

# Bench Evaluation of Four Portable Oxygen Concentrators Under Different Conditions Representing Altitudes of 2438, 4200, and 8000 m

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1 2 3	Bench evaluation of four portable oxygen concentrators under different conditions representing altitudes of 2,438, 4,200 and 8,000 meters.
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## 28 Abstract

Air travel is responsible for a reduction of the partial pressure of oxygen (O<sub>2</sub>) as a result of the decreased barometric pressure. This hypobaric hypoxia can be dangerous for passengers with respiratory diseases, requiring initiation or intensification of oxygen therapy during the flight. In-flight oxygen therapy can be provided by portable oxygen concentrators, which are less expensive and more practical than oxygen cylinders, but no study has evaluated their capacity to concentrate oxygen under simulated flight conditions.

We tested four portable oxygen concentrators during a bench test study. The  $O_2$ concentrations (FO<sub>2</sub>) produced were measured under three different conditions: in room air at sea level, under hypoxia due to a reduction of the partial pressure of  $O_2$  (normobaric hypoxia, which can be performed routinely) and under hypoxia due to a reduction of atmospheric pressure (hypobaric hypoxia, using a chamber manufactured by *Airbus Defence and Space*).

The FO<sub>2</sub> obtained under conditions of hypobaric hypoxia (chamber) was lower than that measured in room air (0.92 [0.89-0.92] versus 0.93 [0.92-0.94], p = 0.029), but only one portable oxygen concentrator was unable to maintain an FO<sub>2</sub>  $\geq$  0.90 (0.89 [0.89-0.89]). In contrast, under conditions of normobaric hypoxia (tent) simulating an altitude of 2,438 m, none of the apparatuses tested was able to achieve an FO<sub>2</sub> greater than 0.76. (0.75 [0.75-0.76] versus 0.93 [0.92-0.94], p = 0.029).

Almost all portable oxygen concentrators were able to generate a sufficient quantity of  $O_2$  at simulated altitudes of 2,438 m and can therefore be used in the aircraft cabin. Unfortunately, verification of the reliability and efficacy of these devices in a patient would require a nonroutinely available technology and no pre-flight test can currently be performed by using simple techniques such as hypobaric hypoxia.

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Keywords:
- Equipment evaluation
- Chronic respiratory failure
- Ambulatory oxygen therapy
- Hypoxic challenge test
- Portable oxygen concentrator

#### 77 **INTRODUCTION**

78 The minimum authorized pressure on commercial aircraft simulates an altitude of 8,000 feet 79 (2,438 meters) for passengers. At this altitude, atmospheric pressure is decreased by about 80 25% compared to sea level, resulting in hypobaric hypoxia: the partial pressure of oxygen in 81 inspired air corresponds to that observed on the ground during inhalation of a gas mixture 82 containing 15% oxygen (Josephs et al., 2013). Although this hypobaric hypoxia has no 83 consequences for passengers without respiratory diseases, it can be harmful in passengers 84 with chronic respiratory diseases, who may require temporary oxygen therapy or more 85 intensive continuous oxygen therapy (Ahmedzai et al., 2011).

86 Portable oxygen (O<sub>2</sub>) concentrators are now approved by the Federal Aviation Administration 87 (FAA) and consequently by all airlines (International Air Transport Association, 2015). They 88 are increasingly used due to their considerable advantages in terms of cost, simplicity and 89 safety compared to the oxygen cylinders conventionally provided by airline companies. 90 Portable oxygen concentrators comprise a zeolite sieve, which binds nitrogen allowing the 91 production of O<sub>2</sub> according to a continuous mode or a pulsed mode (triggered by breathing, 92 less energy-consuming). To our knowledge, only one study has tested the capacity of these 93 apparatuses under hypoxic conditions, but under alpine conditions in COPD patients (Fischer 94 et al., 2013). These apparatuses have never been evaluated on a test bench simulating hypoxia 95 in an aircraft cabin. An hypoxic atmosphere is difficult, expensive and tedious to reproduce 96 and often requires the assistance of military scientists (Dillard et al., 1995; Naughton et al., 97 1995). To address this issue, we verified whether portable oxygen concentrators were still 98 able to generate  $O_2$  in an hypoxic atmosphere and then studied the possibility of testing these 99 devices by means of a simpler hypoxia test. To answer these questions, we tested the oxygen 100 concentrating capacities of four FAA-approved portable oxygen concentrators (Federal 101 Aviation Administration, 2016) in the laboratory under 2 different conditions of simulated hypoxia: normobaric hypoxia and, more simply, hypobaric hypoxia, ((Dine and Kreider, 2008; Edvardsen et al., 2012; Kelly et al., 2008), as this method has been shown to be equivalent to a hypobaric hypoxia test (Dillard et al., 1995; Dine and Kreider, 2008). These two hypoxia conditions simulate different altitudes: 2,438 m (the lowest pressure authorized in an aircraft cabin), and, by curiosity, we also tested a simulated altitude of 4,200 m (the limit for the release of oxygen masks in flight) and 8,000 m (close to the summit of Mount Everest).

### 109 METHODS

We conducted a bench test study on four FAA-approved portable oxygen concentrators:
SimplyGo (Philips Respironics Inc., Murrysville, PA, USA), Eclipse 3 (Chart Sequal
Technologies Inc., Ball Ground, GA, USA), Solo2 (Invacare Corporation, Elyria, OH, USA),
iGo (deVilbiss Healthcare Inc., Somerset, PA, USA).

114 The normobaric hypoxic test was performed with an hypoxic generator (decreasing  $O_2$  and 115 increasing nitrogen content) connected to an airtight tent (HYPOXICO Inc., Jalhay, Belgium). 116 The hypobaric hypoxic test was performed with an altitude chamber specifically designed in 117 order to test a portable oxygen concentrator, in collaboration with Airbus Defence and Space, 118 based on the principle of generating low pressure in the chamber by means of a rotary vane 119 pump and piloting the chamber with air renewal via a calibrated valve (Figure 1). The 120 targeted pressure, measured by an absolute pressure transducer, was 753 mbar (equivalent to 121 the atmospheric pressure at an altitude of 2,438 m. This set-up was also used to perform tests 122 at 450 mbar (atmospheric pressure at 4,214 m) and 356 mbar (atmospheric pressure at 8,000 123 m). An airtight outlet tube from the chamber was used to reliably measure the  $O_2$ 124 concentration (FO<sub>2</sub>), (MaxO<sub>2</sub>+, MAXTEC Inc., Utah, USA). A special oxygen monitor that 125 can be used at low atmospheric pressure (Tetra 3, Crowcon Ltd, Abingdon, UK) was used to 126 ensure that the  $FO_2$  inside the chamber remained stable at 0.209.

127 Each portable oxygen concentrator was tested first in room air (Airbus Defence and space 128 laboratory, altitude: 28 m, atmospheric pressure: about 1000 mbar) and then under conditions 129 of normobaric hypoxia (tent) and hypobaric hypoxia (chamber). Measurements were 130 performed on the same day to limit variations in temperature, relative humidity and 131 atmospheric pressure that could influence the measurement. For each condition, we calculated 132 the median of 30  $FO_2$  measurements performed over 15 minutes in order to assess the stability 133 of FO<sub>2</sub>. Each portable oxygen concentrator was used in continuous mode, because the pulsed 134 mode did not allow reliable measurement of FO<sub>2</sub>, and at the possible maximum flow rate, in 135 order to simulate the most unfavorable situation for these apparatuses corresponding to a 136 worst-case scenario. All 3 concentrators were therefore tested at 3 l/min, and one concentrator 137 (SimplyGo) was tested at 2 l/min.

Due to the non-normal distribution of the data, the results were expressed as median [q1-q3]and differences between conditions were tested by a Mann-Whitney test.

#### 140 **RESULTS**

141 Under conditions of hypotaric hypoxia (chamber), the FO<sub>2</sub> obtained was lower than that 142 measured in room air (0.92 [0.89-0.92] versus 0.93 [0.92-0.94], p = 0.029), but one of the four 143 apparatuses was unable to achieve an  $FO_2 \ge 0.90$  (0.89 [0.89-0.89]) (Table 1). At simulated 144 altitudes of 4,200 m and 8,000 m in the altitude chamber, none of the apparatuses was able to 145 maintain an FO<sub>2</sub>  $\geq$  0.9, but three portable oxygen concentrators were still able to concentrate 146  $O_2$  to achieve an FO<sub>2</sub> of 0.88 [0.88-0.90] (p = 0.0498, n = 3) at a simulated altitude of 4,200 m 147 and one portable oxygen concentrators achieved an FO<sub>2</sub> of 0.83 [0.73-0.84] at 8,000 m 148 (Figure 2). In contrast, under conditions of normobaric hypoxia (tent) simulating an altitude 149 of 2,438 m, none of the apparatuses tested was able to achieve an  $FO_2$  greater than 0.76. 150 Overall, FO<sub>2</sub> was 0.17 lower than that measured in room air (0.75 [0.75-0.76] versus 0.93 151 [0.92-0.94], p = 0.029). As indicated by the interquartile range, FO<sub>2</sub> measurements remained 152 stable over the 15-minute test period regardless of the condition tested.

#### 153 **DISCUSSION**

Measurements performed in an altitude chamber showed that the majority of portable oxygen concentrators tested achieved lower but satisfactory FO<sub>2</sub> under hypobaric hypoxia equivalent to the minimum pressure authorized in an aircraft cabin.

157 Our study confirms the results of a previous study conducted in an alpine environment that 158 demonstrated the capacity of portable oxygen concentrators to produce FO<sub>2</sub> greater than 0.94 159 at altitudes of up to 3,250 m (Fischer et al., 2013). Our simulator showed that O<sub>2</sub> production 160 was still possible at 4,000 m and 8,000 m with some portable oxygen concentrators, which 161 could be useful in contexts such as alpine rescues or hot-air balloons. Portable oxygen 162 concentrators are effectively able to concentrate O<sub>2</sub> even under conditions of hypobaric 163 hypoxia, as all 4 apparatus tested comprise an air compressor before the air enters the zeolite 164 cylinders.

165 However, under simulated conditions of hypobaric hypoxia, the performance of the portable 166 oxygen concentrators was lower than that previously reported (Fischer et al., 2013) and one of 167 the portable oxygen concentrators was unable to generate an FO<sub>2</sub> greater than the 168 recommended 0.90 to be classified as an "oxygen concentrator" (ISO 80601-2-69:2014). 169 These discordant results could be due to the fact that our simulation more closely resembled 170 the conditions of air travel than those of previously published tests or that this new generation 171 of portable oxygen concentrators is less efficient than those previously tested (Fischer et al., 172 2013). The fact that none of the oxygen concentrators was able to generate an FO<sub>2</sub> greater 173 than 0.94 at sea level (Table 1) tends to suggest the decreased performance of this new 174 generation of portable oxygen concentrators, possibly related to miniaturization. However, all 175 of the apparatuses tested were FAA-approved (Federal Aviation Administration, 2016). It 176 should be noted that FAA approval does not comprise any recommendation to test the FO<sub>2</sub> 177 under in-flight conditions, although such testing is implied as portable oxygen concentrators 178 are defined as "*small, portable devices that work by separating oxygen from nitrogen and* 179 *other gasses in the air and providing the user with oxygen at a concentration of more than 90* 

180 *percent*" (US Department of Transportation - Federal Aviation Administration, 2016).

181 Consequently, in order to reassure users, the capacity of a portable oxygen concentrator to 182 concentrate O<sub>2</sub> under the hypoxic conditions of altitude should be tested prior to authorization 183 of the use of the device in the aircraft cabin, even when FAA approval has been obtained. 184 Unfortunately, the present study shows that testing under conditions of hypobaric hypoxia 185 would require excessively complex technology (compressor, resistant chamber, adapted 186 transducers) and we had to seek the assistance of space and military research (Airbus Defence 187 and Space), as in other countries (Dillard et al., 1995; Naughton et al., 1995). In view of these 188 constraints, we tried to validate a simpler test, such as the normobaric hypoxia test, which can 189 be performed routinely or even with a patient, but, unfortunately, this test provided inaccurate 190 measurements. The inability of portable oxygen concentrators to achieve satisfactory FO<sub>2</sub> in 191 the tent could be due to an excessively high nitrogen concentration in the gas mixture used, as 192 functioning of portable oxygen concentrators is based on the principle of rapid pressure-193 modulated adsorption of nitrogen on a zeolite molecular sieve, the capacity of which may be 194 insufficient under the conditions tested here.

195 Verification of the efficacy of the device and/or titration of the  $O_2$  flow rate before a flight 196 therefore cannot be performed by an hypoxia test with the currently available portable oxygen 197 concentrators, which raises an additional doubt concerning the value of pre-flight hypoxia 198 tests (Howard, 2013; Naeije, 2000), as recommended and performed at the present time 199 (Ahmedzai et al., 2011). We know that titrating supplemental oxygen during a hypoxia 200 challenge test is uncertain due to accumulation of  $O_2$  under the face mask (Akerø et al., 201 2011). We also know that the HCT is good to predict in-flight  $PaO_2$ , but not in-202 flight symptoms (Edvardsen et al., 2013). Therefore, the recommendation to give 2 l/min of 203 supplemental oxygen in-flight is in most cases could be the only practical choice.

204 These results place the physician in a difficult situation, as IATA international requirements 205 (International Air Transport Association, 2015) specify that "the passenger has talked with 206 his/her physician regarding fitness to fly and the requirement that an individual who wishes 207 to use a portable oxygen concentrator provide a written statement signed by a licensed 208 physician that verifies that: The passenger is able to operate the device and to respond to any 209 alarms. The treating physician has prescribed the oxygen flow rate". A potential clinical 210 solution would be to prescribe the highest flow rate of the portable oxygen concentrator and 211 to encourage patients to titrate the necessary flow rate by means of a pulse oximeter during 212 the flight, especially in order to lower the flow rate and prolong the battery life, but this 213 method could be anxiogenic and, most importantly, a pre-flight test cannot formally guarantee 214 the inflight efficacy of the portable oxygen concentrator. Under these conditions and in view 215 of the results obtained with our simulator, manufacturers should be required to provide 216 technical validation of portal oxygen concentrators proposed for air travel under conditions of 217 hypobaric hypoxia, especially by verifying the capacity to produce a FO<sub>2</sub> 90% in flight.

In conclusion, our study shows that some but not all portable oxygen concentrators are able to concentrate oxygen under conditions of altitude-related hypoxia and, as this study also demonstrates that flight conditions with a portable oxygen concentrator cannot be easily reproduced on the ground without a disproportionate use of technology, manufacturers should be required to verify the efficacy of the portable oxygen concentrator by means of a hypobaric hypoxia test before proposing their apparatus for use in an aircraft cabin.

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## 268 **DECLARATIONS:**

269

- 270 <u>Competing interests</u>
- 271 There is no financial and non-financial competing interests for any authors of this manuscript.
- 272
- 273 <u>Authors' contributions</u>
- 274 Conception and design: VB, AS, FC, SR, CS, CMP, JG
- 275 Analysis and interpretation: VB, TS, JG
- 276 Drafting the manuscript for important intellectual content: VB, TS, JG

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283

#### 284 **FIGURES**



#### 285

#### Figure 1: Description of the hypoxic chamber. 286

287 Generation of low pressure (targeted pressure) in the chamber by means of a rotary vane pump and piloting the chamber with air renewal via a calibrated valve. The target pressure P, 288 289 was measured by an absolute pressure transducer. An airtight outlet tube from the chamber 290 was used to reliably measure the O<sub>2</sub> concentration, (MaxO<sub>2</sub>+, MAXTEC Inc., Utah, USA). A 291 special oxygen monitor that can be used at low atmospheric pressure (Tetra 3, Crowcon Ltd, 292 Abingdon, UK) was used to ensure that the FO<sub>2</sub> inside the chamber remained stable at 0.209. 293 Patm: atmospheric pressure.



**Figure 2: Measurement of the oxygen fraction provided by four portable oxygen** 

#### 296 concentrators under various pressure and ambient FO<sub>2</sub> conditions. Results expressed

- 297 with median and interquartile range.
- 298 A: SimplyGo, continuous mode, 2 l/min. B: iGo, continuous mode, 3 l/min. C: Eclipse3,

299 continuous mode, 3 l/min. D: Solo2, continuous mode, 3 l/min.

- 300 **0:** Measurement outside of the chamber/tent (P = 1.013 mbar, FO<sub>2</sub> = 0.209); **1**: Measurement
- 301 in the normobaric hypoxic tent (P = 1.013 mbar, FO<sub>2</sub> = 0.15); **2**: Measurement in hypobaric
- 302 chamber (FO<sub>2</sub> = 0.209) at 753 mbar (8,000 ft/ 2,438 m); 3: Measurement in hypobaric
- 303 chamber (FO<sub>2</sub> = 0.209) at 450 mbar (14,000 ft/ 4,214 m); **4:** Measurement in hypobaric
- 304 chamber (FO<sub>2</sub> = 0.209) at 356 mbar (26,247 ft/ 8,000 m). NA: Not Applicable: inefficacy of
- 305 the portable oxygen concentrators to provide  $O_2$ : measured  $FO_2 = 0.21$ .

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- **Supplemental figure:** Set-ups used for measurements. On the left, a portable oxygen
- 313 concentrator in an hypoxic tent (hypoxic generator at the back of the room). On the right,
- 314 portable oxygen concentrator in the altitude chamber.

	Room Air 28 m	Normobaric hypoxia 2,438 m	р	Hypobaric hypoxia 2,438 m	р	Hypobaric hypoxia 4,214 m	р	Hypobaric hypoxia 8,000 m	р
SimplyGo median [Q1-Q3]	0.92 [0.90-0.93]	0.75 [0.75-0.75]	< 0.001	0.92 [0.92-0.92]	0,583	0.88 [0.87-0.88]	< 0.001	NA	NA
iGo median [Q1-Q3]	0.93 [0.91-0.93]	0.76 [0.75-0.76]	< 0.001	0.89 [0.89-0.89]	< 0.001	NA	NA	NA	NA
Eclipse3 median [Q1-Q3]	0.94 [0.95-0.96]	0.75 [0.75-0.76]	< 0.001	0.92 [0.92-0.92]	< 0.001	0.91 [0.91-0.91]	< 0.001	NA	NA
Solo2 median [Q1-Q3]	0.93 [0.93-0.93]	0.75 [0.74-0.75]	< 0.001	0.92 [0.91-0.92]	< 0.001	0.88 [0.88-0.88]	< 0.001	0.83 [0.73-0.84]	< 0.001
TOTAL median [Q1-Q3]	0.93 [92-94]	0.75 [0.75-0.76]	0,029	0.92 [0.89-0.92]	0,029	0.88 [0.88-0.91]	0,0498	0.83 [0.73-0.84]	NA

Table 1: Median and interquartile range of O<sub>2</sub> concentrations produced by four 323 portable oxygen concentrators under the various conditions tested. N=30 measurements for room air, normobaric hypoxia (2,438 m) and hypobaric hypoxia (2,438 m) conditions; 324 325 N=10 measurements for hypotaric hypoxia at 4,214 m and 8,000 m conditions. Each hypoxic 326 condition was compared to the reference condition (room air, 28 m) by a Mann-Whitney test. 327 NA: Not applicable (oxygen concentrators no longer generated O<sub>2</sub>, identical measurements 328 making comparison impossible).

329