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## Article

## Investigating Charge Transfer in Functionalized Mesoporous EISA-SnO Films

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# Investigating Charge Transfer in Functionalized Mesoporous EISA-SnO<sub>2</sub> Films

Wael Hamd,<sup>†</sup> Christel Laberty-Robert,<sup>#</sup> François Lambert,<sup>§</sup> Cyrille Costentin,<sup>†</sup> Benoît Limoges<sup>†,\*</sup>  
and Véronique Balland<sup>†,\*</sup>

<sup>†</sup> *Laboratoire d'Electrochimie Moléculaire, UMR 7591 CNRS, Université Paris Diderot,  
Sorbonne Paris Cité, 15 rue Jean-Antoine de Baïf, F-75205 Paris Cedex 13, France*

<sup>#</sup> *Sorbonne Universités, UPMC Univ Paris 6, CNRS, Collège de France, Laboratoire de Chimie  
de la Matière Condensée de Paris, 4 place Jussieu, F-75005 Paris, France.*

<sup>§</sup> *Laboratoire des Biomolécules, UMR 7203 CNRS, UPMC Univ Paris 6, Ecole Normale  
Supérieure, 24 rue Lhomond, F-75005 Paris, France.*

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2  
3 ABSTRACT. Semiconductive transparent thin films of periodically-organized nanostructured  
4  
5 SnO<sub>2</sub> were prepared on flat conductive ITO substrates by evaporation-induced self-assembly  
6  
7 (EISA) under different dip-coating regimes and then functionalized by two redox-active  
8  
9 chromophores, i.e. the flavin mononucleotide (FMN) able to reversibly exchange 2 e<sup>-</sup> and 2 H<sup>+</sup>  
10  
11 and the [Os<sup>II</sup>(bpy)<sub>2</sub>(4,4'-CH<sub>2</sub>PO<sub>3</sub>H<sub>2</sub>-bpy)]<sup>2+</sup> complex (OsP) involving a fast and reversible one-  
12  
13 electron transfer. The redox behavior of these two chemisorbed chromophores was investigated  
14  
15 by cyclic voltammetry and cyclic voltabsorptometry. On account of the distinct formal potential  
16  
17 of the two redox chromophores relative to the position of the lower conduction band edge of  
18  
19 SnO<sub>2</sub>, the heterogeneous electron transfer was observed either reversible (FMN) or irreversible  
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21 (OsP). In the case of the OsP-functionalized SnO<sub>2</sub> electrode, quantitative analysis of the cyclic  
22  
23 voltabsorptograms was achieved within the framework of our previously proposed kinetic model  
24  
25 of charge transfer/transport in mesoporous semiconductive films (Renault *et al.*, *Phys. Chem.*  
26  
27 *Chem. Phys.* **2015**, *17*, 10592–607), allowing for direct comparison between EISA-TiO<sub>2</sub> and  
28  
29 EISA-SnO<sub>2</sub> electrodes. It is notably shown that the interfacial electron transfer between the  
30  
31 adsorbed redox chromophore and the SnO<sub>2</sub> interface is the rate-determining process under our  
32  
33 experimental conditions. It is additionally demonstrated that the electrons trapped in the low-  
34  
35 energy surface states of EISA-SnO<sub>2</sub> can directly participate to the interfacial electron transfer, a  
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37 behavior that strongly contrasts to that we had previously found at EISA-TiO<sub>2</sub> electrodes (i.e.,  
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39 wherein only electrons from the conduction band were involved in the interfacial electron  
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41 transfer).  
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3 INTRODUCTION  
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6 Photoanodes based on nanoporous semiconductive films of SnO<sub>2</sub> are recognized as an  
7 alternative to the much more widely explored nanoporous films of TiO<sub>2</sub>, especially as part of  
8 photoelectrocatalytic cells (DSPECs) operating under sunlight irradiation.<sup>1,2</sup> Essential to the  
9 development of such photoanodes is the sensitization of the porous transparent semi-conductive  
10 metal oxide film by redox-active chromophores that adsorb visible light and promote fast  
11 electron injection into the conduction band of the semiconductor. The ensuing photo-oxidized  
12 chromophore can then be used either directly or indirectly (i.e., through the intermediate of a co-  
13 immobilized catalyst) to oxidize a substrate in solution (e.g., water in the case of a water-splitting  
14 DSPEC). The main advantage of SnO<sub>2</sub> over TiO<sub>2</sub> is its conduction band potential shifted ~0.4 V  
15 more positive than TiO<sub>2</sub>,<sup>3,4</sup> expanding thus the choice of redox-active chromophores that feature  
16 less reducing excited states and in turn higher ground-state oxidation potentials (which is  
17 beneficial to drive the oxidation of water in a water-splitting DSPEC or any other molecules  
18 difficult to oxidize in others photoelectrocatalytic applications).<sup>5-8</sup> Others advantages of SnO<sub>2</sub>  
19 rely on its wide band gap (~3.6 eV), therefore decreasing complications from direct band gap  
20 excitation and promoting long term stability under the harsh chemical conditions generally  
21 involved in DSPEC applications. This is also a n-type semiconductor that leads to faster electron  
22 transport characteristics than TiO<sub>2</sub>.<sup>9</sup> Such faster electron transport should theoretically favor the  
23 collection efficiency of photoinjected electrons at the expense of losses by interfacial charge  
24 transfer recombination. However, despite this, only poor device efficiencies have been reported  
25 to date with nanoporous SnO<sub>2</sub>-based photoanodes.<sup>2</sup>

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Better understanding the factors limiting the performance of nanoporous SnO<sub>2</sub> photoanodes  
compared to those based on other nanostructured metal oxides is therefore key to better assess

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3 the actual potentialities offered by this material in DSPEC applications. These factors include not  
4  
5 only the dynamics of electron transport by diffusion throughout the mesoporous metal-oxide  
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7 network, but also the interfacial electron transfer kinetics associated both to the photoinjection of  
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9 electrons in SnO<sub>2</sub> and to the back electron transfer (BET) resulting from charge recombination  
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11 between electrons injected in SnO<sub>2</sub> and the oxidized dye or species present in solution. Several  
12  
13 studies have already addressed the electron injection dynamics in SnO<sub>2</sub> from diverse  
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15 photoexcited redox dyes adsorbed in nanocrystalline films.<sup>4,9-13</sup> Electron injection rates were  
16  
17 shown to proceed within the pico- to nanosecond range, which is ~1 order of magnitude lower  
18  
19 than for TiO<sub>2</sub>. This lower injection rate has been attributed to a lower density of states in the  
20  
21 conduction band of SnO<sub>2</sub> compared to TiO<sub>2</sub> (the available density of states is almost two orders  
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23 of magnitude higher in TiO<sub>2</sub> than in SnO<sub>2</sub>).<sup>14</sup> Interfacial charge recombination in dye-sensitized  
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25 nanoporous SnO<sub>2</sub> films have also been the subject of several studies.<sup>4,15,16</sup> These BET reactions  
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27 are undesired since they compete with the substrate oxidation in solution, contributing thus to the  
28  
29 loss of DSPEC performance. Consequently, minimizing the interfacial charge recombination is  
30  
31 key for developing efficient DSPEC devices. BET rates in dye-sensitized SnO<sub>2</sub> or TiO<sub>2</sub> films  
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33 were found to occur within the micro- to millisecond time scale, depending on the nature of the  
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35 excited dye and electrolyte solution. BET rates were found significantly higher in SnO<sub>2</sub> than in  
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37 TiO<sub>2</sub> (roughly 2 orders of magnitude faster in SnO<sub>2</sub> than TiO<sub>2</sub> when working in organic media  
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39 and only 2-3 fold faster when operating in an aqueous acidic electrolyte), a behavior that was  
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41 attributed to differences in the energy distribution and density of intra-band-gap states (i.e.,  
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43 including bulk trap states and surface states).<sup>4,15,16</sup> Faster electron diffusion in SnO<sub>2</sub> was indeed  
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45 attributed to a lower bulk trap density,<sup>4</sup> while the role of surface states in the recombination  
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47 process was evidenced from atomic layer deposition of conformal thin passivating coatings of  
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3 Al<sub>2</sub>O<sub>3</sub> or TiO<sub>2</sub> on the surface of mesoporous SnO<sub>2</sub> films, resulting thus in a decrease of the  
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5 recombination rates.<sup>17,18</sup>  
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8 In an attempt to better understand the key factors governing the BET reactions in  
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10 semiconductive nanostructured SnO<sub>2</sub> films, we investigate here by real-time cyclic  
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12 voltabsorptometry the redox behavior of two different redox-active chromophores that are  
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14 strongly chemisorbed on the surface of highly-ordered mesoporous thin films of SnO<sub>2</sub>. The  
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16 methodology used for extracting the key information is similar to the one we have recently  
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18 developed for analyzing the interfacial electron transfer parameters in mesoporous films of TiO<sub>2</sub>  
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20 functionalized by iron-porphyrin-based compounds.<sup>19</sup> The SnO<sub>2</sub> films are prepared by  
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22 evaporation-induced self-assembly (EISA), a sol-gel surfactant-templated synthetic method  
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24 which leads to the formation of highly periodically-organized nanoporous metal oxides thin films  
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26 on a flat solid substrate (i.e. a transparent conductive ITO electrode in the present case).<sup>20</sup> The  
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28 main interests of these mesoscopic films are their well-defined porosity, well-controlled 3D  
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30 organization, high specific surface area, and relatively robust inorganic structure made of thick  
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32 semicrystalline walls. These specific properties are not only advantageous for the development  
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34 of efficient DSPEC devices but also useful for fundamental studies whose objective is to  
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36 quantitatively analyze the different modes of charge transfer/transport in these highly-organized  
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38 films. Still, only a few papers report on the preparation of crystalline mesoporous SnO<sub>2</sub> films by  
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40 EISA.<sup>21</sup> This can be explained by the difficulties encountered during the crystallization process  
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42 at high temperature, difficulties that however can be overwhelmed with amphiphilic block-  
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44 copolymers organic templates (“KLE” type) on account of their better thermal stabilities than  
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46 pluronic templates.<sup>21</sup> In the present study, we demonstrate that highly nanoporous crystalline  
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48 EISA-SnO<sub>2</sub> thin films can be obtained from commercial block copolymers of polyisobutylene-  
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3 polyethylene oxide (PIB-*b*-PEO). Additionally, the film thickness (~250 nm) is shown to be  
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5 maximized by adjusting the one-step dip-coating process at very low withdrawal speeds (i.e.,  
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7 draining regime).<sup>22</sup> The resulting SnO<sub>2</sub> films are chemically functionalized by Flavin  
8  
9 mononucleotide (FMN, able to reversibly exchange 2 e<sup>-</sup> and 2 H<sup>+</sup>) or the [Os<sup>II</sup>(bpy)<sub>2</sub>(4,4'-  
10  
11 CH<sub>2</sub>PO<sub>3</sub>H<sub>2</sub>-bpy)]<sup>2+</sup> complex (OsP, a one-electron transfer complex) through chemisorption of  
12  
13 their phosphate/phosphonate anchoring group and characterized by cyclic voltabsorptometry.  
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15 On account of the distinct formal potential of the two redox chromophores relative to the  
16  
17 position of the lower conduction band edge of SnO<sub>2</sub>, the heterogeneous electron transfer is  
18  
19 shown either reversible or irreversible. In the case of the irreversible charge transfer with the  
20  
21 OsP-functionalized EISA-SnO<sub>2</sub> electrode, it is found that electrons trapped in the low-energy  
22  
23 surface states of EISA-SnO<sub>2</sub> can directly participate to the interfacial electron transfer. This  
24  
25 observation contrasts to our previous results with mesoporous EISA-TiO<sub>2</sub> films wherein the  
26  
27 interfacial electron transfer to an absorbed redox compound was shown to proceed exclusively  
28  
29 through the extended conduction band states.<sup>19</sup> The present results confirm that multiple  
30  
31 interfacial recombination pathways coexist in mesoporous SnO<sub>2</sub> electrodes and that the localized  
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33 low-energy surface states contribute significantly to the undesirable charge recombination, a  
34  
35 process that has been previously suggested to predominate in mesoscopic SnO<sub>2</sub> films than TiO<sub>2</sub>  
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37 films.<sup>17,18</sup>  
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## EXPERIMENTAL DETAILS

**Chemicals.** Tin tetrachloride (99%), and solvents were purchased from Sigma Aldrich, polyisobutylene-polyethyleneoxide (PIB-b-PEO; reference P4973-ibEO: MWPIB= 7000 g·mol<sup>-1</sup>, MWPEO ¼ 8500 g·mol<sup>-1</sup>) was purchased from Polymer Source. All the chemical reagents used in the experiments were obtained from commercial sources as guaranteed-grade reagents and used without further purification. The osmium complex [Os(bpy)<sub>2</sub>(4,4'-(CH<sub>2</sub>PO<sub>3</sub>H<sub>2</sub>)bpy)]Cl<sub>2</sub> (OsP) was prepared according to a procedure described for Ruthenium.<sup>23</sup> The product was characterized by cyclic voltammetry in a Hepes Buffer solution (pH 7) by a single reversible wave centered at 0.6 V vs Ag/AgCl. Planar ITO-glass substrates were purchased from SOLEMS.

**Synthesis of templated SnO<sub>2</sub>.** 175 mg of PIB-b-PEO was dissolved in a mixture of 5.6 g EtOH and 0.4 g H<sub>2</sub>O by careful heating to 70 °C for 1 hour. After cooling for several minutes, 1.2 g of SnCl<sub>4</sub> was added dropwise. Then, the solution was stirred for 48 h. The resulting clear solution was dip-coated at ambient temperature on commercial ITO-coated glass substrates to produce thin films.

All films were prepared using a new generation homemade dip-coater (from SolGelWay). Films were deposited at a relatively low humidity (20%) at withdrawal speeds ranging from 0.01 to 5 mm s<sup>-1</sup> and at room temperature. The whole dip-coater was isolated from natural vibration and external convection so as to prevent the formation of horizontal strips (thickness non-uniformity) associated with the fluctuation of the solution surface that creates meniscus instability. In the present investigation, the same container was used to make sure the meniscus shape was similar for each experiment and also to diminish the potential fluctuation of the meniscus height during deposition due to vibration-induced surface instability. These controls are necessary for ultralow withdrawal speeds. After complete drying in air, hybrid films were

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2  
3 directly transferred underneath a curing IR lamp to be thermally treated at 500 °C in air for 1  
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5 hour to decompose the organic parts, to complete the inorganic condensation and to induce the  
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7 crystallization of SnO<sub>2</sub>.  
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10 **Ellipsometry.** The thickness ( $h$ ) and the refractive index ( $n$ ) of optical films were measured by  
11  
12 ellipsometry under UV-visible light at 70° variable angles. Thermal ellipsometry analysis was  
13  
14 performed between 80 and 500 °C to determine the most adequate heat-treatment for the  
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16 transformation of the hybrid organic inorganic film into a crystalline mesoporous films  
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18 Measurements were performed in the wavelength range of 400 to 900 nm, using a covered  
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20 heating unit connected and monitored by a programmable temperature regulator. The  
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22 thermocouple regulator was directly in contact with the sample.  
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27 After dip-coating, the as-prepared hybrid organic–inorganic films deposited onto silicon wafer  
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29 were heated at 80 °C for 10 minutes inside the covered heating unit, just before analysis  
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31 measurements. Data analysis was performed with Wvase32 software, where ellipsometric data  
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33  $\tan(C)$  and  $\cos(D)$  were fitted using a single Lorentz oscillator layer model with one oscillator  
34  
35 with center energy fixed at zero and other with free center energy in the UV-visible domain. The  
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37 thickness ( $h$ ), the real ( $n$ ) and imaginary ( $k$ ) parts of the complex refractive index of the films  
38  
39 were also evaluated.  
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43 **Environmental ellipsometry porosimetry (EEP).** Investigations were conducted at room  
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45 temperature (25°C) using the adsorption–desorption isotherm of water analyzed with an isotropic  
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47 inorganic pore contraction model (IIC) and a modified Kelvin equation for ellipsoidal pores. Pore  
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49 volume and adsorbed water content were estimated through the Bruggeman Effective Medium  
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51 Approximation using the optical properties of the pure media (ZnO, SiO<sub>2</sub>, air and H<sub>2</sub>O). The  
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53 ellipsometer was fitted with a small, variable humidity flow chamber (SOPRA) flushed with 2.5  
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3 L of air per min. The humidity was adjusted using a mass flow controller and monitored using a  
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5 relative humidity probe held in the environmental chamber.  
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8 **SEM FEG, EDX.** The microstructures of the films obtained at different withdraw speed were  
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10 observed by Field Emission (FE) Gun Scanning Electron Microscopy (FE-SEM, Hitachi). The  
11  
12 chemical compositional analysis of the films was performed by Energy Dispersive X-ray  
13  
14 spectroscopy.  
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18 **XRD.** The structure of the mesoporous SnO<sub>2</sub> films was determined using a prototype X-ray  
19  
20 diffractometer equipped with a curved position sensitive detector (120°) from Inel. A fixed-  
21  
22 incidence monochromatic CoK $\alpha$  impinging parallel beam is obtained by reflection on a flat  
23  
24 Ge(111) crystal. Cobalt radiation was used instead of more usual copper radiation to avoid  
25  
26 sample fluorescence. The beam cross-section was 0.05 $\times$ 6 mm<sup>2</sup>, producing a rectangular 3 $\times$ 6 mm<sup>2</sup>  
27  
28 beam footprint on the sample at a fixed incidence of 1°. At this incidence, the attenuation length  
29  
30 inside the hematite sample is about 0.7 mm. The grazing incidence diffraction patterns ( $0 < 2\theta <$   
31  
32  $120^\circ$ ,  $\Delta 2\theta = 0.015^\circ$ ,  $\alpha = 1^\circ$ ) were refined using the Rietveld software XND. The broadening  
33  
34 components related to finite crystallite size and microstrain were determined after taking into  
35  
36 account the specific corrections for the instrumental broadening related to the grazing incidence  
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38 setup.  
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44 **Electrode Functionalization.** Adsorption of the water-soluble redox probes (FMN or OsP)  
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46 into the highly ordered mesoporous structure of SnO<sub>2</sub> thin films (~250-nm thick) was achieved at  
47  
48 room temperature by immersing the mesoporous SnO<sub>2</sub>-coated ITO glass plates in a 1 mM milli-  
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50 Q aqueous solution (pH ~5) of each redox probe for few hours (> 2 hours). After adsorption, the  
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52 modified electrodes were carefully rinsed with milli-Q water and soaked for 1 hour in the buffer  
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3 solution (50 mM Hepes, 300 mM KCl, pH 7.0) to desorb low affinity fractions of the redox  
4 probes prior characterization by spectroelectrochemistry.  
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8 **Spectroelectrochemistry.** For spectroelectrochemical experiments, a TORUS UV-visible  
9 diode-array spectrophotometer (Ocean Optics), equipped with optical fibers and a balanced  
10 deuterium tungsten source (Micropack), was coupled to an Autolab potentiostat PGSTAT 12  
11 (EcoChemie) interfaced to a PC computer (GPES software). Both instruments were synchronized  
12 through an input trigger signal generated from the potentiostat, allowing thus to simultaneously  
13 recorded the change of optical absorbance during a chronoamperometric or a cyclic voltammetric  
14 scan. The spectroelectrochemical measurements were performed in a home-made one-  
15 compartment three-electrode cell. The SnO<sub>2</sub>-film-coated ITO glass substrate was used as the  
16 working electrode, whereas a platinum wire and Ag/AgCl electrode in 3 M KCl were used as  
17 counter and reference electrodes, respectively (i.e., +0.20 V vs. NHE at 20°C). All potentials in  
18 the work were quoted to this reference electrode. The working electrode was prepared from a  
19 small rectangular piece of 0.8 × 3.5 cm cut from a SnO<sub>2</sub>-film-coated ITO glass plate. A working  
20 area of 0.30 ± 0.05 cm<sup>2</sup> was delimited from one extremity of the rectangular piece by depositing  
21 an insulating layer of varnish.  
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41 The three electrodes were inserted in a 1-cm path length quartz cell through a silicon cap that  
42 hermetically closes the cell. An additional Tygon tube was introduced for degassing. The  
43 spectroelectrochemical cell was filled with 1.5 mL buffer and was thoroughly freed of air by  
44 bubbling with argon prior to the experiments. During the experiments, argon was continuously  
45 flowed over the solution in order to maintain the anaerobic environment and the cell was  
46 thermostated to 20°C using a Peltier-controlled cuvette holder (Quantum Northwest). All  
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3 experiments were carried out in an aqueous buffer of 50 mM Hepes (pH 7 and pH 8.5) or 50 mM  
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5 MES (pH 5 and pH 6), in the presence of 300 mM KCl.  
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## 10 RESULTS AND DISCUSSION

### 14 Preparation of the EISA-SnO<sub>2</sub> thin films.

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17 The mesoporous SnO<sub>2</sub> films were synthesized onto a flat conductive ITO-coated glass  
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19 substrate by a template-directed sol-gel synthesis coupled with a one-step dip-coating process.  
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21 Different withdrawal speeds were used for the dip-coating deposition allowing different  
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23 deposition regimes, i.e. the draining regime at high-speed values ( $> 1 \text{ mm}\cdot\text{s}^{-1}$ ) and the capillary  
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25 regime at speeds below  $0.1 \text{ mm}\cdot\text{s}^{-1}$ . The later allows for SnO<sub>2</sub> films characterized by a larger  
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27 thickness and thus a higher specific surface area enhancement.<sup>22</sup>  
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32 For all deposition regimes, dip-coating of the precursor sol resulted in an initial hybrid  
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34 transparent and homogeneous amorphous film, as indicated by the X-ray diffraction patterns.  
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36 High temperature annealing of the hybrid organic-inorganic films is then required to obtain a  
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38 crystalline material with an open porosity network. We have investigated the thermal behavior of  
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40 the hybrid organic-inorganic SnO<sub>2</sub> films in the draining regime by thermoellipsometry in order  
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42 to propose the adequate heat-treatment that (i) keeps the organization of pores defined by the  
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44 organic template in the hybrid organic-inorganic films and (ii) allows for a good crystallization  
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46 of the inorganic walls. The variation of SnO<sub>2</sub> film thickness as a function of temperature is  
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48 reported in Figure S1. The derivative curve exhibits three main peaks related to various  
49  
50 phenomena. The first peak at low temperature ( $\sim 120^\circ\text{C}$ ) corresponds to a thickness decrease  
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52 resulting from the evaporation of residual solvents such as H<sub>2</sub>O and EtOH. Between  $220^\circ\text{C}$  and  
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3 250 °C, variations of both thickness and refractive index are observed. It corresponds to the  
4 decomposition of PEO and PIB blocks to CO and CO<sub>2</sub> gases. Between 400 °C and 450 °C, the  
5  
6 low decrease of the thickness with temperature can be attributed to the crystallization of SnO<sub>2</sub>  
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8 nanocrystallites (by a nucleation and growth process). XRD peaks are characteristic of  
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10 cassiterite, a crystalline morphology identified on the X-ray diffraction patterns of 500 °C  
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12 calcined films. The decomposition of the different blocks thus occurs prior to the crystallization  
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14 of SnO<sub>2</sub> at around 450 °C. But, the final refractive index following this heat treatment is quite  
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16 high compared to those obtained by flash treatment at 500 °C, indicating the formation of the  
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18 porous network. Note that flash annealing induces an important inorganic growth leading to the  
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20 collapse of the pore structure. Accordingly, a thermal treatment at 500 °C in air for 1 hour was  
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22 required to form a nanostructured, mesoporous film of SnO<sub>2</sub> with a well-defined and highly  
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24 accessible porous network, allowing fast diffusion of chemicals.  
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### 31 **Physical characterization of the EISA-SnO<sub>2</sub> thin films.**

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35 The thickness of single-dip-coated mesoporous films, heat-treated at 500 °C, has been  
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37 evaluated by FE-SEM analyses and the value is comparable to that estimated by ellipsometry,  
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39 within the experimental errors (Table 1). Top-down and cross-section images show highly  
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41 porous and uniform heat-treated films (Figure 1). As expected, a much higher film thickness of  
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43 ca. 250 nm could be obtained in the capillary regime (withdrawal speed of 0.01 mm·s<sup>-1</sup>) than in  
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45 the draining regime wherein the maximum film thickness reached was only 100 nm (at a  
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47 withdrawal speed of 5 mm·s<sup>-1</sup>). For the larger film, the cross-section image shows ellipsoidal  
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49 pores which is attributed to a small contraction of the film during calcination / crystallization.  
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54 The composition of the nanostructured SnO<sub>2</sub> films was determined by EDX analyses (Figure  
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56 S2). We found that the principal element (Sn) was homogeneously distributed within the film.  
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3 We also denote the existence of (In) and (Si,Na) elements from the underlying ITO-glass  
4 substrate.  
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8 The porosity of the EISA-SnO<sub>2</sub> films was analyzed by ellipsometric porosimetry. A similar  
9 behavior was observed for the 100- and 250-nm thick SnO<sub>2</sub> films prepared at 5 and 0.01 mm·s<sup>-1</sup>,  
10 respectively. The water adsorption/desorption isotherm is shown in Figure S3, and the  
11 corresponding pore size distribution plots calculated from both adsorption and desorption  
12 branches are given in Figure 1. Firstly, as expected from the very low refractive index ( $n = 1.22$ ),  
13 the accessible porosity is very high since one found 65-70% in total volume. This value was  
14 obtained based on the Bruggeman Effective Medium Approximation (BEMA), using  $n = 2$  at 700  
15 nm for the theoretical refractive index for crystalline bulk SnO<sub>2</sub>. The adsorption and desorption  
16 curves are almost overlapping over the humidity range, which suggests that the porosity is highly  
17 accessible, as one would expect from a 3D fully interconnected void network. Such an hysteresis  
18 suggests absence of restrictions, or presence of wide ones, which would then imply that pores are  
19 close to interconnected channels or open tubes.<sup>24,25</sup> Assuming so, and using the 27° (measured  
20 value) as the water contact angle on SnO<sub>2</sub> surface model in the Kelvin equation, one obtains a  
21 pore size distribution centered at ~30 nm based on the adsorption branch and at ~20nm based on  
22 the desorption branch (Table 1), although with a larger distribution of pore sizes for the thicker  
23 film. Such values are in good agreement with SEM microscopy observation.  
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#### 46 **Electrochemical characterization of the EISA-SnO<sub>2</sub> films**

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49 The electrochemical behavior of the 100- and 250-nm thick EISA-SnO<sub>2</sub> films was investigated  
50 by cyclic voltammetry in a buffered aqueous solution at pH 7 (in the presence of 0.3 M KCl). As  
51 shown on the cyclic voltammograms (CVs) in Figure 2, the capacitive current density recorded  
52 at  $E > 0.2$  V (vs. Ag/AgCl) remains low and independent of the applied potential. In this  
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3 potential window, the SnO<sub>2</sub> film behaves as an insulating porous layer as the applied potential  
4 remains much more positive than the conduction band potential of SnO<sub>2</sub>, the latter being  
5 estimated as  $E_{CB} = -0.39$  V at pH = 7.0 according to the following relationship (in V vs.  
6 Ag/AgCl):<sup>3</sup>  
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$$11 \quad E_{CB} = 0.02 - 0.059 \text{ pH} \quad (1)$$

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17 As a result, at potentials  $E > 0.2$  V, the observed background current only arises from the  
18 electrical double-layer charging capacitance of the underlying exposed conductive ITO substrate.  
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20 As the potential is progressively downshifted to cathodic values, *i.e.* for  $E < 0.2$  V, the cyclic  
21 voltammograms (CVs) are characterized by an exponential increase of the current density which  
22 varies proportionally to the scan rate (Figure 2). This behavior is analogous to that previously  
23 described for electrodes prepared from randomly sintered SnO<sub>2</sub> nanoparticles.<sup>16</sup> This is also  
24 similar to that reported for mesoporous TiO<sub>2</sub> electrodes prepared either from randomly sintered  
25 nanoparticles or EISA, except that with this metal oxide the exponential growth of current occurs  
26 at significantly lower potential values ( $\sim 0.4$  V more negative) at the same pH.<sup>19,26,27</sup> The  
27 exponential increase of current as the applied potential is downshifted toward the conduction  
28 band potential of SnO<sub>2</sub> is characteristic of the progressive filling of electronic states present in  
29 the semi-conductive mesoporous material (*i.e.*, the filling of bulk or surface localized states that  
30 are distributed in the sub-bandgap region of SnO<sub>2</sub> and the filling of extended conduction band  
31 states when the potential is raised close to  $E_{CB}$ ). This current transition corresponds thus to the  
32 chemical capacitive charging current resulting from the exponential growth of conductivity  
33 within the semiconductive mesoporous SnO<sub>2</sub> film. This is finally only when the applied potential  
34 is sufficiently lower than the conduction band potential (*i.e.*, at  $E < E_{CB} = -0.39$  V at pH 7.0),  
35 that the semiconductive material is expected to turn out fully degenerate and so to behave as a  
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3 metal-like conductive film. Under this condition, the capacitive background current should  
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5 become theoretically constant and independent of the potential (because just governed by the  
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7 charging of the double-layer generated at the quasi-metallic EISA-SnO<sub>2</sub> film). This is however  
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9 not what we can observe in Figure 2 where the current density tends to slowly increase with the  
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11 rise of potential and even to become no more proportional to the scan rate at the highest cathodic  
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13 potentials. This behavior can be attributed to some faradaic contribution to the current, arising  
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15 most likely from slow proton intercalation as we have recently demonstrated to occur in TiO<sub>2</sub>  
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17 electrodes under similar buffered aqueous conditions.<sup>28</sup>  
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24 Whatever the reason for the lack of a constant capacitive background current at potential  
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26 values lower than  $E_{CB}$ , the good overlap of CVs normalized to  $v$  shown in Figure 2 for the  
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28 different EISA-SnO<sub>2</sub> electrodes unambiguously demonstrates that the electron transport  
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30 throughout the mesoporous network is not rate limiting within the range of scan rate investigated  
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32 (up to 1 V·s<sup>-1</sup>). In addition, the chemical capacitive current determined at -0.35 V is observed to  
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34 linearly scales with the film thickness (the current is 2.15 times larger at the 250-nm-thick EISA-  
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36 SnO<sub>2</sub> electrode than at the 100-nm-thick, see Figure 2), a result which tends to demonstrate that  
37  
38 the nanoporous film is structurally quite homogeneous normal to the underlying ITO surface.  
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44 The above analysis of the capacitive current of EISA-SnO<sub>2</sub> electrodes finally allows us to define  
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46 the potential windows at pH 7.0 where the semiconductive film is switched from a poorly  
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48 conductive material to a metal-like conductive material with the applied potential (see Figure 2):  
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- 51 - for  $E > 0.2$  V, the SnO<sub>2</sub> semiconductive film remains insulating and the capacitance  
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53 arises exclusively from the underlying exposed conductive ITO interface;  
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- for  $E_{CB} = -0.39 \text{ V} < E < 0.2 \text{ V}$ , the conductivity of the film is exponentially increased as the potential is downshifted by progressively filling the electronic states;
- for  $E < E_{CB}$ , the  $\text{SnO}_2$  film is fully degenerated and behaves as a high specific surface area quasi-metallic electrode.

It is worth to note that localized surface states originating from structural defects at the  $\text{SnO}_2$  surface are generally reported in the electronic description of mesoporous  $\text{SnO}_2$ -based electrodes.<sup>18</sup> It is commonly assumed that these surface states are energetically located below the conduction band, in an exponential distribution of localized states within the bandgap and also sometime characterized by a narrow distribution of monoenergetic electronic states leading to a small reversible capacitive peak located on CVs at the beginning of the transition from an insulating to conductive state. However, the capacitive current recorded with our EISA- $\text{SnO}_2$  electrodes does not allow to clearly identify such monoenergetic surface states, even though a small shoulder is visible at  $\sim 0.06 \text{ V}$  (vs.  $\text{Ag}/\text{AgCl}$ ) on the CVs of Figure 2 (especially for the 250-nm thick EISA- $\text{SnO}_2$  electrodes) as in other studies.<sup>16</sup>

### **Spectroelectrochemical characterization of EISA- $\text{SnO}_2$ films functionalized by redox-active chromophores.**

The 250-nm-thick EISA- $\text{SnO}_2$  electrodes were chemically functionalized by FMN and OsP (see Scheme S1 for molecular structures) through chemisorption of these compounds onto the metal oxide surface (on account of the presence of an organophosphorous anchoring group, the FMN and OsP compounds are able to strongly chemisorb onto the  $\text{SnO}_2$  surface through a similar heterocondensation reaction than that previously demonstrated at ITO surfaces<sup>29</sup>). These two molecules were selected because of (i) their intense redox-dependent visible absorption features

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3 (ii) sufficiently different formal reduction potentials ( $E_{\text{FMN}}^{0'} = -0.4$  V and  $E_{\text{OsP}}^0 = 0.6$  V vs  
4 Ag/AgCl) so that in the case of FMN it lies close to the  $E_{\text{CB}}$  of SnO<sub>2</sub> while for OsP it locates at a  
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6 potential window where SnO<sub>2</sub> is insulating, and (iii) their ability to rapidly and reversibly  
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8 exchange electrons at a conductive interface.  
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13 After careful rinsing, the resulting modified electrodes were characterized by real-time UV-  
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15 visible absorption spectroelectrochemistry in a buffer solution. This methodology allows for  
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17 monitoring selectively the absorbance changes generated by an adsorbed redox-active  
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19 chromophore during the time course of a cyclic voltammetry experiment, leading thus to the  
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21 establishment of cyclic voltabsorptograms (CVAs) at specific wavelengths. Time derivative of  
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23 CVAs allows for derivative cyclic voltabsorptograms (DCVAs), which are equivalent to the  
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25 simultaneously recorded cyclic voltammograms but without the capacitive current contribution.<sup>30</sup>  
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28 Consequently, CVAs and DCVAs allow for a more specific and accurate monitoring of electron  
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30 transfer reactions with adsorbed redox chromophores than CVs, for which the huge capacitive  
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32 contribution at negative potentials tends to mask the faradaic response.<sup>19</sup>  
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38 During a CV scan, reduction/oxidation of the adsorbed redox chromophore can occur through  
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40 one or a combination of the following three electron transport mechanisms:  
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44 (1) by physical diffusion of the redox probe throughout the void volume of the  
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46 mesoporous film up to the underlying conductive ITO electrode, a process that is however here  
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48 assumed to be unlikely on account of the strong chemisorption of redox chromophores we have  
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50 selected. The strong anchoring of FMN or OsP to the SnO<sub>2</sub> metal oxide surface was asserted by  
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52 the good stability of the resulting modified electrodes, even under prolonged immersion in a high  
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3 ionic strength aqueous buffer (desorption of the redox-active molecules has been observed to be  
4 less than 8% / hour);  
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9 (2) by a diffusion-like electron transport from the underlying conductive ITO electrode  
10 through electron hopping between adjacent redox probes, a phenomenon that is well-known in  
11 insulating films loaded with high surface coverages of redox-active molecules.<sup>31</sup>  
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17 (3) by direct heterogeneous electron transfer at the SnO<sub>2</sub> interface when the Fermi level  
18 of electrons in the metal oxide film (or film conductivity) is raised sufficiently negative (i.e. by  
19 scanning the potential in CV at sufficiently cathodic values).  
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25 A first set of experiments was conducted at pH 7.0 at a FMN-SnO<sub>2</sub> electrode by monitoring the  
26 current as well as the absorbance change at 450 nm during the time-course of a cyclic  
27 voltammogram performed from -0.1 to -0.6 V (vs. Ag/AgCl). As shown in Figure 3, the CV is  
28 characterized by a pair of well-defined symmetric waves centered at -0.4 V, a value that  
29 corresponds to the formal potential of the two-electrons redox transition of FMN at pH 7 in  
30 homogeneous solution.<sup>32,33</sup> The current intensity of the CVs is also proportional to the scan rate,  
31 up to  $\nu = 0.1 \text{ V}\cdot\text{s}^{-1}$  (Figure S4), characteristic of conductive surface-confined redox-active  
32 species. From integration of both cathodic and anodic peaks, a FMN surface coverage of ca. 0.6  
33 nmol·cm<sup>-2</sup> (normalized to the geometric electrode area) could be estimated when assuming a 2-  
34 electron redox transition. This value is consistent with that calculated from the absorbance  
35 change monitored at 450 nm on the CVA of Figure 3, assuming  $\Delta\epsilon_{450} \sim 10\,000 \text{ M}^{-1}\cdot\text{cm}^{-1}$ . The full  
36 reversibility and lack of hysteresis in the CVA is also coherent with the low  $\Delta E_p$  value observed  
37 on the CV ( $\Delta E_p < 30 \text{ mV}$ ), demonstrating that the reversible reduction of the chemisorbed FMN  
38 occurs under thermodynamic equilibrium within the range of scan rates investigated. The redox  
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3 behavior of FMN on SnO<sub>2</sub> is very similar to that reported on ITO,<sup>33</sup> a behavior which implies not  
4 only fast interfacial proton-coupled electron transfer reactions at the metal oxide interface, but  
5 also fast electron transport throughout the 250-nm-thick semiconductive SnO<sub>2</sub> network. This also  
6 entails that the EISA-SnO<sub>2</sub> electrode behaves as a metal-like electrode within the potential  
7 window corresponding to the FMN redox transition (Figure 3). A similar reversible electron  
8 transfer mechanism is also obtained for EISA-SnO<sub>2</sub> electrodes modified with microperoxidase  
9 11 (MP-11, Figure S5), a one-electron transfer redox chromophore characterized by a standard  
10 potential close to that of FMN ( $E_{\text{MP-11}}^0 = -0.37$  V) and that easily physisorbs within the  
11 mesoporous SnO<sub>2</sub> film (see Scheme S1). This result clearly shows that a reversible interfacial  
12 electron transfer can be expected as long as (i) the redox potential of the chemisorbed redox  
13 compound lies close or more negative to the conduction band potential of SnO<sub>2</sub> and (ii) the redox  
14 compound allows for a fast and reversible electron transfer reaction, independent of the nature of  
15 interactions the redox molecule has with the metal oxide surface. It is interesting to note that in  
16 contrast to the reversible wave we observed here for MP-11 in EISA-SnO<sub>2</sub>, an irreversible CV  
17 peak was previously reported for the same molecule in EISA-TiO<sub>2</sub> films.<sup>19</sup> This distinctive  
18 behavior is fully consistent with a conduction band potential of TiO<sub>2</sub> that is ~0.4 V more  
19 negative than SnO<sub>2</sub>.<sup>3</sup>

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44 Similar experiments were next conducted at the OsP-functionalized SnO<sub>2</sub> electrodes. As the  
45 standard potential of the OsP complex ( $E_{\text{OsP}}^0 = 0.6$  V) lies in a potential window where SnO<sub>2</sub> is  
46 insulating, it can be anticipated that direct interfacial electron transfer between SnO<sub>2</sub> and OsP  
47 should proceed irreversibly once the potential applied to the SnO<sub>2</sub> film is sufficiently negative to  
48 convert the latter reasonably conductive (*i.e.* for  $E < 0.2$  V at pH 7). Since the osmium complex  
49 we have used to prepare the functionalized electrode was the reduced form, before performing  
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3 any cathodic CV or CVA scan it was first necessary to in situ convert the chemisorbed complex  
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5 in its oxidized form. This has been rendered possible thanks to the application of a constant  
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7 preconditioning anodic potential ( $E = 1.0$  V) to the OsP-functionalized SnO<sub>2</sub> film for at least 30  
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9 seconds (see Figures S6). Under these conditions, even though the SnO<sub>2</sub> material remains  
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11 insulating, the electrochemical oxidation of the Os<sup>II</sup> complex is expected to proceed most likely  
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13 by a slow electron hopping between adjacent redox-active molecules. This slow oxidation  
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15 process is supported by the fact that the time needed to fully oxidize the chemisorbed OsP  
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17 complex contained within the 250-nm thick SnO<sub>2</sub> electrode (i.e. ~30 s) is relevant of an apparent  
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19 slow diffusion coefficient  $D$  of  $\sim 7 \times 10^{-12}$  cm<sup>2</sup>·s<sup>-1</sup> (assuming a diffusion length of  $l = (\pi Dt)^{1/2}$ ).  
20  
21 Such a low value is in agreement with a poorly efficient hopping electron transport between  
22  
23 adjacent adsorbed molecules. From the total absorbance change in Figure S6, a total surface  
24  
25 coverage  $\Gamma^0$  of 2.2 nmol·cm<sup>-2</sup> can be estimated for the grafted OsP complex (using an extinction  
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27 coefficient of 12000 M<sup>-1</sup>·cm<sup>-1</sup>, as usually reported for osmium tris-bipyridine complexes in  
28  
29 water).<sup>34</sup> This surface coverage (normalized to the geometric electrode area) is significantly  
30  
31 much larger than that obtained with the FMN chromophore, a difference that can be related to  
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33 the lower reactivity of phosphate compared to phosphonate anchoring groups toward  
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35 chemisorption on metal oxide surfaces.<sup>29</sup>  
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45 Once converted to its oxidized form, the OsP-modified SnO<sub>2</sub> electrode was then characterized in  
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47 cyclic voltabsorptometry by monitoring the absorbance change at 485 nm. Resulting CVs and  
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49 CVAs are reported in Figure 3. Their shapes strongly differ from those obtained at the FMN-  
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51 functionalized SnO<sub>2</sub> electrode. Indeed, reduction of the Os<sup>III</sup> complex appears as a biphasic  
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53 process. A first reversible wave centered on the standard potential of the Os<sup>III</sup>/Os<sup>II</sup> redox couple  
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55 ( $E^0 = 0.6$  V) is observed at a potential where SnO<sub>2</sub> is insulating, leading to the reduction of a  
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3 fraction of the total amount of adsorbed OsP complex (that only a fraction of OsP is reduced  
4 during this first reduction wave is clearly evident from the absorbance change as a function of  
5 potential, and it can be estimated to correspond to a fraction of ~50% at the scan rate of 0.05 V s<sup>-1</sup>  
6<sup>1</sup>). The remaining fraction of adsorbed OsP complex is thereafter fully reduced at more negative  
7 potential, leading to an irreversible reduction peak localized at 0.03 V. By comparing the CVs at  
8 different scan rates in figure S7, it can be concluded that the first reversible process is a  
9 diffusion-like process as its intensity is proportional to the square root of the scan rate. From the  
10 cathodic peak intensity of this reversible process, an apparent diffusion coefficient of ~10<sup>-11</sup>  
11 cm<sup>2</sup>·s<sup>-1</sup> can be estimated if assuming a starting volumic film concentration of 9 × 10<sup>-5</sup> mol·cm<sup>-3</sup>  
12 OsP within the 250-nm thick film (calculated from the geometric surface concentration of 2.2  
13 nmol cm<sup>-2</sup> OsP).  
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31 This biphasic reduction process is reminiscent to that previously reported at mesoporous EISA-  
32 TiO<sub>2</sub> electrodes functionalized by an iron porphyrin.<sup>19</sup> It is indicative of the co-existence of two  
33 charge transfer mechanisms: a first diffusion-like mechanism involving most likely electron  
34 hopping transport between adjacent chemisorbed OsP complexes across the insulating SnO<sub>2</sub>  
35 network, and a second mechanism involving direct interfacial electron transfer from SnO<sub>2</sub> to the  
36 oxidized adsorbed molecule. This second mechanism is only efficient once a significant  
37 concentration of electrons is injected into the semiconductive material (i.e., at  $E < 0.2$  V at pH  
38 7.0).  
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50 Quantitative analysis of this irreversible reduction process was performed on the derivative  
51 cyclic voltabsorptograms (DCVAs) recorded at different scan rates and pH, and represented as  
52 flux of molecules transformed ( $\Phi$  in mol·cm<sup>-2</sup>·s<sup>-1</sup>) as a function of potential using eq 2:  
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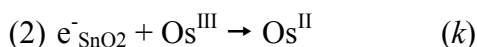
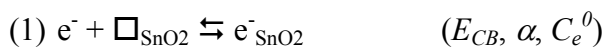
$$\phi = -\frac{1}{1000 \cdot \Delta \epsilon_{485}} \frac{v d A_{485}}{dE} \quad (2)$$

where  $\Delta \epsilon_{485}$  corresponds to the variation of the extinction coefficient between the two redox states of the osmium complex at 485 nm (i.e.,  $12000 \text{ mol}^{-1} \cdot \text{L} \cdot \text{cm}^{-1}$ ).

The experimental DCVAs recorded at pH 5 and different scan rates ranging from 10 to  $500 \text{ mV} \cdot \text{s}^{-1}$  are given in Figure 4 and S8 (only the forward cathodic scan is shown). It can be seen in Figure 4 that the amplitude of the total absorbance change is independent of the scan rate, indicating full redox conversion of the  $\text{Os}^{\text{III}}$  at the end of the forward cathodic scan whatever the scan rate, while the shapes of CVAs and DCVAs show strong dependence on the scan rate. At low scan rates, reduction of  $\text{Os}^{\text{III}}$  is a biphasic process as described above, and the two successive reduction peaks on the DCVA correspond to (i) a first reversible  $\text{Os}^{\text{III}}$  reduction through a diffusion-controlled process located within a potential window where  $\text{SnO}_2$  is insulating, followed by (ii) a second irreversible  $\text{Os}^{\text{III}}$  reduction through an interfacial electron transfer from  $\text{SnO}_2$  to the adsorbed complex at a potential where  $\text{SnO}_2$  is becoming increasingly conductive. As the scan rate is increased (and thus the time window of the CV decreased), the fraction of adsorbed  $\text{Os}^{\text{III}}$  reduced through the first diffusion mechanism is progressively decreased, while during the second irreversible electron transfer mechanism almost all of the remaining adsorbed  $\text{Os}^{\text{III}}$  molecules are reduced, even at the highest scan rates.

In order to get deeper insights into the heterogeneous electron transfer between  $\text{SnO}_2$  and the chemisorbed  $\text{Os}^{\text{III}}$  redox probe, the second irreversible wave was analyzed by assuming the following electron transfer/transport mechanism (same mechanism as previously proposed for  $\text{TiO}_2$ <sup>19</sup>):





The first equation is related to the fast electron injection from the underlying conductive ITO surface to an exponential distribution of electronic states of SnO<sub>2</sub> (i.e., a Boltzmann distribution of empty conduction band electronic states completed by the potential contribution of an exponential distribution of empty surface states localized in the bandgap), followed by fast transport of the injected electrons  $e^-_{\text{SnO}_2}$  through a random walk diffusion-like process across the SnO<sub>2</sub> mesoporous network (so fast that it can be assumed as being not rate limiting, see above).

In this description,  $C_e^0$  is the maximal concentration of electrons that can be injected at saturation in the SnO<sub>2</sub> material and the parameter  $\alpha$  is a dimensionless factor that characterizes the energy distribution of electronic states involved in the interfacial electron transfer. An  $\alpha$  value of 1 indicates that only electrons from the conduction band are involved in the heterogeneous electron transfer, while an  $\alpha$  value  $< 1$  indicates a significant contribution of the lower energy surface electronic states to the interfacial electron transfer.<sup>19</sup>

The second equation is related to the irreversible interfacial electron transfer reaction between the adsorbed Os<sup>III</sup> species and the electrons at the SnO<sub>2</sub> film/solution interface, wherein  $k$  is an average value of the interfacial electron transfer rates characterizing the different electronic states involved in the electron transfer. In the limiting case for which electron diffusion throughout the semi-conductive network is not interfering in the kinetics, the irreversible reduction wave can be described by the following analytical expression (see SI for details):

$$\phi = kC_e^0\Gamma \exp\left[-\alpha \frac{F}{RT}(E - E_{\text{CB}})\right] \exp\left(-\frac{RT}{\alpha F\nu} kC_e^0 \exp\left[-\alpha \frac{F}{RT}(E - E_{\text{CB}})\right]\right) \quad (3)$$

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3 where  $E$  is the applied potential (in V),  $T$  the temperature (in K),  $F$  the Faraday constant (96500  
4 C·mol<sup>-1</sup>),  $R = 8.314 \text{ J}\cdot\text{K}^{-1}\cdot\text{mol}^{-1}$ , and  $\Gamma$  the surface coverage of the oxidized form of adsorbed  
5 redox chromophore directly reduced at the SnO<sub>2</sub> interface (in mol·cm<sup>-2</sup>). In the present case,  $\Gamma$  is  
6 function of the scan rate as partial reduction of the total amount of adsorbed Os<sup>III</sup>, i.e.  $\Gamma^0$ , can  
7 occur by an electron hopping when  $E$  is close to  $E^0_{\text{OsP}}$ . Consequently, we can define  $(1-f)$  the  
8 fraction of redox probe reduced during the first diffusion-controlled reduction step and  $f$  the  
9 fraction of Os<sup>III</sup> reduced during the second irreversible reduction step. The  $\Gamma$  value in eq 3 is thus  
10 given by the product  $f \times \Gamma^0$ .  
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24 The analytical expression for the peak potential position of the irreversible wave is given by eq  
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$$E_P = E_{\text{CB}} + \frac{RT}{\alpha F} \ln \left( \frac{RT}{\alpha F} \frac{kC_e^0}{v} \right) \quad (4)$$

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34 The peak potential of the irreversible wave was shown to linearly vary with the logarithm of scan  
35 rate (Figure 5). From a linear regression fit of the experimental data and by assuming  $E_{\text{CB}} = -0.27$   
36 V at pH 5 using eq 1, values of  $\alpha = 0.71$  and  $kC_e^0 = 1.2 \times 10^5 \text{ s}^{-1}$  could be recovered. These  
37 parameters were then used in eq 3 to calculate the DCVAs at pH 5 in the range of 10 to  
38 500 mV·s<sup>-1</sup> (Figures 4 and S4). The fraction  $f$  of Os<sup>III</sup> reduced directly at the SnO<sub>2</sub> interface was  
39 fixed to adjust the intensity of the simulated irreversible wave.  
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49 Similar experiments were also conducted at pH 7 and 8.5 using the same Os-SnO<sub>2</sub> electrode. The  
50 resulting DCVAs and CVs recorded at 0.05 V·s<sup>-1</sup> are plotted in Figure 5. As the pH was raised  
51 up, the irreversible wave was progressively shifted to lower potentials, consistent with the  
52 downshift of SnO<sub>2</sub> conduction band potential with the pH. The scan rate dependence of the peak  
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3 potential was analyzed according to eq 4, taking into account the pH-dependence of  $E_{CB}$   
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5 according to eq 1. The resulting data showed in Figure 5 overlay with that obtained at pH 5, and  
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8 clearly demonstrate that  $\alpha$  as well as  $kC_e^0$  are almost unaffected by pH.  
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11 The very good fitting of eqs 3 and 4 to the experimental data demonstrates that our simple  
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13 model, initially developed for mesoporous EISA-TiO<sub>2</sub> electrodes,<sup>19</sup> can be extended to other  
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15 semi-conductive materials to extract the important parameters related to the interfacial electron  
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17 transfer between an adsorbed redox dye and the semi-conductive material. It has to be noticed  
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19 that in contrast to our previous work done with EISA-TiO<sub>2</sub>, the DCVA recorded up to 0.5 V·s<sup>-1</sup>  
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21 are not affected by ohmic drop. This is mainly due to the use of a higher ionic strength buffer  
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23 solution than previously, which is made possible thanks to the strong chemisorption of the  
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25 phosphonate osmium complex on SnO<sub>2</sub>, leading therefore to a high stability of the functionalized  
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27 electrodes toward desorption.  
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34 Some comments can be made on the two parameters  $\alpha$  and  $kC_e^0$  characterizing the interfacial  
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36 electron transfer between SnO<sub>2</sub> and the adsorbed Os<sup>III</sup> complex. First of all, the  $\alpha$  value of 0.71  
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38 indicates that the electrons involved in the interfacial electron transfer arise from a larger energy  
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40 distribution of electronic states than those of the conduction band, meaning thus that low-energy  
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42 electronic surface states distributed in the bandgap are involved in the interfacial electron  
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44 transfer. This result significantly differs from that we have previously obtained at EISA-TiO<sub>2</sub>  
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46 electrodes for which a  $\alpha$  value of 1 was found, characteristic of a heterogeneous electron transfer  
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48 reaction involving exclusively the extended conduction band states (Figure 6) but not the  
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50 electrons trapped in the low-energy states within the bandgap of TiO<sub>2</sub> (the latter can only be  
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52 indirectly involved through an equilibrated bulk trapping-detrapping mechanism with the  
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3 extended conduction band states).<sup>26</sup> An explanation we had put forward to interpret this lack of  
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5 electron transfer communication with localized traps in TiO<sub>2</sub> is that the traps are predominantly  
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7 located into the bulk of EISA-TiO<sub>2</sub> rather than on the surface of the metal oxide material.<sup>19</sup> In the  
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9 present case of EISA-SnO<sub>2</sub> electrodes, a  $\alpha$  value lower than one thus suggests that a significantly  
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11 higher number of surface traps are present, contributing thus to a wider energy range to the  
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13 interfacial electron transfer and so to an enlargement of the irreversible reduction peak in CV or  
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15 DCVA. These observations are in line with several reports highlighting the role of the surface  
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17 localized states in the faster recombination rates in dye-sensitized solar cells made of  
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19 nanoparticulate films of SnO<sub>2</sub> as compared to those made of TiO<sub>2</sub>.<sup>16-18</sup> In the present work, we  
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21 moreover demonstrate that the  $\alpha$  value is independent of the pH, a result which indicates that the  
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23 low-energy surface states distribution follow the same pH-dependence as for the conduction  
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25 band potential.  
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34 The second parameter  $kC_e^0$  describes an apparent first order interfacial electron transfer rate  
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36 wherein  $k$  corresponds in fact to an average value between the different electron transfer rates  
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38 arising from both the conduction band states and the localized surface states. Knowing the value  
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40 of  $C_e^0$  would allow extracting the average value  $k$  from  $kC_e^0$ , but because the information on the  
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42 density of electronic states and their distribution in the EISA-SnO<sub>2</sub> film is not available, this has  
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44 not been achieved. Interestingly,  $kC_e^0$  shows no significant dependence on pH, which most likely  
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46 indicates that the average value of  $k$  is almost pH-independent (if one assumes that  $C_e^0$  is  
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48 essentially a function of the intrinsic structural properties of the film). This result is reminiscent  
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50 of the observation made by Hupp *and coll.*, showing that electron transfer from the conduction  
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52 band of TiO<sub>2</sub> to a ruthenium complex is pH-independent over 19 pH unit range.<sup>35,36</sup> Such  
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3 independence of electron transfer rate with pH variation suggests that electron transfer at the  
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5 SnO<sub>2</sub> interface is decoupled from any proton transfer. While the potential of SnO<sub>2</sub> electronic  
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7 states is pH-dependent and that of the OsP redox couple is not, it is tempting to link the pH-  
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9 independent electron transfer rate to a pH-dependent driving force for the reaction by assuming  
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11 that the electron energy is equivalent to the conduction band energy. This assumption should  
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13 however only be valid for a proton-coupled interfacial electron transfer reaction, which is  
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15 apparently not the case in the present study on account of the pH-independence of the electron  
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17 transfer rate. Assuming here a pure electron transfer at the interface, it is therefore no more  
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19 possible to define the proper energy of the transferred electron as it is not defined by the pH-  
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21 dependent conduction band potential. The actual average driving force for interfacial electron  
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23 transfer from SnO<sub>2</sub> to a redox couple cannot be evaluated and thus remains an open question. As  
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25 a consequence it appears that more insights into the proton-coupled energetics of metal oxide  
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27 semiconductor electrodes are required to characterize electron transfer between such electrodes  
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29 and redox couples in terms of intrinsic parameters such as reorganization energies and pre-  
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31 exponential factors as in the Marcus-Gerischer equation.<sup>37</sup>  
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## 41 CONCLUSION

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44 In the present work, we prepared highly-ordered crystalline mesoporous SnO<sub>2</sub> electrodes by  
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46 EISA with large pores and adjustable thicknesses by taking advantage of the two deposition  
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48 regimes allowed by the dip-coating process. The thicker electrodes were then chemically  
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50 modified by two redox-active chromophores allowing us to investigate the heterogeneous  
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52 electron transfer at the SnO<sub>2</sub> interface to gain better insights into the reactive electronic states  
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54 involved. Quantitative analysis of the spectroelectrochemical results was achieved in the  
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3 theoretical framework initially developed for mesoporous  $\text{TiO}_2$  electrodes demonstrating thus  
4 that it can be successfully extended to other semi-conductive materials. Our results  
5 unambiguously evidence that the interfacial electron transfer at the  $\text{SnO}_2$  interface involves not  
6 only the extended conduction band states, but also the localized lower-energy electronic states  
7 present at the  $\text{SnO}_2$ /aqueous electrolyte interface. This significantly differs from our previous  
8 results at EISA- $\text{TiO}_2$  electrodes, wherein the interfacial electron transfer was shown to only  
9 involve the extended conduction band states. Accordingly, EISA- $\text{SnO}_2$  electrodes exhibit a  
10 specific interfacial electron-transfer reactivity related to the presence of sub-band gap surface  
11 states electronically-coupled to the adsorbed redox-active molecules.  
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## ASSOCIATED CONTENT

**Supporting Information.** Details of the theoretical framework developed to analyze interfacial electron transfer at semi-conductive SnO<sub>2</sub> interface, Molecular structure of the redox probes, characterization of the EISA-SnO<sub>2</sub> electrodes prepared by thermo-ellipsometry, X-ray diffraction, gas adsorption/desorption. Complementary CVs, CVAs and DCVAs at modified EISA-SnO<sub>2</sub> electrodes.

## AUTHOR INFORMATION

**Corresponding Author**

[veronique.balland@univ-paris-diderot.fr](mailto:veronique.balland@univ-paris-diderot.fr)

**Author Contributions**

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

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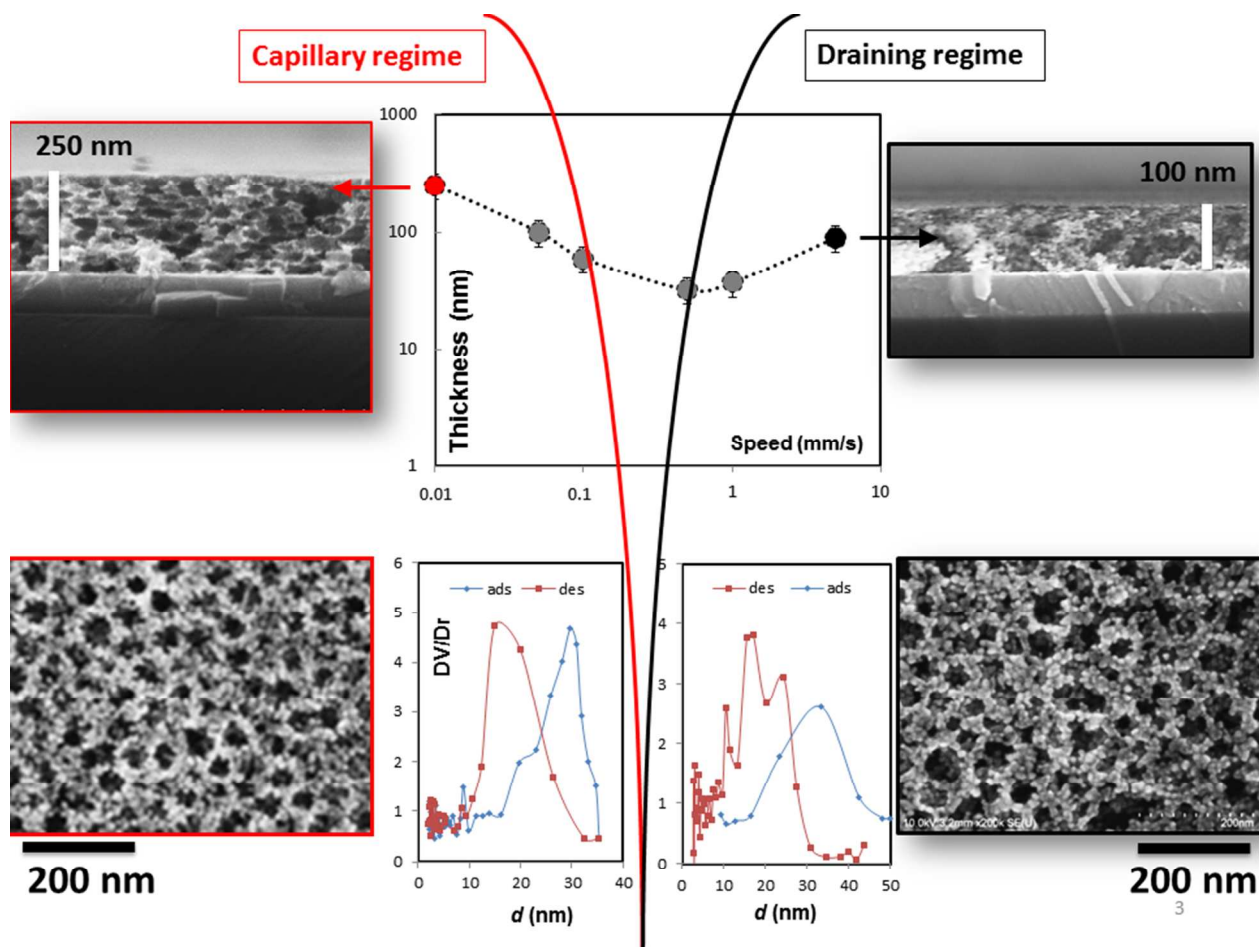
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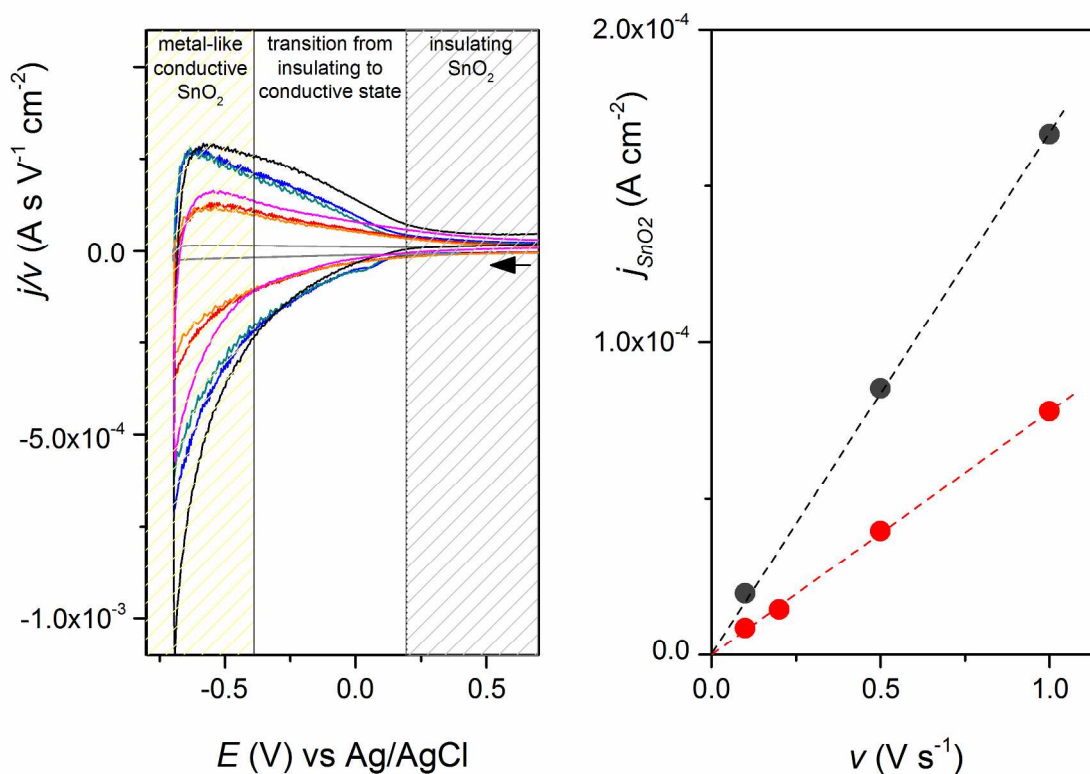
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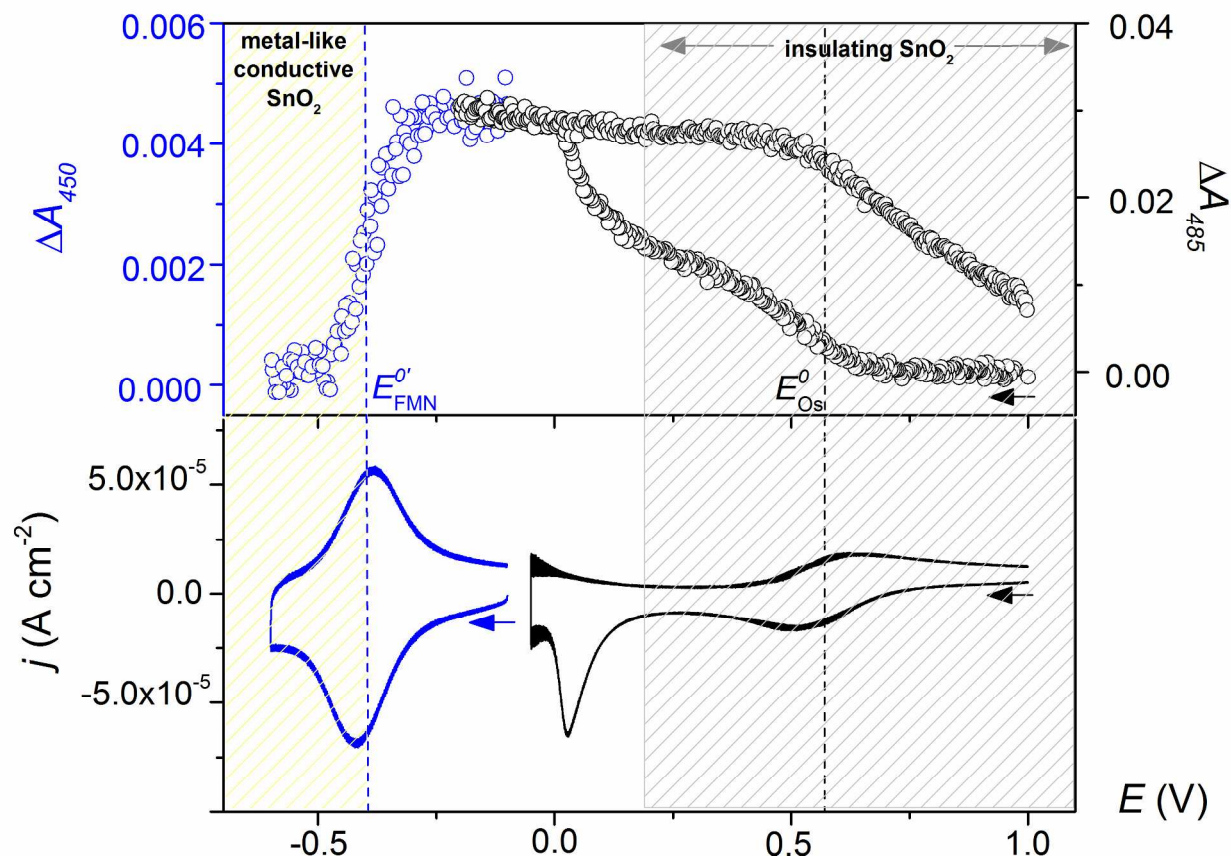
## FIGURES



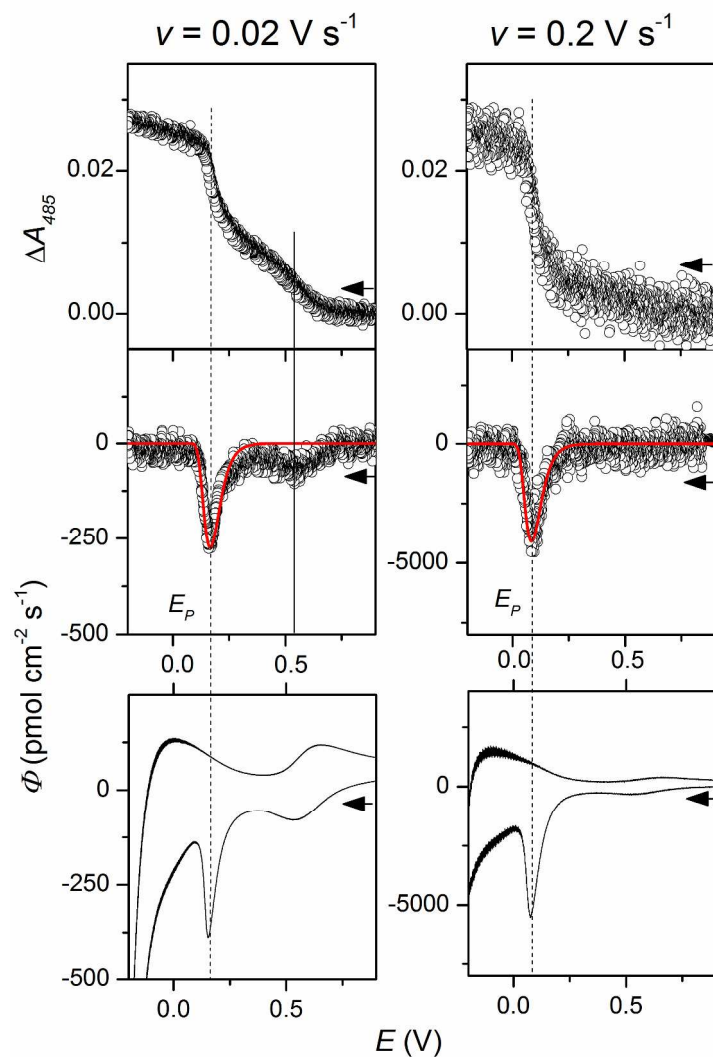
**Figure 1.** Cross-sectional and top SEM-FEG images of the SnO<sub>2</sub>-films prepared at (left) 0.01 and (right) 5 mm·s<sup>-1</sup>. Middle up: film thickness (determined by ellipsometry) as a function of the dip-coating withdrawal speed. Middle down: pore size distributions calculated from adsorption/desorption isotherms.



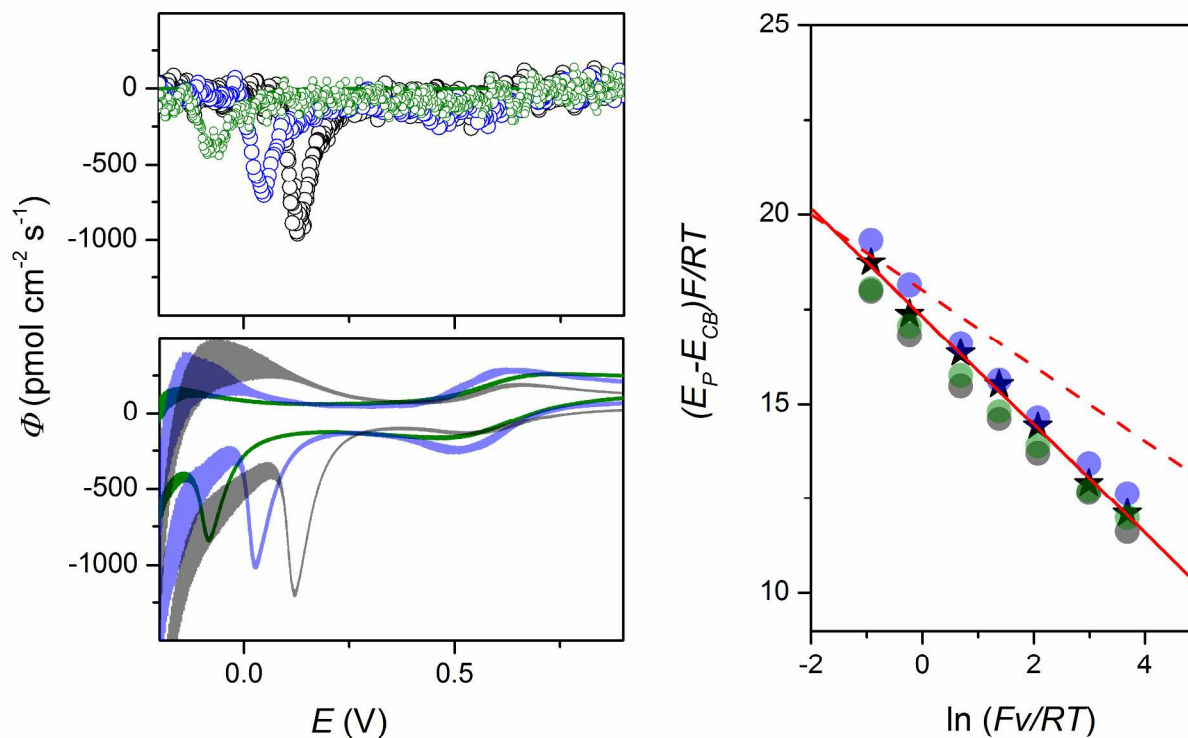
**Figure 2.** Left: scan rate-normalized cyclic voltammograms at (grey line) a planar ITO electrode, (magenta, red, and orange curves) a 100-nm-thick EISA- $\text{SnO}_2$  electrode, and (black, blue, and green curves) a 250-nm-thick EISA- $\text{SnO}_2$  electrode in a Hepes aqueous buffer solution of pH 7. The three tested scan rates for each EISA- $\text{SnO}_2$  electrode were respectively of 0.1, 0.5 and  $1 \text{ V}\cdot\text{s}^{-1}$ . Right: current density determined at  $-0.35 \text{ V}$  (corrected from the capacitive contribution of the underlying conductive ITO) as a function of the scan rate for the (red) 100- and (black) 250-nm EISA- $\text{SnO}_2$  electrode. Dashed lines correspond to the linear regression fits with slopes of  $7.8 \times 10^{-5}$  and  $16.75 \times 10^{-5} \text{ A}\cdot\text{s}\cdot\text{V}^{-1}\cdot\text{cm}^{-2}$  for the 100- and 250-nm EISA- $\text{SnO}_2$  film, respectively.



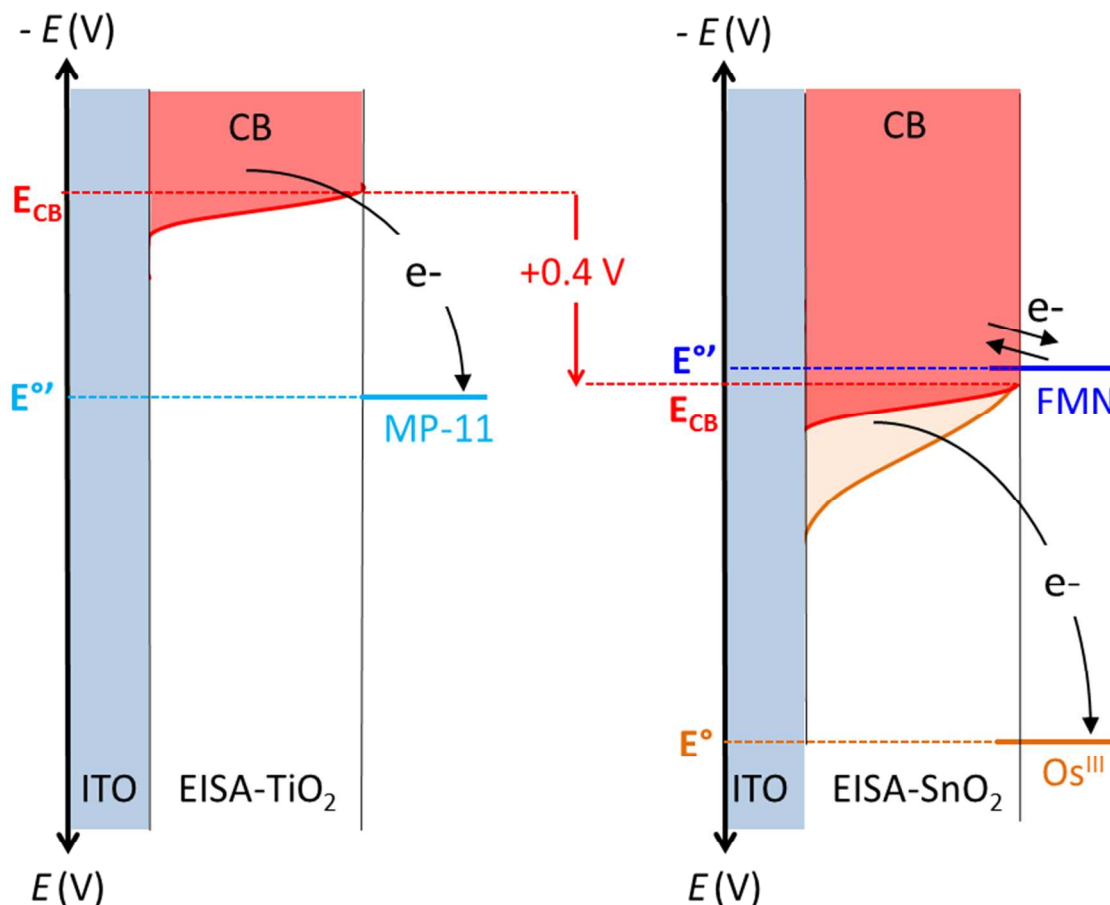
**Figure 3.** (Top) cyclic voltabsorptograms and (down) cyclic voltammograms recorded ( $\nu = 0.05$  V·s<sup>-1</sup>) at a (blue) FMN- and (black) OsP-modified EISA-SnO<sub>2</sub> electrode (250 nm-thick) in a Hepes buffer (pH 7). For the CVAs, the FMN and the osmium complex were monitored at 450 nm and 485 nm, respectively. The dashed lines indicate the formal reduction potential of each redox probe in solution at pH 7.



**Figure 4.** (Top) cathodic linear voltabsorptograms, (down) cyclic voltammograms, and (middle) cathodic derivative linear voltabsorptograms monitored at 485 nm at 0.02 or 0.2 V·s<sup>-1</sup> at a OsP-modified EISA-SnO<sub>2</sub> electrode in a Mes buffer of pH 5 (T = 20°C,  $\Gamma^0 = 2.2 \text{ nmol}\cdot\text{cm}^{-2}$ ). Red lines: fits of eq 3 to the experimental plots using  $E_{CB} = -0.27 \text{ V}$ ,  $\alpha = 0.71$ ,  $kC_e^0 = 1.2 \cdot 10^5 \text{ s}^{-1}$ , and  $f = 0.6$  at 0.02 V·s<sup>-1</sup> and  $f = 0.95$  at 0.2 V·s<sup>-1</sup>.



**Figure 5.** Left: DCVAs (top) and CVs (down) recorded at  $\nu = 0.05 \text{ V}\cdot\text{s}^{-1}$  at a OsP-modified EISA-SnO<sub>2</sub> electrode in an aqueous buffer at pH 5 (grey), 7 (blue) and 8.5 (green). Right: plot of the irreversible peak potential value obtained from CVs (●) or DCVAs (★) at pH 5 (green), 7 (blue) and 8.5 (grey) as a function of the scan rate, assuming  $E_{CB} = -0.27 \text{ V}$ ,  $-0.39 \text{ V}$  and  $-0.48 \text{ V}$  at pH 5, 7 and 8.5, respectively (see eq 1). Plain red line: linear regression fit of eq 4 to the experimental data obtained from the DCVAs at pH 5 leading to  $\alpha = 0.71$  and  $kC_e^0 = 1.2 \times 10^5 \text{ s}^{-1}$ . Dashed red line: example of linear dependence with  $\alpha = 1$ .



**Figure 6.** Schematic representations of the distribution of electronic states involved in the interfacial electron transfer between (left) EISA-TiO<sub>2</sub> and (right) EISA-SnO<sub>2</sub> semiconductive film electrodes and different adsorbed redox probes. In the case of the EISA-TiO<sub>2</sub> electrode, only electrons arising from the conduction band (Boltzmann distribution) are involved in the interfacial electron transfer, while for the EISA-SnO<sub>2</sub> electrode, low-energy electronic states localized in the band gap (exponential distribution with  $\alpha < 1$ ) also contribute to the interfacial electron transfer.



TABLES.

**Table 1.** Key morphological parameters of EISA-SnO<sub>2</sub> films determined by ellipsometry.

Template	Withdrawal speed (mm·s <sup>-1</sup> )	Thickness (nm)	Porosity (%)	Pore Size IEP (nm)	Ref
PIB-b-PEO	0.01	250-300	65-70	20-30	This work
PIB-b-PEO	5	80-100	65-70	20-30	This work
KLE	6	110	45,5	7 × 14 <sup>§</sup>	<sup>21</sup>

<sup>§</sup> Ellipsoidal pores

## Table of Contents Graphic

