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Surface-induced assembly of sophorolipids†

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Jessie Peyre,^a Ahmed Hamraoui,^{ab} Marco Faustini,^a Vincent Humblot^{id}^c and Niki Baccile^{id}*^a

The surface self-assembly properties of acidic sophorolipids, a bolaform microbial glycolipids with pH-responsive properties in solution, were studied based on the chemical nature of the support and pH of the solution. Sophorolipids generally form micelles in water but formation of morphologies like platelets and twisted fibers depending on pH have also been reported. The surface self-assembly was achieved using dip-coating on three different substrates namely gold, silicon(111) and TiO₂ anatase. Deposition conditions (dip-coating withdrawal speed, relative humidity, temperature) were tested, and it was found that optimum self-assembly occurred at a withdrawal speed of 1 mm s⁻¹, T of 25 °C and relative humidity of 25%. The local structure of the sophorolipid films was characterized by Atomic Force Microscopy, while Scanning Electron Microscopy was used to characterize the spatial homogeneity. We also attempted to correlate dispersive, electron donor and electron attractor surface energy components, using Good–Van Oss's approach, and the behavior of sophorolipids. We found that when the surface energy is dominated by dispersive components, sophorolipids spontaneously assemble into entangled needles at all pH values (4, 6 and 11). However, when the surface energy is dominated by electronic components, pH has a strong influence on the surface self-assembly. We could discriminate three major organizations: homogeneous layer, isolated aggregates and a two-dimensional network similar to block copolymer surface self-assembly.

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Introduction

Surface patterning has been applied extensively in material sciences fields like superhydrophobicity,¹ understanding wetting and dewetting phenomena,² conceiving lab-on-a-chip materials for biology and medicine,^{3,4} and engineering surfaces with optimal physical properties such as semi-conduction,⁵ light emission⁶ or quantum barrier⁷ or the enhancement of

Raman spectroscopy.⁸ There are two main approaches to obtain patterned surfaces: top-down and bottom-up methods.⁹ The top-down techniques refer to physical or combined physical-chemical modification of a surface; well-known examples are photolithography,^{10,11} soft lithography,^{12–14} electron beam lithography¹⁵ or etching.¹⁶ The bottom-up approach, more recent in time and involving self-assembly, dewetting or a combination of both, has been widely explored due to its low cost and no involvement of large-scale apparatus. Block copolymers have been intensively explored as they are known to assemble spontaneously on planar surfaces or when constrained as thin films.^{17–20} Modification of hydrophobic/hydrophilic block ratio,²¹ or the chemical nature of one block,²² are well-known methods to change the wettability of a surface and influence the surface pattern. Even though these approaches are well-established, drawbacks exist in terms of tedious process involved in controlling homogeneity²³ and the necessity of solvent and temperature annealing.^{24–27} Although it is difficult to control large-scale and local order simultaneously,²³ considerable studies are available on multi-scale patterning achieving both chemical affinity between copolymers and controlling the evaporative self-assembly.²⁸

The phase diagram of ionic surfactants is less rich compared to that of block copolymers, and they are less resistant to rinsing. They are an interesting alternative as their liquid–solid

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† Electronic supplementary information (ESI) available: Scheme of the area was scanned by AFM and SEM techniques. AFM images of the control silicon, TiO₂ and gold surfaces, SEM images for dip-coated solutions of sophorolipids and two reference molecules (SDS and APG) at different pH, dip-coated solutions of sophorolipids at different temperatures and different humidity rate on silicon samples were obtained. SEM images were registered for dip-coated solutions of sophorolipids at different pH on gold and titanium dioxide samples; AFM images of surface self-assembly of the sophorolipids onto silicon at different temperatures were also obtained. The area of aggregates was observed at pH 6; values of contact angles were measured for each surface with milli-Q water, diiodomethane, formamide and glycerol and the values of surface tension components were relative to each solvent. See DOI: 10.1039/c7cp01339f

1 interfacial properties like fingering instabilities have been
known since decades. The fingering instabilities originate from
the presence of surface tension gradients across a thin liquid
film of uniform height, which induces shear stress at air-liquid
5 interface and drives the liquid from areas of low surface tension
to areas of high surface tension. This results in height variations
of the interface: the Marangoni flow.^{29,30} In particular, the
impact of spontaneous self-assembled monolayer formation on
macroscopic phenomena like dewetting, driven by autophobic
10 effect is of great interest.³¹ The latter happens when a
cationic surfactant is attracted by a negatively charged surface,
thereby, exposing the hydrocarbon tail outwards, an event which
induces a local increase in the contact angle and consequently
poor wettability.³²⁻³⁵ Dewetting of surfactant solutions
15 originate from this effect, although other mechanisms and more
complex local phenomena involving aqueous film thinning due
to local inward or lateral water flows have been described.³⁶
Insights into the dewetting phenomena in surfactant solutions
can be of major interest to control surface structures at different
20 scales, as shown by Zelcer *et al.* in the formation of meso-
macroporous silica thin films.³⁷ In a recent study, we showed
the possibility of using microbial sophorolipid biosurfactants to
prepare meso-macroporous silica thin films, where the macro-
porosity was probably due to a dewetting phenomenon caused
25 by the presence of a sophorolipid.³⁸ Due to poor control of the
dewetting phenomena, the behaviour of a sophorolipid at the
liquid-solid interface had to be studied in detail.

In this study, we wanted to explore surface-patterning effects
of a functional lipid amphiphile bearing pH-responsive car-
boxylic acid group and show that surface patterning can be
induced by both pH and chemical nature of the surface. Small
lipids have the advantage of rapid self-assembly without the
requirement of additional annealing processes. We have shown
in the present investigation that surface patterning can be
35 obtained in water using dip-coating as a simple and fast
deposition technique as it allows to control the physico-
chemical parameters such as temperature and relative humid-
ity, compared to spin-coating. The experiments have been
performed using sophorolipids,^{39,40} a class of microbial glyco-
lipids obtained from yeasts.⁴¹⁻⁴⁵ They have been studied for the
last 20 years because of their low carbon footprint and their
extensive applications as antibacterial and antifungal agents,^{46,47}
activity against cancer cells,^{48,49} cosmetic products^{50,51} and
biosurfactants.⁵²⁻⁵⁴ The solution properties of non-acetylated,
45 monounsaturated, acidic sophorolipids (SL, see Fig. 1), and
in particular their pH-responsive self-assembly have been shown
earlier.⁵⁵⁻⁵⁷ It has also been established that the structure of the
molecules greatly influenced the self-assembly.⁵⁸ Acidic mono-
unsaturated sophorolipids form ellipsoidal micelles in water in a
50 very broad pH range (from pH 11 to pH 3),⁵⁹ although at basic
pH, formation of nanoplatelets is also observed.⁶⁰ We also
reported the micellar structure and their surface charge induced
by pH.^{61,62} The same molecules were also reported to form giant
ribbons.^{55,58} We have recently shown that the micelle-ribbon
55 duality depends on purity; a standard non-acetylated, mono-
unsaturated, acidic sophorolipid batch forms micelles, unless it is

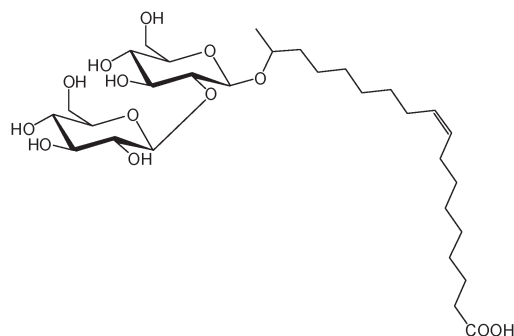


Fig. 1 Non-acetylated, monounsaturated, acidic form of C18:1 sophorolipid.

contaminated with 15 wt% of the saturated congener, which
induces the ribbon formation.⁶³ In terms of surface-adsorption
properties of the sophorolipids, only alkyl ester derivatives have
been explored, which nonetheless have shown adsorption on
alumina being two orders of magnitude higher than on silica
beyond a solution concentration of 10^{-4} M.⁶⁴

The surface self-assembly and patterning ability of an aqueous
micellar solution of non-acetylated, monounsaturated, acidic
sophorolipids have been shown in the present investigation.
We have investigated both the pH influence and the crucial role
of the underlying surface (silica, gold, titanium dioxide-anatase
structure). Moreover, to the best of our knowledge, a connection
between the behaviour of the sophorolipid solution and the surface
energies of the selected materials has been established. To the best
of our knowledge, this link has not been proposed in earlier studies
investigating the liquid-solid properties of surfactant solutions.

Experimental

Materials

Silicon wafers (polished) were purchased from MEMC Ipoh and
gold wafers were purchased from Arrandee (Werther, Germany).
Sophorolipids have been purchased from Soliance (France) and
hydrolyzed in alkaline medium. pH was lowered to about 4.5 to
obtain an open acidic form. They have been recovered using
method 1 as reported in a previous study by Baccile *et al.*⁶⁵ Their
purity has been evaluated at about 90% of both terminal and
subterminal C18:1 congener and their equilibrium state in water
is micellar as described earlier.^{56,62,66} Sodium dodecyl sulfate
(SDS) has been purchased from Aldrich and used as received.
C10-Alkylpolyglycoside (APG, commercial name: Oramix) was
provided by SEPPIC (France). All the solvents obtained from
Sigma-Aldrich were used as received.

Preparation of samples

Silicon(111) wafers. The wafers were cut using a diamond pen,
washed with absolute ethanol and then air dried just before dip-coating.

Titanium oxide (anatase) samples. The synthesis of the TiO₂
anatase thin film has been adapted from a previous study;

1 however, the addition of a porogen was excluded.⁶⁷ First, the
silicon wafers were washed with ethanol and dried. A dilute
solution of Ti5E (5 mol of TiCl_4 + 5 mol EtOH) was used. In
order to obtain a homogenous, dense layer of TiO_2 , we used the
5 following solution: 9.422 g of EtOH + 0.430 g of Ti5E + 0.147 g of
 H_2O . Silicon wafers were then dip-coated in this solution at a
speed of 1.62 mm s^{-1} , temperature of 20°C and a humidity rate
less than 5%. After coating, the samples were calcined at 300°C
10 for 1 hour. The presence of anatase phase was controlled based
on the presence of the (101) reflection in the XRD pattern.

Gold samples. The surfaces constituted of glass substrates
(11 mm \times 11 mm), coated successively with a 50 Å thick layer of
chromium and a 200 nm thick layer of gold. The gold coated
substrates were annealed in a butane flame, to ensure good
15 crystallinity of the top-most layers, and rinsed in a bath of
absolute ethanol for 15 min before adsorption.

Preparation of solutions

20 Six different solutions were prepared using sophorolipid powder.
Three of them were made at 5 mg mL^{-1} and three more of them
at 50 mg mL^{-1} . To obtain a pH 4 solution, adjustments were
made with HCl solution at 1 mol L^{-1} , and for pH 11 solution,
NaOH solution at 1 mol L^{-1} was added. The solutions were
referred to as X, Y, where X is the concentration in mg mL^{-1} and
25 Y is the pH value: 5.4; 5.6; 5.11; 50.4; 50.6 and 50.11. All solutions
were clear except for the 50.11 solution, which was slightly
turbid, the reason for which has been reported elsewhere.⁶⁰

Dip-coating

30 The dip-coatings were achieved using dip-coating equipment
“ACEdip” from Solgelway (www.solgelway.com). If non-
specified, all dip-coatings were made at 20°C with a humidity
rate of 25% and a speed of 1 mm s^{-1} .

Characterizations

35 AFM images of dried surfaces were recorded using a MultiMode 8-
HR AFM microscope from Bruker Instruments Inc. To avoid tip
and sample damages, topographic images were taken in the QNM
Air mode. We used the SCANASYST-Air tips from Bruker (resonance
40 frequency 70 kHz, force constant 0.4 N m^{-1} , tip radius 2 nm).
Images were obtained at a constant speed of 1 Hz with a resolution
of 512 lines and 512 pixels each. The raw data were processed using
the imaging processing software NanoScope Analysis, mainly to
correct the background slope between the tip and the surfaces. The
45 thickness measure errors were obtained after estimating the thick-
ness of the films on three different images obtained from the AFM
experiments – the values presented were mean values and the
errors were calculated as the largest difference between these values
and actual measurements. The thickness was estimated using AFM
50 after gently scratching the film and measuring the depth of the
scratch. The given surface roughness was calculated as the RMS
(root mean square) using a formula (eqn (1)).⁶⁸

$$\text{RMS} = \sqrt{\frac{1}{n} \sum_{i=1}^n y_i^2} \quad (1)$$

1 where n is the amount of equally spaced points along the trace and
 y_i is the vertical distance from the mean line to the i th data point.
The values reported in this study were averaged over the entire
surface using “Image Roughness” function of the Multimode
5 Nanoscope Analysis 1.5 software.

The adhesion signal was obtained simultaneously with the
topographic signal when performing the peak force tapping
mode measurements. The adhesion signal (Young modulus)
was measured by comparing the attraction forces between the
tip and the surface during the approach and retraction phase of
10 the tapping mode. As the cantilever approaches the surface,
initially the forces are too small to give a measurable deflection
of the cantilever, and it remains undisturbed. At some tip-to-
sample distance, the attractive forces overcome the cantilever
spring constant, and the tip jumps to contact the surface. Once
15 the contact is established, the tip remains on the surface as the
separation between the base of the cantilever and the sample
decreases further, causing a deflection of the cantilever and an
increase in the repulsive contact force. As the cantilever is
retracted from the surface, the tip remains in contact with
20 the surface due to some adhesion, and the cantilever is
deflected downwards. Scanning electron microscopy (SEM)
was performed on Hitachi S-3400N SEM operated at 5 keV.
For each sample, we analyzed five images with ImageJ to obtain
the quantitative data. These data have been taken from five
25 images of each sample: one made at the very center of the film
and the four other around this position (as displayed in Fig. S1,
ESI[†]) to avoid the border effect. The surfaces of patches were
calculated from the images in ImageJ and the data presented
were a mean value of what was determined. To estimate the
30 density of patches, the number of patches was determined
using ImageJ,⁶⁹ and the values were extrapolated to get the
estimation on 1 mm^2 area. Contact angle measurements were
executed using a Krüss DSA30 goniometer (in sessile drop
mode). The volume deposited for each solvent was $2 \mu\text{L}$. The
35 images of the drops were recorded using a Stingray F-046 B/C
camera of AVT and the results were analyzed using DSA4
software.

Results and discussion

Effect of pH on sophorolipid surface assembly

40 We have investigated the influence of pH and sophorolipids
concentration upon self-organization on a planar silicon sur-
face. In Fig. 2, we have shown AFM images obtained from the
samples dip-coated in 50 mg mL^{-1} solution, whereas Fig. S1b
(ESI[†]) presents the control, sophorolipid-free, AFM images
acquired at the same resolution. The SEM images of all the
45 samples, displayed in Fig. S2 (ESI[†]), show an area that is 5-
times larger than the one observed using AFM, justifying
homogeneity of the assembly on the surface.

The SEM images of Fig. S2 (ESI[†]) have shown that the surface
organization of the molecules differs depending on the pH of the
50 solution during dip-coating. At pH 4, a continuous organization
within the film and formation of a two-dimensional network was
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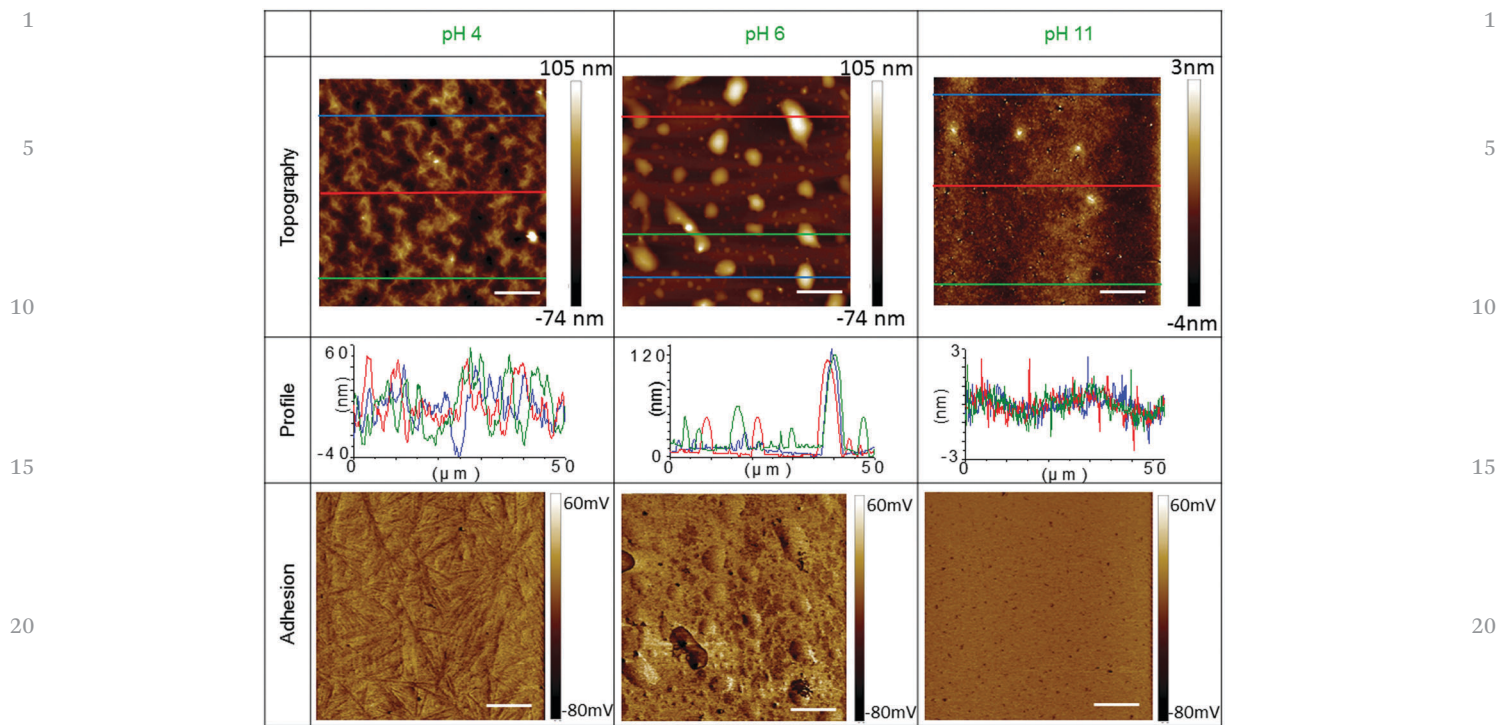


Fig. 2 AFM images from dip-coated solutions (50 mg mL^{-1}) at different pH on silicon samples. Scale bars represent $10 \mu\text{m}$ (withdrawal speed: 1 mm s^{-1}), color scales were same for every pH.

observed. On maintaining pH around 6, at which partial ionization of the fatty acid occurs, isolated aggregates were observed, while at pH 11, the film appears to be homogeneous. AFM measurements have given better insights into each surface pattern. Fig. 2 shows the topography and adhesion images obtained for the solutions dip-coated on silicon wafer at 50 mg mL^{-1} for three different pH values at a withdrawal speed of 1 mm s^{-1} . The topography and profile obtained from those images indicate the network organization and/or the height of the aggregates. At pH 4, the difference of heights in the network ranges from 60 nm to -40 nm . The top-layer appears to be composed of a tight network of intertwined needles, the size of which is estimated to be $7.5 \mu\text{m} \pm 0.6 \mu\text{m}$ in length and $0.6 \mu\text{m} \pm 0.1 \mu\text{m}$ in cross section. The adhesion image has confirmed similar surface chemistry of the entire sample, thereby indicating good chemical homogeneity and no rupture in the sophorolipid film. For comparison, both the height and adhesion images of the bare silicon substrate are given in Fig. S1b (ESI[†]): the image displaying height indicates a standard feature-less substrate, although a closer look (adhesion image in Fig. S1b and zoomed z-profile in Fig. S1c, ESI[†]) shows a highly heterogeneous surface characterized by a number of defects with a roughness $\text{RMS} = 3.13 \text{ nm}$, in contrast to the observation upon deposition of the sophorolipid layer. The AFM image taken at pH 6 exhibits the presence of large aggregates, thereby confirming the SEM data in Fig. S2 (ESI[†]). There are 2 types of aggregates: the larger ones, which can be seen by SEM (dark circles in Fig. S2, ESI[†]), and some smaller ones that appear only after AFM analysis. As observed from AFM, the larger aggregates have an

average height of $200 \text{ nm} \pm 7 \text{ nm}$ and an area around $16 \mu\text{m}^2 \pm 3 \mu\text{m}^2$, while the smaller ones have an average height of $40 \text{ nm} \pm 5 \text{ nm}$ and an area around $3 \mu\text{m}^2 \pm 1 \mu\text{m}^2$. At pH 11, topography and profile have confirmed the homogeneity and smoothness of sophorolipid film (the difference in height goes from -1 nm to 1 nm with small aggregates of $4\text{--}5 \text{ nm}$ height). The topography has given insights into fine organization of the film while adhesion has given information on surface chemistry whereas the surface self-organization differed with the pH of the solution (fibrillar network, aggregates or homogeneous layer). The homogeneous adhesion signals recorded throughout the images have shown that for any pH, the sublayer is always composed of the same compound, which we assume to be the sophorolipid. AFM also gives information about the thickness of the organic layer. It appears that under acidic/neutral conditions, thickness was around $40\text{--}55 \text{ nm}$ ($42 \text{ nm} \pm 1 \text{ nm}$ at pH 4 and $53 \text{ nm} \pm 1 \text{ nm}$ at pH 6), whereas at pH 11, the sophorolipids film was sensibly thicker ($235 \text{ nm} \pm 5 \text{ nm}$).

It has been shown in previous studies that whenever acidic sophorolipids form micelles, they adopt a different organization relative to the pH.^{56,60–62} For $\text{pH} < 5$, sophorolipids form neutral spheroidal micelles,⁶¹ while for $5 < \text{pH} < 7$, micelles interact with one another due to negative charge coming from the partial deprotonation of the carboxylic acid.^{61,62} Finally, for $\text{pH} > 8$, small spheroidal micelles and platelets to some extent are detected using combined SAXS and cryo-TEM.⁶⁰ In some cases, acidic sophorolipids form twisted ribbons under acidic pH conditions.^{55,58} However, this was not the case for the compound used in the present study, which only formed a

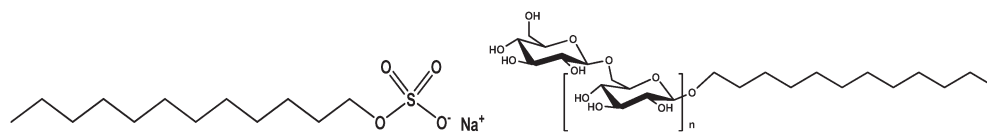


Fig. 3 Schemes of SDS (left) and APG (right).

stable micellar solution. In a recent study,⁶⁶ we have given the conditions for a micellar *vs.* fibrillar stability of sophorolipids in water at acidic pH. We were able to show that a standard batch of acidic non acetylated monounsaturated sophorolipid generally formed micelles in water, unless 15 wt% of the saturated form contaminated the batch. Fibers were also observed for highly pure (100%) samples.

In order to show that the pH-dependent surface self-organization effect is truly attributable to the pH-dependent self-assembly properties of sophorolipids, we performed similar control experiments using two amphiphiles (Fig. 3) with no pH-responsive behaviour but with similar structural features found in sophorolipids; sodium dodecyl sulfate (SDS) has a long aliphatic chain and a negatively-charged sulfate polar headgroup, and thus mimics the carboxylate group of sophorolipids in the neutral/basic pH region. A commercial alkylpolyglycoside (APG) amphiphile bearing C10 hydrocarbon chain has also been used to simulate the behavior of sophorolipids in neutral/acidic domain, which was more sensitive to the presence of sophorose headgroup than to the carboxylic acid. The experimental conditions for the surface self-assembly were kept the same (use of Si(111) wafer, and 3 solutions for each amphiphile prepared at pH 4, 6 and 11).

Fig. S3, in the ESI,[†] compares the surface self-organization properties of sophorolipids, SDS and APG at different pH values for initial concentration of solution as 50 mg mL⁻¹. The organization of SDS and APG was not influenced by the pH of the solution. For every pH value, SDS assembled into organized film of filaments, whereas at pH 4, the filaments appeared to be packed tightly together when compared to that at basic pH. This organization was consistent to a previous study conducted by Bernardes *et al.* on mica⁷⁰ and has shown that at high concentration over 10

mM, *i.e.* around 30 mg mL⁻¹, SDS formed no dewetting pattern, rather formed a thick and dense deposit. In any case, pH does not seem to affect the nature of the surface structure. On the other hand, APG formed homogeneously distributed isolated patches rather than a fibrous deposit. Their average surface area was 7.7 μm² ± 1.7 μm². It was interesting to note that at basic pH, structure of an APG film seemed to be more densely packed (about 16 200 patches per mm²) than at acidic pH (about 4000 patches per mm² at pH 4), but the overall surface organization was the same at all pH values. These two tests performed on control molecules confirmed that the evolution of sophorolipids self-assembly onto silicon wafer arises from the pH-dependence of self-assembly properties of this molecule,⁵⁶ and that these were not artifacts generated by possibly different wettability of silicon under different pH conditions.

Previous studies by Faustini *et al.*⁷¹ have shown that experimental conditions are critical during dip-coating, and in particular the withdrawal speed (ws): at very low and very high ws, 0.01 mm s⁻¹ and 10 mm s⁻¹ respectively, the thickness of the film was supposed to be the highest, while a speed in the range 0.1–0.5 mm s⁻¹ should help to achieve the thinnest film deposition. Initial experiments were performed at 25 °C with a relative humidity of 25% and a ws of 1 mm s⁻¹. Effect of ws, temperature and humidity during the dip-coating process were then investigated. Fig. 4 has shown SEM images of a film deposited from acidic sophorolipids obtained at three different speeds (at pH 4 and pH 6). The SEM images obtained at standard 1 mm s⁻¹ have also been displayed for comparison purposes.

The SEM images show that the nature of surface assembly obtained after dip-coating does not depend on the speed. At pH 4, a two dimensional fibrous network was obtained for each

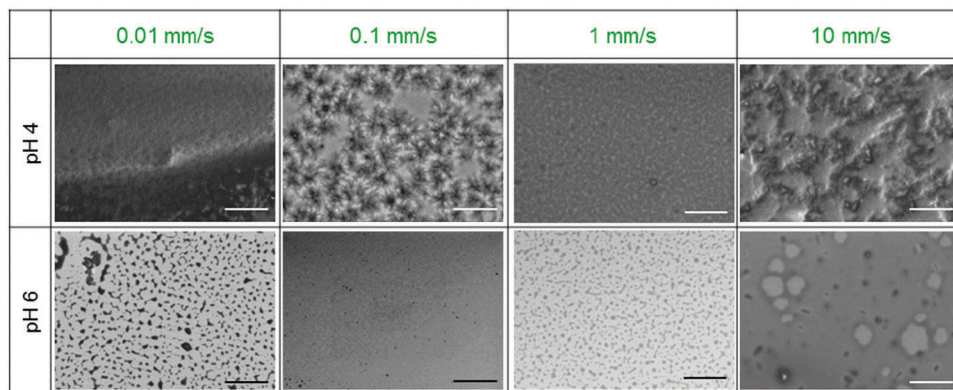


Fig. 4 SEM images indicating the influence of dip-coating withdrawal speed on the surface assembly of sophorolipids on silicon samples. Scale bars represent 50 μm.

1 speed, whereas the main difference occurred due to the thick-
ness of the film. For intermediate speed, 0.1 and 1 mm s⁻¹, the
film obtained with solution of 50 mg mL⁻¹ was 2.1 ± 0.5 nm
and 1.4 ± 0.2 nm thinner, respectively than the film retrieved at
5 0.01 mm s⁻¹ with a thickness of 4.3 ± 0.5 nm. On contrary, at
pH 6, isolated aggregates were observed for all ws tested but the
average area of those aggregates differed; at 0.01 mm s⁻¹, the
mean area was around 17 μm² (with a large distribution from 1
to 30 μm², Fig. S4a, ESI†), while at 1 mm s⁻¹, the average area
10 was 12 μm² (with a tight distribution from 1 to 10 μm²) (Fig.
S4b, ESI†). Based on SEM images, surface density of the patches
can be estimated. At 0.01 mm s⁻¹, the average density was
found to be 2825 aggregates per mm². At 0.1 mm s⁻¹, the
average density was determined as 910 aggregates per mm²,
15 and at 1 mm s⁻¹, the average density was 11 000 aggregates per
mm². At a very low speed (0.01 mm s⁻¹), the film formation was
ruled by the capillary regime wherein solvent evaporation was
faster than the motion of the drying line.⁷¹ The film was then
thick and the molecules could adopt less energetic organization
20 on the surface. On the contrary, at high withdrawal speed
(10 mm s⁻¹), the film formation was governed by the draining
regime. This phenomenon can be described by Landau–Levich
equation to predict the film thickness.⁷² It has been demon-
strated that the presence of surfactants in an aqueous solution
25 during dip-coating led to thicker films (thickness 60 percent
higher with surfactants in solution).⁷³ The sophorolipid film
obtained within the draining regime was thick and rough; the
latter phenomenon being due to a competition between adhe-
sion and gravity during the dip-coating process. Moreover, it
30 was complicated to have a good control on the film formation
in water because of the high surface tension of this solvent.⁷¹
Therefore, at high ws, we cannot control the self-assembly. We
have observed large and inhomogeneous patches but they were
composed of fibrillar domains at pH 4, while aggregates
35 persisted on the surface at pH 6. In summary, using a with-
drawal speed of 1 mm s⁻¹ was found to be a good compromise
between optimal film thickness and the control of self-
assembly on the surface.

The influence of temperature and humidity rate was also
40 investigated with two supplementary experiments. In the ESI,†
we have compared the SEM images obtained at pH 4 and pH 6
at 25 °C and 66 °C (Fig. S5, ESI†), while in Fig. S7 (ESI†) we
compare the effect of relative humidity (RH) 25% *versus* 96%, at
constant temperature (24 °C). At 66 °C a faster drying process
45 was expected; however, at the chosen withdrawal speed 1 mm
s⁻¹, we did not find significantly different surface self-assembly
effects. At pH 4, the system was still fibrous. Their presence was
confirmed by AFM adhesion images (Fig. S6, ESI†). At pH 6,
temperature does not affect the nature of the surface aggre-
50 gates, which can be assumed as micron-sized spheroidal
domains are still observed. However, the average surface area
is smaller (around 70% less than at 25 °C) and above all, an
alignment of the domains parallel to the withdrawal direction
is systematically observed (Fig. S5, ESI†).

55 When the relative humidity was increased up to 96%,
evaporation rate of water decreased,⁷⁴ thus keeping the

hydrophilic headgroups of sophorolipids hydrated.⁷⁵ At pH 4,
the surface self-assembly was more homogeneous with locally
concentrated aggregates of matter of undefined shape with the
disappearance of the fibrous structure (Fig. S7, ESI†). At pH 6,
the surface organization shows an interconnected network of
5 sophorolipid aggregates rather than individually well-separated
domains. However, the nature of the aggregates was compar-
able at RH 25% and 96%. Humidity, rather than temperature,
seemed to play an important role in the surface molecular
arrangement. It can be believed that higher hydration degree of
10 the sophorolipids throughout the dip-coating process was the
main driving force that influenced the assembly. In fact,
temperature variation experiments have shown (Fig. S5, ESI†)
that variations in the evaporation rate may affect the local order
but not the nature of the assembly. For this reason, at high
15 relative humidity, where two effects play together, among
slower evaporation rate of water and higher hydration degree
of sophorolipids, the former might play a minor role and the
latter may have a stronger influence. Hydration/dehydration
effect on lipid self-assembly was well-known in bulk
20 solution,^{76,77} and this phenomenon also contributed to impact
the nature of the local assembly of sophorolipids on the silicon
surface. Another possibility of higher humidity may result in
the change of surface chemistry. Indeed, with a slow evapora-
25 tion rate and high hydration of head groups, the diffusion of
sophorolipids in the aqueous layer increases and the inter-
molecular bond is favored. Higher humidity also leads to
higher vapor pressure, which may alter the native oxide layer
composition of silicon due to reaction between the –OH surface
30 group and the ambient water.⁷⁸

Effect of surface type on sophorolipid assembly

In order to investigate the role of surface chemistry upon self-
assembly of sophorolipids, three original solutions (pH 4, pH 6
and pH 11) were dip-coated on three different surfaces.
35 Silicon(111) wafers were compared to bare gold and
silicon(111) wafer coated with a titanium dioxide anatase thin
layer. The control AFM images for gold and TiO₂ have been
provided in Fig. S1b (ESI†) that justify the standard homoge-
neous flat surface having a roughness of RMS = 2.14 nm and
40 1.37 nm for gold and TiO₂, respectively. The initial conditions
of dip-coating were the same for all substrates: ws = 1 mm s⁻¹,
T = 25 °C and RH = 25%. The AFM images of these films are
displayed in Fig. 5 and the SEM images have been depicted on
Fig. S8 (ESI†). Gold was chosen because it is considered to be
45 chemically inert and does easily not chemisorb molecules,⁷⁹
although recently some research groups have shown that bulk
gold can have catalytic activity when activated.⁸⁰ The titanium
dioxide is a good compromise between enhancement of hydro-
50 xyl group and its affinity towards carboxylic acids.^{81–83}

The SEM images taken for each surface showed different
surface organizations depending on the substrate chemical
composition. As one can see, when the solutions are dip-
coated on gold, the long-range texture seems to be constituted
by a homogenous deposit with dispersed, and that at any pH;
55 AFM images, acting as a local probe, in fact show that the film

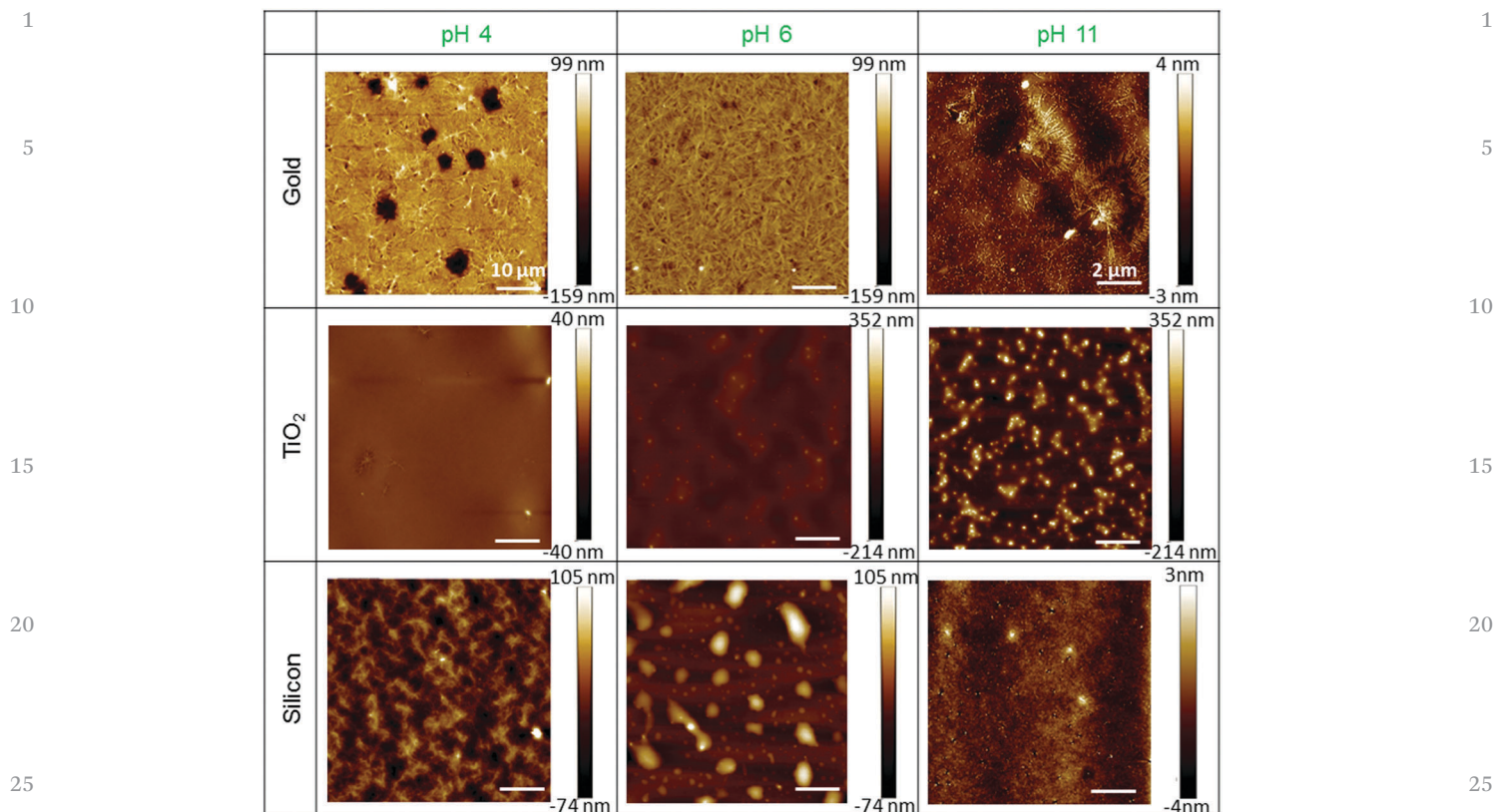


Fig. 5 Topography AFM images from dip-coated solutions at different pH onto different substrates (gold, TiO₂, silicon). Scale bars represent 10 μm, except for the image on gold at pH 11 where the scale bar represents 2 μm. For direct comparison, we have reported images taken on silicon and previously presented on Fig. 4.

on gold is constituted of entangled filaments at any pH and the white spots observed in the SEM images actually represent holes in the structure. AFM gives a vision of the surface organization in detail. There was a homogeneous layer upon which there were some needles: the longer ones (2–3 μm) appeared to be generated from a nucleation center, while the shorter ones (30–100 nm) were spread randomly on the organic layer. The cross-section of the needles was 40 nm ± 9 nm. On the layer of titanium dioxide, pH seems to have a weak influence. At pH 4, the film is rather homogeneous, while at pH 6 and pH 11, the film obtained constitutes a homogeneous layer of sophorolipids upon some isolated aggregates randomly observed. The main difference was the size of these aggregates: from the AFM images, we measured that at pH 6, the average size was around 0.60 μm² ± 0.21 μm² and at pH 11, the average

size was around 0.33 μm² ± 0.15 μm². The size differences could be explained by the presence of carboxylate groups at basic acid creating charge repulsions during the aggregation, thus leading to smaller aggregates. These observations indicated that surface chemistry was also relevant while studying the self-assembly of organic molecules on flat surfaces. All the results collected have been tabulated in Table 1.

To rely more on quantitative data and to better understand the sophorolipids self-assembly in relationship with the surface properties, we have evaluated the wettability and surface energy profiles for all supports used, and the results are presented in Fig. 5. First of all, contact angle measurements of water were used to evaluate the wettability of the surface.⁸⁴ Higher the angle, more hydrophobic is the surface. The measurements were reported with drop images for each sample in Fig. S9 (ESI[†]).

Table 1 Collection of AFM data for all the sophorolipids films observed

	pH 4	pH 6	pH 11
Gold	Needles entangled	Needles entangled tighter	Isolated needles: length 2–3 μm/30–100 nm cross section 40 nm
TiO ₂	Homogeneous film	Aggregates: area around 0.60 μm ²	Aggregates: area around 0.33 μm ²
Silicon	Intertwined needles: length 7.5 μm cross section 60 nm	Aggregates, 2 types: large: area 16 μm ² and height 80 nm small: area 3 μm ² and height 40 nm	Homogeneous film

1 The angles reported were a mean value obtained after measurements on 4 drops on each sample. Within the framework of our experimental conditions, we determined a contact angle of $69^\circ \pm 4^\circ$ on bare gold in accordance with a previous study,⁸⁵ $52^\circ \pm 6^\circ$ on titanium dioxide anatase layer, and $43^\circ \pm 4^\circ$ on silicon wafer. If titanium dioxide was known to be highly hydrophilic, the value we have determined was actually coherent to the values reported in the literature⁸⁶ for a non-model surface that contains environmental impurities. These data have indicated that the gold surfaces, as prepared in these experiments, were the most hydrophobic samples and silicon wafers were the most hydrophilic. This difference of wettability might be a first explanation for the differences observed with AFM.

15 Effect of surface energy on sophorolipid assembly

The second set of experiments aims at determining the surface energy of each sample and more precisely, the contribution of apolar and polar components. Good-Van Oss's approach using glycerol, formamide and diiodomethane as wetting solvents was applied.^{87,88} To determine the values of each component of the solid surface energy, we used eqn (2):

$$\gamma_L(1 + \cos \theta) = 2 \left[\sqrt{\gamma_S^{LW} \gamma_L^{LW}} + \sqrt{\gamma_S^+ \gamma_L^+} + \sqrt{\gamma_S^- \gamma_L^-} \right] \quad (2)$$

25 where θ is the contact angle between the solvent and the surface (in degree), γ_L is the total surface tension of the liquid, γ_L^{LW} and γ_S^{LW} are the Lifshitz-van der Waals (or dispersive) component for the liquid and solid surface tension respectively. γ_L^- (γ_S^-) is the basic (Lewis) component of surface interaction, that is the electron donor component for the liquid (and solid), and γ_L^+ (γ_S^+) is the acid (Lewis) component of surface interaction, that is the electron acceptor component for the liquid (and solid). Two contact angle measurements (θ) and the surface tension data for all liquids are given in Table S1 (ESI[†]).^{89,90} The surface energy data calculated for each samples using eqn (2) have been collected in Table 2 and the contribution of the separated components is plotted in Fig. 6.

According to the plots in Fig. 6, the samples could easily be sorted out by increasing surface energy. In the present study, gold surfaces have the lowest surface energy while silicon has the strongest surface energy contribution. The values of total surface energy were coherent to the contact angle observations. Silica, being the most hydrophilic surface, is expected to have the highest surface energy,⁹⁴ and the values obtained in this work are in good agreement with those reported in literature. The data reported by Knorr *et al.*⁹¹ on gold composites are in

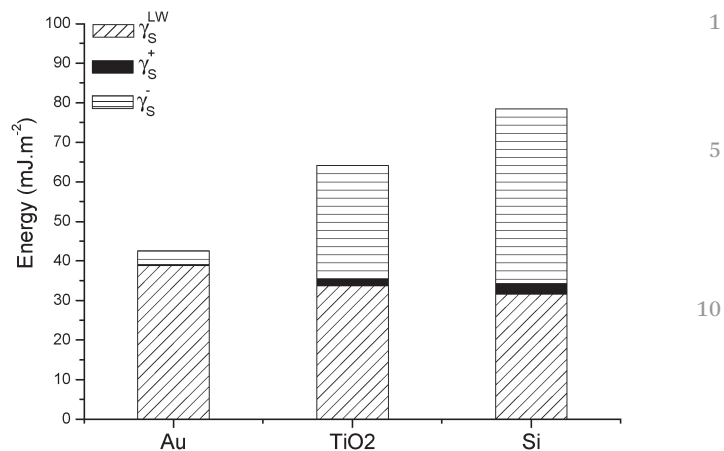


Fig. 6 Total surface energy for each surface, determined by measuring contact angle with diiodomethane, glycerol and formamide.

the same range as our study (Table 2). Unfortunately, to the best of our knowledge, there is no report on electron donor/acceptor contributions to surface energy for titanium dioxide. Kilpadi *et al.* have reported data on passivated titanium samples⁹² exhibiting an outer layer of dioxide, which can be taken as references. The data on silicon were compared to previous studies conducted on silica by Chibowsky⁹³ and Gonzalez-Martin⁸⁸ since the native silicon have always exhibited a thin layer of silica on the surface. Herein, silicon wafer as an effective SiO₂ sample is discussed below.

Roughness could certainly have an effect on the surface self-assembly and patterning of the substrates, but to the first approximation, its effect has been implicitly taken into account in the proposed study for the following reasons: (1) the first part of this study has employed only one type of substrate, silicon, for all experiments on lipids, including pH change, variations in the RH, dip coating withdrawal speed and lipid type (sophorolipids, alkylpolyglycoside and SDS). Therefore, one can reasonably assume that roughness was invariant among all substrates, thus having a systematic impact on all the samples. The same arguments hold true for the pH changes variation performed on gold and anatase substrates. (2) Based on the comparison between different substrates, the effect of roughness was exhibited on the polar electron-donor component of the surface energy (which increased with decreasing roughness), as reported by Yan *et al.*⁹⁵ By comparing the non-dispersive contributions to surface energy, which concern the polar components considered as short-range superficial interactions, in silicon, gold and anatase substrates, roughness is implicitly taken into account. (3) The surface roughness of the bare substrates evaluated by AFM was between $1 < \text{RMS (nm)} < 3$, and it was comparable to the size of a single monolayer of sophorolipids. Considering the fact that the sizes of surface aggregates and fibrils were in the order of μm , it can be assumed that this parameter had little influence in this specific study.

According to data in Table 2, dispersive and electron acceptor components were comparable for all materials around

Table 2 Values of surface energy components calculated (in mJ m⁻²) from Van Oss's equation and the contact angle measured (see Table S1, ESI)

Surface	γ_S^{LW}	γ_S^+	γ_S^-	Reference data			Ref.
				γ_S^{LW}	γ_S^+	γ_S^-	
Gold	38.9	0.2	3.4	30	1.2	7.8	91
Titanium dioxide	33.7	1.8	28.7	40-42	—	—	92
Silicon	31.6	2.7	44.1	32-43	0.2-5	32-62	88 and 93

1 30–40 mJ m⁻² and below 3 mJ m⁻², respectively, but the
 2 electron-donor component was sensibly different for gold,
 3 TiO₂ and silica, where the latter material has the higher value
 4 (44.1 mJ m⁻²). For this reason, a hypothesis has been developed
 5 in such a way that the differences in terms of surface self-
 6 assembly observed by SEM and AFM might depend on γ_s^- . This
 7 conclusion was not unexpected because of the difference in
 8 terms of the surface chemistry for these materials. The Point of
 9 Zero Charge (PZC) for SiO₂ was 2, IsoElectric Point (IEP) of TiO₂
 10 was in the vicinity of 6 but it may actually vary between 3 and 7
 11 according to the crystalline phase, method of preparation and
 12 surface roughness in thin films. Gold, on contrary, had neither
 13 PZC nor IEP.^{96,97} Given the fact that silica had the lowest PZC,
 14 its surface could reasonably be considered to be rich in SiO⁻
 15 groups (enhancing the γ_s^- component of surface energy) at
 16 different extent according to the solution pH. At pH 4, the
 17 sophorolipids solutions form micelles that are not charged⁵⁶
 18 and silica can be considered as poorly charged, while at pH 6,
 19 the sophorolipids solutions are characterized by increasingly
 20 charged micelles undergoing electrostatic repulsion,^{56,62}
 21 whereas silica can be practically considered to be fully charged.
 22 Moreover, in case of TiO₂, given the higher IEP, much differ-
 23 ence in terms of surface charge between pH 4 and pH 6 must
 24 not be expected. At pH 4 for SiO₂ and pH 4 and at pH 6 for gold,
 25 the SEM and AFM data have shown the formation of entangled
 26 network of fibers, probably forming from a nucleation/growth
 27 mechanism; moreover, a phenomenon that can most likely be
 28 ascribed to dewetting seems to occur on silica at pH 6,
 29 practically under all conditions explored in terms of withdrawal
 30 speed, temperature and relative humidity. Additional insight in
 31 terms of surface energy can help understand these different
 32 effects.

Discussion

33 Sophorolipids form two main types of surface aggregation
 34 patterns, a fibrillar network and a surface circular patches.
 35 The former can be explained by the ability of sophorolipids to
 36 self-assemble into crystalline fibers, as described by previous
 37 authors^{55,58} and recently explained by us,⁶³ the latter strongly
 38 recalls dewetting phenomena of surfactant solutions on sur-
 39 faces, which depend on the autophobic effect,³¹ probably
 40 driven by the local formation of a self-assembled lipid mono-
 41 layer on the surface.^{32,36} In the following paragraphs we tried to
 42 rationalize both possibilities.

43 Conditions for dewetting phenomena can be estimated from
 44 the sign of the spreading coefficient S for each sample (eqn (3)),
 45 knowing that S was an empirical parameter calculated from
 46 surface tension data:

$$47 \quad S = \gamma_s - \gamma_L - \frac{\gamma_{L/S}}{S} = \gamma_L(\cos \theta - 1) \quad (3)$$

48 where γ_s , γ_L , and $\gamma_{L/S}$ are the surface tension values of the solid,
 49 sophorolipids solution and the interfacial tension of sophoro-
 50 lipids and solid, respectively.⁹⁸ In literature, it has been
 51 reported that the sophorolipids could lower the surface tension
 52 of water from 72.8 mJ m⁻² to 40–30 mJ m⁻².⁴⁴ In the present

53 systems, for all samples, we found that $S < 0$ for each sample,
 54 in principle, a dewetting phenomenon occurred during the
 55 drying of the sophorolipids film.⁹⁹ In addition, Lee *et al.*¹⁰⁰
 56 have demonstrated that dispersive forces would stabilize the
 57 film while polar ones would induce dewetting. There were two
 58 dewetting processes that could happen: spinodal dewetting or
 59 heterogeneous nucleation.¹⁰¹ It is commonly admitted that
 60 nucleation occurs in the early stage of dewetting and spinodal
 61 dewetting is promoted for thin films.^{101,102} On gold samples,
 62 the values of polar components ($\gamma^+ + \gamma^-$) were ten times lower
 63 than those of the apolar one (γ^{LW}); hence, following Lee's idea,
 64 it indicated that gold surface exhibits a strong stabilizing force
 65 towards the film. The SEM images showed the beginning of the
 66 dewetting process through the heterogeneous nucleation (white
 67 spots) stopped before the growth of holes because of the
 68 stabilizing force of gold surface. This was also confirmed by
 69 the random distribution of holes characteristic of heteroge-
 70 neous nucleation according to Seeman.¹⁰¹ This organization
 71 was stable because the gold surface was not sensitive to pH
 72 changes. On utilizing titanium dioxide, the contributions of
 73 polar and apolar components were rather comparable. The
 74 dispersive contribution was slightly higher than the polar ones,
 75 and hence, on this surface, dewetting was supposed to be less
 76 effective; homogeneous layers were then obtained. The aggre-
 77 gates observed at pH 6 and pH 11 might be due to the presence
 78 of different objects in solution with the micelles: interacting
 79 micelles (larger than the usual one) at pH 6 and the platelets
 80 at pH 11.

81 On SiO₂ samples, the polar component was slightly higher
 82 than the apolar one. The dewetting was then expected. But as
 83 one can see in Fig. 5, at pH 4 the dewetting was limited, while at
 84 pH 6, we observed a partial dewetted film. The other interac-
 85 tions have to be considered because of the high sensitivity of
 86 silica layer towards pH changes. As it has been shown in this
 87 study, on SiO₂ at pH 4, there was a thin sophorolipids layer, and
 88 the SEM images show a network similar to the one observed in
 89 the surface assembly of block copolymers.^{20,103} By definition,
 90 the spinodal decomposition was a clustering phase change
 91 from a homogeneous matter that separated spontaneously into
 92 two phases due to a small fluctuation of density or
 93 composition.¹⁰⁴ Two other phenomena could explain the phase
 94 separation within a film. First, the partial trapping of solvent
 95 (*e.g.* ethanol used to wash the substrates) and water (during the
 96 dip-coating) as it has been demonstrated by Wong *et al.* can
 97 promote phase separation, as shown for block copolymer film
 98 for PHPMA on silicon.¹⁰⁵ Second, Marangoni effect due to the
 99 local difference of sophorolipids concentration can induce a
 100 fluctuation of matter in the film. Fell *et al.*¹⁰⁶ have demon-
 101 strated that there was a gradient of surfactant on the receding
 102 side of their cylinder (analog to the front line during dip-
 103 coating) and this gradient was even stronger when the surfac-
 104 tants concentration was above cmc because the diffusion was
 105 lower. At pH 6, we observed a strong dewetting effect as
 106 expected, due to the slow process involved to achieve complete
 107 drying of the sophorolipids film. Several studies^{107,108} have
 108 demonstrated that polymer could dewet upon a polymer-

1 polymer surface or when deposited on polymer brush with the
same formula. More recently, Xue *et al.*¹⁰⁹ have shown that this
autophobic dewetting was particularly happening when the
film was in the presence of a good solvent vapor. In the present
5 case, slow drying at pH 6 could lead to the water adsorption
onto the outer layer of the film. This induced a difference of
entropy between the molecule in contact with the vapor and the
ones close to the surface, thereby creating a new interface
where the top molecules could slide upon the sophorolipids
10 layer to form the final aggregates (or droplets). Finally, the
sophorolipids film was thicker at pH 11 than that at acidic pH,
and the samples were the fastest to dry during dip-coating, and
hence no spinodal dewetting was predicted for this solution.
Kassal *et al.* have shown a correlation between surface energy,
15 polarity and pH sensing.¹¹⁰

Our hypothesis is that the values of γ^- and γ^+ are dependent
of the pH. At pH 4, because we are close to the PZC of SiO₂, the
20 surface was neutral and the effective values of γ^- and γ^+ were
lower than the ones calculated. There was no dewetting prob-
ably because the sophorolipids film assembly was ruled by γ^{LW} .
The formation of fibers, which may seem quite odd if one
considers the stable micellar phase in solution, is actually not
surprising as acidic sophorolipids have shown to form
25 both micelles^{56,62} and fibers in water under acidic pH
conditions.^{55,58} At the moment, the conditions that favor one
phase over the other were only partially known⁶⁶ and a tentative
explanation at the molecular level in terms of surface-sophoro-
lipid interactions favouring fiber formation would be highly
speculative. However, we must consider that similar effects
30 have been described for amyloid- β peptides on mica and can
undergo a micelle-to-fibril transition as a function of number
density of the peptides on the surface, which depends on the
deposition and diffusion rates of the peptides. No specific
correlation with the surface energy has been proposed in this
35 study.¹¹¹

At pH 6, SiO⁻ were majorly present on the surface, and
dewetting was thus due to higher values of γ^- and γ^+ over γ^{LW} .
At pH 11, dewetting was also expected but due to the thickness
of the sophorolipids film, this phenomenon was limited. The
40 correlation between aqueous film thickness and dewetting was
established for dodecyltrimethylammonium bromide solutions.
The authors³² have shown that autophobic, responsible for
dewetting, occurred at longer times for thicker films. The
evolution of the values of surface energy components was
45 correlated with the changes of contact angle observed when
changing the pH of water (without any sophorolipids). The
contact angle values decrease (so surface energy increases) with
an increase in pH. The observations were enhanced for treated
silicon samples because the surface was even more sensitive to
50 the external conditions, such as pH, due to higher hydroxyl
density.

Finally, as a general observation we are tempted to state that
sophorolipid assembly and patterning depended on γ_S^{LW} vs. γ_S^-
balance of each surface. When dispersive forces were predomi-
nant, sophorolipid packed into fibrillar aggregates, while polar
55 components contributed to stabilize micellar aggregates,

autophobic and eventually dewetting. Additional experiments
would be required to understand the sophorolipid-surface
interactions and its effect on autophobic effect that gives rise
to dewetting.

Conclusion

In this study, we investigated the surface self-assembly proper-
ties of acidic sophorolipids, a bolaform microbial glycolipid,
10 according to the chemical nature of the support and pH of the
solution. Sophorolipids generally form micelles in water but
the nature of the micelle aggregates and possible non-micellar
morphologies like platelets and twisted fibers have also been
reported according to pH. We have selected three different
15 substrates namely gold, silicon and TiO₂ anatase, wherein
silicon has been utilized as a SiO₂ native oxide layer. The
dispersive, electron donor and electron attractor surface energy
components have systematically been determined for all sub-
strates by Good-Van Oss's approach using glycerol, formamide
20 and diiodomethane as wetting solvents. We have found that
when gold was used as the substrate, where surface energy of is
dominated by dispersive ($\gamma_S^{LW} = 38.9 \text{ mJ m}^{-2} > \gamma_S^+, \gamma_S^-$) compo-
nents, sophorolipids spontaneously assembled into entangled
needles at all pH values (4, 6 and 11). When TiO₂ anatase,
25 characterized by a high dispersive ($\gamma_S^{LW} = 37.7 \text{ mJ m}^{-2}$) surface
energy component in addition to a higher electron donor
component compared to gold ($\gamma_S^- = 28.7 \text{ mJ m}^{-2}$) and still a
small electron acceptor component ($\gamma_S^+ = 1.8 \text{ mJ m}^{-2}$), is used as
a substrate, we observe a relative homogeneous sophorolipid
30 layer, although with an increasing amount of ill-defined aggre-
gates with increasing pH. Finally, when SiO₂, characterized by
larger $\gamma_S^- (=44.1 \text{ mJ m}^{-2})$ component than $\gamma_S^{LW} (=31.6 \text{ mJ m}^{-2})$
and a still low $\gamma_S^+ (=2.7 \text{ mJ m}^{-2})$, is used as a substrate, we find
that pH has a strong influence and three different sophorolipid
35 surface assemblies can be described. At pH 4, we observed the
formation of an entangled network of needles, having an
average length of 7.5 μm and a cross section of about 60 nm.
At pH 6, dewetting occurs and a patterned surface of small
(area: 3 μm^2 ; height: 40 nm) and large (area: 16 μm^2 ; height:
40 80 nm) aggregates is observed; at pH 11, we observe the
formation of a homogeneous sophorolipid layer. We then
considered that surface chemistry and pH-dependent behav-
iour of sophorolipids can be ascribed to γ_S^{LW} vs. γ_S^- balance
of each surface. This can also determine whether or not
45 dewetting is favoured, for instance, γ_S^- evolution with pH
strongly depended on the surface chemistry of each material
and in particular to the specific values of IEP and PZC
(SiO₂, TiO₂) or to their absence (gold). The low PZC (2) of
SiO₂ can make the self-assembly of sophorolipids on this
50 material extremely sensitive to pH and to the evolution of γ_S^- ,
while higher and less defined IEP (3–7) of TiO₂ makes the pH-
dependent behaviour of this substrate less marked, although
present, due to the comparable values of γ_S^{LW} and γ_S^- . Finally,
gold has no pH-dependent surface chemistry, making γ_S^{LW} *de*
55 *facto* drive the self-assembly of sophorolipids.

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