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▶ To cite this version:

Olivier Silvie, Rogerio Amino, Julius Clemence Hafalla. Tissue-specific cellular immune responses to malaria pre-erythrocytic stages. Current Opinion in Microbiology, 2017, 40, pp.160-167. 10.1016/j.mib.2017.12.001 . hal-01700959

HAL Id: hal-01700959 https://hal.sorbonne-universite.fr/hal-01700959

Submitted on 5 Feb 2018

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Tissue-specific cellular immune responses to malaria pre-erythrocytic stages
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Highlights
• Protective immunity against malaria can be achieved through immunisation with live
attenuated <i>Plasmodium</i> sporozoites and targets the parasite pre-erythrocytic stages.
• Naturally exposed individuals remain at risk of malaria despite multiple sporozoite
infections, and this could be explained by different mechanisms.
• Protective immunity relies primarily on effector CD8 ⁺ T cells targeting the parasite in
the liver.
• The generation of liver-resident parasite-specific memory CD8 ⁺ T cells is emerging as
a key determinant of protective immunity.

26 Abstract

27 Complete and long-lasting protective immunity against malaria can be achieved through 28 vaccination with invasive live attenuated Plasmodium sporozoites, the motile stage inoculated 29 in the host skin during a mosquito bite. Protective immunity relies primarily on effector CD8+ 30 T cells targeting the parasite in the liver. Understanding the tissue-specific features of the 31 immune response is emerging as a vital requirement for understanding protective immunity. 32 The small parasite inoculum, the scarcity of infected cells and the tolerogenic properties of 33 the liver represent hurdles for the establishment of protective immunity in endemic areas. In 34 this review, we discuss recent advances on liver-specific features of immunity including 35 innate recognition of malaria pre-erythrocytic stages, CD8+ T cell interactions with infected 36 hepatocytes, antigen presentation for effective CD8⁺ T cell responses and generation of liver-37 resident memory CD8⁺ T cells. A better understanding of the factors involved in the induction 38 and maintenance of effector CD8⁺ T cell immunity against malaria pre-erythrocytic stages is 39 crucial for the development of an effective vaccine targeting the initial phase of malaria 40 infection.

41

43 Introduction

44 Malaria, caused by *Plasmodium* parasites, is one of the leading causes of mortality and 45 morbidity in resource poor areas worldwide. Notwithstanding global control and elimination 46 efforts, >400,000 people still die annually due to malaria 47 (http://www.who.int/malaria/publications/world-malaria-report-2016/report/en). highly Α 48 efficacious malaria vaccine remains elusive. Plasmodium sporozoites are injected in the host 49 skin by a female infected Anopheles mosquito. These sporozoites travel to the liver, invade 50 hepatocytes and develop into exo-erythrocytic forms (EEF), which generate thousands of 51 blood stage parasites. Targeting the malaria pre-erythrocytic stage is an ideal and attractive 52 strategy for malaria vaccination. Inhibiting liver infection and development of malaria 53 parasites can prevent both the disease-causing blood stages and the transmissible sexual 54 stages.

55 Humans, rhesus monkeys and mice exposed to multiple doses of γ -radiation-attenuated 56 sporozoites (RAS), the gold standard vaccine for malaria, can be fully protected against 57 normal sporozoite challenge (reviewed in [1]). Alternative attenuation strategies, such as 58 genetically attenuated parasites (GAP) or chemoprophylaxis with sporozoite infection (CPS), 59 also induce sterile protection (reviewed in [2]). Whilst the use of attenuated parasites is a 60 feasible approach for vaccination, they demand production of large quantities of infected 61 mosquitoes that is not easily scalable to mass vaccination in poor settings. But, if we can 62 discover the important features of a protective immune response, we can replicate these 63 phenotypes by sub-unit vaccination. RTS,S/AS01, the most advanced malaria sub-unit 64 vaccine candidate to date, is based on the circumsporozoite protein (CSP), the surface coat 65 antigen of sporozoites. Yet, despite being designed to elicit different arms of the immune response, RTS, S/AS01 only provides partial protection in malaria-naïve and -experienced 66 67 individuals [3•].

68 In rodent models and rhesus monkeys, protection conferred by RAS vaccination is 69 largely dependent on effector CD8⁺ T cells (reviewed in [4]). Depletion of CD8⁺ T cells prior 70 to challenge of immunised mice and rhesus monkeys consistently abrogated protection [5,6]. 71 P. falciparum (Pf) RAS vaccination of humans induces high numbers of sporozoite-specific CD8⁺ T cells producing IFN-y [7]. Understanding the key features of host-parasite 72 73 interactions and the induction of innate and adaptive immune responses, particularly parasite-74 specific CD8⁺ T cells, is crucial for informing the development of an effective next generation 75 malaria vaccine.

76

77 Immunisation with attenuated parasites versus natural infections: numbers matter

Despite repeated infections, individuals in endemic areas do not develop sterilising protection and those surviving episodes of childhood malaria remain vulnerable to intermittent infections [8]. Several possibilities, including the small number of parasites naturally transmitted by mosquitoes or the down-regulation of immunity by malaria blood infection, can explain the reasons behind the contrasting outcomes with those experimentally vaccinated with attenuated sporozoites (**Figure 1**).

84 In mice, only ~20-50 P. yoelii (Py) or P. berghei (Pb) sporozoites are inoculated in the 85 host skin during an infective bite and only a small fraction invades and develops inside hepatocytes (reviewed in [9]). $CD8^+$ T cell responses to CSP and sporozoites following Py 86 87 and Pf RAS immunisation, respectively, are dependent on antigen dose so low inoculum 88 equates to poor $CD8^+$ T cell responses [7,10]. In the Py model, $CD8^+$ T cell responses are not 89 readily increased by repeated immunisation [11,12]. To achieve sterile protection in humans, 90 more than 1,000 Pf infective bites (Pf RAS) are required [13]; this amount corresponds to 91 almost ten years of exposure to Pf in a high malaria transmission area [14] but administered in 92 a much shorter period. Sterile protection can also be achieved by the intravenous inoculation

93 of ~700,000 Pf RAS [7]. To protect humans under CPS, fewer Pf infective bites (~40) or 94 cryopreserved sporozoites (~150,000) are needed [15,16•]. For CPS, the host is exposed to 95 both pre-erythrocytic and blood stage (transient parasitemia) antigens, and sterile protection is 96 observed only against a *Pf* sporozoite challenge [17]. Comparable to findings in humans, CPS 97 induces efficient protection against Pb sporozoite infection, but not against blood stage 98 challenge. This sterilising protection is abolished after depletion of CD8⁺ T cells and is not 99 affected by the lack of mature B cells [18,19]. In contrast, CPS vaccination not only induces 100 high levels of antigen-experienced CD8⁺ T cells but also targets blood stages of Py and P. 101 chabaudi (Pc) [18,20-22]. In common, the two species used in these studies cause an acute 102 parasitemia that can be naturally controlled by non-vaccinated hosts, indicating a lower 103 stringency for the immune-control of the blood stage infection in comparison to the Pb and Pf 104 lethal strains. Late arresting Py GAPs have been shown to provide superior protective 105 immunity, suggesting a role of mid/late EEFs antigens in protection, and similar to RAS and 106 CPS, sterile protection is dependent on the immunising dose of attenuated sporozoites [23]. 107 These data suggest that exposure to a broad antigenic repertoire, including antigens shared 108 between EEFs and blood stages, improves protection against the pre-erythrocytic stages. 109 Additionally, the absence or the rapid clearance of infected RBCs by RAS/GAP or CPS 110 vaccination, respectively, might impede the deleterious effect of blood infection on antigen 111 presentation [24], the numbers and functionality of CD8⁺ T cells [25] or the expansion of 112 regulatory T cells during a prolonged blood-infection [26•]. Overall, the delivery of high 113 doses of sporozoites seems to be a key requirement for the sterile protection elicited by 114 immunization using live attenuated sporozoites. This high antigenic load is likely associated 115 with overcoming the humoral and cellular effector thresholds necessary to sterilize the 116 sporozoite infection [27,28].

Early responses to sporozoites and liver stages: innate immunity and hepatic responses

119 Information on how sporozoites interact with the innate immune system remains 120 limited. Pb sporozoites induce the biphasic recruitment of CD11b⁺ Ly6C^{int} Ly6G^{hi} 121 polymorphonuclear neutrophils, CD11b+ Ly6C- Ly6G- resident myeloid cells and CD11b+ 122 Ly6C^{hi} Ly6G⁻ inflammatory monocytes in the skin inoculation site and the proximal draining 123 lymph node (DLN), evoking a Th1 cytokine profile [29]. Migrating Pb sporozoites induce a 124 signalling cascade in vitro in primary murine hepatocytes with MyD88-mediated NF-KB 125 activation [30]. Although EEF development is clinically silent, accumulating evidence 126 suggest that, as parasites replicate in the liver, functional innate immune responses are 127 triggered that are dependent on both type I and II IFNs [31•,32•]. The type I IFN signaling 128 pathway is activated in the livers of mice intravenously infected with either Pb or Py, a 129 process that involves the cytosolic receptor melanoma differentiation-associated protein 5 130 (Mda5), suggesting sensing of parasite RNA, and requires the mitochondrial antiviral 131 signalling protein (Mavs) and the transcription factors interferon-regulatory factors-3 (Irf3) 132 and Irf7. Type I IFNs bind to Ifnar on hepatocytes and leukocytes, resulting in the subsequent 133 recruitment of leukocytes to the liver at the end of the hepatic infection. Indeed, following the 134 inoculation of Py GAP, type I IFN signalling is essential for the recruitment or expansion of 135 CD49b⁺CD3⁺ Natural Killer T (NKT) cells, one day after the peak of hepatic parasite release 136 in the blood circulation. These NKT cells reduce liver infection during a subsequent and 137 intertwined secondary Py sporozoite infection, presumably via the production of IFN- γ [32•]. 138 However, type I IFN signalling does not impact the EEF growth after a primary Pb or Py139 sporozoite infection [31•,32•]. Furthermore, earlier reports using *Pb* and *Py* suggest that NKT 140 cells have no role in protection against malaria pre-erythrocytic stages [33,34]. Notably, a 141 type I IFN response of much lower magnitude was observed when lower doses of parasites 142 were transmitted through mosquito bites [31•]. Hence, the significance of this response and its

relevance in humans still remains uncertain, as well as its impact on the acquisition of immunity by vaccination using live attenuated sporozoites. During EEF development, the parasite exploits diverse cellular pathways and several host and immune factors are modulated, including Bcl-2, p53, IL-6, heme oxygenase and the autophagy machinery (reviewed in [35,36] and [37]). The relationships amongst the modulation of host cell factors, the innate immune system and the development of protective CD8⁺ T cells against preerythrocytic stages remain to be established.

150

151 Antigen capture and presentation leading to CD8+ T cell priming

152 How parasite antigens are processed and presented for primary activation of antigen-specific 153 CD8⁺ T cells is not well understood, but likely depends on the nature and spatio-temporal 154 exposure of parasite-derived antigens. Sporozoites migrate through various cell types during 155 their journey from the skin to the liver. During cell traversal, sporozoites shed antigens in the 156 host cell cytosol, which can be processed and directly presented [38] or captured for cross-157 presentation by dendritic cells (DCs). After dermal inoculation, a fraction of Pb sporozoites 158 actively migrate to the DLN [39], and can prime protective *Py*CSP-specific CD8⁺ T cells [40]. 159 Lymph-node resident CD8 α^+ DCs capture antigens from migratory malaria sporozoites and 160 induce PbCSP-specific CD8⁺ T cell responses [41]. Intravenous inoculation of live attenuated 161 sporozoites is a more efficient vaccination approach as compared to intradermal inoculation, 162 in both human and rodents models [7,42]. Various factors likely concur to protective 163 immunity induced by immunization with live attenuated sporozoites administered 164 intravenously (Figure 2). A recent study revealed that the lower protective efficacy of Py 165 GAP administered via the intradermal route is not linked to low hepatic parasite numbers, but 166 correlates with a shift towards regulatory immune responses [43•]. In particular, more 167 interleukin-10-producing B and T cells but fewer hepatic memory CD8⁺ T cells and CD8 α^+

168 DCs were found in the liver and skin DLNs after intradermal injection, as compared to 169 intravenous inoculation. Intravenous injection of Pb RAS leads to a $CD8\alpha^+$ DC-dependent 170 splenic priming of CD8⁺ T cells specific for an antigen expressed in pre-erythrocytic and 171 blood stages [44]. CD8 α^+ DCs accumulate in the liver after *Pb* RAS immunisation [45,46], 172 however a role for hepatic DCs in both priming of CD8⁺ T cells and protection remains poorly 173 characterised. In the liver, sporozoites traverse Kupffer cells (KC) and liver sinusoidal 174 endothelial cells (LSEC) prior to infecting hepatocytes [47]. In other systems, both KC and 175 LSEC can function as APCs and could present parasite antigens to CD8⁺ T cells, resulting in 176 either tolerance or enhanced immune responses in inflammatory conditions [48,49].

177 How antigens expressed exclusively during EEF development are presented to the 178 immune system is unclear. After invasion of hepatocytes, Plasmodium parasites replicate 179 within the PV membrane (PVM), which constitutes a barrier preventing access of antigens to 180 the host cell cytosol and the MHC class I presentation pathway. RAS and GAP invade 181 hepatocytes where they undergo arrested development into EEFs. Attenuation leads to 182 parasite death and possible breakdown of the PVM, which could also enhance antigen 183 presentation and priming of protective CD8⁺ T cells. Although antigen presentation by 184 hepatocytes tends to have a tolerising effect (reviewed in [50]), hepatocytes were shown to 185 prime CD8⁺ T cells specific for CSP [51]. Furthermore, a recent study revealed that 186 presentation of antigens expressed in hepatocytes leads to differentiation of systemically 187 primed CD8⁺ T cells into liver-resident memory cells that are critical for protection [52••].

During the effector phase of an efficient immune response, protective CD8⁺ T cells recognize parasite-derived peptides displayed with MHC class I molecules on the surface of infected hepatocytes, leading to parasite elimination [40,53,54]. In *Py*-infected hepatocytes, antigen processing and presentation follows an endosomal-independent, TAP-dependent pathway [53,55] requiring an intracellular source of parasite antigens. From thousands of 193 proteins expressed by sporozoites and liver-stages, so far, only a few antigens, conserved 194 among plasmodial species, are known to elicit a CD8⁺ T cell-dependent protection against a 195 sporozoite infection. Among them are the two most abundant surface proteins of sporozoites, 196 CSP [56] and the thrombospondin-related anonymous protein (TRAP/SSP2) [57]; a protein 197 involved in the wounding and traversal of host cells by ookinetes and sporozoites (CelTOS) 198 [58]; an asparagine-rich protein that regulates the initial development of liver stages (SLARP/ 199 SAP1) and a putative serine hydroximethytransferase (SHMT) [59]. Pf liver-stage antigen 1, 200 liver-stage associated protein 2 and UIS3 antigens also elicit CD8+ T cell-dependent 201 protection in a challenge model where heterologous Pb sporozoites over-express these 202 antigens via the strong uis4 promoter [60,61]. The expression of the OVA MHC-I epitope 203 fused to the HSP70 or the green fluorescent protein in the parasite cytosol via the constitutive 204 hsp70 promoter can also lead to the elimination of infected hepatocytes by OVA-specific 205 CD8⁺ T cells [53], showing that cytosolic, membrane, secreted and PVM antigens can be 206 potentially presented on the surface of infected hepatocytes.

207

208 Effector functions of protective CD8+ T cells

209 Different effector molecules can be utilised by both effector and memory CD8⁺ T cells 210 to protect against infections, including IFN-y, tumour necrosis factor (TNF), perforin, 211 granzyme, FasL and TNF-related apoptosis-inducing ligand (TRAIL). The mechanisms by 212 which CD8⁺ T cells inhibit the development of pre-erythrocytic stages remain poorly 213 understood. Studies using antigenically distinct Pb strains showed that bystander killing of 214 parasites does not occur during the CD8⁺ T cell response to malaria parasites [62], indicating 215 that elimination of infected parasites is likely mediated by direct recognition of infected 216 hepatocytes by antigen-specific CD8⁺ T cells. Systemic depletion of IFN-y which is produced 217 not only by CD8⁺ T cells but also by CD4⁺ T, NK T and NK cells, consistently abolishes

218 sterile protection in rodent models immunised with Pb or Py RAS [5]; IFN- γ activates the L-219 arginine-dependent inducible nitric oxide synthase (iNOS) pathway, which leads to the 220 production of nitric oxide (NO) that is toxic to the developing EEFs (reviewed in [1]). Lytic 221 factors appear to be dispensable in the effector function of CD8+ T cells against pre-222 erythrocytic stages. Mice deficient for perforin, granzyme B or FasL and immunised with 223 either *Pb* or *Py* RAS are completely protected against sporozoite challenge [5,63]. However, 224 the roles for other immune mechanisms were not properly studied in these gene-deficient 225 animals. Several experiments have also been performed using peptide-stimulated activated 226 CD8⁺ T cells or vaccine-induced CD8⁺ T cells. Activated CD8⁺ T cells specific for a 227 cytoplasmic antigen in EEFs and generated by peptide-stimulation were shown to eliminate 228 developing parasites in the liver in the absence of IFN- γ [53]. Effector PvCSP-specific CD8⁺ 229 T cells that are deficient of perforin, granzyme B or FasL, and generated following 230 vaccination with recombinant vaccinia virus, were capable of targeting the developing EEFs 231 [64]. Finally, an immunisation strategy involving priming with DCs and boosting with 232 recombinant *Listeria monocytogenes* to generate memory *Pb*CSP- or *Py*CSP-specific memory 233 cells showed the importance of both IFN- γ and TNF in protection against Pb and Py, whilst 234 perforin was only involved in protection against Py, providing evidence of species-specific 235 effector mechanisms for parasite killing [65].

Live cell imaging was utilised to dissect the fine mechanisms of CD8⁺ T cell recognition of infected hepatocytes. Polyclonal CD8⁺ T cells from mice immunised with *Py* GAP were shown to establish immunological synapses *in vitro* and utilise perforin to induce massive apoptosis of infected hepatocytes, with no detectable production of IFN- γ and TNF [66]. Intravital imaging revealed that *Py*CSP-specific effector CD8⁺ T cells (generated by peptide-stimulation or a recombinant viral vaccination), as well as non-specific CD8⁺ T cells, form clusters around infected hepatocytes, a process requiring G protein-coupled receptors [53,67]. Targeting by *Py*CSP-specific effector CD8⁺ T cells showed heterogeneity in the death
phenotypes of the parasite, implying that multiple and redundant mechanisms are involved
[67]. Taken together, these findings uphold the view that elimination of infected hepatocytes
occurs in a multifaceted process.

247

248 The role of liver-resident memory CD8⁺ T cells in protective immunity

To induce sterilising immunity against EEFs, CD8⁺ resident or recruited to the liver must locate and eliminate all parasites to prevent progression to the blood stage infection [62], in a limited amount of time (2 days in mouse, 7-10 days in humans). CD8⁺ T cells must find rare events: estimated at 1 out of 10⁹ hepatocytes in humans and 1 out of 10⁶ hepatocytes in mice [4]. Consequently, extremely high numbers of circulating vaccine-induced effector CD8⁺ T cells are required to scan, locate and kill infected hepatocytes in the short amount of time the parasites are in the liver [28,68].

256 Accumulating evidence indicates a vital role for liver-resident CD8⁺ T cells in 257 protective immunity to pre-erythrocytic stages. Long-term protection after immunisation with 258 *Pb* RAS and CPS correlates with sustained IFN-γ responses of hepatic CD8⁺ memory T cells 259 [69]. Recent studies in non-human primates have also correlated liver CD8⁺ T cell numbers 260 with protective efficacy after intravenous RAS vaccination [70•]. Memory PyCSP-specific 261 CD8⁺ T cells express high levels of CXCR6 [71]. Poor CXCR6 expression in these cells results in a reduction of both liver-associated memory and protective immunity [72], 262 263 suggesting a role of resident CD8⁺ T cells in protection. Intravital imaging documented the 264 presence of motile CD8⁺ T cells within the liver sinusoids of Py RAS vaccinated mice, 265 suggesting that memory T cells survey for liver infection by patrolling the sinusoids [73]. 266 More recently, a study based on transgenic CD8⁺ T cells specific for a Pb antigen expressed 267 in pre-erythrocytic and blood stages, identified a population of memory CD8⁺ T cells in the 268 liver that express a distinct phenotype (CD69+ KLRG110) from splenic memory cells that are 269 CD69⁻ KLRG1^{hi} [52••]. Detailed phenotypic analysis revealed that liver Trm cells also lacked 270 CD103 expression and differentially expressed a number of surface markers, with higher 271 levels of CXCR3, CXCR6, CD101, BTLA, FR4, Ly6ae, CD25, CD31, CD93, IL-4R, CD127, 272 gp130, CD200R, and CD43, but lower levels of CX3CR1 and NKG2D as compared to 273 circulating effector memory T cells [52..]. Induced following Pb RAS immunisation, these 274 tissue-resident memory T (Trm) cells have the core gene signature of Trm cells from gut, skin 275 and lung. Parabiosis experiments in mice showed that these cells do not recirculate, 276 confirming their liver-resident status [52..]. Intravital imaging revealed that liver-resident 277 cells depend on LFA-ICAM-1 interactions [74]. An immunisation strategy, which involves 278 systemic DC-targeted priming followed by the expression of the antigen on hepatocytes to 279 trap circulating primed CD8⁺ T cells in the liver, enabled conversion to Trm cells [52••]. In 280 this study, DC-targeted priming was achieved by conjugating the peptide antigen to a 281 monoclonal antibody that targets the surface receptor Clec9A, which is expressed by CD8 α^+ 282 DCs, and a recombinant adeno-associated virus that targets hepatocytes was used to express 283 the antigen in the liver. This prime-trap vaccination strategy was shown to protect against 284 normal *Pb* sporozoite challenge [52••].

285

286 Conclusion

287 Despite the strong evidence for the role of CD8⁺ T cells in sterile protection against 288 malaria, critical qualitative and quantitative characteristics of the protective response and 289 effector mechanisms engaged by CD8⁺ T cells have only started to emerge recently. Whilst 290 multiple immune mechanisms appear to contribute to protection against pre-erythrocytic 291 stages, the generation of liver-resident parasite-specific memory CD8⁺ T cells is emerging as 292 a key determinant of protective immunity. The design of strategies inducing this type of 293 response and the identification of protective target antigens will be instrumental for the 294 development of an efficacious malaria vaccine.

- 295
- 296

297 Acknowledgements

298 OS is supported by grants from the European Union (FP7 PathCo Collaborative Project 299 HEALTH-F3-2012-305578), the French Government's Investissement d'Avenir program, 300 Laboratoire d'Excellence "ParaFrap" (ANR-11- LABX-0024), and the French National 301 Research Agency (MALINV project, ANR-16-CE15-0004-01). RA is supported by grants 302 from the Institut Pasteur - Paris, the French National Research Agency (ANR-14-CE16-303 Im3alaria) and the French Government's Investissement d'Avenir program, Laboratoire 304 d'Excellence "Integrative Biology of Emerging Infectious Diseases" (ANR-10-LABX-62-305 IBEID). JCH is supported by university research fellowships (UF0762736 and UF120026) 306 and grants (RG130034, IE131078, IE14111 and CH160018) from the Royal Society (United 307 Kingdom), as well as a project grant (NC/L000601/1) from the National Centre for the 308 Replacement, Refinement & Reduction of Animals in Research (NC3Rs).

309

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• of special interest

314 •• of outstanding interest

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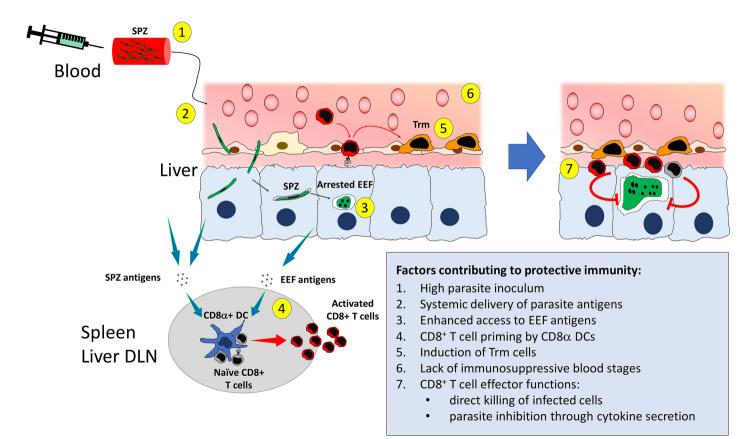
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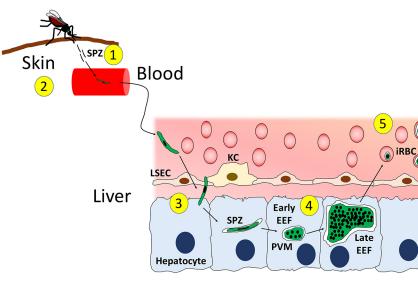
576 Figure 1. Potential factors contributing to the lack of protective immunity during 577 natural Plasmodium infection. Under natural transmission conditions, only a few 578 sporozoites are injected by an infected mosquito into the host skin. The motile sporozoites 579 enter the blood stream by traversing a dermal capillary, are transported to the liver and 580 traverse across liver sinusoidal endothelial cells (LSEC) or Kupffer cells (KC) to reach 581 hepatocytes. Sporozoites invade hepatocytes inside a vacuole, where they replicate into 582 thousands of merozoites, which once released into the bloodstream invade erythrocytes and 583 initiate the blood stage infection. A combination of factors concurs to the lack of protective 584 immunity in naturally exposed individuals. Infected mosquitoes inject very low numbers of 585 sporozoites (1). Dermal inoculation is associated with immune regulatory mechanisms (2). 586 The liver environment is prone to immune tolerance (3). The membrane of the 587 parasitophorous vacuole limits diffusion of parasite liver stage antigens and exposure to the 588 immune system (4). The blood stage infection that follows complete parasite development in 589 the liver has immunosuppressive effects on liver stage immunity (5).

590

591 Figure 2. Potential factors contributing to protective immunity against liver stages after 592 immunisation with live attenuated sporozoites administered intravenously. Immunisation 593 with live attenuated sporozoites administered intravenously is the most efficient approach to 594 confer full protection against normal sporozoite challenge. Under these conditions, high 595 numbers of sporozoites can be inoculated (1), allowing systemic delivery of antigens (2). 596 Alteration of the parasitophorous vacuole integrity in arrested liver stage parasites likely 597 favours exposure of liver stage antigens to the immune system (3). Sporozoite and liver stage 598 antigens can be captured and presented by $CD8\alpha^+$ DCs in the spleen and/or the liver draining 599 lymph nodes (DLN) for priming of naïve CD8⁺ T cells (4). Exposure of parasite antigens in

600	the liver leads to the differentiation of activated CD8 ⁺ T cells into tissue-resident memory T
601	(Trm) cells that patrol the liver sinusoids (5). Aborted liver stage development prevents the
602	appearance of an immunosuppressive blood stage infection (6). Upon reinfection or
603	challenge, effector CD8 ⁺ T cells form clusters around infected hepatocytes and can eliminate
604	parasites through direct killing of the infected cell and/or through the release of cytokines that
605	inhibit parasite development (7).
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Lack of protective immunity:

- 1. Low parasite inoculum
- 2. Regulatory mechanisms induced in the skin
- 3. Immune tolerance in the liver
- 4. Limited access to EEF antigens
- 5. Regulatory mechanisms induced by blood stage infection