



HAL
open science

On the relation between pitch and level

Yi Zheng, Romain Brette

► **To cite this version:**

Yi Zheng, Romain Brette. On the relation between pitch and level. Hearing Research, 2017, 10.1016/j.heares.2017.02.014 . hal-01480138

HAL Id: hal-01480138

<https://hal.sorbonne-universite.fr/hal-01480138>

Submitted on 1 Mar 2017

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Title: On the relation between pitch and level

Author names and affiliations: Yi Zheng^{1,2,3}, Romain Brette^{1,2}

¹Sorbonne Universités, UPMC Univ Paris 06, INSERM, CNRS, Institut de la Vision, 17 rue Moreau, 75012 Paris, France.

²Institut d'Etudes de la Cognition, Ecole Normale Supérieure, Paris, France

³Beijing Advanced Innovation Center for Future Education, Beijing Normal University, Beijing, China.

Corresponding author : Romain Brette

Institut de la Vision, 17, rue Moreau, 75012 Paris, France

romain.brette@inserm.fr

+33 1 53 46 25 36

1 Abstract

2 Pitch is the perceptual dimension along which musical notes are ordered from low to high. It
3 is often described as the perceptual correlate of the periodicity of the sound's waveform.
4 Previous reports have shown that pitch can depend slightly on sound level. We wanted to
5 verify that these observations reflect genuine changes in perceived pitch, and were not due to
6 procedural factors or confusion between dimensions of pitch and level. We first conducted a
7 systematic pitch matching task and confirmed that the pitch of low frequency pure tones, but
8 not complex tones, decreases by an amount equivalent to a change in frequency of more than
9 half a semitone when level increases. We then showed that the structure of pitch shifts is anti-
10 symmetric and transitive, as expected for changes in pitch. We also observed shifts in the
11 same direction (although smaller) in an interval matching task. Finally, we observed that
12 musicians are more precise in pitch matching tasks than non-musicians but show the same
13 average shifts with level. These combined experiments confirm that the pitch of low
14 frequency pure tones depends weakly but systematically on level. These observations pose a
15 challenge to current theories of pitch.

16

1 Highlights

2

- 3 • Pitch of low frequency pure tones decreases with increasing sound level.
- 4 • Pitch of harmonic complex tones does not change with sound level.
- 5 • Musical training has no effect on sound-level effects
- 6 • The relationship between pitch and level poses a challenge to current theories of pitch

7

8 Key words: Pitch, sound level, pure tone

9

1 **1. Introduction**

2 Pitch is the perceptual dimension along which tones are ordered from low to high on a
3 musical scale. For musical tones, the main physical attribute that determines pitch is the
4 repetition rate or fundamental frequency of the sound's waveform (Oxenham, 2012).
5 Accordingly, theories of pitch perception, which can be broadly categorized as emphasizing
6 either temporal cues or cochlear place of activation, have focused on how the auditory system
7 might extract fundamental frequency (de Cheveigne, 2005). Recent psychophysical work has
8 focused on distinguishing between these theories by assessing the perception of relatively
9 complex pitch-evoking sounds, for example dichotic sounds (Bernstein and Oxenham, 2003),
10 transposed tones (Oxenham et al., 2011) or mistuned harmonics (Hartmann and Doty, 1996).
11 In this study, we wanted to address a more basic question: to what extent is the pitch of
12 musical tones the perceptual correlate of fundamental frequency (for complex tones) or
13 frequency (for pure tones)? In other words, is there a one-to-one mapping between pitch and
14 (fundamental) frequency?

15 A number of earlier studies suggest that this is actually not exactly the case, specifically
16 that the pitch of a pure tone can depend weakly on its level, a finding that is not
17 straightforward to explain with standard theories of pitch (Licklider, 1951; Terhardt, 1974a).
18 According to Stevens' rule (Stevens, 1935), the pitch of low-frequency pure tones (<500 Hz)
19 decreases with increasing level while the pitch of high-frequency pure tones (>4000 Hz)
20 increases with increasing level. This finding was obtained by a relatively indirect method,
21 mainly with one subject, in which two tones of different frequencies were presented and the
22 subject was instructed to change the second tone's level so that the two pitches matched. A
23 similar finding for low frequencies was mentioned by Fletcher (1934) and shown with another
24 method by Snow (1936), who asked subjects to rank two tones of different levels and
25 frequencies as higher or lower in pitch; a lack of effect on complex tones was also mentioned

1 (but not shown). These results were later confirmed with more subjects (Morgan et al., 1951;
2 Terhardt, 1974b; Terhardt, 1975), although with substantial inter-individual variability, using
3 a pitch matching method – the subject adjusted the frequency of the second tone to match the
4 pitch of the first tone. At 200 Hz, when the tone level was increased from 40 dB to 80 dB
5 SPL, the pitch shifted down by an amount equivalent to about half a semitone (Terhardt,
6 1974b), well above the just noticeable difference (for tones of identical level). This was
7 confirmed more exhaustively with two subjects by Verschuure and van Meeteren (1975).
8 Terhardt (1975) reported small pitch shifts with complex tones, but the shifts varied markedly
9 across participants, and the statistical significance of the shifts was not assessed.

10 The goals of this psychophysical study were (1) to show that the reported changes with
11 level truly reflect the level dependence of melodic pitch (as opposed to procedural biases or
12 confusion of the perceptual dimensions of pitch and loudness); (2) to determine the level
13 dependence of the pitches of pure tones and complex tones with identical fundamental
14 frequency; (3) to determine whether the phenomenon is influenced by musical experience.
15 Using pitch- and interval-matching experiments, we found that the pitch of low frequency
16 pure tones, but not complex tones, depends on level regardless of musical experience, and that
17 the measured phenomenon reflects small but actual changes in melodic pitch that partially
18 transfer to the perception of melodic intervals.

19

20 **2. Materials and Methods**

21 **2.1 Subjects and equipment**

22 Ethical approval was granted by the local ethics committee (Comité pour la Protection
23 des Personnes Ile de France). All subjects were fully informed about the goal of the study and

1 provided written consent before their participation. All subjects had normal hearing (<20 dB
2 hearing loss (HL) between 100 and 8000 Hz), and were 18-35 years old. Subjects in the non-
3 musician group had never played an instrument or only briefly played one (<2 years) during
4 childhood. Subjects in the musician group had 7-22 years of musical training, and played at
5 least 1 hour per day at the time of the experiments (Table 1). Experiments 1 and 3 included 4
6 non-musicians and 4 musicians; experiment 2 included 2 musicians and 2 non-musicians from
7 the same pool; experiment 4 included 6 other musicians.

8 Stimuli were generated digitally at a sampling rate of 44.1 kHz. Stimuli were presented
9 diotically via a RME Fireface UC soundcard and a Sennheiser HD580 headphone. Sound
10 levels were calibrated for each tone frequency with a sound pressure level meter, giving
11 estimated sound levels at the eardrum. Subjects were seated individually in a double-walled,
12 sound-insulated booth.

13

14 **2.2 Experiments 1 and 2: Pitch matching of pure tones**

15 Each trial began with a 500-ms reference pure tone followed by a 300-ms gap and a
16 500-ms comparison tone. Listeners were asked to adjust the frequency of the comparison tone
17 until its pitch matched that of the reference tone. The starting frequency of the comparison
18 tone was randomly chosen from a uniform distribution on a discrete semitone scale with a
19 range of 4 semitones around the frequency of the reference tone. After each trial, listeners
20 could adjust the frequency of the comparison tone up or down by 2, 0.5 or 0.125 semitones,
21 without exceeding ± 4 semitones around the reference frequency, could elect to hear the same
22 tone pair again, or could indicate that they were satisfied with the pitch match. Listeners were
23 encouraged to start with a big step size and then change to a smaller step size, and to adjust
24 the comparison tone below and above the chosen frequency before making a final decision.

1 No feedback was provided. The reference pure tone had a frequency of 200, 1000 or 4000 Hz.
2 The level of reference tone varied from 20 to 70 dB SPL in steps of 10 dB. In experiment 1,
3 the level of comparison pure tone was set to 40 dB SPL. In experiment 2, it also varied from
4 20 to 70 dB SPL. Each combination of reference and comparison levels was presented 10
5 times.

6 For experiment 1, the comparison level was fixed, while reference levels and
7 frequency conditions were randomized between trials. For experiment 2, comparison levels
8 were randomized across listening sessions. In each listening session, the comparison level was
9 fixed but reference levels and frequency conditions were randomized. Each session contained
10 18 different conditions (6 reference levels times 3 frequency conditions), and lasted 5-20
11 minutes depending on the subject. Experiment 1 consisted of 10 sessions per subject (i.e., 10
12 trials for each condition), while experiment 2 consisted of 60 sessions per subject (6
13 comparison levels times 10 trials) – on average about 10 hours in total per subject.

15 **2.3 Experiment 3: Pitch matching of harmonic complex tones**

16 The pitch-matching procedure was the same as for Experiment 1, except that both the
17 reference and comparison tones were harmonic complex tones, composed of 6 or 12
18 consecutive harmonics (order 1~6 or 1~12) with equal amplitude and random phase. The
19 level of the comparison tones was fixed at 30 dB SPL per component (overall level was thus
20 37.8 or 40.8 dB), and the level of reference tones was varied from 10 to 60 dB SPL per
21 component. The initial fundamental frequency of the comparison tone was randomly chosen
22 from a uniform distribution on a discrete semitone scale with a range of 4 semitones around
23 the fundamental frequency of the reference tone (200, 1000 or 4000 Hz).

24

1 2.4 Experiment 4: Pitch interval matching

2 An interval consisted of a pair of 500-ms pure tones presented in sequence (no gap).
3 Each trial began with a reference interval, followed by a 300-ms gap and then a comparison
4 interval. The resulting sequence of 4 tones always increased in frequency. Subjects were
5 instructed to adjust the frequency of the last tone of the comparison interval until its pitch
6 interval matched that of the reference interval, with the same procedure as in the pitch-
7 matching experiments. To prevent listeners from memorizing the reference interval, its size
8 was set to 2 or 3 semitones with equal probability. To ensure that listeners were performing
9 the task by comparing intervals rather than the pitches of individual tones, the frequency of
10 the first tone of the reference interval was set randomly at 200 Hz \pm 3 semitones (uniform
11 distribution on a semitone scale), and the frequency of the first tone of the comparison
12 interval was set randomly between 0 and 3 semitones above that of the second tone of the
13 reference interval. The levels of the two tones in the reference pair were as follows: (1)
14 increasing level, 40 dB SPL then 70 dB SPL; (2) decreasing level, 70 dB SPL then 40 dB
15 SPL; (3) fixed level, 55 dB SPL then 55 dB SPL. The level of the two tones of the
16 comparison interval was fixed at 55 dB SPL.

17

18 3. Results

19 3.1 Level dependence of the pitch of pure tones

20 Fig. 1 shows the results of experiment 1 for 8 subjects, of whom 4 were musicians (dashed
21 lines). For the low-frequency reference tone (200 Hz), all subjects lowered the matching
22 frequency of the comparison tone when the reference tone's level increased (Fig. 1A;
23 ANOVA, $F_5=19.68$, $p<0.001$, effect size $\eta^2=0.71$). These results indicate that the reference

1 tone sounded lower in pitch when its level was increased, consistent with Stevens' rule
2 (Stevens, 1935) and previous observations with 300-Hz tones (Verschuure and van Meeteren,
3 1975). Averaged over subjects, the mean pitch shift exceeded 0.6 semitone (3.5% frequency
4 change) at 70 dB SPL (Fig. 1D, black). At 1000 Hz, there was a smaller but significant
5 downward shift in pitch with increasing level (Fig. 1B; ANOVA, $F_5=6.87$, $p<0.001$, effect
6 size $\eta^2=0.45$), reaching about 0.2 semitone (1.1%) at 70 dB (Fig. 1D, blue). At 4000 Hz, the
7 mean pitch shift was small and not significant (Fig. 1C and 1D, red; ANOVA, $F_5=0.81$,
8 $p=0.55$, effect size $\eta^2=0.09$). Thus the pitch of high-frequency pure tones does not depend on
9 level, in contradiction with Stevens rule. However, we cannot exclude the possibility that a
10 significant effect would be seen with more subjects, and a subset of subjects did show the
11 upward shift observed by Stevens for one subject. Stevens also observed upward pitch shifts
12 at high levels for pure tones with frequencies higher than 4000 Hz (up to 12 000 Hz). We
13 chose to restrict our study to tones with repetition rate lower than 4000 Hz because only these
14 elicit a pitch sensation salient enough to carry melodic information (Attneave and Olson,
15 1971).

16 Psychophysical experiments on pitch perception are often done with musically trained
17 subjects, because their results tend to be more consistent and therefore require fewer trials.
18 We tested whether the same effects were seen for non-musicians and musicians. There was no
19 significant difference in pitch shift between the two groups (t-test, $p = 0.9$): for 200 Hz tones;
20 musicians (more than 10 years of musical training, Table 1) and non-musicians showed a
21 negative pitch shift of the same magnitude with increasing level. However, the precision of
22 pitch matching was markedly better for musicians (t-test of standard deviations, SDs,
23 $p<0.001$), consistent with previous studies of pitch discrimination (Kishon-Rabin et al., 2001;
24 McDermott et al., 2010): SDs were 0.11 (200 Hz), 0.075 (1000 Hz) and 0.07 semitones (4000

1 Hz) for musicians (corresponding to 0.64, 0.43 and 0.41% frequency change), vs. 0.18, 0.084
2 and 0.13 semitones for non-musicians (1, 0.49 and 0.75%), averaged across all levels.

3 We selected 2 musicians and 2 non-musicians for an in-depth analysis of the level
4 dependence of pitch (experiment 2). We wanted to make sure that performance was indeed
5 determined by the pitches of the two tones taken individually and was not influenced by some
6 procedural aspect. For example, one might hypothesize that the pitch of the second tone is
7 influenced by properties of the first tone, via some adaptive or context-dependent process
8 (Chambers and Pressnitzer, 2014). To this end, we varied the level of both the comparison and
9 reference tones between 20 and 70 dB SPL in steps of 10 dB, yielding a matrix of pitch shifts
10 (Table 2). Each curve in Fig. 2A-C shows the pitch shift as a function of reference tone level
11 for a particular comparison tone level.

12 If the observed frequency shift required to equate the pitch of two tones with different levels,
13 A and B, were entirely determined by the difference in pitch of the two tones, then it should
14 be possible to express this shift $S(A,B)$ (in semitones) as a difference $S(A,B) = \text{Pitch}(B) -$
15 $\text{Pitch}(A)$. This implies in particular anti-symmetry ($S(A,B) = -S(B,A)$) and transitivity
16 ($S(A,C) = S(A,B) + S(B,C)$). An alternative hypothesis could be, for example, that the
17 observed shift is a function of the difference in levels between the two tones because of
18 contextual effects: $S(A,B) = f(B-A)$. Our data do not follow this hypothesis, as shown in Fig.
19 2D, where the observed pitch shift is plotted as a function of reference level when the level of
20 the comparison tone was 10 dB above (solid) or below (dashed) that of the reference tone.
21 The difference in level was the same for all data points in each of the two sets. At 200 Hz, it
22 appears that the pitch shift depends on reference level and not only on level difference
23 (repeated measures ANOVA, $p < 0.01$; no significant effect at 1000 Hz and 4000 Hz).

1 We now examine the hypothesis that the observed shift can indeed be expressed as a
2 difference $S(A,B) = \text{Pitch}(B) - \text{Pitch}(A)$. As there were no significant shifts for high
3 frequency tones (4000 Hz, Fig. 2C), we only analyzed the results for reference tones of 200
4 Hz and 1000 Hz. First, a two-way repeated measures ANOVA on the observed shifts vs. the
5 levels of reference and comparison tones showed no significant interaction ($p=0.9$), while
6 each of the two levels had a significant effect on the reported shift ($p<0.001$ for reference and
7 comparison levels, at 200 Hz and 1000 Hz). This means that the data are statistically
8 consistent with the hypothesis that the observed shift is a sum of two quantities determined by
9 each of the two tones: $S(A,B) = f_1(A) + f_2(B)$. Second, Fig. 2A shows that the pitch shift was
10 near 0 when the reference and comparison tones had the same level, i.e., $S(A,A) = 0$ (mean \pm
11 s.d.: 0.01 ± 0.05 semitones at 200 Hz; -0.01 ± 0.06 semitones at 1000 Hz; 0.01 ± 0.07
12 semitones at 4000 Hz). Therefore, for all tones, $f_1(A) + f_2(A) = 0$, i.e. $f_1 = -f_2$. It follows that
13 $S(A,B) = f_2(B) - f_2(A)$, i.e., the observed shift can indeed be expressed as a difference between
14 two identical functions of tone level. This property also appears in Fig. 3A: curves showing
15 the relationship between pitch shift and reference tone level all have the same shape, and
16 differ by a constant shift that only depends on the level of the comparison tone.

17 Overall, this analysis strongly supports the claim that the pitch of low frequency pure
18 tones decreases when the level increases above 50 dB SPL.

19

20 **3.2 Level-dependence of the pitch of complex tones**

21 In experiment 3, the reference tone was a harmonic complex tone with fundamental frequency
22 200 Hz, composed of either the first 6 harmonics (200 – 1200 Hz) or the first 12 harmonics
23 (200 – 2400 Hz). In the first case, all harmonics were resolved, whereas in the second case the

1 higher harmonics were not. All subjects reported that they heard the complex tone as a whole
2 without hearing out individual components.

3 As shown in Fig. 3, there was no significant effect of level on the pitch of the complex
4 tones, whether they contained only resolved harmonics or also unresolved harmonics
5 (ANOVA, $p=0.13$). This result is important because for the resolved complex tone, the pitch
6 of each individual component was presumably sensitive to level when presented in isolation,
7 as experiments 1 and 2 suggest.

8

9 **3.3 Level dependence of interval perception**

10 Non-musically trained listeners can discriminate differences in musical intervals of around 1
11 semitone (Burns and Ward, 1978; Burns and Campbell, 1994; McDermott et al., 2010), but
12 precision is greater for musicians (McDermott et al., 2010). As experiment 1 showed that the
13 level dependence of pure tone pitch was similar for musicians and non-musicians, all 6
14 subjects of experiment 4 were musicians. In this experiment, listeners had to match a
15 comparison interval with a fixed level to a reference interval in which the level of the two
16 tones could increase or decrease (see Methods).

17 As the pitch of low-frequency pure tones decreases when level increases, we expected
18 that the reference interval would sound smaller when the level increased than when it was
19 fixed. Fig. 4 shows the results of the interval-matching procedure for the three level
20 conditions (solid line). Subjects were able to accurately match interval size when all four
21 tones had the same level (fixed level, error: -0.08 ± 0.05 semitone). The matched interval size
22 decreased in the increasing level condition by about 0.4 semitone (2.3%) ($p < 0.01$), and
23 conversely increased in the decreasing level condition ($p < 0.01$). These results conform to our

1 expectations based on the pitches of isolated pure tones, although the effect was smaller than
2 predicted (dashed line).

3

4 **4. Discussion**

5 Taken together, our results confirm that the pitch of low frequency pure tones (200 Hz)
6 depends on level. Specifically, the pitch decreased by an amount equivalent to a change in
7 frequency of more than half a semitone (3.5%) when the level was increased from 40 to 70 dB
8 SPL. This is of course small compared to the effect of repetition rate on pitch, especially
9 given the very large change in level, but it is significant and systematic. We found no
10 dependence on level for high frequency tones (4000 Hz) or complex tones. The analysis for
11 many different pairs of comparison and reference levels indicates that the frequency
12 adjustments in the matching task reflect changes in perceived pitch as opposed to contextual
13 or procedural effects. Additionally, similar, although smaller, pitch changes were measured in
14 an interval matching task. Finally, we found that musicians were more precise than non-
15 musicians in pitch matching tasks but showed the same pitch shifts with level.

16 Overall, our results confirm and complement previous studies (Fletcher, 1934;
17 Stevens, 1935; Snow, 1936; Morgan et al., 1951; Terhardt, 1974b; Verschuure and van
18 Meeteren, 1975) with a more exhaustive and controlled analysis. A number of studies had
19 already shown the effect of level on low frequency pure tones, a few of them with a pitch
20 matching procedure. We have replicated these findings. Our analysis of pitch matching with
21 many different pairs of comparison and reference levels (Experiment 2) confirms that the
22 observed changes in frequency deviation have the expected properties of pitch changes (e.g.
23 antisymmetry, additivity); a similar analysis was performed in one study by Verschuure and
24 van Meeteren (1975) with two subjects. We have complemented this analysis by using our

1 interval matching task, which showed effects of level in the expected direction, but of smaller
2 magnitude; it is not clear why level had less influence on interval perception than expected
3 from the results of the pitch matching experiments (Fig. 4). An absence of an effect of level
4 on the pitch of complex tones was mentioned by Snow (1936) but not shown. Terhardt (1975)
5 reported small pitch shifts with level for complex tones, but the shifts varied markedly across
6 participants and the mean shift was probably not statistically significant. In particular, a small
7 negative pitch shift was reported for complex tones with fundamental frequency 200 Hz and
8 harmonics 1-5, similar to one of the stimuli we used (harmonics 1-6). This shift was
9 interpreted as consistent with pitch shifts of the individual harmonics, although it did not
10 appear to be statistically significant. We applied the same procedure to complex tones with
11 the same fundamental frequency, and we observed no significant pitch shifts, even though
12 pitch shifts were observed with the with pure tones of 200 and 1000 Hz. Finally, it is known
13 that musical experience can influence psychophysical performance in pitch tasks (for example
14 discrimination), but previous studies did not mention the musical experience of listeners. We
15 have analyzed the data for musicians and non-musicians separately, and we found that even
16 though musicians were more precise in pitch matching, the two groups showed the same
17 average dependence of pitch on level. We conclude that the level-dependence of the pitch of
18 low frequency tones is genuine and independent of musical experience.

19 We found that musical training has an effect on the precision of pitch matching, but
20 not on the average effect of level. This observation reinforces the claim that the pitch of low
21 frequency pure tones truly depends on level by ruling out one alternative interpretation of the
22 experimental observations, namely that the level-dependence of the deviation in match
23 reflects the level-dependence of uncertainty in the task. That is, one could argue that pitch is
24 more uncertain at low levels and therefore listeners are biased towards some prior, which
25 could reflect for example the spectrum of natural sounds. This could predict level-dependent

1 responses, with larger shifts for frequencies far from the prior. If this were true, we would
2 expect smaller level-dependent shifts when responses are more precise, but this was not the
3 case for musicians.

4 The results confirm that there is no exact one-to-one mapping between repetition rate
5 and pitch, even for pure tones. This finding is related to other surprising phenomena in pitch
6 perception (Hartmann, 1998). Diplacusis, which exists in normal hearing subjects, is the
7 phenomenon that the pitch of a tone can differ slightly but significantly between the two ears
8 (Jeffress, 1944; van den Brink, 1975; Burns, 1982). Another known phenomenon is octave
9 stretch, the fact that when subjects are asked to adjust the frequency of a tone so that it sounds
10 an octave higher than a reference tone of frequency f , they tend to set a frequency greater than
11 $2f$. This phenomenon is also observed for listeners with absolute pitch (Ward, 1954). Pitch
12 shifts can also be induced by masking noise (Houtsma, 1981), by a previous adapting tone of
13 similar frequency (Larkin, 1978; Rakowski and Hirsh, 1980), or by changes in the envelope
14 of the tone (Rossing and Houtsma, 1986; Hartmann, 1978).

15 The level dependence of pitch is rather difficult to explain by standard temporal
16 (Licklider, 1951) or place (Terhardt, 1974a) theories of pitch. The implications of this
17 phenomenon on theories of pitch have been discussed previously (Hartmann, 2004; Moore,
18 2012), and we therefore only give a general overview. Temporal theories of pitch based on the
19 periodicity of the sound's waveform, predict that pitch is independent of sound level.
20 Significantly, our results show that the pitch of low frequency tones, but not of complex tones
21 with the same periodicity, depends on level; it is not straightforward to see how temporal
22 theories of pitch could predict differences between these two cases. It has been shown that the
23 most frequent all-order interspike interval in auditory nerve recordings corresponds to the
24 pitch of complex tones, and varies little with level (Cariani and Delgutte, 1996), in contrast
25 with estimates from first-order intervals (successive spikes). A possible explanation for the

1 level dependence of the pitch of low frequency pure tones is that the estimate from interspike
2 intervals can deviate from the stimulus period because of the refractory period of auditory
3 nerve fibers (Ohgushi, 1983). At higher levels, this deviation could become more important as
4 the firing rate increases. However, at low frequencies, this effect is only seen in first-order
5 intervals but not in all-order intervals (McKinney and Delgutte, 1999).

6 Place theories of pitch in which pitch is indicated by the place of maximal activation
7 of the cochlea, suffer from the fact that auditory nerve fibers saturate at high levels and the
8 locus of maximal activation varies dramatically with level (Sachs and Young, 1979; Kim,
9 1980; Chatterjee and Zwislocki, 1997; Cedolin and Delgutte, 2005; Versteegh et al., 2011), by
10 an amount equivalent to about 0.5 octave for a level change from 40 to 80 dB (McFadden,
11 1986; Zwislocki and Nguyen, 1999; Moore et al., 2002). It has been proposed that pitch could
12 be indicated by the low frequency edge of the activation pattern (Zwislocki and Nguyen,
13 2009), but the predicted variation of pitch with level far exceeds that measured
14 psychophysically (Temchin and Ruggero, 2014).

15 In template theories of pitch (Terhardt, 1974a), it is postulated that the cochlear
16 activation profile associated with each pitch is learned, which could potentially include level-
17 dependent effects. In this context, it could be argued that the pitch of low-frequency tones, but
18 not of complex tones, shows level-dependence because the auditory system is mostly exposed
19 to complex tones (in particular voices) while pure tones are less natural. In this conceptual
20 framework, the observation that musical training enhances precision but has no effect on the
21 average level-dependence of the pitch of low frequency tones is not straightforward to
22 interpret. Indeed, what underlies the enhanced precision of musicians? A natural explanation
23 in the framework of template theory is that increased exposure to templates yields better
24 discrimination via learning. In this case, we would expect that a reduction in bias
25 accompanies an enhancement of precision, but this was not the case. Thus if template theory

1 is correct, then the learning that underlies the level independence of the pitch of complex
2 tones must be of a different type than the learning that underlies the enhancement in precision
3 in musicians.

4 A few models of pitch (Loeb et al., 1983; Cedolin and Delgutte, 2010; Laudanski et
5 al., 2014) and of tone detection (Carney et al., 2002) are based on timing differences between
6 the spike trains in different fibers. For example, Loeb's model proposes that the frequency of
7 a pure tone can be estimated by comparing signals across the basilar membrane: the distance
8 that separates places that vibrate in phase is related to the tone's frequency. Because the phase
9 of the basilar membrane response to tones depends on level (Robles and Ruggero, 2001),
10 pitch would depend on level according to this model. However, a quantitative study using
11 guinea pig auditory nerve responses (Carlyon et al., 2012) showed that in Loeb's model (Loeb
12 et al., 1983), the predicted variation of pitch with level far exceeds that measured by
13 psychophysical measurements: the change corresponds to more than two octaves for a 40 dB
14 level change.

15 The structural theory of pitch (Laudanski et al., 2014) is a generalization of the models
16 of Licklider and Loeb (Licklider, 1951; Loeb et al., 1983), in which pitch is postulated to be
17 the perceptual correlate of the regularity structure of the vibration pattern on the basilar
18 membrane, across place and time. Regularity structure at the level of cochlea includes
19 periodicity within a cochlear channel (mathematically, $S(x,t) = S(x,t-\delta)$ for all t , where $S(x,t)$
20 is the mechanical signal at position x on the basilar membrane), named within-channel
21 structure, and identities across frequency channels of the form $S(x,t) = S(y,t-\delta)$ for all t (x and
22 y are two fixed cochlear places), named cross-channel structure. Within-channel structure is
23 level-independent, but cross-channel structure is level-dependent if the basilar membrane
24 responds nonlinearly. As in Licklider's model, delays δ in the regularity structure are matched
25 by conduction (e.g. axonal) delays. If there is a physiological upper bound on these delays

1 ($\delta < \delta_{\max}$), then low frequency pure tones have only cross-channel structure (more precisely,
2 within-channel structure is not usable), while complex tones also have within-channel
3 structure (Laudanski et al., 2014). Thus, the theory predicts level dependence for low
4 frequency pure tones but not complex tones if it is postulated that within-channel structure
5 dominates cross-channel structure. The extent of level dependence depends on the spacing
6 between channels and therefore on the specific instantiation of the theory: if channels are
7 widely spaced, then the model would suffer from the same problem as Loeb's model (Carlyon
8 et al., 2012). The model produces weak level dependence for narrowly spaced channels, for
9 tone frequencies lower than $1/\delta_{\max}$.

10 In conclusion, the pitch of low frequency tones depends weakly but significantly on level,
11 and this finding poses an interesting and unresolved challenge for theories of pitch.

12

13

14 **Acknowledgments**

15 This work was supported by the European Research Council (ERC StG 240132). We thank
16 the reviewers and the editor for their help.

17

18 **References**

19 Attneave F, Olson RK (1971) Pitch as a medium: a new approach to psychophysical scaling.
20 *Am J Psychol* 84:147–166.

21 Bernstein JG, Oxenham AJ (2003) Pitch discrimination of diotic and dichotic tone complexes:
22 harmonic resolvability or harmonic number? *J Acoust Soc Am* 113:3323–3334.

- 1 Burns EM (1982) Pure-tone anomalies. I. Pitch-intensity effects and diplacusis in normal ears. J
2 Acoust Soc Am 72:1394-1402.
- 3 Burns EM, Ward WD (1978) Categorical perception--phenomenon or epiphenomenon: evidence from
4 experiments in the perception of melodic musical intervals. J Acoust Soc Am 63:456-468.
- 5 Burns EM, Campbell SL (1994) Frequency and frequency-ratio resolution by possessors of absolute
6 and relative pitch: examples of categorical perception. J Acoust Soc Am 96:2704-2719.
- 7 Cariani PA, Delgutte B (1996) Neural correlates of the pitch of complex tones. I. Pitch and pitch
8 salience. J Neurophysiol 76:1698.
- 9 Carlyon RP, Long CJ, Micheyl C (2012) Across-channel timing differences as a potential code for the
10 frequency of pure tones. J Assoc Res Otolaryngol 13:159-171.
- 11 Carney L, Heinz MG, Evilsizer ME, Gilkey RH, Colburn HS (2002) Auditory phase opponency: A
12 temporal model for masked detection at low frequencies. Acta Acustica 88:334-347.
- 13 Cedolin L, Delgutte B (2005) Pitch of complex tones: rate-place and interspike interval
14 representations in the auditory nerve. J Neurophysiol 94:347-362.
- 15 Cedolin L, Delgutte B (2010) Spatiotemporal representation of the pitch of harmonic complex tones
16 in the auditory nerve. J Neurosci 30:12712-12724.
- 17 Chambers C, Pressnitzer D (2014) Perceptual hysteresis in the judgment of auditory pitch shift. Atten
18 Percept Psychophys 76:1271-1279.
- 19 Chatterjee M, Zwislocki JJ (1997) Cochlear mechanisms of frequency and intensity coding. I. The place
20 code for pitch. Hear Res 111:65-75.
- 21 de Cheveigne A (2005) Pitch perception models. In: Springer handbook of auditory research, vol 24
22 Springer, New York, pp 169–233.
- 23 Fletcher H (1934) Loudness, pitch and the timbre of musical tones and their relation to the intensity,
24 the frequency and the overtone structure. J Acoust SocAm 6:59-69.
- 25 Hartmann WM (1978) The effect of amplitude envelope on the pitch of sine wave tones. The Journal
26 of the Acoustical Society of America 63:1105–1113.

- 1 Hartmann WM, Doty SL (1996) On the pitches of the components of a complex tone. *J Acoust Soc Am*
2 99:567-578.
- 3 Hartmann WM (2004) *Signals, Sound, and Sensation*. Springer Science & Business Media, New York.
- 4 Houtsma AJM (1981) Noise-induced shifts in the pitch of pure and complex tones. *The Journal of the*
5 *Acoustical Society of America* 70:1661 – 1668.
- 6 Jeffress LA (1944) Variations in Pitch. *Am J Psychol* 57:63-76.
- 7 Kim DO (1980) Cochlear mechanics: implications of electrophysiological and acoustical observations.
8 *Hear Res* 2:297-317.
- 9 Kishon-Rabin L, Amir O, Vexler Y, Zaltz Y (2001) Pitch discrimination: are professional musicians
10 better than non-musicians? *J Basic Clin Physiol Pharmacol* 12:125-143.
- 11 Larkin WD (1978) Pitch Shifts Following Tone Adaptation. *Acta Acustica united with Acustica* 41:110–
12 116.
- 13 Laudanski J, Zheng Y, Brette R (2014) A structural theory of pitch. *eneuro:ENEURO* 0033-14.2014.
- 14 Licklider JC (1951) A duplex theory of pitch perception. *Experientia* 7:128-134.
- 15 Loeb GE, White MW, Merzenich MM (1983) Spatial cross-correlation. A proposed mechanism for
16 acoustic pitch perception. *Biol Cybern* 47:149-163.
- 17 McDermott JH, Keebler MV, Micheyl C, Oxenham AJ (2010) Musical intervals and relative pitch:
18 frequency resolution, not interval resolution, is special. *J Acoust Soc Am* 128:1943-1951.
- 19 McFadden, D., 1986. The curious half octave shift: Evidence for a basalward migration of the
20 travelling-wave envelope with increasing intensity. In: Salvi, R. J., Henderson, D., Hamernik,
21 R. P., Colletti, V. (Eds.), *Basic and Applied Aspects of Noise-Induced Hearing Loss*, Plenum,
22 New York, pp. 295-312.
- 23 McKinney MF, Delgutte B (1999) A possible neurophysiological basis of the octave enlargement
24 effect. *The Journal of the Acoustical Society of America* 106:2679–2692.
- 25 Moore, B. C. J., Alcántara, J. I., Glasberg, B. R., 2002. Behavioural measurement of level-dependent
26 shifts in the vibration pattern on the basilar membrane. *Hear. Res.* 163, 101-110.
- 27 Moore BCJ (2012) *An Introduction to the Psychology of Hearing*. BRILL, The Netherlands.
- 28 Morgan CT, Garner WR, Galambos R (1951) Pitch and intensity. *J Acoust Soc Am* 23:658-663.

- 1 Ohgushi K (1983) The origin of tonality and a possible explanation of the octave enlargement
2 phenomenon. *The Journal of the Acoustical Society of America* 73:1694–1700.
- 3 Oxenham AJ (2012) Pitch perception. *J Neurosci* 32:13335-13338.
- 4 Oxenham AJ, Micheyl C, Keebler MV, Loper A, Santurette S (2011) Pitch perception beyond the
5 traditional existence region of pitch. *Proceedings of the National Academy of Sciences of the*
6 *United States of America* 108:7629-7634.
- 7 Rakowski A, Hirsh IJ (1980) Poststimulatory pitch shifts for pure tones. *The Journal of the Acoustical*
8 *Society of America* 68:467–474.
- 9 Robles L, Ruggero MA (2001) Mechanics of the Mammalian Cochlea. *Physiol Rev* 81:1305-1352.
- 10 Rossing TD, Houtsma AJM (1986) Effects of signal envelope on the pitch of short sinusoidal tones.
11 *The Journal of the Acoustical Society of America* 79:1926–1933.
- 12 Sachs MB, Young ED (1979) Encoding of steady-state vowels in the auditory nerve: representation in
13 terms of discharge rate. *J Acoust Soc Am* 66:470-479.
- 14 Shamma S, Klein D (2000) The case of the missing pitch templates: how harmonic templates emerge
15 in the early auditory system. *J Acoust Soc Am* 107:2631-2644.
- 16 Snow WB (1936) Change of Pitch with Loudness at Low Frequencies. *J Acoust Soc Am* 8:14-19.
- 17 Stevens SS (1935) The relation of pitch to intensity. *J Acoust Soc Am*:150-154.
- 18 Temchin AN, Ruggero MA (2014) Spatial irregularities of sensitivity along the organ of Corti of the
19 cochlea. *J Neurosci* 34:11349–11354.
- 20 Terhardt E (1974a) Pitch, consonance, and harmony. *J Acoust Soc Am* 55:1061-1069.
- 21 Terhardt E (1974b) Pitch of pure tones: Its relation to intensity. In E Zwicker & E Terhardt (Eds), *Facts*
22 *and models in hearing* (pp 353-360) Heidelberg: Springer-Verlag.
- 23 Terhardt E (1975) The influence of intensity on the pitch of complex tones. *Acustica* 33, 344-348.
- 24 van den Brink G (1975) The Relation between Binaural Diplacusis for Pure Tones and for Complex
25 Sounds under Normal Conditions and with Induced Monaural Pitch Shift. *Acta Acustica united with*
26 *Acustica* 32:159–165.
- 27 Verschuure J, van Meeteren AA (1975) The effect of intensity on pitch. *Acustica* 32:33-44.

1 Versteegh CP, Meenderink SW, van der Heijden M (2011) Response characteristics in the apex of the
2 gerbil cochlea studied through auditory nerve recordings. *J Assoc Res Otolaryngol* 12:301-
3 316.

4 Ward WD (1954) Subjective Musical Pitch. *J Acoust Soc Am* 26:369-380.

5 Zwislocki JJ, Nguyen M (1999) Place code for pitch: a necessary revision. *Acta Otolaryngol* 119:140-
6 145.

7

8 **Figure legends**

9 Figure 1. Level-dependence of the pitch of pure tones. A-C. Subjects adjusted the frequency
10 of a 40 dB SPL tone so as to match the pitch of a reference tone. Each curve represents the
11 frequency shift in semitones at the matching point relative to the frequency of the reference
12 tone (A: 200 Hz; B: 1000 Hz; C: 4000 Hz), as a function of reference tone level, for one
13 subject (solid lines: musicians; dashed lines: non musicians). D. Average frequency shift
14 across subjects for reference tones at 200 Hz (red), 1000 Hz (blue) and 4000 Hz (red). Error
15 bars represent ± 1 standard deviation (SD) across subjects.

16

17 Figure 2. Detailed analysis of the level dependence of pure tone pitch for 4 subjects. A-C.
18 average frequency shift as a function of reference level, for different comparison tone levels
19 (20 to 70 dB SPL; A: 200 Hz, B: 1000 Hz, C: 4000 Hz). Errors bars indicate ± 1 sd. D.
20 Average frequency shift as a function of reference level, when the comparison level was 10
21 dB higher (solid line) or lower (dashed line) than that of the reference, for the 3 frequencies
22 (same color code as in Fig. 1).

23

1 Figure 3. Level dependence of the pitch of complex tones. Black: average frequency shift at
2 matching point as a function of reference level (in dB SPL per component) for complex tones
3 with fundamental frequency = 200 Hz, composed of the first 6 harmonics (solid line) or the
4 first 12 harmonics (dashed line). The comparison tone was a complex tone with a level of 30
5 dB SPL per component. Red: frequency shift for 200 Hz pure tones (Fig. 1D).

6

7 Figure 4. Level dependence of frequency adjustments required for interval matching,
8 expressed as deviation from expected interval. Experimental results (solid line, error bars
9 show ± 1 SD across subjects) are compared to predictions based on pure tone pitch (dashed).

10

11

1 **Table 1.** List of subjects and their musical experience.

Subject	Age	Sex	Musical experience	Experiments
SAG	22	M	Drums, 12 years	1, 3
PES	22	F	None	1, 2, 3
PSS	25	M	None	1, 2, 3
PAM	22	F	Piano, 14 years	1, 2, 3
PJC	33	M	Guitar, 15 years	1, 2, 3
PTC	24	F	Trumpet, 15 years	1, 3
PAL	20	M	None	1, 3
SYZ	33	F	None	1, 3
LHK	27	F	Piano and violin, 10 years	4
PPG	27	M	Violon, 7 years	4
SLC	21	F	Violin, 15 years	4
SLJ	20	M	Piano, guitar and saxophone, 10 years	4
SEC	28	F	Violin, 22 years	4
SOP	23	M	Trumpet, 10 years	4

2

3 **Table 2.** Frequency shifts in semitones for all combinations of reference and comparison

4 levels (experiment 2). Columns: reference level; rows: comparison level.

5 200 Hz

	Reference level
--	-----------------

Comparison level	20 dB SPL	30 dB SPL	40 dB SPL	50 dB SPL	60 dB SPL	70 dB SPL
20 dB SPL	0.02±0.13	-0.02±0.11	-0.10±0.11	-0.02±0.13	0.32±0.16	0.39±0.20
30 dB SPL	0.07±0.10	-0.02±0.14	-0.05±0.20	0.04±0.14	0.34±0.13	0.47±0.15
40 dB SPL	0.12±0.12	0.10±0.13	-0.01±0.13	0.16±0.14	0.42±0.17	0.56±0.13
50 dB SPL	0.03±0.14	-0.05±0.12	-0.02±0.15	0.06±0.14	0.32±0.16	0.48±0.09
60 dB SPL	-0.28±0.20	-0.39±0.13	-0.47±0.14	-0.28±0.11	0.00±0.18	0.30±0.11
70 dB SPL	-0.42±0.18	-0.43±0.19	-0.65±0.18	-0.39±0.16	-0.17±0.20	0.02±0.19

1

2 1000 Hz

	Reference level					
Comparison level	20 dB SPL	30 dB SPL	40 dB SPL	50 dB SPL	60 dB SPL	70 dB SPL
20 dB SPL	0.00 ±0.06	0.00±0.08	0.05±0.09	0.10 ±0.09	0.15 ±0.16	0.20±0.12
30 dB SPL	0.02 ±0.07	-0.05±0.06	0.01±0.09	0.09 ±0.10	0.17±0.14	0.21 ±0.07
40 dB SPL	-0.04±0.07	-0.04±0.03	-0.04±0.06	0.01 ±0.05	0.15±0.07	0.20±0.08
50 dB SPL	-0.14±0.17	-0.11±0.10	-0.09±0.07	0.00±0.07	0.07 ±0.07	0.12 ±0.06
60 dB SPL	-0.21±0.08	-0.18±0.08	-0.14±0.07	-0.06±0.07	-0.01±0.06	0.06±0.05
70 dB SPL	-0.19±0.07	-0.16±0.06	-0.26±0.04	-0.08±0.04	-0.04±0.06	0.00 ±0.06

3

4 4000 Hz

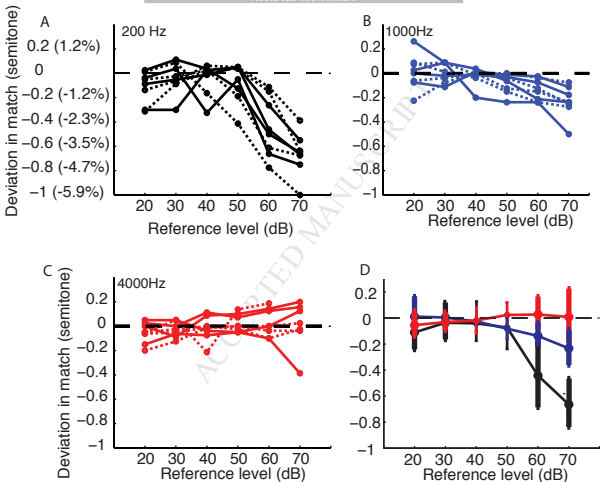
	Reference level					
Comparison	20 dB SPL	30 dB SPL	40 dB SPL	50 dB SPL	60 dB SPL	70 dB SPL

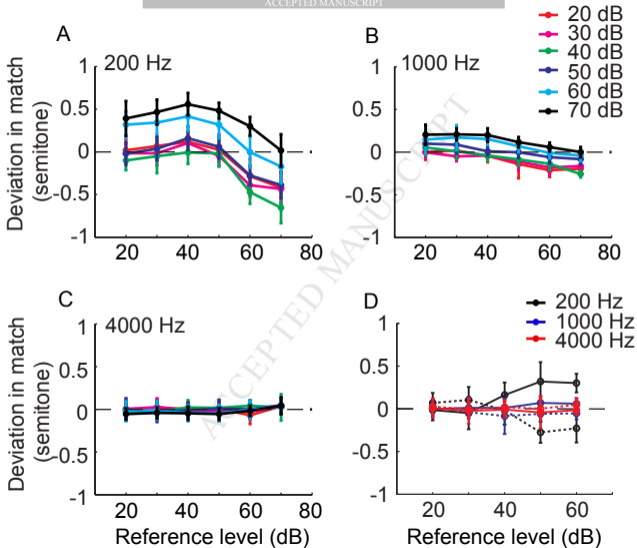
level						
20 dB SPL	-0.02±0.08	0.01±0.11	-0.02±0.12	0.00±0.13	-0.02±0.11	-0.05±0.07
30 dB SPL	0.03±0.09	0.03±0.10	-0.03±0.10	-0.03±0.12	-0.01±0.11	-0.04±0.06
40 dB SPL	0.00±0.08	-0.01±0.09	0.02±0.09	-0.01±0.09	-0.04±0.10	-0.05±0.08
50 dB SPL	0.01±0.07	-0.02±0.10	0.02±0.09	0.01±0.10	-0.04±0.10	-0.05±0.07
60 dB SPL	-0.07±0.10	-0.03±0.09	0.04±0.06	0.00±0.11	0.00±0.11	-0.02±0.06
70 dB SPL	0.04±0.08	0.03±0.09	0.02±0.15	0.05±0.09	0.03±0.07	0.04±0.10

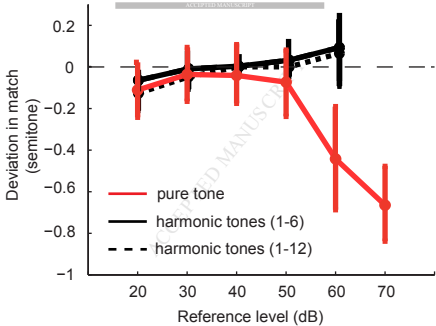
1

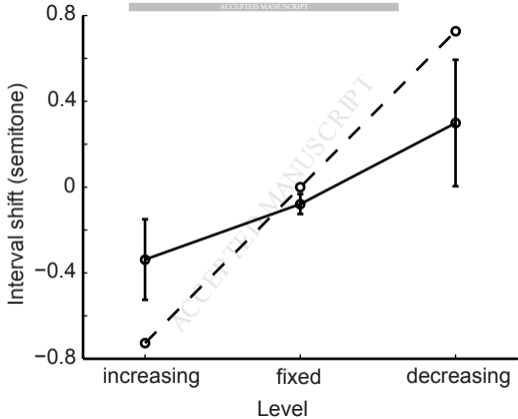
2

3









Highlights

- Pitch of low frequency pure tones decreases with increasing sound level.
- Pitch of harmonic complex tones does not change with sound level.
- Musical training has no effect on sound-level effects
- The relationship between pitch and level poses a challenge to current theories of pitch