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N. Meyer-Vernet, K. Issautier, M. Moncuquet

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N. Meyer-Vernet, K. Issautier, M. Moncuquet. Quasi-thermal noise spectroscopy: The art and the practice. Journal of Geophysical Research Space Physics, 2017, 122 (8), pp.7925-7945. 10.1002/2017JA024449 . hal-01628354

## HAL Id: hal-01628354 https://hal.sorbonne-universite.fr/hal-01628354

Submitted on 3 Nov 2017

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## <sup>1</sup> Quasi-thermal noise spectroscopy: the art and the <sup>2</sup> practice<sup>1</sup>

N. Meyer-Vernet,  $^2$  K. Issautier  $^2,$  and M. Moncuquet  $^2$ 

Corresponding author: N. Meyer-Vernet, LESIA, Observatoire de Paris. (nicole.meyer@obspm.fr)

<sup>1</sup>Dedicated to the memory of Jean-Louis

Steinberg

<sup>2</sup>LESIA, Observatoire de Paris, PSL

Research University, CNRS, Sorbonne

Univ., UPMC, Univ. Paris Diderot,

Sorbonne Paris Cité, 92195 Meudon, France

received 8 Jun, 2017, accepted 22 Jul 2017

#### Key Points.

- We provide new calculations and analytical approximations for plasma measurements by QTN spectroscopy
- We study the compatibility with various space implementations and constraints
- We give some applications for future space missions

Abstract. Quasi-thermal noise spectroscopy is an efficient tool for mea-3 suring in situ macroscopic plasma properties in space, using a passive wave 4 receiver at the ports of an electric antenna. This technique was pioneered 5 on spinning spacecraft carrying very long dipole antennas in the interplan-6 etary medium - like ISEE-3 and Ulysses - whose geometry approached a "the-7 oretician's dream". The technique has been extended to other instruments 8 in various types of plasmas onboard different spacecraft and will be imple-9 mented on several missions in the near future. Such extensions require dif-10 ferent theoretical modelizations, involving magnetized, drifting or dusty plas-11 mas with various particle velocity distributions, and antennas being shorter, 12 biased or made of unequal wires. We give new analytical approximations of 13 the plasma quasi-thermal noise (QTN), and study how the constraints of the 14 real world in space can (or cannot) be compatible with plasma detection by 15 QTN spectroscopy. We consider applications to the missions Wind, Cassini, 16 Bepi-Colombo, Solar Orbiter and Parker Solar Probe. 17

#### 1. Introduction

Thermal electromagnetic radiation, on which rely a large part of remote observations in astronomy and geophysics, is related to thermal fluctuations in radio-engineering circuits - the so-called Johnson noise - via the fluctuation-dissipation theorem. In the classical approximation, Nyquist's formula [Nyquist, 1928] tells us that a wave receiver in open circuit at the ports of an electric antenna immersed in black-body radiation of temperature T measures a voltage power spectrum

$$V_f^2 = 4k_B T R \tag{1}$$

where  $hf \ll k_B T$  (*h* being the Planck constant) and  $R = R_{EM}$  is the antenna radiation 18 resistance (Figure 1, left). However most space missions involve electric antennas im-19 mersed in plasmas (Figure 1, right), where the quasi-thermal motion of electric charges 20 produces electrostatic fluctuations generally exceeding the radiation electromagnetic field. 21 In that case the main contribution to the measured power is the plasma quasi-thermal 22 noise (QTN, Figure 2). This noise represents the long-wavelength measurement limit in 23 radioastronomy [Meyer-Vernet et al., 2000] and it has been suggested to play a major 24 role in the production of non-thermal electrons in the solar wind ([Yoon et al., 2016] and 25 references therein).

In the ideal case of a plasma at equilibrium temperature T, this noise reduces to Nyquist's formula (1) with  $R = R_P$ , the antenna resistance resulting from the plasma thermal fluctuations. If the plasma is non-thermal, the noise is still fully determined by the particle velocity distributions provided it is stable [e.g., *Sitenko*, 1967; *Fejer and Kan*, 1969]. This result can be generalized to a magnetized plasma and enables one to

DRAFT

X - 4 MEYER-VERNET ET AL.: QUASI-THERMAL NOISE IN SPACE PLASMAS

deduce the plasma properties from the measured voltage spectrum [Meyer-Vernet, 1979]. Since these electrostatic waves are significantly damped by the medium, the measured plasma properties are local ones, so that QTN spectroscopy provides in situ measurements [e.g., Meyer-Vernet and Perche, 1989], contrary to the usual spectroscopy based on electromagnetic waves, which provides remote measurements.

This technique was pioneered aboard ISEE-3 which carried the most sensitive radio 37 receiver ever flown [Knoll et al., 1978]. Rather ironically, the paper which pioneered 38 the technique [Meyer-Vernet, 1979], submitted ten days before the ISEE-3 launch, was 39 in the process of being rejected on the grounds that the theory was too simple for being 40 applicable in the solar wind, when the data of the inboard radio receiver became available; 41 their agreement with the simple formulas proposed in the submitted manuscript prompted 42 its immediate acceptance. This paper also provided a logically satisfying explanation for 43 several observations previously interpreted as "new" emissions or instabilities, since " pluralitas non est ponenda sine necessitate" [Ockham, 1324]; the QTN explanation was 45 soon confirmed by *Hoang et al.* [1980] and *Sentman et al.* [1982]. 46

The QTN measurement technique was subsequently used in various environments using 47 radio receivers that had similarly not been designed for that purpose [e.g., Meyer-Vernet 48 et al., 1998]. In particular for measuring on ISEE-3/ICE the electron density and tem-49 perature in a comet's tail [Meyer-Vernet et al., 1986a, b], where the electrons were too 50 cold for the inboard particle analyzer to measure them accurately. The QTN technique 51 was also used to measure the solar wind electron properties as a function of heliocentric 52 distance [Hoang et al., 1992] and outside the ecliptic on Ulysses [e.g., Issautier et al., 53 1998, 1999, 2008; Le Chat et al., 2011], and at 1 AU on WIND [e.g., Salem et al., 2001; 54

DRAFT

Issautier et al., 2005]. And also in planetary environments such as the Earth's outer
plasmasphere [Lund et al., 1994], the Io plasma torus [e.g., Meyer-Vernet et al., 1993;
Moncuquet et al., 1995, 1997], and Saturn's magnetosphere [e.g., Moncuquet et al., 2005;
Schippers et al., 2013] using the RPWS experiment on Cassini [Gurnett et al., 2004].

Why is the QTN technique so well adapted to measure the electron density and temper-59 ature? There are four reasons for that. First of all, both properties are revealed in situ by 60 the location and broad spectral shape of the plasma frequency peak (see Figure 2), just 61 as traditional spectroscopy reveals the chemical composition and the temperature (albeit 62 remotely). Second, being passive, this instrument does not perturb the medium, contrary 63 to other wave techniques. Third, since it is based on electrostatic waves or fluctuations of 64 wavelength of the order or greater than the Debye length (or the electron gyroradius if the 65 plasma is strongly magnetised) and tending to infinity close to resonances, the technique 66 is equivalent to a detector of cross-section larger by several orders of magnitude than 67 that of classical detectors. And finally, for the same reason, it is relatively immune to 68 spacecraft photoelectrons and charging effects which affect traditional particle analyzers; 69 in particular, since the electron density is deduced from a spectral peak, this measure-70 ment is independent on gain calibrations. Because of its reliability and accuracy, QTN 71 spectroscopy serves routinely to calibrate other instruments [e.g., Maksimovic et al., 1995; 72 Issautier et al., 2001; Salem et al., 2001]. 73

The drawback is that, contrary to the classical particle analysers, QTN spectroscopy cannot measure directly the particle velocity distributions. Even though some moments are revealed by spectral features (see Section 2), a full measurement requires solving an inverse problem: modelise the electric antenna and the velocity distribution(s) with a

DRAFT

<sup>78</sup> few parameters, calculate the corresponding QTN spectrum, and fitting the theory to the <sup>79</sup> data to determine the parameters of the distribution as sketched in Figure 2. In other <sup>80</sup> words, the QTN technique has the cons and pros of a global measurement: it measures <sup>81</sup> less parameters, but it can measure them faster and more accurately. Note, too, that <sup>82</sup> the technique is less adapted to measure the ions because they are revealed at lower <sup>83</sup> frequencies (Section 2.8) at which the spectrum can be spoiled by the shot noise.

This shot noise, produced by the fluctuations due to collection and emission of individual 84 electric charges by the antenna surface, can be a real nuisance for QTN spectroscopy. It is 85 very hard to modelize because, contrary to the QTN, it depends on the antenna floating 86 potential, which is badly known because the photoelectron and secondary emissions of 87 materials in space change significantly with ageing and have different properties from 88 those measured in the laboratory [e.g., Kawasaki et al., 2016]. This shot noise is generally 89 negligible for wire dipole antennas around  $f_p$  [Meyer-Vernet and Perche, 1989], but this is 90 not so when the antennas are made of small spheres. Indeed, the shot noise is proportional 91 to the squared voltage produced by each charge collected or emitted ( $\propto a^{-2}$  for spheres 92 of radius a since their capacitance  $\propto a$ ), and to the events' rate - proportional to surface 93 area ( $\propto a^2$ ), so that the variation with a cancels out. Therefore, the shot noise on spheres 94 does not decrease as their radius decreases, contrary to wires whose surface  $\propto a$  whereas 95 the capacitance varies weakly with radius. This is the basic reason why spherical probes 96 are unadaptated for QTN spectroscopy, in addition to the fact that these probes must be 97 supported by difficult-to-modelize booms. For all these reasons, we will only consider wire 98 antennas in this paper, and will mention the shot noise only for estimating the extent to 99

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which it may spoil QTN spectroscopy, in particular for fat or biased antennas (see Section
3.2).

Simple analytical approximations are invaluable for the preliminary design and inter-102 pretation of space experiments. A number of such approximations were derived when 103 QTN spectroscopy was not yet a recognized technique and was used as a by-product of 104 radioastronomy experiments [Meyer-Vernet and Perche, 1989]. This technique will now 105 be implemented in the inner heliosphere by Solar Orbiter with shorter antennas Mak-106 simovic et al., 2005; Zouganelis et al., 2007], and with specifically designed instruments 107 in Mercury's environment by Bepi-Colombo [Moncuquet et al., 2006a] and in the solar 108 corona with Parker Solar Probe (PSP) [Bale et al., 2016]. The properties of the wire 109 dipole antennas used in these missions are summarized in Table 1, together with those of 110 some previous missions; we do not include STEREO, whose antennas' length is too short 111 for implementing QTN spectroscopy except in very high density structures [Zouganelis et 112 al., 2010] (see Section 2.4). 113

This paper is organized as follows. Section 2 recalls the main properties of QTN under different conditions and gives new analytical approximations having a wide range of applications, in particular for antennas of moderate length in non-thermal plasmas. Section 3 extends the calculations made for ideal cases (Figure 3) to antennas being unsymmetrical or biased and to dusty plasmas. Unless otherwise stated, units are SI.

#### 2. The Art

The basic shape of the QTN spectrum can be understood from simple plasma physics [*Meyer-Vernet and Perche*, 1989]. Each charged particle passing by the antenna induces a voltage pulse. This voltage is not Coulomb-like because the plasma particles are "dressed"

DRAFT

by their mutual coupling. At time scales corresponding to frequencies  $f < f_p$ , this dressing 122 takes the simple form of a Debye sheath of scale  $L_D$ , the Debye length, so that each thermal 123 electron produces on the antenna a voltage pulse of duration roughly equal to the time 124 that it remains within a Debye length, i.e. about  $1/(2\pi f_p)$ ; the Fourier transform of such 125 a pulse is a constant for  $f < f_p$ , producing a plateau of amplitude determined by the bulk 126 of the electrons. In contrast at higher frequencies, moving electrons excite plasma waves 127 so that their dresses become more sophisticated [e.g., Meyer-Vernet, 1993], trailing long 128 trains of Langmuir waves which produce the plasma frequency peak. 129

#### 2.1. Basics

In the Vlasov framework, the plasma can be thought of as an assembly of independent test particles "dressed" by their collective interactions which determine the plasma dielectric permittivity defining the plasma spatial and temporal dispersion [*Rostoker*, 1961]. In the electrostatic limit ( $\omega/kc \ll 1$ , where  $\omega = 2\pi f$  is the angular frequency, **k** the wave vector and c the speed of light), the (linear) longitudinal (**E** || **k**) electric field fluctuations in Fourier space are given from Poisson's equation by [*Sitenko*, 1967]

$$\langle E^2(\mathbf{k},\omega)\rangle = \frac{\langle \rho^2(\mathbf{k},\omega)\rangle^{(0)}}{k^2\epsilon_0^2|\epsilon_L(\mathbf{k},\omega)|^2}$$
(2)

<sup>136</sup> where  $\epsilon_L(\mathbf{k}, \omega)$  is the longitudinal dielectric function and  $\langle \rho^2(\mathbf{k}, \omega) \rangle^{(0)}$  the free-space (test-<sup>137</sup> particle) charge density fluctuations (in Fourier space) produced by quasi-thermal particle <sup>138</sup> motions. In a weakly magnetized plasma ( $\omega \gg \omega_g$ , the electron angular gyrofrequency), <sup>139</sup> the test particles can be assumed to move in straight lines, so that with a velocity distri-<sup>140</sup> bution  $f(\mathbf{v})$ 

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$$\langle \rho^2(\mathbf{k},\omega) \rangle^{(0)} = 2\pi e^2 \int d^3 v f(\mathbf{v}) \delta(\omega - \mathbf{k}.\mathbf{v})$$
 (3)

<sup>141</sup> the particle number density being

$$n = \int d^3 v f(\mathbf{v}) \tag{4}$$

In the presence of a magnetic field **B**, the test particles follow helical orbits of (angular) gyrofrequency  $\omega_g$ , so that

$$\langle \rho^2(\mathbf{k},\omega) \rangle^{(0)} = 2\pi e^2 \sum_{-\infty}^{\infty} \int d^3 v f(\mathbf{v}) J_n^2(k_\perp v_\perp/\omega_g) \,\,\delta(\omega - n\omega_g - k_\parallel v_\parallel) \tag{5}$$

where  $v_{\parallel}$  and  $v_{\perp}$  are the velocity components respectively parallel and perpendicular to **B** and  $J_n$  are  $n^{th}$  order Bessel functions of the first kind [*Abramowitz and Stegun*, 1965]. With an electric antenna characterized by the current distribution  $\mathbf{J}(\mathbf{k})$  in Fourier space, immersed in a plasma drifting with velocity  $\mathbf{V}$ , the voltage power at the antenna ports at frequency f is

$$V_f^2 = \frac{2}{(2\pi)^3} \int d^3k \; \frac{|\mathbf{k}.\mathbf{J}|^2}{k^2} \left\langle E^2(\mathbf{k},\omega-\mathbf{k}.\mathbf{V}) \right\rangle \tag{6}$$

<sup>149</sup> The power  $V_r^2$  at the ports of a receiver of impedance  $Z_r$  is deduced from

$$V_r^2 / V_f^2 = |Z_r / (Z_r + Z_a)^2|$$
(7)

<sup>150</sup> where  $Z_a$  is the antenna impedance.

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August 9, 2017, 3:10pm

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#### 2.2. Electric Antenna Response

For the simplest antenna, made of two aligned wires, each of length  $L \ll \lambda$  and radius  $a \ll [L_D, L]$  (Figure 1), the current distribution can be assumed to be triangular [Meyer and Vernet, 1974], so that

$$|\mathbf{k}.\mathbf{J}| = |\frac{4\sin^2(k_{\parallel}L/2)}{k_{\parallel}L}J_0(k_{\perp}a)|$$
(8)

where  $k_{\parallel}$  and  $k_{\perp}$  are the **k** components respectively parallel and perpendicular to the 154 antenna direction (see details in [Schiff, 1970; Couturier et al., 1981]). In most cases of 155 interest, the wave numbers responsible for the noise are smaller than or of the order of 156 the plasma Debye length (or the electron gyroradius if it is smaller), with  $ka \ll 1$ , so 157 that  $J_0(k_{\perp}a) \simeq 1$  except in very dense and cold plasmas as planetary ionospheres. An 158 important consequence emerges from (8). Writing  $k_{\parallel}L = kL \cos \alpha$  where  $\alpha$  is the angle 159 between **k** and the antenna direction, one sees that whereas a short antenna  $(kL \ll 1)$ 160 is mainly sensitive to **k** parallel to the antenna ( $\cos \alpha = 1$ ) as for electromagnetic waves, 161 on the contrary a long antenna  $(kL \gg 1)$  is mainly sensitive to wave vectors roughly 162 perpendicular to its proper direction [Meyer-Vernet, 1994]. 163

If the plasma fluctuations are isotropic in the antenna frame (which holds with V = 0and an isotropic velocity distribution in a weakly magnetized plasma), (6) becomes

$$V_f^2 = \frac{8}{\pi^2} \int_0^\infty dk \ F(kL) \langle E^2(k,\omega) \rangle \tag{9}$$

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DRAFT

August 9, 2017, 3:10pm

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$$F(x) = 1/(32\pi) \int d\Omega |\mathbf{k}.\mathbf{J}|^2 = [\mathrm{Si}(x) - \mathrm{Si}(2x)/2 - 2\sin^4(x/2)/x] J_0^2(xa/L)/x$$
(10)

where  $\operatorname{Si}(\mathbf{x}) = \int_0^x dt \, \sin t/t$  is the sine integral function [Abramowitz and Stegun, 1965]. Two approximations are useful:

$$F(x) \simeq x^2/24 \text{ for } x < 1$$
 (11)

$$F(x) \simeq \pi/(4x) \quad \text{for } x \gg 1$$
 (12)

with (11) approximating (10) better than 5% when  $x \leq 1$ .

<sup>170</sup> When the plasma fluctuations are anisotropic with a symmetry axis (for example with <sup>171</sup> a drift of velocity **V** or a static magnetic field **B**), a different simplification arises. Since <sup>172</sup> in that case the electric fluctuations are independent of the azimuthal angle  $\phi$  around the <sup>173</sup> symmetry axis, (6) can be calculated as

$$V_f^2 = \frac{1}{2\pi^2} \int_0^\infty dk \int_0^\pi \sin\theta \, d\theta \, \left\langle E^2(k,\theta,\omega-k.V\cos\theta) \right\rangle \int_0^{2\pi} \frac{d\phi}{2\pi} \, |\mathbf{k}.\mathbf{J}|^2 \tag{13}$$

where  $\theta$  is the angle between **k** and the symmetry axis and (8) yields

$$\int_{0}^{2\pi} \frac{d\phi}{2\pi} |\mathbf{k}.\mathbf{J}|^{2} = \frac{8}{\pi} \int_{0}^{2\pi} d\phi \, \frac{\sin^{4}(kL\cos\alpha/2)}{(kL\cos\alpha)^{2}} J_{0}^{2}(ka\sin\alpha) \tag{14}$$

 $_{175}$   $\alpha$  being the angle between **k** and the antenna direction, given by

$$\cos \alpha = \cos \theta \cos \beta + \sin \theta \sin \beta \cos \phi \tag{15}$$

where  $\beta$  is the angle between the antenna and the symmetry axis.

If the antenna is parallel to the symmetry axis ( $\beta = 0$ ), the QTN is given by (13) with from (8)

$$\int_{0}^{2\pi} \frac{d\phi}{2\pi} |\mathbf{k}.\mathbf{J}|^{2} = \left[\frac{4\sin^{2}(kL\cos\theta/2)}{|kL\cos\theta|}J_{0}(ka\sin\theta)\right]^{2}$$
(16)

On the other hand, if the antenna is perpendicular to the symmetry axis ( $\beta = \pi/2$ ), (15) reduces to  $\cos \alpha = \sin \theta \cos \phi$ , so that with the change of variable  $s = kL \sin \theta \cos \phi$  in the integral (14), we find

$$\int_{0}^{2\pi} \frac{d\phi}{2\pi} |\mathbf{k}.\mathbf{J}|^{2} = F_{\perp}(kL\sin\theta)/2$$
(17)

$$F_{\perp}(x) = \frac{64}{\pi} \int_0^x ds \frac{\sin^4(s/2)}{s^2(x^2 - s^2)^{1/2}}$$
(18)

$$= \frac{8}{x} \left[ 2 \int_0^x dt J_0(t) - \int_0^{2x} dt J_0(t) + J_1(2x) - 2J_1(x) \right]$$
(19)

where we have assumed  $ka \ll 1$ . Equation (19) yields  $F_{\perp}(x) \simeq x^2$  for x < 1, and  $F_{\perp}(x) \simeq 8/x$  for  $x \gg 1$ .

The antenna response  $F_{\perp}(x)$ , given by (18)-(19) with  $x = kL \sin \theta \sin \beta$ , is also relevant whatever the antenna direction in a particular case: **k** roughly perpendicular to the symmetry axis. Therefore the function  $F_{\perp}$  was used both for calculating the quasi-thermal noise in Bernstein waves [*Meyer-Vernet et al.*, 1993; *Moncuquet et al.*, 1995] (see Section 2.4) and the Doppler-shifted quasi-thermal noise of ions [*Issautier et al.*, 1999] (see Section 2.8).

#### 2.3. Dealing with Non-Maxwellians: Generalized Temperatures

<sup>190</sup> Non-maxwellian velocity distributions are ubiquitous in space plasmas. The culprits <sup>191</sup> are Coulomb collisions, whose cross-section decreases as the inverse square of the particle

energy, so that, even when the bulk of the distribution is dominated by collisions, the faster 192 particles are not, making suprathermal tails ubiquitous [e.g., Scudder and Olbert, 1979; 193 Scudder and Karimabadi, 2013]. Contrary to Maxwellians which are completely defined 194 by two parameters (density and temperature), non-thermal distributions raise a major 195 problem for measuring devices because their full characterization may need an infinite 196 number of parameters. Indeed, sixty years after the beginning of the space age, nobody 197 knows the accurate shape of particle velocity distributions in space. This is especially 198 true for electrons, which either cannot be detected at energies (in eV) smaller than the 199 absolute value of the spacecraft potential if it is negative - as occurs in inner planetary 200 magnetospheres, or are strongly perturbed by this potential and by photoelectrons if it is 201 positive - as occurs in the solar wind [e.g., Garrett, 1981; Whipple, 1981]. 202

Still worse, in the absence of equilibrium, the "temperature" revealed by instruments generally depends on the measured energy range. In order to derive generic results, it is useful to characterize an isotropic non-maxwellian velocity distribution, depending on the speed v, by its generalized temperatures defined as [*Meyer-Vernet*, 2001]

$$k_B T_q/m = (\langle v^q \rangle / c_q)^{2/q} \tag{20}$$

$$c_q = (q+1)!! \qquad \text{for } q \text{ even} \tag{21}$$

$$c_q = \frac{2^{1+q/2}}{\pi^{1/2}} \left(\frac{q+1}{2}\right)! \quad \text{for } q \text{ odd}$$
 (22)

where q > -3 is an integer, m is the electron mass,  $k_B$  is Boltzmann's constant, and the scalar moment of order q is

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$$\langle v^q \rangle = \int d^3 v \; v^q f(\mathbf{v})/n \tag{23}$$

The coefficients  $c_q$  are defined so that if the distribution is Maxwellian, all  $T_q$ 's are equal to its classical temperature. The smaller the index q, the slower the particles responsible for  $T_q$ , and for velocity distributions having a suprathermal tail, the smaller the value of  $T_q$ . In particular

$$T_2 = m \langle v^2 \rangle / (3k_B) \equiv T \tag{24}$$

is the classical kinetic temperature,  $T_1$  is related to the mean random speed  $\langle v \rangle$  as

$$\langle v \rangle = [8k_B T_1/(\pi m)]^{1/2}$$
 (25)

and  $T_{-2}$  is related to the Debye length  $L_D$  as

$$L_D = [\epsilon_0 k_B T_{-2} / (ne^2)]^{1/2}$$
(26)

Therefore an instrument detecting essentially the low-energy particles (which determine the Debye length), will find, if a Maxwellian is assumed, a temperature close to  $T_{-2}$ , whereas an instrument measuring the flux will find a temperature close to  $T_1$ . It is therefore not surprising that a number of temperature measurements in which a Maxwellian is assumed are inconsistent, so that new methods are being devised ([e.g., *Dudík et al.*, 2017] and references therein).

The simplest way of representing a distribution having a supra-thermal tail is the socalled kappa distribution [*Vasyliunas*, 1968], which can be written

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$$f_{\kappa}(v) \propto [1 + v^2/(\kappa v_0^2)]^{-(\kappa+1)}$$
 (27)

and has been used for modelling the QTN by *Chateau and Meyer-Vernet* [1991]; *Zouganelis* et al. [2008]; *Le Chat et al.* [2009]. Since the probability for the speed to lie in the range [v, v + dv] is  $f_{\kappa}(v) \times 4\pi v^2 dv$  and we have  $\left[\frac{d}{dv}[v^2 f_{\kappa}(v)]\right]_{v=v_0} = 0$ , the most probable speed equals  $v_0$ . The greater the value of  $\kappa$ , the closer is the distribution to a Maxwellian, with  $f_{\kappa}(v) \to e^{-v^2/v_0^2}$  when  $\kappa \to \infty$ .

At low speeds, developing (27) in series yields  $f_{\kappa}(v) \propto 1 - (1 + 1/\kappa)v^2/v_0^2$ ; hence the 228 Kappa distribution decreases faster with v than the Maxwellian  $e^{-v^2/v_0^2} \propto 1 - v^2/v_0^2$ . In 229 contrast, at high speeds  $f_{\kappa}(v) \propto (v^2/\kappa v_0^2)^{-(\kappa+1)}$ ; hence the Kappa distribution decreases 230 slower than a Maxwellian. This illustrates an interesting property of Kappa distributions. 231 Whereas at low speeds, a Kappa can be fitted by a Maxwellian of temperature smaller 232 than its actual kinetic temperature, its high speed power-law decrease can mimic (albeit in 233 a narrow energy range) a Maxwellian of much higher temperature. Taking these two faces 234 into account can resolve a number of apparent contradictions arising when observations 235 are interpreted with tools that assume Maxwellian distributions [e.g., Nicholls et al., 2012]. 236 With a Kappa distribution (27), we find from (20)237

$$T_2 = (mv_0^2/2k_B)\kappa/(\kappa - 3/2) \equiv T$$
(28)

$$T_1 = T \times (\kappa - 3/2) \left[ \Gamma(\kappa - 1) / \Gamma(\kappa - 1/2) \right]^2$$
(29)

$$T_{-1} = T \times (\kappa - 3/2) \left[ \Gamma(\kappa - 1/2) / \Gamma(\kappa) \right]^2$$
(30)

$$T_{-2} = T \times (\kappa - 3/2) / (\kappa - 1/2) \tag{31}$$

DRAFT

August 9, 2017, 3:10pm

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For example with  $\kappa = 4$ , we have  $T_{-1} \simeq 0.77 \times T$  and  $T_{-2} \simeq 0.71 \times T$ . From (28), a finite value of the kinetic energy requires  $\kappa > 3/2$ . On the other hand, the Debye length is given by (26), (28), and (31) as [*Chateau and Meyer-Vernet*, 1991]

$$L_D = \frac{v_0}{\omega_p} \left[ \frac{\kappa}{2\kappa - 1} \right]^{1/2} \tag{32}$$

<sup>241</sup> suggesting that the Debye screening has a normal behavior even when  $\kappa$  approaches 3/2, <sup>242</sup> despite some arguments to the contrary [e.g., *Fahr and Heyl*, 2016].

Another popular representation of non-thermal distributions is the sum of a cold ("core") and a hot maxwellian of respective density and temperature  $n_c$ ,  $n_h$ ,  $T_c$ ,  $T_h$ , which has one more free parameter than the Kappa distribution. In that case we have  $T \equiv T_2 = (n_c T_c + n_h T_h)/(n_c + n_h)$ , whereas  $T_{-1} = T_c (n_c + n_h)^2/[n_c + n_h (T_c/T_h)^{1/2}]^2$ and  $T_{-2} = (n_c + n_h)/(n_c/T_c + n_h/T_h)$ ; hence with a dilute hot maxwellian  $(n_h/n_c \ll 1, T_h/T_c \gg 1)$ , we have  $T_{-1} \simeq T_{-2} \simeq T_c$ , the core temperature.

A further popular representation is the sum of a cold Maxwellian (of temperature  $T_c$ ) containing the bulk of the distribution plus a hot Kappa distribution. Indeed, low-energy particles are generally collisional whereas faster ones are not, and many processes - including the spontaneously emitted Langmuir waves, i.e. the QTN [e.g., Yoon, 2014; Yoon *et al.*, 2016] - tend to generate Kappa distributions via non-linearities. In that case, we have as previously  $T_{-1} \simeq T_{-2} \simeq T_c$ .

#### 2.4. Core Electron Temperature

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As noted above, in a weakly magnetized plasma, the electron QTN spectrum exhibits a generic low-frequency plateau which is produced by electrons passing-by the antenna. This suggests that the plateau will mainly reveal the temperature defining the Debye length. <sup>258</sup> We derive below a generic expression of this plateau, relevant for a number of space <sup>259</sup> radio instruments and independent of the detailed shape of the distribution, provided it <sup>260</sup> is isotropic.

In a weakly magnetized plasma with an isotropic electron velocity distribution, (3) reduces to

$$\langle \rho^2(\mathbf{k},\omega) \rangle^{(0)} = \frac{(2\pi e)^2}{k} \int_{\omega/k}^{\infty} dv \ v \ f(v)$$
(33)

which yields for  $\omega/kv \ll 1$ 

$$\langle \rho^2(\mathbf{k},\omega) \rangle^{(0)} \simeq \frac{\pi e^2}{k} n \langle v^{-1} \rangle$$
 (34)

<sup>264</sup> In the same limit, we have

$$\epsilon_L \simeq 1 + \omega_p^2 \langle v^{-2} \rangle / k^2 \equiv 1 + 1 / (k^2 L_D^2)$$
(35)

with  $L_D$  given by (26). For a wire antenna (Figure 1), Eqs.(2), (9), (34) and (35) yield

$$V_f^2 \simeq \left(\frac{2^7 m k_B T_{-2}^2}{\pi^3 \epsilon_0^2 T_{-1}}\right)^{1/2} F_0(L/L_D)$$
(36)

$$F_0(t) = \int_0^\infty dy \, \frac{y \, F(yt)}{(1+y^2)^2} \tag{37}$$

F(x) being given by (10). The function  $F_0(L/L_D)$  is shown in Figure 4. The simple expression (36) of the plateau level is generic since it holds whatever the ratio  $L/L_D$  and the shape of the (isotropic) electron velocity distribution.

For  $L/L_D \gg 1$ , F(x) can be approximated by (12), so that (37) yields

$$F_0(L/L_D) \simeq (\pi^2/16)L_D/L \quad \text{for } L/L_D \gg 1$$
 (38)

August 9, 2017, 3:10pm D R A F T

DRAFT

X - 18 MEYER-VERNET ET AL.: QUASI-THERMAL NOISE IN SPACE PLASMAS

whence from (36)

$$V_f^2 \simeq \frac{(\pi/2)^{1/2}}{\epsilon_0 \omega_p L} \frac{k_B T_{-2}^{3/2}}{T_{-1}^{1/2}} \simeq \frac{3.5 \times 10^{-14}}{n^{1/2} L} \frac{T_{-2}^{3/2}}{T_{-1}^{1/2}} \quad \text{for } L/L_D \gg 1$$
(39)

equivalent to a result by *Chateau and Meyer-Vernet* [1991]. One sees on Figure 4 that the approximation (38) (dashed red line), yielding (39), only holds for extremely long antennas. In practice, however, one expects  $L/L_D \sim 2.5 - 6$  for Bepi-Colombo and  $L/L_D \sim 1 - 2.5$  for Solar Orbiter in the solar wind at 0.3 AU,, whereas for Parker Solar Probe at 10 solar radii we have  $L/L_D \sim 2 - 3$ .

In these cases, a much better approximation can be derived. Indeed, for  $2 \leq L/L_D \leq 7$ , (37) yields  $F_0 \simeq 0.05$  within 10% (solid red line in Figure 4), which yields

$$V_f^2 \simeq \frac{1}{\pi^2 \epsilon_0} \left[ \frac{mk_B T_{-2}^2}{T_{-1}} \right]^{1/2} \simeq 4.07 \times 10^{-17} \frac{T_{-2}}{T_{-1}^{1/2}} \quad \text{for } 2 \lesssim L/L_D \lesssim 7$$
(40)

With a roughly maxwellian core of temperature  $T_c$  and a dilute halo, we have  $T_{-2}/T_{-1}^{1/2} \simeq T_c^{1/2}$ , whence

$$V_f^2 \simeq 4.07 \times 10^{-17} T_c^{1/2} \text{ for } 2 \lesssim L/L_D \lesssim 7$$
 (41)

<sup>278</sup> Note that with a Kappa distribution we have

DRAFT

$$\frac{T_{-2}}{T_{-1}^{1/2}} = T^{1/2} \frac{(\kappa - 3/2)^{1/2} \Gamma(\kappa)}{\Gamma(\kappa + 1/2)}$$
(42)

yielding  $T_{-2}^2/T_{-1} \simeq 0.66 \times T \simeq 0.93 \times T_{-2}$  for  $\kappa = 4$ , so that the temperature measured via the plateau level is close to that defining the Debye length, similar to a "core" temperature. However with  $\kappa = 2$ , we have  $T_{-2}^2/T_{-1} \simeq 0.85 \times T_{-2}$ , so that in that case, the plateau

August 9, 2017, 3:10pm DRAFT

yields a temperature smaller than the "core" temperature  $T_{-2}$  by about 15%; this reflects 282 the shortage of low-energy particles for kappa distributions with respect to Maxwellians. 283 Figure 5 shows the levels of the quasi-thermal plateau in a density-core-temperature 284 plane with a dipole antenna made of two colinear L = 2 m wires, for applications to Parker 285 Solar Probe/FIELDS. The orange crosses sketch the parameters expected at perihelion 286  $(n \simeq 7000 \text{ cm}^{-3}, T \simeq 10^6 \text{ K})$ . We show the power at both the antenna ports,  $V_f^2$ , given by 287 (36) (left) and at the receiver ports,  $V_r^2$ , (right). The temperature shown on the vertical 288 axis is  $T_{-2}^2/T_{-1}$ , very close to that of the cold Maxwellian when the distribution is a cold 289 Maxwellian with a suprathermal tail. We have superimposed the approximation (40) as 290 red bars. In the low frequency range of the plateau, the dipole antenna impedance reduces 291 to a capacitance [Meyer-Vernet and Perche, 1989] 292

$$C_a \simeq \pi \epsilon_0 L / \ln(L_D/a) \tag{43}$$

<sup>293</sup> when  $L/L_D \gg 1$ , so that one deduces from (7)

$$V_r^2 / V_f^2 \simeq 1 / (1 + C_b / C_a)^2 \tag{44}$$

where  $C_b$  is the (dipole) load/stray capacitance, which lumps together the receiver input capacity and that of the antenna erecting mechanism, including the capacity between the antenna and the spacecraft structure (the so-called base capacity). With L = 2 m,  $a = 1.6 \times 10^{-3}$  m and  $L_D \simeq 0.8$  m at 10 solar radii, we have  $C_a \simeq 8.9$  pF, whence with  $C_b = 35$  pF (see Table 1),  $V_r^2/V_f^2 \simeq 0.04$ . This yields a plateau level at the receiver ports  $V_r^2 \simeq 1.7 \times 10^{-15}$  V<sup>2</sup>Hz<sup>-1</sup>, which requires a receiver sensitivity of at least a few tens nV Hz<sup>-1/2</sup>. These evaluations assume that the ion (Section 2.8) and shot noise contributions

DRAFT August 9, 2017, 3:10pm DRAFT

are small enough, which holds in this case, except possibly if the antennas are biased (see
 Section 3.2).

One sees on Figures 4 and 5 that for smaller values of  $L/L_D$ , the plateau level becomes much less sensitive to the temperature; in particular for  $L/L_D \simeq 1$ ,  $F_0$  is nearly proportional to  $L/L_D$ , so that the plateau becomes nearly independent of the temperature and cannot be used to measure it; for still smaller lengths, the weak dependence makes the measurement dificult, as is the case for STEREO (L = 6 m) at 1 AU.

A very interesting property is that these results also hold in a magnetized plasma if the 308 frequency is a gyroharmonic. Indeed, in that case, as suggested by Meyer-Vernet et al. 309 [1993], the magnetic field does not change the QTN level at low frequencies. This can 310 be proven as follows. For  $\omega = n\omega_g$ , one can factorize in (5) the term  $\sum_{-\infty}^{\infty} J_n^2(k_{\perp}v_{\perp}/\omega_g)$ 311 (which is equal to unity [Abramowitz and Stegun, 1965]). Therefore,  $\langle \rho^2(\mathbf{k}, n\omega_g) \rangle^{(0)}$  re-312 duces to the value of  $\langle \rho^2(\mathbf{k}, 0) \rangle^{(0)}$  in the absence of magnetic field, which is given by (34). 313 Consider now the dielectric function. In a low- $\beta$  plasma where transverse and longitu-314 dinal modes decouple, we can use (2) in the electrostatic limit, and in the expression of 315 the longitudinal permittivity (e.g. [Alexandrov et al., 1984]) one can similarly factorize 316  $\sum_{-\infty}^{\infty} J_n^2(k_{\perp}v_{\perp}/\omega_g) = 1$  when  $\omega = n\omega_g$ , so that  $\epsilon_L$  reduces to the low-frequency limit of 317 its unmagnetized value. 318

This is illustrated in Figure 6, which shows two examples of QTN spectra measured respectively by Wind/Waves in the Earth's magnetosphere, and by Cassini/RPWS in Saturn's magnetosphere. One can see that the "plateau" is in these cases the level at the gyroharmonics (except at the lowest frequencies, for which the shot noise and other contributions are not negligible). Note that, since Cassini/RPWS antennas wires are not

DRAFT

<sup>324</sup> collinear - making an angle of 120° - with a significant gap between them [*Gurnett et al.*, <sup>325</sup> 2004], the antenna response should be changed accordingly [*Schippers et al.*, 2013], using <sup>326</sup> the formulas given in [*Meyer-Vernet and Perche*, 1989].

As shown by Meyer-Vernet et al. [1993], the frequencies of these minima can be used to 327 measure accurately the modulus of the magnetic field, whereas the increased level between 328 gyroharmonics, produced by the QTN in Bernstein waves (having  $\mathbf{k}$  nearly normal to  $\mathbf{B}$ ) 329 essentially determined by suprathermal electrons [Sentman et al., 1982] - can be used to 330 estimate their energy. The spectra shown in Figure 6 are rather similar to those calculated 331 by Yoon et al. [2017] for k nearly perpendicular to B (with an integration over k). Note 332 that these calculations are somewhat different from the estimates by Meyer-Vernet et 333 al. [1993] who include the response of the antenna, so that they find the QTN between 334 gyroharmonics to be roughly proportional to the temperature of hot electrons and to 335  $F_{\perp}(kL\sin\theta)$  ( $\theta$  is the angle between the antenna and **B**, k corresponds to Bernstein waves 336 and  $F_{\perp}$  is given by (18)-(19)). The factor  $F_{\perp}$  illustrates the interesting counter-intuitive 337 property mentioned in Section 2.2 that a long antenna (with respect to 1/k) is mainly 338 sensitive to electrostatic waves having  $\mathbf{k}$  roughly perpendicular to its proper direction, so 339 that it can receive a large QTN in Bernstein waves when it is oriented relatively close to the 340 magnetic field direction. These calculations have been used to measure the hot electron 341 energy (from the observed power) as well as k (from the modulation with the antenna 342 spin angle) in the Io torus [Moncuquet et al., 1995] and in Saturn's inner magnetosphere 343 [Moncuquet et al., 2005, 2006b]. 344

DRAFT

#### 2.5. Electron Total Density and Kinetic temperature

The most basic properties of a particle velocity distribution are the total electron density and kinetic temperature. In general, these properties are obtained by fitting the QTN spectrum to the data, except in the ideal case of an antenna much longer than the Debye length immersed in an isotropic plasma, for which these properties are revealed without any fitting (Figure 2). Indeed, the QTN spectral peak reveals the plasma frequency whence the electron density, and at frequencies  $f \gg f_p$  we have  $\epsilon_L \simeq 1$ , so that (2), (9) and (33) yield

$$V_f^2 \simeq \frac{32m\omega_p^2}{4\pi\epsilon_0} \int_0^\infty dv v f(v) \int_{\omega/v}^\infty dk F(k)/k^3 \qquad \text{for } f \gg f_p \tag{45}$$

If  $fL/(f_pL_D) \gg 1$ , substituting  $F(k) \simeq \pi/(4kL)$  in (45) yields

$$V_f^2 \simeq f_p^2 \ k_B T / (\pi \epsilon_0 L f^3) \tag{46}$$

The high-frequency QTN is directly proportional to the kinetic temperature  $T \equiv T_2$ whatever the shape of the velocity distribution. This  $f^{-3}$  spectrum is clearly seen on Figure 2. Note that  $V_f^2$  is deduced from the power measured  $V_r^2$  at the receiver ports by using (44) with the dipole antenna capacitance in this high frequency range

$$C_a \simeq \pi \epsilon_0 L / [\ln(L/a) - 1] \tag{47}$$

<sup>357</sup> Such an observation, however, requires that no radioemission perturbs the spectrum. This <sup>358</sup> can be seen on Figure 7 which shows a radio spectrogram from WIND/WAVES acquired <sup>359</sup> in the solar wind during the detection of intense solar radioemissions. The power density <sup>360</sup> below  $f_p$  (revealed by the line of increased power), produced by the plasma QTN, remains

<sup>361</sup> unperturbed and can still be used to deduce the cold electron temperature (see Section <sup>362</sup> 2.4), but the power is strongly perturbed above  $f_p$  and cannot be used for measuring the <sup>363</sup> kinetic temperature.

The total electron density can be deduced from the location of the plasma frequency peak. However, this peak can be shifted from  $f_p$  by several effects. First of all, even in the absence of Doppler-shifts, the spectral peak may be slightly shifted from  $f_p$ , by an amount which depends on the antenna length (via the factor F(k) in (9) as shown by *Meyer-Vernet and Perche* [1989]), on the distribution of hot electrons and on the frequency and time resolution, as shown in the following section.

#### 2.6. Hot Electrons

Since electrons interact with waves of phase speed equal to their proper speed, and the phase speed of Langmuir waves  $\omega/k \to \infty$  when  $\omega \to \omega_p$ , the shape of the plasma frequency peak is determined by high speed electrons; the closer the frequency to  $f_p$ , the higher the speed of electrons producing the power. This property is illustrated by the extreme behaviour of the "square" velocity distribution  $f(v) \propto H(v_0 - v)$ , the Heaviside step function, which produces no QTN peak at  $f_p$  because of the lack of electrons having the proper speed to interact with the waves [*Chateau and Meyer-Vernet*, 1989].

Detecting very high energy electrons via QTN spectroscopy therefore requires two receiver properties which may be difficult to conciliate: a high frequency resolution (to measure accurately the peak shape), and a high temporal resolution (because the spacecraft/plasma relative motion and the turbulence make the electron density near the antenna, whence  $f_p$ , change rapidly with time).

DRAFT

#### X - 24 MEYER-VERNET ET AL.: QUASI-THERMAL NOISE IN SPACE PLASMAS

## To illustrate this point, consider an electron velocity distribution made of a sum of isotropic distributions $f_i$ . From (33), we have

$$\langle \rho^2(\mathbf{k},\omega) \rangle^{(0)} = \frac{(2\pi e)^2}{k} \sum_i B_i(k) \tag{48}$$

384 where

$$B_i(k) = \int_{\omega/k}^{\infty} dv \ v \ f_i(v) \tag{49}$$

The imaginary part of the longitudinal dielectic function  $\epsilon_L(k,\omega)$  is then

$$\operatorname{Im}(\epsilon_L) = \frac{2\pi^2 e^2 \omega}{\epsilon_0 m k^3} \sum_i f_i(\omega/k) \equiv I_L$$
(50)

For  $\omega/kv \gg 1$ , the real part of  $\epsilon_L$  can be approximated by

$$\operatorname{Re}(\epsilon_L) \simeq 1 - (\omega_p^2/\omega^2) \left(1 + k^2 \langle v^2 \rangle / \omega^2\right) \equiv R_L$$
(51)

<sup>387</sup> whose nearly real zero is

$$k_L \simeq \omega \left(\omega^2 / \omega_p^2 - 1\right)^{1/2} / \left(\langle v^2 \rangle\right)^{1/2}$$
(52)

The contribution of this zero to the integral (9) (using (2)) can be calculated by writing  $R_L \simeq (k - k_L) \partial R_L / \partial k$  for  $k \simeq k_L$  at  $f \simeq f_p$ , with from (51)

$$\partial R_L / \partial k \simeq -2k_L \langle v^2 \rangle / \omega_p^2 \equiv -R'_L$$
(53)

<sup>390</sup> Therefore (2) and (9) yield for  $f = f_p + \Delta f$  with  $\Delta f \ll f_p$ 

August 9, 2017, 3:10pm D R A F T

DRAFT

$$V_f^2 \simeq \frac{8}{\pi\epsilon_0^2} \frac{F(k_L L)}{k_L^2} \frac{\langle \rho^2(k_L, \omega_p) \rangle^{(0)}}{R'_L(k_L, \omega_p) I_L(k_L, \omega_p)}$$
(54)

<sup>391</sup> Substituting (48), (50) and (53) yields the shape of the QTN peak

$$V_f^2 \simeq \frac{8mv_{ph}F(\omega_p L/v_{ph})}{\pi\epsilon_0 \langle v^2 \rangle} \left[ \frac{\sum_i \int_{v_{ph}}^{\infty} dv \ v \ f_i(v)}{\sum_i f_i(v_{ph})} \right]$$
(55)

392 where

$$v_{ph} \simeq \omega_p / k_L \simeq \left( \langle v^2 \rangle f_p / 2\Delta f \right)^{1/2} \simeq \left[ (3k_B T / 2m) (f_p / \Delta f) \right]^{1/2}$$
(56)

and in (55)-(56),  $\langle v^2 \rangle$  and T (the kinetic temperature) concern the whole velocity distribution. One sees from (55) that the noise at frequency  $f = f_p + \Delta f$  is produced by electrons moving faster than  $v_{ph}$  given by (56). The detailed shape of the peak is governed by the value of  $\omega_p L/v_{ph}$  (determining F given by (10)) and by the electron population  $f_i$ that dominates the bracket in (55). If  $f(v) \simeq f_i(v)$  for  $v \ge v_{ph}$ , the same population idominates both the numerator and the denominator of this bracket, which simplifies to

$$[...] \simeq \int_{v_{ph}}^{\infty} dv \ v \ f_i(v) / f_i(v_{ph}) \tag{57}$$

Hence in that case the amplitude and shape of the QTN peak depend only on the shape of the component i of the distribution and not of its relative density.

<sup>401</sup> Consider the case when at speeds  $v \gtrsim v_{ph}$ , the distribution can be approximated by a hot <sup>402</sup> Kappa halo given by (27) with density  $n_h$  and temperature  $T_h = (mv_0^2/2k_B)[\kappa/(\kappa - 3/2)]$ . <sup>403</sup> With for example  $n_h/n = 0.05$ ,  $T_h/T = 10$  and  $\kappa = 5$ , one can verify that this holds when <sup>404</sup>  $\Delta f/f_p \lesssim 0.1$  (when the core is Maxwellian). The bracket in (55) then reduces to

DRAFT August 9, 2017, 3:10pm DRAFT

$$[...] \simeq \frac{k_B T_h}{m} \frac{\kappa - 3/2}{\kappa} \left[ 1 + \frac{3T}{4T_h(\kappa - 3/2)} \frac{f_p}{\Delta f} \right]$$
(58)

When  $\Delta f/f_p > 3T/[4T_h(\kappa - 3/2)]$ , which holds with the above parameters when  $\Delta f/f_p > 0.02$ , the bracket in (58) reduces to unity in order of magnitude, so that (55) and (58) 407 yield

$$V_f^2 \simeq \frac{8mv_{ph}F(\omega_p L/v_{ph})}{3\pi\epsilon_0} \frac{T_h}{T} \frac{\kappa - 3/2}{\kappa}$$
(59)

An interesting property emerges from (59). In this exterior part of the peak (0.02 <  $\Delta f/f_p < 0.1$  with the above parameters), the power is not only independent of the density of the halo, it is also similar for a Kappa halo and a Maxwellian halo ( $\kappa \rightarrow \infty$ ), if they have similar most probable speeds ( $v_0 = [(\kappa - 3/2) \times T_h/\kappa]^{1/2}$ , from (28)). Let us estimate the amplitude of the peak in this frequency range. We have from (56) and (26)

$$\frac{\omega_p L}{v_{ph}} \simeq \frac{L}{L_D} \left(\frac{T_{-2}}{T}\right)^{1/2} \left(\frac{2\Delta f}{3f_p}\right)^{1/2} \tag{60}$$

For  $\Delta f/f_p \simeq 0.05$  (which lies in the range determined above) and  $L/L_D < 5$ , (60) yields  $\omega_p L/v_{ph} < 1$ , so that  $F(\omega_p L/v_{ph}) \simeq (\omega_p L/v_{ph})^2/24$  and (59) and (56) yield  $V_f^2/T^{1/2} \simeq$  $5 \times 10^{-16}$  for  $L/L_D \simeq 5$ .

<sup>416</sup> Closer to  $f_p$  ( $\Delta f/f_p < 0.02$  in our example), the right-hand side term of the bracket in <sup>417</sup> (58) becomes dominant, which means that  $v_{ph}$  is such that the electrons producing the <sup>418</sup> noise are in the speed range where the hot kappa distribution (27) behaves as a power <sup>419</sup> law velocity distribution  $f(v) \propto v^{-p}$  with  $p = 2(\kappa + 1)$ . In that case, (58) yields

$$[...] \simeq \frac{3k_B T}{4m\kappa} \frac{f_p}{\Delta f} \tag{61}$$

August 9, 2017, 3:10pm D R A F T

DRAFT

which no longer depends on  $T_h$  (nor  $n_h$ ), and we get from (55), (56), (11), (60) and (61)

$$V_f^2 \simeq \frac{(mk_B T)^{1/2}}{6^{3/2}\pi\epsilon_0\kappa} \frac{T_{-2}}{T} \frac{L^2}{L_D^2} \left(\frac{f_p}{\Delta f}\right)^{1/2}$$
(62)

so that the power increases strongly very close to  $f_p$ , as  $(f_p/\Delta f)^{1/2}$ , yielding a peak located at  $f_p$ . This contrasts with the behavior for a Maxwellian halo ( $\kappa \to \infty$ ), in which case the bracket (58) equals  $k_B T_h/m$ , so that (55) yields

$$V_f^2 \simeq \frac{8mT_h v_{ph}}{3\pi\epsilon_0 T} F(\omega_p L/v_{ph}) \tag{63}$$

Equation (63) shows that when  $\Delta f \to 0$   $(v_{ph} \to \infty)$ ,  $V_f^2 \to 0$ , so that the noise peak is shifted above  $f_p$  [Meyer-Vernet and Perche, 1989], at the value of  $\Delta f$  for which  $v_{ph}F(\omega_p L/v_{ph})$  is maximum.

Let us use these results to determine whether QTN spectroscopy can be used to measure 427 the solar wind super-halo electrons, which have a nearly isotropic power-law velocity 428 distribution at energies exceeding  $E_0 \simeq 2$  keV [e.g., Wang et al., 2012]. Using  $v_{ph}$  given 429 by (56), we see that these electrons are revealed at frequencies  $f_p + \Delta f$  with  $\Delta f/f_p < 1$ 430  $(3/4) \times T_{\rm eV}/E_0 \simeq 4 \times 10^{-3}$  if  $T \simeq 10$  eV. Such an observation also requires that the  $f_p$ 431 fluctuations produced by turbulent density fluctuations [e.g., Wang et al., 2012] occuring 432 during the measurement of the peak do not broaden it by more than  $\Delta f$ , which imposes 433 a constraint on the time resolution that may be difficult to conciliate with the frequency 434 resolution (because of the Nyquist-Shannon theorem). Using (62) with  $\kappa = p/2 - 1$ , we 435 obtain for p = 7 and  $L/L_D = 5$ ,  $V_f^2/T^{1/2} \simeq 10^{-16} (f_p/\Delta f)^{1/2}$ . For  $\Delta f/f_p \simeq 4 \times 10^{-3}$ , 436 this yields  $V_f^2/T^{1/2} \simeq 1.4 \times 10^{-15} \text{ V}^2 \text{Hz}^{-1}$ , i.e. about  $4 \times 10^{-13} \text{ V}^2 \text{Hz}^{-1}$  for  $T \simeq 10^5$ 437

DRAFT

<sup>438</sup> K. Since  $(1/\Delta f) \int_{f_p}^{f_p + \Delta f} df [f_p/(f - f_p)]^{1/2} = 2$ , a receiver with this frequency resolution <sup>439</sup> should mesure twice this power, i.e.  $V_f^2 \simeq 10^{-12} \text{ V}^2 \text{Hz}^{-1}$ .

It is interesting to note that such a very high noise level, corresponding to QTN produced by super-halo electrons, could be erroneously interpreted instead as due to plasma instabilities.

#### 2.7. Flat-top Distributions

Flat-top distributions are observed in various media, under conditions when all particles are accelerated up to a similar energy, for example via an electrostatic field present in a restricted region. Such velocity distributions have been observed in particular in the Earth's magnetosheath [*Feldman et al.*, 1982], the Earth's magnetotail around magnetic reconnection regions [*Asano et al.*, 2008], and downstream of strong interplanetary shocks [*Fitzenreiter et al.*, 2003].

Compared to Maxwellians or Kappas, for which the bulk of the distribution has a rela-449 tively similar shape, flat-top distributions have a large excess of medium energy particles, 450 and the "temperatures" defined in (20)-(22) with q < 0 generally exceed the kinetic 451 temperature  $T_2$ , contrary to distributions with suprathermal tails. For example, the dis-452 tribution studied by Chateau and Meyer-Vernet [1989],  $f(v) \propto [1 + (v/v_0)^8]^{-1}$ , which can 453 approximate distributions measured in the Earth's magnetosheath, has  $T_{-2} = 1.24 \times T$ 454 with  $T \equiv T_2 = m v_0^2 / 3k_B$ , so that the Debye length largely exceeds that of a Maxwellian of 455 similar temperature. Since in this case  $T_{-1} = 1.11 \times T$ , we have  $T_{-2}/T_{-1}^{1/2} = 0.95 \times T_{-2}^{1/2}$ , 456 so that the temperature deduced from the plateau level using (40) is close to that defining 457 the Debye length. However, since  $T_{-2}^2/T_{-1} = 1.37 \times T$  this "temperature" exceeds by 458 nearly 40% the kinetic temperature T revealed by the high-frequency QTN using (46) - a 459

DRAFT

<sup>460</sup> behavior which strongly contrasts with that of a Kappa distribution. Therefore, although
<sup>461</sup> the QTN diagnostics cannot reveal the full flat-top shape, it can nevertheless give a strong
<sup>462</sup> hint of such a shape.

#### 2.8. Ions

Because of their large mass (small characteristic frequency), ions generally play a minor 463 role in the QTN at frequencies of the order of magnitude of the plasma frequency, except 464 when the Doppler-shift of their fluctuations puts them in this frequency range - a case often 465 encountered in the solar wind. Since Ulysses spin axis was close to the solar direction, the 466 equatorial antennas were oriented approximately perpendicular to the solar wind velocity. 467 The contribution of the solar wind ions to the QTN has been calculated in this case 468 [Issautier et al., 1999] and used to estimate the ions properties [Issautier et al., 1998]. We 469 derive below a few additional properties that may be useful for other missions. 470

Equations (2), (3) and (13) show that if the drift speed is much larger than the ion average speed, the main contribution to the integral in (13) stems from the values of  $\theta$ satisfying  $\omega \simeq kV \cos \theta$ . Hence if the antenna is parallel to the drift speed we deduce by substituting  $k \cos \theta = \omega/V$  into (16) that the ion QTN is proportional to the factor  $[\sin^2(\omega L/2V)/(\omega L/V)]^2$ , which oscillates with frequency and goes to zero at frequencies that are multiples of V/L. Such variations have been observed on WIND/WAVES [*Tong et al.*, 2015].

<sup>478</sup> An important simplification arises when  $\omega L_D/V \gg 1$ , which holds around the plasma <sup>479</sup> frequency in the solar wind for PSP at 10 solar radii ( $\omega_p L_D/V \simeq 20$ ). In that case, the

DRAFT

480 QTN contribution due to the ions is given by

$$V_{\text{fions}}^2 \simeq \frac{8m_e V^3 \omega_p^2}{\pi \epsilon_0 L^2 \omega^4} \sin^4(\frac{\omega L}{2V}) \quad \text{antenna} \parallel \mathbf{V}$$

$$(64)$$

$$m V^2 \omega^2$$

$$V_{\text{fions}}^2 \simeq \frac{m_e v \,\omega_p}{\epsilon_0 L \omega^3} \qquad \text{antenna} \,\perp \mathbf{V}$$
(65)

<sup>481</sup> Comparing with (41), one sees that the ion contribution to the QTN is expected to be <sup>482</sup> negligible whatever the antenna direction for PSP at perihelion.

#### 3. QTN in Real Life

Now that QTN spectroscopy has been admitted in the exclusive club of recognized in situ measurement techniques, it is essential to ensure that it is not used loosey-goosey, under conditions which might lead to incorrect results. We therefore discuss below some constraints of real life in space which are (or are not) compatible with accurate measurements by QTN spectroscopy, and derive some results that may be useful for practical applications.

#### 3.1. Unequal Booms

<sup>489</sup> When the antenna wires are too thin, they can be broken by dust impacts. This <sup>490</sup> happened several times for the WIND/WAVES dipole antennas, which now have arms of <sup>491</sup> unequal length. We consider below an antenna made of two wires of respective lengths <sup>492</sup>  $L_1$  and  $L_2$ , aligned along the z axis and longer than the gap between them (we do not <sup>493</sup> consider the effect of a gap since this has been calculated by *Meyer-Vernet and Perche* <sup>494</sup> [1989]).

<sup>495</sup> The Fourier transform of the current distribution becomes

$$J_z(\mathbf{k}) = \frac{1}{k_z^2} \left[ \frac{e^{ik_z L_1} - 1}{L_1} + \frac{e^{-ik_z L_2} - 1}{L_2} \right]$$
(66)

$$G(kL_1, kL_2) = \frac{1}{4k} \left( \frac{L_1 + L_2}{L_1 L_2} \right) \left\{ g(kL_1) + g(kL_2) - g[k(L_1 + L_2)] \right\}$$
(67)

$$g(x) = \frac{\cos x - 1}{x} + \operatorname{Si}(x)$$
(68)

where Si is the sine integral function. In the particular cases of respectively short and long antennas, (67)-(68) yield

$$G(kL_1, kL_2) \simeq \frac{k^2(L_1 + L_2)^2}{96}$$
 if  $kL_1, kL_2 \ll 1$  (69)

$$G(kL_1, kL_2) \simeq \frac{\pi}{8k} \frac{L_1 + L_2}{L_1 L_2} \quad \text{if} \quad kL_1, kL_2 \gg 1$$
 (70)

Therefore for short antennas, the unequal arms of lengths  $L_1$ ,  $L_2$  are equivalent to a 500 symmetric antenna made of wires of length the average length,  $L_a = (L_1 + L_2)/2$ , whereas 501 for long antennas, the unequal arms are equivalent to an antenna of length the inverse of 502 the average of the inverse lengths,  $L_g = 2L_1L_2/(L_1 + L_2)$ . In the frequent case when the 503 antenna is short compared to the electromagnetic wavelength c/f, but long with respect 504 to  $L_D$ , this property suggests a quick method for determining separately the lengths of 505 the two antenna arms when they have been broken, using both a known radioemission as 506 the galactic noise and the QTN in a known plasma. Indeed in that case, (69) shows that 507 the reception of electromagnetic waves depends on the arithmetic mean  $L_a$ , whereas (70) 508 shows that the QTN depends on the geometric mean  $L_g$ ; this enables one to determine 509 both  $L_g$  and  $L_a$ , from which one can deduce directly  $L_1$  and  $L_2$ . When the booms are not 510 long enough to use the approximation (70), one must use the exact expression (67). 511

DRAFT

August 9, 2017, 3:10pm

DRAFT

#### 3.2. Fat and/or Biased Antennas

To be adequate for thermal noise spectroscopy, electric antennas must not only be long 512 enough (albeit not too long [Meyer-Vernet et al., 2000]), they must also be thin enough. 513 There are two basic constraints on the radius of electric antennas. First its must be small 514 compared to the Debye length, otherwise the approximation  $k_{\perp}a \ll 1$  in Eq.(8) does 515 not hold true, producing additional resonances - a problem only encountered in dense 516 planetary ionospheres; a further problem arises in that case (see Section 4). The second 517 constraint is due to the shot noise, since fat antennas may collect or emit so many electrons 518 that the corresponding shot noise may exceed the quasi-thermal noise. Since each electron 519 collection or emission from or to the ambient plasma produces a voltage pulse of rise time 520  $\sim (2\pi f_p)^{-1}$  ( $\sim (2\pi f_{ph})^{-1}$  for photoelectrons of plasma frequency  $f_{ph}$ ) and a generally 521 much longer decay time,  $\tau_d$ , due to the discharge of the antenna, the shot noise has a  $f^{-2}$ 522 spectrum for  $(2\pi\tau_d)^{-1} < f < f_p$  (the squared Fourier transform of a Heaviside function). 523 In practice, if the electron collection is not much affected by the antenna electric potential 524  $\Phi$  (which requires the condition  $e|\Phi| \ll k_B T_e$ ), the shot noise below the plasma frequency 525 at the antenna ports can be approximated by 526

$$V_{\rm shot}^2 \simeq 2|I_{e0}| \times e/C_a^2 \omega^2 \simeq 2 \times 10^{-16} (a/L) [\ln(L_D/a)]^2 T_1^{1/2} (f_p/f)^2$$
(71)

for  $a < L_D < L$  (from Eq.(25) and the formulas by [Meyer-Vernet and Perche, 1989]), where  $C_a \simeq (i\omega Z_a)^{-1}$  is the dipole antenna capacitance and  $I_{e0}$  is the electron current on one antenna arm when  $\Phi = 0$ . Comparing with the expression (40) of the QTN, (71) yields

DRAFT

$$V_{\rm shot}^2/V_{\rm QTN}^2 \simeq 4.9 \times \tau(a/L) [\ln(L_D/a)]^2 (f_p/f)^2$$
 (72)

with  $\tau = T_1^{1/2} T_{-1}^{1/2} / T_{-2}$ , which equals unity for a Maxwellian electron distribution, whereas 531  $\tau\gtrsim 1$  when the distribution has a suprathermal tail; for example, with a Kappa distri-532 bution we have  $\tau = (\kappa - 1/2)/(\kappa - 1)$ . With the parameters listed in Table 1 and  $\tau \simeq 1$ , 533 (72) yields  $V_{\rm shot}^2/V_{\rm QTN}^2 \simeq 0.4 \times (f_p/f)^2$  for SO and  $V_{\rm shot}^2/V_{\rm QTN}^2 \simeq 0.14 \times (f_p/f)^2$  for PSP 534 at perihelion. As noted above, these results assume the frequency to be smaller than  $f_p$ 535 and to exceed the inverse of the decay time  $\tau_d \simeq RC_a$  of the antenna potential pulses pro-536 duced by electron impacts and emission, so that these pulses are roughly step-like; here 537 R is the low-frequency antenna resistance due to its discharge by photoelectron emission 538 and plasma collection [Henri et al., 2011]; at smaller frequencies the shot noise is smaller 539 by the factor  $\omega \tau_d$ . 540

The expression (71) of the shot noise also assumes both that the electron collection 541 is not much affected by the antenna electric potential  $\Phi$ , and that this potential is the 542 floating potential for which the electron collection current is mainly balanced by the 543 photoelectron emission current or by the ion current if the latter is larger. This may not 544 be the case if the antenna is biased since in that case the change in antenna potential 545 may change significantly the number of elementary charges transferred from and to the 546 antennas, whereas the bias current,  $I_b$ , also contributes to the shot noise. Indeed, since 547 each individual charge transfer to the antenna contributes additively to the shot noise, 548 positive and negative current pulses do not cancel out and they all contribute to the 549 fluctuations. 550

DRAFT

Let us first consider the case when the ambient medium is the solar wind. In this case, the photoelectron current  $I_{ph0}$  typically exceeds the plasma electron current  $I_{e0}$  by one order of magnitude (here the subscript '0" stands for the currents on an antenna arm when  $\Phi = 0$ ). Hence the antenna potential  $\Phi$  floats at a few times the photoelectron temperature  $T_{ph(eV)}$ , in order that the ejected photoelectron current

$$I_{ph} \simeq I_{ph0} \times e^{-\Phi/T_{ph(eV)}} \tag{73}$$

balances the collected electron current

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$$I_e \simeq I_{e0} \times (1 + \Phi/T_{e(eV)}) \tag{74}$$

where we have assumed Maxwellian distributions with  $\Phi/T_{e(eV)} \ll 1$  since  $T_{ph} \ll T_{e}$ [Whipple, 1981]. In that case, the shot noise (71) is increased by the factor  $I_e/I_{e0} \simeq$ (1+ $\Phi/T_{e(eV)}$ ). This result also holds in presence of secondary electron emission  $I_{sec}$  since in that case  $|I_{sec}| + |I_{ph}| = |I_e|$  (neglecting the smaller ion current). Note that we have neglected the shot noise produced by the photoelectrons returning to the antenna because at frequencies  $f < f_p$  the corresponding pulse duration ( $\simeq 1/(2\pi f_{ph})$ ) is much shorter than  $1/(2\pi f)$ .

If the antenna is biased with a bias current  $I_b$  (per antenna arm), the shot noise (71) becomes

$$V_{\rm shot}^2 \simeq (|I_e| + |I_{ph}| + |I_b|)e/C_a^2\omega^2$$
 (75)

since it is proportional to the total number of elementary charges transferred from or to the antenna per time unit, and the contribution of the bias current to the shot noise is

estimated by assuming that the corresponding impedance is essentially due to the antenna capacitance. Since  $I_b = |I_{ph}| - |I_e|$ , we deduce

$$V_{\rm shot}^2 \simeq 2e \times \operatorname{Max}(|I_{ph}|, |I_e|) / C_a^2 \omega^2 \tag{76}$$

Consider the case when, due to the bias, the antenna potential becomes much smaller 569 than both  $T_{ph(eV)}$  and  $T_{e(eV)}$ , so that  $|I_{ph}| \simeq |I_{ph0}|$  and  $|I_e| \simeq |I_{e0}| \ll |I_{ph}|$ . In that case 570 (76) shows that the bias increases the shot noise (71) by the approximate factor  $|I_{ph0}/I_{e0}|$ , 571 which amounts to about one order of magnitude in the solar wind; such a bias would make 572 the shot noise largely dominant over the QTN for SO and of the same order of magnitude 573 as the QTN for PSP. On the other hand, biasing the antenna in order to increase its 574 positive potential  $\Phi$  would increase the shot noise by a smaller factor. Note that the 575 above estimates assume the antenna photoelectron current to be given by (73), even at 576 small heliocentric distances because - contrary to the spacecraft PSP [Ergun et al., 2010] 577 the antennas, whose radius is smaller than the photoelectron Debye length, are not 578 expected to be surrounded by a potential barrier reflecting the emitted photoelectrons. 579

<sup>580</sup> Consider now dense planetary environments, when the plasma ion current dominates the <sup>581</sup> photoelectron current. In that case, since  $|I_{i0}/I_{e0}| \simeq (m_e T_{1i}/m_i T_{1e})^{1/2} \ll 1$ , the antenna <sup>582</sup> potential floats to a negative value of order a few times the plasma electron temperature in <sup>583</sup> order to decrease the plasma electron current  $I_e \simeq I_{e0} \times e^{-|\Phi|/T_{e}(eV)}$  sufficiently to balance <sup>584</sup> the plasma ion current given by  $I_i \simeq I_{i0} \times (1 + |\Phi|/T_{i}(eV))$  for  $\Phi/T_{i}(eV) \ll 1$  [Whipple, <sup>585</sup> 1981]. In that case, the shot noise (71) is decreased by the factor  $I_e/I_{e0} \simeq e^{-|\Phi|/T_{e}(eV)}$ .

With a bias current, the shot noise is given by (75)-(76) with  $|I_{ph}|$  replaced by  $|I_i|$ . In that case, if because of the bias, the potential  $|\Phi|$  becomes much smaller than both  $T_{i(eV)}$ 

DRAFT

and  $T_{e(eV)}$ , we have  $|I_i| \simeq |I_{i0}|$  and  $|I_e| \simeq |I_{e0}| \gg |I_i|$ , so that  $Max(|I_i|, |I_e|) \simeq |I_{e0}|$  and the shot noise is thus given by (71). In contrast, a bias making the antenna potential more negative would decrease the shot noise.

## 3.3. Dusty Plasmas: Quasi-Thermal Noise of Charged Dust Grains

Virtually every plasma contains dust particles [Shukla and Mamum, 2002]. They can af-591 fect plasma waves in two ways. First, when dust grains impact solid surfaces at high-speed, 592 they are vaporized and partially ionized, as well as the material of the impacted surface; 593 this produces an expanding plasma cloud which affects the ambient electric field, whereas 594 some plasma particles are recollected by the spacecraft or antennas; these processes can be 595 detected by radio receivers and are currently used for dust detection (e.g. Meyer-Vernet 596 et al. [2016] and references therein) complementary to dedicated dust detectors (e.g. Auer 597 [2001]). Second, since dust grains carry electric charges (e.g. Mann et al. [2014]), their 598 motion produces an electric field, which can be detected by the electric antennas. We 599 consider below the latter mechanism, and assume that the concentration of dust grains is 600 small enough that they do not affect the plasma dielectric function [Verheest, 1996]. 601

In order to compare this mechanism to impact ionization, consider the charge carried by a dust particle of radius  $r_d$  and floating potential  $\Phi_d$ 

$$q \simeq 4\pi\epsilon_0 r_d \Phi_d \tag{77}$$

where  $\Phi_d$  equals a few times the temperature (in eV) of the particles that govern the grains' charging, i.e., photoelectrons in the solar wind or ambient electrons in dense planetary environments. Beware that (77) no longer holds when the grain's size is smaller than the Landau radius (the distance at which the mutual electrostatic energy of two plasma

DRAFT

August 9, 2017, 3:10pm DRAFT

electrons equals their thermal energy), because of both the grains' polarization and the charge quantization [e.g., *Meyer-Vernet*, 2013].

<sup>608</sup> Comparing q with the charge involved in impact ionization for a grain of mass  $m_d$ <sup>609</sup> impacting at speed  $v_d$ ,  $Q \simeq 0.7 m_d v_{d(\text{km/s})}^{3.5}$  [*McBride and McDonnell*, 1999; *Lai et al.*, <sup>610</sup> 2002], we have

$$q/Q \simeq 0.015 \times r_{d(\mu m)}^{-2} v_{d(km/s)}^{-3.5} \Phi_d$$
 (78)

Equation (78) generally yields  $q/Q \ll 1$ , except for submicron particles moving slowly, for example nanodust that have not yet been accelerated, such as freshly produced nanodust in the solar wind or nanodust in inner planetary magnetospheres.

Let us now compare the number of dust particles affecting the electric antennas in 614 dipole mode for both mechanisms. The rate of passing-by dust particles affecting the 615 antennas exceeds the impact rate on their surface by the large factor  $L_D/a$ , of order of 616 magnitude  $10^4$  for the cases listed in Table 1 (we do not consider the impacts on the 617 spacecraft, which are generally not efficiently detected in dipole mode). These numbers 618 suggest that the electric noise produced by dust grains passing by the antennas may be 619 worth considering. Such a measurement via a time domain sampler has been discussed 620 by *Meuris et al.* [1996]. We consider below the possibility of such a measurement via a 621 wave receiver, i.e. the quasi-thermal noise produced by dust grains moving around the 622 antennas. 623

In order to derive order-of-magnitude estimates, we consider a simple case: dust grains of charge q and isotropic velocity distribution  $f_d(v)$ . From Eqs. (2), (9) and (33), their QTN is given by

DRAFT

$$V_{\rm fd}^2 = \frac{32q^2}{\epsilon_0^2} \int_0^\infty dk \frac{F(kL)}{k^3 |\epsilon_L(\mathbf{k},\omega)|^2} \int_{\omega/k}^\infty dv \ v \ f_d(v) \tag{79}$$

where F(x) is given by (10). We now make the further simplifying assumption that the grains have a similar speed  $V_d$ , so that their distribution can be approximated by

$$f_d(v) = n_d \delta(v - V_d) / (4\pi V_d^2)$$
(80)

 $n_d$  being their number density. Substituting (80) into (79) yields

$$V_{fd}^2 = \frac{8n_d q^2}{\pi \epsilon_0^2 V_d} \int_{\omega/V_d}^{\infty} dk \frac{F(kL)}{k^3 |\epsilon_L(\mathbf{k},\omega)|^2}$$
(81)

Since  $V_d$  is much smaller than the electron thermal speed, we can use the approximation (35) of  $\epsilon_L$  in the integral (81), which reduces to  $\epsilon_L \simeq 1$  since  $kL_D \gg 1$  in the integration range. This yields the QTN of this dust distribution

$$V_{fd}^2 = \frac{8n_d q^2 L^2}{\pi \epsilon_0^2 V_d} \int_{\omega L/V_d}^{\infty} dx \frac{F(x)}{x^3}$$
(82)

Using the approximations (11) and (12), we deduce in particular

$$V_{fd}^2 = \frac{2n_d q^2 V_d^2}{3\epsilon_0^2 L\omega^3} \qquad \text{for } \omega L/V_d \gg 1$$
(83)

$$V_{fd}^2 = \frac{n_d q^2 L^2}{3\pi\epsilon_0^2 V_d} \ln(V_d/\omega L) \quad \text{for } \omega L/V_d \ll 1$$

$$\tag{84}$$

For example, a concentration  $n_d \simeq 10^3$  cm<sup>-3</sup> of nanodust of radius a few nanometers moving at  $V_d \simeq 15$  km/s relative to the Cassini spacecraft in Enceladus' plume [*Hill et al.*, 2012] should produce from (83) a QTN power of order of magnitude  $V_{fd}^2 \simeq 10^{-10}$ V<sup>2</sup>Hz<sup>-1</sup> near 1 kHz. This level is expected to largely exceed the shot noise due to plasma particle impacts because of the strong electron depletion [*Hill et al.*, 2012].

## 4. Concluding Remarks

We have provided a number of new tools for implementing QTN spectroscopy in space 639 plasmas, which are generally not in thermal equilibrium and are sometimes dusty, inboard 640 various missions. In particular, we give an exact generic expression of the "cold" electron 641 temperature and of its measurement via the QTN plateau (36); we also give a generic 642 analytical approximation (40) of this plateau valid for practical antenna lengths in space, 643 and provide an application for PSP at perihelion. The QTN plateau level is all the 644 more generic, given that we have proven that it still holds in presence of a magnetic 645 field. We also give new analytical approximations of the QTN peak shape and level in 646 several practical cases, and study the conditions in which the solar wind super halo might 647 be measured by this technique. Concerning flat-top distributions, we suggest a simple 648 method to infer them by comparing the low and high frequency QTN levels. Finally, we 649 give new analytical approximations for the QTN due to ions in the solar wind, and show 650 that this component is expected to be negligible for PSP at perihelion. 651

In order to adapt the method to various practical situations in space, we have considered 652 antennas made of two wires of different lengths, as occurs on WIND after damaging of 653 the antennas by dust impacts, and suggest a new method for determining separately the 654 lengths of the dipole arms. We also consider fat and/or biased antennas, showing that 655 biasing might considerably increase the shot noise in the solar wind, possibly spoiling 656 QTN measurements. Finally, we have estimated the QTN produced by the motion of 657 dust grains near the antennas, yielding a new method to measure grains when their speed 658 is not high enough for producing significant impact ionization. This result may be applied 659 for detecting nanodust in the Enceladus plume, where the plasma shot noise is expected to 660

DRAFT

August 9, 2017, 3:10pm

<sup>661</sup> be small because of the strong electron depletion (due to capture of most plasma electrons <sup>662</sup> by the grains) [*Hill et al.*, 2012], so that the dust QTN noise may dominate the spectrum <sup>663</sup> at low frequencies.

Further extensions will be necessary in the near future to implement QTN spectroscopy 664 on the cubesat projects [e.g., Swartwout, 2013; Saint-Hilaire et al., 2014] in the Earth's 665 ionosphere. Even though collisions are negligible at normal cubesat altitudes, they should 666 be taken into account at lower altitudes (the E region); such an extension has already been 667 considered [Meyer and Vernet, 1975; Martinovic et al., 2017]. Another simple extension 668 which has already been considered [Meyer-Vernet and Perche, 1989] is the accounting of 669 the gap between antenna arms due to the presence of the satellite if its diameter is not 670 small compared to the antenna length. A much more difficult problem is that the negative 671 floating potential of the antenna, of modulus greater than the electron temperature (in 672 eV) will produce a sheath depleted of electrons around the antenna, of width several Debye 673 lengths [Laframboise, 1966], because the antenna radius will not be small compared to 674 the Debye length (typically a fraction of centimeter). A detailed study of the impedance 675 of a wire dipole antenna in the Earth's ionosphere has shown that this effect can be 676 approximately taken into account at frequencies  $f > f_p$  by making a simple extension of 677 the theory [Meyer and Vernet, 1975], putting in series the impedance of an antenna of 678 radius comparable to that of the sheath, G (the antenna radius plus a few Debye lengths) 679 and that corresponding to the capacitance of a vacuum sheath  $(\pi \epsilon_0 L/\ln(G/a))$ . However, 680 at frequencies  $f < f_p$ , a new effect arises: the local plasma frequency in some region of the 681 depleted sheath equals the frequency f, yielding resonances with associated non-collisional 682 losses [Meyer-Vernet et al., 1977]. This effect produces a strong increase of the antenna 683

DRAFT

resistance [*Meyer-Vernet et al.*, 1978] (and therefore of the QTN) and requires a major extension of the theory to be accounted for.

Other extensions will be necessary for applications in the inner solar system. The elec-686 tron distribution close to the Sun is expected to have significant anisotropies, in particular 687 due to the suprathermal electrons focused along the magnetic field - the so-called strahl 688 [e.g., Marsch, 2006]. The effects of electron temperature anisotropies on the QTN at low 689 and high frequencies have been estimated by *Meyer-Vernet* [1994], whereas the effects of 690 the electron bulk speed on the QTN cut-off at the plasma frequency have been considered 691 by Issautier et al. [1999]. However, the effects of drift or focusing of suprathermal elec-692 trons, which is an important topic, has not yet been studied. And since Nature always 693 turns out to be subtler than we imagine, the future diagnostics will most probably require 694 further and as yet unanticipated extensions of the QTN theory. 695

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Acknowledgments. The authors thank the CNES and the CNRS for support on the Ulysses/URAP, Wind/WAVES and Cassini/RPWS HF projects, and Mélody Pallu and Mathieu Vachey for their help on data processing for Figure 6. The data presented in this paper can be accessed by contacting the authors.

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DRAFT

August 9, 2017, 3:10pm

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Table 1. Properties of wire dipole antennas (length L of each element, radius a, and dipole stray capacitance<sup>b</sup>) used for QTN on ISEE 3-ICE/3D Radio Mapping, Ulysses/URAP<sup>a</sup>, WIND/WAVES, Cassini/RPWS, MMO-BepiColombo/PWI-WPT, Solar Orbiter (SO)/RPW and Parker Solar Probe (PSP)/FIELDS, and average Debye length in the solar wind at respectively 1 AU (ISEE 3, Ulysses, Wind), 0.3 AU (representative for Bepi-Colombo and SO), 10  $R_s$  (closest heliocentric distance of PSP), and in Saturn's magnetosphere at Enceladus' orbit (explored by Cassini).

Property	ISEE 3	Ulysses	WIND	Cassini	BepiColombo	SO	PSP
L (m)	45	35	$50^{\rm c}$	10	15	6.5	2
$a \ (mm)$	0.2	1.1	0.2	14.3	0.21	14.2	1.59
$C_b$ (pF)	45	57	20	55	$50?^{\mathrm{b}}$	$?^{\mathrm{b}}$	$35^{\mathrm{b}}$
$L_D$ (m)	10	10	10	1	5	5	0.8

<sup>a</sup> For Ulysses antennas (tapes of length L, width 5 mm and thickness 0.04 mm), the radius is

that of the cylinder having the same capacitance.

<sup>b</sup> The base capacitance can be measured accurately only after the antennas have been extended

on the spacecraft in space.

<sup>c</sup> The length indicated holds at the times when Figs 6 and 7 were acquired, i.e. before the

antenna wires were broken by dust impacts.

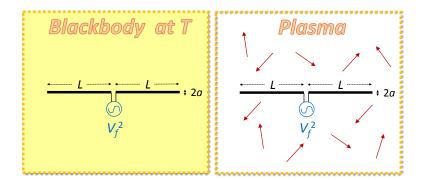


Figure 1. Simple electric antenna (two aligned wires of length L and radius a) immersed in blackbody radiation (left) and in a plasma (right). When  $L_D \ll L \ll \lambda = c/f$  ( $L_D$  is the plasma Debye length, c the speed of light and f the frequency), the antenna resistances are respectively  $R_{EM} = 2\pi f^2 L^2 / (3\epsilon_0 c^3)$  (left) and  $R_P \simeq (2/\pi)^{-1/2} (8\pi\epsilon_0 f_p L)^{-1}$  just below the plasma frequency  $f_p$  peak, and  $R_P \simeq f_p^2 (4\pi\epsilon_0 f^3 L)^{-1}$  for  $f \gg f_p$  (right).

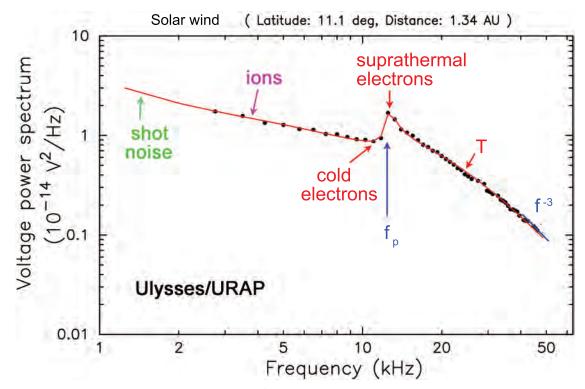
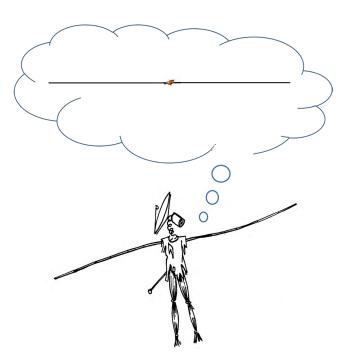


Figure 2. Example of QTN spectrum  $(V_r^2)$ , measured at the receiver's ports) with a wire dipole antenna in a weakly magnetized plasma (Ulysses/URAP data in the solar wind). The main plasma parameters that can be deduced are indicated. Fitted electron parameters, assuming an electron velocity distribution made of a sum of a cold and a hot maxwellian are:  $n = 1.8 \times 10^6$ m<sup>-3</sup>,  $T_c = 1.3 \times 10^5$  K,  $T_h/T_c = 8$ ,  $n_h/n_c = 0.04$  (with an accuracy of 1% on n and 7% on T). Note that for a quick diagnostics, one can deduce the total electron density from the  $f_p$  peak, the cold electron temperature from (41) and the kinetic electron temperature from (46), using  $V_f^2$  caculated via (44) with  $C_a$  from (43) and (47) at respectively low and high frequencies.



**Figure 3.** Unfortunately, the strawman payload of the space agencies (bottom) is different from the ideal case for QTN spectroscopy (top), when the spacecraft size is much smaller than the antenna length and the antennas are thin, symmetrical and unbiased - as for ISEE 3 and Ulysses (shown to scale between the antenna wires). Drawing by François Meyer.

August 9, 2017, 3:10pm

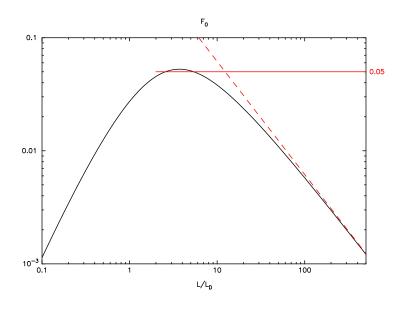


Figure 4. Function  $F_0(L/L_D)$  given by (37). Multiplying  $F_0$  by the factor  $2^{7/2}(mk_B)^{1/2}/(\pi^{3/2}\epsilon_0) \simeq 8.14 \times 10^{-16}$  yields the QTN plateau  $V_f^2$  normalized to  $[T_{-2}/T_{-1}^{1/2}]$ , close to the square root of the "cold" temperature (in V<sup>2</sup>Hz<sup>-1</sup>K<sup>-1/2</sup>) (see Eq.(36)). The dashed red line shows the approximation (38) (valid for very long antennas); the solid red line shows the approximation  $F_0 \simeq 0.05$  (valid for intermediate lengths).

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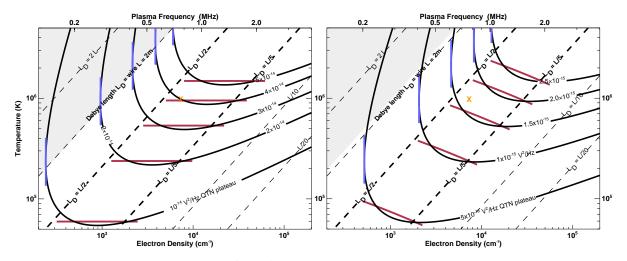


Figure 5. QTN plateau level in V<sup>2</sup>Hz<sup>-1</sup> with the wire dipole antenna of PSP/FIELDS (L = 2 m) in a density/temperature plane, with the approximation (40) superimposed as red bars. The power is calculated at both the antenna ports (left, from (36)) and the receiver ports (right, deduced via (7)). The variation in antenna capacitance (43) with  $L_D$  makes  $V_r^2/V_f^2$  vary with the electron density, so that the horizontal lines (left) become inclined (right). The orange cross sketches the density and temperature expected for PSP near perihelion. The range  $L/L_D < 1$ , in which QTN spectroscopy become ineffective is shown in grey; the blue vertical lines show the regions in which the QTN plateau level becomes weakly dependent of temperature, making this derivation difficult.

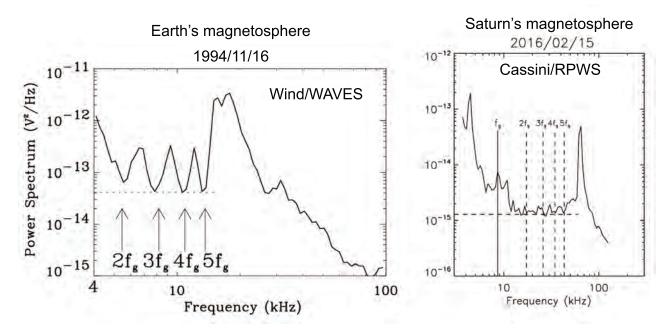


Figure 6. Two examples of quasi-thermal noise spectra  $(V_r^2, \text{ measured at the receiver ports})$  in magnetized plasmas, showing a plateau of minima (dashed horizontal line) at the gyroharmonics  $nf_g$ . Left-hand side: Wind/WAVES data in the Earth's magnetosphere at 8 Earth's radii. Righthand side: Cassini/RPWS data in Saturn's magnetosphere at 4 Saturn's radii.

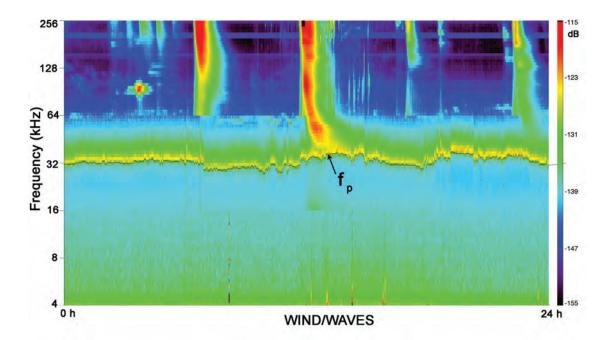


Figure 7. Radio spectrogram from WIND/WAVES acquired on 5 November 1997 in the solar wind, showing solar radioemissions perturbing the plasma QTN above the plasma frequency, whereas the  $f_p$  line and QTN plateau are not perturbed. The data are plotted as frequency versus time, with the relative intensity coded as indicated in the color bar.