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1 **Estimating microbial populations by flow cytometry: comparison between instruments**

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15 Running head: Estimating microbial populations by FCM

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17 nanoplankton.

18

19 **Abstract**

20 For almost 3 decades, flow cytometry has allowed researchers to investigate ocean planktonic  
21 communities using size and cell fluorescence properties. However, oceanographic applications must  
22 face two constraints. First, when dealing with marine microbes, instruments must be sensitive because  
23 these organisms are very small and with low fluorescence. Second, instruments must be portable to be  
24 used on board ships. We compared the performance of two instruments, the BD FACSCanto™ and BD  
25 Accuri™ C6. The former is an expensive laboratory-based instrument which has a very good  
26 sensitivity, whilst the latter is less sensitive but presents critical advantages for field studies (easy  
27 handling and transportation, relatively low cost). We have analyzed 102 samples from the South  
28 Atlantic Ocean from 3 transects off Brazil, within the euphotic zone. We compared cell abundance of  
29 heterotrophic bacteria, *Prochlorococcus* and *Synechococcus*, as well as photosynthetic pico- and nano-  
30 eukaryotes. Heterotrophic bacteria, pico- and nano-eukaryotes could be easily detected with both  
31 cytometers. *Prochlorococcus* and *Synechococcus* populations were severely under-estimated with the  
32 BD Accuri™ C6, particularly for samples from the well-lit layers of the water column. Correction of  
33 abundance data using previously suggested approaches was not sufficient to fully compensate for the  
34 low sensibility. Our data suggest that the BD Accuri™ C6 is suitable for counting marine bacteria and  
35 photosynthetic eukaryotes, but not *Prochlorococcus* and *Synechococcus*.

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37

## 38 **Introduction**

39 Flow cytometry (FCM) is a well-established technique (Marie et al. 1997; Gasol and del  
40 Giorgio 2000) used since the 1980s (Trask et al. 1982; Olson et al. 1985) for enumeration and  
41 characterization of marine micro-organisms. FCM analysis of planktonic communities fulfills the  
42 scientific demands of rapid and accurate cell counting, as it considerably reduces the bias introduced by  
43 visual counting (Marie et al. 2005). By simultaneously recording several parameters during analysis,  
44 FCM allows the discrimination of pico- and nanoplankton populations and the estimation of their  
45 abundance, cell size, and pigment content (Marie et al. 2005), both by natural (chlorophyll,  
46 phycoerythrin) or induced (fluorescent dyes) fluorescence (Marie et al. 1997).

47 The flow cytometer registers events as cells are aligned in a fluid stream and flow through a  
48 beam of focused light usually provided by one or several lasers. For each particle, scattered light and  
49 emitted fluorescence are converted to digital signals and recorded. A flow cytometer comprises three  
50 main systems: fluidics (particle transport), optics (laser beam and optical filters), and electronics (signal  
51 conversion into electronic data). Detectors for scattered light located at 180° and 90° from the light  
52 source are called forward scatter (FSC) and side scatter (SSC), respectively. Fluorescence at different  
53 wavelengths (typically green, orange and red) is also recorded. Signals associated with each parameter  
54 are displayed as cytograms, which are used to discriminate and count different populations based on  
55 scattering and fluorescence features. Phytoplankton populations can be differentiated by FCM  
56 according to specific values of the recorded parameters (FSC, SSC, red or orange fluorescence).

57 Bacteria are in general detected after staining with a nucleic acid stain such as SYBR Green-I  
58 (Marie et al. 1997). Two different groups can be distinguished based on their apparent nucleic acid  
59 content (differences in fluorescence intensity) and side scatter signal (SSC): high nucleic acid (HNA)  
60 and low nucleic acid (LNA) bacteria. The function and ecological importance of these two groups is far

61 from being fully understood (Bouvier et al. 2007; Van Wambeke et al. 2011), and several studies have  
62 addressed these nucleic acid content differences in terms of ecological traits, such as bacterial activity  
63 and production (Morán et al. 2007; Ortega-Retuerta et al. 2008; Van Wambeke et al. 2011).

64 Two main groups of autotrophic prokaryotes dominate picoplankton: *Prochlorococcus* and  
65 *Synechococcus*. *Prochlorococcus* is ubiquitous in the euphotic zone of tropical oceans, being  
66 considered the most abundant photosynthetic organisms on the planet (Partensky et al. 1999b), and its  
67 discovery was only made possible with the development of flow cytometry (Chisholm et al. 1988).  
68 *Prochlorococcus* is discriminated by its small scattering and low red fluorescence (chlorophyll).  
69 *Synechococcus* is widely distributed in marine environments, being particularly abundant in well-lit  
70 and nutrient rich top layers of the oceans (Partensky et al. 1999a). One of the key parameters that  
71 allows *Synechococcus* populations to be discriminated by FCM is the phycoerythrin content (orange  
72 fluorescence). Different *Synechococcus* clades can show distinct fluorescence signatures (Olson et al.  
73 1990; Thompson and van den Engh 2016), as a result of different phycobilisome composition (Scanlan  
74 et al. 2009). Pico- and nanoeukaryotes are important contributors to global primary productivity (Li  
75 1994), and due to their larger cell size, they often contribute to an important share of autotrophic  
76 biomass in the oceans (Zubkov et al. 1998). Picoeukaryotes, which cells range from 0.8  $\mu\text{m}$  to 2-3  $\mu\text{m}$   
77 (Simon et al. 1994), present well-defined cytometric signatures by FCM, while nano-eukaryotes  
78 populations are less well defined.

79 FCM analysis has led to numerous advances in marine microbial ecology, although cost and  
80 maintenance expenses were prohibitive for many laboratories until recently (Gasol and del Giorgio  
81 2000; Vives-Rego et al. 2000). Since the first cytometry-based field study made by Olson, Vaultot &  
82 Chisholm (1985), on-board flow cytometry has become a crucial tool in the investigation of both  
83 autotrophic and heterotrophic picoplanktonic communities (Legendre et al. 2001). The manufacturing  
84 of low cost compact benchtop flow cytometers such as the BD Accuri™ C6, the Millipore Guava® or  
85 the Applied Biosystems Attune® has facilitated the use of FCM to study of phytoplankton communities

86 around the world, due to easy handling, automatic sampling and easy transportation (a critical quality  
87 for field measurements). However, these low cost instruments can be less sensitive than laboratory  
88 based flow cytometers, due to less sophisticated optical and/or electronic systems.

89 A lower sensitivity is usually not a problem for bacteria which are detected after staining with  
90 strongly fluorescing dyes such as SYBR Green, or for small eukaryotes whose pigment content is  
91 relatively high. However, this is not the case for cyanobacteria like *Prochlorococcus*, for which the  
92 concentration of photosynthetic pigments per cell is as much as 50-100 fold lower in cells exposed to  
93 high light as a result of photo-acclimation (Sosik et al. 1989; Olson et al. 1990), creating 'dim'  
94 populations in the surface layers. Such low fluorescence explains why *Prochlorococcus* escaped  
95 detection by researchers using epifluorescence microscopy or even during the first use of FCM on  
96 board oceanographic ships (Olson et al. 1985). To overcome the problem of low sensitivity flow  
97 cytometers, both direct and indirect approaches to infer *Prochlorococcus* abundance have been  
98 developed, such as changes in cytometer optical set up to improve excitation energy or fluorescence  
99 detection (Dusenberry and Frankel 1994; Partensky et al. 1999b) and the use of mathematical  
100 corrections (Zubkov et al. 1998; Crosbie and Furnas 2001).

101 In this paper, we compare data obtained on marine microbial communities with two flow  
102 cytometers, the FACSCanto™ and the Accuri™ C6 (hereafter named as CANTO and C6). Although  
103 manufactured by the same company (BD Biosciences, San Jose, CA, USA), these cytometers present  
104 distinct fluorescence excitation/detection technical features (Table 1). Differences in laser, optics and  
105 electronic systems can potentially affect sensitivity and resolution, influencing the accuracy of field  
106 measurements. We analyzed heterotrophic marine bacteria, photosynthetic eukaryotes and  
107 cyanobacteria on a set of marine samples from the South Atlantic Ocean (displaying both nutrient and  
108 light gradients within the water column). While both instruments produced equivalent data for bacteria  
109 and eukaryotes, cyanobacteria, especially *Prochlorococcus*, were severely under-estimated with the C6  
110 instrument, and procedures previously suggested to correct the data proved ineffective.

## 111 **Materials and methods**

### 112 **Sampling**

113 Water samples were collected onboard the R/V "Alpha Crucis", between 31/10/2013 and  
114 23/11/2013. The surveyed area was located between latitude 23°11'S - 30°52'S and longitude 39°22'W  
115 - 49°09'W, along 3 transects (TR1, TR2 and TR3), in the South West Atlantic off Brazil, reaching the  
116 3510 meters isobath (Fig. 1). The sampling strategy comprised cross-shelf transects with 5 depths per  
117 station within the euphotic zone for TR1 and TR2, as well as 12 surface samplings for TR3, for a total  
118 of 102 samples. Three water masses were sampled during the cruise: the warm and oligotrophic  
119 Tropical Water, the cold and nutrient rich South Atlantic Central Water and the Coastal Water, with  
120 highly variable features (Castro et al. 2006). Except for TR3 samples, which were collected using a  
121 polycarbonate bucket, seawater samples were collected with 12 L Niskin bottles attached to a Seabird®  
122 CTD-rosette system (Sea-Bird Electronics, Bellevue, WA, USA), divided into cryotubes, preserved  
123 with 0.1% glutaraldehyde, final concentration (modified from Vaultot et al., 1989), incubated for 10  
124 minutes in the dark, flash-frozen in liquid nitrogen and stored at -80°C until analysis.

### 125 **Flow cytometry analysis**

126 Two flow cytometers were used in this study: a BD FACSCanto II™ and a BD Accuri™ C6  
127 (Table 1). Samples were counted simultaneously on both cytometers located in the same room, in order  
128 to avoid any possible bias by manipulation or time span between measurements. The tubing of the C6  
129 was new and fluidics were calibrated for precise volume measurements as recommended by the  
130 manufacturer (Section 4.13 of manual).

131 Samples were first analyzed unstained to enumerate phototrophs. Fluorescent beads (0.95 G  
132 Fluoresbrite® Polysciences, Warrington, PA) were added in each sample in order to normalize  
133 parameters (Marie et al. 1997). A second analysis was performed to enumerate heterotrophic bacteria

134 after staining with SYBR Green<sup>®</sup> (1:10000, final concentration) (Ref-S7585, Life Technologies,  
135 Eugene, Oregon).

136 On the C6, for enumerating phytoplankton, 200  $\mu\text{L}$  of sample were analyzed at the "high" rate  
137 ( $66 \mu\text{L}\cdot\text{min}^{-1}$ ) with a threshold set at 700 on red fluorescence (FL3-H). To enumerate heterotrophs, 60  
138  $\mu\text{L}$  of SYBR Green stained samples were run at "medium" rate ( $35 \mu\text{L}\cdot\text{min}^{-1}$ ) and the threshold was set  
139 at 700 on green fluorescence (FL1-H). In both cases, thresholds were determined by running  $0.2 \mu\text{m}$   
140 filtered sea water sample and lowering the values until electrical or optical noise appears.

141 On the CANTO for enumerating phytoplankton, samples were run for 3 min with a rate of 72  
142  $\mu\text{L}\cdot\text{min}^{-1}$  and with the discriminator set on red fluorescence at 200. For bacterial enumeration, SYBR  
143 Green stained samples were run for 2 min at a rate of  $60 \mu\text{L}\cdot\text{min}^{-1}$  and the threshold was set on green  
144 fluorescence at 500. Flow rate was determined by the method described by Marie et al. (1997). A  
145 known volume of seawater was injected on the CANTO for at least 10 min. Then the remaining volume  
146 is measured and the rate is determined by dividing the difference between initial and final volumes by  
147 the injection time.

148 Data were analyzed with the Flowing Software<sup>®</sup> 2.5 (<http://www.flowingsoftware.com>). Each  
149 population was identified on the cytograms on the basis of its scatter and fluorescence signals (Fig. S1).  
150 Each parameter was normalized to that of the reference beads ( $0.95 \mu\text{m}$ ). Cell counts for each red  
151 fluorescence value were exported from the single parameter histogram. The resulting spreadsheet (File  
152 S1) was used in subsequent analysis with the R software (R Development Core Team, 2013).

153 Near the surface the red chlorophyll fluorescence of the picophytoplankton decreases due to  
154 photoacclimation (Partensky et al. 1993; Dusenberry et al. 2001; Kulk et al. 2011). Therefore, for a  
155 fraction or even all of the *Prochlorococcus* and *Synechococcus* populations, fluorescence can fall  
156 below the detection threshold (Fig. 2). For the case where only a part of the population was in the  
157 noise, we modified the correction procedure described by Crosbie and Furnas (2001) and implemented  
158 it as an R routine (File S2). This correction assumes that the red fluorescence distribution of these



159 populations has a log-normal shape (Crosbie and Furnas 2001; Shapiro 2003) and that, when the left  
160 part of the distribution is partially in the noise, the left part can be extrapolated from the right part. The  
161 R routine takes as input histograms produced by the Flowing Software<sup>®</sup> (but can be adapted to other  
162 data formats) and outputs uncorrected and corrected cell abundance data (output data examples can be  
163 found in Files S2 and S3). Three cases can occur for a given population.

- 164 1. The mode of the histogram is not visible (e.g. Fig. 2G). In this case, the population is  
165 considered to be mostly within noise, without the possibility of counting or correction. Hence,  
166 this population is removed from the dataset and labeled as 'cells in noise' by the R routine.
- 167 2. The mode is visible, but the left part of the distribution is partly below the noise level (e.g. Fig.  
168 2A). In this case, the abundance of each population is calculated as the double of the right part  
169 of the histogram, from the mode to the maximum, and samples are labeled as 'correction'
- 170 3. The mode is visible and the distribution is totally out of the noise (e.g. Fig. 2C). In this case no  
171 correction is performed and the initial output value is kept ('no correction' samples).

172 In some cases, the automatic correction needs some degree of visual confirmation, especially  
173 for deeper samples with low cell numbers resulting in noisy histograms (e.g. Fig. 2Q or 2W).  
174 Therefore, the R routine provides a graphical output of the histogram for each sample (File S3),  
175 allowing the user to visually confirm whether the automatic labeling (case 1, 2, or 3 above) is correct.  
176 Statistical analyses were performed with the PRISM<sup>®</sup> 7 software ([http://www.graphpad.com/scientific-](http://www.graphpad.com/scientific-software/prism)  
177 [software/prism](http://www.graphpad.com/scientific-software/prism)).

## 178 **Results**

179 Bacterial populations were well resolved for both CANTO and C6 for all samples (Fig. S1).  
180 High Nucleic Acid and Low Nucleic Acid bacterial populations were consistently distinguished with  
181 both cytometers and there was a very good correlation for both HNA and LNA ( $R^2 = 0.85$  and  $0.89$ ,  
182 respectively) between the data obtained on the different instruments (Fig. 3A, B). For HNA slope was

183 statistically different from 1 ( $p < 0.0001$ ) and abundances estimated by the C6 were consistently lower  
184 by 10-15% compared to the CANTO.

185 The chlorophyll fluorescence of *Prochlorococcus* and *Synechococcus* decreases from the deeper  
186 layers to the surface in response to photoacclimation. For samples near the surface, cells from both  
187 populations can be partly or totally in the noise depending on the instrument sensitivity. Fig. 2  
188 demonstrates clearly that the C6 is less sensitive than the CANTO by at least a factor of 10 (note for  
189 example Fig. 2C and 2I for *Prochlorococcus* at 110 m for the CANTO and C6, the mode of the  
190 histogram is in the noise for the C6 and about 10 times higher than the noise level for the CANTO).  
191 With the C6, *Prochlorococcus* populations were completely in the noise above 100 m (55 out of 102  
192 samples, Fig. 2 and 4, Table 2) and for *Synechococcus* partly or completely in the noise above 50 m (15  
193 out of 102 samples, Fig. 2 and 4, Table 2). With the CANTO, only surface *Prochlorococcus* were  
194 partly in the noise and *Synechococcus* was always fully resolved (Fig. 2 and 4, Table 2). When cells  
195 were only partly in the noise (i.e. when the histogram mode was clearly visible, e.g. Fig. 2U), we  
196 estimated the part of the population that was in the noise using the approach proposed by Crosbie and  
197 Furnas (2001) (see Material and Methods section). The comparison between the C6 data which  
198 required correction and the CANTO data that did not require correction allowed us to assess the  
199 validity of this approach (Fig. 3C and D).

200 Clearly some data points that are corrected (grey squares) appear as outliers and are severely  
201 underestimated with the C6, even after correction (Fig. 3C and D). The slopes for non-corrected  
202 samples (solid circles) are significantly different from 1 ( $p < 0.0001$ ), being respectively 0.75  
203 (*Prochlorococcus*) and 0.77 (*Synechococcus*) (Fig. 3C and D), which corresponds to 25% lower  
204 abundance on average with the C6. Vertical cross sections of two transects (Fig. 4) illustrate that, while  
205 the CANTO provides fully resolved vertical profiles for both *Prochlorococcus* and *Synechococcus*  
206 population, the data from the C6 cannot be used in the upper layer (roughly from 100 m to the surface).

207 Pico- and Nano-eukaryotes were always above the detection limit for both instruments with  
208 excellent correlation between the two instruments ( $R^2 = 0.94$  and  $0.69$ , respectively, Fig. 3E and F). As  
209 for the other populations, the slopes were significantly different from 1 ( $p < 0.0001$ ). While  
210 picoeukaryotes were about 15% more abundant with the C6, it was the reverse for nanoeukaryotes  
211 which were slightly underestimated by the C6.

## 212 Discussion

213 The analysis of planktonic communities by flow cytometry is complex because the distinctive  
214 cell features of each population may change with depth, diel cycle and nutrient conditions (e.g. Vaultot  
215 and Marie 1999). From the six planktonic groups studied here, four were well resolved by both the  
216 CANTO and C6 flow cytometers: HNA and LNA heterotrophic bacteria, autotrophic pico-eukaryotes  
217 and nano-eukaryotes. Abundance measured by both instruments were tightly correlated. Abundance  
218 was always slightly lower with the C6 than with the CANTO, except for picoeukaryotes. This could  
219 have resulted from an imperfect calibration of the analyzed volume for one of the instruments. While  
220 on the CANTO, the analyzed volume is manually calibrated, the C6 relies on a calibration every time  
221 tubing is changed following the manufacturer's recommendation. However, we have recently observed  
222 that the actual volume analyzed varies, even during the course of a day, and needs to be re-calibrated at  
223 fixed intervals using a procedure similar to the one used for the CANTO (D. Marie, unpublished data).  
224 The slightly lower correlation coefficient observed for nanoeukaryotes could result from the difficulty  
225 to clearly distinguish the limits of the pico and nano-eukaryote populations.

226 In contrast, the lower sensitivity of the C6 led a drastic underestimation or even non-detection  
227 of the cyanobacteria in the upper 100 m of the water column, especially for *Prochlorococcus*. But the  
228 phenomenon was also present for *Synechococcus* although it had not been recognized previously. For  
229 both populations, corrections, such as those recommended previously (Zubkov et al. 1998; Crosbie and  
230 Furnas 2001), did not completely solve the problem, since in some samples the corrected counts were

231 still much lower than those obtained with the CANTO (Fig. 3C, D). The data obtained with the C6 for  
232 *Prochlorococcus* but also *Synechococcus*, should be considered with great caution even when only a  
233 part of the population is in the noise.

234 The oceanographic transects (Fig. 4) demonstrate that the C6 instrument would result in very  
235 serious underestimates of the cyanobacteria abundance and therefore contribution to the carbon  
236 biomass, at least in oligotrophic to mesotrophic waters. For *Synechococcus*, one solution could be to  
237 trigger acquisition on PE fluorescence (FL2) which is general quite strong, but this will require to run  
238 every sample twice, with the trigger on FL2 and FL3 respectively. Unfortunately this was not tested on  
239 our samples. For *Prochlorococcus*, the only solution to overcome the problem of low sensitivity is to  
240 increase either the excitation energy or the fluorescence detection through optical solutions  
241 (Dusenberry and Frankel 1994; Partensky et al. 1999b).

## 242 **Conclusion**

243 The increasing affordability of benchtop flow cytometers comes with limitations in some of the  
244 equipment features, such as lower detection limits. The comparison between studies of phytoplankton  
245 communities by flow cytometry should take into account the equipment used, particularly in  
246 approaches involving populations of *Prochlorococcus* and *Synechococcus*, in which low chlorophyll  
247 concentration per cell can lead to the underestimation of their abundance in the top euphotic zone. Still  
248 these benchtop flow cytometers provide reliable data for other populations such as heterotrophic  
249 bacteria and photosynthetic eukaryotes. Our study highlight the need for careful comparison between  
250 instruments before using them for large scale oceanographic surveys, using as reference the most  
251 sensitive laboratory instruments available.

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350

351 **Tables**

352 **Table 1.** Technical features of BD FACSCanto™ and BD Accuri™ C6 flow cytometers according to  
 353 the manufacturer.

<b>Features</b>	<b>BD FACSCanto™</b>	<b>BD Accuri™ C6</b>
<b>Weight</b>	149.7 kg	13.6 kg
<b>Acquisition Software</b>	BD FACSDiva	BD CSampler™
<b>Signal Processing</b>	Digital	Digital
<b>Number of lasers</b>	2	2
<b>Total PMT<sup>(a)</sup> for fluorescence</b>	8	4
<b>Laser configuration</b>	Blue/red	Blue/red
<b>Laser wavelength</b>	488 nm, 20 mW solid state 633 nm, 17 mW HeNe	488nm; 50mW solid state 640nm; 30mW diode
<b>Excitation light</b>	Optic fiber	Direct
<b>Fluorescence sensitivity</b>	FITC <sup>(b)</sup> < 100 MESF <sup>(c)</sup> PE <sup>(d)</sup> < 50 MESF	FITC < 150 MESF PE < 100 MESF
<b>Optical alignment</b>	Fixed alignment	Fixed alignment
<b>Fluidics</b>	Positive-pressure pump	Peristaltic pump
<b>Sample acquisition</b>	18 bits / 5 decades	24 bits / 7 decades
<b>Sample processing</b>	Tubes	Tubes/96-well plates

354 a) PMT: photomultiplier; b) FITC: fluorescein isothiocyanate; c) MESF: molecules of equivalent  
 355 soluble fluorochrome; d) PE: phycoerythrin.

356

357

358 **Table 2.** Number of samples assigned as 'correction', 'no correction' and 'cells in noise' for each pico-  
359 cyanobacteria group and equipment tested.

	<b>No correction</b>	<b>Correction</b>	<b>Cells in noise</b>
<b>CANTO - <i>Prochlorococcus</i></b>	74	27	1
<b>C6 - <i>Prochlorococcus</i></b>	27	20	55
<b>CANTO - <i>Synechococcus</i></b>	101	1	0
<b>C6 - <i>Synechococcus</i></b>	58	29	15

360

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362

363 **Figure legends**

364 **Fig. 1.** Stations sampled in the South Atlantic Ocean off Brazil during the CARBOM cruise in 2013.  
 365 Profiles: transect 1 (TR1, shaded circles) ; transect 2 (TR2, shaded triangles) and surface sampling,  
 366 transect 3 (TR3, shaded squares). The grey scale on the right indicates bottom depths.

367

368 **Figure 2.** Examples of depth profiles (St. 100 and St. 114) of normalized red fluorescence distribution  
 369 (relative cell number *versus* chlorophyll fluorescence) and cell abundance for *Prochlorococcus* (**a-l**)  
 370 and *Synechococcus* (**m-x**) on BD FACSCanto™ and BD Accuri™ C6. For each distribution, it is  
 371 indicated whether the cells were in the noise (Noise) or whether a correction was needed (Corr.). In the  
 372 depth profiles (**f, l, r, x**), solid symbols represent samples for which no correction was needed; grey  
 373 symbols indicate samples for which we applied a correction (see Materials and Methods); samples  
 374 within noise were removed.

375

376 **Figure 3.** Relationship between abundance measurements performed with BD Accuri™ C6 and BD  
 377 FACSCanto™ (in cells.mL<sup>-1</sup>): (**a**) HNA bacteria; (**b**) LNA bacteria; (**c**) *Prochlorococcus*; (**d**)  
 378 *Synechococcus*; (**e**) picoeukaryotes and (**f**) nanoeukaryotes. For *Prochlorococcus* and *Synechococcus*:  
 379 'no correction': solid circles, 'correction': grey squares; 'cells in noise': open triangles. The coefficient of  
 380 determination and the equation are indicated on each graphic. The regression line calculated from 'no  
 381 correction' samples is marked in black. All the slopes differed significantly from 1 ( $p < 0.0001$ ), except  
 382 for LNA bacteria ( $p = 0.049$ ).

383

384 **Figure 4.** Vertical abundance distribution (cells.mL<sup>-1</sup>) for measurements with BD FACSCanto™ (left  
 385 column) and BD Accuri™ C6 (right column): *Prochlorococcus* (**a, b, e, f**) and *Synechococcus* (**c, d, g,**  
 386 **h**). Top labels correspond to station number. Sampled points are marked as: 'no correction' (solid  
 387 circles), 'correction' (grey squares), or 'cells in noise' (open triangles). Figures were drawn with the  
 388 Ocean Data View software (<https://odv.awi.de/>).

389

390

391 Supplementary material is available at <https://figshare.com/s/a9499d9ab4f4740eb576> .

392 **Supplementary figure legend**

393 **Figure S1.** Cytograms of phycoerythrin *versus* chlorophyll fluorescence (**a, b**), side scatter *versus*  
394 chlorophyll fluorescence (**c, d**) and side scatter *versus* DNA fluorescence (**e, f**) for sample 137 (St. 100,  
395 110 meters depth) for BD FACSCanto™ and BD Accuri™ C6 analyses, showing the gating windows:  
396 *Prochlorococcus* (pink), *Synechococcus* (green), picoeukaryotes (blue) nanoeukaryotes (orange), HNA  
397 bacteria (yellow) and LNA bacteria (red). Calibrations beads are marked in black.  
398

400 **Supplementary material**401 **File S1.** Example of input file for R routine.

channel	sample135_C6_PRO_ _5m	sample136_C6_PRO_ 50m	sample137_C6_PRO_ 110m	sample138_C6_PRO_ 130m	sample139_C6_PRO_ 170m
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3	0	0	0	0	0
4	0	0	0	0	0
5	0	0	0	0	0
6	0	0	0	0	0
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437	0	0	0	0	0
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443	0	0	0	0	0
444	0	0	0	0	0
445	0	0	0	0	0
446	0	0	0	0	0
447	0	0	0	0	0
448	0	0	0	0	0
449	0	0	0	0	0
450	0	0	0	0	0
451	0	0	0	0	0
452	0	0	0	0	0
453	0	0	0	0	0
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455	0	0	0	0	0
456	0	0	0	0	0
457	0	0	0	0	0
458	0	0	0	0	0
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466	0	0	0	0	0
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480	0	0	0	0	0
481	0	0	0	0	0
482	0	0	0	0	0
483	0	0	0	0	0
484	0	0	0	0	0
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494	0	0	0	0	0
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496	0	0	0	0	0
497	0	0	0	0	0
498	0	0	0	0	0
499	0	0	0	0	0
500	0	0	0	0	0

403

404 **File S2.** R routine to correct abundance when populations are partly in noise.

405

406 **R code**

407       The code below describes how to implement an R routine to correct the abundance of  
 408 picoplanktonic populations based on their red fluorescence distribution. All libraries used here are  
 409 freely available from R repositories. The input file used in this examples is named as Pro\_C6.txt (See  
 410 input file example File S1). This file has been created by exporting FL3 (chlorophyll) histogram from  
 411 the Flowing Software (<http://www.flowingsoftware.com>) combining different samples into a single  
 412 file. The first column contains the channel number and each following column corresponds to a  
 413 different sample with rows corresponding to cell counts in each channel. Such a file could be created  
 414 with any flow cytometry software. After running the `cyto_plot` function, a pdf output file is created  
 415 named "Pro\_C6.txt 1.0 .pdf" which contains all histograms from the input file (see File S3)and the file  
 416 statistics (sample, uncorrected and corrected total cell abundance) are available as a data frame in the R  
 417 session (see example at bottom of this file)

418

419

420 **# Example of use of cyto\_plot function (run first the R code below to define the necessary functions)**421 `stats_Pro_C6<-cyto_plot("Pro_C6.txt", decades_C6, channel_min_C6, xmin_C6, xmax_C6)`

422

423 **# Example of statistics output**

424

425	<code>sample</code>	<code>cell_tot</code>	<code>cell_tot_correc</code>
426	1 sample135_C6_PRO_5m	134	cells in noise
427	2 sample136_C6_PRO_50m	111	cells in noise
428	3 sample137_C6_PRO_110m	13072	20240
429	4 sample138_C6_PRO_130m	3598	no correction
430	5 sample139_C6_PRO_170m	2211	no correction

431

432

433 **R code**434 **# Install libraries**

435 library("ggplot2")

436 library("reshape2")

437 library("plyr")

438 library("scales")

439 require(grid)

440

441 **# Set the working directory where the files are located**

442 setwd ("C:/My Documents/cytometry data/")

443

444 **# Define basic parameters**

445 decades\_Canto = 5

446 decades\_C6 = 7

447 channel\_min\_Canto = 100

448 channel\_min\_C6 = 214

449 xmin\_Canto = 10

450 xmin\_C6 = 1000

451 xmax\_Canto = 10000

452 xmax\_C6 = 100000

453 channel\_max = 500

454 point &lt;- format\_format(big.mark = "", decimal.mark = ".", scientific = TRUE)

455 **# -----**456 **# cell\_correct(channel, cell\_number, cell\_smooth)**457 **# Arguments**458 **# channel : vector containing the channels (from 1 to 500 in the present case)**459 **# cell\_number : vector containing cell abundance in each channel**460 **# cell\_smooth : vector containing smoothed cell abundance in each channel**461 **# Description**462 **# This function determines in which case we are ("no correction", "cells in noise" or "correction") and**463 **return the corrected cell abundance in the latter case.**

464

465 cell\_correct&lt;-function(channel, cell\_number, cell\_smooth)

466 { df<-data.frame(channel, cell\_number, cell\_smooth) **# create a data frame**467 i\_min<-which.min(channel) **# determine the minimum channel**

```

468 i_max<-which.max(channel)           # determine the maximum channel
469 i_cell_max<-which.max(cell_smooth) # determine in which channel is the histogram mode
470
471 # "no correction" : cell abundance in the first channel is 5 times lower than abundance at the maximum
472 of the histogram
473 if (cell_smooth[i_cell_max]>5*cell_smooth[i_min]) {cell_correct<-"no correction"}
474 # "cells in noise" : maximum of cell abundance is in the first channel
475   else {if (i_cell_max==i_min)
476         {cell_correct<-"cells in noise"}
477 # "correction" : all the other cases, we then apply a correction by computing the total cell abundance
478 as twice the number of cells in the channels right of the histogram maximum
479   else
480         {cell_correct<-2*sum(cell_number[i_cell_max:i_max])}
481   }
482   return (cell_correct)
483 }
484
485 # -----
486 #cyto_plot(file_name,decades,channel_min,xmin,xmax)
487 # Arguments
488 #   file_name : name of input file containing the different samples (see File S1)
489 #   decades   : number of logarithmic decades of the flow cytometer (e.g. 7 for C6)
490 #   channel_min : threshold channel for the histogram (depends on fcm acquisition settings)
491 #   xmin       : linear value corresponding to the threshold channel
492 #   xmax       : linear value corresponding to the maximum channel
493 # Description
494 # This function plots a set of histograms for the input samples,saves the graphics as a pdf file and
495 compute the total cell abundance indicating whether a corrections is needed or not. It returns a
496 dataframe containing three columns : sample, cell_tot, cell_tot_correc (see top of this file for an
497 example)
498
499 cyto_plot<-function(file_name,decades,channel_min,xmin,xmax)
500 {   channel_max = 500 # this the number of channels provided as output of the Flowing Software
501     histo<- read.delim(file_name)
502     histo<- histo[histo$channel>=channel_min,]
503     histo_melt<- melt(histo, id.vars=c("channel"),variable.name = "sample", value.name =
504 "cell_number")

```

```

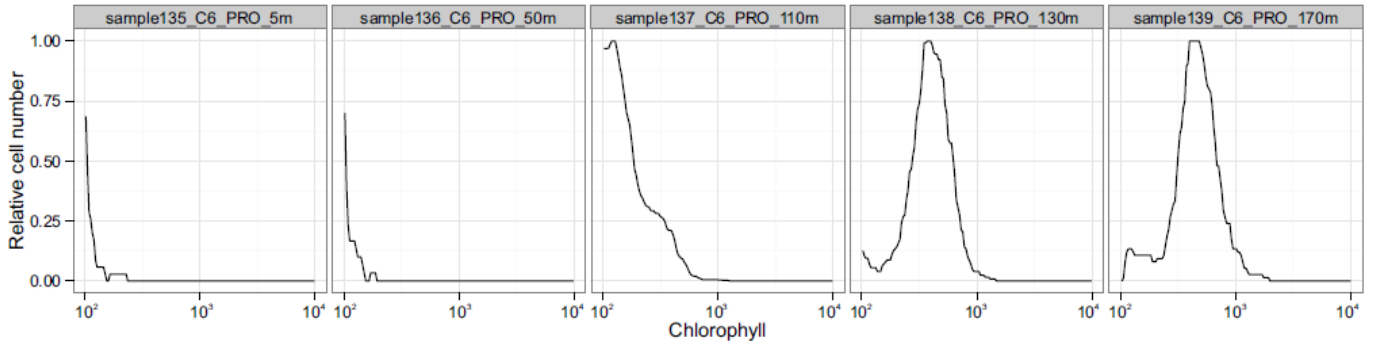
505
506
507 # smooth histogram using default R smoothing function
508     histo_melt<- ddply(histo_melt,c("sample"), transform,
509 cell_smooth=as.vector(smooth(cell_number)))
510 # normalize histogram so that maximum abundance is equal to 1
511     histo_melt<- ddply(histo_melt,c("sample"), transform, cell_norm=cell_smooth/max(cell_smooth))
512 # transform log channel to linear scale for plotting
513     if (decades==5)
514         {histo_melt<- ddply(histo_melt,c("sample"), transform,
515 fluo=(10^5)^(channel/channel_max))}
516     else
517         {histo_melt<- ddply(histo_melt,c("sample"), transform,
518 fluo=(10^7)^(channel/channel_max))}
519 # plots histograms using 5 columns
520     histo_plot<-ggplot(histo_melt, aes(fluo,cell_norm)) + geom_line() + theme_bw () + facet_wrap(~
521 sample, nrow=21, ncol=5) + xlab("Chlorophyll")+ylab("Relative cell number") +
522 scale_x_log10(limits=c(xmin,xmax), labels=point)
523 # save plots as pdf
524 ggsave(plot=histo_plot, filename=paste(file_name," 1.0 .pdf",sep=""),width = 15, height = 4, scale=2,
525 units="cm")
526 # compute uncorrected and corrected total cell number calling the cell_correct function defined above
527     stats<-ddply(histo_melt,c("sample"), summarise,
528 cell_tot=sum(cell_number),cell_tot_correc=cell_correct(channel,cell_number,cell_smooth))
529     print(paste("# of decades:",decades,"minimum channel : ",channel_min, "xmin : ",  xmin, " xmax
530 : ",  xmax))
531     print (paste("File : ",file_name))
532     stats
533     return (stats)
534 }
535
536
537
538

```

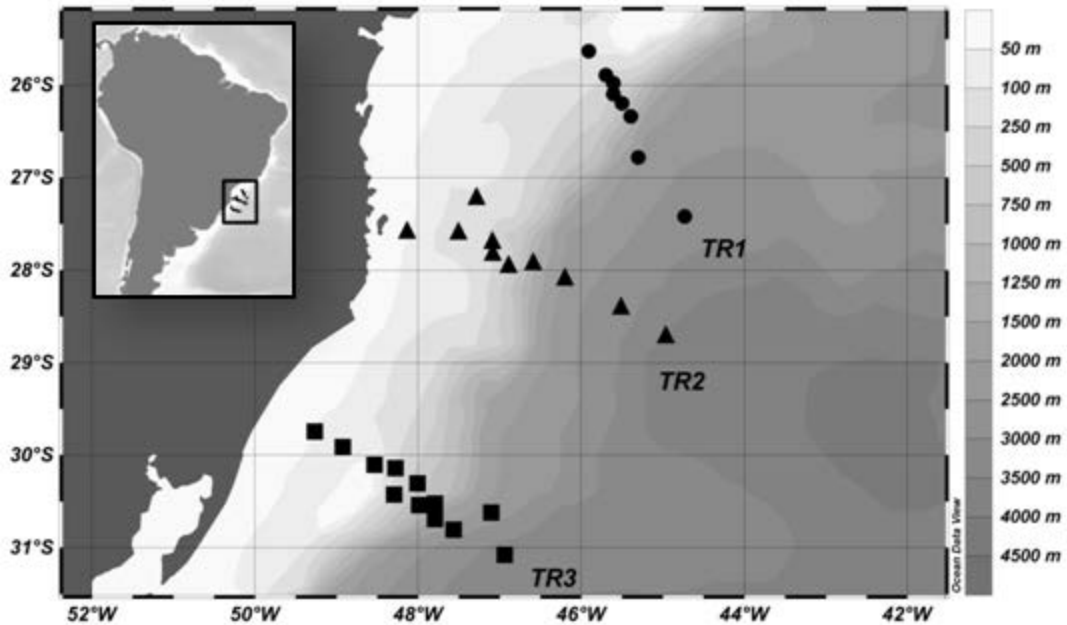
539

540 **File S3.** Example of output file for R routine.

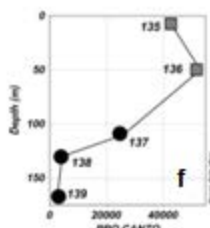
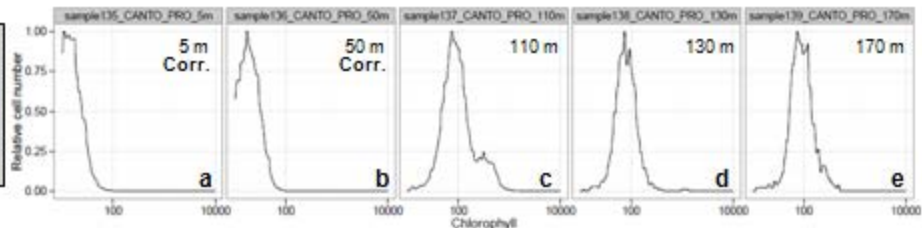
541



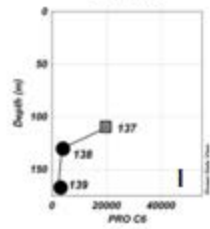
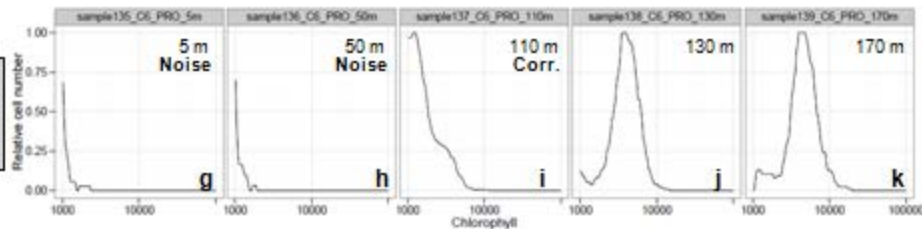
542



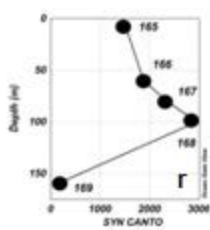
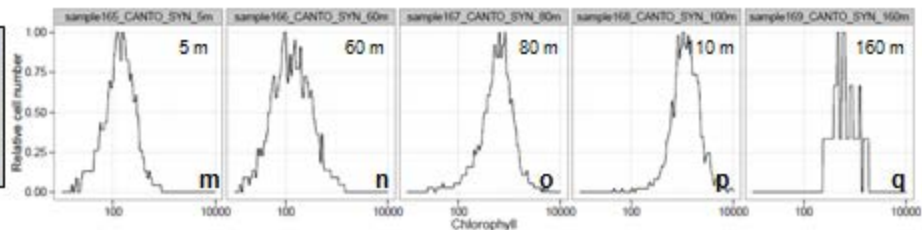
**PRO - CANTO**



**PRO - C6**



**SYN - CANTO**



**SYN - C6**

