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Basic criteria to design and produce multistable shells

W. Hamouche, C. Maurini, A. Vincenti, S. Vidoli

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Abstract A shell can have multiple stable equilibria either if its initial curvature is sufficiently high or if a suitably strong pre-stress is applied. Under the hypotheses of a thin and shallow shell, we derive closed form results for the critical values of curvatures and pre-stresses leading to bistability and tristability. These analytical expressions allow to easily provide guidelines to build shells with different stability properties.

Keywords Shallow shells · Shape morphing · Bistability · Tristability

1 Introduction

The highly non-linear behaviour of shell structures along with anisotropic material properties and prestresses can induce interesting phenomena, such as multistability, *i.e.* the existence of multiple distinct configurations of stable equilibria. Similar complex non-linear behaviours recently raise a growing scientific interest; they are the object of a large number of research works ranging from the analysis of biological systems, such as the study of multistable natural structures [5, 10] or the problem of the growth of elastic membranes, see for instance [3, 9, 12], to the analysis and design of morphing structures,

i.e. structures that can largely change their shape in order to adapt to different functioning regimes [18, 19, 15, 23, 4, 20], and more generally to the study of slender structures undergoing large displacements, see for instance [21, 14].

The study of the non-linear response of shell structures is generally perceived as a complex problem of structural mechanics [1] not prone to a simple analytical treatment. However, as pointed out in [17], it is extremely difficult to extrapolate informations on the global non-linear behaviour of shells only from the results of direct finite-element simulations. In fact, the numerical analysis of fully non-linear shells is a difficult task and only parametric studies can be conducted for limited combinations of geometry, material properties and loading conditions, see for instance [6].

The use of simplified analytical models is of paramount importance, because it can provide a global picture of the qualitative behaviour of non-linear shells. This may be obtained through reduced order models assuming restrictive hypotheses on the admissible deformation modes and on the order of the geometrical nonlinearities. The application of the Föppl-von Karman (FvK) kinematics has represented an important contribution to the study of shallow shells [3, 9, 7], and specifically a deeper insight of the stability of anisotropic shells was possible thanks to the use, within FvK models, of the simplifying assumption of Uniform Curvature (UC) [19, 23, 4, 20, 8]. Despite its simplicity, such assumption allowed to foresee and experimentally demonstrate the existence of three stable equilibria for orthotropic shells [23, 2].

Although the accepted domain of validity of the FvK model is limited to plates and shallow shells, many of the works cited above seem to indicate that, at least for shells loaded only by inelastic deformations, the FvK

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can furnish results in good agreement with experiments for an impressive large range of magnitude of curvatures (both initial geometric curvatures, as well as elastic or inelastic curvatures induced by external loads), even when the model is further simplified by the assumption of uniform curvatures [19,23,4]. In a recent interesting work, [11] rigorously show by asymptotic expansion and Gamma-convergence that FvK is indeed the pertinent model to include the dominant geometrically non-linear effects in plates or shallow shells with inelastic deformations. However a better numerical validation and theoretical investigation of the range of validity of the results obtained by uniform curvature FvK model seem to be necessary. First steps in this direction were presented in [4] and [17]. In [4] the simplified UC FvK model was applied to the study of the non-linear behaviour of orthotropic plates undergoing large inelastic curvatures, from thermo-elastic or piezo-elastic origin, and the validity of the analytical results was confirmed by means of fully non-linear finite-element simulations. In [17] the results obtained with polynomial approximations of FvK and higher order shell models were compared to non-linear finite element simulations.

In the present work, we intend to investigate the applicability of the uniform curvature assumption in order to model and to design multistable shells with high initial and inelastic curvatures. In Section 2 we describe the two basic bifurcations which lead to the multistable behaviour of shells. For the sake of synthesis, we illustrate these concepts in the case of spherical initial and inelastic curvatures. Section 3 illustrates the uniform curvature model and summarizes the useful results for design purposes. Based on the simple “recipes” given in Section 4, in Section 5 we present experimental prototypes for each of the three basic relevant cases of multistability. The analytical results and the simplified models are checked against fully non-linear numerical simulations based on a commercial FE package (Abaqus). Section 6 draws the conclusions. In particular we discuss agreements and failures of the analytical models with respect to the numerical simulations, thus assessing the range of validity of the Uniform Curvature Föppl-von Karman model for the description of the highly non-linear behaviour of shell structures.

2 Basic phenomena

We study how the stable equilibrium shapes of free-standing shells depend on two distinct classes of control parameters: (i) the curvatures of the shell in its initial stress-free configuration and, (ii) the inelastic curvatures induced by thermal, plastic or hygroscopic loads.

We consider here the simple case in which the natural and inelastic curvatures are uniform in space and spherical (*i.e.* equal in all directions). Under these hypotheses, they are completely characterised by two independent scalar parameters: the magnitudes c_0 and c_i of the initial and inelastic curvatures, respectively. To simplify the analytical treatment, we will further assume that also the current equilibrium configuration has uniform (but generally not spherical) curvatures. Here and henceforth, we will denote by K_x, K_y, K_{xy} the three independent components of the symmetric tensor characterising the current curvature in this simplified Uniform Curvature (UC) model. Despite its simplicity, this setting allows us to faithfully describe the rich non-linear behaviour of the shells under the effect of the control parameters (c_0, c_i) and to design structures with multiple (up to three) stable equilibrium shapes or a continuous set of configurations at the same energy level (neutrally stable shells). The results of the simple UC model are checked against more refined models, as a model with Quadratic Curvature (QC, see Section 3) and fully non-linear shell finite-element models with a large number of degrees of freedom.

Figures 1, 2 and 3 show bifurcation diagrams describing the effect of the natural and inelastic curvatures. Details on the procedure that allows to draw these graphics are given in Section 3. As three, qualitatively different, stability regions arise, in Section 4 we sketch a procedure to design shells within each one of them. Section 5 describes the actual production of three prototypes corresponding to points B, C and T in Figure 3. In the rest of this Section, we comment on the key qualitative phenomena highlighted in Figures 1-3.

2.1 Shells with initial curvature c_0 and vanishing inelastic curvature ($c_i = 0$)

Shells with a (spherical) initial curvature c_0 and vanishing inelastic curvature are characterised by a natural stress-free configuration with

$$K_x = K_y = c_0, \quad K_{xy} = 0. \quad (1)$$

For $c_0 \neq 0$ the natural shape of the shell is a spherical sector of radius $1/c_0$. For $c_0 = 0$ the shell degenerates to a flat plate. Because of geometrical non-linearities, stable equilibrium configurations different from the natural configuration above may exist. Figure 1 shows the emergence of alternative stable equilibria when the absolute value of the natural curvature c_0 exceeds a critical threshold. Shells which are sufficiently curved in their initial configuration possess an additional (not stress-free) stable everted configuration.

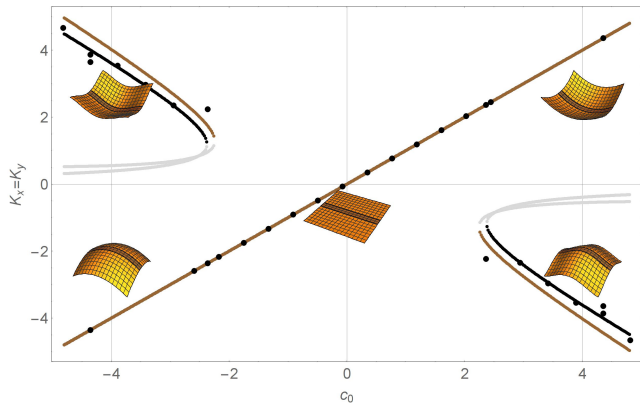


Fig. 1 Average curvatures at the equilibrium when varying the initial curvature c_0 with vanishing inelastic curvature $c_i = 0$: black points refer to Abaqus FE results, solid black and brown respectively to the UC and QC models, whilst light gray refers to unstable equilibria.

2.2 Shells with inelastic curvature c_i and vanishing initial curvature ($c_0 = 0$)

The inelastic curvature affects the constitutive relation between the bending moments and the (geometric) curvatures of the shell. In a linear constitutive model, the bending energy is quadratic with respect to the misfit between the current curvature K and the spherical inelastic curvature c_i . The elastic energy density due to bending vanishes only when K is spherical and equal to c_i . The plate would like to conform in a spherical shape to minimize the bending energy. However, because of the geometrical compatibility, an initially flat plate can require a significant amount of extensional energy to deform as a sphere. It would prefer to deform in cylindrical shapes. This latter effect, related to geometrical nonlinearities, becomes predominant in the large curvature regime. Indeed, when the absolute value of the inelastic curvature c_i exceeds a critical threshold, the spherical deformation mode bifurcates toward an almost cylindrical one, as shown in Figure 2. In perfectly isotropic circular discs, the structure has not a preferred bending axis. All the configurations obtained by rotating the axis of the cylinder would be neutrally stable equilibrium, producing a neutrally stable shell [20]. However, small imperfections in the shape of the disc, in the constitutive properties, or in the inelastic curvature would modify this idealized behaviour. In practical situations, the disc is bistable in this regime, with two stable equilibria, where the shell is bended in two orthogonal directions (see Figure 2). In conclusion, inelastic curvatures can lead to shells with multiple equilibrium configurations.

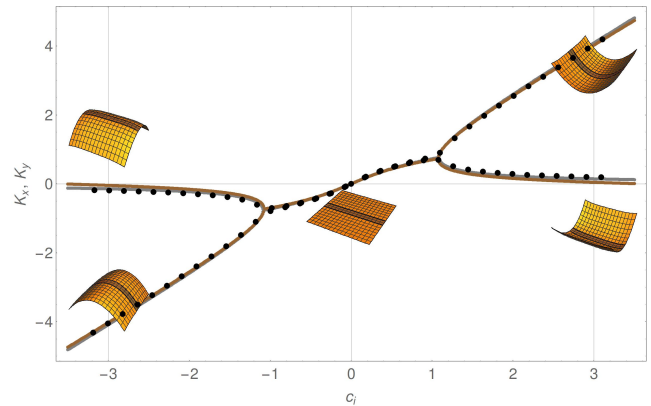


Fig. 2 Average curvatures at the equilibrium when varying the inelastic curvature c_i with vanishing initial curvature ($c_0 = 0$): black points refer to Abaqus FE results, solid black and brown lines (superposed) are obtained with the UC and QC models, whilst light gray refers to unstable equilibria.

2.3 Combined effects of initial and inelastic curvatures

The stability diagram is sensibly enriched when combining the effects of initial and inelastic curvatures. Figure 3 shows the regions of monostability (white), bistability (light gray) and tristability (darker gray) in the plane (c_0, c_i) , obtained by combining curves from Figures 1 and 2. Only one half of the plane, namely $c_i > 0$, is shown as for $c_i < 0$ the effect of $(c_0, -c_i)$ is equivalent to that of $(-c_0, c_i)$. As to be expected, the two phenomena shown in Figures 1 and 2 superpose to produce a richer scenario. For instance choosing the parameters c_0 and c_i within the darker gray region produces a shell having both the everted and the bifurcated shapes as stable equilibria. However note that the tristability region shown here is different from the case discussed in [23] and [2]. In those references the tristable behaviour of an orthotropic shell was induced using only a natural curvature field with K_x suitably larger than K_y . Here the tristable nature of some shells is due to the contemporary occurrence of natural and inelastic curvatures.

3 Discrete reduced models and analytical results

In this Section we briefly recall the procedure to deduce, from the Föppl-von Kármán shallow shell equations, reduced models with few degrees of freedom and the main analytical results arising from similar models. For further details the reader is addressed mainly to [22]. In particular we focus on the results arising from the Uniform Curvature (UC) reduced model that proves to be the most useful one for design purposes.

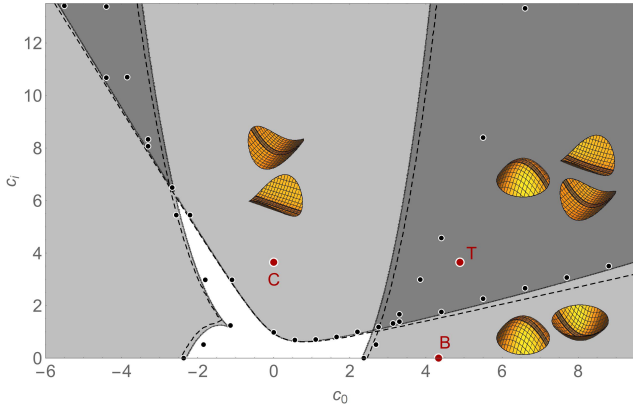


Fig. 3 Stability diagram in the half-plane $c_0, c_i > 0$. The gray level refers to the number of stable equilibria: 1 (white), 2 (light gray) or 3 (darker gray). Black points report the Abaqus FE results, dashed and solid curves report respectively the stability boundaries found with the UC and QC reduced models.

3.1 Föppl-von Kármán assumptions

The Föppl-von Kármán (FvK) assumptions are often used to simplify the fully non-linear Koiter shell model to the case of thin shallow shells. The non-linear coupling between membrane deformations and transverse displacements is still accounted for and, actually, it represents the key to describe many interesting phenomena as the multistable behaviour of such structures.

Two displacements fields are taken as primary unknowns: with reference to an initially flat configuration $\mathcal{S} \subset \mathbb{R}^2$ these are the in-plane, say $u = (u_x, u_y)$, and the transverse or normal, say w , displacement fields¹. The stable equilibria of the FvK model are found as the local minimizers of the total energy:

$$\mathcal{U}(u, w) = \int_{\mathcal{S}} \left[\frac{\bar{A} (e - f) \cdot (e - f)}{2} + \frac{\bar{D} (k - h) \cdot (k - h)}{2} \right] d\bar{S}, \quad (2)$$

where e and k are respectively the in-plane distortion and the curvature given *e.g.* by [13]:

$$e := \{e_x, e_y, 2e_{xy}\} = \left\{ \begin{array}{l} \frac{\partial u_x}{\partial x} + \frac{1}{2} \left(\frac{\partial w}{\partial x} \right)^2, \\ \frac{\partial u_y}{\partial y} + \frac{1}{2} \left(\frac{\partial w}{\partial y} \right)^2, \\ \frac{\partial u_x}{\partial y} + \frac{\partial u_y}{\partial x} + \frac{\partial w}{\partial x} \frac{\partial w}{\partial y} \end{array} \right\}, \quad (3)$$

$$k := \{k_x, k_y, 2k_{xy}\} = \left\{ \frac{\partial^2 w}{\partial x^2}, \frac{\partial^2 w}{\partial y^2}, 2 \frac{\partial w}{\partial x \partial y} \right\}. \quad (4)$$

¹ Sometime the membrane problem, aimed at determining u , is translated in terms of an Airy stress function, say Φ .

Note that the in-plane distortion e includes the second-order geometric contributions due to transverse displacements, which is the source of the non-linear behaviour; correspondingly the compatibility between e and k requires:

$$\begin{aligned} \text{curl curl } e &:= \frac{\partial^2 e_y}{\partial x^2} + \frac{\partial^2 e_x}{\partial y^2} - 2 \frac{\partial^2 e_{xy}}{\partial x \partial y} \\ &= k_x k_y - k_{xy}^2 =: \det k, \end{aligned} \quad (5)$$

where $\det k$ means the shell Gaussian curvature and curl is the standard curl operator in \mathcal{S} . In Eq. (2) \bar{A} and \bar{D} are respectively the 3×3 matrices representing the membrane stiffness and the bending stiffness. Moreover, still in Eq. (2), the fields f and h are decomposed into two separate contributions:

$$f = f_0 + f_i, \quad h = h_0 + h_i: \quad \text{curl curl } f_0 = \det h_0. \quad (6)$$

The contributions f_i and h_i describe inelastic membrane and bending deformations as those associated to thermal, plastic, hygroscopic or piezoelectric effects, while f_0 and h_0 give the shape of the initial configuration.

3.2 Reduction under the assumption of uniform curvature

A necessary condition to minimize (2) is to solve an elliptic problem on the two-dimensional domain \mathcal{S} for the membrane displacement fields (u_x, u_y) . Noting that this problem is linear and completely defined once assigned the transverse displacement w , an efficient procedure to approximate the FVK energy with few discrete degrees of freedom was proposed in [22].

In particular, assuming the transverse displacement in the form

$$w(x, y) = \frac{\bar{k}_x x^2}{2} + \frac{\bar{k}_y y^2}{2} + \bar{k}_{xy} xy \quad (7)$$

leads to a particularly simple reduced model labeled as UC. This name refers to the fact that (7) is tantamount to assume the curvature (4) to be uniform, $k = \bar{k} := \{\bar{k}_x, \bar{k}_y, 2\bar{k}_{xy}\}$. In [4] was proven that, under the hypothesis (7) the minimization of (2) is reduced to the minimization of

$$\begin{aligned} \mathcal{U}(K) &= \frac{1}{2} D (K - H_0 - H_i) \cdot (K - H_0 - H_i) \\ &\quad + \frac{1}{2} (\det K - \det H_0)^2, \end{aligned} \quad (8)$$

in terms of the three state parameters

$$K = \{K_x, K_y, 2K_{xy}\}.$$

Here $\det K = K_x K_y - K_{xy}^2$, and

$$D := \bar{D}/\bar{D}_{11}, \quad \bar{D} := \frac{1}{L^2} \int_S \bar{D} d\bar{S}, \quad (9)$$

is an averaged and normalized bending stiffness matrix. The curvatures $K := R\bar{k}$, $H_0 := Rh_0$ and $H_i := Rh_i$ are expressed in dimensionless form using the characteristic radius

$$R = \sqrt{12\psi} \frac{L^2}{t}, \quad t = \sqrt{12\bar{D}_{11}/\bar{A}_{11}}. \quad (10)$$

In (9) and (10) L^2 is the area of the planform of the shell and t its equivalent thickness.

The scalar number ψ which determines the curvature scaling is of fundamental importance as it measures the ratio between bending and membrane energy. It is function only of the planform shape Ω and of the normalized membrane stiffness $A := \bar{A}/\bar{A}_{11}$. Let us introduce dimensionless coordinates $X = x/L$ and $Y = y/L$ and a corresponding scaled domain Ω . Choosing L so that the area of Ω is 1, the number ψ is computed as

$$\psi := \int_{\Omega} A^{-1} \Sigma \cdot \Sigma, \quad \Sigma = \left\{ \frac{\partial^2 \Phi}{\partial Y^2}, \frac{\partial^2 \Phi}{\partial X^2}, -\frac{\partial^2 \Phi}{\partial X \partial Y} \right\}, \quad (11)$$

where the Airy stress function Φ satisfies the elliptic problem

$$\Delta \Delta \Phi = 1 \text{ in } \Omega, \quad \Phi = 0, \quad \partial \Phi / \partial n = 0 \text{ on } \partial \Omega. \quad (12)$$

3.3 Estimation of the parameter ψ

Clearly the evaluation of the integral (11) and the solution of problem (12) are the crucial, and possibly more difficult, steps in the reduction procedure. However it turns out that ψ can be estimated with good accuracy by simply applying a correction coefficient to the analytical expression (14) available for elliptical planforms.

For Ω an elliptical shape with axes ratio a/b and a membranally orthotropic material:

$$A = \begin{pmatrix} 1 & \nu & 0 \\ \nu & \beta & 0 \\ 0 & 0 & \gamma \end{pmatrix}, \quad \gamma = \rho(1 - \nu^2/\beta), \quad (13)$$

the problem (12) is solved in closed form, see [19], and ψ is evaluated to be:

$$\psi^{ell} = \frac{\beta - \nu^2}{24\pi^2 (3(a/b)^2\beta + (\beta/\rho - 2\nu) + 3(b/a)^2)}. \quad (14)$$

For isotropic materials ($\beta = 1$, $2\rho = 1/(1 + \nu)$) and circular shapes ($a/b = 1$) this expression reduces to the simple:

$$\psi^{ic} = \frac{1 - \nu^2}{192\pi^2} \simeq 5.3 * 10^{-4} (1 - \nu^2). \quad (15)$$

In Figure 4, we have plotted as a solid black curve the ratio ψ^{ell}/ψ^{ic} in the isotropic case in order to elucidate the dependence of ψ on the planform aspect ratio a/b : it is evident that for nearly circular planforms, the membrane energy has the maximal relevance with respect to the bending energy.

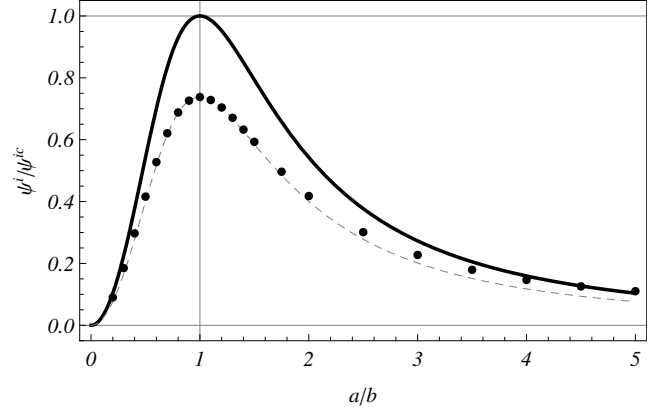


Fig. 4 The ratio ψ^i/ψ^{ic} for isotropic material as function of the planform aspect ratio a/b : exact solution for ellipses (black), FE solution (points) and the approximation (16) (dashed) for rectangular planform.

For rectangular shapes we claim that multiplying the closed form solution (14) by a correction factor is sufficient to get a quite accurate estimation; in particular we state that

$$\psi^{rect} \simeq 0.738 * \psi^{ell}. \quad (16)$$

As a matter of fact, in Figure 4, we have also reported as black points the values ψ^{rect}/ψ^{ic} , computed numerically solving (12) on rectangles with different aspect ratios and the function $0.738 * \psi^{ell}/\psi^{ic}$ as a gray dashed curve. One can check that the error associated to the estimation (16) is quite small and specifically is below 5% for $a/b \in (0.5, 2)$. The number 0.738 has been numerically obtained as the ratio between the values of ψ for a circle and a square of isotropic material, but similar error bounds still hold for non isotropic materials.

3.4 More complex ansatz

Displacement ansatzs more complicated than (7) can be introduced to satisfy the boundary conditions for the bending moments and improve the accuracy of the UC solution. In particular we will refer in Section 6 to some results related to the Quadratic Curvature (QC)

model arising from the ansatz

$$w(x, y) = \frac{\bar{k}_x x^2}{2} + \frac{\bar{k}_y y^2}{2} + \bar{k}_{xy} x y + c_1 x^2 (x^2 - a^2) + c_2 y^2 (y^2 - b^2). \quad (17)$$

This leads to a quadratically varying curvature field able to satisfy on average the boundary conditions for the bending moment in a rectangular domain. Again the interested reader is addressed to [22] for details.

The analysis of the multistable behaviour of shells based on the QC model produces results which are very close to the ones obtained by the reduced UC model (see Figures 1-3).

3.5 Validation of UC model by comparison with Finite-Element (FE) simulations

In order to validate the analytical results for the UC model, we performed fully non-linear FE simulations: all the FE results were obtained with the Abaqus S4R element in a general non-linear setting by means of a Full-Newton scheme. FE results are plotted in Figures 1-3 (details on how the stability boundaries are traced can be found in the Appendix) and show very good agreement with the analytical predictions: all the boundaries of the monostability region fall within a 3% error.

The FE model is not bound to the assumptions of shallow shells, as it is the case in the Föppl-von Kármán model, or its UC and QC approximations. Yet the overall stability scenario (Figure 3), as well as the main effects leading to multistable behaviour of shells (Figures 1-2), are confirmed by FE simulations even for large curvatures. Further comments on the differences between analytical and FE results can be found in Section 6.

Based on these remarks, in the following we present design rules for multistable shells based on the UC model. These rules or recipes are applied in order to build examples of multistable shells (see Section 5).

3.6 Equilibria and stability properties

Aimed at giving simple design directives, we derive closed form solutions regarding the existence and stability of the UC equilibria. We focus on the case of orthotropic (particularly square-symmetric/isotropic) shells.

For square-symmetric shells the normalized stiffness matrix D can always be put in the form:

$$D := \begin{pmatrix} 1 & \mu & 0 \\ \mu & 1 & 0 \\ 0 & 0 & \gamma \end{pmatrix}, \quad -1 < \mu < 1, \quad \gamma > 0, \quad (18)$$

where $\mu := \nu/\sqrt{\beta}$ and $\gamma := \rho(1 - \nu^2/\beta)/\sqrt{\beta}$ are the only two constitutive parameters. Here ν is the in-plane Poisson's ratio, $\beta := E_y/E_x$ the ratio between Young moduli in the orthotropy directions and ρ the shear modulus². Isotropic shells correspond to $\beta = 1$ and $\gamma = (1 - \mu)/2$.

Assuming (18) and a spherical initial natural curvature H_0 represented by the only parameter c_0 such that:

$$H_{0x} = H_{0y} = c_0 \quad \text{and} \quad H_{0xy} = 0 \quad (21)$$

the stationarity equations ($\partial U/\partial K = 0$) give, after some algebra, the following equilibrium equations:

$$\begin{aligned} (K_x - K_y) (\det K - c_0^2 + \mu - 1) &= 0, \\ (\det K + \mu + 1)\Delta - c_0^2\Delta - 2(c_i + c_0)(\mu + 1) &= 0, \quad (22) \\ K_{xy} (\det K - c_0^2 - 2\gamma) &= 0, \end{aligned}$$

where $\Delta := \sqrt{4\det K + 4K_{xy}^2 + (K_x - K_y)^2}$.

Stability of equilibria is related to the sign of the Hessian $\partial^2 U/\partial K^2$, and the stability margin is then represented by the condition:

$$\frac{\partial^2 U}{\partial K^2} = 0. \quad (23)$$

By solving (22)₁ and (22)₃, we see that three different types of equilibria are possible which are briefly discussed in what follows. In each of the cases discussed hereafter, the values of K_x and K_y at equilibrium are obtained by solving (22)₂.

1. $K_x = K_y$ and $K_{xy} = 0$. These are "untwisted" configurations and they are spherical when $\beta = 1$. These equilibria can be either one or three. If we combine the stationarity condition (22)₂ with the stability margin (23), in this case one can find the expression f_I :

$$f_I = 4c_0^6 - 54c_0c_i(\mu + 1)^2 - (\mu + 1)^2 (27c_i^2 + 4\mu + 4) - 12c_0^4(\mu + 1) - 15c_0^2(\mu + 1)^2,$$

² As shown in [4], a differential scaling of the curvature components can always be introduced

$$K_x \rightarrow K_x \sqrt{\beta}, \quad K_y \rightarrow K_y, \quad K_{xy} \rightarrow K_{xy} \sqrt[4]{\beta}, \quad (19)$$

$$H_x \rightarrow H_x \sqrt{\beta}, \quad H_y \rightarrow H_y, \quad H_{xy} \rightarrow H_{xy} \sqrt[4]{\beta}, \quad (20)$$

which reduces the generic orthotropic material to the analysis of a square-symmetric material with $\beta = 1$.

(24)

it is easily seen that in the region $f_I < 0$ there is only one stable equilibrium. Instead when $f_I > 0$ there are three equilibria only two of which are stable, while on the set $f_I = 0$ one of them loses stability as a turning point. Hence $f_I > 0$ is the gray region in Figure 1 where everted configurations are stable and curve $f_I = 0$ represents the boundary between monostable and bistable regions.

2. $\det K = c_0^2 + 1 - \mu > 0$ and $K_{xy} = 0$. These equilibria correspond to "untwisted" configurations with positive assigned Gaussian curvature. If we combine the stationarity condition $(22)_2$ with the stability margin (23) , in this case one can find the expression f_{II} :

$$f_{II} = (\mu(c_i + c_0) + c_i - c_0)(\mu(c_i + c_0) + c_i + 3c_0) + 4(\mu - 1) \quad (25)$$

it is easily seen that these equilibria are stable when $f_{II} > 0$. They originate from type I equilibria through a pitchfork bifurcation on the set $f_{II} = 0$. Hence $f_{II} > 0$ is the gray region in Figure 2 which allows for two bifurcated configurations, and the curve $f_{II} = 0$ represents the boundary between monostable and bistable regions.

3. $K_x = K_y$ and $\det K = c_0^2 + 2\gamma$. These equilibria correspond to "twisted" configurations with assigned Gaussian curvature. However it easily checked that these equilibria can be stable only if $0 < \gamma < (1 - \mu)/2 \leq 1$ *i.e.* for non-isotropic shells with low shear rigidity.

If $\gamma = (1 - \mu)/2$, as, for instance, in isotropic shells, then

$$\det K = c_0^2 + 1 - \mu \equiv c_0^2 + 2\gamma, \quad (26)$$

and two equilibrium equations are contemporarily solved by this value of the Gaussian curvature. Therefore when $\gamma = (1 - \mu)/2$ the twisting curvature K_{xy} and the difference $(K_x - K_y)$ can be chosen arbitrarily provided:

$$4K_{xy}^2 + (K_x - K_y)^2 = (c_i + c_0)^2(\mu + 1)^2 - 4(c_0^2 + 1 - \mu). \quad (27)$$

We then have a whole one-dimensional set of configurations, (27), sharing the same level of energy. The corresponding stability margin is vanishing and similar shells actually behave as zero-stiffness structures [20, 8]. We notice that these equilibria can be seen as a degeneration of the case II described above and that a similar result was recently found for cylindrical shells in [8].

3.7 Useful analytical results for design purposes

Materials verifying the condition $\gamma < (1 - \mu)/2$ are rare. We focus here on the case of the two basic classes described in Section 2 without considering twisting instability. In the important limit case of isotropic shells, twist becomes a degenerate zero-stiffness deformation mode.

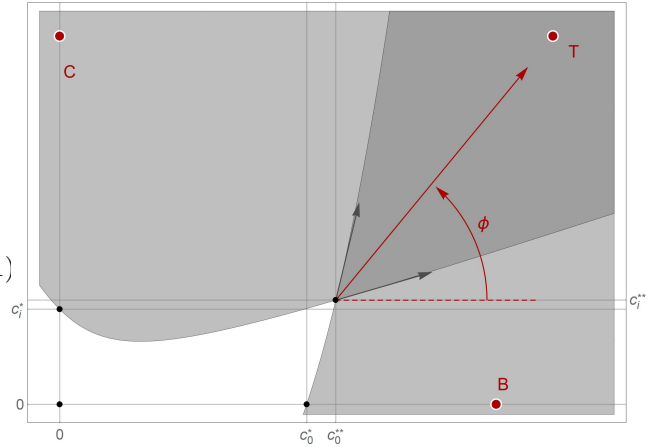


Fig. 5 Zoom for $c_0 > 0$, $c_i > 0$ of the stability diagram in Figure 3. Definitions of the limits c_0^* , c_i^* , c_0^{**} and c_i^{**} .

Having found the analytical boundaries, $f_I = 0$ and $f_{II} = 0$, of the monostability, bistability and tristability regions, one can derive precise closed form indications for the design of multistable shells. We focus in particular on the set $c_0 > 0$ and $c_i > 0$ which is shown in Figure 5. With reference to this figure we first define the two critical values

$$c_0^* = 2\sqrt{1 + \mu}, \quad c_i^* = \frac{2\sqrt{1 - \mu}}{1 + \mu}, \quad (28)$$

which are obtained intersecting $f_I = 0$ with $c_i = 0$ and $f_{II} = 0$ with $c_0 = 0$ respectively. The value c_0^* gives the critical stress-free curvature over which a shell is bistable (*i.e.* has an everted shape) in absence of inelastic curvatures; the value c_i^* gives the critical inelastic curvature over which a shell is bistable (*i.e.* bifurcates its equilibrium) in absence of natural curvatures.

The two sets $f_I = 0$ and $f_{II} = 0$ intersect at the apex of the tristability region, namely in the point

$$c_0 = c_0^{**} := \sqrt{7 + \mu}, \quad c_i = c_i^{**} := \frac{4\sqrt{2}}{1 + \mu} - \sqrt{7 + \mu}. \quad (29)$$

In the same point we compute the tangents to the two stability boundaries (gray vectors in Figure 5) in order to be able to move inside the tristability region. In

particular we find that any point in the form

$$\{c_0^{**}, c_i^{**}\} + \lambda \{\cos \phi, \sin \phi\}, \quad \lambda > 0, \quad (30)$$

with

$$\phi = \arctan \left(\frac{3\sqrt{7+\mu} + p(\sqrt{7+\mu} - \sqrt{2(1+\mu)})}{\sqrt{2}p(1+\mu)} \right) \quad (31)$$

and $0 < p < 1$, lies inside the tristability region. For $p \ll 1$ the point (30) stays close to the lower stability boundary, while for $p \simeq 1$ is closer to the upper boundary. The point T, for instance, corresponds to $p \simeq 0.24$ and $\lambda \simeq 3.4$.

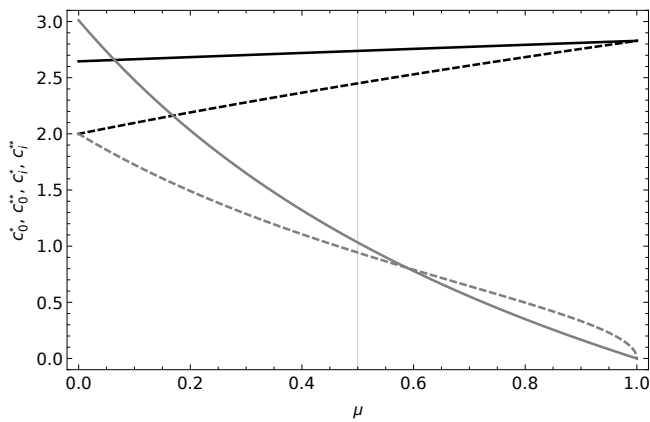


Fig. 6 Critical values of the curvatures as functions of μ : c_0^* (dashed black), c_0^{**} (solid black), c_i^* (dashed gray), c_i^{**} (solid gray).

Finally in Figure 6 we examine the dependance of the introduced critical values for c_0 and c_i as functions of the material parameter μ . Whilst for c_0^* and c_0^{**} we observe a moderate dependence, both c_i^* and c_i^{**} tends to zero when $\mu \rightarrow 1$. This fact is of great practical importance as even small inelastic loads can bifurcate the equilibrium in materials having the parameter μ close to 1. Recalling the definition of μ , the condition $\mu \rightarrow 1$ is obtained when

$$\nu^2 \rightarrow E_y/E_x, \quad (32)$$

a remarkable material property already found and discussed in [23] or [7].

4 Design recipes

Based on the results obtained above, we detail, in the following subsections, three recipes to actually build multistable shells in each of the regions shown in Figure 3:

- (B) Bistable shells with natural and everted configurations ($f_I > 0, c_0 > 0$);
- (C) Bistable shells with bifurcated quasi-cylindrical configurations ($f_{II} > 0, c_i > 0$);
- (T) Tristable shells with bifurcated quasi-cylindrical configurations and everted configuration ($f_I > 0, f_{II} > 0, c_0 > 0, c_i > 0$).

Preliminarily to every recipe there is the choice of both the planform shape Ω and the material.

Concerning the shape, the best results are obtained with Ω a circle because it minimizes the critical values of curvatures for multistability. In fact, the dimensional values of curvatures are inversely proportional to the radius R (see (10)), which on its turn is proportional to $\sqrt{\psi}$ (the number ψ is defined in (11)): thus, the bigger is ψ , the bigger is R and the lower the corresponding dimensional curvatures. It can be seen from the results in Figure 4 that the maximum value for ψ are obtained for the circular shape.

Concerning the material, in the orthotropic case we suppose given the Poisson's ratio ν , the Young modulus E_x , the Young moduli ratio $\beta = E_y/E_x$ and the shear modulus ρ . The best results are obtained for materials having sufficiently high shear modulus $2\rho \geq 1 + \nu$ (in order to eliminate twisting instabilities: see explanations in Section 3) and satisfying (32), in order to maximize the stability margins.

4.1 Steps common to all recipes

- (I) Depending on the chosen shape Ω and on the material compute ψ :
 - using (14) if the shape is elliptical,
 - using (16) if the shape is rectangular;
 - either numerically solving (12) and (11) or approximating ψ as in the previous cases if the shape is neither elliptical nor rectangular.
- (II) Choose the area of the planform L^2 and the thickness t . Note that the ratio L^2/t is a scaling factor for the characteristic radius; hence, the larger is the number L^2/t , the smaller curvature will be needed for multistability.
- (III) Compute the characteristic radius R in (10).

4.2 Bistable shells with natural and everted configurations

- (IV) Compute c_0^* in (28).
- (V) Build a shell having, in a stress-free configuration, curvatures

$$\bar{h}_{0x} = \sqrt{\beta} h_0^*, \quad \bar{h}_{0y} = h_0^*, \quad \bar{h}_{0xy} = 0, \quad h_0^* \geq 1.05 \cdot c_0^*/R.$$

(33)

As, with respect to refined FE simulations, the UC model typically overestimates within a 3% error the critical natural curvature, the coefficient 1.05 in (33) guarantees the existence of a stable everted configuration. Clearly the value in (33)₃ represents the minimum curvature, but larger values are also possible. Note, however, that the energy needed for the shell eversion increases rapidly with the distance from the critical value, since it scales as $(\det \bar{h}_0 - (h_0^*)^2)^2$.

4.3 Bistable shells with two bifurcated configurations

- (IV) Compute c_i^* in (28).
- (V) Build a flat shell and apply to it inelastic curvatures

$$\bar{h}_{ix} = \sqrt{\beta} h_i^*, \quad \bar{h}_{iy} = h_i^*, \quad \bar{h}_{ixy} = 0, \quad h_i^* \geq c_i^*/R. \quad (34)$$

Since, with respect to refined FE simulation, the UC model estimates very well the critical inelastic curvature, condition (34) should guarantee the existence of two bifurcated configurations without any additional coefficient. Clearly the value (34)₃ represents the minimum inelastic curvature to induce bifurcation of the equilibrium. Larger values are possible and needed to increase the difference between the bifurcated shapes; however, in these shells the energy, needed to move from one equilibrium to the other, increases less rapidly with the distance from the minimal critical value as it scales as $(\bar{h}_i - h_i^*)^2$.

4.4 Tristable shells with two bifurcated and one everted configurations

- (IV) Compute c_0^{**} and c_i^{**} in (29).
- (V) Build a shell with stress-free curvatures

$$\bar{h}_{0x} = \sqrt{\beta} h_0^{**}, \quad \bar{h}_{0y} = h_0^{**}, \quad \bar{h}_{0xy} = 0, \quad (35)$$

and apply to it inelastic curvatures

$$\bar{h}_{ix} = \sqrt{\beta} h_i^{**}, \quad \bar{h}_{iy} = h_i^{**}, \quad \bar{h}_{ixy} = 0, \quad (36)$$

with

$$\{h_0^{**}, h_i^{**}\} = \{c_0^{**}, c_i^{**}\}/R + \lambda \{\cos \phi, \sin \phi\}/R \quad (37)$$

and ϕ given in (31). A word of caution is needed in order to properly choose the parameters λ and p . Since the UC model underestimates the apex of tristable region by 14% in norm, the condition $\lambda > 0$ should be substituted by a safer $\lambda > 1$. Moreover the angle ϕ (controlled by $0 < p < 1$) is better

chosen to stay closer at the bottom boundary of the tristability region; safer values for p are within $0.05 < p < 0.5$. This last choice allows to avoid local instabilities that the UC model is not able to describe and which are discussed in Section 6.

5 Sample prototypes

We present an experimental example for each of the three cases examined in the previous Section and corresponding to points B, T and C in Figs. 3 and 5.

In particular the prototype corresponding to point B in Figure 5 is half a tennis ball, while the prototypes corresponding to points C and T are made of silicone. These two families of materials are parametrized by $\mu = 0.5$, which is the only parameter affecting the shape of the stability diagram of Figure 3; hence, this diagram is valid for all cases. We remark that this value of Poisson's ratio is the highest possible for isotropic materials, thus the one which is closest to the condition (32).

5.1 Bistable spherical shell: half tennis-ball

A tennis ball is a spherical shell essentially made of rubber. One half of such a ball constitutes a nice example of bistable shell with both natural and everted configurations as shown in Figure 7. Modelling it as a shell with circular planform of radius $r \simeq 0.03$ m, thickness $t \simeq 0.003$ m, and using an isotropic rubber material with $\beta = 1$, $\nu = \mu \simeq 0.5$ and $\gamma \simeq 0.25$, we calculate: $\psi = 3.96 * 10^{-4}$, $L = \sqrt{2\pi}r \simeq 0.075$ m, $L/t \simeq 25$, $R = 0.13$ m, $c_0^* = 2.45$, $h_0^* = c_0^*/R = 18.84$ m⁻¹. Since $\beta = 1$ and the stress-free curvature of half tennis ball, namely $\bar{h}_0 = 1/r = 33.33$ m⁻¹, is sensibly larger than the threshold h_0^* , the everted configuration is stable, Figure 7. Clearly half a tennis ball is not a shallow shell; yet the UC model predicts the correct stability properties.

5.2 Bistable bifurcated shell and tristable shell: silicones

Whilst we presented the very simple case of half a tennis ball to illustrate the case of the bistable shell in B, the points C and T in Figure 5 do require the presence of inelastic curvatures: fabricating shells with large initial and inelastic curvatures is not a trivial task from the experimental point of view. The solution we adopted here is to use the silicones *Zhermack Elite double 32*



Fig. 7 Natural (a) and everted (b) configurations for half a tennis ball.

(referred as Green) and *Zhermack Elite double 8* (referred as Pink). These soft and isotropic materials are easily moldable and can exhibit controlled inelastic deformations if suitably prepared; they are delivered in the form of liquid polymers which, once mixed with a catalyst, undergo a rapid polymerisation reaction.

The Green and Pink silicones have similar mechanical properties, namely $\nu \simeq 0.5$, $\beta = 1$, $\rho = 1/3$ (leading to $\mu = 0.5$ and $\gamma = 0.25$), but are characterized by different Young moduli ($E_{\text{Green}} = 1,364$ MPa, $E_{\text{Pink}} = 0,186$ MPa) and by different hygroscopic properties. We use this circumstance to produce shells with controlled inelastic effects: indeed we observed that the polymerisation of a layer of Pink silicone, which is laid over a layer of already polymerized Green silicone, produces a bilayered medium with inelastic curvature (an effect which is not documented in the technical material specifications). Moreover, this effect is also obtained when the top layer is made of a mixture of Pink and Green silicone, and the resulting inelastic curvature can be controlled by tuning the relative percentage of Pink and Green silicone used in the mixture.

In particular, we suppose the bottom layer being made entirely of Green silicone and we characterize the mixture of the upper layer by its percentage ρ of Pink silicone ($\rho \in [0, 100]$), the percentage of Green silicone being then $(100 - \rho)$. We measured the resulting inelastic curvature h_i experimentally on 2mm-thick two-layered strips corresponding to different values of ρ : results are shown in Figure 8. We note that in such assemblies also a small percentage of Pink silicone in the upper layer

suffices to produce an inelastic curvature higher than the critical threshold h_i^* .

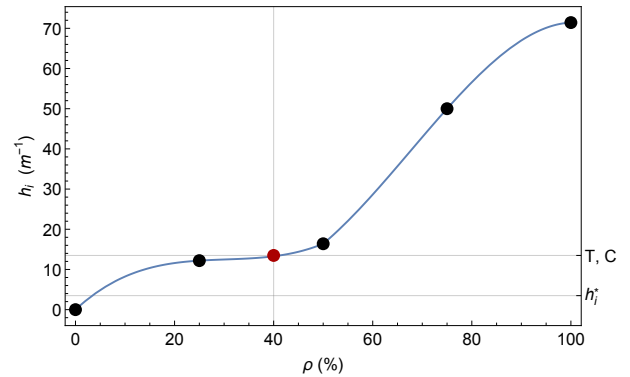


Fig. 8 Inelastic curvature h_i of bi-layered Pink-Green silicone strips induced by the percentage ρ of Pink material in the Pink-Green mixture of the upper layer. The critical value h_i^* , needed in the sample case discussed, and the inelastic curvatures of points T and C are reported: these last correspond to a 40% Pink-60% Green mixture.

For the material properties of these silicones and a circular planform the preliminary steps described in Section 4.1 give:

- (I) $\psi = 3.96 * 10^{-4}$.
 - (II) The planform radius and thickness are chosen respectively 5 cm and 2 mm. Hence $L^2/t = 3.93$ m.
 - (III) $R = 0.271$ m.
- To build the bistable shell with quasi-cylindrical configurations, we follow the steps in Section 4.3 to get:
- (IV) $c_i^* = 0.943$.
 - (V) $h_i^* > 3.48$ m $^{-1}$.



Fig. 9 Bifurcated shapes of the silicone shell corresponding to point C in Figure 3. The principal directions of curvature are marked on the shell in red and blue.

Entering with this last value in Figure 8b dictates a Pink percentage ρ in the upper layer higher than 5%; we choose in particular $\rho \simeq 40\%$ which corresponds to the inelastic curvatures $\bar{h}_{ix} = \bar{h}_{iy} \simeq 13$ m $^{-1}$ and to point C in Figure 3. The resulting bifurcated configurations are shown in Figure 9.

Finally, to build the tristable shell we follow the steps in Section 4.4 to get:

- (IV) $c_0^{**} = 2.74$ and $c_i^{**} = 1.03$
- (V) The choice $\lambda = 3.4$ and $p = 0.24$ leads to $h_0^{**} \simeq 18.1 \text{ m}^{-1}$ and $h_i^{**} \simeq 13 \text{ m}^{-1}$

The choice made for λ and p allows to have the same inelastic curvature of the previous case and, therefore, it is obtained as before with a mixture of 40% Pink and 60% Green in the upper layer. But now the bilayer plate must not be flat and must have curvature radius $1/h_0^{**} \simeq 5.5 \text{ cm}$ equal in all directions since $\beta = 1$. To this end, using a 3D printer, we have produced the mold shown in Figure 11, and the polymerization phases for both the Green and Pink-Green layers has taken place inside this mold. The three stable shapes of the resulting tristable shell are shown in Figure 10.

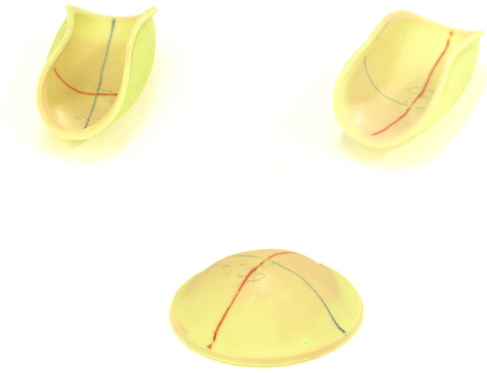


Fig. 10 Shapes of the silicone shell corresponding to point T in Figure 3. The principal directions of curvature are marked on the shell in red and blue.

Despite the silicone shells shown in Figures 9-10 are clearly not shallow, their multistable behaviour is well predicted by applying the design rules provided by our UC shallow-shell model based on Föppl-von Kármán assumptions.

6 Concluding remarks

We have reported on modelling, numerical approximation and design recipes of simple multistable shells. Using soft isotropic materials, we have built sample prototypes for three relevant cases. The following conclusions can be drawn:

1. All the FE results have been obtained with fully non-linear simulations of shells in Abaqus (S4R element, Full-Newton scheme: see the Appendix for

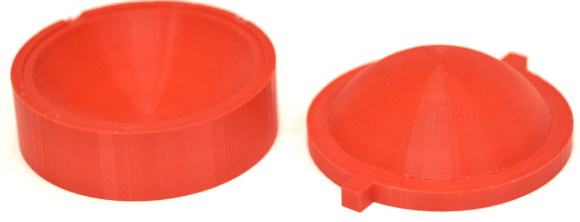


Fig. 11 Mold with radius of curvature 5.5 cm used to build the tristable shell in Figure 10.

details). Even if the Föppl-von Kármán model, or its UC and QC approximations, are based on the assumptions of thin ($t \ll L$) shallow ($k \ll 1/L$) shells, yet the UC and QC models are able to give an accurate description of the stability scenario (Figure 3) even for large curvatures.

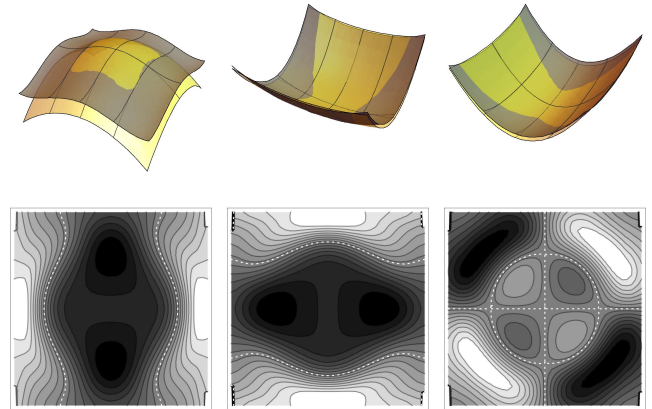


Fig. 12 a) Upper row: Shapes predicted by UC (yellow) and QC (brown) models for a square shell within the tristable region $c_0 > 0$, $c_i > 0$; actually, the FE predictions are almost confused with the QC ones and are not reported exactly. b) Lower row: Variations of the curvatures computed with FE with respect to their spatial averages: from the left components $K_x - \bar{K}_x$, $K_y - \bar{K}_y$ and $K_{xy} - \bar{K}_{xy}$ in the everted configuration of a square shell.

2. The UC model gives faithful indications of the stability, associated to rather large natural and inelastic curvatures, despite the simplicity of the Uniform Curvature assumption. In particular the predictions on all the boundaries of the monostability region fall within a 3% error.

We note that this remains true even when the actual (or FE computed) shapes violate the UC assumption. Figure 12a shows, for instance, the UC shapes (yellow) of a square tristable shell as compared with the ones predicted by the QC model or

by FE simulations (brown). For the everted configuration, Figure 12b shows the variations of the FE curvature fields with respect to their averages.

3. The QC model, based on a quadratic ansatz of the curvature field, is slightly more precise than the UC model for predicting the number of stable equilibria (see, for instance, the lower boundary of the tristability region in Figure 3) and the actual equilibrium shapes (Figure 12a). However, in a possible trade-off between these QC precision enhancements and the simple closed-form solutions allowed by the UC model, the latter should, in our opinion, be preferred because it is simpler but it suffices to well describe the multistability phenomena both qualitatively and quantitatively.

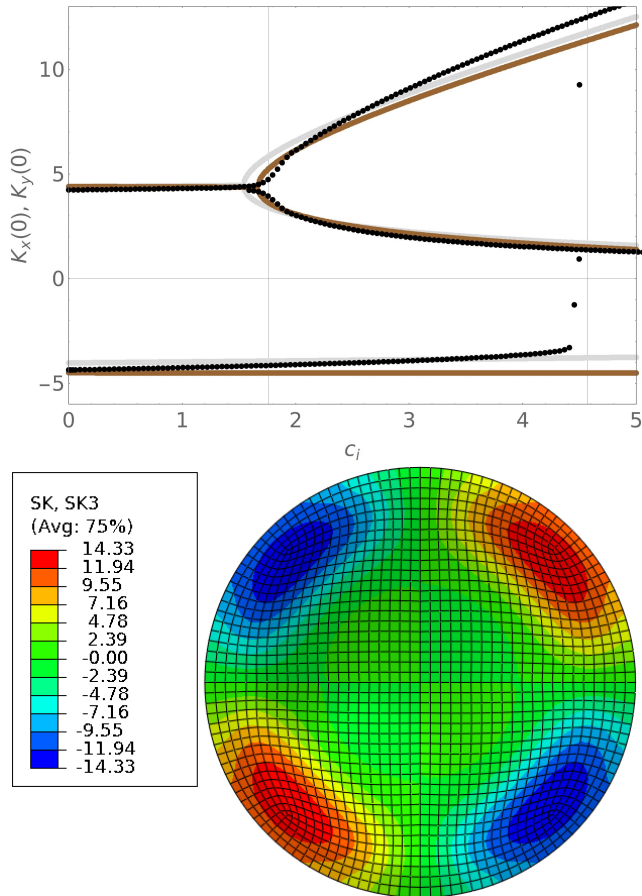


Fig. 13 a) Comparison of the average shell curvatures for $c_0 = 4.4$ and c_i increasing: UC (gray), QC (brown), FE (black). b) The K_{xy} field when c_i reaches the critical value making the everted configuration unstable as computed in Abaqus.

4. Still with reference to Figure 3 the more pronounced difference between the UC (or QC) predictions and the FE results lies in the upper stability boundaries of the tristable regions. In Figure 13a we have plotted the average shell curvatures K_x and K_y as pre-

dicted by UC (gray), QC (brown) and FE (black) for $c_0 = 4.4$. Clearly in the FE simulation the everted configuration loses stability for $c_i \simeq 4.6$, *i.e.* much earlier than the UC and QC predictions. Plotting, in Figure 13b, the FE spatial distribution of the component K_{xy} as $c_i \simeq 4.6$ is approached, we understand that the stability is lost for a localization of the twisting curvature; a bifurcation mode that evidently could not be contemplated under the simple hypotheses of a uniform or quadratically varying curvature.

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Appendix: Identification of stability boundaries for multistable shells by FE simulations

In Figure 3, we resume the prediction of the stability boundaries for multistable shells: results obtained by applying the UC and QC models (solid and dashed lines, respectively) can be compared to the ones computed by refined Finite Element approximations (marked as black dots). In particular, we performed FE simulations with Abaqus CAE using the S4R element, which is a shell element with four nodes and five degrees of freedom per node with reduced integration. Geometrical non linearities are taken into account in a Full-Newton scheme. In order to validate the analytical results as well as to locate the experimental response of the prototypes described in Section 5, results of simulations correspond to the same material system as in experiments, that is 2mm-thick bilayered shells made of Green-(Green+Pink) silicone material. The non-dimensional diagram of Figure 3 is valid for both silicone and rubber materials since they have the same Poisson's ratio ($\mu = 0.5$) which is the only parameter affecting its boundaries. The planform of the shell is circular, but simulations based on square planforms were also performed and gave similar results. The diameter was initially fixed at $D = 10$ cm in order to reproduce the experimental results. Simulations were also performed with a diameter of $D = 20$ cm in order to apply sufficiently high initial curvatures c_0 (points with $c_0 > 5$ in Figure 3).

In the analytical models, the shell is free and no boundary condition is applied; thus, in the FE simulations we only clamped the central node in order to avoid rigid body motions.

In order to reproduce the stability diagram of Figure 3, the two parameters to be varied in the FE study are the natural curvature c_0 and the inelastic curvature c_i (we remind that c_0 and c_i are adimensional curvatures, according to definitions given in Section 3). Different levels of natural curvature c_0 simply correspond to different paraboloid shell surfaces $\frac{c_0}{R}(x^2 + y^2)$, where R is the characteristic radius defined by equation (10): changing c_0 required to build a new mesh for the initial stress-free configuration, the number of elements of the mesh varying from 1456 (9114 d.o.f.) for a disk ($c_0 = 0$) to 1828 (11346 d.o.f.) for the deepest shell considered ($c_0 = 9$). Different levels of inelastic curvature c_i were induced by applying an equivalent uniform temperature change ΔT to the shell (different expansion coefficients are defined for the two layers, thus inducing an inelastic curvature of the shell: values of the fictitious expansion coefficients are identified from the experimental behaviour of the silicone material system, shown in Figure 8).

From an operational point of view, we fixed a discrete set of c_0 values (see dots on Figure 3). For each value of c_0 , we built the corresponding mesh (stress-free configuration) of the shell and we found the corresponding everted configuration at $c_i = 0$, if it existed, by applying imposed displacements onto the shell: clearly there is a range of values of c_0 ($c_0 \in [-c_0^*, c_0^*]$) where the everted configuration cannot be found. Then, starting from each natural configuration and from each everted configuration, we have progressively increased the inelastic curvature value c_i (in practice, the temperature change ΔT) until the stability was lost, thus marking the critical values of inelastic curvature in order to obtain bifurcation or loss of equilibrium.

In order to find the different points on the stability diagram (see Figure 14), we followed the procedures described hereafter.

1. Intersection between solid gray and dashed gray lines (black point, c_i^*): start from a flat disk and increase the inelastic curvature c_i until finding the critical value c_i^* inducing bistability through a pitchfork bifurcation (evolution of curvatures is shown in Figure 2.a).
2. Intersection between dashed black line and the horizontal axis $c_i = 0$ (gray point, c_0^*): start from a spherical shallow shell and impose displacements onto the shell in order to evert the curvature; repeat the simulations by increasing the initial curvature c_0 until the existence of the everted configuration is established at $c_0 = c_0^*$ (see results on Figure 1.a).
3. Dashed gray boundary ($c_0 > 0$): start from a spherical shell with initial curvature $c_0 > 0$ and apply a positive inelastic curvature c_i until finding the value corresponding to the pitchfork bifurcation of the natural configuration into two quasi-cylindrical shapes.
4. Dashed black boundary ($c_0 > 0$): first find the everted configuration, then apply a positive inelastic curvature c_i until it loses stability (above the dashed black line the everted configuration is no longer stable: tristability is attested above the dashed gray line and below the dashed black line).
5. Solid black boundary ($c_0 < 0$): start from a curved shell with initial negative c_0 curvature, and apply a positive inelastic curvature c_i until it loses stability.
6. Solid gray boundary ($c_0 < 0$): apply a positive inelastic curvature c_i onto an everted configuration corresponding to initial negative natural curvature c_0 and increase c_i until the everted configuration bifurcates into two quasi-cylindrical shapes.

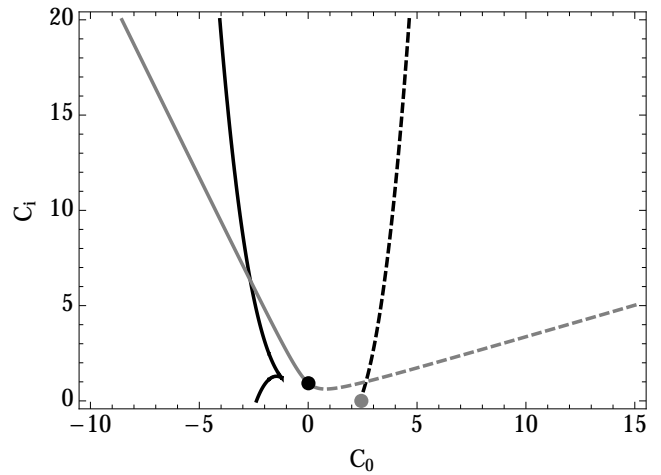


Fig. 14 Multistability boundaries for all materials parametrized by $\mu = 0.5$.

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