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## Microscopic analysis of AgCl polymorphism

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**Abstract** A detailed theoretical study of the structural properties of the phases involved in the pressure induced polymorphic sequence of AgCl is reported. As many other binary ionic compounds, AgCl crystallizes in the B1 (NaCl-type) structure at ambient pressure, and shows a high pressure B2 (CsCl-type) structure under pressure. However, unlike alkali halides, there is not a direct transformation from one to the other. The greater polarizability of the silver chloride structure enables the stabilization of two other phases in between B1 and B2: the monoclinic (KOH-type) and the orthorhombic (TII-type, B33) structures. This polymorphic sequence is specially interesting, since a concerted transition pathway within the monoclinic KOH-type symmetry has also been proposed as a transition pathway in the B1→B2 transformation. This would point at a connection between symmetries that are thermodynamically and kinetically stabilized, with phases that appear under pressure being good candidates for mechanistic analyses. Our calculations yield cell parameters and structural behavior under pressure in overall good agreement with available experimental data for all these phases and extend the information previously observed for the four polymorphs (e.g. compressibilities). Computed transition pressures confirm the B1→KOH-type→B33 sequence. In order to analyze the occurrence of the KOH-type phase between the B1 and B33 phases, we have resorted to the Atoms In Molecules approach to obtain the evolution of charges with pressure. We find that the descent to the KOH-type symmetry

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provides a continuous change in ionic charges between B1 and B33 phases, which implies a smaller stress. Thus, coupling group-subgroup relationships to chemical bond analysis can provide microscopic insight into why a given symmetry is favored.

## 1 Introduction

Since pressure can introduce significant changes in the stability of phases, it is most useful in the synthesis of novel phases and metastable materials. Pressure allows precise tuning of a fundamental parameter, interatomic distance, which controls the electronic structure and virtually all the interatomic interactions that determine materials properties. With pressure tuning, properties can often be more rapidly and cleanly optimized than with chemical tuning, which necessitates the synthesis of a large number of different materials and can induce disorder, phase separation, and other undesirable effects.

Pressure tuning is therefore a useful tool in the search for new solid state materials with enhanced properties. This requires the comprehension of the inherent link between the macroscopic behavior of materials and the microscopic electronic organization. An in-depth understanding of the relationship between chemical structure and macroscopic behavior holds the key for rationalizing the design of new synthetic routes addressing a certain property. However, this requires the prediction of the stable phase at a given pressure-temperature condition. Evolutionary algorithms and metadynamics have provided an incredible advance in this direction.[1–3] However, the composition and a test number of atoms needs to be provided in advance.

Moreover, the prediction of the stable phase of a solid does not provide insight into why a particular phase is the favored one. As pointed out by Hemley, “a fundamental yet empirically useful understanding of how pressure alters the chemistry of the elements is lacking”. [4] In this sense, analysis of polymorphic sequences can help to understand the factors that determine phase stability, as well as the enthalpic equilibrium between two phases at the transition pressure. Recently, the analysis of polymorphic sequences has been proposed as a way to get insight even into kinetic terms. It has been proposed that high pressure phases are good candidates for intermediates in martensitic mechanisms.[5,6] Thus, it becomes interesting to understand the polymorphic sequence of a solid and its link with its interactions at an atomic level.

Probably the most common polymorphism in binary compounds is the B1→B2 transition, undergone by most alkali halides, and which has been thoroughly studied by one of our institutions.[7–11] Except for Cesium halides, alkali halides crystallize in the B1 phase under ambient conditions, and the transition towards the B2 phase is known to happen for potassium and rubidium halides, as well as for NaF and NaCl. The transition of NaBr and NaI at 27 and 35 GPa, respectively, are less clear. Léger *et al.* [12] have proposed a TII-type (B33) orthorhombic structure. Calculations by some of the authors

have disentagled the origin of the preference of the B33 phase over the B2 as due to the greater stability provided by the anionic polarizability in these compounds.[13] This proposal agrees with the appearance of the B33 phase in the polymorphic sequence observed in AgCl under pressure. Already in 1995 Kusaba et al detected the transformation of the ambient B1 phase in AgCl towards a monoclinic  $P2_1$  phase at ca. 6 GPa.[14] This phase transforms into the B33 at ca. 13 GPa. Further compression along with heating finally leads to the B2 phase (17 GPa, 473 K).[14] Later X-Ray data corrected the monoclinic group from  $P2_1$  to  $P2_1/m$  and provided numerous measures under pressure of phases B1,  $P2_1/m$  (or KOH-type) and B33, which enable comparison with theoretical prediction.[15] Indeed, these transitions were confirmed from theoretical calculations by Catti et al.[16]

Whereas the above cited alkali halides and their microscopic understanding is mainly governed by ionic interactions, the structure of more polar compounds such as AgCl is also dictated by other energetic terms and important covalent (exchange) contributions. This leads to a more complex polymorphic behavior, whose understanding can help building up the incomplete chemical intuition/laws under pressure. Silver halides constitute a big family of ionic, yet polar compounds. The appearance of the B33 phase in the polymorphic sequence of AgCl along with the possibility of using the  $P2_1/m$  symmetry to describe the various phases ( $B1$ ,  $B33$  y  $B2$ ) has recently been highlighted in the description of the transition mechanism from the B1 to the B2 phase.[17–19] The  $P2_1/m$  symmetry has also been used to evaluate the mechanism in the B1→B2 transformation in NaCl[19] and AgCl.[16] With this in mind, it becomes interesting to analyze the thermodynamic aspects of the polymorphic sequence in AgCl and try to explain the evolution of the underlying chemical transformations, which has not yet been provided. Therefore, it is the main purpose of this paper to contribute to the microscopic understanding of the pressure induced polymorphism of AgCl.

The rest of the paper is organized as follows. Firstly, we introduce the computational details. Then the results and discussion section is divided into three subsections, which are devoted, respectively, to (i) the evaluation of the cohesive properties of each phase, (ii) the calculation of phase stability ranges and transition properties, and (iii) the analysis of the evolution of bonding upon pressurization of each of the phases. The conclusions drawn from these results are summarized in the last section.

## 2 Computational details

All quantum-mechanical calculations have been performed thanks to the VASP code (Vienna *ab initio* simulation package),[20] which solves the density functional theory (DFT) equations by means of plane waves and pseudopotentials with the implemented projected augmented-wave formalism.[21] Within DFT, the electronic exchange and correlation are treated by using the generalized gradient approximation (GGA), in agreement with previous studies on the

B1 phase of AgCl where the well-tested PW91 functional [22] proved to work better than other local density approximation functionals.[23] Convergence in computational parameters (cutoff energy ( $E_{cut}$ ),  $k$ -points sampling, threshold energy in the self-consistent procedure) were thoroughly and independently checked in the B1 phase due to the high precision required in the analysis of relative stabilities. As a result, the Monkhorst-Pack  $k$ -points generation scheme was used with a  $8 \times 8 \times 8$  grid (or analogous, according to the corresponding cell symmetries) in the first Brillouin zone.  $E_{cut}$  was set to 350 eV and the energy convergence to  $10^{-3}$  eV. The optimization of the geometry at each volume was performed via a conjugate-gradient minimization of the total energy, using Hellmann-Feynman forces on the atoms and stresses on the unit cell. For the final energy calculation of the optimized crystal structure, the tetrahedron method with Blöch correction was applied.

The resulting total energy-volume per formula unit ( $E_i, V_i$ ) calculated points of all AgCl polymorphs were described by means of numerical and analytical standard equations of state implemented in the GIBBS program.[11] Under the static approximation, zero temperature and zero point vibrational contributions neglected, the Gibbs energy reduces to the enthalpy ( $H = E + pV$ ) and this thermodynamic potential was evaluated to determine pressure ranges of stability of B1, KOH-type, B33, and B2 phases.

The topology of the electron density was calculated from the charge density obtained with VASP coupled to the CRITIC2 program [24] which enables both critical point location and basin integration. Relative errors in charge and volume integrations account to a maximum of  $10^{-6}$  and  $10^{-4}$  a.u., respectively.

### 3 Results and discussion

#### 3.1 Cohesive properties

The conventional unit cells of the B1, KOH-type, B33, and B2 structures belong, respectively, to the  $Fm\bar{3}m$ ,  $P2_1/m$ ,  $Cmcm$ , and  $Pm\bar{3}m$  space groups. The unit cell length  $a_c$  is the only parameter needed to describe the cubic B1 and B2 structures, whereas  $a_o$ ,  $b_o$ ,  $c_o$ , and the  $y_o$  coordinates of Ag and Cl determine the unit cell geometry of the orthorhombic B33 phase. For the KOH-type polymorph, cell parameters  $a$ ,  $b$ ,  $c$ , the cell angle  $\beta$ , and the  $x$  and  $z$  coordinates of Ag and Cl are needed to characterize its structure.

It is important to note that the polymorphs involved in the AgCl polymorphic sequence can be represented with a single common space group. Indeed, the monoclinic  $P2_1/m$  space group of the KOH-type phase is a maximal common sub-group of all the other structures. Therefore, the conventional cells of B1, B33, and B2 structures can be understood as particular cases of the monoclinic  $P2_1/m$  cell.[16,25]

This relationship will be specially interesting when comparing phases. Hence, transformations of the cell parameters and atomic positions from the reference (conventional) cell to the monoclinic one are collected in Table 1. Multiple

**Table 1** Cell parameters in the monoclinic reference frame in terms of the cubic ( $c$ ) and orthorhombic ( $o$ ) ones for the B1, B2 and B33 structures. Please note that several equivalences do exist for some of the cells.

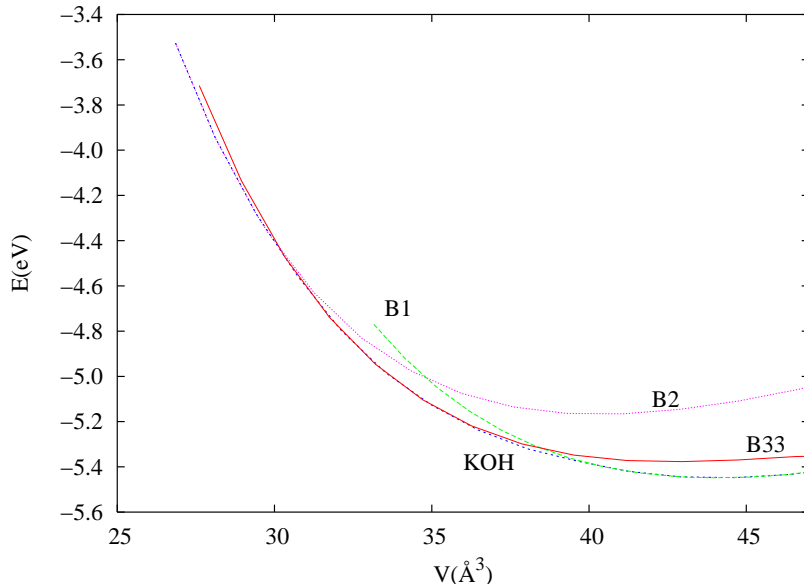
	$Fm\bar{3}m$	$Cmcm$	$Pm\bar{3}m$
	$a_c$	$a_o, b_o, c_o$	$a_c$
$a$	$a_c/\sqrt{2}$	$a_o$	$a_c$
$b$	$a_c/\sqrt{2}$	$c_o$	$a_c\sqrt{2}$
$c$	$a_c$	$b_o/(2\sin\beta)$	$a_c\sqrt{2}$
$\beta$	$90^\circ$	$90^\circ + \tan^{-1}(a_o/b_o)$	$90^\circ$
$x_{Ag}$	0.25	$y_{Ag,o}$	0.00
$z_{Ag}$	0.25	$2y_{Ag,o}$	0.25
$x_{Cl}$	0.25	$y_{Cl,o}$	0.50
$z_{Cl}$	0.75	$2y_{Cl,o}$	0.75

equivalent coordinate transformations are available for the B1, B33, and B2 phases. Our selection has only been made on the basis of the similarity between adjoining phases and of the reduction of computational time in our geometrical optimization strategies.

We have performed extensive total energy calculations for the four AgCl polymorphs at selected volumes around  $V_0(\text{exp}) = 42.65 \text{ \AA}^3$ , the observed value of the B1 phase at ambient conditions.[15] Our strategy started with a full geometrical optimization of the monoclinic unit cell in a volume range from about  $26 \text{ \AA}^3$  to  $50 \text{ \AA}^3$ . Due to the large number of parameters involved in the energy minimization of the KOH-type unit cell ( $a, b, c, \beta, x_{Ag}, z_{Ag}, x_{Cl}, z_{Cl}$ ), several numerical problems have been observed. As an example, we found difficulties to locate the absolute energy minimum at volumes in the neighborhood where the higher symmetry structures display similar energies. Then, we decided to recalculate ( $E_i, V_i$ ) points for the B1, B33 and B2 structures using: (i) the monoclinic cell but taking into account the geometrical constraints imposed by the cubic and orthorhombic lattices, and (ii) their corresponding conventional cells.

Curves connecting the computed ( $E_i, V_i$ ) points for each polymorph are depicted in Fig. 1. The curve for the KOH-type polymorph overlaps with the curves of the other phases at particular volume ranges. Furthermore, going from high to low volumes, this energetic coincidence follows the sequence B1, B33, and B2, i.e. the same polymorphic sequence found in high-pressure experiments.[14, 15]

Zero pressure structural parameters and energies relative to the B1 phase ( $\Delta E_0$ ) of the four polymorphs are collected in Table 2. Structural data are expressed in terms of the  $P2_1/m$  symmetry following Table 1. Positive  $\Delta E_0$  values for B33 and B2 indicate that our simulations found the B1 phase as the thermodynamically stable phase at ambient conditions, which agrees with the experimental behavior. As discussed above, the KOH-type structure collapses into the B1 phase at this geometry, the slight differences between the structural properties and energies of B1 and KOH-type at zero pressure being due to the difficulty highlighted above of converging to higher symmetry structures. Notice also that, the polymorphic sequence agrees with what is expected from



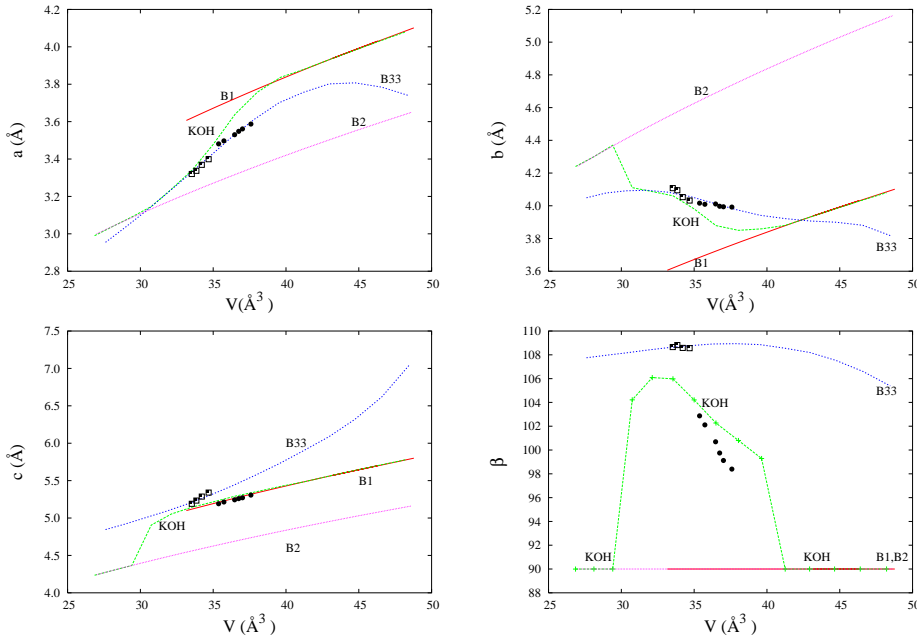
**Fig. 1** Energy-volume curves for the B1, KOH-type, B33 and B2 polymorphs of AgCl according to our GGA calculations. It can be seen how the energy of the KOH-type structure coincides with that of the cubic and orthorhombic lattices at different volume ranges. In the case of B1 and B2 phases, this coincidence is complete as they constitute different representations of the same structure. However, this is not the case for B33 (TII-type), which presents a degeneration with a distorted anti-TII structure.

**Table 2** Zero pressure cohesive properties of B1, KOH-type, B33 and B2 polymorphs according to our static GGA calculations. All structural data are referred to the monoclinic cell.

	B1	KOH-type	B33	B2
$a(\text{Å})$	3.97	3.97	3.80	3.43
$b(\text{Å})$	3.97	3.97	3.91	4.85
$c(\text{Å})$	5.61	5.62	6.05	4.85
$\beta(^{\circ})$	90.0	90.1	108.2	90.0
$V_0(\text{Å}^3)$	44.1	44.3	42.7	40.3
$x_{\text{Ag}}$	0.250	0.249	0.394	0.000
$z_{\text{Ag}}$	0.250	0.250	0.788	0.250
$x_{\text{Cl}}$	0.250	0.251	0.133	0.500
$z_{\text{Cl}}$	0.750	0.750	0.267	0.750
$\Delta E_0(\text{kJ/mol})$	0	0.14	6.83	27.07

the relative  $E$  and  $V$  values of the phases. For example,  $\Delta E_0$  is greater for B2 than for B33.

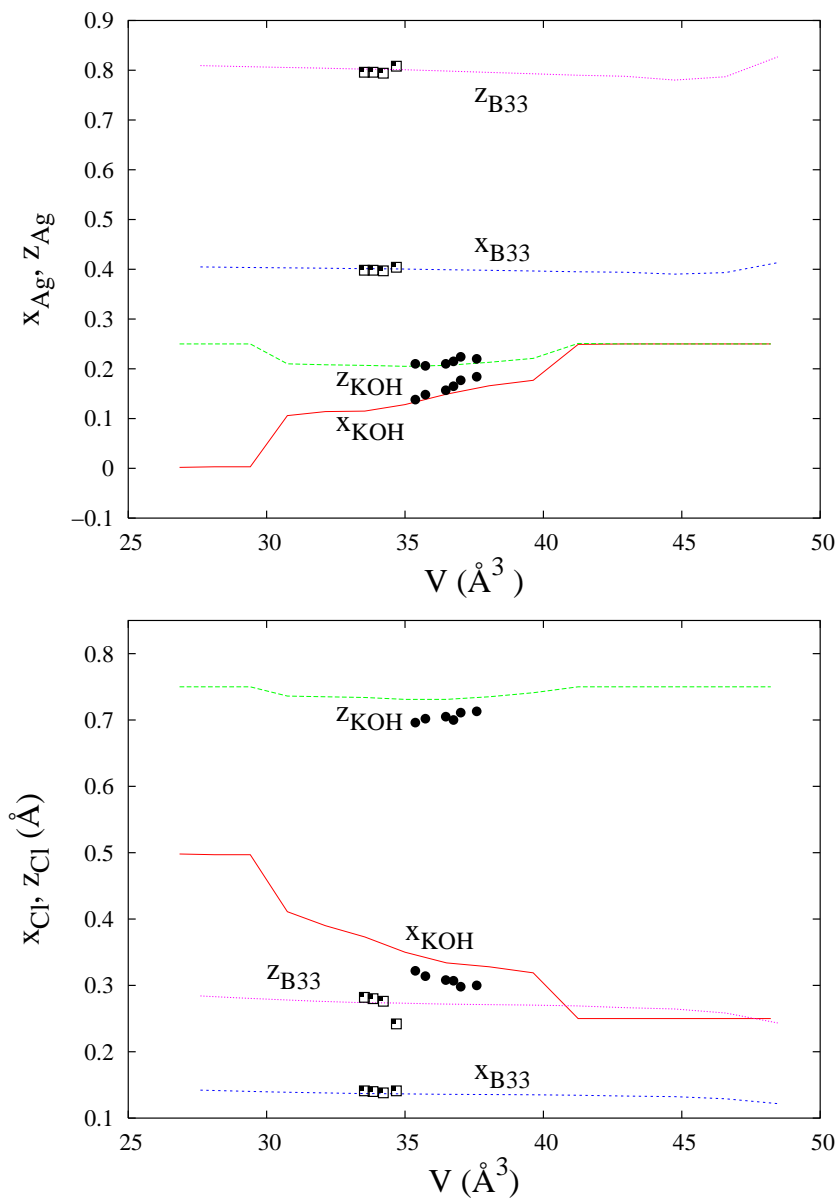
Only the geometry of the B1 phase is experimentally accessible at zero pressure. Our calculated static result  $a_c = 5.61 \text{ Å}$  is very close to the observed lattice parameter  $a_c = 5.546 \text{ Å}$  at room temperature.[15] At increasing pressures, the agreement between our calculated values and the experimental ones of Kusaba *et al.* [14] (in brackets) is also very satisfactory. For example, the



**Fig. 2** Variation of the unit cell parameters with volumen according to our GGA calculations (solid lines) and available experimental data (symbols).[15] Black dots and white squares stand, respectively, for the KOH-type and B33 structures. All data referred to the  $P2_1/m$  unit cell.

cell parameters of the KOH-type structure at 9 GPa are  $a = 3.661$  (3.516),  $b = 3.895$  (3.988),  $c = 5.320$  (5.225),  $\beta = 102^\circ$  ( $101^\circ$ ), and those of the B33 structure at 13.5 GPa are  $a = 3.424$  (3.350),  $b = 10.057$  (9.916),  $c = 4.057$  (4.093) (lengths in Å and angles in degrees). We can extend the comparison to the atomic coordinates of the two non-cubic structures (the other ones having fixed Wyckoff positions). Representative results are depicted in Fig. 3. They include the volume dependence of  $x_{\text{Ag}}$  and  $z_{\text{Ag}}$  coordinates in KOH-type and B33 structures. The calculated lines for the monoclinic structure illustrate the continuous evolution of these coordinates from the B1 limit at high volumes ( $x_{\text{Ag}}=z_{\text{Ag}}=0.25$ ) to the B2 limit at low volumes ( $x_{\text{Ag}}=0$  and  $z_{\text{Ag}}=0.25$ ). In the intermediate range of volumes, the optimized Ag positions are consistent with the observed values of the KOH-type phase.[15] A good agreement between theory and experiment is found if we look at the calculated atomic coordinates in the B33 phase. They show an almost negligible variation in the whole volume range with the experimental values lying very close to the computed curves. An analogous picture has been obtained for the internal coordinates of Cl.





**Fig. 3** Variation of Ag position with volume according to our GGA calculations (solid lines) and available experimental data (symbols).[15] Black dots and white squares stand, respectively, for the KOH-type and B33 structures. All data referred to the  $P2_1/m$  unit cell.

### 3.2 Transition properties

As regards the stability pressure ranges of the four observed polymorphs of AgCl, we have calculated the equilibrium transition pressures ( $p_t$ ) in the static approximation by solving for the pressure that provides the same Gibbs energy ( $H$  at zero temperature) for both phases. Numerical data associated with the phase transitions are provided in Table 3. It can be seen that our results are in overall agreement with previous studies, both in the transition pressures and in the order of magnitude of the cell volume changes at  $p_t$ .

It is interesting to point out that some of the observed transitions involve phases with a group-subgroup relationship. This is the case of the B1  $\rightarrow$  KOH-type and the KOH-type  $\rightarrow$  B33 transformations. Computationally, this fact is reflected by a continuous and soft approaching or divergence of the corresponding energy-volume curves (see Fig. 1) that replaces the usual crossing point associated with first order transitions. This picture could lead to a misunderstanding of the nature of the transitions and to consider that some of them are of second order-type. However, small volume changes are obtained for this kind of transformations at their corresponding transition pressures.

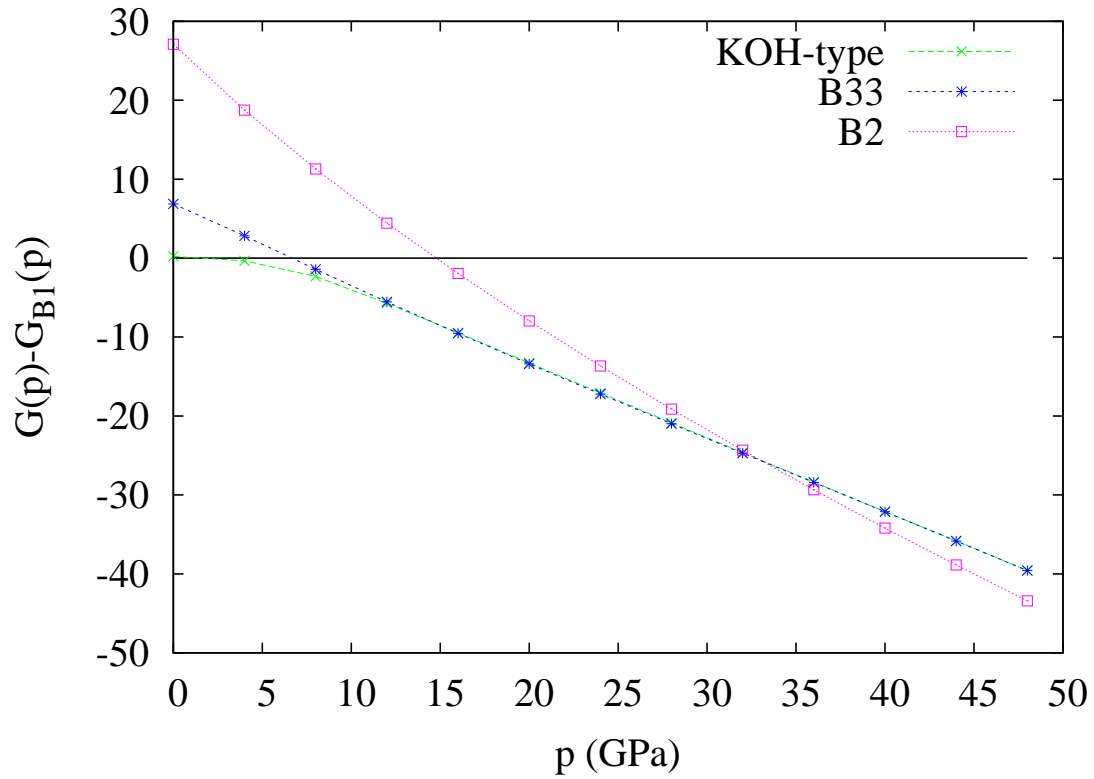
The  $p_t$  value predicted for the B33  $\rightarrow$  B2 is too high, whereas previous static simulations by Catti [16] obtained very similar results to those of Kusaba et al.[14] However, we consider that our prediction should not be taken as anomalous, since the experimental data were obtained at finite temperatures (200 °C) and they point towards a negative Clapeyron slope ( $\frac{dp_t}{dT}$ ). In fact, a linear extrapolation of the experimental data gives an approximate value of 33.6 GPa for the transition pressure at the athermal limit, considerably close to our result.

It should be noted that it has been specially difficult to determine which phase precludes the B2 phase due to the competition between the B33 and the KOH-type phase. According to our calculations the B33 $\rightarrow$ B2 transition would not take place directly. Instead, a slight decrease in symmetry is observed prior to the B2 phase. This possibility was not analyzed in previous simulations[16] and since it falls within the chemical accuracy of functionals, we decided to further dwell on it through the analysis of the chemical changes involved.

### 3.3 Changes in bonding

We have analyzed the chemical changes involved in the pressurization of the phases in order to analyze the role of the KOH-type phase along the B1 $\rightarrow$ KOH-type $\rightarrow$ B33 transition.

In order to quantitatively investigate the evolution in bonding and charge separation, we have carried out the topological analysis of the electron density. This approach, also known as the Quantum Theory of Atoms In Molecules (QTAIM) [27] enables to divide the solid system into its component atoms with well defined volumes. Thus, properties such as charge or volume can be obtained by integration within these volumes.[28,29]



**Fig. 4** Gibbs energy relative to B1 gibbs energy vs. pressure.

**Table 3** Comparison of our theoretical transition pressures and relative volume changes at  $p_t$  with those calculated by Nunes et al.[26] (Cal-N), and Catti [16] (Cal-C) and those experimentally obtained at ambient temperature by Kusaba et al. [14] (Exp-K), and Hull and Keen [15] (Exp-H). For each transition, pressures (GPa) in the first row and percentual relative volumes in the second row.  $^\dagger T=473$  K,  $^\ddagger T=573$  K.  $\star$  Estimated values.

	This work	Cal-N	Exp-K	Exp-H	Cal-C
$B1 \rightarrow KOH$	3.3	-	$\sim 8$	6.6	3.5
	-1.04	-	-3.3 $\star$	-1.6	
$KOH \rightarrow B33$	12.0	-	$\sim 13$	10.8	6.0
	-0.72	-	-1.0 $\star$	-1.9	
$B33 \rightarrow B2$	32.5	-	17 $^\dagger$ -13.5 $^\ddagger$	-	17.7
	-2.22	-	-0.7 $\star$	-	
$KOH \rightarrow B2$	33.6	-	-	-	
	-0.95	-	-	-	
$B1 \rightarrow B2$	14.8	11	-	-	
	-7.29	-7.14	-	-	

Figure 5a shows the resulting charges ( $q$ ) as pressure is applied for Ag and Cl. It can be seen that this approach provides indeed a greater charge separation (ionicity) for the B2 than the B1 phase, in agreement with the expected result. However, this is not the case for the less symmetric phases. In B33, a smaller charge transfer is observed all throughout, and the low symmetry of the KOH-type cell enables a great variation upon pressurization. Results for the KOH-type phase from direct calculation (not leading to high symmetry phases) are shown in order to follow the minimum stress path.

Figure 5a yields two important conclusions that affect polar ionic compounds such as AgCl and why they can present richer polymorphic sequences. On the one hand, the  $P2_1/m$  symmetry not only links the B1 and the B33 phases from the symmetric point of view. It also does so from the chemical point of view. Indeed, KOH-type charges evolve from the ones in the B1 phase at low pressures, to those of the B33 phase as pressure increases. Hence, the descent in symmetry towards the KOH-type phase allows chemical changes to proceed smoothly from the B1 to the B33 phase, instead of doing so abruptly, which would lead to a greater stress. On the other hand, this approach enables to explain the absence of the B33 phase in the polymorphic sequence of more ionic compounds such as most alkali halides. The distortion towards the  $Cmcm$  phase leads to a smaller charge separation, which is only possible in less ionic compounds and/or polarizable ions.

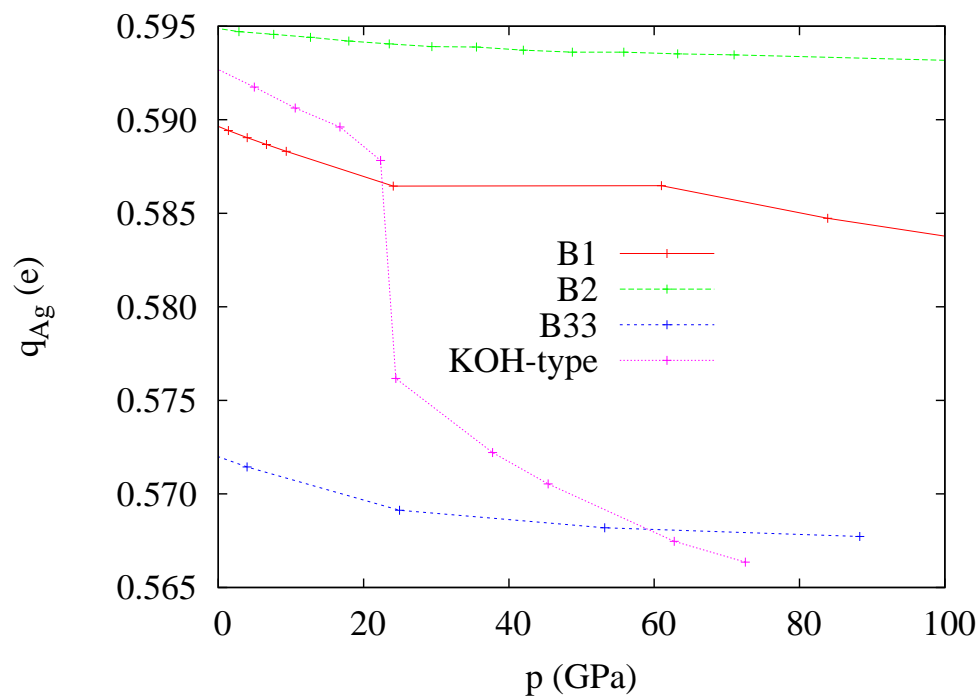
All in all, the descent to the KOH-type symmetry provides a continuous change in atomic charges between B1 and B33 phases, which implies a smaller stress. Thus, coupling group-subgroup relationships to chemical bond analysis can provide microscopic insight into why a given symmetry is favored.

Finally, making use of the results from the integration, we can also analyze how the compression of each phase takes place. Fig. 6a shows the evolution of Bader volumes upon pressurization. It can be seen that the phase volumes correspond to the compression principle expected under pressure that we had already seen in the analysis of zero pressure volumes: B1 volume is greater than B33, and B33 is greater than B2 (see Table 2).<sup>[32]</sup> Again, the KOH-type phase is found to link the behavior of the B1 and B33 phases.

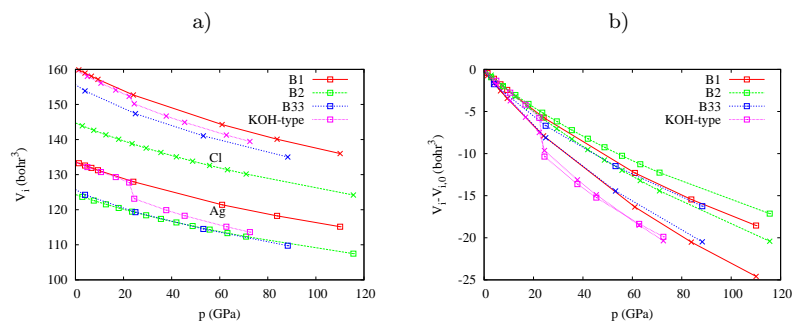
Moreover, the separation into atoms enables to show that in phases B1, B2 and B33 the chlorine atom, softer than Ag, is the one mainly being compressed. This is made clearer in Fig. 6b, where we have plotted  $\Delta V_i = V_{i,p} - V_{i,0}$  for each of the previous phases.

## 4 Conclusions

The structural properties of all the observed polymorphs of AgCl have been determined in good agreement with the available experimental data. We must highlight the optimal agreement of the atomic coordinates, which enable us to consider our computational choices can recover the relative stability of phases along with their transition pressures.



**Fig. 5** Evolution of Bader charges upon compression in the B1, B2, B33 and KOH-type phases.



**Fig. 6** a) Evolution of Bader volumes  $V_i$  upon compression in the B1, B2 B33 and KOH-type phases. b)  $\Delta V_i = V_{i,p} - V_{i,0}$  for each of the previous phases. Ag in squares and Cl in crosses.

Our calculations have reproduced the observed polymorphic sequence of AgCl with reasonable transition pressures and volume collapses, though a competition between the KOH-type and the B33 phases has been observed when transitioning to the B2 phase. The changes in volume at the transition pressures are close to the experimental ones, and in all cases low enough as to expect small energy barriers and hysteresis cycles.

From the Atoms In Molecules point of view, the KOH-type phase is found to lead to a chemical connection in terms of charges between the B1 and B33 phases. Thus, its appearance can be understood as a way to reduce the stress that a direct transformation would imply. With these conclusions, we want to underline the relevance of coupling group theory and chemistry in the analysis of phase transitions.

## 5 Acknowledgements

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