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▶ To cite this version:

Feras Abdulsamad, Nicolas Florsch, Myriam Schmutz, Christian Camerlynck. Assessing the high frequency behavior of non-polarizable electrodes for spectral induced polarization measurements. Journal of Applied Geophysics, 2016, 135, pp.449-455. 10.1016/j.jappgeo.2016.01.001 . hal-01458028

HAL Id: hal-01458028 https://hal.sorbonne-universite.fr/hal-01458028

Submitted on 6 Feb 2017

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1 Journal of Applied Geophysics

Assessing the high frequency behavior of non polarizable electrodes for spectral induced polarization measurements

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23 Abstract

24 During the last decades, the usage of spectral induced polarization (SIP) 25 measurements in hydrogeology and detecting environmental problems has been extensively increased. However, the physical mechanisms which are responsible for the 26 27 induced polarization response over the usual frequency range (typically 1 mHz to 10-20 28 kHz) require better understanding. The phase shift observed at high frequencies is 29 sometimes attributed to the so-called Maxwell-Wagner polarization which takes place 30 when charges cross an interface. However, SIP measurements of tap water show a phase 31 shift at frequencies higher than 1 kHz, where no Maxwell-Wagner polarization may occur. In this paper, we enlighten the possible origin of this phase shift and deduce its 32 likely relationship with the types of the measuring electrodes. SIP Laboratory 33 34 measurements of tap water using different types of measuring electrodes (polarizable 35 and non-polarizable electrodes) are carried out to detect the origin of the phase shift at 36 high frequencies and the influence of the measuring electrodes types on the observed 37 complex resistivity. Sodium chloride is used to change the conductivity of the medium in 38 order to quantify the solution conductivity role. The results of these measurements are 39 clearly showing the impact of the measuring electrodes type on the measured phase 40 spectrum while the influence on the amplitude spectrum is negligible. The phenomenon 41 appearing on the phase spectrum at high frequency (>1 kHz) whatever the electrode type is, the phase shows an increase compared to the theoretical response, and the 42 discrepancy (at least in absolute value) increases with frequency, but it is less severe 43 when medium conductivity is larger. Additionally, the frequency corner is shifted 44 45 upward in frequency. The dependence of this phenomenon on the conductivity and the 46 measuring electrodes type (electrode-electrolyte interface) seems to be due to some 47 dielectric effects (as an electrical double layer of small relaxation time formed at the

48 electrodes interface). Therefore, this dielectric response should be taken into account at 49 high frequency to better analytically separate the medium own response from that 50 linked to the measuring electrodes used. We modelled this effect by adding a 51 capacitance connected in parallel with the traditional equivalent electric circuit used to 52 describe the dielectric response of medium.

53

54 *Keywords: spectral induced polarization, phase shift, high frequency effects, non-*55 *polarizable electrodes, measuring electrodes.*

57 **1. Introduction**

58 While the induced polarization method is still widely used in mineral exploration (e.g. 59 Pelton et al. 1978; Luo and Zhang, 1998), it is today currently used for engineering and 60 environmental studies, mapping of polluted area and for detecting organic contaminated zones 61 (Vanhala et al., 1992; Slater and Lesmes, 2002; Gazoty et al., 2012). In addition, it is used in 62 hydro-geophysical application to calculate the hydraulic conductivity of subsurface formation 63 (Binley et al., 2005; Hördt et al., 2007; Revil and Florsch, 2010). In parallel, the complex 64 impedance is used in biomedical engineering in similar frequency ranges (Schwan, 1968; 65 Ragheb and Geddes, 1991).

66 So far, the physical mechanisms responsible for the induced polarization over the frequency range are not completely identified. Numerous mechanisms are able to generate the 67 68 observed effects. The most involved mechanisms are (i) electrochemical polarization that 69 corresponds to reversible electrochemical and diffusion processes (Zonge, 1972; Luo and 70 Zhang, 1998) which take place at low frequency, and (ii) interfacial polarization mechanisms, 71 which is also called Maxwell-Wagner polarization (Vinegar and Waxman, 1984; Lesmes and 72 Morgan, 2001; Tabbagh et al., 2009) take place at higher frequencies (generally over 1 kHz). 73 Among the models used to study these mechanisms, electrical circuit analog which consists of 74 resistors and capacitances are found useful. In this frame, each element of the circuit 75 represents a physical phenomenon. The electric double layer may be simulated by the 76 association of one capacitance C_{dl} with a resistance r_{ct} (charge transfer resistance across the 77 electric double layer), whereas the diffusion effects at low frequency caused by the 78 electrochemical polarization mechanism in the presence of metal-electrolyte interface could 79 be presented by a Warburg impedance Z_w (Merriam, 2007).

80 Furthermore, the problem of using noble measuring electrodes, which do not affect the 81 measured electrical potential, goes back to the early days of using the geoelectrical method in

82 geophysical prospecting (Schwan, 1968). The effects of the measuring electrodes type on the 83 apparent resistivity and the apparent chargeability measured in time domain induced 84 polarization measurements were studied and proved by LaBrecque and Daily (2008). From 85 their measurements using direct current on tap water only, and on sand saturated with tap 86 water, they found that, the errors in chargeability and in resistivity depend on the electrode 87 type, and the measurements discrepancies depend on the electrode chemical properties. The 88 discrepancies were lower in tap water case than the one with saturated sand. Furthermore, the 89 influence of the type of measuring electrodes on chargeability is higher than on resistivity, and that is expected due to the weaker measured signal in chargeability measurements when 90 91 signal to noise ratio is low.

92 On the other hand, spectral induced polarization (SIP) was extensively developed in 93 laboratory, thanks to the possibility of carrying out measurements in a wide-frequency range 94 without signal pollution caused by electromagnetic coupling. In order to acquire high quality 95 data, both measurement devices and sensors (electrodes) have to be well suited (Vanhala and Soininen, 1995). Measurement devices, such as SIP Fuchs (Radic Research Ltd) or SIP Zel 96 97 (Zimmermann et al., 2008) are adapted for both field and laboratory experiments with high accuracy, but the electrode issue is still important and needs more developments to find the 98 99 optimal electrode which has a minimum impact on the measured potential.

100 The electrode issue concerns more environmental and hydro-geophysics purposes where the 101 measured phase is small ($\leq 10 \text{ mrad}$) (Zimmermann et al., 2008) as noticed in many studies 102 (e.g., Slater and Lesmes, 2002; Schmutz et al., 2010).

103 The influence of both distance between the measuring electrodes and their inclination 104 on the SIP measurements was studied by Vanhala and Soininen (1995). They found that the 105 small distance (smaller than 5 cm) between electrodes could produce noise in the phase 106 spectrum, furthermore, the tilt effect of non-vertical measuring electrodes decreases with

107 increasing distance between them. The influence of the active geometry (surface of electrode-108 medium interface) of the measuring electrodes on the complex resistivity measured was 109 studied by Riaz and Reifsnider (2010). They found that at high frequency the complex 110 resistivity is not as dependent on active geometry as at low frequency.

111 In fact, our work here was focused on the dielectric effect that appears on the phase 112 spectrum at high frequencies, and on its dependence with the type of measuring electrodes. 113 The phase shift observed at high frequency is usually attributed to polarization mechanism 114 which is called Maxwell-Wagner polarization (occurring when charges cross an interface). In 115 water, the phase shift observed at high frequency is generally higher than that arises from the 116 dielectric permittivity of water. The absence of Maxwell-Wagner polarization and coupling 117 effects, leads us to think that another dielectric phenomenon occurs at the electrode-118 electrolyte interface (absorption, diffusion or/and electrical double layer). So in the first part 119 we interpreted the high frequency effect as a dielectric phenomenon and in the second part we 120 encapsulated this effect in an electronical equivalent circuit.

121 Therefore, series of SIP measurements using tap water were carried out by using 122 different conventional types of measuring electrodes (polarizable and non-polarizable). Each 123 electrode has its own advantage and disadvantage regarding the accuracy and the usability in 124 the laboratory or in the field.

As many other studies which aimed to test electrodes stability (Vanhala and Soininen, 126 1995) or to test the accuracy of SIP instruments (Zimmermann et al., 2008), we used tap water 127 as a medium in our study: the theoretical spectral induced polarization response of water 128 could be calculated using the approximate value of the water relative dielectric permittivity of 129 ($\varepsilon_r = 80$) and the water measured conductivity.

130

131 **2. Experimental setup**

Complex resistivity measurements were carried out over the frequency range from 0.183 Hz to 20000 Hz using the SIP Fuchs III equipment developed by Radic Research (see <u>http://www.radic-research.homepage.t-online.de/</u>).

135 The measurements have been performed by using tap water with different salinity 136 concentrations. Sodium chloride was used to change the conductivity and consequently the 137 ions concentration in the medium. A plastic box with dimensions of 50 x 33 x 23 cm (LxWxH) was used as a sample holder, filled with 22 cm of water. Wenner configuration was 138 139 used with electrode spacing of 10 cm. The small distance between electrodes could affect the 140 phase spectrum (Vanhala and Soininen, 1995). The current electrodes are made of stainless 141 steel (Ag 316L) electrodes fixed at the extremity of the device (30 cm apart). A low current 142 was used to ensure linearity and to avoid too large current density in the medium. To check 143 for repeatability, the SIP measurements were repeated five times over the mentioned 144 frequency range; later the standard deviation was calculated at each frequency and presented 145 as error on each frequency...

Temperature, pH and conductivity of the medium were measured in parallel. The pH of the medium varies between 7.62 and 7.92 depending on the salinity level. The temperature of the medium varies between 16.2 °C and 16.6 °C during the experiment. As the variation of the temperature is very small, no thermal correction has been applied on the complex resistivity measurements.

The conductivity of the water was measured directly by using a conductivity-meter (a WTW LF 340) which allows to calculate the water theoretical response, and to set the geometric factor taking into account the position of the electrodes and the boundary conditions. In this way, the apparent real and imaginary measured amplitudes are correctly calibrated, while the apparent phase is independent of the geometric factor.

156 Before starting the SIP measurements, a test of both the instrument accuracy and calibration 157 was performed by using electric circuit of known response (Vanhala and Soininen, 1995; 158 Titov et al., 2010). The circuit components (resistors and capacitances) and their installation 159 were chosen to give weak phase response (a few mrad) at high frequencies. The relative 160 theoretical response is supposed to be close to that measured in tap water by different kinds of 161 electrodes. Figure 1 shows the measured phase and amplitude, and the theoretical electric 162 circuit spectral response. The result shows the high accuracy of the instrument over the entire 163 frequency range with error less than 0.1 mrad at low frequency (< 10 Hz) and less than 0.5 164 mrad at high frequency. This high accuracy used in our experiments excludes any impact of 165 the instrument on the measured response.





To characterize the impact of the measuring electrodes on the high frequency phase shift, we tested various conventional electrodes (figure 2) usually used in SIP. Polarizable 316L stainless steel metal electrodes and three non-polarizable electrodes (Ag/AgCl filled with saturated KCl solution; Ag/AgCl filled with a mixed of KCl-agar gel; Cu/CuSO4 electrodes) are used in this study. The non-polarizable electrodes are hand-made and prepared in our laboratory.



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Fig. 2: the electrodes used in our study, a) Cu/CuSO₄ electrodes; b) Ag/AgCl-KCl
electrodes; c) Ag/AgCl-Agar-gel electrodes; d) 316L Stainless steel electrodes (with yellow
plastic coating).

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Ag/AgCl and Cu/CuSO₄ electrodes have the same conceptual schema which is presented in figure 3. They consists in a metal wire immerged in oversaturated electrolyte of the metal and a permeable ceramic between the medium and the electrode. One can notice that even with low permeable ceramics, a little electrolyte is released into the medium, which may affect the SIP measurements over time. We operate as quickly as possible to minimize this

187 risk. The preparation of the Ag/AgCl starts by bleaching the silver wire during few hours and 188 then the silver wire is connected to a 6 Volt battery and placed in KCl solution during ten 189 minutes (electroplating gives the electrode more stability with time). After that, the wire is 190 soaked in a saturated KCl solution. The use of agar gel to prepare non-polarizable electrodes 191 is common to overcome the leakage problem during long duration experiments. Precipitation 192 of Agar powder is forced by using a 1 mol/l KCl solution. The agar gel is a good conductor 193 without any polarization effects, and its use has already been described for polarization 194 studies (Scott, 2006; Hördt and Milde, 2012). Solidified Agar gel avoids the use of porous 195 ceramic.



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Fig. 3: outline of the non-polarizable electrode

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Since the electrodes pairs are built up following the same process, the DC potential difference between them will be very close to zero. For this reason, we may consider that they are non-polarizable (or more objectively, a constant weak differential polarization within each pair). In such a configuration, the measured data should not be affected by the presence of measuring electrodes and then the response should not depend on the electrode.

After preparing the non-polarizable electrodes, all (metal and non-polarizable) were placed in a water tank to measure the self-potential of each electrode type during 2 hours, using a recording digital multimeter (Keithley 2701 Multimeter). Figure 4 (left) shows 207 example of self-potential values measured in the electrodes used in this study. Later the 208 potential difference between each pair of electrodes was calculated and presented in figure 4 209 (right). This electrode monitoring shows that non-polarizable electrodes have more stability 210 with time and lower self-potential values than the stainless steel electrodes (usually used in 211 filed measurements) in correlation with the results of Vanhala and Soininen (1995) and Dahlin 212 et al. (2002). The Ag/AgCl electrodes show the lowest self-potential and the best stability 213 over time, with potential difference between electrodes less than 1 mV. The Cu/CuSO₄ 214 electrodes have the same trend of stability over time and the potential difference is around 1 215 mV, but we notice also that there are some more or less 40 min transients, may be due to 216 electrode leakage. The Ag/AgCl filled with KCl-agar gel electrodes show high variations over 217 the time at the beginning (2 hours after preparation) before reaching electrical stability and a 218 potential difference close to 1 mV at least 3 hours after their preparation. It is therefore 219 recommended to use these electrodes only a few hours after preparation. The stainless steel 220 electrodes have the highest charge-up and no stability over the time, where the potential 221 difference for the electrodes pair increases with time up to 12 mV at the end of monitoring.



Fig. 4: left) electrode self-potential. Reference electrode is a NaCl-type Pb-PbCl₂ electrode
 (Petiau, 2000); right) potential difference for each electrode pair type. Origin time
 corresponds to 1 hour after electrode preparation.

227 4

4. Results and discussion

The complex resistivity measurements of tap water show a phase shift at high frequency for all the measuring electrodes types. In order to assess the own phase shift we compare the results of our measurements with the theoretical water spectral induced polarization response. The theoretical dielectric response of the medium could be calculated by using the following equations 1 and 2:

233
$$\sigma(\omega) = \sigma_0 + j \,\omega \,\varepsilon_0 \,\varepsilon_r \tag{1}$$

234
$$\rho(\omega) = 1/\sigma(\omega)$$
 (2)

where $\sigma(\omega)$ and $\rho(\omega)$ are respectively the complex conductivity and the complex 235 resistivity, σ_0 is the DC conductivity, j is the imaginary unit, ϵ_r is the relative dielectric 236 permittivity, and ϵ_0 is the free space permittivity and its value is 8.854 10^{-12} F/m. By using 237 238 the water conductivity measured with the conductivity-meter and using the approximate value 239 of the relative dielectric permittivity of fresh water $\varepsilon_r = 80$, we get the theoretical response of 240 water over the frequency range of our measurements (Vanhala and Soininen, 1995; 241 Zimmermann et al, 2008). The measured instrumental phase $\varphi(\omega)$ is defined as the ratio 242 between real and imaginary components of the complex resistivity, whereas the theoretical 243 phase could be calculated from equation 3:

244
$$\varphi(\omega) = -\omega \,\varepsilon_0 \,\varepsilon_r \,/\sigma_0 = -\omega \,\rho_0 \varepsilon_0 \,\varepsilon_r \tag{3}$$

245 where $\rho_0 = 1/\sigma_0$ is the DC resistivity.

Figure 5 shows the amplitude and phase spectra of the complex resistivity (corresponding to 18 Ω m water resistivity) obtained by using the four different pairs of electrodes mentioned above. All measured amplitudes are close to the expected response with a satisfying repeatability, whereas the phase measured showed more variation over thefrequency range especially at high frequencies.

The phase measured by non-polarizable electrodes shows very small variation over the low frequency range (0.183 Hz to 1 kHz), while the one that is measured by stainless steel electrodes over the same frequency range shows larger variation. However, this result was expected because of the instability behavior of these electrodes showed by the self-potential difference record. It is therefore recommended to use non-polarizable electrodes over the whole frequency range (figure 5).



Fig. 5: amplitude (left) and phase (right) of the water complex resistivity by using four types of electrodes.

However, the phases at high frequencies (> 1 kHz) are larger than expected for the four electrode types. For instance, the phase relative to the Ag/AgCl electrodes is found three times larger than the theoretical phase within the high frequency range (> 1 kHz). Hence, these measurements should be linked to a relative dielectric permittivity 3 times larger than the water relative dielectric permittivity.

By comparing the phase measured over the last frequency decade (1 kHz - 20 kHz) with metal and Cu/CuSO₄ electrodes, we notice that the respective phases are very close despite the difference in electrode nature. Through the contact resistance of the stainless steel electrodes might be notably lower than the one of the porous ceramic Cu/CuSO₄ electrodes; it is likely that the contact quality is not a major causing the high frequency trend.

271 Figure 6 illustrates the phase measured at three salt concentrations (corresponding to 18, 272 10 and 5 Ω m water resistivity) when using Ag/AgCl electrodes and Cu/CuSO₄ respectively. 273 One observes a dependence of the high frequency response on water conductivity, and phase 274 responses shift toward high frequency when water conductivity increases. When changing the 275 medium conductivity by adding a little NaCl to remain within the given indicated range 276 (water resistivity between 5 and 18 Ω m, meaning water electrical conductivity less than 200 277 mS/m; for instance, see fig. 1 from (Bouksila et al., 2008)), the relative dielectric permittivity 278 remains unchanged, close to 80. While the measured phase shift is 3 to 5 times higher than the 279 expected theoretical phase shift, a change in water dielectric permittivity cannot be invoked as 280 an explanation.



Fig. 6: apparent phase measurements for the 3 salinity levels: left) Ag/AgCl electrodes; right)
 Cu/CuSO4 electrodes.

Figure 7 shows the phase difference between the measured phase and the theoretical one calculated from equation (3) at the highest frequency (20 kHz) where the difference is higher. In all cases the theoretical responses were calculated with the same relative dielectric permittivity ($\varepsilon_r = 80$) and by changing the solution conductivity in the equation (3).



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291

Fig 7: phase difference between measured and expected data as function of the solution
 resistivity at frequency 20 kHz.

292 Since no coupling effects are disturbing the measurements thanks to the laboratory box 293 scale, (e.g. Ghorbani et al., 2009) and after checking with an accuracy test (figure1), these 294 observations from figures 6 and 7 could only be explained by the change of the 295 electrochemical conditions at the electrode-electrolyte interface, where the ions concentration 296 around the measuring electrodes had increased after adding NaCl in the medium. That means 297 that the electrochemical changes in the electrode vicinity impact the measure. Adsorption or 298 diffusion of ions near the porous ceramic and/or the electrical double layer existing at the 299 electrode-electrolyte interface are responsible for the additional effects measured at high 300 frequency, that we call "dielectric" but just because it looks like dielectrical.

Finally, the possible influence of the current density on high frequency effect was also studied, by using double and half of the initial current density (360 mA) used in our measurements. There is no remarkable influence on the phase measured when the current density varies between 180 mA to 720 mA.

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5. Empirical modelling

309 The response of a traditional medium, as a soil sample, can be represented by one of the 310 many empirical dielectric models (e.g. Debye model, Cole-Cole model or Cole-Davidson 311 model). They are traditionally depicted by an equivalent electronic circuit (Marshall et 312 Madden, 1959; Dias, 1972; 2000). The simplest equivalent circuit contains two parallel 313 electric paths: the first path consists of a simple resistor and represents the pure ohmic 314 conduction associated to a free ionic path (current path at low frequency or direct current), 315 whereas the second path contains several electronic components (resistors and capacitances) 316 which model the polarization effects of the medium associated with the metal or clay grains in 317 contact with the pore electrolyte. The latter part of the circuit models the response of the 318 medium in the SIP frequencies. Dias (2000) provides such a collection of equivalent circuits. 319 One classical microscopic polarizable model and its circuit is shown on figure 8 (Dias, 1972; 320 2000).



Fig. 8: the electric paths in polarizable medium (left) and equivalent electrical circuit for a polarizable medium (right), (modified from (Dias, 2000)). Here C_{dl} is the electric double layer capacitance, Z_{dl} the complex impedance of the electric double layer, r_{ct} the charge transfer resistance, R_s is the resistivity of the electrolyte filling the material and R_{dc} is the sample resistivity in direct current.

322

329 From the measurements of this study and other data sets (e.g. Joseph et al., 2015), we 330 notice the correlation between the effects at high frequency and medium resistivity. As long 331 as the medium resistivity increases, the high frequency effect appears earlier on the frequency 332 range (shifted to low frequency) and its amplitude becomes significant. Therefore, a model 333 including the high frequency dielectric phenomena formed at the electrode-electrolyte 334 interface (clearly linked to the measuring electrodes type) in the equivalent electric circuit 335 should be sensitive to the total electric response of the medium, since the electric response is 336 related to the electrical and electrochemical properties of the medium. Figure 9 presents the 337 equivalent circuit we propose to accommodate the "high frequency dielectric phenomenon", 338 where it is modeled by a capacitance C_{hf} connected in parallel with the equivalent circuit of 339 the medium response. The magnitude of this capacitance is small in order of nanoFarads. 340 This model is a general model to fit SIP measurements from medium contains different 341 phases (solid and liquid).



Fig. 9: the equivalent electric circuit models the high frequency dielectric phenomenon where C_{hf} is a low value capacitance.

346 Finally, in order to fit our data measured which are a special case of SIP measurements 347 (the medium is a one phase medium), a simple circuit consists of resistance (R_{dc}) connected on parallel with a capacitance $C_{\mathcal{E}}$ (($C_{\mathcal{E}} = \varepsilon_r * \varepsilon_0$) which represents the dielectric response of the 348 349 medium), could present the medium theoretical response. Figure 10 shows the amplitude and 350 phase fitting the Ag/AgCl electrodes in water with resistivity 18.2 Ωm. At frequencies greater 351 than 1 kHz, measured and modeled (from electric analog) phase curves are superimposed. At 352 lower frequencies, the fit is not so accurate with a small discrepancy of 0.3 mrad, which may 353 be linked to the filter 60 Hz (does not work well), but this frequency range is not targeted in 354 study.

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357 Fig. 10: modelling the measurements with Ag/AgCl electrodes. Water DC Resistivity is 18.2 Ω m. Left) the equivalent electric circuit with adjusted values is shown and amplitude 358 359 spectrum; right) phase spectrum.

361

6. Discussion and conclusion

362 Once the instrument accuracy is checked and the stability with time of a set of 4 various 363 built up electrodes verified, we observe a dependence of the response of the whole on the 364 measuring electrode nature. The differences emerge in the high frequency part of the 365 spectrum, saying above 1 kHz. It is the range where the IP response origin is neither consensual nor really understood yet. Actually, the "normal" permittivity of water, playing the 366 role of the medium, impact this high frequency (> 1 kHz) domain as well, but is typically 3 or 367 368 4 times smaller than the actual observed response. We get satisfactory data fits by assuming a 369 superimposition of the response relative to the electric permittivity of the medium plus a 370 contribution of the electrode which depends on the electrode nature. We can presume that the 371 electrode/electrolyte double layer is responsible for that contribution, for which the theory 372 must still be done. As a matter of fact, a capacitance just set in parallel with the measuring 373 electrodes permits to fit the observation in a very satisfactory manner. It is equivalent to 374 introduce the same electronic (fitting device) in parallel with the medium, but this approach is only opportunistic since we are supposed to measure only the medium response and not theelectrodes which have only the role of sampling the potential within the medium.

As far as the SIP or TDIP are concerned, it is useful to split the high frequency response into these two contributions: one representative of the medium (and it generally assumes a relative permittivity of reasonable value), and the other relative to the electrode pair. This double contribution permits finally to take into account, -to accommodate for- the measuring electrode specific response when processing IP data, especially when processing high quality data at the lab scale as well as on the field, to finally correctly assess the chargeability, time constant of any and other IP parameters describing the investigated medium.

384

385 Acknowledgement:

We wish to thank particularly Dr. A. Maineult for his very helpful availability, especially during the experimental phase of this study. We also thank anonymous reviewers for their helpful suggestions.

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