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Characterization of Biomass and its derived chars using ¹³ C-Solid State Nuclear Magnetic Resonance

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Abstract

The role of ¹³C solid state Nuclear Magnetic Resonance (ssNMR) in the elucidation of the structure of biomass and carbonaceous solids derived from biomass has been crucial since the mid-70's, which makes more than 30-years old history. As soon as magic angle spinning was coupled to cross-polarization, ssNMR suddenly became of high use to approach structural resolution in cellulose, lignin, coals and various types of carbonaceous materials, up to the more recent hydrothermal carbons (HTC). This review focuses on the specific contribution that ssNMR has brought to this field and in particular, the technical advances in the field of ssNMR (advanced pulse sequences for spectral editing, more advanced Magic Angle Spinning probes, high-field spectrometers) will be outlined in term of their usefulness for the specific purpose of studying the structure of complex biomass (lignin, cellulose) and their chars obtained either via a pyrolitic or hydrothermal approach.

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1.0 Introduction

The past 50 years have been characterized by an intense research activity in the field of carbon, carbonaceous and coal-like materials, with particular focus on their structure. This task was approached by combining several characterization techniques like infra-red, X-Ray Photoelectron and Raman spectroscopies. However, the interpretation of the results has always shown a certain degree of uncertainty because these materials are particularly heterogeneous from the chemical point of view and especially those characterized by an amorphous structure. [1] Solid-state NMR (ssNMR) has been since decades a relevant technique to study carbon-based materials but its analytical potential was only put in evidence in the past two decades due to recent theoretical and technical developments. Magic Angle Spinning (MAS) and Cross Polarisation (CP), fully developed together since only the 70's to improve spectral resolution and sensitivity, are nowadays routinely employed. However, their employment alone might not be sufficient for the study of some specific materials. A lot of work has been done to perform experiments with a sensitivity, spectral resolution and signal selection and filtration, which were inconceivable not longer than 20 years ago. This concerns: advances in NMR probe engineering (e.g., fast MAS probes, reliable microelectronic circuits within the probes), spectrometers with strong static magnetic field (up 1 GHz), spin manipulation engineering to design more selective pulse sequences (e.g., homonuclear dipolar decoupling, dipolar recoupling schemes). These advances definitely make ssNMR one of the most powerful tools to study the structure of materials in general and of carbonaceous materials, in particular. [2,3,4,5] In the former case, the chemical heterogeneity generates spectra, the analysis and interpretation of which can still represent a great challenge. To solve the structural complexity of carbonaceous materials, one must combine the largest number of tools possible and that are available to the NMR spectroscopist: CP, double quantum single quantum filtering (DQ-SQ), dynamic nuclear polarization (DNP), dipolar dephasing (DD), Jcoupling based filtering like Insensitive Nuclei Enhanced by Polarization Transfer (INEPT), etc...

ssNMR has been applied in the study of carbons since long time and a number of reviews has already been published on this topic. [6,7,8,9,10] However, none of them to the best of our knowledge has specifically focused on the added value that ssNMR, and in particular its technical and methodological improvement in time, has brought to the specific problem of structural resolution of biomass and their derived chars. Most of these works are more than 10 or 20-year old [7,8,9,10] and they mainly concentrate on coal science; [7,8,10] In some cases they discuss only a specific family of coal (e.g., Argonne Premium) [7] or they focus on soil science/geochemistry. [9,11] On the contrary, the most recent work by Freitas *et al.* [6] is mainly focused on developing the NMR methodological

approach for non-experts who want to employ ssNMR in the study of carbons. They also provide a large number of examples that go from the study of diamonds to new forms of carbons but none of them is described in its historical context of structural resolution in parallel with NMR methodological and technical development. Similar approaches have been published by others in the literature. [12] Furthermore, most of these works, including our own contribution,[13] have been published partly or entirely in books or book chapters, [6,7,8,9,10] thus making their accessibility less straightforward.

This review paper aims at showing how ssNMR can be employed to better understand the chemical structure of selected biomass and some of its carbon-based materials, with a particular focus on those obtained by the thermal treatment of biomass. As a first step, we provide a short summary of the principal NMR spectroscopic tools and their usefulness. Later on, we discuss, in a historical perspective, how ssNMR was used in the structural characterization of various families of biomass (wood, lignin, polysaccharides) and their derived chars obtained by their pyrolysis, chemical activation, torrefaction and hydrothermal treatment in water. Most importantly, we specifically outline how improvements (fast Magic Angle Spinning probes, new decoupling schemes, signal enhancement techniques, stronger magnetic fields, etc...) in the field of ssNMR has contributed to structural-solving problem.

2.0 Generalities on Solid State NMR in the framework of cabonaceous materials

The goal of this review is not to instruct the reader to the use of ssNMR but to discuss how recent advances in this technique allow a better characterization of biomass and its chars. For this reason, we only provide a very short introduction on some generalities concerning the use of NMR in solids and we prefer to direct the reader towards a restricted selection of relevant review papers that either deal with the basics of this technique or with its practical use for the study of carbonaceous materials. For an overview on NMR, one could refer to [14], while for more insights on solid state NMR, one could refer to [15,16,17]. A fair compromise between a broader explanation and shorter reading of basic NMR principles and their application in chemistry can be found in the manuscripts provided by Andrew and Szczesniak [18], by Blanc *et al.* [19] and, in particular, by Laws *et al.* [20]. For a practical description on how to apply the ssNMR methodology for the study of carbonaceous materials, one can read ch.1 and ch.2 in Ref. [7]. Finally, a couple of recent works [6, 12] give a detailed description of both positive aspects and drawbacks of using ssNMR to the study of carbons.

NMR is commonly associated to the fields of organic chemistry and structural biology, where analysis is generally performed in liquid solutions. On the contrary, NMR is less known as a routine

technique to study solid state matter. In fact, the Brownian motion typical for solutions averages out specific interactions. In the solid state, these interactions are detected, leading to poor signal-to-noise ratio and peak broadening. Two of the most relevant interactions to deal with in solid state for a ½ spin system are: chemical shift anisotropy (CSA), which is related to the chemical environment of the nuclei and described, in solid, by a tensor; dipolar coupling, which refers to a magnetic coupling between two close nuclei, non-necessarily engaged in a chemical bond, and inversely proportional to their distance. These interactions have a dramatic effect on the spectral resolution:

Chemical Shift Anisotropy is responsible for the large broadening of NMR peaks in the spectra acquired in solid samples. It can be efficiently averaged by spinning the sample holder (commonly a zirconia rotor) around its axis at a 54.74° angle with respect to the external magnetic field. This is generally addressed to as Magic Angle Spinning (MAS) [21] and it only refers to a mechanical treatment of the sample. The faster the spinning rate, the higher the spectral resolution; however, fast MAS employs small-sized rotors, the diameter of which commonly range between 7 mm and 1.3 mm (typical MAS rates achievable consequently range from few Hz to more than 60 kHz) and which is a problem in terms of quantity of matter for the smallest rotors when studying rare nuclei like ¹³C.

Dipolar coupling depends on the distance between two magnetically-coupled spins (S-I). Its magnitude is proportional to $(\gamma_S \gamma_I)/r^3$, where γ_S and γ_I are the gyromagnetic ratio of, respectively, the spin-S and spin-I and r is their distance in space. This interaction is a source of important line broadening effect for solids; in the particular case for homonuclear coupling in proton systems that are close in space, the magnitude of the dipolar coupling can reach 100 kHz. Two main ways exist to average the dipolar interaction and achieve an acceptable resolution: via MAS and/or via use of specific Radio Frequency (RF) decoupling pulse sequences. [22,23] In both cases, the characteristic frequency associated to MAS or RF pulses must be larger than the characteristic frequency of the interaction. Despite its drawbacks, dipolar interaction can be exploited for a number of informative experiments, which bring valuable pieces of structural information. For instance, Cross Polarization (CP) is a dipolar coupling-related technique, which consists in transferring the magnetization between abundant (I) and dilute (S) nuclear spins close in space. Together with MAS, CP is routinely used to enhance the sensitivity of rare, low-y, (S) nuclei (e.g., ¹³C, ²⁹Si) using, most commonly, the magnetization transfer from abundant nuclei, like ¹H. This has several benefits: 1) spin-lattice relaxation times (T_1) become shorter because they depend on the most abundant nucleus; 2) sensitivity is increased by a factor depending on the ratio of the gyromagnetic ratios, γ_1/γ_S ; 3) the characteristic time of the S-I interaction can be manually tuned via the adjustment of the cross polarization contact time (t_{cp}), thus allowing to probe internuclear distances; 4) valuable information on the structure and chemistry of the sample (sensitivity to a protic environment, molecular mobility) can be extracted by manipulating the t_{cp} time. A large number of dipolar coupling-based experiments can be set up to specifically address the general problem of structural resolution in solids, and amorphous solids in particular.

It is interesting to note that several ssNMR specialized research groups used biomass (e.g., lignin, cellulose) as model compounds to test pulse sequences that are able to probe local chemical environment and local connectivities, as discussed later. Even if the goal, in this case, is generally not to solve a specific structural problem of the analyzed material, it contributes to build specific NMR tools that are optimized for the study of biomass and biomass-derived carbons. From an NMR spectroscopist point of view this is quite important as one can use ready-made pulse sequences that do not need further improvement and testing when applied to real case studies. This is well described in the recent review of Mao on the development and applications of NMR tools for the study of kerogens. [11] A typical work by the same group, published few years ago, [24] shows the interesting use of a spectral editing, specifically-designed, dipolar dephasing pulse sequence to recouple long-range C-H dipolar interaction to differentiate condensed aromatic rings, typical in char, from conjugated ones, typical in lignin. More specifically, carbon-carbon connectivities were demonstrated to be easily probed in amorphous cellulose, xylan and lignin by applying a dipolar coupling based experiment exploiting both CP and the excitation of double quantum coherences. Despite the very large interest of these techniques to highlight connectivities in amorphous solids which would be impossible to put in evidence with any other technique, isotopic labeling is necessary to perform the experiment in a reasonable amount of time, which can be quantified in the range of few hours up to about 50 hours per single experiment. Longer experimental times are possible but rarely employed on a routine basis. In this sense, the recent application of Dynamic Nuclear Polarization (DNP), a signal-enhancing technique which exploits the magnetization transfer between free radicals and nuclear spins, to a cellulose sample allowed to perform ¹³C-¹³C correlation spectroscopy based on double quantum coherences excitation without isotopical enrichment and for a total acquisition time as low as 2 hours! [25] A specific note on the development of new ssNMR techniques to study carbons can also be found in Ref. 6. This shows how advances in solid state NMR spectroscopy, especially in terms of signal enhancement, will clearly bring more and more perspectives to the structural resolution of solids in general and biomass-related materials in particular.

A non-exhaustive list of typical information that one can obtain from advanced solid state NMR experiments is provided in Table 1. The table provides the acronym of common NMR techniques or

pulse programs, relevant notes on the conditions to perform the experiment, an evaluation of the feasibility and typical acquisition times. The reader should be aware that the table is merely indicative and is simply meant to help the reader to better understand the present article. Hints on feasibility are based on the authors' personal experience. In any case, non-experts must consult appropriate references (given above) or contact an expert users and, above all, they must be aware of the fact that solid state NMR offers a much broader spectrum of possibilities, which ARE NOT restricted to those enounced in Table 1. The definition of the acronyms given in the table and throughout the text is given hereafter: MAS: Magic Angle Spinning. See Ref. [21,26]; SPE: Single Pulse Excitation (also described in old literature works as Bloch Decay); **CP**: Cross Polarization. See Ref. [27]; **DD**: Dipolar Dephasing (also known as NQS, Non-Quaternary Suppression); INEPT: Insensitive Nuclei Enhanced by Polarization Transfer. See Ref. [28]; IRCP: Inversion Recovery Cross Polarization. See Ref. [29]; HSQC: Heteronuclear Single Quantum Coherence or Heteronuclear Single Quantum Correlation. See Ref. [30] and [31]; HMQC: Heteronuclear Multiple-Bond Correlation [32]; INADEQUATE: Incredible Natural-Abundance DoublE-QUAntum Transfer Experiment. See Ref. [33]; **HETCOR**: HETronuclear CORrelation. see Ref. [34]; EXSY: Excitation SpectroscopY (formally, same experiment as NOESY. Nuclear Overhauser Effect SpectroscopY, but in solids the nature of polarization transfer can be different than in solutions). See Ref. [35]; C7, SC14: see Ref. [36,37]; DNP: Dynamic Nuclear Polarization; T_1 = Spin lattice relaxation time; T_2 = spin-spin relaxation time; T_{CH} = $^{13}C_{-}^{1}H$ polarization transfer time (in CP experiments, also known as contact time); T_{10} = spin-lattice relaxation time in the rotating frame; T_m = mixing time (in EXSY experiments); T_i = inversion 13 C- 1 H polarization transfer time (in IRCP experiments)

Table 1 – Schematic presentation of the most common NMR techniques to obtain practical pieces of information on carbon materials. For explanation of acronyms, see text.

Information	Technique	Notes	Difficulty (E,A,D)°	Typical acquisition time*
Spectral resolution§	MAS¤	Rotor diameter: 1.3, 2.5, 3.2, 4, 7 mm Max MAS rates: 67, 35, 25, 15, 7 (± 1) kHz Max amount: 1.7, 14, 30, 70, 246 μL	Е	-
Quantitative analysis	SPE CP	Always at 5*T ₁ Not possible unless the CP spin dynamics is known. The condition is: T _{CH} < <t<sub>CP<<t<sub>1p. A complete magnetization curve at variable contact times [I(T_{CP})]must recorded and fitted to recover M₀, the thermal equilibrium value of the ¹³C magnetization. For more info, please refer to Ref. 38</t<sub></t<sub>	E	several hours
Increased S/N ratio Short acquisition time	СР	Need for protons. Underestimates mobile (e.g., CH ₃) and	Е	< 2h

	, ,		1
	Possible if typical T _{CP} < <t<sub>1ρ (which can be very short in highly aromatic carbons) Typical T_{CP}: < 500 μs → close and/or rigid CH_x pairs < 3 ms → all species</t<sub>		
DD (NQS)	Use of dephasing times in the order of tens of	Е	< 2h (if CP is used, otherwise same as SPE)
DD	Substraction between full spectrum and DD		SI L)
СБ	•		
INEPT	Based on ¹ H- ¹³ C J-coupling. OK for mobile and/or crystalline species (in general, if T ₂ > 1/c, for c being the J-coupling constant)	E A	< 2h
IRCP	CP-based experiment. Variation in the inversion time, T_i Rule of thumbs for normalized intensity: $I = -1/3 - CH2$ $I = 0 - CH$ $I > 0 - CH3, C$		Same as CP
INEPT	possibility to edit directly CH _x (positive, zero or negative intensity as a function of refocusing time)		
INEPT	2D version of 1D pulse. It selects chemically bonded ¹³ C- ¹ H pairs	A	< 3 days
INADEQUA TE	¹³ C- ¹³ C, double quantum single quantum experiment: on-diagonal peaks discriminate self-interactions. Routine if isotopic enrichment is done. Long T ₂ values are required.	D	> 3 days
HETCOR	¹³ C- ¹³ H, CP-based techniques. The most commonly used for carbon materials	A	
EXSY (NOESY)	 ¹³C-¹³C proximities. Spin-diffusion based technique. Use the T_m (from few ms to max 500 ms) to explore nuclear proximities (< 5 Å). On-diagonal peaks ARE NOT discriminant of self-interactions. Routine if isotopic enrichment is done. 	A	< 3 days
C7, SC14	¹³ C- ¹³ C, double quantum single quantum experiment, on-diagonal peaks discriminate self-interactions. The number of DQ loops is related to spatial proximities (1 loop=closest neighbour). Routine if isotopic enrichment is done. Possibility to look at close proximities	D	> 3 days
Relaxation times	$T_1,T_2,T_{1\rho}$	Е	Variable, from hrs to days
DNP	need of free radicals in the material and a gyrotron coupled ton NMR spectrometer	D	minutes
	DD CP INEPT IRCP INEPT INEPT INEPT INADEQUA TE EXSY (NOESY) C7, SC14 Relaxation times DNP	rypical Tcp:	Possible if typical Tcr < <t1p (which="" 3="" 500="" <="" all="" and="" aromatic="" be="" can="" carbons)="" ch₂="" close="" highly="" in="" ms="" or="" pairs="" rigid="" short="" species="" tcr:="" typical="" very="" μs="" →=""> 3 ms → distant and/or mobile CH₂ pairs Use of dephasing times in the order of tens of μs Experiment can be CP or SPE-enhanced Substraction between full spectrum and DD spectrum CP Short contact times, typically< 500 μs Based on ¹H-¹³C J-coupling. OK for mobile and/or crystalline species (in general, if T2 > 1/c, for c being the J-coupling constant) CP-based experiment. Variation in the inversion time, T₁ Rule of thumbs for normalized intensity:</t1p>

^{*} Acquisition time may depend on many parameters. Estimations here are given per single experiment for a material with carbon content

> 50 w% and proton content > 5 w%, no ^{13}C isotopic enrichment, use of 4 mm rotor (internal volume= 70 μ L) and 7.05 T spectrometer.

[§] Heteronuclear, and possibily homonuclear, decoupling schemes are routinely used with MAS in all experiments in order to achieve a good spectral resolution.

° E= Easy; A= Average; D= Difficult. Appreciation is based on the authors' personal experience and view of the literature, if pulse sequences are implemented on a routine Bruker AVANCE III spectrometer, if they need an experienced user to be either implemented *exnovo* or adapted, if they need specific knowledge of post-experimental processing, etc...

m Maximum MAS rates are given within a 1 KHz error, which originates from the different nominal values provided by the fabricants of the zirconia rotors

3.0 Solid state NMR study of selected carbon-based biomass

Any lignocellulosic biomass has three major components: cellulose, hemicellulose and lignin. The relative proportion of cellulose varies according to the biomass origin from 20% to 45% for agricultural wastes.[39] Chemically, cellulose is a linear and syndiotatic polymer of β-D-glucose.[40] The anhydroglucose units (AGU) are bonded to each other through a glycosidic linkage at positions 1 and 4 and the number of repeating AGU defines the degree of polymerization (DP) of cellulose on which its solubility depends. A relatively dense network of intermolecular H bonds is established in polymers with more than 30 AGU. Consequently, these macromolecules have high resistance against chemical and biological transformations, and insolubility in most of the common solvents. Hemicellulose, the second biomass component contains many different sugar monomers, has a random structure, it is amorphous and easy hydrolysable. Different from carbohydrate based cellulose and hemicelluloses, lignin is a class of complex, cross-linked, 3D biopolymer with phenyl propane units and hydrophobic properties. Lignin is concentrated between the outer layers of the biomass fibers leading to structural rigidity and holding the polysaccharide's fibres together. The decomposition and degradation of lignin and hemicelluloses is way easier than for cellulose. [41,42] Based on this different reactivity it is feasible to separate cellulose and hemicelluloses from lignin, especially using alkaline treatments. [43,44] Lignin can be further processed to obtain important phenol-derived chemicals used in various chemical industries. [45,46]

This section shows several well-known biomass-based carbons for which ¹³C MAS ssNMR has provided an extremely valuable contribution to their structural analysis. Examples focus on lignin and cellulose, both being C-rich natural biopolymers, and their related amorphous chars, obtained from their pyrolytic and chemical/physical treatment.

The structural resolution of complex, carbon-rich, biopolymers and biopolymer-derived chars is a long-date task, which has always represented a major challenge for both ssNMR analysis and other spectroscopic techniques. First of all, these materials are most of the time non-crystalline or partially crystalline solids, which means that X-ray diffraction is either useless or only partially informative. Chemical heterogeneity, very common, makes these materials difficult to analyze with classical techniques like FT-IR, XPS and NMR because the resulting spectra are generally composed of very

broad, superimposed, peaks difficult to attribute correctly and quantitatively. Spectral deconvolution is a common procedure to better put in evidence and understand the contribution of each individual component but the way this is done in practice is often arbitrary in terms of number, shape and peak width for each component characterizing one single resonance. To bypass this problem, many researchers have transferred the problem from solid to liquid state analysis, where the main idea is to fraction the material in its smaller constituents, soluble in solution. Analysis under these conditions is then much easier and more precise than in solids and it allowed, for instance, to isolate the individual phenyl alcohols building blocks constituting wood. [47,48] Unfortunately, the process of cracking naturally alters the initial material structure, thus leaving many questions open on the distribution and proximity of the individual bricks in the starting compound and if any part of the material has been irremediably altered. Specific problems concerning the use of NMR are the lack of protons (in chars), which limits the efficiency of cross polarization and the presence of paramagnetic centers which contribute to reduce both the spin-spin relaxation times (consequently broaden the NMR signal) and the spin-lattice relaxation time in the rotating frame (t1p) in a CP experiment. These problems are wellknown and have been discussed in detail by various authors since decades. [7,49,50] In the following section, we focus on the use of ssNMR in the study of lignin, a widespread phenylpropanoid-based biopolymer, cellulose, a crystalline D-glucose based polysaccharide, and their derived chars.

3.1 Wood and lignin

Lignification [51] is the polymerization process in plant cell walls transforming phenolic monomers into radicals, and coupling them with other monomer radicals (only during initiation reactions), or more typically cross-coupling them with the growing lignin polymer/oligomer, to build up a phenylpropanoid polymer. [52,53,54,55] Even though extensive research efforts have been made to elucidate the finer structural details of the highly complex polyaromatic lignin, a definitive model does not yet exist (one of the available models can be found in [56, 48]). This task is further complicated by the wide natural variation in lignin structure, with the main difficulties arising during characterization due to the high level of chemical and structural heterogeneity of its bonding patterns. Nonetheless, the polyphenolic nature of lignin has been ascertained, and the most abundant constituent monomers characterized as p-coumaryl, coniferyl and synapyl alcohols. [57] The study of lignin is generally interconnected to the study of wood itself, which also includes both cellulose and hemicellulose fractions. Most NMR studies, both in solution and solid state, are focalized on structural elucidation of wood and the specific study of its various components.

Solution NMR spectroscopy has been long used in the analysis of wood, but lignin must be extracted and the use of model compounds is necessary for precise spectral attribution. [48, 58, 59, 60] Even if ball milling is the most common lignin extraction technique from wood, the entire lignin fraction can also be analyzed in the so-called "cellulolytic enzyme lignin," in which large fractions of the polysaccharides are removed by enzymatic (cellulases) digestion of crude wood. [61,62] Despite the intrinsic problem of relating the individual lignin building blocks with bulk lignin itself, and which strongly depends on the lignin treatment, [63,64] several works have shown the interest of using solution NMR for a precise attribution. In particular, two-dimensional (2D) NMR spectroscopy with single and multiple quantum filtering of the signal (e.g., ¹³C-¹H correlatations using HSQC, HMQC or ¹H-¹H connections using INADEQUATE) continues to be the method of choice to identify unambiguously the different lignin units and the sub-unit bonding patterns [65,66] or to study the stereochemistry of lignin. [59] Unfortunately, isolation or fractionation may cause significant modification of the original lignin structure yielding unrepresentative final results. [63,64] For this reason, ssNMR spectroscopy was proposed 30 years ago as an interesting alternative to study wood directly, [67,68] even if line broadening constitutes a real problem for precise chemical shift attribution and structural resolution. The very first studies were mainly focused on the idea that ssNMR could bring valid pieces of information on wood structure, like peak attribution and quantification of lignin with respect to polysaccharides, effect of extraction methods, spectroscopic effects upon chemical modification (e.g., methylation, acetylation), number of free phenolic groups, distinction between hard (lignin fraction constitutes of a mixture of guaiacyl and syringyl units) and soft (lignin rich in guaiacyl units) woods, etc. [67,68] More recent works have shown that implementation in the NMR technology (e.g., fast-spinning MAS probes, high fields) [69,70] and methodology applied to material science (e.g., development of robust spectral editing pulse sequences) [63] can improve the level of understanding of these complicated materials. Finally, mastering isotopic enrichment techniques of natural woods brings an additional benefit in the final objective of using ssNMR as main characterization tool. [71]

Fast Magic Angle Spinning (MAS) rotors bring obvious advantages in terms of spectral resolution, as spinning side bands are completely removed from the spectral window, thus making the spectrum much easier to interpret, both qualitatively and quantitatively. The main inconvenient in this case concerns the use of reduced amount of matter (~14 µL for a 2.5 mm rotor with respect to ~250 µL for a 7 mm rotor), which can be a problem for the study of low abundant nuclei like ¹³C. A specific example of the influence of such a parameter in the study of archaeological woods is given in Ref. 69. The same effect of efficient removal of spinning side bands at low MAS rate can be combined with the use of

large rotors if specific pulse sequences are used, as shown in Ref. 63. In the same work, authors nicely combine different pulse sequences (DEPT, dipolar dephasing, CP) to select specific parts of the complex wood spectrum and edit either carbonyls, protonated carbons or non-protonated carbon groups. In this way they could show that carbonyl content in wood strongly depend on the type of wood (mature vs. juvenile vs. genetically-modified plants) and extraction procedure (ball milling under various conditions). A similar approach was adopted by Wikberg and Maunu, [72] who have shown that thermal treatment strongly affects the lignin structure as the β-O-4 linkage is cleaved. Interesting ¹³C-enrichment techniques have been developed to allow a direct study of protolignin directly in the cell walls. [73, 74] Selective ¹³C-enrichment can be obtained by using ¹³C-enriched compounds (e.g., monolignol glucosides, ferulic acid, phenylalanine) in seedlings cultures and tissue cultured cells, for instance. The achievement of selective ¹³C-enrichment at a specific carbon has been confirmed by ssNMR [73, 75] and structural studies were proposed, for instance, by Terashima et al., [76]. They evaluated specific alkyl-alkyl and alkyl-aryl ether linkages on enriched wheat straw via ¹³C CP-MAS experiments. Evaluation of alkyl-aryl ethers has also been a matter of debate both in lignin and ligninderived polymers. In lignins, for instance, their amount has evaluated from 63% to 80% and eventually to 74%. [76,77] On the contrary, type III kerogens, which are lignin geo-derived coals, were shown not to contain significant amounts of alkyl-aryl ethers by means of chemical shift analysis of the corresponding ¹³C ssNMR spectra and using DFT calculations as modeling supporting tool. [78,79] These pieces of information are extremely helpful in the study of structural evolutions during the lignin coalification process and further works using CP-MAS have contributed to study the structure of natural coals and in particular the problem of signal attribution to aromatic and aliphatic species. [80]

3.2 Cellulose

Cellulose is a polysaccharide consisting of a linear chain of $\beta(1\rightarrow 4)$ linked D-glucose units (which differs from the $\alpha(1\rightarrow 4)$ -glycosidic bonds in starch) and is the structural component of the primary cell wall of green plants, many forms of algae and the oomycetes as well as a secretion product of some species of bacteria (e.g., *Gluconacetobacter xylinus*). Cellulose is a straight chain polymer where no coiling or branching occurs, with extended and stiff rod-like conformation. The multiple hydroxyl groups on the glucose monomers form hydrogen bonds with oxygen atoms on the same or on a neighboring chain, holding them firmly together side-by-side and forming microfibrils with high tensile strength. From a structural point of view, native cellulose is a crystalline solid for which two forms are generally accepted, cellulose I and cellulose II, where the latter is obtained from cellulose I by a regeneration or mercerization process. Cellulose I is composed of two crystalline allomorphs, I_{α} and I_{β} ,

where the former is the metastable, low density, form while the latter is the thermodynamically most stable, high density, form. In terms of relative abundance, I_{α} and I_{β} are generally found in differing mixtures and the proportion depends on the nature of the cellulose biopolymer. For instance, *Valonia* and bacterial cellulose are rich in I_{α} while animal cellulose contains more of I_{β} structure. [81,82] If the history of structural determination of cellulose is very long because of the many different types of cellulose found in nature, 13 C solid state NMR has been a crucial technique to contribute to elucidate the structures of cellulose I and II and, in particular, in the discovery and identification of I_{α} and I_{β} forms. [83, 84] Even if the role of solid state NMR in the study of cellulose was reviewed in1999, [85] we will make a short summary and in particular we will focus on some important studies that occurred later than 1999, year in which Lesage *et al.* implemented the INADEQUATE pulse sequence for solids and in particular for the study of through-bond carbon-carbon connectivities. [86]

The initial use of ssNMR to study cellulose is reported at the same time by Atalla et al. [87] and Earl et al. [88] and immediately followed by several others. [84, 89, 90] The main reason for such a burst in the use of ssNMR to study cellulose is directly related to the development, in the seventies, of cross polarization [91] and CP coupled to MAS for the study of carbon-13 containing materials. [92] The mere application of CP-MAS to various types of cellulosic materials allowed, using a comparative approach, to describe polymorphs cellulose I and II [87] and measure the characteristic carbon T1 and T2 relaxation times for cellulose I. [88] Effect of ball milling on the residual crystalline fractions in cellulose and consequent NMR response was described by Maciel et al. [89] while a comparison between the spectrum of cellulose II and various cellulose oligomers allowed to conclude that the spectral features of the former starts to be observed in an oligomer containing at least 4 glucose units. Undoubtedly, the main achievement of the comparative NMR approach was obtained by Atalla and Vanderhart, [84] who realized, by studying cellulose obtained from different sources, that native cellulose I is actually a mixture of two crystalline modifications, cellulose I_{α} and I_{β} . In particular, they observed that the C4 signal between 80 ppm and 95 ppm is composed of a collection of multiplets at about 89-90 ppm and a broad band centered at about 83-85 ppm (Figure 1). The former were assigned to segments in the interior of the chains while the latter to elements on the crystallite surface and in disordered regions. Additional differences occurred to C1 and C6 resonances. Since the relative intensities of the multiplets vary with the nature of the cellulosic sample, authors proposed that this signal actually represents a combination of two crystalline forms whose proportion varies from one sample to the other. They also provide the typical 13 C NMR spectral signatures for cellulose II, I_{α} and I_{β} and relative assignment (Figure 1).

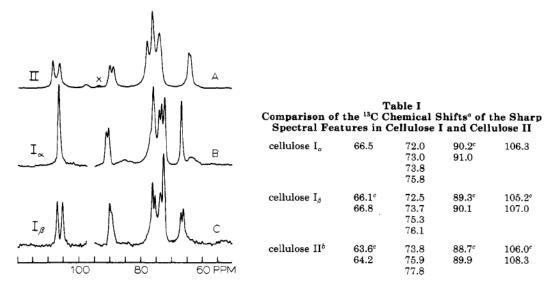


Figure 1-A) Cellulose II with low degree of polymerization. (B) and (C) show the proposed crystalline forms of cellulose I, I_{α} and I_{β} . The table highlights the typical chemical shifts between the three forms of cellulose. Letters in the table refer to: a) Shifts are given in ppm and are measured with linear polyethylene as an internal chemical shift standard. Its crystalline resonance position is taken to be 33.63 ppm with respect to tetramethylsilane at 0.0 ppm. b) These shifts were measured on a low-DP cellulose I1 sample that gave sharp lines. c) Doublets with approximately equal intensities. Adapted from Ref. [84]

These early studies had mainly the merit to show that understanding of the cellulose structure was still incomplete and motivated further work using complementary techniques like neutron diffraction to precisely attribute the positions of hydrogen in the unit cell. Moreover, along with the improvement of solid state NMR technique, probe technology and pulse sequence development, more works started to appear in the past 20 years where researchers went further in terms of the exploitation of 1D spectra but also in the use of advanced pulse sequences, like spin diffusion and multiple quantum coherences excitation, to probe the molecular proximities between the amorphous and crystalline fractions. This allowed going further in the more precise signal attribution in cellulose I and II materials.

Deconvolution of the 13 C CP-MAS spectra combined with linewidth and spin lattice relaxation times analyses allowed a more precise attribution of the C-4 region in the 80-92 ppm interval, [93,94,95,96] and which contains the signals of the crystalline cellulose together with para-crystalline domains, where the broad region closer to 80 ppm is clearly attributed to disordered fractions. Very precise chemical shift attribution based on deconvolution shows a number of components: I α at 89.42 ppm, I β at 87.87 ppm, (I α +I β) at 88.74 ppm, para-crystalline at 88.45 ppm, accessible fibril surfaces at 84.22 ppm and 83.18 ppm, inaccessible fibril surfaces and xylan residues at 83.38 and 81.72 ppm. Moreover, it was defined a crystallinity index which is based on the ratio between all crystalline C4 components in

the 85-90 ppm interval over the amorphous components in the 80-85 ppm interval. Despite the practical aspects of this approach, several critics on the pertinence of the methodology have been raised in the review proposed by Atalla and Vanderhart. [85]

After the review work by Atalla, several works based on 2D NMR have brought additional insight to solve several issues that were still open in the attribution of the C4 signal but also the less studied C2, C3 and C5 resonances between 70 and 80 ppm. Most experiments have been run on bacterial cellulose, which is easy to enrich in ¹³C, thus allowing complex time-consuming 2D experiments at reasonable time scales. Masuda *et al.* [97] have used both intramolecular (within cellulose) and intermolecular (from purposely added poly(vinylalcohol) towards cellulose) ¹³C and ¹³C-detected ¹H spin diffusion to show that the signal from the C4 in the 80-85 ppm region, tentatively attributed to accessible and nonaccessible surface fibrils, does not represent a phase-separated fibrillar network (surface vs. core), because the typical spin diffusion time constant (and consequent spatial proximity) is of the same order of magnitude (20 s) for both the C4 signals below and above 85 ppm. Authors propose that the C4 signal in the 80-85 ppm region can then probably be attributed to structural defects in the fibrils associated to disordered hydrogen bonding.

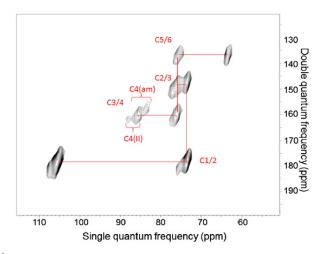


Figure 2 – Solid state ¹³C-¹³C INADEQUATE spectrum of cellulose. Solid lines represent connections between chemically-connected carbons and are focused on the cellulose amorphous signal. "am" stands for "amorphous" while "II" stands for cellulose II. From Ref. [100].

Finally, several groups continued their work on ¹³C-enriched cellulose derived from bacteria and in particular they have used the solid state version of the INADEQUATE pulse sequence to probe direct ¹³C-¹³C chemical bonds using double quantum single quantum coherences excitation. This powerful 2D experiment applied to cellulose was able to precisely attribute all signals and draw the skeleton of local connectivities on cellulose I, [98] II [99] and even amorphous samples, as shown in a typical spectrum

in Figure 2. [100] For cellulose I, it was found that cellulose I_{α} and I_{β} contain two non magnetically equivalent anhydroglucose units and whose conformation is different in both allomorphs. For cellulose II, all 13 C chemical shifts could be assigned and it was found that this material contains as well two non-equivalent anhydroglucose units, whose individual skeletons are now perfectly known. The use of the 2D J-HMQC experiment, adapted from solution NMR, was able to assign proton chemical shifts to each residue. In the same way, the chemical shifts of the C1-C6 atoms of amorphous cellulose were precisely attributed. The use of the INADEQUATE pulse sequence was crucial to resolve each individual chemical shift in the broad peaks that characterize this material. As a conclusion, it is clear that further insight in the structural understanding of cellulose polymorphs will be achieved by combining 1D and 2D NMR spectroscopy, and the recent work using the signal-enhancement property of DNP on a natural abundant 13 C cellulose sample [25] indicates that this can now occur on any type of cellulosic material and not only on selected isotopically enriched ones.

4.0 ¹³C solid state NMR studies of chars

The word carbonaceous can be referred to many type of distinct carbon-rich materials, such as coals, humic acids, pyrolized biomass, etc... where each category could be divided into additional others; for coal, for instance, one can find bituminous cols, lignite, etc... For this section, we show how solid state NMR contributed to the structural understanding for a short selection of carbonaceous materials. This is because the NMR tools that are commonly employed to study carbonaceous matter are very similar, disregarding the nature of the material itself. Even if some advanced studies can be performed for isotopically-enriched materials, that is rarely done for most carbons, for which, in contrast to cellulose, isotopic enrichment is not easily possible. The following systems will be presented in more detail: coal followed by pyrolized biomass (cellulose and wood) and hydrothermal carbons. We stress the fact that coal is presented here as a term of comparison for the other two systems because of the long-date use of ssNMR as an important characterization tool for this material.

4.1 Coal

The early works on the use of solid state NMR to study the structure of solid fossil fuels were proposed in the 70's - 80's decades. [7,8,10,101,102,103,104,105] The main goal of many of these works was the evaluation of the aromaticity content in coals by various quantification procedures of the aromatic signal in the 100-160 ppm region. The most obvious approach was the use of ¹³C CP-MAS followed by peak integration while dipolar dephasing was used to evaluate the amount of non-

protonated quaternary carbons. Unfortunately, due to several problems, the task was not easy. First of all, the complex spin dynamics in solids does not permit quantification easily when performing cross polarization (CP) experiment because the efficiency of CP depends on the proximity to protons. Secondly, the generally low MAS rate (< 5 kHz) employed in many studies bring residual spinning side bands, which overlap with the main signal. Then, paramagnetic centers constitute an important source of peak broadening (reduction of T₂ relaxation times) or CP inefficiency (short t1p). [49] To overcome some of these problems, more advanced approaches were needed to study the extent of aromaticity in coals. In [105], the authors set up a complex experimental and data processing analysis based on CP and dipolar dephasing (DD): they performed experiments at variable contact time, for CP, and dephasing time, for DD. By plotting the signal intensity vs. these specific adjustable parameters, it is possible to fit the curves and extract the dynamic parameters characteristic for each single resonance. From the typical equations (given in [105]), one determines two sets of very important parameters: the interaction and relaxation time constants, which characterize the nature and the dynamics of the ¹H-¹³C polarization transfer, and the values for the initial magnetization, M_0 , used as a scaling constant in the analytical expressions. From the values of M₀, which are unique for each resonance in the spectrum, it is then possible to quantify the relative amount of all aromatic resonances ($\delta > 100$ ppm) with respect to the aliphatic one (δ < 100 ppm). The approach applied to the dipolar dephasing dynamic experiments allows extracting the relative amount of protonated vs. non-protonated carbons in the aromatic region. From the combination of both techniques, it is not only possible to evaluate the extent of aromaticity for the coal samples but also the size of the aromatic clusters by looking at the percentage of bridgehead carbons (shared between 2 or 3 aromatic rings) with respect to peripheral C-H carbons. In the end, authors determine that all their coal samples contain between 10 and 20 carbon atoms per aromatic cluster.

Despite the strength of the coupled CP-DD approach to quantify the cluster size, little was till known about the exact attribution of each resonance, due to the large, poorly resolved, signals. The contribution from Supaluknari *et al.* [106] brought some answer to this problem, even if there was no novelty in the type of pulse sequence employed. In particular, they used CP and DD but added a spinning side band suppression sequence (TOSS) to achieve a "clean" isotropic signal. By analyzing different types of coals, from low to high rank (increasing extent of aromaticity), they determined, for instance, that quaternary aliphatic groups exist in the 30-40 ppm region, actually common in terpenoids, and that mobile components in the aliphatic region are also found. They also proposed a much deeper analysis on the amount of protonated and oxygenated carbon groups. Despite the fact that

CP and DD are generally accepted as the optimal solutions, still largely used nowadays in the study of this class of materials, the problem of quantification, especially for H-poor coals, has been largely debated. This is the *leit-motiv* that motivated several work published in the 90's [1, 107], where the use of simple Bloch decay, also referred to as Single Pulse Excitation (SPE), was employed and compared to CP. The SPE is the simplest experiment in NMR and it is fully quantitative provided that magnetization of all spins has fully relaxed. Unfortunately, the spin-lattice relaxation times (T1) are generally very long (tens of seconds) for carbon materials and the general rule consists of waiting 5*T1 (recycle time) before acquiring a new transient that contribute to NMR signal. In practice, recycle times as high as 100 s have been proposed to fully recover the magnetization.[1] Considering the low isotopic abundance of ¹³C, a single SPE experiment for a given sample can last several hours for an exploitable signal-to-noise ratio. This problem of long acquisition time can be overcome by using large amount of matter combined with stronger magnetic fields (B₀), even if in this case the CSA interaction (proportional B₀) becomes stronger, thus imposing the use of faster MAS rates (smaller rotors = smaller quantities). CP can considerably help to reduce the recycle time because relaxation in this experiment depends on ¹H, generally the most abundant nucleus having shorter relaxation times (~ seconds) than ¹³C. It then became important to know if CP can replace SPE in the quantification of ¹³C spectra. In [1], authors determined the fraction of aromatic carbons in several coal samples using a typical ¹³C CP-MAS experiment to be comparable with the one found via a quantitative SPE but authors in [107] found that CP provides results that are about 10% smaller than SPE. However, at higher carbons content (H/C< 0.4), the discrepancies between them is reduced. Furthermore, the same authors also argued that the presence of paramagnetic centers relatively affected the overall signal, which is > 75% using SPE.

In the late 90's, early 2000, the structural characterization of coals does not carry much innovation from an NMR point of view. Many works rely on combining CP and DD with physicochemical treatment of the chars [108,109,110], which can give some insight on the structural functional groups inside the material. Hu *et al.* [111] have nicely employed these techniques with a Chemical Shift Anisotropy (CSA) filtering pulse sequence, which gives insight on the local carbon symmetry. In this way, DD and CP (at short contact time) can be used to select, respectively, non-protonated and protonated carbons, whose local symmetry can be successively analyzed, in a two-dimensional map, using the CSA-filtering method (Figure 3).

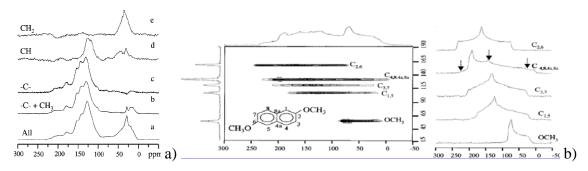


Figure 3 – (a) Series of ¹³C spectra recorded on the same carbonaceous solid using different spectral filtering techniques (see Ref. 111 for more details). The type of selected carbon is indicated on the left-hand side of each spectrum. (b) Typical 2D ¹³C CSA-filtered experiment that correlates the isotropic chemical shift for each resonance (indirect, Y-dimension) with the corresponding Chemical Shift Anisotropy (CSA) experience by each chemical group (direct, X-dimension). On the right side, the cross-sections of the 2D map are externalized along with the attribution of each chemical group. From Ref. [111].

A specific comparison with model compounds allowed to show that an overestimation of the cluster size calculated with the method given in [105] and discussed above can be expected for carbonaceous materials with important oxygen-substitutions (e.g., COOH groups), because these can move the isotropic chemical shift for these groups in the region of the bridgehead carbons, used to establish the cluster size. An example of a recent work in which physico-chemical treatment of coals was used for structural understanding purposes has been proposed by the group of Mao, [110] who has made a considerable amount of research in the application of solid state NMR methodology to the study of carbonaceous materials, mainly kerogens and humic substances. [11] The goal was to observe if different structural features of coals (lignite and anthracite, where 97% of the latter is mainly constituted by aromatics with respect to 76% of lignite) actually affect the final black carbon structure obtained by their pyrolysis. The NMR editing work has been done using various pulse sequences: ¹³C SPE (which in the cited work it is referred to as Direct Polarization, DP) for quantitative analysis, CP combined with TOSS for suppression of side bands, CSA filter to selectively suppress the signal of sp² aromatic carbons in the 90-120 ppm range and only recover the signal from sp³ carbons, 2D ¹H-¹³C heteronuclear correlation experiments to attribute proton signals (otherwise very broad and difficult to assign) through carbons and, finally, ¹H-¹³C long-range recoupled dipolar dephasing, DD, experiments combined with SPE and TOSS to efficiently detect all carbons and eventually recover only the signal from non-protonated and mobiles CH₂/CH₃ carbons. In particular, by applying the DD technique to the study of the aromatic cluster size (the size of the cluster limited by the presence of external protons contouring the cluster itself), [24] they have shown that high-rank coals (large aromaticity) are not the ones having the largest aromatic cluster sizes. For instance, anthracite has a > 95% aromaticity with respect to its black carbon derivative (87%) but the cluster size is larger in the black carbon than in anthracite. The other interesting point is that despite the type of coal structure, the nature of their derived black carbons is very similar.

We end this section by referring to a recent work by the group of Pruski [112] strengthening the fact that application of ssNMR to the study of coals has mainly been developed in the 80's and since then few innovations have been brought in this field despite the fact that the "NMR tool box" has considerably developed in the past 20 years in terms of accessibility to strong magnetic fields (> 9.4 T), fast MAS (> 30 kHz), newly implemented pulse programs, improved electronics and so forth. For this reason, many classical approaches (e.g., use of TOSS to suppress spinning side bands, use of low magnetic fields) should be revised. For instance, the use of dipolar decoupling to discriminate between protonated and non-protonated carbons can be replaced, to some extent, by the J-filtered INEPT pulse sequence, which is commonly used in solution NMR spectroscopy. This was done, for instance, by us in the study of hydrothermal carbons and detailed later. Reference [112] shows then how structural resolution of various types of coals can be achieved at high fields and fast MAS by proper implementation of CP using, for instance, a tangential ramp to be more efficient in meeting the Hartmann-Hahn condition; it also shows how 2D ¹H-¹³C correlation maps can be obtained in a reasonable amount of time and acquired under advanced homonuclear decoupling schemes like Phase Modulated Lee-Goldburg (PMLG).

4.2 Thermal treatment of biomass (cellulose and wood)

In the following section, we report the study of biomass treated under dry conditions in an inert atmosphere. Pyrolysis (section 4.2.1) refers to thermal treatment at temperature typically higher than 300°C and torrefaction (section 4.2.2) represents a thermal treatment at temperature between 200°C and 300°C. Nonetheless, despite this general classification, a clear distinction is not always possible because each author does not always respect it when referring to his own work. Our choice is to report as pyrolysis or torrefaction those works that clearly address themselves as such but the reader should be aware that overlapping in terms of operating conditions can be easily found.

4.2.1 Pyrolysis

Upon pyrolysis, carbonaceous biomass including cellulose and wood undergoes thermal decomposition leading to the elimination of small volatile species (e.g., CO₂, CO, CH₄, H₂O) and condensation reactions which produce a complex polyaromatic network, commonly referred to as char. Early FT-IR studies of pyrolized cellulose can be traced back to the 60s, [113] while solid state ¹³C NMR started to be employed much later. [4,5] Interestingly, if compared to study of cellulose itself or

to the study of coals, solid state NMR is far from being employed at its best for the study of the effect of pyrolysis on the structure of biomass. In fact, it is generally employed as a complementary technique to the use of FT-IR or chromatography, as it was shown by Pastorova et al. [4], who combined ¹³C ssNMR with GC-MS and FT-IR to elucidate the structure of char obtained from pyrolyzing cellulose between 250-400°C for 150 minutes. Authors showed that cellulose keeps its initial structure up to 250°C, while major chemical modifications occur at 270°C; both phenolic and furanyl groups were detected as volatile compounds and authors proposed a reaction mechanism that leads from cellulose decomposition to furans to substituted aromatics rings, contained in the final char. The main purpose for using ¹³C ssNMR was merely to bring some spare information in terms of chemical shift attribution, which was based on referencing to all works investigating the structure of both cellulose itself and coals. The lack of important works using NMR to understand the fate of cellulose after pyrolysis is very clear from the recent review paper by Lédé, [114] who carefully and critically reviews the entire activity that has been done on the understanding of the intermediate species (also called "active cellulose") that form from the treatment of cellulose at intermediate temperature and before the formation of char. For this reason, this section will not enter such a historical debate, which can be read in [114], but will merely bring some detail on how ssNMR has contributed to that, even if in this case the overall contribution was far from being relevant as for coals and crystalline cellulose.

One interesting point concerns the attribution of the ¹³C NMR spectra, which are generally acquired under CP. Experiments are often run on samples treated at increasing temperatures and the most critical resonances to assign are in the 60-110 ppm and 110-150 ppm regions. The first set is related to cellulose signal upon structural modification into the so-called "active cellulose", whose sense is deeply discussed in [114]; the second is related to the carbonaceous components that form during pyrolysis and in particular to aromatic carbons. By analogy with coals, the bands in the 125-130 ppm region correspond to fused aromatic rings and the ones in the 150 ppm region to oxygenated aromatic groups. Above 150 ppm, functional groups (carboxylic acids, esters, ketones, aldehydes) are depicted. Such a typical attribution is done, for instance, in references [115, 116, 117] where either CP or dipolar dephasing where employed to perform a rapid attribution to the ¹³C spectrum. Even the NMR methodology was exactly the same, Zhang *et al.* [118] attributed the peak at 150 ppm, for its comparable intensity with the one at 110 ppm, to furfuryl motifs in char materials obtained from pyrolyzed starch. Nevertheless, in most studies, both the structure of cellulose-derived and, more generally, wood-derived chars have been described as being composed of a network of polyaromatic hydrocarbons, [5, 80, 115, 119, 120, 121] as found either in lignins or coal, more or less rich in oxygen

functionalities. The study in which a close analysis of the lineshape and width of the ¹³C NMR peaks has brought some significant insight on the structure was published by Wooten *et al.* [5], who have shown that, after 30 minutes at 300°C, cellulose undergoes depolymerization to form an "intermediate cellulose" product, which then transforms into a "final carbohydrate" (FC) before aromatization and which was associated with large amounts of oligo- and polysaccharides. According to the authors and to the analysis by Lédé [114], IC corresponds to the so-called active cellulose, which seemed to be a disordered carbohydrate derived from fragmented cellulose rather than a form of crystalline cellulose with a lower degree of polymerization. [5] In the late 2000, several works started to use more advanced NMR techniques, like double quantum single quantum ¹H-¹H 2D NMR spectroscopy performed on biomass pyrolized at different temperature. [120] The main message that could be obtained by this technique was that the aliphatic and aromatic regions are intimately mixed together (on the NMR experiment timescale), rather than phase separated.

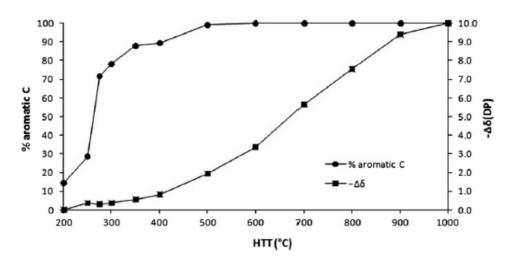


Figure 4 – Evolution of the percentage of aromatic carbons calculated from the SPE spectra recorded at various temperatures and $-\Delta\delta$ obtained from the adsorbed ¹³C-benzene on the same materials. From Ref. [124]

More recently, some interesting experiments with the aim of probing the extent of cluster size in char and thermally processed biomass have been proposed. [122, 123, 124] The idea is very simple and it is based on following the Nucleus Independent Chemical Shift (NICS) variation [125] of physisorbed 13 C-labelled benzene on carbonaceous materials ($\Delta\delta = \delta_{AdsorbedBenzene} - \delta_{FreeBenzene}$). In fact, diamagnetic ring currents are induced in the conjugates aromatic structures when placed in a magnetic field. Under these conditions, a magnetic field is induced and it adds (or subtracts) to the applied magnetic field: if an upfield shift of the 13 C resonance of the adsorbed benzene is recorded ($\Delta\delta < 0$), one speaks of an aromatic effect; if a downfield shift is observed ($\Delta\delta < 0$), one speaks of an anti-aromatic effect: the

larger the shift, the higher the degree of aromatic condensation. In fact, chemical shifts of the 13 C peak characteristic of aromatic carbons in chars and associated to diamagnetic ring currents are largely known. [126] Both CP and SPE experiments can then be run and both the percentage of aromatic C signal from integration of the SPE spectra (aromatic region, 110-160 ppm) and the $\Delta\delta$ are plotted against the calcination temperature, as shown in Figure 4. [124] One comment should be made. Plotting the SPE intensity gives complementary information with respect to the $-\Delta\delta$ approach, the % of aromatic carbon groups is higher at low calcination temperatures. The discrepancy may come from the non-homogeneous adsorption of the 13 C-benzene throughout the carbonaceous material, which could underestimate the effective extent of the condensed aromatic rings.

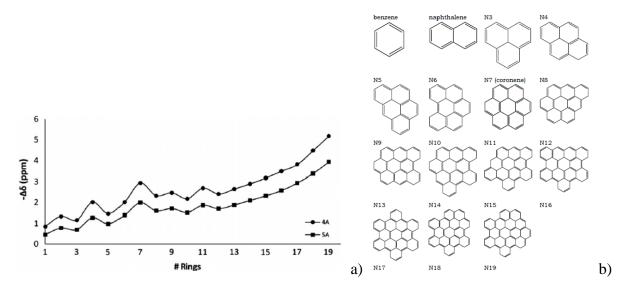


Figure 5 – a) Calculated $-\Delta\delta$ for adsorbed benzene as a function of the number of clustered benzene rings shown in (b). Effect of the distance (4 or 5\AA) between benzene and the ring surface is also shown. Adapted from Ref. [124]

Using *ab initio* molecular modeling to calculate the expected chemical shift variation, one can eventually plot $\Delta\delta$ against the number of condensed rings. Figure 5 shows the evolution of the calculated $-\Delta\delta$ for benzene adsorbed on a series of clustered benzene rings. They also show that the closer benzene to the surface, the stronger the NICS effect. In this way, authors find that at temperature below 500°C, aromatic domains are not larger than coronene ($\Delta\delta$ < 3 ppm) while at higher temperature (500°C - 900°C), as many as 19 rings can be found ($\Delta\delta$ > 4 ppm). In an even more recent study, Cao *et al.* [127] have investigated the effect of temperature on wood samples and used a combination of advanced ssNMR techniques: direct polarization for quantification (SPE); dipolar dephasing (DD) for non-protonated and mobile species; CSA-filtered CP experiments to only recover sp3 carbons; CP at short contact time combined with DD in order to recover only the CH signal from slow CH_x groups;

recoupled long range DD to evaluate the aromatic cluster size. The main results, whose details are not reported here, concern the precise attribution of each single ¹³C peak in terms of protonation degree and nature of the condensation extent (mobile vs. non-mobile carbons) and it also allowed establishing the typical cluster size evolution with temperature, to be compared with the work in [124]. In particular, if they also found that the aromatic cluster size increases with calcination temperature, their estimation was slightly in defect with respect to that of McBeath *et al.*, especially in the 500°C - 800°C temperature range, where it was estimated that larger clusters contain less than 15 rings. The discrepancy is attributed to the different processing conditions (heating rate, heat treatment time, gas flow rate, etc...).

Recently, Bridgwater *et al.* provided a review of fast pyrolysis of biomass. Typical weight yields for liquid, solid and gas products obtained by different thermal conversion process such as fast pyrolysis intermediate carbonization, gasification, torrefaction have been summarized. [128] In the particular case of lignin, fast pyrolysis leads to the formation of liquid products which have been intensively studied by NMR. For example, Raguskas *et al* studied the pyrolysis of softwood kraft lignin and pine wood in different pyrolysis systems at 400, 500 and 600 °C NMR using quantitative ¹³C and Heteronuclear Single-Quantum Correlation (HSQC)–NMR. They found out that the content of methoxy groups decreased by 76% for pine wood and 70% for lignin when using fast the pyrolysis system. The carbonyl groups also decreased by 76% and nearly completely eliminated in 600 °C pine wood fast pyrolysis oil. Compared to the slow pyrolysis process, fast pyrolysis process was found to improve the cleavage of methoxyl groups, aliphatic C-C bonds and carbonyl groups and produce more polyaromatic hydrocarbons (PAH) from lignin and aliphatic C-O bonds from carbohydrates. Another remarkable difference between fast and slow pyrolysis oils was the molecular weight of fast pyrolysis oils increased by 85–112% for pine wood and 104–112% for lignin. [129]

Van Eck *et al.* studied the effects of thermochemical treatments (aquathermolysis, pyrolysis, and combinations thereof) on the lignocellulosic structure and composition of wheat straw were studied with ¹³C and ¹H solid state NMR spectroscopy and proton T_{1p} relaxation measurements. Results show that aquathermolysis removes hemicellulose, acetyl groups, and ash minerals. As a result, the susceptibility of lignocellulose to pyrolysis is reduced most likely due to the removal of catalytically active salts, although recondensation of lignin during aquathermolysis treatment can also play a role. In contrast to pyrolysis of wheat straw, pyrolysis of aquathermolysed wheat straw leaves traces of cellulose in the char as well as more intense lignin methoxy peaks. Finally, it was found that both pyrolysis chars contain aliphatic chains, which were attributed to the presence of cutin or cutin-like

materials, a macromolecule that covers the aerial surface of plants, not soluble in water and seemingly stable under the pyrolysis conditions applied. [130]

Link *et al.* have also studied the effects of properties of the different biomass samples, the leaching pre-treatment process to remove inorganics, and the pyrolysis heating rate on the composition of chars obtained during pyrolysis at 800°C. They have also attempted to investigate the changes in carbon structure and sodium environment because of pyrolysis with ²³Na nuclear magnetic resonance (NMR) ¹³C solid-state cross-polarization magic-angle spinning (CP-MAS) NMR was used, resulting in spectra indicating the various groups of carbons present in the pure biomass samples. No evidence was found that the leaching process could affect the carbon composition of the biomass samples. In the char samples at 800°C, only aromatic groups of carbon were left, shown by ¹³C solid-state single-pulse MAS NMR. According to the results, the origin of biomass, the leaching procedure, and the different heating rates do not affect the char carbon composition. Therefore, it could be assumed that the carbon structure does not affect the reactivity of the char. ²³Na single-pulse solid-state MAS NMR was applied to investigate the sodium environment in the biomass and char samples. Despite experimental difficulties, the authors were able to observe definite differences in line width and shifts of the studied compounds. This proves that leaching affects the fuel properties, i.e., sodium chemistry in a biomass sample, and therefore, it could be assumed that the char reactivity is affected. [131]

There is an increasingly amount of literature on the conversion of lignin into carbon materials. It all started using activation methods [132] (see next paragraphs). Lignin has been also used as a precurors for the production of microporous carbons via hard templating. [133] Considerable research efforts are also directed towards the use of lignin to prepare carbon fibres via electrospinning or melt spinning of anhydride-modified lignin. [134,135,136,137] However, none of these studies provide an extensive characterization of the carbon materials using ¹³C-solid state NMR.

A further category of biomass-derived carbon materials is constituted by activated carbons (ACs). Their synthesis (activation) relies on the thermal treatment of the biomass precursor in the presence of an activating agent. The activation methods are usually divided into 2 different categories: physical and chemical. In the former case, a two-step process is usually employed. The AC precursor is first carbonized and then selectively oxidized using a gas phase, most frequently CO₂ or H₂O. During chemical activation instead, the AC precursor is firstly impregnated with an activating agent (eg. ZnCl₂, H₃PO₄, KOH, NaOH), then heat-treated and finally washed to remove all the inorganic side products. Temperatures, used during the activation process, are usually within the range 500 – 800 °C.

graphitic domains. This translates into an extreme paucity of protons within the ACs sample, which renders the utilization of CP sequences extremely challenging, when it comes to analysing ACs by ¹³C solid state NMR means. This difficulty is the main explanation, why ¹³C solid state NMR works, focusing on the characterization of ACs, are extremely rare, if not unique, within the literature.

In the first traceable works ¹³C solid state NMR is not used to characterize ACs, but it is instead employed to determine the evolution of the biomass precursor chemical structure into the final carbon product. [139] To this regard one of the most complete analysis is the one provided by Solum *et al.* [140] In this study, the ¹³C NMR spectra of samples obtained from simple pyrolysis of white oak at different temperatures are compared to samples, which were instead activated with phosphoric acid at the same temperatures. The authors describe the evolution of the spectra as a function of temperature highlighting that phosphoric acid significantly reduces the reactivity temperature threshold of cellulose and accelerates the development of aromaticity on heat treatment. Furthermore the authors speculate that phosphoric acid promotes the formation of cross-links within the biomass precursors at low temperatures (200-250°C) allowing the retention of volatile species and resulting consequently into a higher carbon yields. Puziy *et al.* carried out a similar work and confirmed the common difficulties in regard to the characterization of ACs. [141] They were only able to obtain spectra predominantly characterized by broad resonances. The lack of resolutions is attributed to the presence of free radicals, the amorphous nature and the complex structure of the highly dipolar coupled materials.

Exploiting the chemical shift variation ($\Delta\delta$) of physisorbed ¹³C-labelled benzene on carbonaceous materials depending on their degree of conjugation, as previously explained, McBeath *et al.* also included ACs (two samples: the first commercially available, the second obtained via steam activation of manure char) in the aromaticity quantization analysis of several carbonaceous materials. This study also concludes that ACs yield very poor quality CP spectra characterized by broad resonances. Furthermore spectra of the ACs, obtained with SPE experiments, highlight the major aromatic nature of the samples and the absence of functional groups. []

Cheng *et al* have conducted one of the most complete studies on the characterization of ACs by ¹³C solid state NMR. In order to overcome the limits of CP sequences, the authors complemented their analysis with SPE experiments, explaining that combining these two categories of NMR experiments allows a reliable quantitative and qualitative characterization of the ACs. [142] The CP spectra provide information on the chemical composition of the biomass precursor and on how the activation process leads to its modification. The SPE experiments allow observing and quantifying the graphitic carbons, which are underestimated in CP experiments due to the lack of protons in their proximity. Cheng *et al*

employed also more complex pulse sequences in order to obtain more specific information regarding the chemical structure of ACs. Dipolar dephasing coupled to CP was used to calculate the mole fraction of bridgehead aromatic carbon. Variable-contact-time experiments provided information regarding the mobility and homogeneity of carbons in the sample. The mobility of the carbon matrix was also analyzed with experiments aimed at testing the relaxation response of the carbon nuclei (Inversion Recovery for measuring the spin-lattice relaxation times, T₁). [142]

The above-described studies clearly show that the use of ¹³C solid state NMR for the characterization of ACs is indeed a challenging task. Lack of protons, high density of paramagnetic centers, high heterogeneity of magnetization dynamics due to various carbon environments are only some of the several challenges hindering the use of ¹³C solid state NMR. On the other hand the continuous improvement of spectrometers and increased NMR understanding are certainly allowing a more widespread use and easier accessibility of this characterization technique. Therefore it is foreseeable that in the future ¹³C solid state NMR will certainly gain more and more importance in the field of ACs characterization providing more detailed insights on the chemical structure of these functional carbon materials.

As a conclusive remark, even if the list of works using ssNMR to study processed biomass is probably not exhaustive, it is quite clear that only recently a small amount of researcher worldwide starts to apply the most recent developments in this spectroscopic technique to better understand both structural features in the processed material and the effect of the process itself on the initial cellulosic and/or lignitic structures. This is even clearer in the next section, where carbons obtained from the hydrothermal treatment of carbohydrate and biomass are analyzed using advanced NMR tools which allowed drawing the structure of this particular class of carbonaceous structure and effectuate a parallel with the charcoals.

4.2.2 Torrefaction

Torrefaction is another thermal conversion method of biomass in the low temperature range of 200-300 °C. Biomass is pre-treated to produce a high quality solid biofuel that can be used for combustion and/or gasification. Torrefaction is based on the removal of oxygen from biomass which aims to produce a fuel with increased energy density by decomposing the reactive hemicellulose fraction. Different reaction conditions (temperature, inert gas, reaction time) and biomass resources lead to various solid, liquid and gaseous products. There are several excellent reviews in the literature on biomass torrefaction, and we address the reader to them for a more comprehensive overview of this

thermal treatment. [143] Here, we will limit ourselves to describe how ¹³C-solid state NMR has brought valuable information on the composition and structural changes of torrefied biomass.

The use of solid state NMR in the study of torrefied biomass is only very recent and it does not benefit, so far, of an extensive use of the most advanced tools. Single Pulse, CP-MAS and dipolar dephasing experiments are the ones which have been employed so far, and at different degrees. Probably the most accurate and complete study has been published by Melkior *et al.* [144] on the torrefaction of beech wood at temperatures between 200°C and 300°C. The interesting point in this work is the rigorous approach in terms of quantification for NMR spectra. CP-MAS spectra have been carefully decomposed and their intensity was corrected to take account of the magnetization build-up as a function of the contact time. By this way, they were able to show that: 1) hemicelluloses loose their acetyl groups just above 200°C; 2) cellulose (and hemicellulose) starts its degradation process above 245°C; 3) between 200°C and 245°C amorphous cellulose (C-4 signal at 84 ppm) partially recrystallizes into ordered domains (increase of the C-4 signal at 89 ppm; 4) demethoxylation process in lignins also starts above 200°C. Finally, they have used a series of ¹³C-¹H 2D HETCOR NMR experiments to show that the increased aromatic fraction (110 ppm - 130 ppm) occurring after treatment at 300°C is not linked to oxygenated units (e.g., derivatives of cellulose) but is must be linked to an aromatic network, whose exact nature was not discussed.

A similar experimental approach was proposed for the study of torrefaction mechanisms of pine wood [145]. Interestingly, somewhat opposite results have been found. For instance, these authors found that crystallinity of cellulose actually decreases, instead of increasing, as proposed by Melkior. They have also reported that carbonylation increases with temperature instead of decreasing, as shown by Melkior. Such significant differences in terms of result interpretation may be explained in various ways. From a sample preparation point of view, the thermal treatment (temperature, residence time, heating rate) may not be the same, as well as pre-heating step to remove water. Secondly, as we mentioned several times, the crude use of CP-MAS spectra for quantitative purposes is not correct. In particular, Melkior *at al.* [144] use corrected intensities and normalize their spectra to the mass introduced in the NMR rotors CP-MAS, while Ben *et al.* [145] do not seem to care about such an important issue, thus suggesting their quantification process to be probably less reliable. To avoid these problems, Park *et al.* [146] have employed SPE for quantification purposes and coupled them to DD experiments to identify quaternary carbon species. This study seems to show that the amount of carbonyl groups slightly increases (and then decreases) with temperature, as shown by Melkior. However, the poorer quality of SPE experiments (with respect to CP-MAS) may induce important

errors (which were not estimated) in low intense peaks. In addition, authors have shown that the amount of aromatics increases with temperature and the size of the aromatic clusters becomes larger. This latest assumption was made by evaluating the proportion of non-protonated carbons (by DD) with respect to protonated ones. A couple of additional studies can be added to this short list, but since the approach is strictly the same as the ones described so far, they will not detailed further. [147,148].

4.3 Hydrothermally treated biomass

Hydrothermal Carbonization of biomass has recently emerged as an alternative for the production of sustainable carbon materials from biomass and biomass derivatives. A recent review paper related to this technology can be found in ref [149]. Here we will only limit to the description of the final chemical structure of these materials analyzed using ¹³C ssNMR as well as to potential chemical reactions involved in the HTC formation mechanism.

Hydrothermal carbonization is a process that generates materials with a degree of structural complexity that recalls the one found in other carbonaceous materials (e.g., chars from lignins and cellulose) and with a relative amount of elemental carbon content above 60 w%, obtained from elemental analysis. [150] FT-IR and XPS spectroscopies do not provide enough resolution to precisely depict the structure of HTC, as spectra are poorly-resolved and composed of overlapping peaks. The presence of a broad hump and absence of diffraction peaks in XRD indicate that the material is macroscopically amorphous. According to the broad literature discussed above on the use of ssNMR to solve the structure of coal and chars, one can probably successfully employ techniques like CP-MAS to obtain a preliminary analysis of the HTC carbon chemical structure. However, this approach will most likely not provide a definitive structure model, as it was in the case of lignins, kerogens or any other biopolymer-derived char. To better proceed in the characterization of these materials, a different analytical strategy has been employed in the literature and in this section we show how the combination of advanced ¹³C ssNMR techniques, use of model compounds and isotopic enrichment contribute to a deeper understanding of HTC-derived materials.

Early structural studies employed FT-IR, Raman, XPS and even standard ¹³C ssNMR spectroscopies and two structural models have been proposed to interpret the results generated by these techniques. [151,152,153] Figure 6 summarizes the two possible structures representing the carbonaceous scaffold. Authors describe it as composed of: 1) "small clusters of condensed benzene rings forming stable groups with oxygen in the core" [151] or of condensed polyaromatic structures.

[152] In any case, these structural models are very similar and, even if they satisfactorily help to interpret FTIR, XPS and XRD experimental data, they are not consistent with ¹³C ssNMR experiments.

In the following sections we will describe in detail the potential chemical paths involved in the formation of HTC materials but also the structure of their carbonaceous scaffold obtained by ssNMR. We discuss in particular HTC obtained from model carbohydrates, including cellulose, but also from non-model lignocellulosic biomass.

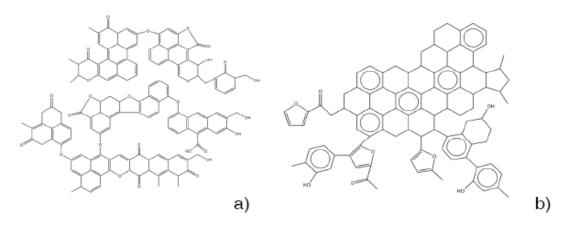


Figure 6 - Early structural models proposed for HTC. a) Adapted from Ref. 151a; b) Adapted from Ref. 152.

4.3.1 Hydrothermal carbons from saccharides

Hydrothermal treatment of saccharides essentially involves three main steps: dehydration, polymerization and finally carbonization/aromatization. It is well accepted in the literature that upon the dehydration of glucose, firstly isomerisation to fructose thatches place (i.e. the Lobry de Bruyn – Alberda van Ekenstein transformation). [154] Once fructose is formed as in intermediate, it looses three water molecules and forms hydroxymethylfurfural (HMF). Our research group and others demonstrated that indeed, HMF is the main "monomer" involved in the formation of HTC materials. During the dehydration of glucose, other low molecular weight compounds are formed such as for example levulinic and formic acid (Figure 7). They result via the reaction of HMF with water (Figure 8d). These small molecules also contribute to the HTC carbon network formation either via aldol reactions (i.e. between HMF and levulinic acid) or by simple physisorption. The small molecular weight acids have also a catalytic role as they lower the pH and thus promote further dehydration reactions. [155] When pentoses, (5-C carbohydrates) are used instead, the formation of HTC goes towards the formation of furfural, as intermediate instead of HMF resulting in a more condensed HTC structure.

Figure 7 - Glucose dehydration to HMF via fructose isomerisation; further rehydration of HMF into levulinic and formic acid

The first, very important, question to which one must provide an answer is to establish a relationship between the type of carbohydrates, their complexity and the final HTC carbon structure. A preliminary ¹³C ssNMR study on HTC carbons derived from different mono and polysaccharides (i.e. fructose, glucose, starch, xylose) highlighted that the main factor affecting the chemical nature of the HTC product is the structure of the parent sugar. [150, 156] Pentoses (e.g. xylose) derived HTC carbons possess a more marked aromatic character than hexoses (e.g. glucose). Such a difference is demonstrated by a more intense peak at $\delta = 125-129$ ppm in the ¹³C CP-MAS ssNMR spectrum in the former case, which is characteristic of aromatic carbons belonging to graphitic or long range conjugated double bonds structures. The different HTC reaction intermediates (HMF versus furfural) may be the explanation for such a finding. As reported in literature, the reactivity of these two intermediates is indeed different, as a consequence it also reflects in the chemical structure of the respective HTC carbons. [157] Further Gas-Chromatography coupled to Mass Spectrometry (GCMS) and solution ¹³C NMR experiments on the glucose system confirmed that the major intermediate in the reaction mixture is 5-HMF. This finding, coupled to the evidence that the ¹³C ssNMR spectrum of 5-HMF derived HTC carbon is very similar to all HTC spectra obtained from different types of mono and hexose polysaccharides, led to the conclusion that polymerization/condensation reactions involving 5-HMF monomers are the route of formation of HTC carbon. Furthermore by a simple comparison of ¹³C CP-MAS NMR spectra of various HTC materials, it was also observed that the degree of initial polymerization of the hexose-based saccharides (mono, di- or poly-saccharides) does not influence the final structure, since all the ¹³C spectra of HTC carbons derived from hexose-based saccharides are characterized by identical resonances.

Obviously the next question arising is how do the HTC materials form from HMF and what chemical reactions are involved. In other words, what chemical reactions are taking place in the autoclave during the HTC process? A clear and straightforward answer cannot be provided at this stage due to the complexity and the multitude of reactions occurring at the same time under hydrothermal conditions. In situ monitoring with LC-MS could provide some answers; however to the best of our knowledge such experiments have not been yet performed. At this stage, we can only presume some potential reactions by simply having a look in organic chemistry textbooks on furan chemistry. (Figure 8) HMF is a highly reactive molecule, which can react further either via its substituents (hydroxy and carbonyl) or via the furan ring. The hydroxyl group can be involved in nucleophilic substitution reactions (Figure 8a). The aldehyde group of HMF can undergo aldol condensations with aldehydres or α -ketones (Figure 8b). In the presence of alcohols, the same aldehyde group can form hemiacetals (Figure 8c).

The furan ring can react with water resulting in ring opening and formation of levulinic and formic acid as described previously (Figure 7, Figure 8d). Diels-Alder reactions between the conjugated furan ring (Figure 8e) or the conjugated aldehyde substituent on the furan ring (Figure 8f) and a double bond resulting during HTC by fractionation of biomass can lead to aromatization.

Figure 8 - Possible chemical reactions of HMF during the hydrothermal carbonization process

According to Patil *et al*, the acid-catalyzed conversion of 5-hydroxymethylfurfural (HMF) produces levulinic and formic acids in equal amounts. Aldol addition and condensation are proposed as important reactions in the acid-catalyzed growth of HTC, adding HMF to 2,5-dioxo-6-hydroxyhexanal. [158] This finding is supported by the infrared (IR) spectra which indicate that the furan ring and hydroxymethyl group of HMF are present in the humins, but the carbonyl group is not.

There is no doubt that indeed the aldol reactions between levulinic acid derived alpha hydrogen diketones play a very important role in the formation of HTC however this is not the only reaction happening inside the autoclave. This was also recently observed by Weckhuysen et al who study the formation mechanism of "humins". They showed that "humin" (HTC) formation involves reactions other than aldol condensations. A study on their molecular structure using elemental analysis, IR, solid

state ¹³C NMR spectra and pyrolysis- GC-MS revealed, in good agreement with our observations, a furanic structure with alcohol, acid, ketone and aldehyde functional groups, which is formed via a dehydration pathway. Based on this information a model for the molecular structure for glucosederived humins was proposed. It was also found that xylose-derived humins have a more conjugated molecular structure. [159] Obviously, one can not provide a simple answer to the questions: How do HTC materials for and what is their precise chemical structures? The HTC structure is strongly influenced by the process parameters such as precursor type and concentration, pH, residence time, pressure, temperature, etc. In the next paragraph, we show how ssNMR has brought clear-cut answers to the structural resolution of HTC and in particular how it was able to prove the presence of a large extent of furan moieties in their core.

Figure 9 shows typical 13 C CP-MAS spectra of several HTC materials obtained from various sources: glucose, xylose, HMF and furfural. [150] It is quite striking the fact HTC from glucose and HMF are very close both in terms of morphology (refer to Ref. 150 for SEM images) and NMR signature. This is also the case for HTC obtained from furfural and xylose. These experiments bring an important information in term of the chemical paths engaged by HMF and furfural precursors in the formation of HTC. At the same time, 13 C ssNMR spectra show a large number of signals from 0 ppm to 210 ppm, thus suggesting the presence of a complex network of aliphatic (δ < 100 ppm), aromatic (160 ppm < δ < 100 ppm) and carbonyl (δ > 160 ppm) groups. In Ref. 150 it was shown that similar spectra could be obtained from a large number of precursor carbohydrates, undoubtedly showing a reaction mechanism common for several types of sugars. For this reason, a sound ssNMR work has been carried on a model, fully 13 C-labeled, HTC from glucose.

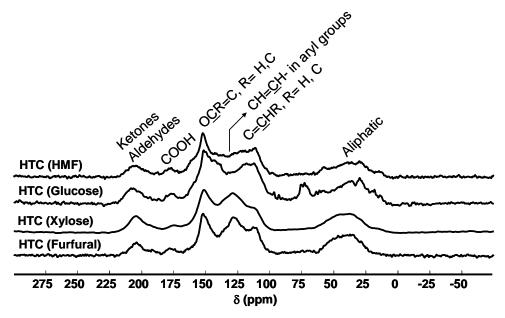


Figure 9 - Typical ¹³C CP-MAS spectra obtained on HTC from glucose, xylose, HMF and furfural

Initially, one should precisely attribute the ¹³C resonances in the typical CP-MAS spectrum and in particular it is important to identify, for instance, C, CH, CH₂ and CH₃ carbons but also the exact nature of the aromatic signal. To do so, several techniques can be combined together to record ¹³C spectra at 14 kHz MAS rate, as shown in Figure 10, where the variation in relative intensities in the spectra is a typical result of the spectroscopic filter used, directly related to the type of pulse sequence employed: SPE (Single Pulse Excitation) is recorded for quantification purposes; CP (Cross Polarization) is acquired at a contact time of t_{CP}= 3 ms; INEPT (Insensitive Nuclei Enhanced by Polarization Transfer), a typical liquid-state technique, explores through-bond J-coupling interactions; DQ-SQ (Double Quantum Single Quantum) spectrum, extracted from the two-dimensional experiment presented later (and in Ref. 156), is useful to identify a larger number of peaks (A through M), corresponding to specific chemical groups, undetectable with other pulse sequences. The combination of these experiments recorded on the same sample allow to state the following: the CP and SPE spectra are very similar, telling that the material is macroscopically homogeneous from a chemical point of view; INEPT is a more straightforward alternative to filter-out non-protonated carbons as compared to the Dipolar Dephasing experiment, more systematically employed in the study of chars, as widely discussed above.

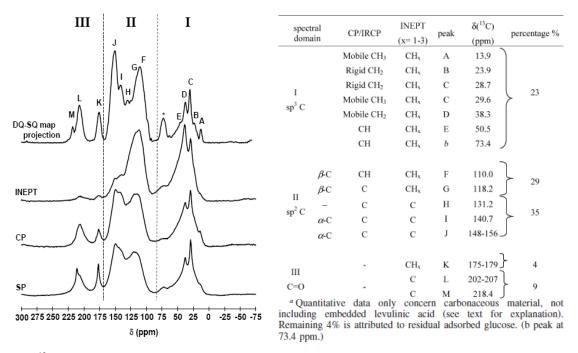


Figure 10 – ¹³C MAS NMR spectra of HTC obtained from glucose and relative attribution. SP=SPE, Single Pulse Excitation; CP= Cross Polarization experiment; INEPT= Insensitive Nuclei Enhanced by Polarization Transfer experiment; DQ-SQ= Double Quantum Single Quantum, projection of the 2D experiment presented in Ref. 156

Even if the experiments presented in Figure 10 help assigning each signal to a specific functional group, some ambiguity can still occur, especially for the broader signals (e.g., peaks, F or E). In this case, a full CP analysis at variable contact times, combined with an accurate spectral deconvolution based on the DQ-SQ spectrum, can be very helpful to bring more answer. For instance, Figure 11 presents a detailed Inversion Recovery Cross Polarization (IRCP) study of a fully-labeled 13 C HTC sample obtained from glucose. IRCP is a CP-based technique conceived to probe the local proton environment around a carbon nucleus. Discrimination between the various 13 CH_x sites depending on the x value and between rigid and mobile 13 CH_x sites is then possible. The main advantage of this experiment relative to the standard CP sequence is that the magnetization starts from an optimum value, then decreases and eventually becomes negative when increasing the inversion recovery time, t_i . It is thus easy to visualize differences in inversion dynamics of various signals especially when they overlap. On the basis of theoretical arguments, the relative rates of polarization are expected to be as follows: [160]

 $CH_3(static) > CH_2 > CH > CH_3(rotating)$, C(non-protonated)

For the IRCP experiments presented in Figure 11, a contact time $t_{\rm CP}$ = 3 ms was chosen in order to maximize the polarization of the 13 C nuclei, while 21 spectra were recorded for various inversion times, t_i , ranging from 1 μ s to 5 ms. Briefly, Figure 11a presents the comparison between the resolved DQ-SQ and a typical IRCP spectra, where the (A) to (E) attributions are used to deconvolute the former (refer to part c) of the figure). Figure 11b shows selected IRCP spectra recorded at variable t_i , thus putting in evidence the distinct behaviour of each chemical group upon signal inversion. Figure 11c shows the deconvolution process of the IRCP spectra while part d) presents the evolution of the normalized integrated (*I*) intensity with t_i . The minimum value of the $I(t_i)$ for each curve identifies a typical 13 CH_x group: $I(t_i) = -1/3$, 0 and >0 respectively represent CH₂, CH and CH₃ groups.

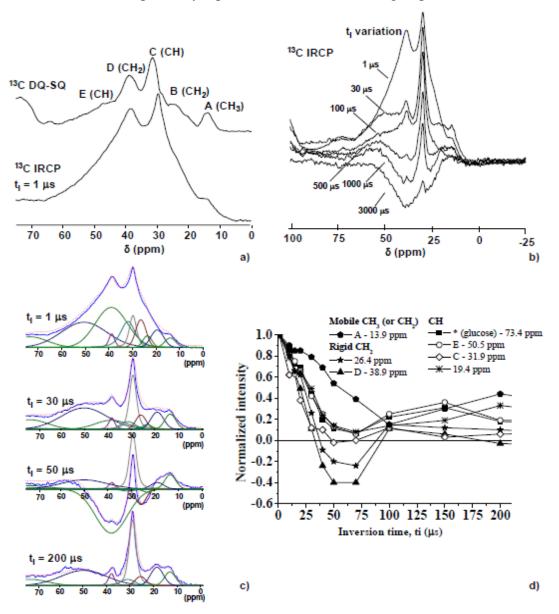


Figure 11 – Inversion Recovery Cross Polarization experiment performed on a ¹³C-labelled HTC sample. Adapted from Ref. [156]. For attribution, please refer to table in Figure 10.

Finally, connectivity among carbon sites can be done using a 2D experiment, as discussed in previous examples on cellulose, for instance. In this case, ¹³C homonuclear DQ-SQ experiments have been used to deduce the major bonding patterns within HTC. On-diagonal peaks indicate ¹³C spin pairs, belonging to equal chemical environments, while off-diagonal cross-peaks show the linkage between carbons that are present in different functional groups. Figure 12, adapted from ref.156 and 161, shows the typical 2D ¹³C-¹³C DQ-SQ maps of HTC (part a) and thermally-treated HTC (part b). The structural schemes given in parts c) and d) are obtained from their analysis.

Two important results are extracted from this experiment, and which clearly show how models given in Figure 6 are inconsistent with the NMR results:

- 151-110 ppm cross-peaks connection. These cross peaks, of equivalent intensities, are strongly interconnected, indicating that HTC is dominated by a O-C=C- type of bond. O-C= and C=C- moieties respectively resonate at 151 ppm and 110 ppm. According to the discussion on the chemical formation of HTC, one realizes that this connection is the main motif of a furan ring, which is the main intermediates in the HTC formation. Scheme in part c) summarizes the main structural motif of HTC.
- 127 ppm, on-diagonal, peak. Intra-carbon interactions typically found in conjugated aromatic rings are expected at such position, as expected after the models given in Figure 6. Such an on-diagonal cross peak is not detected, thus suggesting that aromatic arene-like rings are relatively rare species in glucose-derived HTC carbon (processing temperature: 180°C). However, after a pyrolysis step of HTC at 350°C, the on-diagonal cross peak at 127 ppm starts to appear, as indicated by the arrow in Figure 12, part c). This is the clear sign of increasing amounts of condensed arene moieties, as schematized in part d).

The combination of these two points suggest that furan moieties are the major constituent of HTC carbon materials obtained from glucose and, considering the work detailed in Ref. 150, all hexoses excluding cellulose, as presented in the following section.

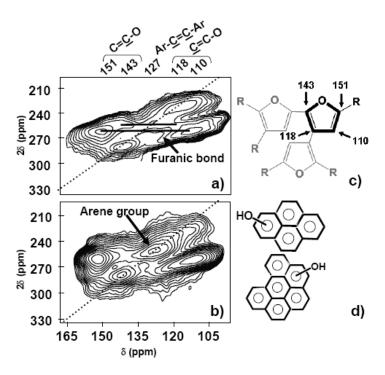


Figure 12 - 2D 13 C- 13 C DQ-SQ MAS NMR correlation spectrum for: a) HTC obtained from glucose (T= 180° C); b) calcined HTC at 350° C. c) Scheme of the simplest furan-based structural motif found in HTC. d) Condensed arene moieties developed after calcination.

The NMR experiments indicate the furans to be mostly 2,5-substituted, therefore aldol condensation can be considered to be indeed one of the most important occurring reaction in the formation of hydrothermal carbons. Therein, diketones will react with the very reactive aldehyde function of HMF and with other diketones.

The involved dehydrofurans and double bond give rise to some Diels-Alder reactions with the inherent diene substructure of furanes creating further cross-linking and additionally aromatic structures observed in a very small percentage from NMR. Formation of the abundant CH_2 groups acting as linkers between furan rings may originate from nucleophilic addition after possible elimination of the hydroxyl group on HMF. The nucleophilic attack on the aldehyde group of HMF from a second furanic unit may lead to hydroxyl species that can, in turn, be eliminated with subsequent electron rearrangement and formation of a sp² CH carbon between two furan moieties. The formation of specific CH_3 and COOH ending groups (from $C\alpha$ of furan ring) was previously reported. [157] In summary, we can speak of a set of dehydration, polymerisation, condensation and addition and aromatisation reactions, which lead to the final chemical structure of HTC.

From NMR we found that about 60% of the carbon atoms belong to a cross-linked furan-based structure. Furan moieties are directly linked either *via* the R-carbon or *via* sp²- or sp³-type carbon groups, where cross-linking can occur. Additional cross-linking sites are located at the β carbons of the

furan ring. We also found that levulinic acid, one of the decomposition products of hydroxymethylfurfural (HMF), is highly abundant in the final material as embedded molecule.

It is indeed very challenging to fully understand the chemistry behind the formation of HTC materials. *In situ* monitoring of the reaction using NMR or LC-MS are alternatives. However, these are difficult to achieve because of the very severe conditions inside the autoclave. In this respect the use of ¹³C solid state NMR on ¹³C labelled glucose derived materials together with revising furan chemistry helped us to propose a potential mechanism and a final chemical structure of HTC materials.

A very recent paper by Heeres *et al.* has also performed a thorough characterization of the HTC structure obtained from glucose and fructose involving ¹³C solid state studies. The ¹³C-NMR spectra and the findings are very similar to our results. In addition, the authors also performed pyrolysis product distribution studies on these HTC materials. From these results it was concluded that furanics were the main liquid products at low temperatures while at higher temperatures phenolics and benzofuranes are observed. This is a very interesting study as it opens new perspectives for the HTC materials to be used also as platform materials for the production of interesting biochemical which may serve as building blocks for renewable polymers, biofuels and biofuel additives. [162]

4.3.2 Hydrothermal carbon from cellulose

HTC materials derived from hexoses have very close 13 C NMR spectra [150], thus indicating that the mechanism of formation must be very similar despite the initial chemical nature of the sugar. However, this is not the case for HTC carbons obtained from cellulose. At an hydrothermal temperature of $T = 180^{\circ}$ C, the typical value used in the case of carbohydrates, cellulose is still unaffected as its 13 C spectrum is unchanged from the native material. One must use at least $T = 200^{\circ}$ c to start observing a chemical transformation. At this temperature, the 13 C spectrum is easily traceable to a typical carbonaceous material, similar to what has been described so far. However, a major difference occurs. HTC from cellulose, independently from the processing temperature (200° C < $T < 280^{\circ}$ C), always displays a strong resonance in the 120-130 ppm region, and which is not observed in a pure glucose-based HTC below 200° C. [163] These data show that the amount of aromatic arene-like groups is sensibly higher in cellulose-derived HTC than in other hexose-derived carbons. If one argues that this depends on the higher temperature used to degrade cellulose, it is still unclear the reason why the central resonance at $\delta = 125$ –129 ppm is always present even at very short reaction times, [163] contrarily to what was observed for the treatment of glucose as a function of time at the same temperature. It seems then that the hydrothermal carbonisation of cellulose does not proceed solely

through a HMF-derived intermediate, as observed in the case of the model monosaccharide (i.e. glucose) and other hexoses. The major conversion mechanism seems instead thought to be the direct transformation of the cellulosic substrate into a final carbonaceous material composed of polyaromatic arene-like networks, presumably involving reactions that are normally characteristic of the pyrolysis process. During cellulose pyrolysis, the char formation is attributed to a manifold of reactions leading to cellulose intramolecular rearrangement and formation of a cellulose-derived polymeric compound, referred to as "intermediate cellulose", discussed above and in Ref. 114. This reaction intermediate then converts to aromatic network structures at extended reaction times. [4,5,164,165] Even if this mechanistic speculation is well supported by the similar ¹³C NMR profiles of cellulose-derived HTC carbon and char obtained from lignocellulosic biomass pyrolysis, [120,121] very recent experiments seem to show that, as it was found for the pyrolitic treatment of cellulose, the processing conditions are of extreme importance and can lead to contradictory results.

In the specific case of cellulose-derived HTC, Berge et al. [166] have just found, using the ratio between the furan/arene signatures in ¹³C solid state NMR, that it is actually possible to isolate furanrich intermediates at short reaction times (generally below 5h depending on the chosen temperature) and slow heating rates. These finding are very important as far as the understanding of the actual behaviour of cellulose degradation through hydrothermal conditions is concerned, especially if compared to the process undergoing during pyrolysis. In fact, this work goes in the sense of comparing biomass derived chars, obtained from direct pyrolysis, and HTC process, which becomes an obvious question that needs to be addressed in order to place HTC within the context of thermo-chemical processing techniques. This was already addressed in a previous work, [163] where we had shown how the analogies/difference between pyrolysis and HTC can be quantified using the furan-to-arene ratio calculated from the corresponding NMR resonances. Upon pyrolysis, the carbon content of HTC products increases from 60% to about 90%, as expected. The corresponding ¹³C SPE MAS NMR data [161] show that, if the amount of arene groups appreciably increases between HTC and its counterpart calcined at 350°C, the two materials are still very similar, as confirmed by 2D DO-SO ¹³C NMR experiments (Figure 12). However, increasing the pyrolysis temperature from 350°C to 550°C results in the disappearance of furanic associated resonances, as polyaromatic arene-like species become the most dominant structural motif. A 750°C treatment leads to the enhanced aromatization of the HTC, as indicated by the drifting of the central aromatic resonance to a lower chemical shift ($\delta = 125$ ppm) and by the broadening of its profile. Both features are indicative of an extended delocalized π -system and of a reduced mobility of the carbon species. [126] 2D DQ-SQ ¹³C NMR experiments confirm these results [161] and provide stronger evidence for the early models models given in Figure 6. Similar trends have been observed, for instance, during the pyrolysis of polyfurfuryl alcohol (PFA) derived furan-rich resins. [118,167] At higher temperatures, the peak at $\delta = 127$ ppm becomes more and more intense, thus indicating that the whole material is composed of condensed aromatic rings, as expected at these temperatures.

The 13 C NMR analysis of pyrolyzed HTC samples turns into a very useful analytical tool to follow the development of the carbon structure as a function of pyrolysis temperature. A comparison between pyrolyzed sugars and HTC (T= 350° C) shows that the aromatic region (i.e. δ =100-150 ppm) of the former has a slightly different profile. The difference arises mainly from the lack of the δ =118-110 ppm shoulder in the pyrolyzed sugar samples, indicating the possible absence of furan groups. If this was the case, then both spectra should not show the resonance at δ =148-151 ppm, which is instead still present. However, such a peak can also be attributed to oxygen-substituted arene-type moieties, which form during the pyrolysis process. [161] The direct comparison between pyrolyzed sugars and HTC shows how the former process is able to generate an additional intermediate stage, rich in furans, between the parent sugar and the polyaromatic char structure, obtained from high-temperature pyrolysis.

Very interestingly, these studies are in very good agreement with a similar work by Cao *et al* [168] in which two types of swine-manure chars, hydrothermally produced hydrochar and slow-pyrolysis pyrochar, and their raw swine-manure solid were characterized using advanced solid-state ¹³C NMR spectroscopy. The authors also looked at the differences occurring in the resulting HTC chemical structure upon washing the product only with water or acetone. Interestingly it was found that acetone removed the soluble intermediates deposited on the hydrochar, as shown by the decrease of O-alkyl (primarily carbohydrates), corresponding increase of aromatic/olefinic carbons and complete removal of OCH₃ groups. This is in good agreement with our studies on Soxhlet extracted HTC samples from which an important amount of levulinic acid can be extracted from the glucose-derived HTC. [169]. Please also note the ¹³C-NMR of glucose HTC showed a high amount of embedded levulinic acid. [150] As in our case, the pyrochar produced from slow pyrolysis was structurally different from HTC hydrochars. The dominant component of pyrochar was aromatics, whereas that of hydrochars was alkyl moieties.

Despite a clear trend in the low amount of literature on this topic, a recent study [166] brings some additional, contradictory, insight to the discussion. In fact, it seems that different HTC processing conditions, heating rate in particular, on cellulose can help controlling its degradation process and put in evidence the presence of large amounts of furans with respect to polyaromatic clusters. This is

clearly shown by the sequence of ¹³C MAS NMR spectra shown in Figure 13, where cellulose was treated at T= 225°C for 96h (the corresponding heating rate profile is given in Figure S1 of Ref. 166). The spectrum recorded at the end is very similar to the one for cellulose-derived HTC at T= 200°C or to pyrolyzed HTC at T= 350°C. On the contrary, the one recorded at short time (t= 4 h) is rather characteristic of a furan-rich sample, where the arene resonance at 125 ppm is of lower intensity than the two shoulders at 150/110 ppm, typical for furans. At higher heating rates, the furan-rich spectra are observed at reaction times shorter than 2 h. Even if the presence of furans at low processing temperatures is not surprising, and actually quite expected, it shows once again the importance of processing time, temperature and heating rates and suggests that future works on this topic should carefully consider them as key parameters to explore and adjust in order to better understand the true behavior of biomass submitted to HTC.

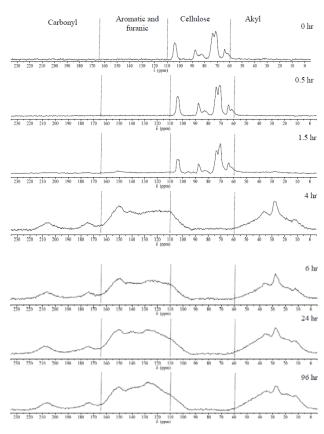


Figure 13 - Evolution of the ¹³C MAS NMR spectrum of cellulose under HTC conditions at T= 225°C during 96 hours. From Ref. [166].

In Figure 14 we show a summary of the effect of hydrothermal and pyrolysis processes pathways on hexose-based carbohydrates, including cellulose (pentoses are not included). In particular, the typical ¹³C CP-MAS NMR spectra are provided, since they can be considered as the fingerprint of the

carbonaceous materials. Three main stages were identified: furans are the major structural unit, furans and arene groups are present within the structure, the whole material is composed of an extended aromatic network. Meanwhile, four different pathways to reach each stage are also summarized as a function of the main processing conditions explored so far. The main message is that the furan-to-arene ratio in the final material can be tuned as a function of the initial biomass source (e.g., glucose vs. cellulose) and nature of the hydrothermal process. For instance, the furan-rich HTC carbon stage (top-right of Figure 14) can only be obtained either via hydrothermal treatment of hexose-based carbohydrates, excluding cellulose, in a rather straightforward way or by being very precise on the heating rate control when using cellulose. Pentoses, which are not discussed in Figure 14, have been found to form mainly arene-rich compounds.

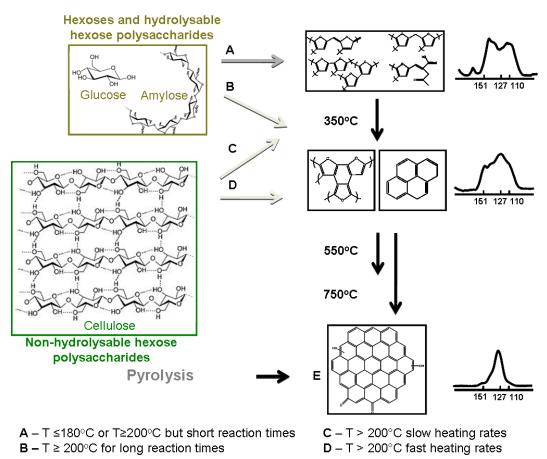


Figure 14 - Scheme summarizing the combined effect of hydrothermal treatment and pyrolysis on hexoses and cellulose. Typical ¹³C NMR spectra (values represent chemical shift, in ppm) are given on the right side.

A short note should be mentioned about hydrothermal treatment of lignin. At the moment, lignin is hardly transformed into char by hydrothermal treatment, at least at temperatures below 250°C and self-generated pressures. In more complex biomass (e.g., wood), only the cellulose part seems to be affected. [163]

6.0 Conclusions

The conclusion section is divided into 2 parts. In 6.1, we want to provide a handy view of the most relevant ¹³C spectra for both biomass and chars. This includes typical spectra that one may find in the literature and common assignments. It should be made clear that this is only a meant to guide the inexperienced reader towards more specific studies. In 6.2, we provide a more general conclusion on this review.

6.1 Typical ¹³C CP-MAS spectra of biomass and chars

Figure 15 summarizes the typical solid state ¹³C CP-MAS NMR response for the most important constituents of biomass (lignin, cellulose) and their derived chars, as discussed in this review. The corresponding attribution and schematic units are given in Table 2 and Scheme 1. This is meant to provide at a first glance the typical spectroscopic response of a large variety of materials and allow an immediate comparison between them and one's own NMR results. Please note that data relative intensities may vary with the contact time, the reader should then not take the intensity parameter for granted but carefully check it in the original works. The lignin spectrum (Figure 15b) is meant as pure Alcell lignin, while most studies report the NMR spectrum of wood, which also includes the cellulose fraction. Coal is generally characterized by a strong aromatic and aliphatic response; however, the exact features of each spectrum depend on the type of material. This is shown in Figure 15c. For biochar, HTC and torrefaction, it is difficult to speak of a single NMR response. Here, we report three typical examples in which the fingerprint of the initial biomass is completely lost and we show the temperature effect for the HTC derivative of glucose (Figure 15e).

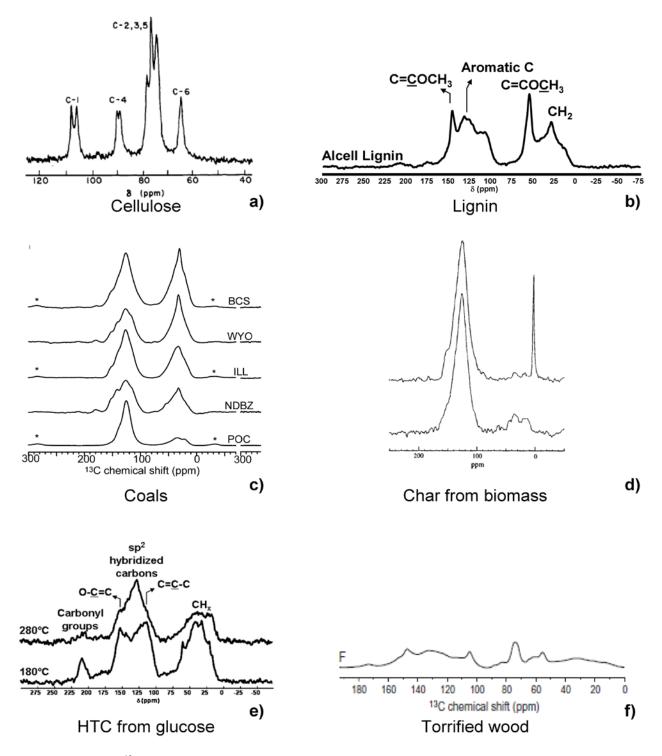


Figure 15 – Typical ¹³C CP-MAS spectra of a) cellulose (from Ref. 87); b) Alcell Lignin (adapted from Ref. 163; c) Commercial coals (BCS= Blind Canyon, WYO= Wyodak-Anderson, ILL= Illinois #6, NDBZ= North Dakota Beulah-Zap, POC= Pocahontas #6. Adapted from Ref. 112); d) Char from biomass (bottom spectrum: CP; Top spectrum: SPE; biomass type: eucalyptus waste; T= 450°C, P= 0.5 MPa), adapted from Ref. 107; e) HTC from glucose at two temperatures (T= 180°C, 280°C), adapted from Ref. 163; f) Torrified wood, from Ref. 144. Important note, the cross polarization times are not the same for all spectra.

$$(H_3CO) \xrightarrow{\gamma} \xrightarrow{H_3CO} \xrightarrow{H_3C} \xrightarrow{H_3C}$$

Scheme 1 -a) Lignin, b) glucose (in cellulose) and c) furan units

Assignments in Table 2 are meant to be accurate but still indicative. If they are quite solid for lignin and cellulose, one should carefully verify them for chars in the case of comparison with one's own carbonaceous material and refer to the given comments.

Table 2 – Typical chemical shift values and relative attribution in lignin, cellulose and derived chars. Details of the assignments are referred to Scheme 1. Adapted from Ref. 144, 156, 161, 163 and references therein.

Material	Chemical shift	Assignment	Comment
Lignin	153	S-3 (e), S-5 (e)	Aromatic units: S= syringyls (two methoxyl groups) G= guaiacyls (one methoxyl group) Arylglycerol β-aryl ethers: e= etherified ne= non-etherified
	147-150	S-3 (ne), S-5 (ne) G-3	
	147-130	(ne, e), G4 (ne, e)	
	137-140	S-1 (e), S-4 (e), G-1	
	137 140	(e)	
	132-135	S-1 (ne), S-4 (ne), G-1	
		(ne),	
	121	G6	
	106-114	G5, G6, S2, S6	
	84	Сβ	
	75	Cα	
	62	Сү	
	56	OCH ₃	
Cellulose	172	- <u>C</u> OO- (H)	H= hemicellose
	101-105	C-1	C= cellulose
	89	C-4 (ordered in C)	
	84	C-4 (disordered in C)	
	72-75	C-3, C-3, C-5	
	61-65	C-6	
	21	CH ₃	
Coals/Chars/HTC	13-15	CH ₃	
	22-40	CH ₂	Rigid or mobile

		(sometimes from
		adsorbed organic
		compounds) species
		can be found
50-75	Quaternary carbons or	Quaternary carbons
	O-CH _x	give very broad
		peaks, while O-CH _x
		may provide intense
		and sharp resonances
		(e.g., O-CH ₃).
110-120	sp^2 carbons: $C=\underline{C}R$;	Found in aryl groups
	R= C or H.	bu also possible Cβ
		in furanic units
125-132	Polyaromatic	At ~125 ppm, rather
	hydrocarbons	hydrogenated
		species; at ~131 ppm,
		non-hydrogenated; at
		127-129, highly
		condensed species
140-160	Oxygenated sp ²	Phenolic groups but
	carbons: O <u>C</u> R=C; R=	also possible $C\alpha$ in
	C or H.	furanic
175-179	Carboxylic acids	
200-210	Aldehydes, ketons	

6.2 Final remarks

Characterization of the structural features of biomass (cellulose and lignin) and its derived carbon materials has been, and still is, an intense field of research. Several analytical tools (i.e. XRD, IR, Raman, XPS) have been exploited for such a task, however they all yield limited information due to the amorphous character, chemical complexity and heterogeneity of most of these materials. In this review paper, it has been shortly shown the main outcomes and advantages that solid-state ¹³C NMR studies have brought in the latter years regarding carbonaceous material characterization from biomass and in particular in the analysis of those samples, which are either not soluble in any solvent or their structure is largely affected by the solubilization process (e.g. lignin), thus making the structural analysis via solution NMR very approximate or even rather useless. The main solid state NMR tools (single pulse excitation, cross polarization, dipolar dephasing, J-filtering, double quantum single quantum spectroscopy) are introduced in the beginning of this work and their importance on the structural resolution is eventually demonstrated on selected types of biomass (cellulose, lignin) and some of the derived carbonaceous materials. In fact, even if ¹³C low abundancy, low sensitivity and long relaxations times can be a major problem, the theoretical and technical developments of the

technique in the past 50 years have made the study of this nucleus easily accessible. In particular, the use of cross polarization combined to magic angle spinning using protons as source of magnetization has largely contributed to study complex carbonaceous materials without isotopic enrichment. As shown throughout the review, this technique has been largely exploited in the study of carbons obtained from hydrothermal treatment of biomass. Other techniques have revealed to be extremely powerful in terms of spectral editing: dipolar dephasing, for instance, enables the distinction between protonated from non-protonated carbons, just as the solid state version of the INEPT pulse sequence, typical in solution NMR. These are particularly useful in the study of fused aromatic rings that form clusters that extend from few to several units. Evaluation of the cluster size was also done using an indirect technique which is based on following the chemical shift of adsorbed benzene. More advanced experiments like the use of multiple quantum coherences to select spin pairs interacting through dipolar coupling are successfully tested to draw the carbon-carbon skeleton of any amorphous carbonaceous material, provided that isotopic enrichment is done. Whenever that would not be possible, very recent studies have shown that one can use dynamic nuclear polarization to enhance by one or two orders of magnitude the ¹³C signal under natural isotopic abundance conditions and record a 2D experiment in few hours, rather than several days.

To this regard, the recent development of hydrothermal carbons from carbohydrates demonstrated to be a nice playground to apply the most recent advances in solid state NMR to real case studies, to which NMR strongly contributed to highlight the complicated problem of structural resolution. In this particular case, furans were highlighted as being the main structural component of the final carbonaceous solids, contrarily to what it was known before on the basis of other spectroscopic techniques. According to very recent works, the chemical composition of hydrothermal carbons can be quantified in terms of furan-to-arene groups ratio. Such parameter was found to depend on the processing temperatures and times and, most interestingly, on the initial parent carbohydrate structure. For instance, while glucose-derived HTC can contain large amounts of furanic groups, this is never the case for cellulose-derived HTC. Interestingly, very recent results based on ssNMR could eventually show that even in cellulose-derived carbons one can isolate furanyl groups, thus opening more opportunities to solve the complex problem hidden behind the thermal degradation of cellulose, under both hydrolytic and pyrolytic conditions.

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