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I. Martinossi-Allibert, Joanne Clavel, S. Ducatez, I. Le Viol, C. Teplitsky

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HAL Authorization

1 **Does habitat specialization shape the evolutionary**
2 **potential of wild bird populations?**

3 **Martinossi-Allibert I.** ^{1,2} imartinossi@gmail.com

4 **Clavel J.** ^{1,3} joanne.clavel@gmail.com

5 **Ducatez S.** ⁴ simon.ducatez@gmail.com

6 **Le Viol I.** ¹ ileviol@mnhn.fr

7 **Teplitsky C.** ¹ celine.TEPLITSKY@cefe.cnrs.fr

8 ¹ **Centre pour les Sciences de la conservation, UMR7204 CNRS / MNHN / UPMC**

9 ² **Department of Ecology and Genetics, Evolutionary Biology Center, University of**
10 **Uppsala, Sweden**

11 ³ **Department of Environmental Science, Policy and Management, University of**
12 **California, Berkeley, USA**

13 ⁴ **School of Biological Sciences, University of Sydney, NSW 2006 Australia**

14

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18 **To whom correspondence should be sent:**

19 Ivain Martinossi-Allibert, imartinossi@gmail.com, 01 40 79 57 38

20 **Author contributions:** C.T, I.L, J.C designed the project. C.T. and I.M. collected genetic
21 data and performed statistical analyzes. S.D provided specialization data. I.M wrote the first
22 draft of the manuscript and all authors contributed substantially to revisions.

23

24 **Abstract**

25 Because specialist species evolved in more temporally and spatially homogeneous environments
26 than generalist species, they are supposed to experience less fluctuating selection. For this reason,
27 we expect specialists to show lower overall genetic variation as compared to generalists. We also
28 expect populations from specialist species to be smaller and more fragmented, with lower neutral
29 genetic diversity. We tested these hypotheses by investigating patterns of genetic diversity along a
30 habitat specialization gradient in wild birds, based on estimates of heritability, coefficients of
31 variation of additive genetic variance, and heterozygosity available in the literature. We found no
32 significant effect of habitat specialization on any of the quantitative genetic estimators but
33 generalists had higher heterozygosity. This effect was mainly a consequence of the larger
34 population size of generalists. Our results suggest that evolutionary potential does not differ at the
35 population level between generalist and specialist species, but the trend observed in
36 heterozygosity levels and population sizes may explain their difference in susceptibility to
37 extinction.

38

39 **Keywords:** heritability; additive genetic variance; neutral diversity; individual specialization;
40 niche width

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48 **Introduction**

49 Native specialist species are becoming less abundant in disturbed landscape to the benefit of
50 generalist species (Shultz et al. 2005, Le Viol et al. 2012). There is some evidence that
51 climate (Davey et al. 2013) and land use (Devictor et al. 2008a, b) changes are driving this
52 decline of specialization in wild communities, leading to a functional biotic homogenization
53 (Clavel et al. 2011). The higher sensitivity of specialists to global changes is expected to
54 result from their narrower ecological niche, which is associated with smaller and more
55 fragmented populations, more vulnerable to the destruction of their habitat. The persistence of
56 species will ultimately depend upon two mechanisms: range shift or adaptation to
57 environmental changes, through phenotypic plasticity or microevolution (Lynch and Lande
58 1993). Anthropogenic changes are now considered as the greatest evolutionary force (Palumbi
59 2001, Steffen et al. 2011) and it therefore becomes crucial to determine how specialist and
60 generalist species differ in their ability to respond to these changes. Assessing whether
61 generalist and specialist species differ in terms of evolutionary potential should give valuable
62 information on their respective ability to defer extinction through evolution.

63 The two alternative strategies (specialist vs generalist) are generally thought to arise
64 depending on fitness trade-offs between alternate phenotypes across environments (Richmond
65 et al. 2005, Poisot et al. 2011): spatially and temporally variable environments should favour
66 generalist strategies, because generalists can achieve higher average performance than
67 specialists across multiple environments. In turn, stable environments should favour
68 specialists which are able to exploit more efficiently a single resource (see Moran 1992 for
69 theoretical evidence, and Kassen 2002 for experimental evidence). Expressed in terms of
70 selection pressures, heterogeneous environments generate fluctuating selection, while in a
71 homogeneous or stable environment, selection is more likely to be stabilising, or persistently
72 directional (Keightley and Hill 1988). Additionally, generalist strategies may become less

73 profitable under high levels of local stabilizing selection, because trade-offs for the
74 performance in different niches become accordingly strong (Svardal et al. 2014). Specialists
75 can therefore be expected to evolve under stronger and more consistent selection pressures
76 that could deplete overall genetic variability. In contrast, the exposure of generalists to a
77 variety of environments, and to spatially and temporally fluctuating selection, could help
78 maintain a higher genetic diversity. For these reasons, we expect to find higher evolutionary
79 potential in generalist species than in specialist ones, which could partly explain the highest
80 sensitivity of specialists to environmental changes.

81 Only a scarce number of empirical studies support this prediction so far. Hägele and
82 Rowell-Rahier (2000) showed in a herbivorous insect genera that host specialist species have
83 a lower heritability (and thus a lower evolutionary potential) of growth rate than host
84 generalists. A review of selection experiments in bacteria by Kassen (2002) also suggests that
85 environmental heterogeneity may be driving both the quantity of genetic variation in a
86 population and the niche width of individuals, resulting in the evolution of generalists and the
87 maintenance of genetic variation in heterogeneous environments. However, the link between
88 specialization and evolutionary potential is largely unknown in wild populations.

89

90 Here, we review available estimates of evolutionary potential in relation to habitat
91 specialisation and population size in wild bird populations. As emphasised in the univariate
92 breeder's equation, $R = h^2 S$ (Lande 1979), the predicted evolutionary response (R) depends on
93 the selection gradient (S) and on the evolutionary potential of a trait, measured as its heritability
94 (h^2 , the ratio between the amount of genetic additive variance and total phenotypic variance). We
95 thus mainly focused on estimates of heritability and additive genetic variance as they also
96 represent the most widely reported estimates of evolutionary potential for quantitative traits
97 (Postma 2014). We predict higher genetic variance for generalist species (heritability,

98 hereafter h^2 , and the additive genetic coefficient of variation, hereafter CV_A , are the two
99 standardized estimates of genetic variance we used).

100 We also reviewed estimates of heterozygosity (hereafter H_z), a widely available
101 measure of genetic diversity known to depend on demographic processes such as inbreeding
102 level, drift and population size (Soulé 1976, Frankham et al. 2002). H_z is not a direct
103 estimator of evolutionary potential, as it does not relate to additive genetic variance, but rather
104 a proxy for overall genetic diversity, which is often considered in conservation study as an
105 indicator of population health. More specifically, H_z has been correlated with extinction risks
106 (Evans and Sheldon 2008) and population fitness (Reed and Frankham 2003). On this topic
107 again, little empirical work can be found, but a study carried out on the bark beetle (Kelley et
108 al. 2000) indicated that specialist sister-species indeed had reduced levels of H_z when
109 compared to generalist ones. Simply because of a narrower ecological niche, specialist species
110 have access to a more limited range of habitats than generalist ones. For this reason,
111 populations of specialists may be smaller and more fragmented which is likely to negatively
112 impact genetic diversity by limiting effective population size and gene flow (Whitlock and
113 Barton 1997). We therefore predict that specialists should have lower H_z than generalists,
114 mainly as a result of smaller population size.

115

116

117 **Material & Methods**

118 **Data collection**

119 ***Habitat specialization indexes***

120 Assessing specialization by categorizing habitat types has been criticized as too subjective
121 and unrealistic (Bazzaz 1991, Thompson et al. 1998, Fridley et al. 2007). Therefore, we used
122 two different indices based on independent methods: The Species Specialization Index (SSI),
123 as defined by Julliard et al. (2006) and the relative niche width measurement (θ) as introduced
124 in plants by Fridley et al (2007) and in birds by Ducatez et al. (2014). Both indices use
125 different approaches and are therefore not strongly correlated, but rather represent the
126 diversity of methods available to measure habitat specialisation.

127 The SSI is defined as the coefficient of variation of a species abundance across a set of
128 predefined habitats (Julliard et al. 2004). A high SSI thus implies that a species abundance
129 varies strongly across habitats. Specialist species have higher values of SSI, generalists have
130 lower values. SSI was calculated for 234 species at the European scale, the territory being
131 partitioned into 98 habitat categories defined in the EUNIS database (see Le Viol et al., 2012
132 for details). Affinity of each species for nesting and foraging in a given habitat is coded by
133 experts on three levels (3: primary habitats; 2: secondary; 1: others). Note that because the
134 coefficient of variation is the standard deviation scaled to the mean, SSI does not depend on
135 population size.

136 The niche width (θ) is based on the expectation that a generalist species occurs in a
137 range of habitat categories that vary considerably in species composition, whereas a specialist
138 species is found in habitats that contain a consistent suite of other species. The idea of using
139 the compositional diversity of species that co-occur with a target species to measure the
140 degree of specialization was introduced by Colwell and Futuyma (1971). Specialist species
141 have lower values of θ , generalists have higher values. To avoid a bias due to differences in
142 species range, this index is based on habitat co-occurrence (rather than realized species co-

143 occurrence), meaning that two species that occupy the same habitat type in different places
144 are considered as co-occurring, even if their range does not overlap, e.g. this avoids island
145 species to be automatically considered as specialists. The niche width was estimated using
146 species co-occurrence data within each of the 82 IUCN habitat subcategories, and considering
147 all extant 9870 species listed by the IUCN (see Ducatez et al., 2014 for details). For a given
148 species, habitat width was measured as $\theta = \gamma / \mu(\alpha)$, where γ is the cumulative number of
149 species in the habitats used by a given species and $\mu(\alpha)$ is the mean habitat species richness
150 calculated over the different habitats inhabited by that species. This specialization index is
151 positively correlated with population size (Pearson's product-moment correlation $\rho = 0.25$, 95
152 % Confidence Interval [0.044, 0.43], p-value = 0.02, see supplementary material S1 for the
153 correlation of both specialization indexes with population size).

154 Habitat specialization was chosen over other available specialization indices as it
155 gathers the greatest number of niche axes in one parameter and is consequently the most
156 integrative. Indeed, temperature and food availability depend on habitat, which makes habitat
157 specialization linked with thermic and food specialization (Barnagaud et al. 2012). Our
158 dataset of quantitative estimates of evolutionary potential (i.e. quantitative genetic
159 parameters) includes species that cover a range of 3.1 in SSI (from 2.3 to 5.4) and of 6.4 in
160 niche width (from 1.58 to 8), and the neutral diversity data (i.e. Hz) covers a range of 4.6 in
161 SSI (from 2.3 to 6.9) and of 10.6 in niche width (from 1 to 10.6), when the total range
162 available for SSI is 8, ranging from 1.8 to 9.9 and the total range for niche width is 13.54,
163 ranging from 1 to 14.54. The distributions of both specialization index for each of our datasets
164 can be found in supplementary figure S2.

165

166 **Population size**

167 Information on local population size was not available so the population size data we used
168 was extracted from the BirdLife International website in May 2014 (<http://www.birdlife.org/>).
169 Population sizes correspond to the total number of individuals in the world according to the
170 censuses published by BirdLife (2005, 2008).

171

172 **Quantitative data: heritability and coefficient of additive genetic variance**

173 We chose the bird taxon because it gathers the greatest number of quantitative estimators
174 across all taxa (Postma, 2014). In order to gather estimates of evolutionary potential (h^2 and
175 CV_A) in bird populations, we performed a search in the *Web Of Knowledge* research engine.
176 We used the key-words: Topic= (« bird* ») AND (« heritabil* » OR « genetic* estimate* »)
177 and the search was performed in February 2013. When studies aimed at quantifying the
178 response of quantitative parameters to different environmental conditions (e.g. food quality),
179 only parameters estimated under natural conditions were used. These references were further
180 checked against the list gathered by Postma (2014), in order to maximize the number of
181 references providing estimates of heritability and coefficients of additive genetic variance. h^2
182 and CV_{AS} can be estimated with two different methods: the parent offspring regression and
183 the animal model. The former is the earliest method and has been used since the end of the
184 19th century. It estimates heritability by the phenotypic correlation between parents and
185 offspring (Lynch and Walsh 1998). The latter, the *animal model*, has been applied in ecology
186 for merely more than a decade. The animal model is a mixed model using information about
187 relatedness among individuals to infer the part of phenotypic variance due to additive genetic
188 variance (Henderson 1973, Kruuk 2004). Because parent offspring regression may
189 overestimate heritability (shared environments increase the resemblance between parents and
190 offspring), the method used to estimate quantitative parameters was specified in our dataset
191 and subsequent analyses.

192 Only life-history and morphological traits (See supplementary material, table S3 for
193 trait classification) were kept, as for behavioural traits the type of traits were extremely
194 heterogeneous and for physiological traits, data were available for only four traits from two
195 studies. We considered here that pooling heritabilities or CV_{AS} from different traits measured
196 in a population is relevant, as we were not looking for an accurate estimate of evolutionary
197 potential, but for an estimate of the general level of additive genetic variance in the
198 population. Both h^2 and CV_A represent standardised measures of additive genetic variance,
199 respectively to the total phenotypic variance and to the mean.

200

201 ***Genetic diversity***

202 We used the heterozygosity (Hz) data published by Evans and Sheldon (2008). They collected
203 Hz values measured at microsatellite loci for wild bird species in the literature and used
204 expected Hz because it is less sensitive to sample size (Nei 1978) and the occurrence of null
205 alleles (Callen et al. 1993). When multiple studies were available for one species, Evans &
206 Sheldon (2008) kept the study with the largest sample size and the highest number of
207 microsatellites loci used to estimate Hz. Thus, while the quantitative genetics estimates are
208 available for several populations in some species, the estimates for Hz are only available for
209 one population per species. We used the Hz values for the 54 species that matched our SSI
210 specialization index and for the 183 species in their dataset that matched our estimation of the
211 niche width (θ).

212

213 ***Phylogeny***

214 To account for the non-independence of species, we used species relatedness extracted from
215 phylogenetic trees. Phylogenetic trees were obtained from the website www.birdtree.org that
216 provides subsets of the first complete bird phylogeny (Jetz et al. 2012). Each model was run
217 with 100 alternative trees.

218

219 ***Statistical analyses***

220 To assess the effect of habitat specialization on evolutionary potential (h^2 , CV_A) or neutral
221 genetic diversity (H_z) we used linear mixed models. Ideally, models should include as fixed
222 effects population size (log-transformed) and an estimator of habitat specialization (SSI or
223 niche width Θ). However, for h^2 and CV_A , population size was only available for few species,
224 resulting in a too small sample size to run our models. We thus included population size in
225 models considering H_z but not in models considering h^2 and CV_A . In terms of random
226 variables, a phylogeny effect was included in all models to account for species non-
227 independence.

228 Because of a more complex data structure, analyses of h^2 and CV_A included several additional
229 fixed and random effects compared to models run for heterozygosity. First, we expected
230 systematic differences between certain types of traits (morphological or life history traits,
231 Postma, 2014). Consequently, we added “Type of trait” as a fixed effect in models with two
232 levels, “morphological” and “life-history”. Similarly, as the method used to estimate
233 heritabilities (animal model or parent-offspring regression) entails a systematic difference, we
234 added an “Estimation method” fixed effect in models investigating heritability.

235 Second, h^2 and CV_A estimates are trait and population specific because they are based
236 on observations of some characteristics of individuals sampled from a given population.
237 Therefore it was necessary to include in the models two additional random effects:
238 “population identity” and “trait”, with a nested structure. “Trait” was nested within
239 “population identity” and “population identity” within “phylogeny”. The MCMCglmm R
240 package (Hadfield 2013) does not model nested effects, but the variables were designed in
241 order to account for this nested structure: each species/population/trait combination was given
242 a unique ID allowing to take into account each level in a meaningful way (phylogeny

243 (species), population within species, trait within population within species). Some species
244 (e.g. *Fulica atra*) were represented by one estimator for only one population when others (e.g.
245 *Passer domesticus*) were represented by multiple populations, and multiple traits for each
246 population. The random effects “population identity” and “trait” allow taking this lack of
247 balance into account.

248 Finally, the standard error associated with heritability estimates was included as a
249 random effect to account for measurement error. Unfortunately, this was not possible with
250 CV_A , due to the paucity of errors reported, nor with heterozygosity for which standard errors
251 were not available. The random effects were assumed to follow normal distributions with a
252 mean of 0 and a variance equal to $\sigma_a^2 \mathbf{A}$ for the phylogeny (where σ_a^2 is the phylogenetic
253 variance and \mathbf{A} is the phylogenetic relatedness matrix), a variance equal to $\sigma_m^2 \mathbf{M}$ for the error
254 effect (where σ_m^2 is the measurement error variance and \mathbf{M} is a diagonal matrix containing the
255 square standard error of the published estimates; we fixed the variance σ_m^2 to 1 as the sampling
256 variances are known, see Hadfield & Nakagawa 2009 for details) and variances equal to $\sigma^2 \mathbf{I}$
257 for the remaining effects (where σ^2 represents the population variance within species, the trait
258 variance within population within species or the residual variance; \mathbf{I} is the identity matrix).

259 These models were run using Bayesian methods as implemented in the MCMCglmm
260 R package. 1 000 000 iterations of each model were run. The first 50 000 iterations were used
261 to initiate the chain, not to estimate parameters, and the thinning interval was set to 10. The
262 amount of iterations was considered sufficient as autocorrelations were low (<0.06) for fixed
263 and random effects.

264 An inverse-gamma prior for random effects was used ($V = 1$ and $\nu = 0.002$), in order
265 to minimise the effect of prior information on posterior distribution. To assess prior
266 sensitivity, the same models were run using a parameter expanded prior ($V = 1$, $\nu = 1$,

267 alpha.mu = 0, alpha.V = 10000) and a weakly informative prior ($V = \sigma/4$, $n = 1$ where σ is the
268 total variance of the dependent variable). Using different priors did not affect the results
269 (Supplementary Table S5 and S6).

270 To account for phylogeny uncertainty, each model was run with each of the 100
271 alternative trees provided by Jetz et al. (2012), and the estimates presented in the result
272 section represent the average over the 100 models.

273 **Results**

274 *Available data for quantitative genetics estimates*

275 For the analysis using the SSI index, 520 estimates of h^2 and 150 estimates of CV_A from
276 respectively 64 and 13 studies were gathered, with an average of 3.52 traits per study for h^2
277 and 6.62 per studies for CV_A . Variance among studies in number of estimates is large, ranging
278 from 1 to 48 for h^2 and from 1 to 14 for CV_A . The number of estimates calculated from parent
279 offspring regression is 363 for h^2 against 157 from “animal model” and 39 for CV_A against
280 111 from “animal model”. Only life-history and morphological traits were kept, with
281 respectively 132 and 388 estimates for h^2 , and 43 and 107 for CV_A .

282 For the analysis using the niche width measurement (θ), a total of 923 h^2 and 190 CV_A
283 estimates from respectively 64 and 20 studies were gathered, with an average of 2.6 traits per
284 study for h^2 and 5.7 per study for CV_A . Variance among studies in the number of estimates
285 was large, ranging from 1 to 48 for h^2 and from 1 to 14 for CV_A . 707 h^2 estimates came from
286 parent-offspring regressions and 216 from “animal models”. For CV_A , 52 were estimated
287 from parent offspring regression and 139 from “animal models”. Only life-history and
288 morphological traits were kept in the analyses (due to the low number of physiological and
289 behavioural traits), with respectively 176 and 747 estimates for h^2 , and 61 and 129 for CV_A .

290

291 ***Effect of specialization on additive genetic variance (h^2 , CVA)***

292 Heritability was not predicted by specialization, whether considering the SSI (Table 1,
293 posterior mean = 0.054, 95% CI [-0.022, 0.14], pMCMC = 0.13, Fig 2a) or the niche width
294 (θ , Table 2, posterior mean = -0.018 95% CI [-0.057, 0.023], pMCMC = 0.36, Fig 3a) as
295 indices of habitat specialization. Morphological traits had higher heritability than life-history
296 traits in both data sets (Table1 & Table 2), and estimates from the animal model were lower
297 than estimates from parent-offspring regressions for the SSI data (Table1).

298 Similarly, CV_A was not predicted by either the SSI (posterior mean = 0.66, 95% CI [-
299 1.3, 2.7], pMCMC = 0.48, Fig 2b) or the niche width (θ) (Table2, posterior mean = -0.26,
300 95% CI [-1.9, 1.5], pMCMC = 0.71, Fig 3b).

301

302 ***Effect of specialization and population size on genetic diversity (H_z)***

303 Heterozygosity was not predicted by either the SSI (Table 3, posterior mean=0.014, 95% CI [-
304 0.025, 0.053], pMCMC = 0.48) or the niche width (Table 3, posterior mean=0.051, 95% CI [-
305 0.021, 0.12], pMCMC = 0.16), but population size did have a significant effect on
306 heterozygosity (Table 3, posterior mean=0.044, 95% CI [0.023, 0.064], $P < 0.001$, and
307 posterior mean=0.036, 95% CI [0.020, 0.054], $P < 0.001$). Species with larger global
308 population sizes had higher levels of heterozygosity. Because niche width and population size
309 are positively correlated (Pearson's product-moment correlation=0.25, $df=88$, $P=0.020$), an
310 effect of niche width on heterozygosity appears when population size is not part of the model
311 (posterior mean=0.071, 95% CI [0.022, 0.12], pMCMC =0.0045). The SSI however was not
312 correlated to population size (Pearson's product-moment correlation=-0.27, $df=35$, $P=0.10$)

313 **Discussion**

314 The ability of wild populations to persist in a changing environment depends largely on their
315 evolutionary potential (Hoffmann and Sgrò 2011, Vander Wal et al. 2013). We expected a

316 higher evolutionary potential in generalists as compared to specialists, based on the different
317 selection pressures their ecology suggests they are exposed to. In contrast with this, across 36
318 wild bird populations and using two different specialization indexes, we found no significant
319 effect of specialization on evolutionary potential -as estimated by quantitative genetics
320 estimates, h^2 and CV_A . Specialization did not correlate with genetic diversity measured by Hz
321 either, but we found a significant positive relationship between population size and
322 heterozygosity. In turn, population size correlates positively with niche width (but not with
323 the SSI index), indicating that species with larger niches (generalists) also tend to exhibit
324 more genetic diversity, as a consequence of their larger population sizes. The fact that
325 populations of generalist species are larger than populations of specialist species can be
326 explained by several factors, such as larger available habitat patches, better connectivity
327 between patches, or higher dispersal rates (Kattan 1992, Stireman 2005). In turn, higher
328 genetic diversity in larger populations is in line with theoretical and empirical expectations
329 (Soulé 1976, Frankham 1996). Our results thus suggest that the effect of specialization on
330 genetic diversity results from demographic processes rather than evolutionary processes such
331 as long-term differences in selection pressures. Indeed, we found that specialization had an
332 effect on heterozygosity through population size, but not on quantitative genetic estimates:
333 neutral markers are tightly linked to demography, migration, drift and mutation while
334 selection is expected to shape variance of quantitative traits (Sgrò et al. 2011).

335 The prediction that specialists should have in general lower evolutionary potential than
336 generalists due to stronger and more consistent selection pressures was not confirmed by this
337 study. So far, the empirical support for this hypothesis was limited, to our knowledge, to two
338 local scale study in insects (Hagele & Rowell-Rahier 2000 and Kelley et al. 2000) and to
339 experimental evolution in bacteria, as reviewed by Kassen (2002). Several reasons (outlined

340 below) may explain the absence of pattern, but in any case, the generality of this result will
341 need to be confirmed (or infirmed) by more empirical studies from wild populations.

342 First, we know little about the origin of generalism. For example, if a species niche is
343 fully covered by specialized populations, each population exploiting a part of the niche only,
344 the species will be described as generalist. In this case, high genetic diversity is expected
345 among populations, but not necessarily within populations, making the pattern undetectable
346 when genetic parameters are sampled at the population level (as it is the case for h^2 and CV_A).
347 This particular genetic structure may have evolved under large spatial scale environmental
348 variation. The ability of populations to respond to new selection pressures may then depend
349 on the amount of gene flow among populations. To provide further insights in this direction,
350 an informative index would be the F_{ST} , which compares within population genetic variation to
351 among-population genetic variation, a core question in our investigation. Unfortunately,
352 estimating F_{ST} requires heterozygosity measures in multiple populations for each studied
353 species, which would be available only after implementing new field studies.

354 A second major issue is the definition of “evolutionary potential”. Because it was the
355 most readily provided estimate of additive genetic variance in natural populations and because
356 of its central role in the breeder’s equation, we used heritability. However, because it is a ratio
357 and because of its univariate definition, heritability can be a misleading estimate of
358 evolutionary potential. Heritability is a ratio of variances and as such its direction of variation
359 can be interpreted in multiple ways, i.e. higher heritability can be the result of either higher
360 additive genetic variance or lower environmental variance. This is of fundamental importance
361 here since environmental variance could be larger for generalist species dealing with more
362 heterogeneous environments than specialist species, hence masking any potential increase in
363 additive genetic variance. A solution around this issue is the use of coefficients of variation of
364 additive genetic variance rather than heritability (Houle 1992, Hansen et al. 2003, 2011,

365 Hansen and Houle 2008). Unfortunately, CV_A was reported for only 15 species, and often
366 published without associated standard errors. Moreover, 45% of those estimators are likely to
367 be biased (Garcia-Gonzalez et al. 2012). It was thus not possible to reliably assess the effect
368 of specialisation on CV_A but this is an avenue worthwhile pursuing in the future. Another
369 aspect through which heritability may not be a suitable estimate of evolutionary potential is its
370 univariate and trait specific nature as it may not reflect overall evolvability and constraints
371 (e.g. genetic correlations, Sih et al. 2004). Multivariate approaches are therefore be needed to
372 bring further light on these issues (Walsh and Blows 2009, Hansen et al. 2011, Teplitsky et al.
373 2014).

374 Third, on a more functional note, the absence of patterns for quantitative genetic
375 estimates in our study may also be explained by a lack of knowledge about the functionality
376 of the traits. Indeed, in the study of Hagele & Rowell-Rahier (2000), growth rate heritability
377 was related to a diet specialization index. As a selection pressure resulting from food
378 availability is expected to directly impact growth rate, the niche parameter used to assess
379 specialization index was thus tightly linked to the trait for which evolutionary potential was
380 measured. In this way, the effect of selection pressure is more likely to be detected when
381 measuring heritability. However, this would not mean overall lower evolutionary potential in
382 specialists, but only for a subset of traits closely associated to the specialization parameter. In
383 our case, with the dataset obtained from the literature, such a clear functional link between
384 traits and habitat specialization is not available: we chose a integrative specialization
385 parameters and investigated the additive genetic variance for all available traits together.
386 However, a population can be submitted to strong selection pressures, for instance on wing
387 size (Hall et al. 2004) or beak shape (Grant, B., Grant 2003), that would reduce genetic
388 variance for these traits, a pattern that would not be detected in our analyses because we
389 pooled genetic variance estimates irrespectively of the traits' function. In the case of reduced

390 overall evolutionary potential, we would expect a decreased genetic variance in traits closely
391 associated to fitness. We thus tried to restrict the data to heritability estimates related to
392 fitness traits (e.g. clutch size, breeding success) but the sample size was drastically reduced in
393 this case (90 heritabilities for 24 species), which didn't allow us to estimate effects properly.

394 In conclusion, our study indicates that there is no detectable effect of habitat
395 specialization on evolutionary potential in birds, at least when considering estimates of
396 additive genetic variance currently available in the literature. However, this does not mean
397 that specialist and generalist species stand the same chances when facing environmental
398 changes: our study suggests that species with narrower habitat niches have smaller
399 populations with reduced levels of neutral genetic diversity, which indicate increased
400 extinction risks (Evans and Sheldon 2008). In the close future, new estimates of additive
401 genetic variance for wild populations may be available, such as multivariate ones, allowing
402 for further exploration of the relationship between specialization and evolutionary potential.

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404

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407 anonymous reviewers and Darren Irwin for comments that greatly improved the clarity of our
408 manuscript.

409 **Supporting information**

410 S1 Correlation between both specialization indexes and population size.

411 S2 Specialization indexes distributions

412 S3 Trait Classification

413 S4 Phylogenetic trees

414 S5 Results with weakly informative priors

415 S6 Results with parameter expanded priors

416 S7 References for heritability

417 S8 References for CV_{AS}

418 S9 List of species taken from Evans & Sheldon (2007)

419 S10 Visualization for heritability data

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552 **Table1. Effect of Species Specialization Index (SSI) on two quantitative estimates of evolutionary potential: heritability and coefficient of variation of**
553 **additive genetic variance (CV_A).** Estimates of effects are given by posterior means (Post. Mean) and their associated 95% confidence intervals (CI 95%). pMCMC
554 values are also given for fixed effects. For the effect of type of trait, the reference level is ‘life history’ as compared to ‘morphological’. For the effect of the
555 estimation method, the reference level is ‘animal model’ as compared to ‘parent offspring regression’.

Explanatory var.		Heritability			CV _A		
Effect		Post. mean	CI 95%	Pc	Post.mean	CI 95%	Pc
Fixed	Intercept	0.0299	-0.261/0.281	0.866	9.81	2.79/16.5	0.013 ^{**}
	SSI	0.0535	-0.0218/0.139	0.128	0.664	-1.31/2.67	0.479
	Type of trait: morphological	0.192	0.130/0.252	<0.001 ^{***}	-8.25	-11.3/-5.03	<0.001 ^{***}
	Estimation method: regression	0.0452	0.00283/0.0871	0.036 [*]	-0.848	3.71/1.96	0.549
Random	Phylogeny	0.004	0.000/0.017		4.07	0.000/18.7	
	Population	0.002	0.000/0.013		0.677	0.000/3.39	
	Trait	0.021	0.016/0.029		17.3	8.25/30.7	
	Residual	0.004	0.003/0.006		25.8	19.0/36.0	

556

557 **Table2 Effect of species niche width Index (θ) on two estimates of genetic variance: heritability and coefficient of variation of additive genetic variance (CV_A).**

558 Estimates of effects are given by posterior means (Post. Mean) and their associated 95% confidence intervals (CI 95%). pMCMC values are also given for fixed effects. For the

559 effect of type of trait, the reference level is 'life history' as compared to 'morphological'. For the effect of the estimation method, the reference level is 'animal model' as

560 compared to 'parent offspring regression'.

Explanatory var.		Heritability			CVa		
Effect		Post.mean	CI 95%	Pc	Post.mean	CI 95%	Pc
Fixed	Intercept	0.34	0.086 / 0.59	0.011	12	4 / 20	0.012
	θ	-0.018	-0.057 / 0.023	0.36	-0.26	-1.9 / 1.5	0.71
	Type of trait : Morphological	0.21	0.17 / 0.25	<0.001	-7.0	-10 / -4.0	<0.001
	Estimation method : regression	-0.00097	-0.045 / 0.044	0.97	-1.5	-5.4 / 2.2	0.44
Random	Phylogeny	0.040	0.012 / 0.074		3.8	0.00013 / 19	
	Population	0.0060	0.0026 / 0.0096		1.7	0.00012 / 8.1	
	Trait	0.0061	0.0020 / 0.011		4.8	0.00014 / 21	
	Residual	0.017	0.014 / 0.021		74	54 / 93	

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563 **Table3. Effect of species niche width (θ) and Species Specialization Index (SSI) on heterozygosity (Hz).** Estimates of effects are given by posterior means (Post.

564 Mean) and their associated 95% confidence intervals (CI 95%). pMCMC values are also given for fixed effects. The second model includes population size as a fixed effect.

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Explanatory var.		Heterozygosity			Response var.		Heterozygosity		
Effect		Post.mean	CI 95%	Pc	Effect	Post.mean	CI 95%	Pc	
Fixed	Intercept	0.047	-0.21 / 0.29	0.71	Intercept	0.058	-0.28 / 0.39	0.72	
	θ	0.051	-0.021 / 0.12	0.16	SSI	0.014	-0.025 / 0.053	0.48	
	Log Population size	0.044	0.023 / 0.064	<0.001	Log Population size	0.036	0.020 / 0.054	<0.001	
Random	Phylogeny	0.0047	0.00023 / 0.012		Phylogeny	0.010	0.00020 / 0.030		
	Residual	0.020	0.014 / 0.028		Residual	0.014	0.0044 / 0.024		

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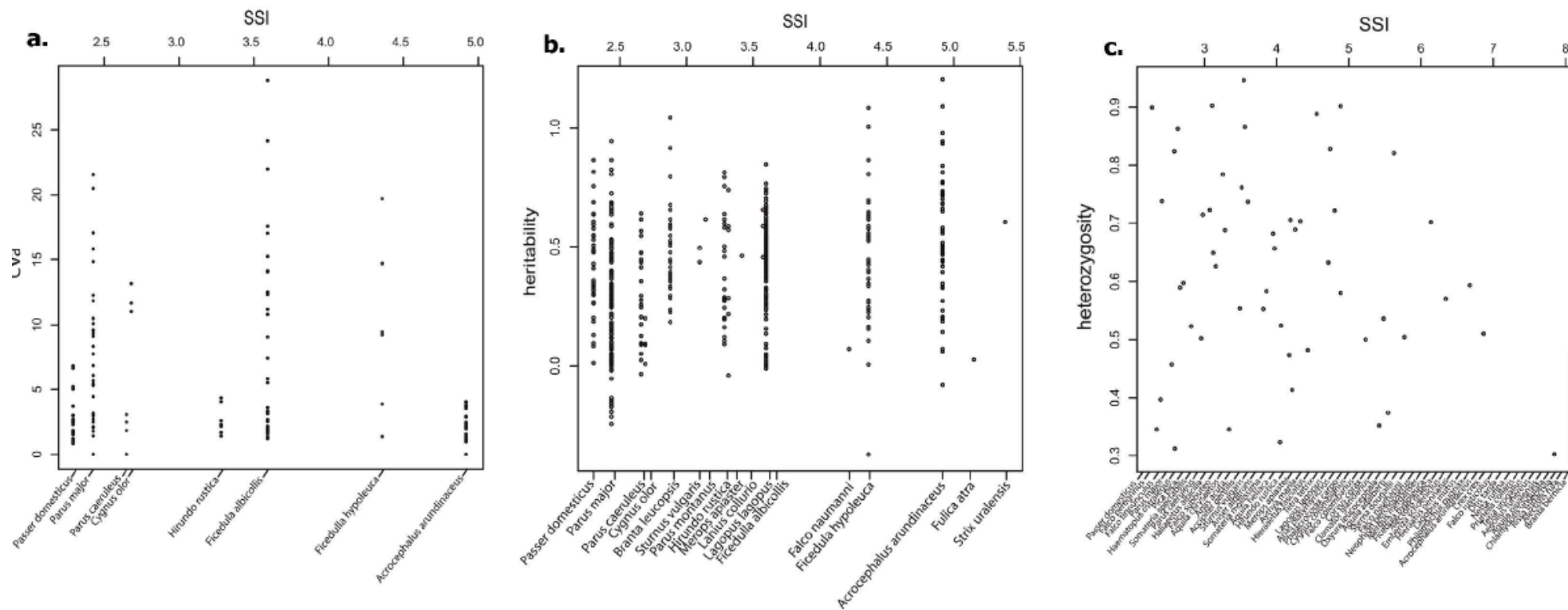
571 **Fig1** Distribution of coefficients of variation of genetic additive variance (CV_A , a), heritabilities (b) and heterozygosity (c) along gradient of
572 habitat specialization (SSI).

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574 **Fig2** Distribution of coefficients of variation of genetic additive variance (CV_A , a), heritabilities (b) and heterozygosity (c) along gradient of
575 habitat niche width (θ).

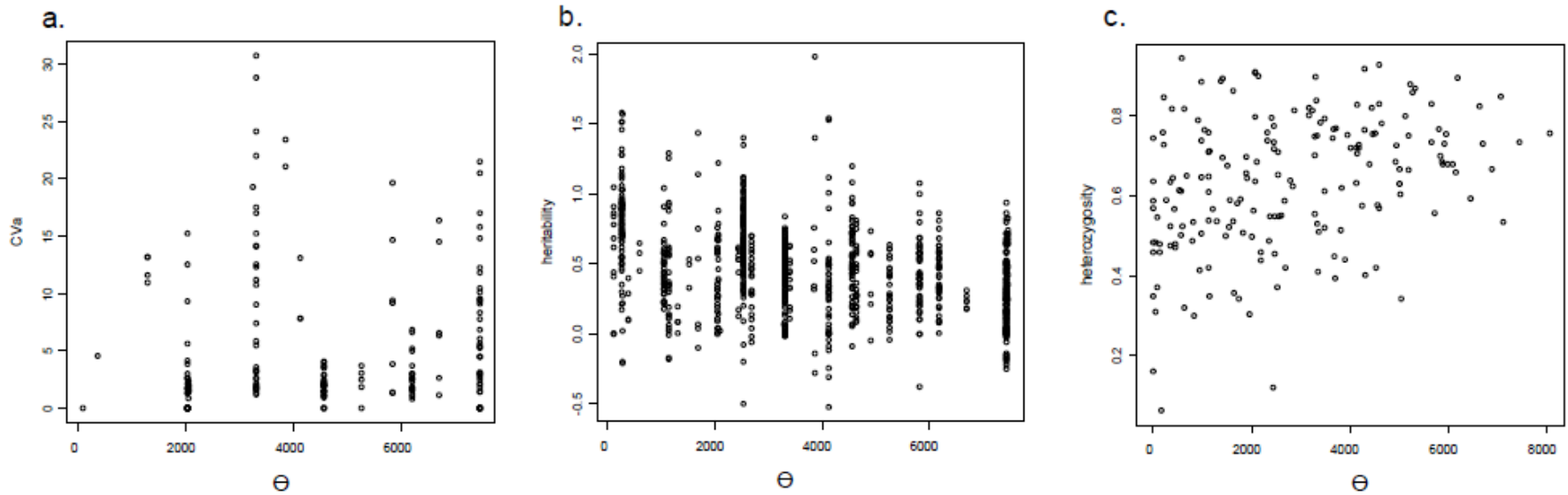
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Fig 1 Distribution of coefficient of additive genetic variance (a), heritability (b), and heterozygosity (c) along a habitat specialization gradient. Each SSI value represents a species which name appears below

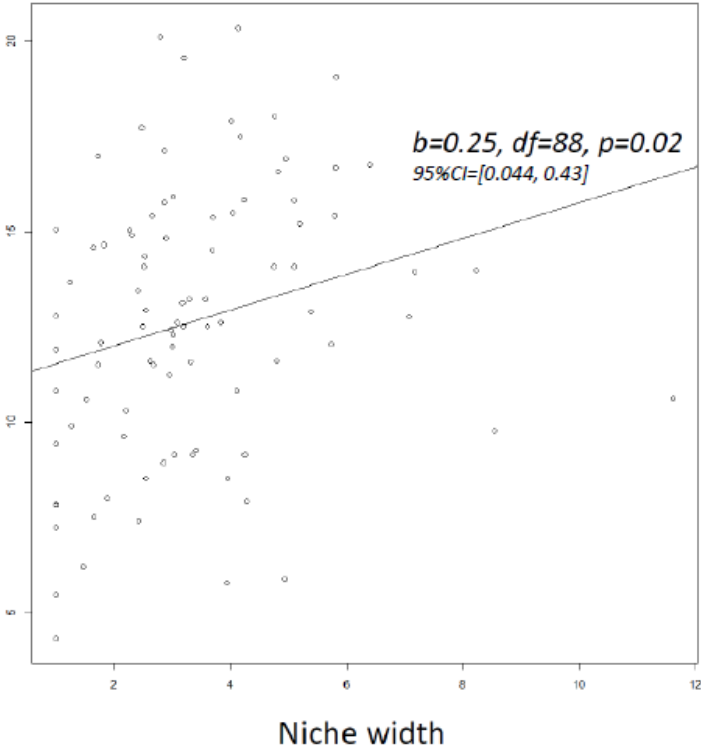
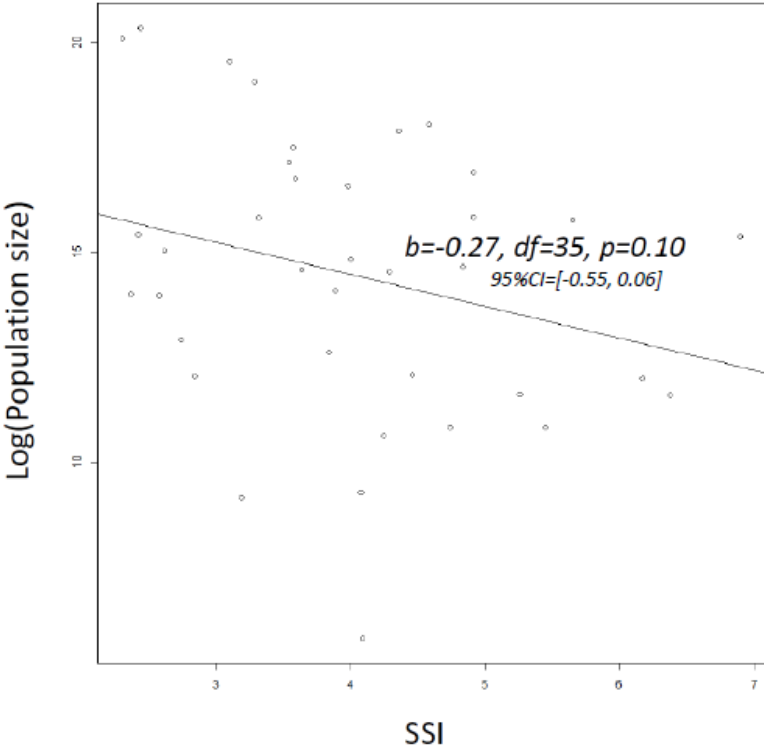


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Fig2 Distribution of coefficient of additive genetic variance (a), heritability (b), and heterozygosity (c) along a habitat niche width gradient. Each Θ value represents a species.



S1. Pearson's product-moment correlation of both the Species Specialization Index and Niche width with population size



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S2. Distribution of specialization indexes, SSI and niche width, for available data.

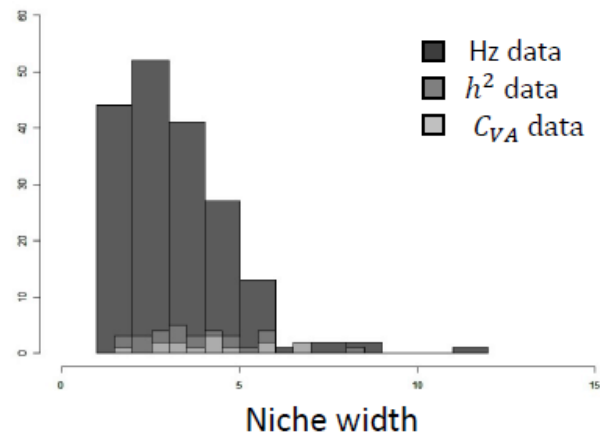
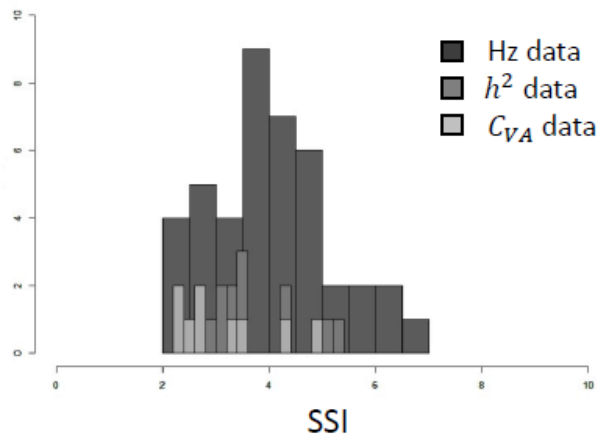
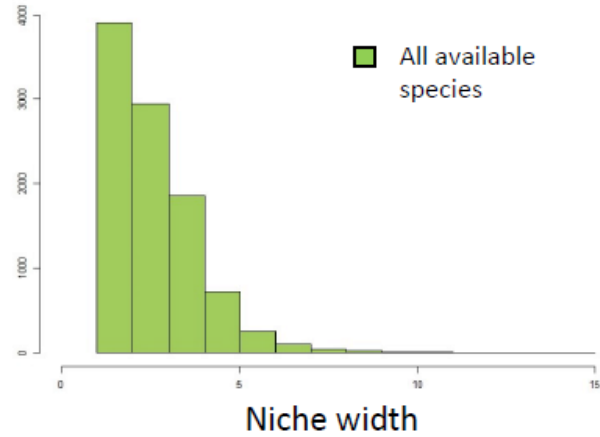
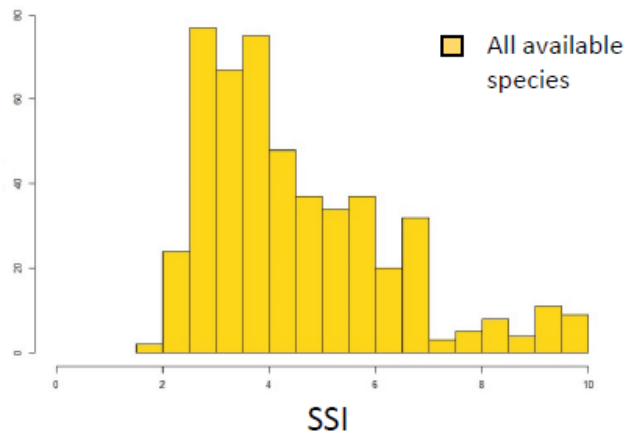


Table S3. List of traits for heritability and coefficient of additive genetic variance data sorted in “life-history” and “morphology” trait categories.

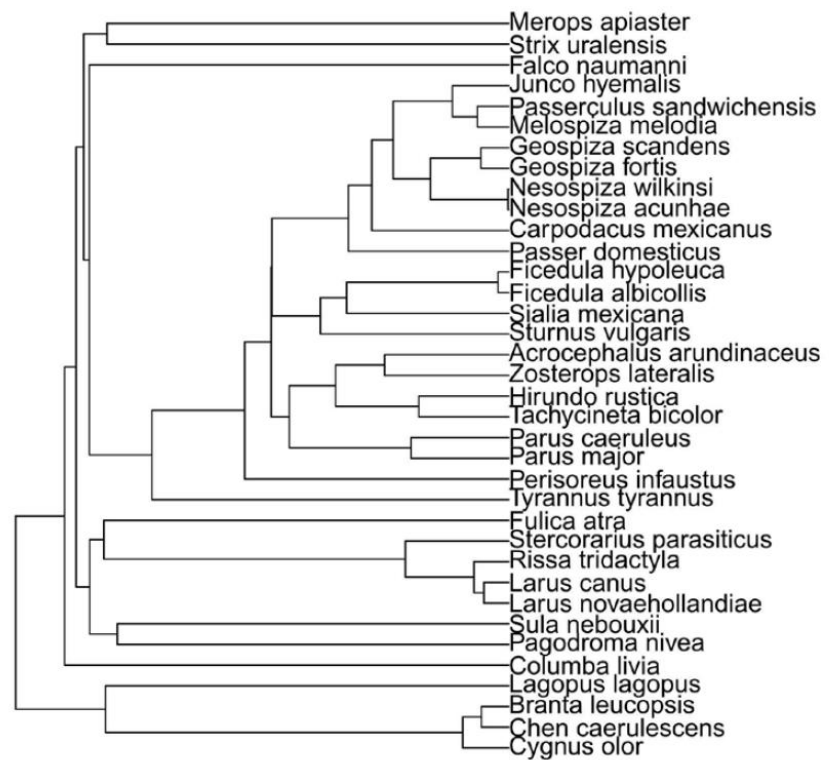
Life-history (h^2)		
actual egg weight	female first-year recruits	mean chroma (egg colour trait)
actual egg weight adjusted for annual variation	female life span	mean egg size
adult survival probability	female lifetime fledging success	mean egg weight
age at first reproduction	female lifetime reproductive success	mean lightness (egg colour trait)
age at last reproduction	first egg volume	median egg size
annual productivity	hatch date	nr of eggs fledged
arrival date	hatch weight	nr of eggs hatched
breeding time	laying date	number of fledglings
calendar hatching date	laying date elevation	number of nesting attempts per year
clutch size	laying date plasticity	relative hatching date
clutch size adjusted for annual variation	life span	SD chroma (egg colour trait)
delay before breeding	lifetime fledgling production	SD lightness (egg colour trait)
egg breadth	lifetime reproductive success	settling date
egg length	lifetime reproductive success	sex ratio at 6 days old
egg mass	log10-transformed recruiting age	sex ratio at independence
egg shape	longevity	standardized laying date
egg size	male annual fitness	start of wing moult
egg volume	male extra-pair reproductive success	time of laying
elevation of the laying date-temperature reaction norm	male first-year fledging success	total number of eggs laid per year
estimated egg weight	male first-year recruits	total number of young raised to 30 days of age
estimated egg weight adjusted for annual variation	male life span	total number of young raised to 6 days of age
femal annual fitness	male lifetime fledging success	.
female annual fitness	male lifetime reproductive success	.
female extra-pair paternity rate	max chroma (egg colour trait)	.
female first-year fledging success	max lightness (egg colour trait)	.

Morphology (h²)

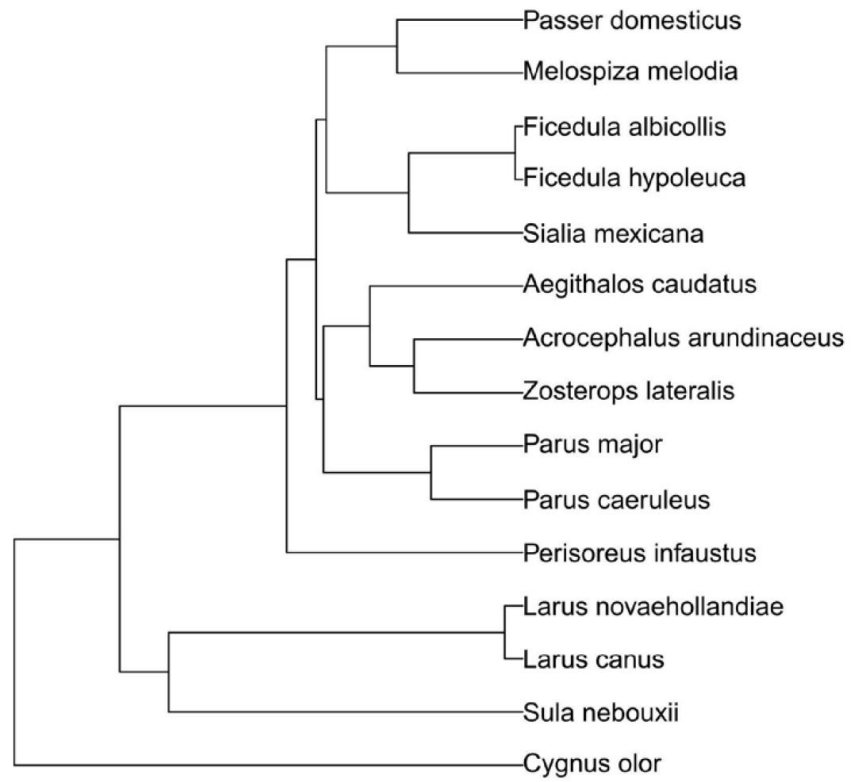
Backcolour	culmen depth	head-length poor condition	PC2-bill (excl. Extra pair youngs)
badge size	culmen length	height of white patch	PC2-body
bill depth	culmen width	male bill depth	PC2-body (excl. Extra pair youngs)
bill depth (excl. Extra pair youngs)	Drostan colour	male bill length	skull length
bill length	fat breeding season	male body condition index	tail length
bill length (excl. Extra pair youngs)	fat winter	male body mass	tail white
bill length . fall-spring	feather growth bar width	male nestling mass	tarsus length
bill length . summer	female bill depth	male tarsus length	tarsus length (excl. Extra pair youngs)
bill length at 2 days	female bill length	male wing length	tarsus length . fall-spring
bill width	female body condition index	mate's forehead patch size	tarsus length . summer
bill width (at a depth of 4mm)	female body mass	muscle breeding season	tarsus length at day 10
bill width (excl. Extra pair youngs)	female nestling mass	muscle insertion length left	tarsus length at day 5
bill width . fall-spring	female tarsus length	muscle insertion length right	total badge size (black throat badge; sexual ornament)
bill width . summer	female wing length	muscle winter	UV/bluesvsGreen/Red
body condition index	first primary length	nestling mass	UVvsBlue
body mass	flattened wing chord	nestling tarsus length	visible badge size
body mass . fall-spring	forehead patch size	offspring condition	weight
body mass . summer	forehead patch size old	outer tail length	Weight (excl. Extra pair youngs)
body mass at day 10	forehead patch size young	patch heigth	width of white patch
body mass at day 5	forhead patch size of a female's mate	patch width	Wing
Brownness scores	GreenvsRed	PC1-bill	Wing (excl. Extra pair youngs)
Capcolour	head length	PC1-bill (excl. Extra pair youngs)	wing length
central tail length	head size	PC1-body	wing patch size old
Chestcolour	head-length good condition	PC1-body (excl. Extra pair youngs)	wing patch size young
chick condition	head-length normal condition	PC2-bill	wing projection

Life-history (C _{Va})		
age at first reproduction	egg laying date standardized spring temperature 1	lifetime reproductive success
age at last reproduction	egg laying date standardized spring temperature -1	lifetime reproductive success
annual fitness	egg mass	log10-transformed recruiting age
annual reproductive success	female fitness	longevity
breeding time	female mate choice	male extra-pair reproductive success
clutch size	first egg volume	male fitness
clutch size standardized spring temperature 0	first-year fledging success	mean egg weight
clutch size standardized spring temperature 1	first-year recruits	nr of eggs fledged
clutch size standardized spring temperature -1	laying date	nr of eggs hatched
clutch size standardized spring temperature NA	life span	nr of seasons bred
egg laying date	lifetime fledging success	.
egg laying date standardized spring temperature 0	lifetime fledgling production	.

Morphology (C _{Va})		
adult body mass	forehead patch size	SD lightness (egg colour trait)
adult tarsus length	head-length good condition	skull length
bill depth	head-length normal condition	tail length
bill length	head-length poor condition	tarsus length
bill width	max chroma (egg colour trait)	total badge size (black throat badge; sexual ornament)
body mass	max lightness (egg colour trait)	visible badge size
culmen depth	mean chroma (egg colour trait)	weight
culmen length	mean lightness (egg colour trait)	wing length
culmen width	nestling mass	wing patch size
feather growth bar width	patch height	wing projection
first primary length	patch width	
fledgling mass	SD chroma (egg colour trait)	



S4.a Phylogenetic tree for heritability data



S4.b Phylogenetic tree for coefficient of additive genetic variance data

593

594 **Table S3** Estimators (Post.mean), confidence intervals (CI) and critical probabilities (Pc) for the fixed and random effects of the three mixed
 595 models corresponding to each estimator of evolutionary potential: heritability, CVa and Heterozygosity. **For weakly informative priors.**

Response var.		Heritability			CVa			Heterozygosity		
Effect	Post.mean	CI 95%	pMCMC	Post.mean	CI 95%	Pc	Post.mean	CI 95%	pMCMC	
Fixed	Intercept	0.050	-0.210/0.309	0.680	9.21	-1.63/20.1	0.088**	0.657	0.419/0.887	<10 ^{-5***}
	SSI	0.046	-0.023/0.117	0.139	0.740	-2.24/3.82	0.607	-0.003	-0.047/0.041	0.158
	Type of Trait : morphologique	0.198	0.140/0.256	<10 ^{-5***}	-7.77	-11.28/-4.24	2.10 ^{-5***}			
	Calcul method : regression	0.046	0.005/0.088	0.030*	-1.40	-4.36/1.61	0.355			
Random	Phylogeny	0.008	0.001/0.021		13.7	1.01/39.7		0.025	0.000/0.072	
	Population	0.007	0.001/0.014		5.75	1.02/13.53				
	Trait	0.021	0.015/0.027		18.74	8.18/30.12				
	Residual	0.004	0.003/0.006		27.19	19.1/36.2		0.016	0.015/0.031	

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598 **Table S4** Estimators (Post.mean), confidence intervals (CI) and critical probabilities (Pc) for the fixed and random effects of the three mixed
 599 models corresponding to each estimator of evolutionary potential: heritability, CVa and Heterozygosity. For parameter expanded prior.

Response var.		Heritability			CVa			Heterozygosity		
Effect	Post.mean	CI 95%	pMCMC	Post.mean	CI 95%	Pc	Post.mean	CI 95%	pMCMC	
Fixed	Intercept	0.053	-0.162/0.270	0.579	9.59	-0.194/19.9	0.057.	0.695	0.411/0.980	<10 ^{-5***}
	SSI	0.044	-0.018/0.103	0.136	0.656	--2.05/3.37	0.583	-0.012	-0.078/0.052	0.704
	Type of trait : morphological	0.198	0.142/0.255	<10 ^{-5***}	-7.93	-11.2/-4.49	<10 ^{-5***}			
	Estimation method :	0.048	0.007/0.090	0.022 [*]	--1.17	-4.05/1.74	0.426			
	regression									
Random	Phylogeny	0.004	0.000/0.017		14.8	0.000/55.8		0.007	0.000/0.026	
	Population	0.005	0.000/0.013		2.03	0.000/7.81				
	Trait	0.022	0.015/0.028		19.6	9.03/31.6				
	Résidual	0.004	0.002/0.006		26.6	18.8/35.3		0.052	0.030/0.077	

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864 **Fig S9. List of references from Evans & Sheldon (2008)**

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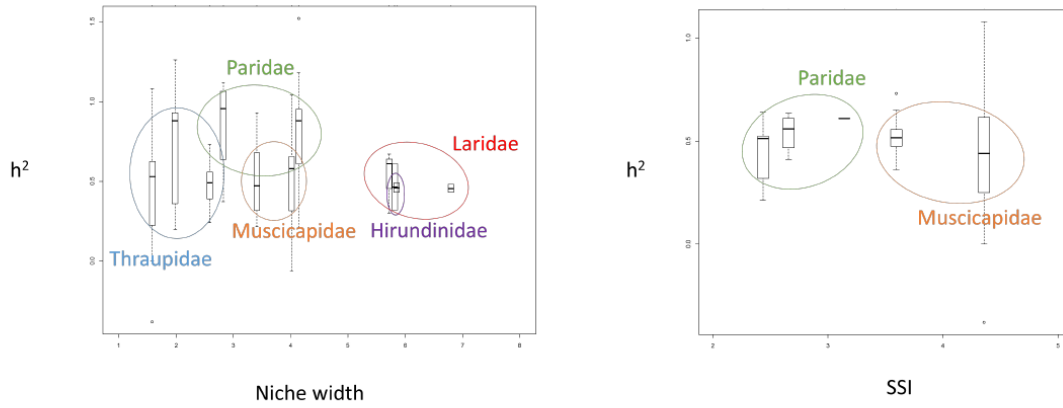
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S10. Data visualisation: heritability of body mass and tarsus length as a function of SSI and Niche width

In this figure we present heritability of tarsus length and body mass, the two most represented traits in our dataset, for families that had more than one species represented. Species belonging to the same family are linked by hand-drawn ellipses.

Heritability of Tarsus length



Heritability of Body mass

