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1 Numerical determination of vertical water flux based on soil temperature profiles

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11

12 Abstract

13 High sensitivity temperature sensors (0.001 K sensitivity Pt100 thermistors), positioned
14 at intervals of a few centimetres along a vertical soil profile, allow temperature measurements
15 to be made which are sensitive to water flux through the soil. The development of high data
16 storage capabilities now makes it possible to carry out *in situ* temperature recordings over
17 long periods of time. By directly applying numerical models of convective and conductive
18 heat transfer to experimental data recorded as a function of depth and time, it is possible to
19 calculate Darcy's velocity from the convection transfer term, thus allowing water
20 infiltration/exfiltration through the soil to be determined as a function of time between fixed
21 depths.

22 In the present study we consider temperature data recorded at the Boissy-le-Châtel
23 (Seine et Marne, France) experimental station between April 16th, 2009 and March 8th, 2010,
24 at six different depths and 10-min time intervals. We make use of two numerical finite
25 element models to solve the conduction/convection heat transfer equation and compare their
26 merits. These two models allow us to calculate the corresponding convective flux rate every

27 day using a group of three sensors. The comparison of the two series of calculated values
28 centred at 24 cm shows reliable results for periods longer than 8 days.

29 These results are transformed in infiltration/exfiltration value after determining the soil
30 volumetric heat capacity. The comparison with the rainfall and evaporation data for periods of
31 ten days shows a close accordance with the behaviour of the system governed by rainfall
32 evaporation rate during winter and spring.

33

34 **Keywords:** Infiltration, unsaturated soil, numerical finite element models, Pt100 thermistor,
35 temperature

36

37 **1. Introduction**

38 Since convection is a component of the heat transport process, water seepage can be in
39 turn determined through the analysis of temperature measurements [1], [2], [3]. The analysis
40 of the temperature distribution thus offers the possibility to determine the Darcy's velocity
41 without knowing head gradients. Although this is an old idea, it is likely that it will be more
42 extensively developed in the future as a result of the possibilities offered by a large panel of
43 new technologies, which facilitate the acquisition and recording of data. As an example,
44 temperature monitoring with a fibre optic sensor (distributed temperature sensing, DTS) [4],
45 [5], [6], and [7] allows data to be recorded at high temporal and spatial densities and over
46 long distances. High resolution sensors coupled with low power electronics and vast data
47 storage capacities have also contributed to the development of renewed in-field applications
48 involving temperature measurements, surveys and chronicles.

49 For more than fifty years, soil temperature monitoring has been applied to both
50 saturated and unsaturated underground media. However researchers face a major difficulty:
51 seepage velocities are generally low in temperate climate soil contexts (dominance of clay

52 loam, medium water contents, low rainfall intensities) thus the conductive heat transfer
53 largely dominates that due to convection, which makes the Peclet number clearly smaller than
54 1. Measurements and calculations must therefore be very accurate, and a detailed description
55 of the soil's conductive transfer is required to ensure that the convective component can be
56 correctly evaluated.

57 The present paper deals with natural heat exchanges which allow the long-term analysis
58 of water seepage. Our approach extends those exposed in a series of prior studies, which can
59 be summarized as follows.

60 By considering a saturated medium (rice paddies) and high percolation rates, Suzuki [8]
61 was the first to derive a method allowing percolation to be estimated from the amplitude ratio
62 of sinusoidal temperature fluctuations along vertical profiles. Stallman [9] proposed an
63 analytical solution, based on the attenuation of sinusoidal temperature fluctuations, which was
64 applied to the case of an unsaturated medium and diurnal temperature fluctuations, leading to
65 an ultimate accuracy of 1 mm d^{-1} . For deeper borehole measurements, where steady state
66 conditions can be assumed, Bredehoeft and Papadopoulos [10] proposed an analytical
67 solution taking both the conductive and convective transfers into account, which leads to an
68 exponential variation of temperature as a function of depth, governed by Darcy's velocity. By
69 making the same assumptions, several authors [11], [12], [13] compared, with satisfactory
70 results, water flows obtained using this approach with those determined from hydrological
71 data. Taniguchi [14] contributed several improvements to the unsteady state analytical
72 approach, taking the amplitude and phase of sinusoidal time variations into account and
73 distinguishing between infiltration and exfiltration. Contrary to Stallman [9], Taniguchi [14]
74 based these calculations on annual temperature fluctuations. Complete analytical solutions to
75 the conductive and convective transfer problem for sinusoidal and transient variations were
76 proposed by Tabbagh *et al.* [15]. Other studies specifically analysed flow through streambeds

77 [16], [17], [18] and attempts have been made to develop a numerical approach for recharge
78 determinations using borehole measurements [19]. Today a significant research effort is still
79 involved in semi-analytical based Fourier models [3], [20]. All of these studies were based on
80 the assumption of a homogeneous medium. Interpretation of layered terrain using analytical
81 solutions is more complex. The study must be split into two steps: firstly determine the
82 thermal structure, and then the Darcy velocity [21]. Such an algorithm has been applied to the
83 determination of recharge rate, in the Seine river basin (France) over a period of several years
84 [22] where a sufficiently dense network of meteorological stations exists. However, the
85 calculation process remains complex. The main limitation of this process is the lack of
86 resolution of the sensors (0.1 K in meteorological stations) which must be compensated for by
87 stacking long series of data. However, stacking the data limits the recharge determination to
88 multi-annual cycles, except in the case of significant transient thermal events accompanied by
89 a sufficiently strong thermal signal [23].

90 In this study, we present a new framework and the first test of direct resolution models
91 that rely on high precision sensors. The sensors are positioned at different depths of several
92 centimetres along a vertical soil profile (see Figure 1) and temperature measurements are
93 collected at short intervals over a period of several months. The infiltration/exfiltration is
94 calculated through the use of simple numerical scheme(s) based on the finite element (FE)
95 method, such that variations in the soil's thermal properties can be determined over a short
96 distance or any desired time interval.

97

98 **2. Materials and methods**

99 **2.1 Instrumentation**

100 We make use of new Pt100 thermistors (Correge, France, <http://www.correge.fr/>) with a
101 resolution of 0.001 K, together with a dedicated autonomous acquisition system allowing

102 measurement intervals of a few minutes to be achieved with the same 0.001 K resolution. The
103 sensors and the associate electronics were previously co-calibrated in laboratory in order to
104 correct for the slight offsets that exist between them [24].

105 The study plot of 614 m² surface is located at the experimental site of Boissy-le-Châtel
106 in the Orgeval catchment (70 km East of Paris, France) [25]
107 (<http://data.datacite.org/10.17180/OBS.ORACLE>). The annual average air temperature is
108 12°C. The area of this catchment is covered by a quaternary loess deposit whose maximum
109 thickness is 10 m. The top layer has evolved into hydromorphic gleyic luvisol (FAO soil
110 classification) that presents hydromorphic characteristics and may cause the formation of a
111 temporary perched water table in the winter season. The plot is artificially drained by buried
112 perforated pipes (buried at 0.6 m and separated by about 6 m) and managed for experimental
113 purposes, but unfortunately no measurement of the drained quantities was possible in 2009
114 and 2010. This plot is instrumented for a continuous monitoring (hourly recording) of
115 meteorological variables (air and soil temperature, net radiation, air pressure and relative
116 humidity). Based on the daily average of these variables, "Météo France" calculates potential
117 evapotranspiration by using the Penman formula [26]. This formula is consistent with the
118 canopy of the study site (grass). Rainfall was measured using tipping bucket rain gauge
119 (manufactured by "Précis mécanique", SA) and recorded each hour (the recording device is
120 Danae LC/RTC, from "Alcyr SARL").

121 For the initial experiment, the temperature sensors were installed at six different depths:
122 12, 15, 18, 24, 32 and 34 cm below ground surface, along the wall of an excavated pit (which
123 was later backfilled). The sensors were inserted into horizontally drilled guide-holes
124 (Figure 1), allowing them to be positioned at accurately known depths with inter-sensor
125 intervals ranging between 3 and 12 cm. The thermal diffusivity of the soil is characterised by
126 annual variations, ranging between $0.61 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$ during dry periods and $0.43 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$

127 during wet periods and can be used to monitor the soil water content [4], [27]. The
128 temperature recorder and electronic equipment were installed in metal boxes placed on the
129 land surface in the lawn. Sensor configuration and data acquisition were achieved via a serial
130 port on a portable micro-computer, using interfaces produced in our laboratory. Continuous
131 recording began on April 16th, 2009 and ended on March 8th, 2010, corresponding to a total of
132 327 days, and was interrupted 4 times, on June 30th, September 21st, December 16th, 2009 and
133 February 10th, 2010 in order to change the battery. The 12 cm sensor did not function between
134 May 22nd and June 30th, 2009. Data were recorded at 10-min intervals, leading to a total of
135 144 discrete measurements per 24-hour period. As the calculations are based upon the time
136 evolution of temperature differences between close sensors, a high resolution is required for
137 the temperature monitoring system; this is illustrated in Figure 2, which plots the variations of
138 the temperature recorded on April 16th and 17th, 2009, and of the difference between two
139 sensors. The temperature variation shows both an amplitude decrease and a phase lag increase
140 with depth. For each curve are drawn the data directly recorded with a 0.001K resolution and
141 the data which we would have with a 0.1K resolution. Figures 2b and 2c show the difference
142 of temperature between couples of sensors, they demonstrate the significant differences
143 between the 0.1 K and 0.001 K resolutions.

144

145 **2.2 Calculations**

146 We assume that the heat generated/absorbed by vaporization, condensation, chemical or
147 biologic activity can be neglected in the considered range of depth, so as the mass and thermal
148 fluxes associated with vapour diffusion. Consequently in absence of local heat source or sink
149 the unsteady conductive heat transfer is governed by the thermal diffusivity, Γ , and the
150 unsteady convective heat transfer by the flux rate, v , and the temperature distribution is thus

151 controlled by these two parameters only. When only considering the vertical dimension, z (1D
 152 geometrical problem), the heat equation is expressed as:

$$153 \quad \frac{\partial}{\partial z} \left(\Gamma \frac{\partial T}{\partial z} \right) - \frac{\partial}{\partial z} (vT) - \frac{\partial T}{\partial t} = 0 \quad (1).$$

154 The diffusivity (m^2s^{-1}) of the three-phase soil integrates both the thermal conductivity, λ
 155 ($\text{W m}^{-1} \text{K}^{-1}$) and the volumetric heat capacity, C_v in ($\text{J m}^{-3} \text{K}^{-1}$), whereas the flow rate (m s^{-1})
 156 integrates the Darcy's velocity, u , and the ratio of the volumetric capacity of the fluid, C_w , to
 157 that of the three-phase medium:

$$158 \quad \Gamma(z, t) = \frac{\lambda(z, t)}{C_v(z, t)} \quad (2)$$

$$159 \quad v(z, t) = \frac{u(z, t)C_w}{C_v(z, t)} \quad (3).$$

160 When using the FE method equation (1) is integrated over definite size elements. To
 161 achieve this integration the variations of all parameters must be chosen. By applying the
 162 Galerkin method to triangular two-dimensional (2D) elements defined in the dimensions of
 163 depth and time, it is possible to start from this second order differential equation and to
 164 integrate by parts using linear variations on the elements.

165 As illustrated by Figure 1, only three different depths are needed, corresponding to the
 166 spatial limits defined by the elements $[i-1, i]$ and $[i, i+1]$ of respective steps h_i and h_{i+1} . The
 167 time variable lies within the two steps: $[m-1, m]$ and $[m, m+1]$ of constant size τ . Γ and v are
 168 defined at three consecutive spatial nodes and assumed to vary linearly in z over each
 169 element. Because, following equation (1), only the temperature exhibits time derivation, there
 170 is no possibility at a given time step to consider a variation of Γ and v with time, they are thus
 171 constant but they vary with considered time intervals. Thus one uses six spatial unknowns Γ_{i-1} ,
 172 Γ_i , Γ_{i+1} , v_{i-1} , v_i and v_{i+1} . Depending on the number of nodes considered in the spatial and
 173 time discretization, two models are proposed (Figure 1): the first model involves nine nodes

174 and the second use five nodes by omitting the corner nodes. The discretization of equation (1)

175 with the nine-node model yields:

$$\begin{aligned}
 & \frac{\tau}{2h_{i+1}}(\Gamma_i + \Gamma_{i+1}) \left[\frac{2}{3}(T_{i+1}^m - T_i^m) + \frac{1}{6}(T_{i+1}^{m+1} - T_i^{m+1} + T_{i+1}^{m-1} - T_i^{m-1}) \right] \\
 & - \frac{\tau}{2h_i}(\Gamma_i + \Gamma_{i-1}) \left[\frac{2}{3}(T_i^m - T_{i-1}^m) + \frac{1}{6}(T_i^{m+1} - T_{i-1}^{m+1} + T_i^{m-1} - T_{i-1}^{m-1}) \right] \\
 & - \frac{v_i \tau}{9} \left[4T_i^m + T_{i-1}^m + T_{i+1}^m + T_i^{m-1} + T_i^{m+1} + \frac{1}{4}(T_{i-1}^{m-1} + T_{i-1}^{m+1} + T_{i+1}^{m-1} + T_{i+1}^{m+1}) \right] \\
 & - \frac{v_{i-1} \tau}{9} \left[2T_{i-1}^m + T_i^m + \frac{1}{2}(T_{i-1}^{m-1} + T_{i-1}^{m+1}) + \frac{1}{4}(T_i^{m-1} + T_i^{m+1}) \right] \\
 & - \frac{v_{i+1} \tau}{9} \left[2T_{i+1}^m + T_i^m + \frac{1}{2}(T_{i+1}^{m-1} + T_{i+1}^{m+1}) + \frac{1}{4}(T_i^{m-1} + T_i^{m+1}) \right] \\
 & = \frac{1}{2} \left[(T_i^{m+1} - T_i^{m-1}) \left(\frac{h_{i+1} + h_i}{3} \right) + (T_{i-1}^{m+1} - T_{i-1}^{m-1}) \frac{h_i}{6} + (T_{i+1}^{m+1} - T_{i+1}^{m-1}) \frac{h_{i+1}}{6} \right]
 \end{aligned} \tag{4}$$

177 The discretization of equation (1) with the five-node model yields a shorter expression:

$$\begin{aligned}
 & - \frac{\tau}{2h_i}(\Gamma_i + \Gamma_{i-1})(T_i^m - T_{i-1}^m) + \frac{\tau}{2h_{i+1}}(\Gamma_i + \Gamma_{i+1})(T_{i+1}^m - T_i^m) \\
 & - \frac{v_i \tau}{6}(4T_i^m + T_{i-1}^m + T_{i+1}^m) - \frac{v_{i-1} \tau}{6}(2T_{i-1}^m + T_i^m) - \frac{v_{i+1} \tau}{6}(2T_{i+1}^m + T_i^m) \\
 & = \frac{h_{i+1} + h_i}{4}(T_i^{m+1} - T_i^{m-1})
 \end{aligned} \tag{5}$$

179 The linear expressions (4) and (5) allow the diffusivity and convection terms to be calculated

180 directly from known values of temperature, depth of the sensors and sampling time steps.

181 Moreover, the use of a 10-min time step makes it possible to verify the stability condition:

$$\frac{\Gamma \tau}{h^2} \leq \frac{1}{2} \tag{6}$$

183 over a wide range of diffusivities (this corresponds to $\Gamma < 0.75 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$ for $h=3 \text{ cm}$ and

184 $\Gamma < 3 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$ for $h=6 \text{ cm}$) allowing most situations encountered in the field to be covered.

185 These two independent models of equations (4) and (5) were implemented in parallel

186 to determine the values of Γ and v , so as to perform crosschecking and evaluate their

187 robustness. Following a series of tests both on synthetic data generated by analytical
 188 calculation (using realistic soil properties and temperature variations) and Boissy-le-Châtel
 189 data, the more stable solution was to consider successive temperatures at levels $i-1$ and $i+1$ as
 190 Dirichlet limiting conditions, then to search for the values of Γ_{i-1} , Γ_i , Γ_{i+1} , v_{i-1} , v_i and v_{i+1}
 191 allowing the best (least squares) fit between the calculated and recorded values of $T_{i,m}$ over a
 192 sufficiently long calculation interval. The later was taken as the diurnal cycle (i.e. 144 time
 193 steps of 10 min) or a multiple of it. The computational workflow can thus be broken down
 194 into two steps:

- 195 - definition of the *a priori* values: $u=0$ and $\Gamma_{i-1}=\Gamma_i=\Gamma_{i+1}$, the latter of which being equal
 196 to the optimal least squares value computed using finite differences applied to the
 197 simple conduction equation,
- 198 - application of a damped least squares process [28] to calculate the six unknowns in
 199 equations (4) or (5) where the convergence of the process is controlled by the
 200 minimum of the criterion S defined by:

$$201 \quad S = \sqrt{\left(\frac{\partial \Gamma_{i-1}}{\Gamma_{i-1}}\right)^2 + \left(\frac{\partial \Gamma_i}{\Gamma_i}\right)^2 + \left(\frac{\partial \Gamma_{i+1}}{\Gamma_{i+1}}\right)^2} + \mu |\delta v_{i-1} + 2\delta v_i + \delta v_{i+1}| \quad (7),$$

202 with $\mu=10^7$ if v is expressed in m s^{-1} .

203 This process allows taking into account the significant difference in magnitude between the
 204 conductive and convective heat fluxes. As an example, for a $1.5 \text{ W m}^{-1} \text{ K}^{-1}$ conductivity and a
 205 temperature difference of 1K over 10 cm (see Figure 1) the order of magnitude of the
 206 conductive heat flux is 15 W m^{-2} . For a 4 mm d^{-1} Darcy velocity and a fluid temperature
 207 differing of 1K from the reference temperature, the order of magnitude of the convective heat

208 flux is 0.14 W m^{-2} . However the limited range of variation of the thermal diffusivity stabilizes
209 the numerical results.

210

211 **3. Results of the calculation and discussion**

212 The choice of high precision sensors prevents uncertainties resulting from temperature
213 measurements but the choice of simple numeric schemes to describe the time and depth
214 variations of the temperature may be too crude to deliver accurate values of Γ and v . To assess
215 this issue we compare at a given central depth, $z=24 \text{ cm}$, the two numerical schemes
216 (equations (4) and (5)) with a triad of sensors located at 15, 24 and 34 cm. Figure 3 plots the
217 results of the calculations of v_i centred at 24 cm showing the calculated daily values (thin line)
218 and 10 days values (thick line) using five node-equation (4) (in blue) and nine node-equation
219 (5) (in red). Globally the 10 days values exhibit very coherent results in accordance with the
220 general vegetation behaviour: the calculated flow is upward during spring and the beginning
221 of summer, followed by a downward flow during autumn and winter. On the other hand daily
222 values exhibit a significant level of noise which forbids their direct use. The same difference
223 between daily and 10-day calculations arises for the determination of diffusivity (Figure 4).
224 The differences between the two numerical schemes stay very small here, except at one point
225 at the end of June where data are missing.

226 The role of the considered time interval in the calculations results from two facts: (1)
227 the geometric scale of the temperature sensor locations, (2) the linear depth and time
228 variations adopted in the F.E. schemes. The choice of the geometric scale derives from both
229 the respect of the 'Elementary Representative Volume' (at least centimetric) and of the
230 diameter of the sensor encapsulation (5.7 mm). In the context of this study, because of small
231 Darcy velocities, the transit time between two sensors necessitates several days (with a 3
232 mm.d^{-1} velocity a 3 cm distance is travelled in 10 days). The differences that may result from

233 the imperfect fit between linear schemes and the actual time and depth variations is illustrated
234 by the discrepancies between the results obtained by the 5-node and the 9-node schemes.
235 However, these discrepancies remain lower than 1 mm d^{-1} in the 10 days calculations
236 presented here and the 9-node scheme predicts slightly greater amplitudes.

237 To assess the robustness of calculations one first considers the mean quadratic
238 deviations when the vertical location of one of the sensors is moved by 1 mm for a one
239 diurnal cycle interval calculation (Table 1). As could be expected, the deviations are maximal
240 when the central sensor is moved (introducing variations in two pairs of depths instead of
241 one) but they remain limited to a far less than 1 mm d^{-1} .

242 The elementary statistics for the two one diurnal cycle calculations are presented in
243 Table 2. They show an absence of bias, all the means and medians remaining in a 0.4 mm d^{-1}
244 interval, and a greater variability in the nine-point scheme results than in the five-point one.
245 The coherences (the correlation coefficient between two spectra) between the curves are very
246 high (Table 3) but the partition of each spectrum in four quarters (for a 1 day time step, the
247 spectrum extends from 0 to 0.5 d^{-1} frequency and this interval is divided in four parts) shows
248 that the coherence originates in the first quarter, that is for frequencies lower than 0.125 d^{-1}
249 (periods of 8 days). The coherences obtained when comparing the flow rates calculated with
250 equation (5) for two different groups of sensors [15, 24 and 34 cm] and [18, 24 and 32 cm]
251 (Table 4) also exhibits a very high value for the first quarter. These strong coherences
252 therefore demonstrate that the water movement is reliably determined for slow temporal
253 variations.

254

255 **4. Determination of the Darcy velocity**

256 For the following steps of the infiltration/exfiltration calculation we will thus use the
257 'best' of the available results: those having the lowest variance, i.e. the lowest interquartile

258 distance for both v_i and Γ_i which correspond to the case for which the distances between the
 259 sensors are the most regular (sensors at 15, 24 and 34 cm), and also to the simplest, five nodes
 260 numerical expression.

261 The volumetric heat capacity C_v is needed in order to determine the infiltration or
 262 exfiltration (the Darcy velocity) using: $u = \frac{\nu C_v}{C_w}$. This C_v value may be determined from the
 263 combination of two relationships. The first, empirical, was proposed for the heat capacity by
 264 de Vries [29]:

$$265 \quad C_v = (1-n)C_s + \theta C_w \quad (8),$$

266 where C_s is the volumetric heat capacity of the solid fraction, n the porosity and θ the
 267 volumetric water content. The second relationship, obtained by combining empirical data and
 268 numerical modelling, was proposed for the thermal conductivity by Cosenza *et al.* [30]:

$$269 \quad \lambda = (0.8908 - 1.0959n)\lambda_s + (1.2236 - 0.3485n)\theta \quad (9),$$

270 where λ_s is the thermal conductivity of the solid fraction (also noting that the two first
 271 numerical constant are dimensionless while the two others have the dimension of a thermal
 272 conductivity).

273 In these two relationships C_w is constant ($C_w = 4.185 \text{ MJ m}^{-3} \text{ K}^{-1}$), C_s can be considered as
 274 constant ($C_s = 2.0 \text{ MJ m}^{-3} \text{ K}^{-1}$), while λ_s and n are variable with z (and site dependent) but
 275 constant with t ; only θ is time variable. Both (8) and (9) have a linear dependence on θ .
 276 Consequently, their combination allows eliminating θ which results in a direct
 277 correspondence between the volumetric heat capacity (C_v) and the thermal diffusivity (Γ):

$$278 \quad C_v = \frac{\alpha}{\Gamma - \beta} \quad (10),$$

$$279 \quad \text{where } \alpha = (0.8908 - 1.0959n)\lambda_s - \frac{C_s}{C_w}(1-n)(1.2236 - 0.3485n) \quad (11),$$

280 and $\beta = \frac{(1.2236 - 0.3485n)}{C_w}$ (12).

281 For the case of the Boissy-le-Châtel site at 24 cm depth one has $n=0.48$ and $\lambda_s=2.15 \text{ W m}^{-1} \text{ K}^{-1}$,
282 $\alpha=0.5218 \text{ W m}^{-1} \text{ K}^{-1}$ and $\beta=0.2523 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$.

283 The simulated Darcy velocities, calculated over ten-day periods, were compared with
284 the surface rainfall and Penman potential evapotranspiration (PET) at Boissy-le-Châtel
285 (Figure 5). In an overview, this figure shows negative infiltration rates during spring and the
286 beginning of summer (from April 16th to middle July) then positive infiltration rates with
287 higher values during winter (from December 16th, 2009 to March 8th, 2010). In the first period
288 upwards water movements dominate, they likely result from capillarity and hydraulic
289 gradients created by root water uptake in the first 10 cm above. The calculated negative
290 infiltration decreases and crosses zero value in July. In July and August, the model results
291 show a positive infiltration while no rainfall occurs and potential evapotranspiration is high,
292 but with a probable low real evapotranspiration (mainly due to the evaporation part as the
293 roots were mostly inactive). In October and the beginning of November the infiltration is
294 small at 24 cm while the rain is high and the potential evapotranspiration small. In accordance
295 with these two observations, the correlation function between rain at soil surface and
296 infiltration at 24 cm shows a maximum for a 75 day delay on the total period of 327 days. In
297 winter period nearly saturated soils favour downwards water movements that follow gravity.
298 During summer this high delay is much likely even higher because the low water contents of
299 the most superficial layers tend to hamper water displacements.

300 For the whole period, the calculated infiltration is negatively correlated with PET (the Pearson
301 coefficient is -0.61 for the 327 day period). The global recharge measured over this period
302 was 158 mm.

303

304 **5 More about the applicability and requirements of the method**

305 Whereas the present paper establishes the feasibility of the direct calculation of water
306 movements from triads of high-resolution temperature sensors, it seems daring to draw
307 general conclusions about the robustness, applicability and limits of the method: other
308 experiments in similar and different soil contexts are certainly necessary. These will start after
309 delineating the requirements about the measurement parameters themselves, first with
310 indications on the spatial and temporal patterns of data acquisition, then with the most crucial
311 argument on the resolution of the temperature measurements.

- 312 - The choice of the vertical spacing (section 3 above) is limited by the soil
313 inhomogeneity (REV) and by the size of the sensor encapsulation.
- 314 - - The up-to-date recording facilities allow easy adjustment of the recording, thus of
315 calculation time steps, so that the temporal aspect is not a limitation for the method.
- 316 - - The question of the temperature resolution is of greater importance: to which limit is
317 it possible to reduce this resolution keeping in mind that DTS cannot offer more than
318 0.03K? This point can be dealt by considering worse resolutions: 0.01K and 0.1K.

319 Figures 6a and 7a present the time variations of the flow rates obtained for one day
320 periods with the 0.001K resolution (red lines), 0.01K resolution (green lines) and 0.1K
321 resolution (blue lines). It can be observed that while the general seasonal trend is preserved,
322 the noise level becomes significant and is roughly equivalent for 0.01K and 0.1K. This is
323 confirmed by looking at the variograms (Figures 6b and 7b): at 0.001K resolution, the
324 variogram level remains smaller than at 0.01K or 0.1K with a reduced nugget effect, and a
325 plateau beginning at 100 lag-days. It must be underlined that the variograms for the thermal
326 diffusivities (Figures 6c and 7c) do not show similar features as the differences between the
327 three variograms remaining small. This is explained by the dominance of the conduction
328 transfer over the convection one, for which the 0.001K resolution is required in this
329 experiment.

330

331 **6 Conclusions**

332 In the soil and climate conditions considered here, the heat transfer by conduction is
333 usually about one order of magnitude larger than the convection transfer in the unsaturated
334 soil, which makes difficult the determination of the Darcy velocity from temperature
335 measurements. However, the direct calculation of this velocity is of high interest and possible
336 with temperature sensors of sufficient resolution, for time periods greater than a week, with
337 minimal assumptions about soil structure and characteristics. Moreover, the method neither
338 requires the prior knowledge of the hydrodynamic parameters nor any assumption regarding
339 the form of the temperature variations with time and depth.

340 In summary, we have presented here the first experiment where the limitations resulting
341 from the use of conventional low-sensitivity (0.1 K) temperature sensors are overcome by
342 using 0.001 K sensors. Rather than complex analytical calculations we adopted simple FE
343 numerical schemes and a (least squares) stack over multiples of the 24h period. The recording
344 of temperature measurements at centimetric spatial and several minutes temporal intervals,
345 and the use of simple numerical models are straightforward and relatively uncomplicated
346 when compared to other more common techniques, such as lysimeters, used for the *in situ*
347 determination of infiltration and recharge. The implementation of the whole system (sensors,
348 computational tools) stays rather cheap.

349 The daily values calculated with two different numerical schemes yet exhibits a
350 significant dispersion but this dispersion corresponds to higher frequencies and the coherence
351 of the lower frequency variations are very high; the ten-day periods results are reliable in
352 accordance with local potential flux data. To go further and to reach a day to day
353 determination of the infiltration would necessitate reducing the distance between the sensors
354 due to the order of magnitude of the Darcy's velocity: a few millimetres per day. This

355 corresponds to a great challenge because too small geometric scales can be incompatible with
356 the representation of the soil by a continuous medium. Conversely, contexts characterised by
357 higher seepage velocities would be more favourable for the method.

358 The methodological development exposed here should be considered as a new tool in an
359 expanding toolbox, which can allow new avenues to be explored in the study of critical zone
360 water displacements, in both hydrological and agricultural fields of application. Future
361 progresses would especially address 2D and 3D problems or the inclusion of additional terms
362 in the heat equation to handle thermal fluxes in the vapour phase [31], a possible objective
363 being the location of the evaporation front. High precision temperature measurements also
364 merit to be tested for distinguishing between the different types of liquid water flows in soils,
365 typically in the micro- and macro-porosity [32].

366

367 **Acknowledgments**

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369 (French Research Institute for Science and Technology in the Environment and Agriculture,
370 previously the Cemagref), which provided the authors with access to its BD_ORACLE
371 database (<http://data.datacite.org/10.17180/OBS.ORACLE>) and relevant complementary data,
372 as well as to the experimental site at Boissy-le-Châtel (Seine et Marne, France) part of the
373 critical zone observatory ORACLE.

374

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458 sparse young forest of temperate humid climate. Journal of Hydrology 2011; 402: 367-376.
- 459

460 **Figure captions**

461 Figure 1: Sensor installation at Boissy-le-Châtel experimental station: location, horizontal
462 holes for the insertion in the wall of the excavated pit, FE depth and time scheme.

463

464 Figure 2: Plot of the temperatures (a) and of the differences (b) in temperature variations at
465 10 min intervals recorded with a 0.001 K sensitivity on April 16th and 17th, 2009 at the
466 Boissy-le-Châtel experimental station. For comparison to existing technology, the recorded
467 data we would have at each sensor for a 0.1 resolution is shown and the plot (c) details the
468 grey zone of plot (b).

469

470 Figure 3: Convective flux rate at 24 cm determined by the two different calculation schemes
471 (equation (4) in red and equation (5) in blue). The daily values correspond to thin lines and the
472 10 days values to thick lines.

473

474 Figure 4: Thermal diffusivity at 24 cm determined by the two different calculation schemes
475 (equation (4) in red and equation (5) in blue). The daily values correspond to thin lines and
476 the 10 days values to thick lines.

477

478 Figure 5: Comparison between infiltration values calculated for 10 days intervals, surface
479 rainfall and potential evapotranspiration (rain, in red, PET in blue).

480

481 Figure 6: (a) Time variations of the flow rates obtained for one day periods with the 0.001K
482 resolution (red line), 0.01K resolution (green line) and 0.1K resolution (blue line), (b)
483 variogram of the flow rate calculated with one day periods, (c) variogram of the thermal
484 diffusivity calculated with one day periods.

485

486 Figure 7: (a) Time variations of the flow rates obtained for ten days periods with the 0.001K
487 resolution (red line), 0.01K resolution (green line) and 0.1K resolution (blue line), (b)
488 variogram of the flow rate calculated with ten days periods, (c) variogram of the thermal
489 diffusivity calculated with ten days periods.

490

491

492 **Table captions**

493 Table 1: Mean quadratic deviations, $e = \frac{1}{N} \sum_1^N \sqrt{(v_{0,i} - v_{1,i})^2}$, between the calculated flow

494 rate with exact sensor location, v_0 , and the flow rate when one sensor is moved of 1 mm, v_1 .

495

496 Table 2: Means, standard deviations, medians and interquartile distances delivered by the two
497 different calculation schemes.

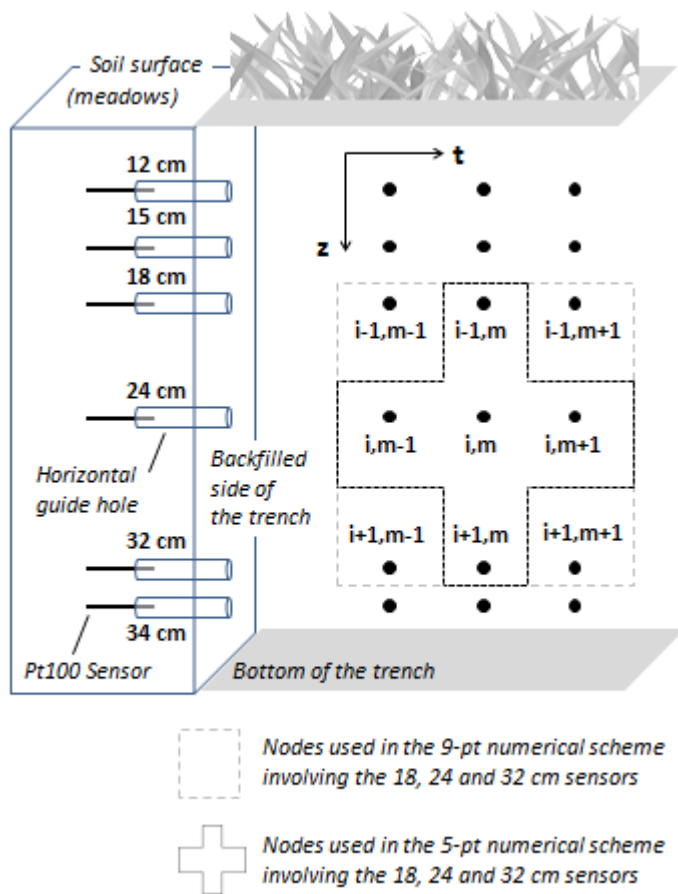
498

499 Table 3: Coherences for the different parts of the spectrum between the two different
500 calculation schemes.

501

502 Table 4: Coherences for the different parts of the spectrum between the two different triads of
503 sensors (15, 24 and 34 cm) and (18, 24 and 32 cm).

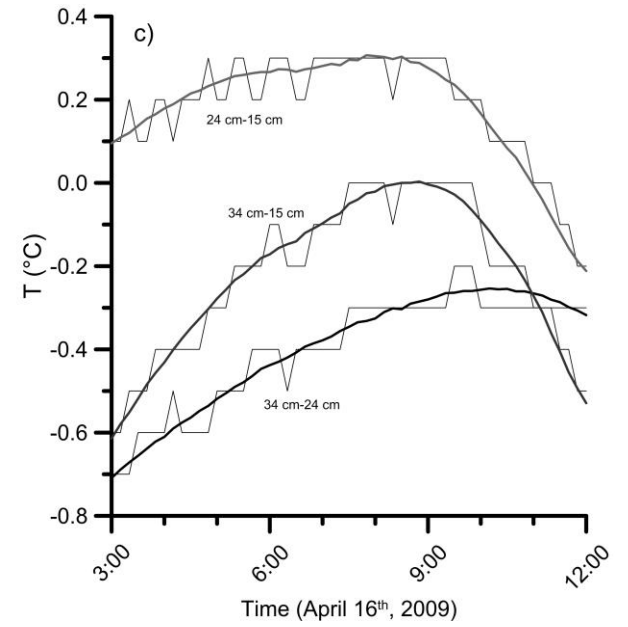
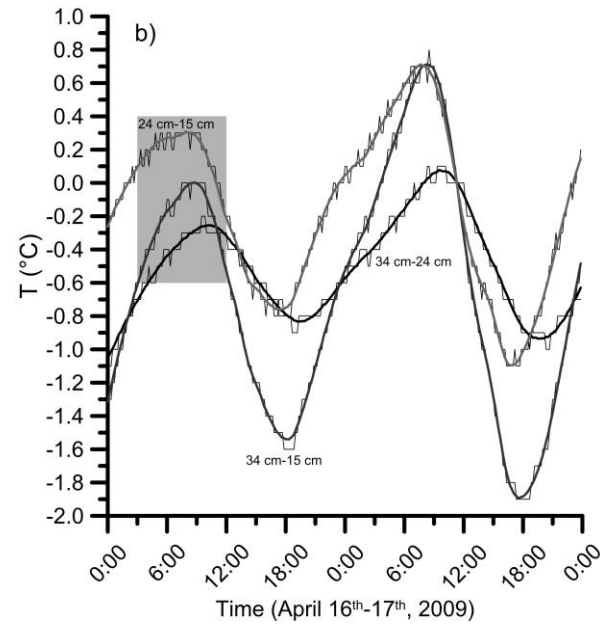
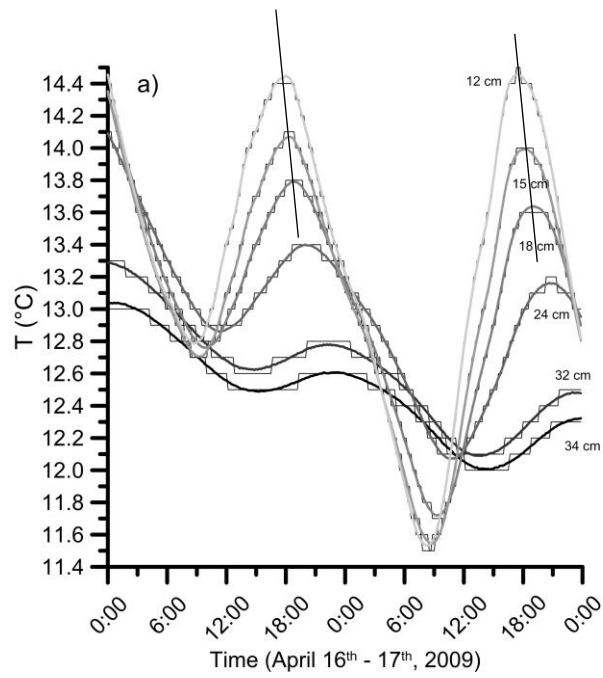
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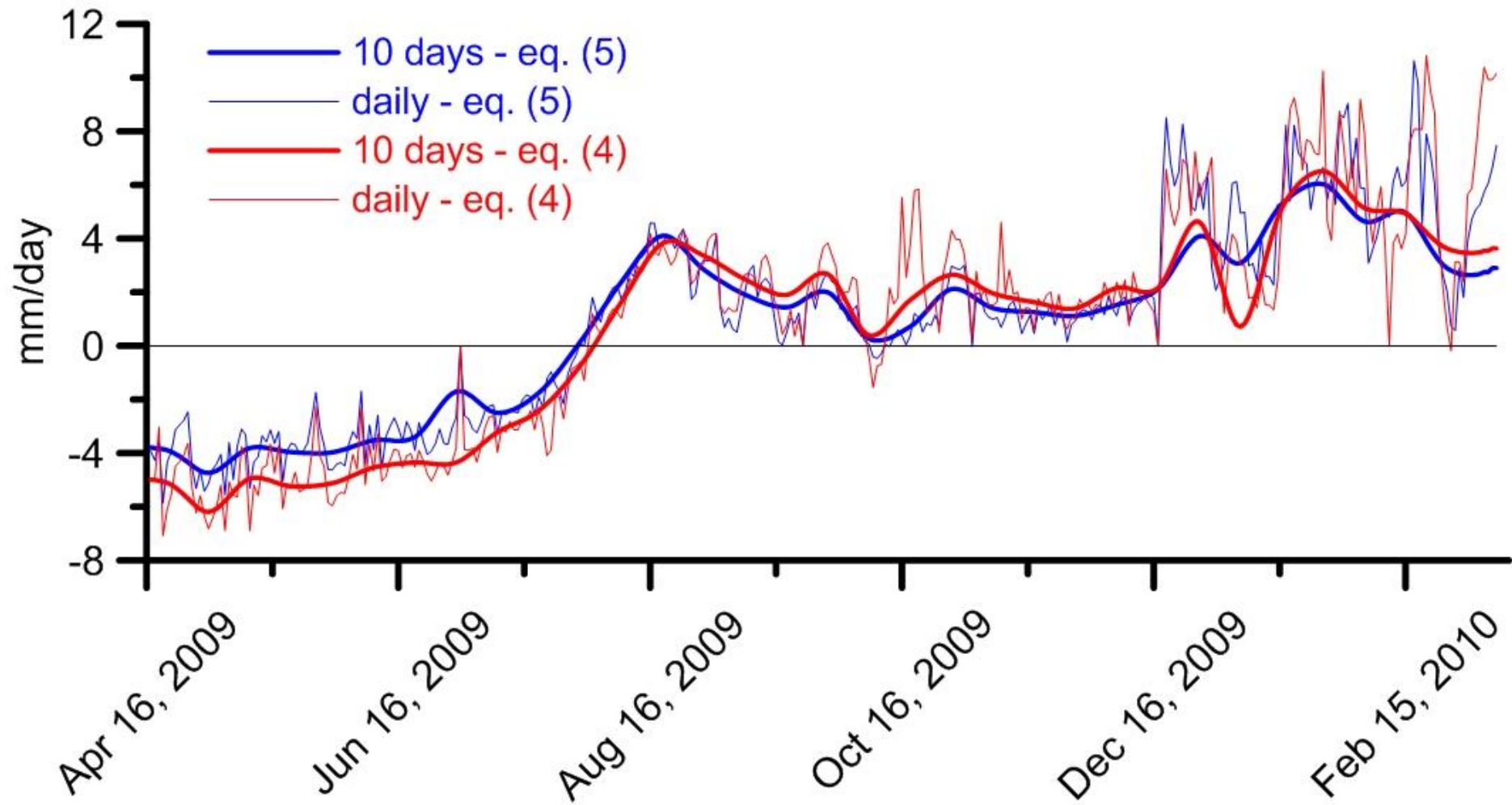
507 Fig. 1



508

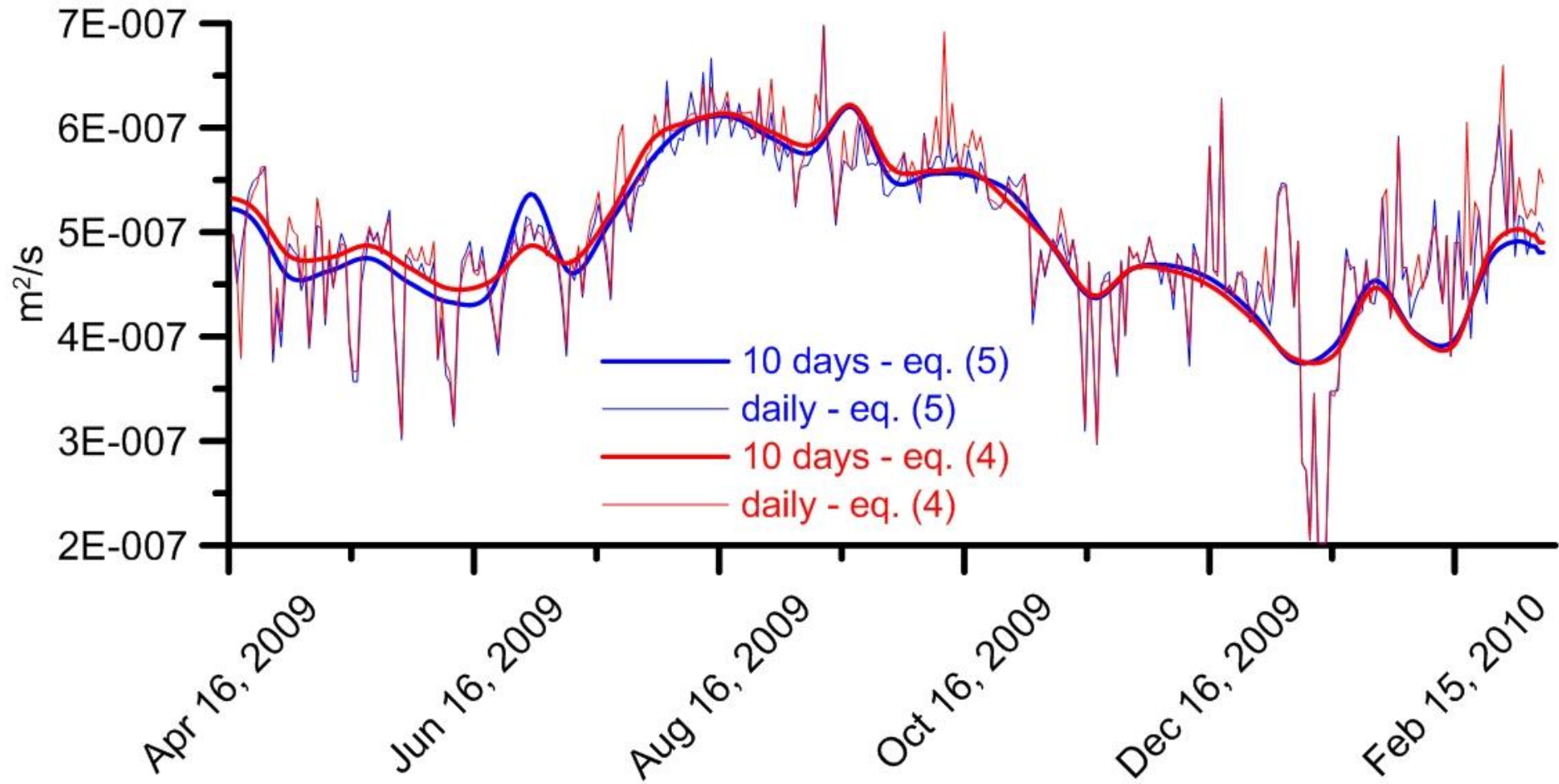
509 Fig. 2

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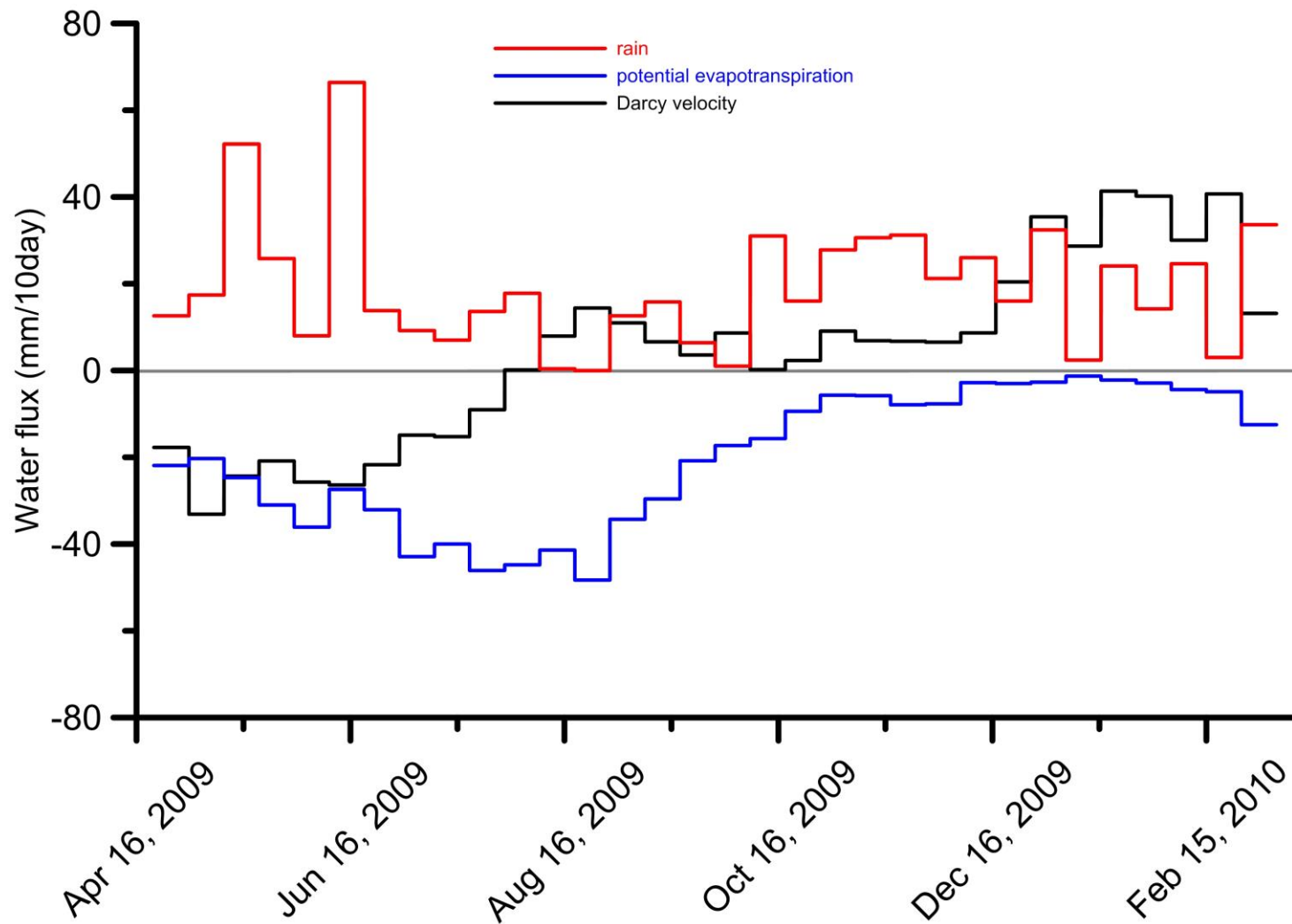
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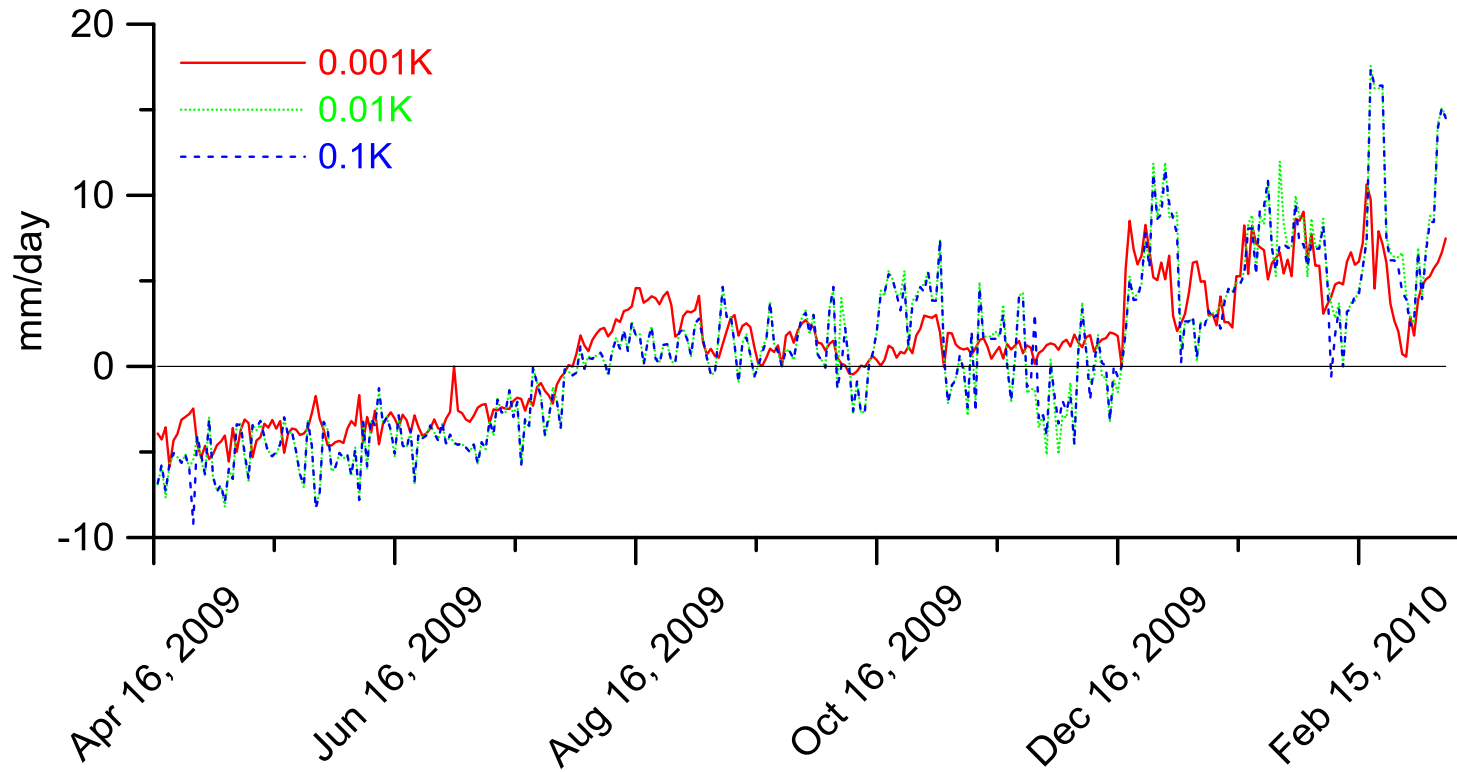
517 Fig. 4



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519 Fig. 5

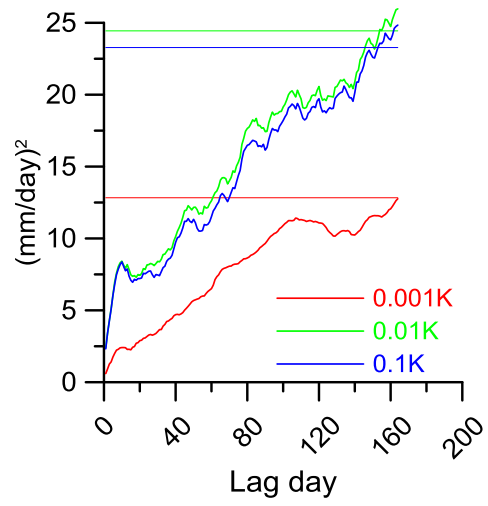
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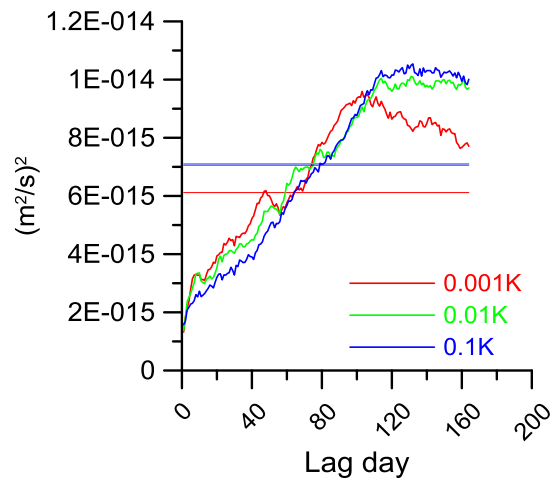
522 Fig. 6a

523



524

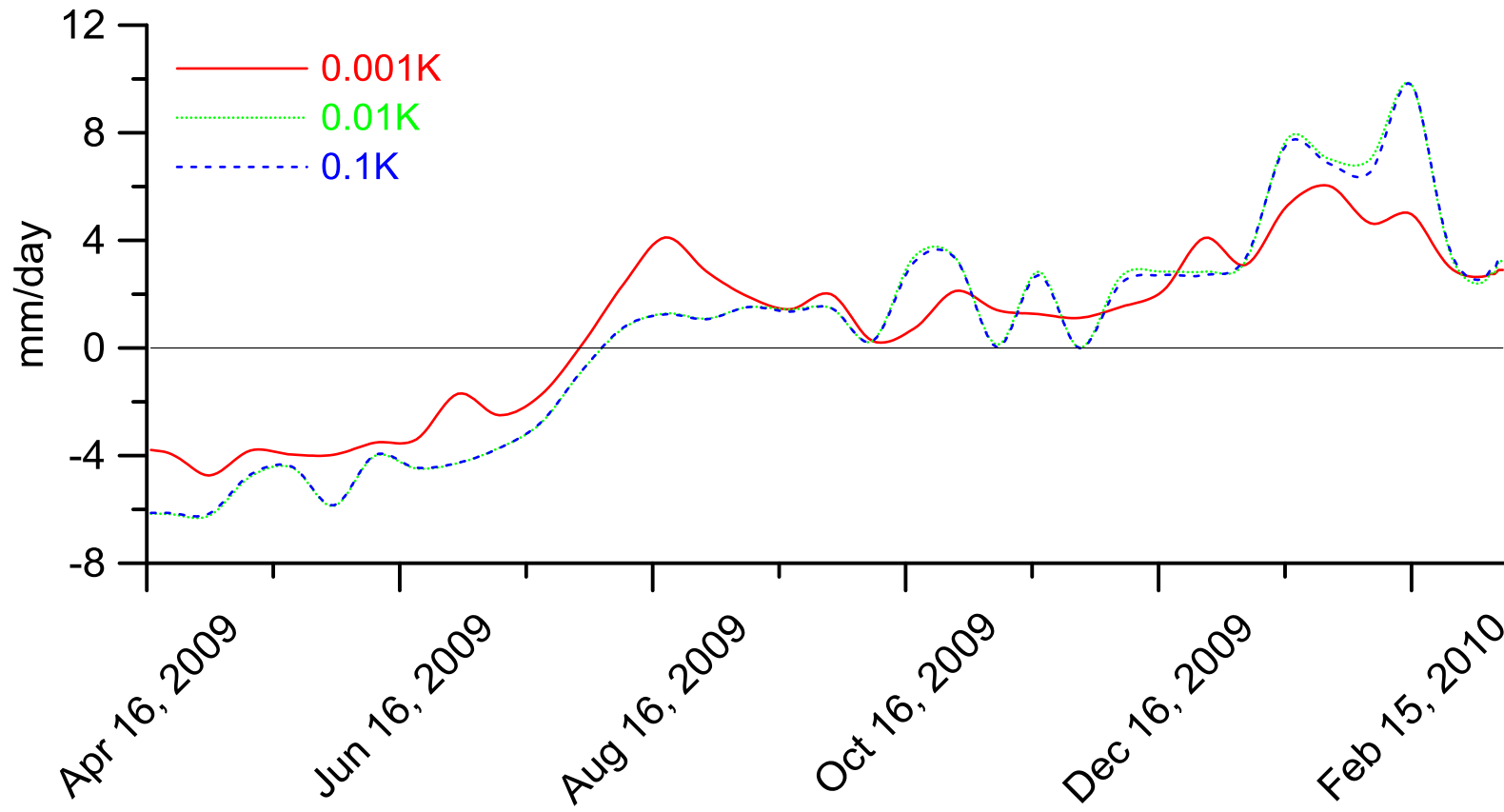
525 Fig. 6b



526

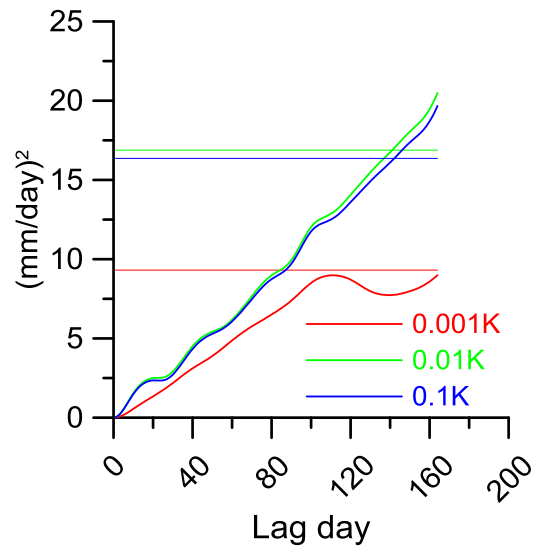
527 Fig. 6c

528



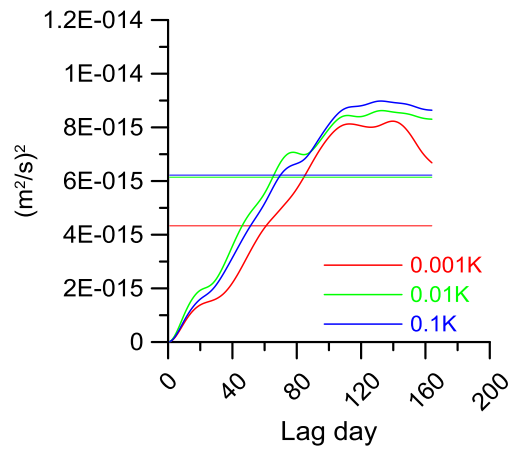
529

530 Fig. 7a



531

Fig. 7b



532

Fig. 7c

533

534

Depths of the three sensors (cm)	Mean quadratic deviations (mm d ⁻¹) by reference to the calculations achieved with 15, 24 and 34 cm depths	
	Eq. (5)	Eq. (4)
14.9, 24, 34	0.160	0.164
15.1, 24, 34	0.163	0.250
15, 23.9, 34	0.289	0.350
15, 24.1, 34	0.265	0.230
15, 24, 33.9	0.112	0.083
15, 24, 34.1	0.146	0.150

535

536 Table 1

537

	Mean (mm d ⁻¹)	Standard deviation (mm d ⁻¹)	Median (mm d ⁻¹)	Interquartile half distance (mm d ⁻¹)
Eq. (4) 15, 24, 34 cm	1.23	3.98	1.36	3.30
Eq. (5) 15, 24, 34 cm	0.996	3.59	1.21	2.81

539 Table 2

Sensors at 15, 24, 34 cm	Global spectrum	First quarter from 0 to 0.125 d ⁻¹	Second quarter from 0.125 to 0.25 d ⁻¹	Third quarter from 0.25 to 0.375 d ⁻¹	Fourth quarter from 0.375 to 0.5 d ⁻¹
Eq. (4)	0.954	0.960	0.109	0.048	0.142
Eq. (5)					

541 Table 3

Eq. (5)	Global spectrum	First quarter from 0 to 0.125 d ⁻¹	Second quarter from 0.125 to 0.25 d ⁻¹	Third quarter from 0.25 to 0.375 d ⁻¹	Fourth quarter from 0.375 to 0.5 d ⁻¹
15, 24, 34 cm and 18, 24, 32 cm	0.976	0.980	0.506	0.188	0.406

543 Table 4