of the device in the aircraft cabin, even when FAA approval has been obtained.
184 Unfortunately, the present study shows that testing under conditions of hypobaric hypoxia
185 would require excessively complex technology (compressor, resistant chamber, adapted
186 transducers) and we had to seek the assistance of space and military research (*Airbus Defence*
187 *and Space*), as in other countries (Dillard et al., 1995; Naughton et al., 1995). In view of these
188 constraints, we tried to validate a simpler test, such as the normobaric hypoxia test, which can
189 be performed routinely or even with a patient, but, unfortunately, this test provided inaccurate
190 measurements. The inability of portable oxygen concentrators to achieve satisfactory FO₂ in
191 the tent could be due to an excessively high nitrogen concentration in the gas mixture used, as
192 functioning of portable oxygen concentrators is based on the principle of rapid pressure-
193 modulated adsorption of nitrogen on a zeolite molecular sieve, the capacity of which may be
194 insufficient under the conditions tested here.

195 Verification of the efficacy of the device and/or titration of the O₂ flow rate before a flight
196 therefore cannot be performed by an hypoxia test with the currently available portable oxygen
197 concentrators, which raises an additional doubt concerning the value of pre-flight hypoxia
198 tests (Howard, 2013; Naeije, 2000), as recommended and performed at the present time
199 (Ahmedzai et al., 2011). We know that titrating supplemental oxygen during a hypoxia
200 challenge test is uncertain due to accumulation of O₂ under the face mask (Akerø et al.,

201 2011). We also know that the HCT is good to predict in-flight PaO₂, but not in-
202 flight symptoms (Edwardsen et al., 2013). Therefore, the recommendation to give 2 l/min of
203 supplemental oxygen in-flight is in most cases could be the only practical choice.

204 These results place the physician in a difficult situation, as IATA international requirements
205 (International Air Transport Association, 2015) specify that “*the passenger has talked with*
206 *his/her physician regarding fitness to fly and the requirement that an individual who wishes*
207 *to use a portable oxygen concentrator provide a written statement signed by a licensed*
208 *physician that verifies that: The passenger is able to operate the device and to respond to any*
209 *alarms. The treating physician has prescribed the oxygen flow rate*”. A potential clinical
210 solution would be to prescribe the highest flow rate of the portable oxygen concentrator and
211 to encourage patients to titrate the necessary flow rate by means of a pulse oximeter during
212 the flight, especially in order to lower the flow rate and prolong the battery life, but this
213 method could be anxiogenic and, most importantly, a pre-flight test cannot formally guarantee
214 the inflight efficacy of the portable oxygen concentrator. Under these conditions and in view
215 of the results obtained with our simulator, manufacturers should be required to provide
216 technical validation of portable oxygen concentrators proposed for air travel under conditions of
217 hypobaric hypoxia, especially by verifying the capacity to produce a FO₂ 90% in flight.

218 In conclusion, our study shows that some but not all portable oxygen concentrators are able to
219 concentrate oxygen under conditions of altitude-related hypoxia and, as this study also
220 demonstrates that flight conditions with a portable oxygen concentrator cannot be easily
221 reproduced on the ground without a disproportionate use of technology, manufacturers should
222 be required to verify the efficacy of the portable oxygen concentrator by means of a hypobaric
223 hypoxia test before proposing their apparatus for use in an aircraft cabin.

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268 **DECLARATIONS:**

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270 Competing interests

271 There is no financial and non-financial competing interests for any authors of this manuscript.

272

273 Authors' contributions

274 Conception and design: VB, AS, FC, SR, CS, CMP, JG

275 Analysis and interpretation: VB, TS, JG

276 Drafting the manuscript for important intellectual content: VB, TS, JG

277

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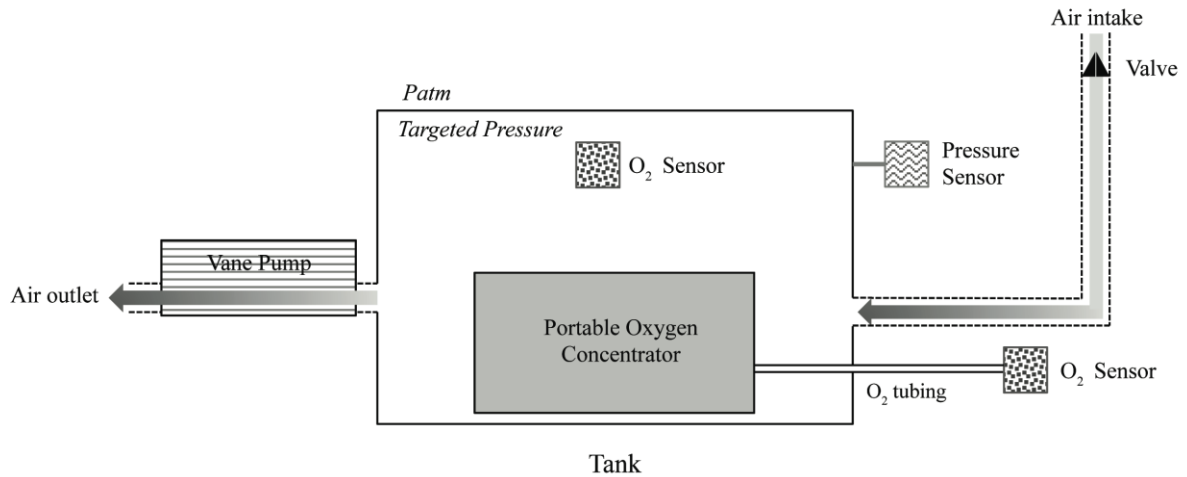
280 Dr Vincent Feuillie, Medical VP, Air France for in-flight recommendations

281 François Thomassin, Afnor, for ISO recommendations

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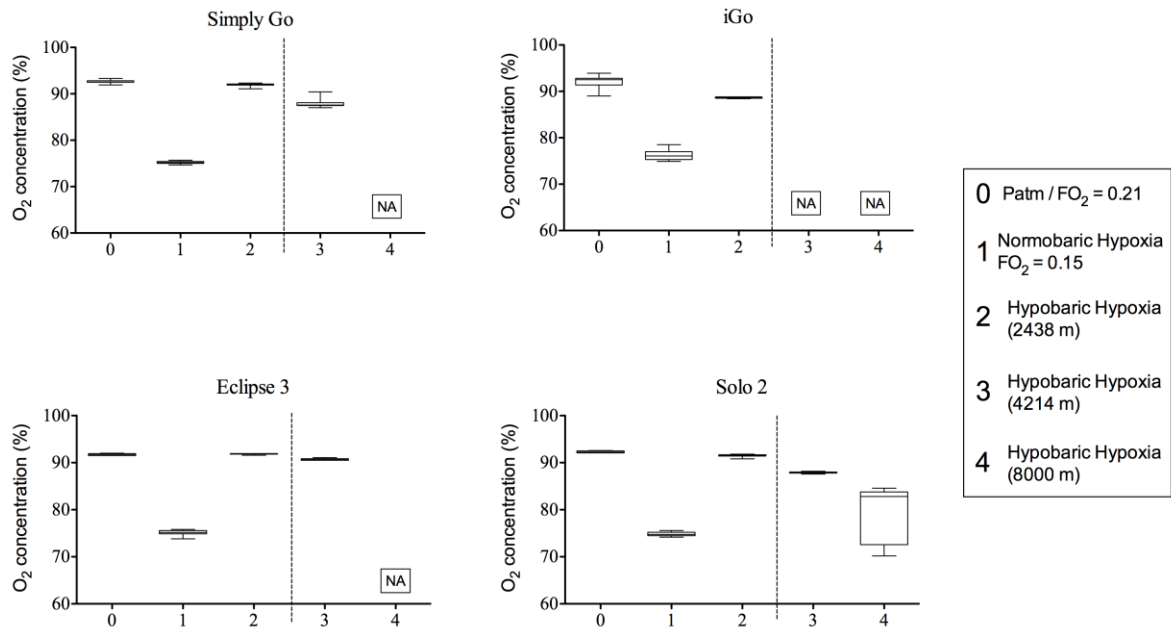
284 **FIGURES**



285

286 **Figure 1: Description of the hypoxic chamber.**

287 Generation of low pressure (targeted pressure) in the chamber by means of a rotary vane
288 pump and piloting the chamber with air renewal via a calibrated valve. The target pressure P ,
289 was measured by an absolute pressure transducer. An airtight outlet tube from the chamber
290 was used to reliably measure the O₂ concentration, (MaxO₂+, MAXTEC Inc., Utah, USA). A
291 special oxygen monitor that can be used at low atmospheric pressure (Tetra 3, Crowcon Ltd,
292 Abingdon, UK) was used to ensure that the FO₂ inside the chamber remained stable at 0.209.
293 Patm: atmospheric pressure.



294

295 **Figure 2: Measurement of the oxygen fraction provided by four portable oxygen**

296 **concentrators under various pressure and ambient FO₂ conditions.** Results expressed

297 with median and interquartile range.

298 **A:** SimplyGo, continuous mode, 2 l/min. **B:** iGo, continuous mode, 3 l/min. **C:** Eclipse3,

299 continuous mode, 3 l/min. **D:** Solo2, continuous mode, 3 l/min.

300 **0:** Measurement outside of the chamber/tent (P = 1.013 mbar, FO₂ = 0.209); **1:** Measurement

301 in the normobaric hypoxic tent (P = 1.013 mbar, FO₂ = 0.15); **2:** Measurement in hypobaric

302 chamber (FO₂ = 0.209) at 753 mbar (8,000 ft/ 2,438 m); **3:** Measurement in hypobaric

303 chamber (FO₂ = 0.209) at 450 mbar (14,000 ft/ 4,214 m); **4:** Measurement in hypobaric

304 chamber (FO₂ = 0.209) at 356 mbar (26,247 ft/ 8,000 m). NA: Not Applicable: inefficacy of

305 the portable oxygen concentrators to provide O₂: measured FO₂ = 0.21.

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312 **Supplemental figure:** Set-ups used for measurements. On the left, a portable oxygen
313 concentrator in an hypoxic tent (hypoxic generator at the back of the room). On the right,
314 portable oxygen concentrator in the altitude chamber.

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	Room Air 28 m	Normobaric hypoxia 2,438 m	p	Hypobaric hypoxia 2,438 m	p	Hypobaric hypoxia 4,214 m	p	Hypobaric hypoxia 8,000 m	p
SimplyGo median [Q1-Q3]	0.92 [0.90-0.93]	0.75 [0.75-0.75]	< 0.001	0.92 [0.92-0.92]	0,583	0.88 [0.87-0.88]	< 0.001	NA	NA
iGo median [Q1-Q3]	0.93 [0.91-0.93]	0.76 [0.75-0.76]	< 0.001	0.89 [0.89-0.89]	< 0.001	NA	NA	NA	NA
Eclipse3 median [Q1-Q3]	0.94 [0.95-0.96]	0.75 [0.75-0.76]	< 0.001	0.92 [0.92-0.92]	< 0.001	0.91 [0.91-0.91]	< 0.001	NA	NA
Solo2 median [Q1-Q3]	0.93 [0.93-0.93]	0.75 [0.74-0.75]	< 0.001	0.92 [0.91-0.92]	< 0.001	0.88 [0.88-0.88]	< 0.001	0.83 [0.73-0.84]	< 0.001
TOTAL median [Q1-Q3]	0.93 [92-94]	0.75 [0.75-0.76]	0,029	0.92 [0.89-0.92]	0,029	0.88 [0.88-0.91]	0,0498	0.83 [0.73-0.84]	NA

322 **Table 1: Median and interquartile range of O₂ concentrations produced by four**
323 **portable oxygen concentrators under the various conditions tested.** N=30 measurements
324 for room air, normobaric hypoxia (2,438 m) and hypobaric hypoxia (2,438 m) conditions;
325 N=10 measurements for hypobaric hypoxia at 4,214 m and 8,000 m conditions. Each hypoxic
326 condition was compared to the reference condition (room air, 28 m) by a Mann-Whitney test.
327 NA: Not applicable (oxygen concentrators no longer generated O₂, identical measurements
328 making comparison impossible).

329