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Ghazi Ben Messaoud, Lyndsay Promeneur, Martha Brennich, Sophie L. K. W. Roelants, Patrick Le Griel, et al.. Complex coacervation of natural sophorolipid bolaamphiphile micelles with cationic polyelectrolytes. Green Chemistry, 2018, 20, pp.3371-3385. 10.1039/C8GC01531G . hal-01829572

# HAL Id: hal-01829572 https://hal.sorbonne-universite.fr/hal-01829572v1

Submitted on 4 Jul 2018

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# **1** Complex coacervation of natural sophorolipid bolaamphiphile

# 2 micelles with cationic polyelectrolytes

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#### 19 Abstract

Complex coacervation of polyelectrolyte with surfactant micelles is a promising system for a 20 wide range of applications. However, the development of "green coacervates", from bio-21 based surfactant and biopolymers has not been explored, yet. Herein, complex coacervation of 22 natural micelles from a bolaform sophorolipid biosurfactant with oppositely charged cationic 23 polyelectrolytes (i.e., chitosan oligosaccharide lactate, poly (L-lysine) and poly(allylamine)) 24 was investigated. Turbidity titration, light and scanning electron microscopy (SEM), dynamic 25 light scattering (DLS), cryogenic transmission electron microscopy (cryo-TEM) and Small 26 27 Angle X-ray Scattering (SAXS) were used to monitor the evolution of complex structures as function of pH and polyelectrolyte concentration. Phase boundaries of the biosurfactant-28 29 polyelectrolyte systems were obtained and revealed the feasibility of coacervation in water over a broad pH range, from pH 5 to pH 9. The state of complexation was found to depend 30 31 primarily on pH and concentration and the used polyelectrolyte. Light microscopy and SEM demonstrated the associative macrophase separation and cryo-TEM highlighted the influence 32 33 of the desolvation level on the coacervates arrangement where two main structures were formed as function of the coacervation stage namely spherical particles and aggregates. The 34 35 SAXS data demonstrated that the sophorolipid micelles maintained their structure integrity following their binding to the cationic polyelectrolyte. 36

37 *Keywords:* Bolaform; Sophorolipid; Complex coacervation; Polyelectrolyte, Cryo-TEM.

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#### 39 **1. Introduction**

40 Glycolipids, composed of a carbohydrate-based hydrophilic head covalently linked to a fatty acid or a fatty alcohol,<sup>1</sup> are an interesting alternative to conventional surfactants because of 41 their biobased and renewable origin, good biocompatibility and biodegradability.<sup>2-4</sup> Among 42 them, microbial glycolipids like sophorolipids are an attractive class of molecules which are 43 44 obtained from exclusively renewable agro-resources (rapeseed oil, oleic acid, carbohydrates) through a fermentation process of the yeast Starmerella bombicola with remarkable 45 production rates (upper to 300 g.L<sup>-1</sup>)<sup>5</sup> and reduced environmental impact biosynthesis.<sup>6,7</sup> 46 These molecules possess several potential applications<sup>8</sup> in cosmetic<sup>9</sup> and anticancer,<sup>10</sup> but also 47 like a structuring agent for self-assembled nanomaterials,<sup>11</sup> or as antimicrobial agents.<sup>12</sup> 48 Nevertheless, the development of novel applications involving sophorolipids in particular, and 49 microbial glycolipids in general, may undergo through the investigation of their binding to 50 further colloidal species or macromolecules, as shown by Dubey et al., who reported that the 51 gelation kinetic of silk fibroin can be triggered by sophorolipids<sup>13</sup> or by Madsen et al, who 52

demonstrated that the thermal stability of a lipase from Thermomyces lanuginosus can be 53 enhanced following binding to rhamnolipids and sophorolipids.<sup>14</sup> In this context, the phase 54 behavior of polymer-surfactant mixtures is of a great importance for both scientific and 55 industrial fields since they are frequently used in various formulations for foods, detergents 56 and cosmetics.<sup>15</sup> Their molecular association could lead to the formation of a wide range of 57 structures like gels, micelle-decorated network, aggregates, complexes and precipitates.<sup>15,16</sup> 58 By controlling the interaction between surfactants and polymers, it is also possible to induce 59 complex coacervation, a process during which a homogeneous macromolecular aqueous 60 solution undergoes an associative liquid-liquid phase separation.<sup>17</sup> 61

Coacervation is considered as an eco-friendly process as it usually takes place in water 62 and at relatively mild conditions of pH and temperature. In addition, it is also a cost-effective 63 technique since neither a special device nor extensive production steps are required. The 64 65 obtained structures induced by demixing are considered among the more intriguing systems in colloid chemistry.<sup>18-20</sup> Their exotic character attracted scientists even beyond the field of 66 67 colloidal chemistry like Oparin, a Russian biologist, who proposed that coacervates could be the origin of life on earth<sup>21</sup> and some recent studies are heading towards the same direction.<sup>22-</sup> 68 <sup>24,25,26</sup> This process was initially reported by Tiebackx in 1911 without using the word,<sup>27</sup> he 69 found that the addition of an acid to a mixed solution of Arabic gum and gelatin results in 70 phase separation. It was almost two decades later that the term "coacervation" was coined by 71 Bungenberg de Jong and Kruyt who studied the phase behavior of several binary mixtures by 72 optical microscopy.<sup>17</sup> 73

Typically, this phenomenon can be divided into "simple" and "complex". Simple 74 75 coacervation involves only one colloidal specie or macromolecule and can be achieved through self-charge neutralization by the addition of dehydrating agents like alcohols<sup>28</sup> and 76 salts.<sup>29</sup> Complex coacervation, on the contrary, consists of more than one macromolecular 77 component<sup>30,31</sup> and it can occur between polyelectrolytes and oppositely charged 78 polyelectroytes,<sup>32–37</sup> proteins,<sup>38–40</sup> dendrimers<sup>41</sup> or micelles.<sup>42–47</sup> The preparation of 79 coacervates has gained a lot of interest due to their broad range of applications in food,<sup>39</sup> 80 tissue engineering,<sup>48,49</sup> drug delivery,<sup>50</sup> underwater adhesives,<sup>51,52</sup> porous material <sup>53</sup> and water 81 treatment.54,55 82

Commonly, surfactant-polymer coacervation can occur between nonionic surfactant and nonionic polymer or polyelectrolyte but also between ionic surfactant and nonionic polymers, or polyelectrolyte.<sup>56</sup> However complex coacervation between oppositely charged polyelectrolytes and surfactants has drawn much more attention due to the broad applications

in technological areas.<sup>57</sup> To date, the surfactant types used to induce complex coacervation are 87 usually single chain or gemini type which are composed of a long hydrocarbon chain, an ionic 88 group, a rigid spacer, a second ionic group and another hydrocarbon tail.<sup>56</sup> 89

In the context of complex coacervation between oppositely charged surfactant and 90 polymers, the electrostatic binding must be strong enough to induce coacervation, but not too 91 strong, otherwise precipitation occurs.<sup>56</sup> To overcome potential precipitation by excessive 92 electrostatic interaction, Dubin and co-workers, developed an interesting strategy based on the 93 preparation of mixed micelles from anionic (sodium dodecylsulfate), or cationic 94 95 (dodecyltrimethylammonium bromide), surfactant with a nonionic surfactant (Triton X-100). By adjusting the mole fraction of the charged surfactant, they were able to induce and to 96 control the coacervation process with cationic (poly-(dimethyldiallylammonium chloride) or 97 anionic (poly(sodium styrenesulfonate) or poly(sodium acrylate)) polyelectrolytes.<sup>47,58,59</sup> 98

99 This process depends generally on many physicochemical parameters like temperature, pH, charge ratio of the macroions and colloid properties like molecular weight and chain 100 flexibility.<sup>60,61</sup> However, it was found that a minimum salt addition is required to modulate the 101 interaction strength between micelles and cationic polyelectrolyte (PEC) by charge screening, 102 103 or to enhance the PEC chain flexibility by decreasing intra-chain electrostatic repulsion.<sup>42</sup>

104 Considering the increasing restrictions in terms of using chemical surfactants, the development of coacervates based on biobased amphiphiles and biomacromolecules becomes 105 an important challenge. Therein, Imura et al., found that mannosylerythritol lipids, a 106 glycolipid biosurfactant, can form spontaneous coacervates in water.<sup>62</sup> These structures are 107 obtained by simple coacervation and are induced by efficient dehydration in water. 108 Nonetheless, the complex coacervation, a phenomenon with great interest in industry, 109 between macromolecules and glycolipids has not been reported in this context, yet. 110

Herein, we develop green complex coacervates based on a microbial biosurfactant and a 111 set of three polymers, including one bio-derived polymer. We use a bolaform acidic 112 sophorolipid (SL) constituted of a sophorose (glucose  $\beta(1,2)$ ) linked to the C17 atom of oleic 113 acid via an acetal bond (Figure 1a). The study was also motivated by the original micellar 114 structure reported for sophorolipids and to the fact that the carboxylic group is being free of 115 access at the opposite side of the molecule (Figure 1b).<sup>63</sup> Unlike conventional surfactants, 116 where the coacervation process is controlled by the surfactant molar fraction, salt or by the 117 addition of a nonionic surfactant using Dubin's strategy, SL has itself a tunable charge, for its 118 pH sensitivity (pKa = 5.8); therefore, the global charge of the resulting micelles could be 119 easily handled just by pH without the need of a second further surfactant, or other additives.<sup>63</sup> 120





Figure 1 – (a) Chemical structure of acidic form of SL; (b) model of the structure of the micelle formed
 from SL alone at equilibrium in water (red dot and blue ellipse respectively schematize the COOH and
 sophorose groups in the SL molecule in a)) and chemical structure of (c) CHL, (d) PLL and (e) PAA
 polyamines.

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128 In the field of complex coacervation, no studies between polymers and bolaamphiphile micelles have been reported yet. In the meanwhile, complex coacervation between polymers 129 130 and surfactants has never been proposed in the context of green chemistry, where both the amphiphile and the polymer are biosourced. Here, the behavior of SL micelles was studied in 131 the presence of three water-soluble polyamines,<sup>35–37</sup> chitosan oligosaccharide lactate (CHL), 132 poly(L-lysine) (PLL) and poly(allylamine) (PAA) (Figure 1c-e): CHL is a chitosan derivative, 133 a cationic polysaccharide obtained by deacetylation of chitin which is a structural 134 polysaccharide of insects and crustaceans shells. Chitosan is well-known for its wide 135 availability, biocompatibility, biodegradability and poor toxicity.<sup>64</sup> PLL and PAA are 136 synthetic polymers, although the former is based on peptide coupling of the amino acid lysine, 137 while the latter is a classical petrochemical polymer based on allylamine. <sup>65</sup> These polymers 138 have been chosen on the following basis: CHL is a semi-flexible biopolymer, while PLL and 139

PAA are flexible synthetic polymers.<sup>65</sup> The comparison between the three polyelectrolytes,
although of different chemical origin, shows the broad validity of the complex coacervation
using SL micelles.

In the current paper, we explore the complex coacervation of SL micelles with the PEC mentioned above. The influence of pH, as well as PEC concentration was monitored by turbidimetric titration and dynamic light scattering (DLS). The resulting structures were examined by small angle X-ray scattering (SAXS), cryo-transmission electron microscopy (cryo-TEM), scanning electron microscopy (SEM) and optical microscopy.

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#### 149 **2. Experimental Section**

#### 150 Chemicals

SL were purchased from Soliance (Givaudan Active Beauty, France) and hydrolyzed in 151 152 alkaline medium and the pH was then decreased to around 4.5 to obtain the open acidic form and finally recovered using method 1 as reported previously.<sup>66</sup> The purity is evaluated at 153 154 about 90% of both terminal and sub-terminal C18:1 congeners and their equilibrium state in water is micellar, as described elsewhere.<sup>67,68,69</sup> The critical micellization concentration (cmc) 155 is around 0.1 mg/mL at room temperature. CHL ( $M_n \approx 5$  kDa, pKa  $\approx 6.5$ )<sup>70</sup> with a 156 deacetylation degree > 90%, PLL hydrobromide (M\_w  $\approx$  1-5 kDa, pKa  $\approx$  10)^{71} and PAA 157 hydrochloride ( $M_w \approx 17.5$  kDa, pKa  $\approx 9.5$ )<sup>71</sup> were purchased from Sigma-Aldrich. All other 158 chemicals were of reagent grade and were used without further purification. 159

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#### 161 **Preparation of solutions**

SL (10 or 50 mg/mL), CHL (4 mg/mL), PLL (10 mg/mL) and PAA (4 mg/mL) stock solutions were prepared by dispersing the former in Milli-Q-grade water. The solutions were stirred until complete hydration. The pH of SL (10 mg/mL) and the cationic PEC solutions was typically between 3 and 4 except for PLL solution (pH > 5.5), for which it was decreased to 3.5 by adding 2  $\mu$ L of HCl (1M).

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#### 168 **Turbidimetric titration**

The influence of pH and cationic molecules concentration on the formation of coacervates droplets was investigated by measuring the absorbance at a wavelength range of 400-700 nm. The turbidity was then reported as 100 - %T (where T is the transmittance and is equal to 10<sup>-A</sup> and A is the absorbance at 450 nm). Data were recorded at room temperature using a UV/Vis spectrophotometer (UVIKON XL, BioTeK) and a UV-Vis-NIR spectrophotometer (Cary 174 5000, Agilent Technologies) for some experiments.

To study the influence of pH, equal volumes of SL and PEC solutions were mixed after 175 appropriate dilution of the stock solutions with varying final concentrations range for CHL 176 (0.5-2 mg/mL), PLL (1-5 mg/mL) and PAA (0.3-2 mg/mL) while the final concentration of 177 SL solution was kept constant (5 mg/mL). Next, the pH of 2 mL of each mixture was 178 increased progressively by the addition of small amounts (2, 5 or 10 µL) of NaOH 0.1 M 179 under gentle stirring and the final turbidity curves were recorded only after complete 180 homogenization of the solution and pH stabilization. It is worth mentioning that the turbidity 181 182 of pure compound solutions was also measured as function of pH.

The coacervation dependence on SL concentration was measured at optimal pH–values for CHL (pH 5.6, 1.4 mg/mL), PLL (pH 6.2, 2 mg/mL) and PAA (pH 6.3, 0.75 mg/mL) by stepwise additions (2 or 5  $\mu$ L) of SL solution (50 mg/mL) with the corresponding pH under gentle stirring. The final turbidity curves were recorded only after complete homogenization of the mixture and the pH was regularly measured and adjusted if necessary with NaOH (1M).

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#### 189 Dynamic Light Scattering measurements (DLS)

190 Size distribution and electrophoretic mobility of SL free micelles and SL-PEC complexes as a 191 function of pH were measured by DLS using a Malvern Zetasizer Nano ZS90 (Malvern 192 Instruments Ltd, Worcestershire, UK) equipped with a 4 mW He-Ne laser at a wavelength of 633 nm. Measurements were made at 25 °C with a fixed angle of 90° and three acquisitions of 193 15 measurements per sample. Although zeta potential ( $\zeta$ ) calculated using Smoluchowski, 194 Hückel, or Henry equations is a more common way to quantify surface charge, no attempt has 195 been made to convert the electrophoretic mobility values ( $\mu$ ) into  $\zeta$  because the complex 196 system composed of SL micelles, free polymer and SL-PEC coacervates cannot be described 197 by the usual, simple, hard-sphere model, hypothesized in standard theories relating  $\mu$  to  $\zeta$ .<sup>72</sup> 198

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#### 200 Small Angle X-ray Scattering (SAXS)

SAXS experiments are performed at 25°C immediately after sample preparation on the BioSAXS BM29 beamline at the ESRF synchrotron facility (Grenoble, France) using 12.5 KeV energy and a sample-to-detector distance of 2.867 m, the beamline standard configuration. The energy is calibrated by measuring the L<sub>I</sub> and L<sub>III</sub> edges of platinum and the sample-to-detector distance is determined using silver behenate ( $d_{ref} = 58.38$  Å). (http://www.esrf.eu/home/UsersAndScience/Experiments/MX/About\_our\_beamlines/bm29.ht

ml).<sup>73</sup> For this experiment, we employ the automatic sample changer for liquids using the 96-207 well plates and about 100 µL of each sample.<sup>74</sup> The liquid sample is automatically loaded into 208 a 1.8 mm quartz glass capillary and ten acquisitions of 1 s each are taken as the sample passes 209 the beam. Individual frames are manually controlled for systematic changes and averaged for 210 211 better statistics if none are found. Eventual changes can be either due to intrinsic sample heterogeneity or radiation damage. The signal of the Pilatus 1M 2D detector, used to record 212 the data, is integrated azimuthally with PyFAI to obtain the I(q) vs. q spectrum (q = 213  $4\pi \sin \theta / \lambda$ , where 20 is the scattering angle) after masking systematically wrong pixels and 214 the beam stop shadow.<sup>75</sup> Absolute intensity units were determined by measuring the scattering 215 signal of water  $(0.0163 \text{ cm}^{-1})$ . 216

217

#### 218 Coacervates imaging

*Light Microscopy*. To highlight the coacervate droplets, images were acquired using a
 Nikon DS-Ri1 optical microscope in Brightfield mode and a Zeiss AxioImager D1
 microscope in differential interference contrast (DIC) mode.

Scanning Electron Microscopy (SEM). The coacervates solutions were freeze-dried during
 48 hours and the obtained samples were observed using a Hitachi (S-3400N) electron
 microscope operating at 3 kV.

Cryogenic Transmission Electron Microscopy (Cryo-TEM). These experiments were 225 carried out on an FEI Tecnai 120 twin microscope operating at 120 kV equipped with a Gatan 226 Orius CCD numeric camera. The sample holder was a Gatan Cryoholder (Gatan 626DH, 227 228 Gatan). On both microscopes, Digital Micrograph software was used for image acquisition. 229 Cryofixation was done on a homemade cryofixation device. The solutions were deposited on a glow-discharged holey carbon coated TEM copper grid (Quantifoil R2/2, Germany). Excess 230 231 solution was removed and the grid was immediately plunged into liquid ethane at -180 °C before transferring them into liquid nitrogen. All grids were kept at liquid nitrogen 232 233 temperature throughout all experimentation.

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#### 235 **Quantification of coacervation**

Nuclear Magnetic Resonance (NMR): solution NMR has been here used to quantify both the extent of coacervation and the ratio between the COOH of SL and  $NH_2$  of the polyelectrolytes given in Figure 1. One should note that in the rest of the manuscript we will broadly refer to them as C=O and  $NH_x$ , which respectively account for the carboxylic/carboxylate and

amino/ammonium groups. Solution NMR is a technique being sensitive to molecular species 240 with fast tumbling in solution. In this work, NMR is mainly sensitive to both SL and 241 polyelectrolyte in solution, not associated in complex coacervates: out of the coacervation 242 region, NMR is sensitive to the entire SL and polyelectrolyte population, while in the 243 coacervation region, NMR is only sensitive to SL and polyelectrolyte in equilibrium with the 244 complex coacervates. Quantification is probed with two different methods; in the first one, 245 solutions containing the coacervates are analysed directly by <sup>1</sup>H NMR. As controls, we have 246 analysed the SL-polyelectrolyte mixtures out of the coacervation region as well as the single 247 248 components within and out of the coacervation region. In the second approach, the coacervates are centrifuged out of the solution. The supernatant is analysed as such while the 249 250 coacervates are redispersed in the same volume of water at a pH set out of the coacervation 251 region, so to detect the entire SL and polyelectrolyte population.

- 252 For the first set of experiments, we have studied each control solution individually (SL, CHL, PLL, PAA) and their association (SL-CHL, SL-PLL, SL-PAA). All solutions are freshly 253 254 prepared in D<sub>2</sub>O at pH (pD) values below and above the known pH of coacervation, determined according to the turbidity data. One should note that we do not experience any 255 256 difference when using deuterated instead of hydrogenated water. 1 M NaOD and 1 M DCl 257 solutions have been employed to change pD. Controls: SL (5 mg/mL, pD: 4.36, 6.33), CHL (1.4 mg/mL, pD: 3.80, 6.09), PLL (2 mg/mL, pD: 4.58, 7.14) and PAA (0.75 mg/mL, pD: 258 3.91, 6.23). For the study of the coacervates, we have used exactly the same concentration 259 values employed for the controls and the following pD values: SL-CHL, pD= 4.46, 6.12; SL-260 PAA, pD= 4.00, 6.00; SL/PLL, pD= 4.35, 7.00. In the second set of experiments, we have 261 centrifuged the coacervate (SL-CHL, SL-PLL and SL-PAA solutions at pD> 6) at 3000 rpm 262 for 1 h, a condition which is known to separate efficiently the colloid-rich phase without 263 destructuring the coacervates.<sup>43</sup> The supernatant has been removed and analyzed as such 264 while the coacervate has been redispersed in 500 µL D<sub>2</sub>O at pH below 6, out of the 265 coacervation region, as explained above. 266
- All <sup>1</sup>H solution NMR experiments are acquired on a Bruker Avance III 300 spectrometer using a 5 mm 1H-X BBFO probe. Number of transient is 16 with 2.3 s recycling delay, acquisition time of 2.72 s and a receiver gain of 256. We have employed a 5 mm NMR tube containing exactly 500  $\mu$ L of solution. For quantitation purposes, these conditions have been kept constant throughout all experiments.
- 272 The C=O/NH<sub>x</sub> ratio has been determined by the integral ratio between the C<u>H</u><sub>2</sub> groups in  $\alpha$ -273 position for SL (R-CH<sub>2</sub>-C=O,  $\delta$ = 2.33 ppm) and the polyelectrolyte (CHL: R-CH-NH<sub>x</sub>,  $\delta$ =

3.16 ppm; PAA: R-C<u>H</u><sub>2</sub>-NH<sub>x</sub>,  $\delta$ = 3.10 ppm; PLL: R-C<u>H</u><sub>2</sub>-NH<sub>x</sub>,  $\delta$ = 3.00 ppm) at pH< 5. The extent of coacervation is calculated by measuring the intensity loss between the SLpolyelectrolyte mixture out of the coacervation region (pD< 5, all species detected) and in the coacervation region (pD> 5, only free SL and polyelectrolyte detected) in the 3-4 ppm region. The signal loss corresponds to the amount of sample in the coacervate phase. The latter is compared with the direct measurement of the intensity of the coacervate rich-phase after centrifugation and redispersion.

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#### 282 **3. Results and discussion**

## 283 pH-induced complex coacervation

Complex coacervation based on charge pairing occurs when positive and negative 284 charges in the micelles and macromolecules compensate. In pH-responsive systems,<sup>32</sup> the 285 ionization rate depends on pH and the pKa of the acid, or base, in the given molecule. If the 286  $M_w$  of SL is known, the number and weight average molecular weight ( $M_n$  and  $M_w$ ) of the 287 commercial PEC used here are only estimated. For this reason, although one can estimate the 288 relative mass fraction values of SL and PEC needed to obtain a 1:1 molar ratio of their 289 290 ionizable groups (COOH and NH<sub>2</sub>), a variation in the relative concentrations is necessary. Similarly, the differences in the pKa values among all molecular partners suggest that if 291 292 complex coacervation occurs, that will be strongly pH-dependent. For these reasons, complex coacervation was qualitatively determined using turbidity measurements as a function of pH 293 294 and PEC concentration for a given SL amount (5 mg/mL and 10 mg/mL, respectively 8 and 295 16 mM).

296 A typical turbidimetric titration curve of SL-PAA (C<sub>PAA</sub>= 0.75 mg/mL) is shown in Figure 2. The coacervation process as a function of pH can be described in terms of a set of 297 specific pH values corresponding to the limits of four different regions of phase behavior. 298 *Region 1*: at low pH-values, generally below pH 5, the solution is clear and the turbidity is 299 constant and close to zero; Region 2: an abrupt increase in turbidity from a starting pH, 300 designed as  $pH\phi$ , characterizes this region and it reflects the cloudy aspect of the solution. 301 *Region 3*: this pH interval is characterized by a plateau from a starting  $pH_{max}$  and where the 302 turbidity is constant and maximum and where the solution shows an opalescent behavior. 303 *Region 4*: the turbidity decreases progressively until a transparent solution again. As a general 304 305 remark, transparency in Region 1 and Region 4 strongly depend on the solubility of each component (micelle and polymer), an aspect which will be discussed later. 306



308 309



310 The turbidimetric titration of SL with CHL, PLL or PAA at various PEC concentrations are presented in Figure 3a-c, while Figure S 1 and Figure S 2 show the specific system SL at 311 10 mg/mL and CHL in the concentration range between 0.25 and 2.0 mg/mL. Figure 3 shows 312 that any SL-PEC mixture displays the same pH-dependent turbidity profile described in 313 Figure 2, however the type and concentration of PEC have a strong impact on the phase 314 transition, as discussed above, and analyzed in more detail hereafter. Figure 3a-c shows that 315 the transition pH in *Region 2*, and the stability of *Region 3* as a function of pH, strongly 316 depend on the SL-PEC ratio. This aspect will be discussed in more detail below. Region 4 is 317 identified for all systems by the sudden loss in turbidity, except for SL-CHL, the turbidity of 318 which is still high due to the insolubility of CHL above pH 7. The phenomena observed by 319 turbidity and described above are classically-observed in oppositely charged PEC, like 320 chitosan with Arabic gum,<sup>76</sup> or with hyaluronic acid<sup>32</sup> and for PEC-protein systems, like poly-321 (dimethyldiallylammonium chloride) with bovine serum albumin,<sup>77</sup> Arabic gum with whey 322 protein<sup>78</sup> or with  $\beta$ -lactoglobulin.<sup>79</sup> Micelles-PEC systems show a similar phenomenon, such 323 as partially described for polyacrylic acid with mixed micelles of *n*-hexadecyl trimethyl 324 ammonium chloride and *n*-dodecyl hexaoxyethylene glycol monoether.<sup>80</sup> It should be noted 325 that pH-induced coacervation is classically observed for oppositely charged PECs, or for 326 327 PEC-protein systems, but less discussed for PEC-micelles systems. The main reason is, unlike the SL used in this work and which is pH-sensitive (pKa = 5.8), the charge density of most 328 329 conventional surfactants, such as sodium dodecyl sulfide (SDS), are practically not sensitive to pH variation in a standard pH range range (3 < pH < 10). Else, in a number of studies 330 implying a model system of mixed micelles (SDS-Triton X-100), authors generally use the 331 cationic poly(diallyldimethylammoniumchloride), which is a pH-independent polyion.<sup>81</sup> 332

One should also note that the global charge of the SL micelles is not only modulated by the deionization degree of the carboxylic groups, but also by their spatial localization, which is not clearly defined, as commonly found in standard micelles composed of ionic surfactants (e.g., SDS). A combination of scattering techniques and Molecular Dynamics (MD) simulations indicate that the carboxylic groups can be localized in a much broader and poorlydefined shell volume around the hydrophobic core.<sup>63</sup>

According to the wide literature data on complex coacervation involving oppositely 339 charged PEC-colloids, Region 1 identifies the coexistence of two water-soluble, non- or 340 weakly-interacting species, in this work represented by SL micelles<sup>63,67,68</sup> and PEC. *Region 2* 341 defines the point of initial SL-PEC interaction leading to insoluble complex formation. In 342 343 Region 3 the complex SL-PEC coacervate is stable at any pH. The end of the plateau is generally related to the appearance of spurious precipitates, which could coexist with 344 345 coacervates, since the turbidity remains relatively high. In Region 4, the coacervate is no longer stable. These hypotheses are confirmed by additional experiments. Figure 3d-e show 346 347 the optical microscopy images recorded for SL-CHL and SL-PLL at the maximum turbidity plateau (Region 3), and they show the widespread presence of spherical droplets, which 348 349 supports the idea of a liquid-liquid phase separation for all samples. Figure 3f and Figure S 3 confirm these assumptions for all systems using SEM on freeze-dried samples. Figure S 2 350 shows a series of crossed experiments performed on the SL-CHL system. pH-dependent 351 electrophoretic mobility data confirm the charge-dependency of the complex coacervate 352 formation, as the decrease in monotonic charge (at low pH the overall charge should be 353 positive, as expected for the presence of positively-charged ammonium groups on PEC and 354 neutral SL micelles) correlates with an increase in turbidity: Region 3, where charge matching 355 should occur, is effectively characterized by the lowest charge. This behavior is typical for 356 complex coacervates<sup>32</sup>. Macrophase separation is shown by the presence of large droplets in 357 Figure S 2d while meso- and microscale phase separation is demonstrated by a combination 358 of DLS and cryo-TEM, respectively indicating a colloidal dispersion having an average 359 360 hydrodynamic radius of ~300 nm (Figure S 2b) and an apparent radius in the order of 100 nm (Figure S 2c). 361

Additional tests have been done by varying the overall SL concentration. Figure S 1 shows a SL solution at 10 mg/mL for various amounts of CHL as a function of pH. One can easily determine the presence of Regions 1 through 4 between pH 4 and pH 10, whereas the lower the amount of CHL, the lower the turbidity in *Region 4*, above pH 7, dependent on the insoluble CHL at neutral-basic pH. Figure S 4 goes even further as it shows the evolution of

the turbidity as a function of the SL concentration for a constant PEC amount (C<sub>CHL</sub>= 1.4 367 mg/mL, C<sub>PLL</sub>= 2 mg/mL, C<sub>PAA</sub>= 0.75 mg/mL) and pH values (pH<sub>SL-CHL</sub>= 5.6; pH<sub>SL-PLL</sub>= 6.2; 368 pH<sub>SL-PAA</sub>= 6.3), which has been chosen to be in the stable complex coacervate phase, at the 369 top of the plateau (Region 3) for each system, from Figure 3a-c. Figure S 4 shows that the 370 turbidity appears after the addition of at least 1 mg/mL of SL, which is a value at which SL 371 are already in the micellar state (cmc of SL is at least ten times lower). However, SL is added 372 in an environment within positive charges, localized on the polymers. In this situation, the 373 interaction between SL and PEC could reflect the following three-step mechanism, generally 374 found in the literature:<sup>15,57,82</sup> (a) at low surfactant concentration, the SL monomers starts to 375 interact with the PEC chain by electrostatic binding between the carboxylic groups and 376 377 cationic sites of SL and PEC, respectively (region where the turbidity remains minimal). (b) When the SL critical aggregation concentration (cac) is reached, the SL monomers will be 378 379 integrated into the micelles (region where the turbidity starts to increase). (c) With further increasing of SL molecules, the binding sites of PEC are gradually saturated which will lead 380 381 to complex coacervation (region of maximum turbidity). As shown in Figure S 4, the turbidity increases abruptly for SL-PLL and SL-PAA compared to SL-CHL, for which the turbidity 382 383 increases gradually. This could be explained by the differences in the relative ionization degrees of the each PEC and SL at the pH under study. At pH 6.2, the ionization degree 384 (please refer to the Electronic Supplementary Information for more details) of PLL and PAA 385 ( $\beta$ ) is unitary ( $\beta$ = 1, as their pKa~ 10); the ionization degree of SL is also high ( $\alpha$ ~ 0.7). Under 386 these conditions, each SL molecule added to the PEC solution contributes to screen the PEC 387 charge. On the contrary, at pH 5.6, the ionization degree of CHL is ~ 0.9, while the ionization 388 degree of SL is lower ( $\alpha \sim 0.4$ ). Under these circumstances, the charge of CHL is compensated 389 390 by two SL molecules.

Even if the gradual addition of SL surfactant molecules to the PEC solution is may not 391 reflect the same scenario if compared to that of mixing preformed SL micelles upon adding a 392 PEC solution before pH titration, one can identify the SL concentration of 5 mg/mL (0.0080 393 394 M) as being a good compromise for the formation of a robust SL-PEC complex coacervate in all systems. Considering the average molecular masses of each PEC used in this experiment 395 396 (refer to the experimental section), one can estimate the optimal charge ratio [COO<sup>-</sup>]:[NH<sub>3</sub><sup>+</sup>] at which complex coacervation starts to occurs to be 0.32, 0.27 and 0.5 for, respectively, SL-397 CHL, SL-PLL and SL-PAA systems. The detailed approach of the charge [COO<sup>-</sup>]:[NH<sub>3</sub><sup>+</sup>] 398 ratio determination is described in the Electronic Supplementary Information. Even if the 399 400 effective [COO]:[NH<sub>3</sub><sup>+</sup>] ratio is not unitary, our data are in line with literature, where non-

stoichiometric complex coacervation can easily be found, for instance in a chitosan and 401 hyaluronic acid mixture in a 50 mM NaCl solution and where the [COO<sup>-</sup>]:[NH<sub>3</sub><sup>+</sup>] charge ratio 402 varies between 0.08 and 0.72.32 The authors attributed this observation to the chain semi-403 flexibility and potential charge mismatch between the oppositely charge polyelctrolytes.<sup>32</sup> 404 Non-stoichiometric charge ratio values were also reported for PEC-micelles<sup>59</sup> and it is 405 generally explained as a mismatch between a calculated "macro-scale stoichiometry" and a 406 407 "micro-scale stoichiometry", which is related to the effective ratio within the coacervates. Moreover, from a thermodynamic point of view, the most common way to describe complex 408 409 coacervation is based on the Flory-Huggins theory which considers the change of the Gibbs free energy of mixing  $(\Delta G_{mix} = \Delta H_{mix} - T\Delta S_{mix})$ , where  $\Delta H_{mix}$  and  $\Delta S_{mix}$  are, respectively, the 410 variation of enthalpy and entropy and T is the temperature of the mixture.83,84 The 411 coacervation between polymers and micelles is thus driven by the enthalpy of complexation 412 413 and by entropy increase, due to the release of condensed counterions; in fact, the entropic contribution is even thought to drive complex coacervation, as described by Rigsbee and 414 Dubin.<sup>85</sup> Using isothermal titration calorimetry, they demonstrated that both complexation 415 coacervation 416 and of poly-(dimethyldiallylammonium chloride) with 417 dodecyltrimethylammonium bromide/ TritonX-100 micelles were mainly entropy-driven. 418 Since no salt was added (generally NaCl is classically used), the nature and amount of counterions arising from the used PEC could impact significantly their condensation and 419 release which will increase the entropy ( $\Delta S_{mix}$ ) and affect the coacervation process. Even if it 420 is hard to estimate the entropic contribution to complex coacervation in the SL-PEC systems 421 studied here, one should not forget that any pH change involves the presence of counterions, 422 which could play an important role in determining the exact coacervation boundary. Further 423 experiments should be performed to confirm this hypothesis, although they are not the scope 424 of this work. 425





Figure 3 – Turbidity (100-%T) as function of pH for the systems (a) SL-CHL, (b) SL-PLL and (c) SL-PAA at different PEC concentrations and constant SL concentration (5mg/mL) and T = 23 °C. (d-e)
Optical microscope images of SL-CHL at pH 5.62 and SL-PAA at pH 7.64. (f) SEM images of the freeze dried SL-PLL coacervates at pH 7.

#### 432 Transition pH from a monophasic to a biphasic system

Theoretically, the coacervation process should be favored in a condition of charge compensation between the negatively-charged SL and positively-charged PEC, that is above the pKa of SL and below the pKa of PEC. The PEC linear charge density and SL micelles surface charge density are controlled by the ionization degree of the terminal carboxylic and amino groups (Figure 1a). From the turbidimetric curves in Figure 3, it should be noticed that

the width of the pH domain for a stable complex coacervate (*Region 3*) considerably depends 438 on the type of PEC, and it can be ranked in the following order: CHL < PLL < PAA. The 439 important difference between CHL and the other PEC could be related to the interval width 440 between the SL pKa, which is close to 5.8,<sup>63</sup> and the pKa of the PEC, that is 7, 10 and 9.5 for 441 CHL, PLL and PAA, respectively. Figure S 5 illustrates the influence of the pKa of PEC on 442 the optimal coacervation region width (Figure S 1). However, the variation between PLL and 443 PAA, the pKa values of which are very close, could be related to the employed concentrations 444 of PLL (1-5 mg/mL) and PAA (0.35-2 mg/mL), and therefore to the SL-PEC stoichiometry. 445 Moreover, the molecular weight of PAA (Mw= 17.5 kDa) and PLL (Mw  $\approx$  1-5 kDa) could 446 also affect the coacervation. In fact, the increase of PEC chain length could enhance the 447 coacervation process, as previously observed for complex coacervation between PEC and 448 mixed micelles.<sup>43</sup> 449

450  $pH\phi$  is classically defined as the point of abrupt turbidity within a very small change in pH (Figure 2) and it is determined as the intercept of Region 1 and Region 2 in the turbidity curve 451 452 (Figure S 6) and it has currently been interpreted as the pH of the appearance of turbidity, or visual phase separation.<sup>77</sup> For a better understanding of the influence of PEC concentration, 453 454 the pH $\phi$  was plotted against the reduced ratio (r'), introduced previously by Kaibara et al.,<sup>77</sup> and which is the result of the multiplication of the SL-PEC weight ratio by the factor [PEC 455 monomer unit mass/SL molecular weight] (Figure 4). Since r' is related to the reciprocal 456 number of charged sites of PEC per SL molecule, only the half of the CHL monomer unit 457 mass was considered, since it possesses two functional amino-groups (Figure 1c). 458



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Figure 4 – Evolution of the pHφ as function of the reduced ratio (r') of SL-PEC. pHφ is defined as the
point of abrupt turbidity as indicated in Figure 2, while r' is the multiplication of the SL-PEC weight ratio
by the factor [PEC monomer unit mass/SL molecular weight]. [SL] = 5mg/mL

CHL, PLL and PAA systems exhibit practically comparable plots, where the pH $\phi$  varies 464 slightly for  $r' \ge 0.6$  due to the saturation of PEC chains with the biosurfactant micelles but it 465 increases sharply for r' < 0.6, due to the possible existence of free PEC chains in the solution. 466 This tendency could be generalized by expanding the studied SL-PEC ratios as previously 467 performed for polymer/protein systems.<sup>86</sup> But from Figure 4 it can be established that the 468 evolution of pH $\phi$  as a function of the PEC type is minimized. This result suggests that the 469 number of polymeric charged sites per SL micelles controls the coacervation process. 470 Consequently, the structural differences of the PEC charged site had only a small effect on the 471 472 phase separation, which is mainly driven by electrostatic interaction and it demonstrates the crucial role of pH by modulating the charge density and the strength of complexation. One 473 should note that investigation of SL-CHL complex coacervation at lower reduced ratio (r' <474 0.4), and where pH  $\varphi$  is expected to be higher than pH 7, is not possible due to CHL 475 insolubility in this pH range. 476

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#### 478 Evolution of SL-PEC complexes with pH

The complexation process of the SL biosurfactant with the different PEC was probed by monitoring the size evolution of SL-PEC complexes as function of pH by DLS measurements. The data are presented in Figure 5 in terms of hydrodynamic diameter as a function of the relative intensity.





Figure 5 - Distribution of the hydrodynamic diameters of (a) SL-CHL, (b) SL-PLL and (c) SL-PAA
complexe coacervates as a function of pH at 25 °C. [SL]= 5 mg/mL, [CHL]= 1.4 mg/mL, [PLL]= 2 mg/mL,
and [PAA]= 0.75 mg/mL.

At pH < pH $\phi$  (*Region 1*, here pH< 5), all samples practically display three distinctive 487 populations. The smallest diameter value is below 10 nm, a size which is in agreement with 488 the presence of free SL micelles.<sup>63</sup> The size of the second and third populations vary with the 489 SL-PEC system: SL-CHL (Figure 5a) shows two distributions at about 150 nm and >1 µm 490 (beyond the limitation of the DLS instrument); SL-PLL (Figure 5b) at around 80 nm 500 nm 491 while SL-PAA (Figure 5c) at about 25 and 600 nm. Similar complexes were previously 492 observed for mixed micelles and PEC mixtures during temperature-induced coacervation. 493 Authors suggested that the coacervation process can be viewed as a clustering mechanism of 494 soft colloidal particles which precedes coacervation.<sup>81,87</sup> Indeed, soluble complexes from intra 495 PEC-micelles and inter PEC-micelles are considered as precursors of coacervation.<sup>59</sup> 496 497 Nonetheless, it should be mentioned that relative number or volume size distributions only show free SL micelles at pH< pH $\phi$  in *Region 1*, as shown in Figure S 7, thus indicating the 498 majority of the sample is practically composed of free micelles. 499

500 The solution becomes cloudy above  $pH\phi$  (Region 2 and Region 3), and the DLS measurements reveal the appearance of one single peak (hydrodynamic diameter> 100 nm), 501 502 the size of which is quite stable with pH. These results reflect the formation of insoluble SL-PEC complexes. By increasing the pH, the size distribution of the complex coacervates shifts 503 504 to larger aggregates for all SL-PEC complexes. Visually, the size of droplets increases and the 505 solution becomes more opalescent due to coacervate coalescence and to the evolution from submicronic to microscopic droplets. When the pH is increased further in the vicinity or even 506 beyond the PEC pKa, the hydrodynamic diameter becomes smaller again, as this can be 507 observed for SL-CHL (Figure 5a) and SL-PAA (Figure 5c) in both the intensity and 508 509 number/volume distributions (Figure S 7a,b). For instance, at pH 10.42 for the SL-PAA system, one can observe again that the majority of the population has a hydrodynamic 510 diameter below 10 nm (Figure S 7a), suggesting the massive presence of micelles and the 511 512 dissolution of the SL-PAA complex.

From DLS, one could suppose that at the starting pH (pH  $\sim$  4) in *Region 1*, the surface 513 charge density of the SL micelles is strong enough to lead to soluble complexes formation 514 with the different cationic PEC by Coulombic interaction. This seems to occur despite the 515 516 very small amount of protonated C=O groups, and in the absence of obvious screened Coulomb interaction among the micelles, otherwise observed at higher pH values by SAXS 517 and SANS experiments.<sup>63,67,68</sup> In this situation, the pH-induced complex coacervation 518 mechanism takes place in four steps as follow: (i) formation of soluble complex from the free 519 520 SL micelles and PEC chains at pH~ 4, (ii) the SL-PEC complexes become insoluble at pH $\phi$ ,

when the interaction between both species becomes sufficiently high, (iii) precipitation when 521 the interaction strength become too high and finally (iv) the dissolution of the precipitates. 522 Combined electrophoretic mobility and turbidity data presented in Figure S 2a for SL-CHL 523 and in Figure S 8 for SL-PAA show the strong correlation between the decrease of the 524 electrophoretic mobility from +2.4  $\mu$ m cm/Vs to zero, expected in a pH region rich in NH<sub>3</sub><sup>+</sup> 525 groups, and the increase in turbidity, that is, complex formation. The coacervation is 526 527 maximum for SL-CHL ( $P_{CHL} = 0.25$  mg/mL: low concentration) for an electrophoretic mobility of almost one; while all CHL chains are expected to be involved in the coacervates 528 529 and are not expected to be free in solution (Figure S 2). Likewise for SL-PAA (CPAA=0.75 mg/mL), with a theoretical stoichiometry equals to one, the maximum coacervation region 530 531 started significantly far from the point of electroneutrality, as also commented above. These observations are in line with the results reported in Figure S 4b, where the maximum 532 533 coacervation region was reported for charge ratios less than one. Theoretically, the absence of charge neutralization could be explained by the model proposed by Zhang and Shklovskii,<sup>88</sup> 534 535 who predicted that the oppositely charged macroions could form a neutral macroscopic drop by intracomplex, or intercomplex, disproportionation when the macroion charge 536 537 stoichiometry deviates from unity.

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#### 539 **Quantification of the coacervation process**

The efficiency of coacervation and composition of coacervates are estimated by solution <sup>1</sup>H 540 541 NMR, as detailed in the experimental section and presented in Figure S 9. In particular, the integral ratio between the resonances  $\alpha$ -CH<sub>2</sub> (R-CH<sub>2</sub>-C=O) of SL and  $\alpha$ -CH<sub>x</sub> (R-CH<sub>z</sub>-NH<sub>x</sub>, z= 542 543 1 for CHL, z=2 for PAA, PLL) of the polyelectrolyte is used to quantify the C=O/NH<sub>x</sub> molar ratio in the coacervate (C=O and NHx refer to the carboxylic/carboxylate and 544 amine/ammonium groups). On the contrary, since solution NMR is not sensitive to the sample 545 involved in the coacervates, the loss in the spectral intensity after coacervation between 3 ppm 546 and 4 ppm is used to quantify the extent of coacervation (residual method in Table 1b). The 547 extent of coacervation is also verified by measuring the intensity loss (between 3 ppm and 4 548 ppm) after recovery of the coacervate through centrifugation and disassembling it below the 549 550 coacervation pH (centrifugation method in Table 1b).

Table 1a shows that the C=O/NH<sub>x</sub> molar ratio before and after coacervation stays practically unchanged, thus indicating that the fraction of SL and polyelectrolyte is stoichiometric in terms of charge pairing, as expected. In terms of the extent of coacervation (Table 1b), both methods used in this work nicely agree on the fact that about 25% of the initial polyelectrolyte-SL concentration is involved in the complex coacervation process. If a discrepancy seems to exist between the two methods for the SL-PAA system, we believe that incomplete recovery of coacervate during and after centrifugation is at its origin.

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Table 1 – Quantification of the a) C=O/NH<sub>x</sub> molar ratio before (pH< 5) and after (pH> 5) coacervation (error is estimated to 10%) and b) extent of coacervation, measured using two complementary methods. Centrifugation: the solution above pH 5 is centrifuged to separate the coacervate, which is eventually redispersed in quantified. Residual: the amount of coacervate is estimated from the signal loss before and after coacervation pH. All data are collected through solution <sup>1</sup>H NMR spectroscopy, which implied the use of a fully deuterated solvent (D<sub>2</sub>O). One car refer to Figure S 9 for the typical NMR data.

Polyelectrolyte/SL	C=O/NH <sub>x</sub> molar ratio			Extent of coacervation (%)		
	Before	After		Centrifugation	Residual	
CHL	0.8	1.0		$24 \pm 1$	$24 \pm 1$	
PLL	1.1	1.3		$22 \pm 1$	$23 \pm 2$	
PAA	0.7	0.5	a)	$27 \pm 2$	$37 \pm 2$	b)

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### 567 Structure of the coacervates phase

In order to investigate the structures of the complex coacervates during their formation, cryo-568 569 TEM was carried out at different pH-values for each SL-PEC system (Figure 6). The SL-CHL system at pH 5.94 (Region 3, Figure 6a,c) shows spherical particles of variable size (mainly of 570 50 and 200 nm). These particles are homogeneous in texture and do not exhibit internal 571 ordering or evidence of a particular organization. This type of structure is in a good agreement 572 with coacervates observed in previous studies.<sup>22</sup> Upon pH increasing to 6.33 (Region 3, 573 Figure 6b), the structures keep the same size but they become denser, strongly contrasted, and 574 575 lose their spherical shape. These aggregates could be generated by a dehydration 576 phenomenon, which is associated to counterion expulsion and entropy loss, when SL-PEC interactions are promoted, as previously observed for polysaccharide-protein coacervation,<sup>89</sup> 577 and by analogy to complex coacervation of PEC-mixed micelles with temperature.<sup>90</sup> For SL-578 579 PLL at pH 5.77 (Figure 6d), at the Region 2/Region 3 frontier (Figure 6f), the coacervates exhibit also a spherical shape with a relative larger size (several hundred nm); when the pH is 580 increased to 7.38 (*Region 3*, Figure 6e,f), the droplets show, again, a higher apparent electron 581 density. Finally, SL-PAA at pH 5.77 (Region 2, Figure 6g,i) displays discrete spherical 582 particles in the 100 nm size and, in agreement with the other samples, denser particles at pH 583 6.83 (Region 3, Figure 6h,i). Interestingly, in practically all samples, a poorly-contrasted 584

phase, most likely composed of free micelles and polymer, is observed at the frontier between 585 Region 2 and Region 3. Coacervates in their initial stage of formation generally coexist with 586 polymer and micelles, which seems the reason for the poor contrast, as suggested by the SL-587 PLL system at pH 5.77 in Figure 6d, where the three spherical particles are superimposed to a 588 broad continuum of matter. Once the pH defines Region 3, all samples show a much more 589 contrasted, denser, phase composed of spheroidal particles; In this case, the background is 590 much clearer, that is rich mainly composed of icy water and less rich in residual matter 591 592 (polymer, micelles).

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Figure 6 - Cryo-TEM images of SL-CHL coacervates at (a) pH 5.94 and (b) pH 6.33, SL-PLL coacervates at (d) pH 5.77 and (e) pH 7.38 and SL-PAA coacervates at (g) pH 5.77 and (h) pH 6.83. The corresponding turbidity of the observed SL-CHL, SL-PLL and SL-PAA systems is shown respectively in (c), (f) and (i).
[SL]= 5 mg/mL, [CHL]= 1.4 mg/mL, [PLL]= 2 mg/mL, and [PAA]= 0.75 mg/mL. *R* stands for Region.

600 Cryo-TEM shows that the coacervate structures critically depend on the pH and their 601 evolution could be described as follow: at the early stage of coacervation, i.e, for pH just 602 above the pH $\varphi$  (*Region 2*) and until pH<sub>max</sub> (boundary between *Region 2* and *Region 3*), 603 spherical discrete droplets with a relatively low electron density are formed and are 604 surrounded by a rich micellar phase. When pH> pH<sub>max</sub>, micelles gradually disappear due to

their interactions to free PEC chains, as observed for SL-CHL at pH 5.94 and SL-PAA at pH 605 6.83. At later stages of coacervation, the droplets exhibit a more electron dense structure (e.g., 606 607 SL-PLL at pH 7.38) due to the higher concentration of matter due to dehydration resulting 608 from the release of counterions and water molecules from the molecular complex. The 609 difference between the SL-PLL and SL-CHL structures is possibly related to the pH of the latter compared to the pH limit of coacervation (limitation between Region 3 and Region 4). 610 In fact, SL-CHL and SL-PLL coacervates were respectively imaged at pH 6.33 and pH 7.38 611 while the pH limits are 6.92 and 8.53, respectively. Therefore SL-CHL coacervates were 612 613 imaged at a later coacervation stage. Other parameters like the intrinsic molecular properties of CHL and PLL could also affect the fine coacervates structure, the description of which is 614 615 out of the scope of this manuscript.

616 One should note that for SL-CHL system, the coexistence of both spherical coacervates and 617 aggregates structures at pH 5.94 and pH 6.33 were detected (Figure S 9). The coacervate structures of SL-CHL, SL-PLL and SL-PAA at respectively pH 6.33, pH 7.38 and pH 6.83 618 619 are shown in Figure 7 at higher magnification with the corresponding Fast Fourrier Transform (FFT). One can notice that the internal organization depends strongly on the PEC. Moreover, 620 621 the coacervates from SL-CHL and SL-PLL exhibit a well-defined interface compared to the 622 SL-PAA. This observation is related to the coacervation stage. In fact SL-CHL and SL-PLL coacervates were imaged at pH-values corresponding to 63% and 65% of the Region 3, while 623 SL-PAA coacervates were imaged at only 24% of the optimal coacervation region. Further 624 cryo-TEM images of SL-PEC coacervates at different pH are given in the electronic 625 626 supplementary information (Figure S 10

627 Figure S 11, Figure S 12, Figure S 13).



629 Figure 7 – Zoomed cryo-TEM images of complex coacervate particles of SL-CHL at (a) pH 6.33, (b) SL-

630 PLL at pH 7.38 and (c) SL-PAA at pH 6.83. [SL]= 5 mg/mL, [CHL]= 1.4 mg/mL, [PLL]= 2 mg/mL, and

631 [PAA]= 0.75 mg/mL.

To further investigate the structure of SL-PEC coacervates and their pH evolution, SAXS measurements were conducted on SL-CHL and free CHL at different pH values (Figure 8), whereas SAXS/SANS data on SL micelles under similar conditions are reported elsewhere for comparison.<sup>63,67,68</sup> In this study, the experimental q-range 0.05 nm<sup>-1</sup> to 5 nm<sup>-1</sup> is equivalent to sizes from 1.25 nm to 125 nm (d  $\approx 2\pi/q$ ), which is suitable for studying the organization of the coacervates phase but not their entire structure, since some coacervates are larger than 200 nm, as previously shown by DLS, light microscopy and Cryo-TEM.



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Figure 8 - SAXS profiles of CHL solution and SL-CHL mixtures at different pH-values. [SL]= 5 mg/mL; [CHL]= 1.4
 mg/mL

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In the beginning of *Region 2*, below pH 5 (Figure 8a), SL-CHL is characterized by a scattering pattern typical of micelles in water for q> ~0.8 nm<sup>-1</sup> and an increasing signal in the ~ $0.1 < q/nm^{-1} < ~0.8 nm^{-1}$  region (slope: -1.5), which most likely corresponds to the CHL contribution; its characteristic scattering profile recorded under similar conditions is given by the blue curve and it shows a slope of about -1.3. A further increase in the scattering signal for

the SL-CHL system below q  $\sim 0.8$  nm<sup>-1</sup> indicates the presence of larger objects, thus 650 confirming the intensity-weighted DLS data in Figure 5a, discussed previously. Considering 651 the fact that free SL micelles in solution at acidic pH do not generally provide a pronounced 652 low-q signal,<sup>91</sup> one could attribute such a signal to either the free CHL (the SAXS profile of 653 which in water also displays a small increase in the scattering signal at q< 5 nm<sup>-1</sup>, Figure 8a) 654 or to what was hypothesized before, that is pre-formed SL-CHL complexes. However, such a 655 656 contribution is small and, all in all, the SL-CHL pattern confirms that the system is mainly constituted by micelles and free polymer in solution, in agreement with the previous DLS data 657 658 (Figure 5a, Figure S 7).

When the pH is set to be in the middle of Region 2 (pH 5.56, Figure 8b), the SL-CHL 659 signal is still visible, although the low-q scattering contribution becomes more and more 660 661 important. The low-q slope is close to -4, which is expected in a scattering profile by a smooth interface, attributed to the coacervate droplet surface. As a comparison, the signal of free CHL 662 663 has a slope of about -1.3, which has practically not evolved in Region 3, when the formation of the complex coacervate is optimized, the SL-CHL SAXS pattern at  $q < 0.2 \text{ nm}^{-1}$  is again 664 characterized by a frank -4 slope, while the high-q portion of the curve reflects the core of the 665 coacervate assembly (Figure 8c). Although the interpretation is not straightforward, one can 666 667 still observe the presence of an oscillation characteristics of a micellar form factor at q> 1 nm<sup>-</sup> <sup>1</sup>, showing that the micellar structure is kept intact within the coacervate. The stability of SL 668 micelles during their binding to PEC comes from the absence of change of the solubilizing 669 capacity of micelles.<sup>92</sup> Again, the scattering pattern of free CHL in the same pH range is not 670 671 comparable with the coacervate signal and it has not evolved since acidic pH: the Porod exponent of CHL ranges between -1.3 and -1.5. Generally, a Porod exponent of  $\approx -1$  refers to 672 673 a rod-like structure, although deviations from a -1 power law may occur and they can be explained by deviations of polymer chain linearity due to, e.g., intra-chain electrostatic 674 repulsion affecting the expected rigid rod-like chain conformation for a semi-flexible polymer 675 676 like CHL. One should note that the Porod exponent found here is close to -5/3, which is generally attributed to the scattering profile of a swollen chain, corresponding to a polymer in 677 a good solvent, <sup>93,94</sup> which is the case of CHL in water below pH 7. Finally, well above the 678 pKa of CHL (pH> 9.5, Region 4), the signal of both CHL and SL-CHL are now comparable at 679  $q < -0.8 \text{ nm}^{-1}$ , both characterized by a Porod exponent of -2, while one can still observe a mild 680 contribution of the micelles to the SAXS signal above  $q \sim 0.8 \text{ nm}^{-1}$ . The different oscillating 681 682 profile of SAXS signal for SL micelles observed at acidic and basic pH (q > -0.8 nm<sup>-1</sup>) should not be surprising because it has been observed before and described as a difference in terms of neutral and charged SL arrangement within the micelle itself.<sup>91</sup> In the end, the SAXS analysis shows that in *Region 4* the system is composed of the free CHL polymer and in a Gaussian chain conformation,<sup>93,94</sup> and free charged SL micelles, as hypothesized above.

#### 687 Conclusions

In this work, we demonstrate the ability of biobased sophorolipid bolaform biosurfactant micelles to form complex coacervates with different cationic polyelectrolytes, i.e. a naturally derived oligosaccharide and two synthetic polymers. The coacervation process is mainly driven by pH and turbidimetric titration revealed that the coacervates can be formed in a large pH range as function of the cationic polyelectrolyte type and concentration. The charge-pairing mechanism is confirmed by quantitative NMR analysis, which also shows that 25% of the initial SL-polyelectrolyte concentration is involved in the coacervates.

The coacervation structure investigated by cryo-TEM shows the coexistence of polymer 695 696 and micelles upon coacervate formation and the presence of well-defined coacervates in their stability region. Cryo-TEM suggests that micelles compose the coacervate and this piece of 697 698 evidence is confirmed by SAXS experiments, which show that micelles and free polymer coexist and probably interact out of the coacervate-formation window. SAXS also shows that 699 700 coacervates are themselves composed of micelles. This description of the complex coacervate 701 formation between a chargeable bolaform surfactant and chargeable polyelectrolytes is consistent with what has been described for more classical ionic surfactants-polyelectrolyte 702 systems. 703

Finally, this study offers new prospects for the use of bolaform sophorolipid micelles to prepare complex coacervates which could be useful for pollutants and dye removal<sup>54,55</sup> or like an encapsulation matrix for drug delivery applications. In a general view, the valorization of such bolaamphiphile molecules through the investigation of their binding behavior to further macromolecules seems to be a promising approach to prepare future functional soft materials.

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#### 710 Acknowledgments

This work received financial support by the European Synchrotron Radiation Facility (ESRF),
Grenoble, France, under the experiment number MX1821. The research leading to these
results has received funding from the European Community's Seventh Framework
Programme (FP7/2007-2013) under Grant Agreement n° Biosurfing/289219. Dr. Corinne
Gerardin (Institut Charles Gerhardt, Montpellier, France) for inspiring discussions.

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## 1 ELECTRONIC SUPPLEMENTARY INFORMATION

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# Complex coacervation of natural sophorolipid bolaamphiphile micelles with cationic polyelectrolytes

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26 Figure S 1 - Evolution of turbidity during coacervation of SL micelles ([SL]= 10 mg/mL) with CHL

27 ([CHL]= 0.25 - 2 mg/mL) as function of pH.



Figure S 2 – SL-CHL ([SL]= 0.25; [CHL]= 10 mg/mL) sample: (a) evolution of the electrophoretic
mobility and turbidity (100-%T) as function of pH; (b) size distribution at pH 4.56; (c) cryo-TEM image
at pH 4.56 and (d) light microscopy large droplets at pH 5.2.



39 Figure S 3 - SEM images of (a,b) SL-CHL ([CHL]= 1.4 mg/mL, pH 6.2), (c,d) SL-PLL ([PLL]= 2 mg/mL,

40 pH 7) and (e,f) SL-PAA ([PAA]= 0.75 mg/mL, pH 8) complex coacervates prepared with [SL]=5 mg/mL.





Figure S 4 - Evolution of the turbidity of PEC solutions during stepwise addition of SL solution as
function of (a) SL concentration and (b) charge ratio. [CHL]= 1.4 mg/mL, [PLL]= 2 mg/mL, and [PAA]=
0.75 mg/mL

47 The difference of the critical aggregation concentration (cac) among the three systems (



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Figure S *4*b) could be related to the molecular structure of each PEC. In theory, cac is usually lower than the cmc, however we are not able to explain the high cac compared to the cmc (0.1 mg/mL) due to the complex behavior of SL. Indeed, during gradual addition of SL, free molecules can exist in solution or can even preferentially adsorb to the air-water interface to expose the intermediate aliphatic chain to the hydrophobic air phase. Furthermore, for bolaform surfactants, the relationship between the cmc and the free energy of micellization is complex and it requires taking into account the contribution of counterions.<sup>1</sup> The analysis

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The stoichiometric ratio for chargeable groups (-/+) and defined = [S]  $/(n \times [P]^{3,4,5})$  where [S] 79 and [P] are the molar concentrations for the SL and for the PEC, respectively and n is the 80 number of PEC monomers or binding sites. If we consider that the average molecular weight 81 of SL, CHL, PLL and PAA are respectively 633, 4000, 5000 and 17,500 g/mol. The CHL, 82 PLL and PAA are therefore made from average monomers of 15, 23 and 128, respectively. 83 However, for CHL the number of binding sites is assumed to be  $(15 \times 2)$  because each 84 monomer contains two amino groups. By including the theoretical ionization degrees (a and 85  $\beta$ ) is therefore possible to estimate the charge ratio Z (-/+) or [COO-]:[NH3+] which will be 86 equal to:  $Z = (\alpha x [S]) / (n x \beta x [P])$ . 87

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![](_page_36_Figure_2.jpeg)

Figure S 6 - Determination of pHφ as points as the intercept of the initial linear portion of the curve with
the tangent to the rapidly increasing portion of the curve. [SL]= 5 mg/mL.

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<sup>&</sup>lt;sup>3</sup> Hervé, P., et al. "Novel core-shell structure for colloids made of neutral/polyelectrolyte diblock copolymers and oppositely charged surfactants." *EPL (Europhysics Letters)* 58.6 (2002): 912

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<sup>&</sup>lt;sup>5</sup> Berret, Jean-François, et al. "Electrostatic self-assembly of oppositely charged copolymers and surfactants: A light, neutron, and X-ray scattering study." *Macromolecules* 37.13 (2004): 4922-4930

![](_page_37_Figure_0.jpeg)

Figure S 7 - Evolution of the hydrodynamic diameter of SL- PEC complexes with pH, as function of the
(a) relative number and (b) relative volume at 25 °C. The pH values are indicated above the curves. [SL]=
5 mg/mL, [CHL]= 1.4 mg/mL, [PLL]= 2 mg/mL, and [PAA]= 0.75 mg/mL

![](_page_38_Figure_0.jpeg)

Figure S 8 - Evolution of turbidity and electrophoretic mobility as function of pH during complex
coacervation of SL-PAA ([SL]= 5 mg/mL, [PAA]= 0.75 mg/mL).

![](_page_39_Figure_0.jpeg)

Figure S 9 – Quantification of the a) C=O/NH<sub>x</sub> molar ratio in the SL-polyelectrolyte mixture in the
coacervation region and b) coacervation extent. Experiments are provided for the SL-CHL system. All
experiments are carried out in D<sub>2</sub>O. pH is adjusted with DCl and NaOD. The numerical data and relative
discussion are provided in the main text.

113 a) <sup>1</sup>H NMR spectra of CHL solution (blue line, C= 1.4 mg/mL, pH 6.09). The red signal corresponds to a 114 SL-CHL solution initially prepared in Region 3, on the coacervation plateau, (C<sub>SL</sub>= 5 mg/mL, C<sub>CHL</sub>= 1.4 115 mg/mL, pH 6.12) and eventually centrifuged (3000 rpm, 1h) to recover the coacervate phase only; the 116 coacervate is finally dispersed in 500  $\mu$ L D<sub>2</sub>O, intentionally set at pD< 5, out of the coacervation plateau. 117 The CH-NH<sub>x</sub> and CH<sub>2</sub>-C=O integrals are used to quantify the C=O/NH<sub>x</sub> molar ratio. b) <sup>1</sup>H NMR spectra 118 of SL-CHL solution (CsL= 5 mg/mL, CCHL= 1.4 mg/mL) before (red line, pH 4.46) and after (green line, pH 6.12) coacervation. In blue, the signal of CHL (C= 1.4 mg/mL, pH 6.09). The spectra are superimposed 119 120 as such; no adjustment of the relative intensity is operated. The highlighted region between 4 ppm and 3

![](_page_39_Figure_3.jpeg)

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![](_page_40_Figure_0.jpeg)

Figure S 10 - Cryo-TEM images of SL-CHL coacervates at pH 5.94 and pH 6.33 ([SL]= 5 mg/mL, [CHL]= 1.4 mg/mL).

 SL- CHL pH 5.94
 SL- CHL pH 6.33

Figure S 11 - Cryo-TEM images of SL-CHL coacervates ([SL]= 5 mg/mL, [CHL]= 1.4 mg/mL). Scale bar is 200 nm
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![](_page_42_Picture_1.jpeg)

Figure S 12 - Cryo-TEM images of SL-PLL coacervates ([SL]= 5 mg/mL, [PLL]= 2 mg/mL). Scale bar is
 200 nm

![](_page_43_Picture_1.jpeg)

142 Figure S 13 - Cryo-TEM images of SL-PAA coacervates ([SL]= 5 mg/mL, [PAA]= 0.75 mg/mL). Scale bar

is 200 nm