

# Adaptive optics ophthalmoscopy: application to age-related macular degeneration and vascular diseases

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# Adaptive optics ophthalmoscopy: application to age-related macular degeneration and vascular diseases

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# Adaptive optics ophthalmoscopy: application to age-related

# macular degeneration and vascular diseases

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#### Abstract

Adaptive optics (AO)-enhanced *en face* retinal imaging, termed here AO ophthalmoscopy (AOO) has reached a level of robustness which fosters its expanding use in research and clinical centers. Here we will review the contribution of clinical AOO to the understanding and monitoring of 1) age-related macular degeneration and 2) vascular diseases. The main contributions of AOO to the phenotyping of AMD are a better identification of drusen, a better delineation of the limits of atrophy, and the identification of novel features such as punctate hyperreflectivity and mobile melanin clumps. Characterization of progression of atrophy is facilitated by time-lapse AOO. In vessels AOO imaging enables the observation and measurement of parietal structures and the observation of microscopic pathological features such as small hemorrhages and inflammatory cell accumulations.

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## 1. Introduction

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The first observation of the fundus of the eye in the nineteenth century led to the foundation of modern ophthalmology. Until recently, however, the retina itself could not be directly observed because it is translucent and hence faintly visible by fundus photography. It was the advent of techniques allowing a higher contrast such as optical coherence tomography (OCT) in the 1990s and then adaptive optics (AO)-enhanced ophthalmoscopy (AOO) in the 2000s that made neuroretinal structures directly observable in vivo. The first demonstration of the clinical interest of AOO was reported in 1997 in Liang, Miller and William's seminal work using an AO fundus camera<sup>1</sup> which allowed observation of cone photoreceptors. Since then, by achieving diffraction-limited resolution in clinically usable, robust systems, visualization of previously unseen structures such as individual photoreceptors and vessel walls can now be done in a routine fashion. Thanks to the convergence of technical maturity and better understanding of the contribution of AOO imaging, its use in research and clinical centers is expanding worldwide, in ophthalmology and beyond. Several reviews of AOO have been done previously<sup>2-5</sup>. In the present review, we will focus on the contribution of AOO to the understanding of age-related macular degeneration (AMD) and vascular diseases, and suggest some perspectives for improvement in these areas. We will limit this review to en face fundus camera and scanning AOO systems, excluding adaptive optics optical coherence tomography (AO-OCT) which has not yet been applied to the same extent to AMD and vasculature in patients. Readers interested in AO-OCT may refer to several reviews<sup>6.7</sup>. 2. AO ophthalmoscopy (AOO) technologies High resolution imaging of the retina faces several challenges, including optical aberrations arising from the anterior segment and the limited reflectance of the retina. These

challenges are tackled by AO which counteracts optical aberrations in real-time with a

deformable mirror, whose shape is derived from wavefront measurements via a real-time control loop, in order to increase light throughput and resolution. AOO has been performed with flood illumination fundus cameras, scanning laser ophthalmoscopes (SLO) and OCT<sup>6,7</sup>. Fundus camera systems use flood illumination to capture a two dimensional en face image in a single shot using a two dimensional camera as detector. SLO systems scan point by point (or line by line) in a raster fashion over the retina and collect the backscattered light with a singlepixel detector. Fundus camera and scanning AOO systems yield different results (Fig. 1). Fundus camera images show inherently less motion-induced distortion than scanning systems, which is of interest in the case of poor fixation, at the cost of reduced contrast. The main advantage of SLO systems is the use confocal detection to reject light from out of focus layers and so achieve high axial resolution and contrast. SLO systems have also benefitted from alternative detection schemes (known as split detection, offset aperture or dark field) that capture multiply scattered photons that do not pass through the confocal pinhole. Eliminating the strongest signal, which tends to emanate from directionally dependent waveguided light from photoreceptor outer segments and the highly scattering nerve fibers and vessels, enables detection of more weakly reflective structures, for example photoreceptor inner segments<sup>8</sup>, retinal pigment epithelium (RPE)<sup>9,10</sup> and retinal ganglion cells<sup>11</sup>. In the text that follows, for scanning AOO images we will call the directly backscattered light that passes through the confocal pinhole the "bright field" mode, and the multiply scattered light which is offset from the confocal pinhole the "dark field" mode. The ability to separate the different sources of contrast in these different modalities can provide clues as to

#### 3. Dry age-related macular degeneration

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AMD is a leading cause of blindness in developed countries<sup>12,13</sup>. Despite the identification of several genetic, molecular and environmental factors<sup>14,15</sup>, the pathophysiology of AMD

the origin of the features we observe and hence their clinical signification.

remains debated and in its dry form there is currently no available treatment.

Histopathological changes of dry AMD affect the outer retina, the RPE and the inner choroid<sup>16</sup>. The dominant paradigm states that AMD results from cumulative damage affecting the interaction between the photoreceptors and the RPE cells related to genetically determined low grade subretinal inflammation<sup>17</sup>. Over decades, cumulative low level damage challenges the resilience of the outer retina; the sight-threatening complications of AMD are therefore the clinical manifestation of a rupture and/or exhaustion of chronically activated compensatory mechanisms.

Clinically, an early/intermediate phase moderately affecting vision is followed by a late stage at which sight-threatening complications are observed. Funduscopically, the canonical lesions of early/intermediate AMD are drusen and/or pseudodrusen, basal linear deposits (which are focal thickening of the Bruch's membrane) and pigmentary changes. Transition from early to late dry AMD occurs when spots of Bruch's membrane devoid of RPE are detected. The disruption of the RPE monolayer (grographic atrophy) is indeed the key event leading to blindness, because it is temporally and spatially linked to loss of cones and to the advent of an absolute scotoma<sup>18</sup>. Here, we will describe the most notable contributions of AOO to the phenotyping of AMD, and compare the knowledge about histology and pathophysiology of dry AMD to AOO. Most of the histology data presented here is from the Project Macula developed by Christine Curcio and the University of Alabama of Birmingham (www. projectmacula.cis.uab.edu)<sup>19</sup>.

# 3.1. Early stage AMD

Drusen are composed of focal deposits of extracellular debris in contact with the RPE.

Drusen are hallmarks of AMD. Each druse subtype bears a specific risk of evolution to late stages of AMD. Three main drusen phenotypes have been characterized: either under the RPE ("conventional" soft drusen, and cuticular drusen) or over the RPE (subretinal drusenoid

deposits (SDD, also called reticular pseudodrusen). Spaide, Curcio and co-authors have hypothesized that their different imaging and histologic characteristics are due to differences in location and biogenesis<sup>20-23</sup>.

Figure 2 illustrates the fundus camera AOO appearance of the different types of drusen by comparison with non-AO corrected near infrared (nIR) SLO images. Conventional drusen appear on AOO as subtle variations in the grayscale tones, with a variably hyperreflective center. Drusen are usually surrounded by a continuous or discontinuous hyporeflectivity and sometimes an incomplete dark ring. Some conventional drusen appeared more reflective than others, with a better contrast from the background areas<sup>24,25</sup>. Cone photoreceptors are detected overlying conventional drusen and the cone density has been found to be moderately reduced over conventional drusen. The differences in reflectivity of conventional drusen may be due to differences in sub-RPE material reflectivity as these variations can be seen on SD-OCT as well, and also to variable degrees of depigmentation and thinning of the overlying RPE. These hypotheses need to be further investigated through mutlimodal imaging integrating nIR autofluorescence to evaluate the degrees of RPE degeneration associated with conventional drusen. Some authors showed that RPE atrophy predates the collapse of large conventional drusen by analysing the sequence of events in OCT over time.<sup>26,27</sup>

Cuticular drusen correspond to the innumerable mosaic of small and uniformly sized drusen visualized on fluorescein angiography (FA) and indocyanine green (ICG) angiography. With AOO their reflectivity profile is variable; they may appear as a hyporeflective center with a hyperreflective rim or diffusely hyperreflective. Different patterns of reflectivity could be found in the same eye, as has also been described with nIR scanning laser ophthalmoscopy (SLO)<sup>28</sup>. These different reflectivity aspects found within the same eye could correspond either to different evolutive stages or to different types of cuticular drusen, but also to the degree of depigmentation and thinning of the overlying RPE.

SDD have a specific reflectivity in AOO, with a hyperreflective core of variable size circled by a dark rim of constant width; this aspect has been confirmed on both fundus camera and scanning AOO systems. In addition, numerous dark dots can be visualized around the areas of larger SDD that were not visualized around conventional drusen with AOO or other imaging modalities; these may correspond to smaller stage 1 or 2 SDD not discriminated with conventional nIR SLO imaging. Meadway et al analyzed the microstructure of stage 3 SDD using scanning AOO and AO OCT and showed that the speckled appearance over SDD of grossly similar shape and reflectivity as photoreceptors was rather due to the granules of the lesion material itself<sup>29</sup>. AOO helped demonstrate that SDD were composed of material that accumulates in the same tissue compartment as photoreceptors above the RPE and associated with major perturbations of photoreceptors. Zhang et al also demonstrated the dynamism of SDD with scanning AOO over 12 months, analyzing 269 solitary SDD in 6 eyes of 4 patients<sup>30</sup>. They showed that there were new and regressed SDD lesions over time and that the percentage of sampled retinal areas affected by these ranged from 0.7% to 9.3%. A peculiar situation is small drusen of young subjects. On bright field scanning AOO images, these drusen appear as round, oval or lobular areas of diameter 22-61 µm where cone photoreceptor reflectivity and density are decreased<sup>31</sup> usually associated with discrete

thickening of the RPE complex. The outline and size of these lesions corresponds to 1-4 RPE cells, hence giving rise to the hypothesis that small, hard drusen could arise from very few RPE cells. When high in density, these may represent the earliest stage of druse; yet the extent to which these findings apply to age-related drusen remains to be determined.

#### 3.2 Late AMD ("geographic atrophy")

# 3.2.1 Atrophy margins

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**Figure 3** shows an example of montage of fundus camera AOO images of a dry AMD case. **Figure 4** compares the different modalities of AOO imaging. The margins of atrophic

areas show a variety of aspects, ranging from well-defined to ill-defined (**Figure 5**). With fundus camera AOO, well-defined margins are often hyporeflective, further enhancing their contrast and also suggesting that they contain melanin clumps; it is however possible that retinal disorganization also contributes to the hyporeflectivity of the margins. Ill-defined atrophy margins on the other hand are very difficult to delineate unambiguously. In these cases, tracing a limit between the preserved RPE monolayer and the atrophic area is highly subjective, particularly if there are overlapping foci of hyporeflective clumps (HRCs). These HRCs are indeed more numerous in ill-defined than in well-defined borders. During the follow-up of AMD patients, comparing successive AOO images can demonstrate progression of atrophic lesions within a relatively short time frame (**Fig. 6**)<sup>32</sup>.

The persistence of an "island' of intact RPE monolayer under the fovea in the midst of an area of RPE atrophy, called foveal sparing, is often observed. Despite the preservation of central visual acuity, the resulting tunnel-like vision is often a severe handicap. Conceivably, in these cases even a small progression of RPE atrophy towards the fovea will be associated with severe visual loss. While short wavelength autofluorescence imaging is impaired by the presence of the xanthophyll pigment which blurs the limits of preserved RPE<sup>33,34</sup>, AOO enables an exquisite delineation of such areas<sup>32,35</sup> (**Fig. 4**).

# 3.2.2 Cone mosaic and punctate hyperreflectivity

In preserved areas of dry AMD eyes, the cone mosaic is often dim in AOO images, with a high spatial variability of reflectivity; such poor visibility of the cone mosaic is further accentuated at the edge of GA lesions<sup>36</sup>. It remains uncertain if the altered visibility is due to the actual loss of cones or to the alteration of their optical properties. Histology shows that cones at lesion margins have altered morphology<sup>16,37,38</sup> which may contribute to their poor visibility. This poor visibility of the cone mosaic is in striking contrast with the observation of a bright hyperreflective granular structure within atrophic regions mimicking the normal cone

photoreceptor mosaic. This is consistently observed on different cases, with both fundus camera and scanning AOO (**Fig. 7**) although the bright field mode of scanning AOO yields the highest contrast for this specific feature. The density of such punctate hyperreflectivity (PHR) is within the range of the normal cone density (unpublished data). Since PHR resembles the cone mosaic, it may correspond to photoreceptor remnants. Histology studies of AMD indeed showed that in atrophic areas there may be remnants of cone inner segments<sup>39</sup>. However, these inner segments remnants are sparse, irregularly dispersed and lack outer segments which make them unlikely to have such a bright hyperreflectance and regular disposition.

Basal laminar deposits (BlamD) are sub-RPE deposits that are a hallmark of the aging Bruch's membrane<sup>40</sup>. They are made of a continuous material deposition between the RPE basal membrane and Bruch's membrane. When devoid of RPE cells, the BlamD may persist and form a continuous layer which is spiky on its inner surface and smooth on its outer surface<sup>41,42</sup> (i.e. in contact with Bruch's membrane) (**Fig. 8**). Hence, reflectance over the BlamD may theoretically generate a mosaic-like reflectance similar to the PHR. In order to better understand the origin of PHR, directional imaging may be helpful since the Stiles-Crawford effect may facilitate the identification of cone photoreceptors<sup>43,44</sup>. If the BlamD is indeed involved, then the similarity of the PHR and cone mosaic raises the question of the possible interference of the reflectance from both structures (i.e., the cone mosaic and BlamD) in non-atrophic areas. This may contribute to the abovementioned poor visibility of the cone mosaic.

# 3.2.3. Hyporeflective clumps (HRCs)

Fundoscopic examination and histology shows that extensive melanin redistribution accompanies all stages of AMD<sup>37,45</sup>. In histology this is seen in the earliest steps of AMD in the form of rearrangement of intracellular melanosomes, leading to an uneven distribution of

melanin. During late AMD, more marked changes can be observed, the most remarkable being the presence of hyporeflective clumps (HRCs) dispersed over the RPE monolayer as well as within atrophic areas. These HRCs have been attributed to detached RPE cells<sup>37</sup> and/or to a non-RPE cell type (possibly microglia<sup>46,47</sup> or monocyte-macrophages that have phagocytized RPE cells<sup>48</sup>). Many HRCs indeed show cytological characteristics very close to those of RPE cells; at the same time, the unequivocal presence of subretinal and sub-RPE macrophages identified using immunohistological markers such as CD163, IBA-1 and CCR2<sup>48,49</sup> have been reported in eyes with dry AMD. A significant number of subretinal macrophages indeed contain melanosomes, presumably from ingested RPE cells. It is possible that HRCs in the outer nuclear layer account for the hyperflective foci seen with OCT during AMD<sup>46</sup>. A histological taxonomy of human HRCs based on their shape and location has been recently proposed<sup>19</sup>. This classification distinguishes bilaminar, sloughed, dissociated, shedding, and entombed types. A consistent feature of AO fundus camera images of dry AMD is the presence of a myriad of HRCs dispersed over the posterior pole (Fig. 5 and 9). Although the size of HRCs varies greatly, they typically appear as black dots measuring around 20µm<sup>32</sup>, hence similar to the size of melanin containing cells seen in histology. As histology suggests that melanosomes remain mostly intracellular even at late stages of the disease, HRCs can be considered as intracellular tags for most of them. HRCs can be detected over the atrophic areas as well as over the RPE monolayer (albeit with a lower contrast in the latter case). It is surprising to note that HRCs are of low contrast in scanning AOO; HRCs are indeed much better detected by fundus camera AOO than by scanning AOO (Fig. 9). HRCs appear to colocalize with hyper-nIR autofluorescence (nIRAF)<sup>32,50</sup>, although the colocalization of HRCs and hyper-nIRAF can at present only be hypothesized based on

comparison of images of unequal resolution. Use of an nIRAF-capable AOO system such as

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that used in (Granger, Williams, Rossi, ARVO E-abstract 3429, 2017) could provide an answer to this question.

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When comparing successive AOO fundus images of dry AMD taken over a period of several months, extensive changes in the distribution of HRCs are consistently observed. When adequate time sampling is done (i.e. at a rate of approximately one image per month), time-lapse sequences unequivocally demonstrate that there is motion of many HRCs<sup>32</sup>. Migration of HRCs is the most dynamic process that has been identified to date in AMD; it can indeed be detected over a timescale of days while atrophy progression is only detectable over a timescale of weeks. One may hypothesize that such activity represents a reactive process following degeneration. In this regard, it is of interest to note that migration of HRCs is also observed over non atrophic areas (i.e. over the intact RPE monolayer). This indicates that such cell motility may be present early in the course of AMD, and hence is not solely an effect of cone photoreceptor degeneration. The velocity of HRCs is highly heterogeneous, and can reach a magnitude of one micrometer a day. They show a complex migration that defies classification. Most show limited, erratic motion, while some seem to progress in parallel to atrophy progression. Very few show a directional progression (Fig. 10 and 11). The significance of such motion is uncertain; displacement of HRCs may not necessarily imply that an entire cell is moving. Indeed, intracellular motion of melanosomes may account for <10µm scale motion of HRCs. To our knowledge there is very limited documentation of cell migration in the living retina. It has been showed that microglial and infiltrating macrophage cells can migrate in response to damage to the RPE<sup>47</sup>. Although it is a speculative approach, some correspondence between this taxonomy and AOO imaging of HRCs may be postulated (Fig. 12). Along progression fronts, cells in the pigmented borders are probably of the "bilaminar" phenotype, i.e. with a layer of HRCs over

the RPE monolayer. Highly contrasted HRCs dispersed over the RPE monolayer outside of

margins are possibly HRCs of the "sloughed" type. Similar HRCs over RPE atrophy may be cells of the "dissociated" type. The migration pattern of HRCs may also contribute to classify them into this taxonomy. Migration is probably facilitated in the subretinal space; it may therefore be assumed that HRCs of the "sloughed" phenotype are more likely to show migration. On the other hand, "subducted" HRCs (i.e. located between the BlamD and the Bruch's membrane) are probably less mobile because they are embedded in remodeled tissues. Very small static melanin spots may be "shed RPE cells", i.e. whose melanosomes are embedded into subretinal material.

#### 3.2.4 Outer retinal tubulations

Within atrophic areas there are frequently tubular, 50-100µm wide arborescent structures, termed outer retinal tubulations (ORT)<sup>51</sup>. ORTs contain radially oriented cones and Müller cell extensions<sup>52-54</sup>. The presence of ORTs shows that cones may survive for a relatively long duration in atrophic areas. Fundus camera and scanning AOO can both detect the outlines of ORTs (**figure 13**)<sup>55</sup>. It has been shown that scanning AOO can detect cone structure within ORTs<sup>56</sup>. It has been postulated that this configuration is a survival strategy for cones<sup>49</sup> albeit their potential for functional recovery is unknown. The possibility of detecting (and hence monitoring) surviving cones within ORTs may be of interest to characterize the resilience of cones in dystrophic retinas, and may also guide RPE grafting since an area with cone persistence may be most appropriate.

# 3.3 Perspectives for AOO of dry AMD

The complex features of dry AMD are progressively being unveiled using a variety of imaging techniques, among which AOO will probably play a major role. Investigating the correspondence of AOO images with histology will be crucial to improve the rationale for their interpretation.

Current cell culture technology can achieve a functionally and phenotypically normal RPE mosaic<sup>57</sup>, and even sub-RPE deposits mimicking drusen<sup>58</sup>. Hence, it can be expected that imaging RPE cell cultures may be an acceptable surrogate for *in vivo* imaging of RPE aging and diseases.

A higher short-wavelength autofluorescence signal along lesional margins is predictive of

progression<sup>59</sup>. En face imaging of tissue samples offers some useful support for image interpretation, showing for instance the colocalization of lipofuscin and melanin at a microscopic level (**Figure 14**).<sup>60,61</sup> One can hence speculate that accumulation of HRCs along the margins of atrophy may be linked to the increased short wavelength autofluorescence. Hence, the link between short-wavelength autofluorescence and AMD progression is possibly explained by the presence of HRCs at the margins of atrophy. Accordingly, a recent clinical study suggested that pigmentary changes are predictive of progression.<sup>62</sup>

Analyzing the migration of HRCs is still a challenge. It is known that HRCs may migrate into the inner retina<sup>19</sup> and hence escape the focus plane of AOO. Therefore, migrating patterns of HRCs may be more accurately defined by taking into consideration their depth disposition within the retinal layers as well. Time-lapse volumetric OCT may therefore contribute to a better tracking of HRCs within the depth of the retina, while AOO may be most suitable for those migrating close to the RPE.

In vivo observation of the RPE cells is still far from being a routine procedure, although it has recently shown interesting perspectives using AO-enhanced indocyanine green angiography<sup>63</sup>, AO-enhanced short wavelength<sup>10</sup> and infrared autofluorescence<sup>64</sup> and two-photon imaging<sup>65</sup>. Given that technical robustness and absence of light toxicity is demonstrated, this may be of interest to analyze the fate of individual RPE cells, which would help to clarify the origin of HRCs. The choriocapillaris is still difficult to analyze in detail in vivo although AO-OCT<sup>66</sup> has recently shown interesting perspectives. Finally, wet AMD is

still difficult to explore with AOO because of the combination of loss of retinal transparency and the complex 3D arrangement of lesions.

# 4. Vascular imaging

The retina relies on a finely tuned blood flow for its supply of metabolites and metabolic signals and for disposal of waste products. The planar disposition of retinal vessels make them conveniently observable with *en face* imaging. The exponential relationship between lumen diameter and conductance (Poiseuille's law) highlights the importance of high precision measurement of vascular diameters. The retinal vessels are cognates of brain vessels, sharing many functional and pathological features; hence, retinal vessels may be considered in many aspects as surrogates of brain vessels. Age, hypertension and diabetes are the most common factors influencing the morphology and function of microvessels. With the advent of AOO, not only did measurement of lumen diameter with micrometric precision become possible, but also structural imaging<sup>67</sup>. Dedicated software for automated segmentation of arteries has been developed which facilitates the extraction of clinically relevant biomarkers.<sup>68</sup>

#### 4. 1 Normal vessels

AOO imaging of vessels shows the red blood cell column as a dark line with a specular reflex along its crest. Along arteries and sometimes along veins, the walls can be seen as thin, laminated bands (**Fig. 15**). With fundus camera AOO, there is also a hyperreflective halo surrounding the vessels, possibly due to the backscattering of laterally reflected incident light. It is thought that the inner limit of the wall corresponds to the plasma-endothelial interface, and that the outer limit represents the outer limit of the adventicia. Within the wall of arteries a central hyporeflective band can be identified (**Fig. 16**) which possibly corresponds to the media containing smooth muscle cells<sup>67</sup>. This central hyporeflective band is more apparent over lightly pigmented RPE (not shown), highlighting the role of backscattered light in its

visualization. AOO can also detect discrete structures (**Fig. 16, right**), presumably comprising pericytes.

AOO offered for the first time the possibility to measure directly the thickness of the wall and hence to calculate the wall-to-lumen ratio (WLR) in vivo<sup>69</sup>. Assuming a correct anatomical correspondence, the median WLR of normal arterioles is ~0.28; WLR increases when the size of the artery decreases<sup>70</sup>. The WLR of normal veins is around 0.1; no lamination has been yet observed in the venous wall.

At arterial bifurcations, the specular reflex along the vessel crest is divided along daughter branches, while at venous confluences each specular reflex can be followed after the confluence (**Fig. 17**).<sup>71</sup> This demonstrates that red blood cells, which remain in distinct columns after venous confluences, contribute to the axial specular reflex.

The geometry of the arteriolar arborescence is an essential determinant of its energetic efficiency  $^{72}$ . Based on the minimal work principle, Murray's laws state that for achieving the conflictual requirements of minimization of shear stress and blood volume, blood flow should be proportional to the cube of the vessel radii  $^{73}$ . Because of the conservation of flow, this implies that at arterial bifurcations there is a cubic relationship between the radii of parent (P) and daughter vessels ( $D_1$  and  $D_2$ ). Hence in a symmetrical bifurcation there is a homothetical factor (i.e. a relative variation of diameter of downstream vessels) of 0.79. These laws, established on theoretical grounds, were verified in vivo only in the  $1970s^{74}$ . The junction coefficient (X solving  $P^x=D_1^x+D_2^x$ , for which the expected value is 3) is a convenient way of quantifying the conformation of a microvascular network to Murray's laws. **Figure 18** illustrates the changes in X consecutive to changes in vessel diameters in an optimal bifurcation. This shows that an increased X means a better conductance of flow downward to the bifurcation, either because of an increased daughter (D) or a decreased parent (P). Hence, at a particular bifurcation, deviation of X from 3 parallels flow conductance.

In retinal vessels, deviation from optimality has been associated with peripheral arterial diseases<sup>75</sup>, incident heart disease, stroke<sup>76</sup> and diabetes<sup>77</sup>, yet these conclusions were drawn from conventional fundus photographs; hence blur may have altered the precision of the measurements. Using AOO, in normal arteries a median value of X of 2.8 in arteries and of 2.3 in veins has been reported<sup>78</sup>, both being significantly inferior to 3. The cause of this physiological deviation from Murray's laws remains to be determined.

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Retinal capillaries have a complex, multilayered 3D arrangement. A serial arrangement of these layers has been documented in rodents<sup>79,80</sup> and pigs<sup>81</sup>, yet remains to be confirmed in the primate retina. Fine details of capillaries are visible with bright field scanning AOO but are only faintly detectable with AOO fundus cameras<sup>82</sup>. Offset imaging with motion contrast has proven effective to image capillaries. 83,84 Indeed, differential analysis between two images specifically detects fast moving particles, that is, red blood cells. Viewing of retinal capillaries using oral fluorescein angiography has also been demonstrated<sup>85</sup>. **Figure 19** shows an example of a montage of scanning AOO images of capillaries. AOO is not yet capable of imaging wide field volumes to cover the whole complex 3D retinal capillary network. Nevertheless, capillary imaging and flow measurements in the perifoveal ring have a clear clinical interest because of the disproportional importance of the fovea for vision relative to its size. The flow of leukocytes in individual capillaries and the flow in the perifeoveal capillaries has been reported86,87 in which velocities between 0 and 1.2mm/sec were measured. By using a combination of scanning AOO and computational fluid dynamics analysis, it has been shown that wall shear stress can be estimated in vivo in human perifoveal capillaries<sup>88</sup>.

# 4. 2 Vascular aging and hypertension

The most common manifestations of aging/hypertensive retinopathy are diffusely increased WLR and focal lesions such as focal arteriolar narrowings (FANs) and

arteriovenous nickings (AVNs). These features have been the subject of a considerable amount of research as predictive biomarkers of end-organ damage<sup>89</sup>. Several large scale epidemiological studies reported that the severity and/or incidence of these signs correlate with end-organ damage<sup>90</sup>. Hypertensive microvasculopathy is also suspected to play a role in Alzheimer's disease<sup>91</sup>. It is of importance to note that atherosclerosis (atheroma), i.e. the presence in the subintima of cholesterol plaques, affects only large arteries and hence does not affect retinal vessels.

The WLR of arterioles is a fundamental indicator of the effect of arterial hypertension on

# 4.2.1 Parietal thickening

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small vessels. Increased WLR occurs through the chronic stimulation by blood pressure of the myogenic reflex (Bayliss effect)<sup>92</sup>, a process leading to eutrophic remodelling. Fundus photograph-based studies reported an age and pressure-related decline of the lumen diameter of arteries, which may be interpreted as indirect evidence of parietal thickening<sup>93</sup>. However, despite some interesting results using differential Doppler and reflectance imaging<sup>92</sup>, until the advent of AOO, there was no convenient clinical method to measure the WLR. Since then, several teams have shown that the WLR measured with AOO correlates well with blood pressure<sup>69,95-98</sup>. Ageing also decreases the diameter of the lumen and the parietal thickness, hence increasing the WLR. The effect of blood pressure on the WLR is therefore agedependant, with a stronger correlation in young subjects<sup>95</sup>. Measurement of the WLR in the retina appears to be an interesting tool for management of arterial hypertension. Since change in the WLR occurs in a matter of weeks, it is rather immune to acute changes in blood pressure; hence, it is possible that the WLR is an integrator of past blood pressure. Considering the WLR may thus help to overcome the problem of stress-induced variation of blood pressure (so-called "white coat hypertension"). After a few weeks of antihypertensive treatment introduction, a significant increase in internal diameter

was reported<sup>95</sup> leading to a decreased WLR. Interestingly, this was observed irrespective of the pharmacological class, suggesting that the effect of antihypertensive drugs on small vessels is mediated by blood pressure, rather than through a direct pharmacological effect on small vessels. AOO has been used to detect short-term changes in vascular morphometry following surgical treatment of resistant hypertension<sup>99</sup>.

# 4.2.2 Focal vascular lesions: arteriovenous nickings and focal

# arteriolar narrowing

While most scientific research and hence conceptual efforts in hypertensive microvasculopathy are addressed to diffuse changes of parietal thickness, little attention is paid to focal microvascular changes. This is partly due to the fact that these changes are difficult to capture with histology. Moreover, their natural history is poorly documented.

Narrowing and deformation of veins in the vicinity of arteries defines AVNs. The latter are surrogates of cerebrovascular aging and are also the direct cause of retinal vein occlusions.

There has been a longstanding yet unsubstantiated consensus among clinicians about the compressive nature of the arteriovenous conflict underlying AVNs. This belief persists despite the fact that histology studies failed to evidence arteriovenous compression; instead, histology consistently pointed to extravascular changes identified as glial proliferation, glial edema<sup>100</sup> or extracellular deposits<sup>101</sup>, as the cause of AVNs.

Using AOO we have shown that the WLR does not differ between AVNs and normal

arteriovenous crossings, ruling out parietal thickening as the cause of AVNs<sup>69</sup>. However, to analyze the interface between an artery and a vein at an arteriovenous crossing, optical access to the interface is necessary, which is usually not possible using reflectance imaging because the interposition of the artery. This can be overcome by analyzing a specific yet uncommon vascular pattern, that is, cases in which focal venous remodeling is observed where an artery and a vein are close yet not overlapping; these cases can reasonably be considered as "non-

crossing" AVNs<sup>102</sup>. Indeed, veins adjacent to arterioles may undergo marked phenotypical changes identical to AVNs (i.e. nicking, narrowing, opacification and/or dragging) without any physical arteriovenous contact as demonstrated by AOO (**Fig. 20**). These findings support and extend the conclusions of histology stating that the paradigm of arterial crushing as the cause of venous nicking stems from a misinterpretation of fundus photographs.

During aging, irregularities in the caliber of arteries are also commonly observed. The most obvious manifestation is the presence of FANs, i.e. a clinically detectable focal reduction of arteriolar caliber. Given the exponential relationship between lumen diameter and flow conductance, even a limited, focal reduction in diameter may have significant hemodynamic consequences. Theoretically, FANs may be due to parietal thickening or focal vasoconstriction. In all cases of FANs that we examined, the outer limit of the wall remained parallel to the internal wall (**Fig. 20, top**), that is, there was no evidence of local parietal thickening. Moreover, we frequently observed disappearance of FANs (**Fig. 20, bottom**), which strongly argues for chronic vasoconstriction being the cause of FANs. The presence of FANs may thus be an indicator of a dysregulation of the microvascular tone.

# 4. 3 Diabetic retinopathy

Diabetic retinopathy (DR) is a leading cause of visual loss in working-age adults worldwide<sup>103-105</sup>. Histology shows that loss of pericytes and endothelial cells occur early in DR, being detectable in experimental diabetes long before there is any macroscopic sign of DR. DR is hence considered as resulting from the cumulative effect of progressive loss of canonical functions of retinal capillaries leading to a combination of nonperfusion and hyperpermeability. The very first clinically detectable changes seen in vivo are microaneurysms surrounded by capillary occlusions.

AOO is promising for the detection of early capillary changes. At the earliest stages of DR (i.e. in the absence of clinically gradable diabetic retinopathy), capillary dilation<sup>106</sup>,

tortuosity<sup>83, 107</sup> and disruption of capillaries<sup>108</sup> have been reported. A higher flow velocity than controls has also been reported.<sup>109</sup> Recently, alteration of the junction coefficient has been confirmed by an AOO study in diabetics<sup>78</sup>.

The internal structure of microaneurysms has been explored with scanning AOO using a combination of reflectance and fluorescence angiography<sup>107</sup>. This study showed that microaneurysms have a variably thickened wall. At more advanced stages of DR, an exquisitely fine documentation of microscopic features such as microaneurysms, microhemorrages, and hard exudates (**Fig.21**) can also be obtained with AOO.

### 4. 4 Vascular inflammation

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Because vessels are physiologically in contact with innate and adaptive immune effectors, they are at the crossroads of a variety of inflammatory diseases. Accordingly, retinal vascular inflammation is a common feature during various types of uveitis, which can be detected on fundus images under the form of perivascular sheathing and/or focal disruption of the bloodretinal barrier. Veins are more often affected than arteries by inflammation. Post-capillary venules are the elective site of extravasation of blood-borne inflammatory cells (diapedesis). Given the diagnostic and prognostic value of perivascular sheathing, it would be of clinical interest to better identify, quantify and monitor retinal vasculitis. Thanks to the high sensitivity of AO to loss of retinal transparency, paravascular cellular infiltrates constitutive of retinal vasculitis can be detected with high precision (Fig. 22). 110 Perivascular inflammation can be located on one or both sides of vessels, over a width of several tens of micrometers, up to several millimeters along the affected vessel. Focal reduction of venous diameter often accompanies perivascular sheathing. In the example showed in figure 23, perivascular sheathing was accompanied by local deformation of the adjacent NFL, suggesting that in this particular case venous narrowing may have been due to compression from the infiltrate.

# 4. 5 Perspectives for AOO in vascular imaging

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systolic pulse is related to parietal stiffness.

Because microvascular structure, motricity and flow can be analyzed with AOO with high precision, a better knowledge of the pathophysiology of human microvasculature will undoubtedly emerge from future clinical studies and technological development based on AOO. Fine morphometric measurements are a promising approach because they may enable identification of subtle changes of perfusion homeostasis at an early stage. The complex spatial and functional organization of retinal capillaries makes comprehensive mapping of retinal microvessels challenging with AAO; on the other hand, a better understanding of this organization will enable the design of specific procedures. For instance, the deep vascular layer is electively affected by capillary remodelling in DR<sup>111</sup> and retinal vein occlusions<sup>80,112</sup>. Postcapillary venules, which are located for the most part in this same layer are also the preferential site of leukocyte adherence and diapedesis. Hence, targeting the deep microvessel layer may offer more precise insights into several processes affecting microvessels. Similarly, targeting the perifoveal capillaries may be relevant for diseases affecting the macula. The oxygen saturation of red cells can be measured in the retina using differential light absorption<sup>113</sup>. Current techniques for oxymetry, however, are limited to medium to large vessels; in the future, AOO-enhanced oxymetry techniques may provide access to oxygen saturation of capillaries. Measuring the biomechanics of the systolic pulse on retinal vessels offers an interesting approach for evaluating the stiffness of large vessels. It is possible to document microvasculature caliber and tortuosity changes during the cardiac cycle with scanning  $AOO^{67}$  and fundus camera  $AOO^{114}$ . Such mechanotransmission has been the subject of extensive studies in the cardiovascular field<sup>115</sup> since it is believed that the velocity of the

The natural history of early stages microvascular aging, of DR and of hypertensive retinopathy remains poorly documented with AOO. At the earliest stages of DR, AOO study of capillary flow may help to solve controversies about retinal blood flow changes<sup>116,117</sup>. Functional studies at the capillary level (neurovascular coupling<sup>118</sup>) may also be of interest, as a decreased efficiency of neurovascular coupling in the retina is present early in diabetes<sup>119</sup>. Longitudinal AOO studies may contribute to a better knowledge of the natural history of the development of microaneurysms, capillary nonperfusion and exudates. In particular, documenting the loss of pericytes<sup>71</sup> offers also interesting perspectives.

# 5. AOO in clinical trials

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Clinical trials in ophthalmology increasingly rely on imaging. More precise biomarkers allow earlier results with fewer patients, and are therefore ethically necessary. However, while the use of OCT in clinical trials has been developing at an exponential pace, AO-enhanced imaging has lagged behind. The current technological level of robustness and the possibility to obtain quantitative biomarkers already permits the integration of AO in large scale trials in AMD, arterial hypertension and vasculitis. For trials in AMD, emergence and progression of small atrophic spots are the most straightforward applications. A particular interest of AOO is to enable precise monitoring of the residual RPE in cases of foveal sparing. Cell therapy using RPE cell grafts has been proposed to treat atrophic AMD<sup>120</sup>; time-lapse AOO would be of interest to follow the fate of grafted cells. In arterial hypertension, WLR is a robust, dimensionless parameter that can be measured on large cohorts of nondilated patients. Several epidemiological studies on retinal vessel imaging using AO, including pediatric cohorts, are underway. A promising perspective of AOO is the follow-up of patients treated by antihypertensive drugs. The retina indeed offers the unique possibility to measure the effect of vasoactive drugs. It would be of interest to determine if early 'microvascular responders' (i.e., those patients showing vasodilation under therapy) have a better prognosis in terms of

reduction of end-organ damage. In inflammatory diseases, the size of inflammatory infiltrates around vessels may also be considered as a biomarker of interest. The contribution of AOO to trials in diabetic retinopathy is promising. For instance, AOO may better document the turnover of microaneurysms; another application would be the measurement of the morphometry of arterial bifurcations.

Most medical specialists can only dream of the highly precise imaging that we

#### 6. Conclusions

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ophthalmologists can routinely achieve using AOO. AOO may force us to rethink the physiopathological concepts of many diseases affecting the retina. As Sydney Brenner said, "progress in science depends on new techniques, new discoveries and new ideas, probably in that order". By enabling quantitative in vivo "optical biopsy", high resolution imaging may find many medical applications in a spectrum of indications, spanning from ophthalmology to general medicine. The possibility to perform a fine quantitative analysis is of obvious interest for monitoring diseases. Diseases that show slow progression at a macroscopic scale can reveal significantly more activity at a smaller scale. The applications of AOO to other public health diseases such as arterial hypertension and diabetes will bring support from the pharmaceutical industry which may further boost clinical developments of AOO. AOO is a rapidly changing field and the range of its medical applications is constantly increasing<sup>1</sup>; the reader should therefore keep in mind that our review is at risk of rapid obsolescence. For instance, while long considered unfeasible, visualization of human retinal ganglion cells<sup>11</sup> was recently demonstrated. There are still several hurdles that hinder the full integration of AOO in routine clinics and trials. Several factors such as technical complexity, cost, interpretative schemes and integration of AOO images into management of patients still need to be improved. These topics are the subject of a multidisciplinary effort of physicists, computer scientists, ophthalmologists, and histologists. Improving knowledge of histology

and of light-tissue interactions will be useful. Investigation of light-tissue interactions using similar techniques in vitro and in vivo<sup>121</sup> will help to improve the rationale of image interpretation. Among other questions is the fact that structures may have a different aspect in scanning and fundus camera AOO. Acquiring more clinical experience, using accurate metrics and building large normative databases will improve image interpretation. Image processing and analysis software should be customized to diseases and biomarkers and combine multimodal information. Then, more adequate training schemes, standard procedures, and biomarkers for trials may be developed. Trials in healthcare domains other than ophthalmology will be facilitated with the use of user-friendly, automated, nonmydriatic instruments.

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## Figure legends 915 916 Figure 1: Comparison of fundus camera (left column) vs bright field scan (right column) 917 AOO, showing normal photoreceptors (top row), an artery (center row), and an atrophy zone 918 during dry age-related macular degeneration. 919 920 Figure 2. Imaging of drusen. Top row, near infrared scanning laser ophthalmoscopy (nIR SLO) imaging; center row, OCT; bottom row, fundus camera AOO. (A, D, G) Conventional 921 922 drusen appear as subtle variations in the grayscale tones surrounded by discontinuous hyporeflectivity on the fundus camera AOO. (B, E, H) cuticular drusen distributed in a 923 924 continuous pattern are clearly distinguished on corresponding AOO. (C, F, I) subretinal drusenoid deposits (SDD) are visible by AOO as hyperreflective cores of variable sizes 925 surrounded by dark annulus of constant width. Some of the dark dots (arrows) may 926 927 correspond to smaller stage 1 or 2 SDD. 928 Figure 3: Montage of fundus camera AOO images of a case of dry AMD. Figure 4. Case of foveal sparing seen by SLO, OCT, fundus camera AOO and scanning AOO 929 930 in bright and dark field modes. Figure 5. Example of ill-defined (top) and well-defined (bottom) lesions viewed with fundus 931 camera AOO. 932 Figure 6: Illustration of progression of GA. (A) SLO infrared image. (B, C) two AOO fundus 933 camera images taken 1 month apart. The horizontal lines and the arrow in (C) facilitate the 934 935 identification of the progression of atrophy. Note also the rearrangement of HRCs outside of the atrophic area (compare the pattern of pigment distribution in the circles) (from <sup>32</sup>). 936 Figure 7: Top: AOO fundus camera imaging of a GA case illustrating the similarities 937 938 between punctuate hyperreflectivity (PHR) and the cone mosaic (CM). The dotted line represents the progression front. Note that some HRCs are superimposed with the PHR. 939 Bottom, comparison of flood versus bright field scan AOO of the PHR and presumed CM at 940

the border of an atrophic lesion. While the CM is dim in bright field scan and/or blurred in 941 942 fundus camera AOO, within the atrophy areas there is a hyperreflective granular structure (i.e. the PHR) of appearance and spacing similar to the CM. 943 Figure 8. Histology of BlamD (arrowheads) in an atrophic area of a GA eye (from 944 projectmacula.cis.uab.edu). Note the spiky surface of the BlamD. 945 Figure 9: Comparison of fundus camera versus scanning AOO of HRCs. Fundus camera 946 AOO shows HRCs as dark spots, while with scanning AOO they are less contrasted (arrows). 947 Figure 10: Example of migration patterns of HRCs (arrows) seen by fundus camera AOO. 948 Top row: migration in parallel to the progression of atrophy (b, c, and d: zoom of the area 949 950 shown in a, showing the progression of atrophy over 6 months). Bottom row: linear progression (g: recapitulation over 8 months). 951 Figure 11: Illustration of the migration of an HRC (solid arrowhead) ahead of atrophy 952 953 progression seen by fundus camera AOO. The hollow arrowhead recapitulates the successive position of the HRC s in previous images. 954 955 Figure 12: Comparison of histology and AOO images of a case of dry AMD. Presumptive RPE cell types described by Zanzoterra et al.<sup>19</sup> are shown. 956 Figure 13: Outer retinal tubulations (ORT) seen with fundus camera AOO (A) and by bright 957 958 and dark field scanning AAO (B and C respectively). A: ORT in a case of dry AMD (delineated by arrowheads). B and C, ORT in a case of retinal dystrophy. A long ORT (black 959 arrows) and several smaller ORT (white arrows) are seen extending from the less abnormal 960 region of retina into the atrophic region. Inserted are magnifications of the bright and dark 961 field, respectively, showing cones extending onto the ORT (Scale bars =  $200 \mu m$ ) (modified 962

from 56)

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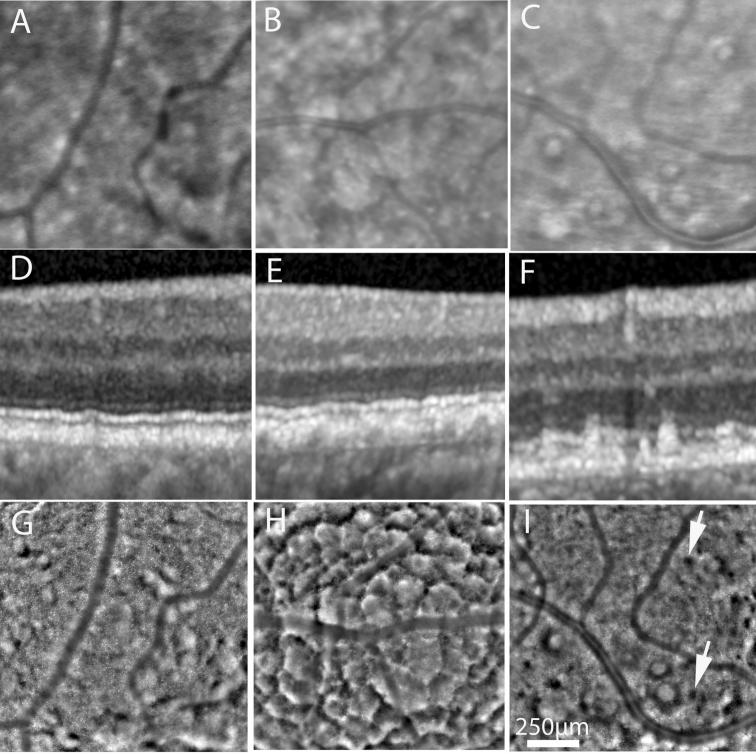
**Figure 14**. Illustration of the colocalization of short-wavelength autofluorescence (lipofuscin) 964 965 and melanin in a retinal flat-mount of a GA case. The upper row shows immunolabelling of the actin cytoskeleton indicating confluence of RPE cells (modified from <sup>37</sup>). 966 Figure 15. AOO fundus camera imaging of a normal arteriovenous pair. In the 967 magnifications, arrowheads show the arterial and venous wall. 968 Figure 16: Details of parietal structures in an arteriole obtained by fundus camera AOO (left: 969 970 from author Serge Meimon) and with an offset aperture AOSLO (modified from <sup>67</sup>). Note details of fine parietal structures, which may correspond to mural cells (black arrows). 971 Figure 17: Imaging of venous confluences showing the alignment of red blood cell columns. 972 973 Left, fundus camera AOO image. Three red blood cell columns (numerated 1 to 3) can be followed in pre and post-confluence vessels. Center and right, scanning AOO bright and dark 974 motion contrast image (TBC), respectively. In the latter note the presence of at least three 975 976 distinct red cell columns (from<sup>71</sup>). Figure 18: Mathematical relationship between the variations of vessel diameter on the 977 978 junction coefficient at an optimal bifurcation with initial values for the parent vessel (P) of 100 $\mu$ m and for daughter vessels (D<sub>1</sub> and D<sub>2</sub>) of 79.37 $\mu$ m (which implies X=3). Each curve 979 represents the variations of X following the variation of the diameter of one vessel (P or D<sub>1</sub>). 980 981 Overall, an increased X implies an increased downstream conductance which may be due to upstream constriction or downstream dilation. For instance when P increases by 10µm, X will 982 increase to ~ 4. 983 Figure 19. Montage scanning AOO bright field image of the perifoveal capillaries using 984 motion contrast (from 108) (scale bar, 250µm). 985 Figure 20: Fundus camera AOO images of venous nicking with (left) and without (center) 986

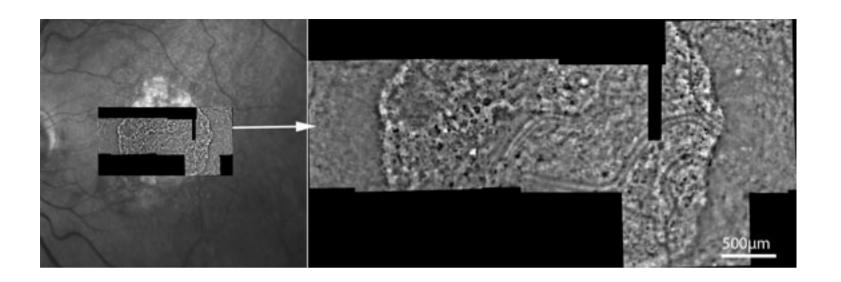
arteriovenous overlapping. Note in the central image the absence of detectable arteriovenous

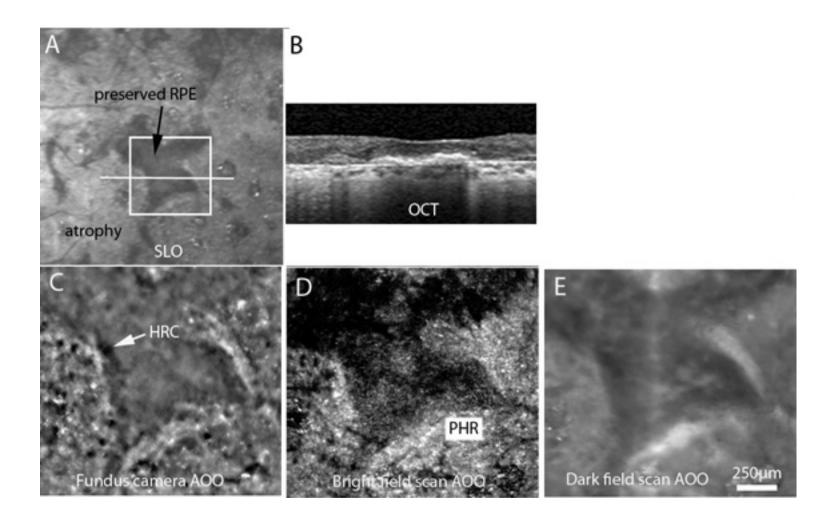
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contact. Right, focal arterial narrowing (FAN); zoom shows that the inner and outer limits of 988 the wall remain parallel within the FAN. 989 Figure 21: Examples of fundus camera AAO imaging of advanced diabetic retinopathy 990 showing from left to right microaneurysms, hard exudates and microhemorrhages. 991 Figure 22: Fundus camera AOO montage showing paravenous infiltrates (arrows) in a case of 992 idiopathic vasculitis. 993 Figure 23: Evolution of a paravenous inflammatory infiltrate. A: fluorescein angiography; B: 994 995 Fundus camera AOO showing in the boxed area a perivenous infiltrate. C to D: follow-up on the perivenous infiltrate. Note that the resolution of the infiltrate seems to coincide with 996 997 restauration of venous caliber and also with the resolution of the displacement of axonal fibers (arrowheads). 998 999 1000

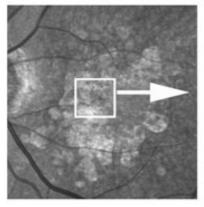
Scanning AOO Fundus camera AOO Photoreceptors Vessels Atrophic MD 250µm

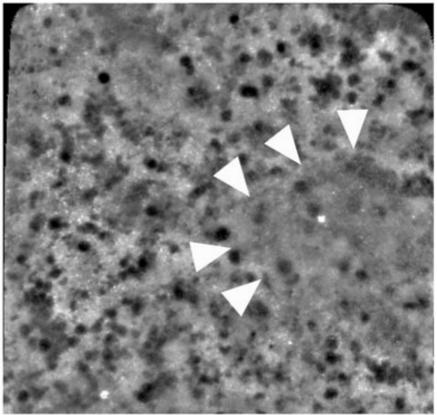


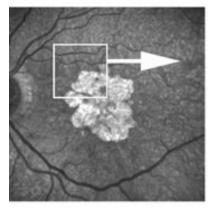


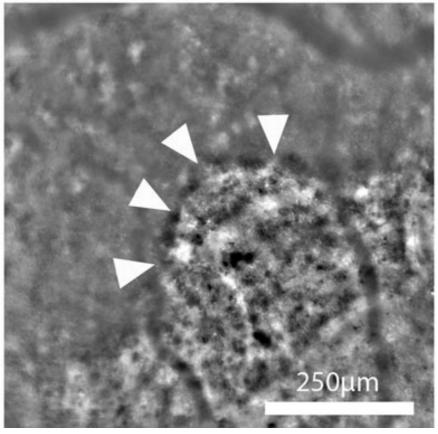


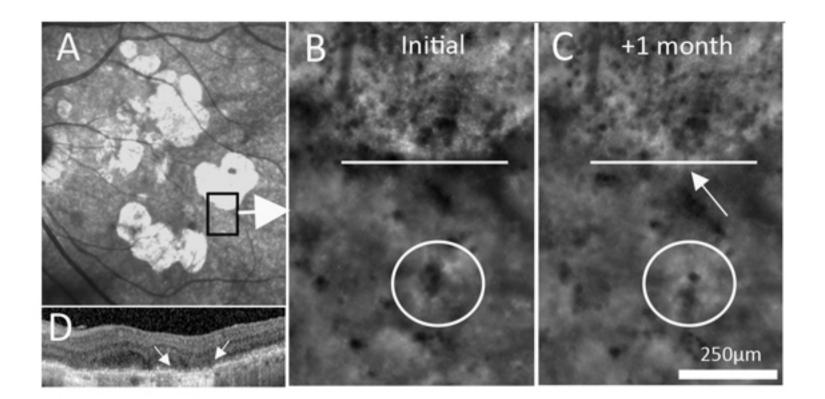
## SLO Fundus camera AOO

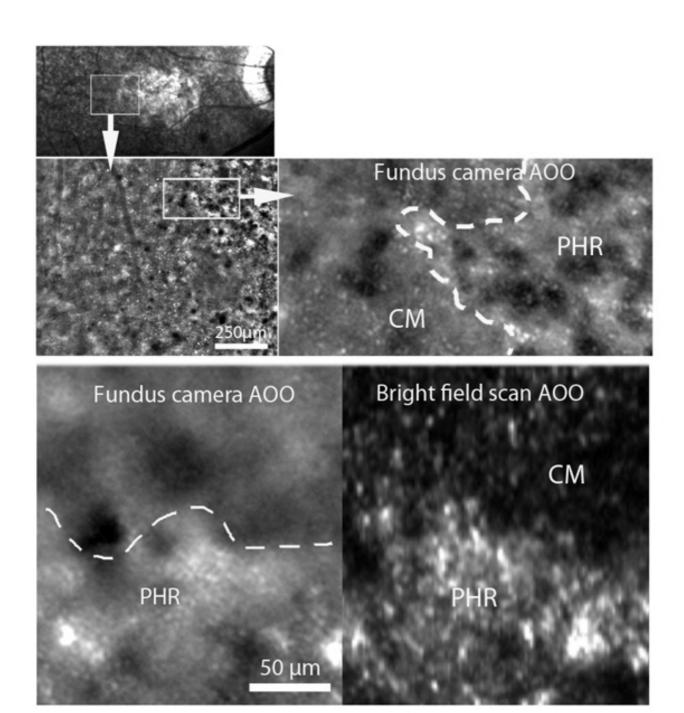


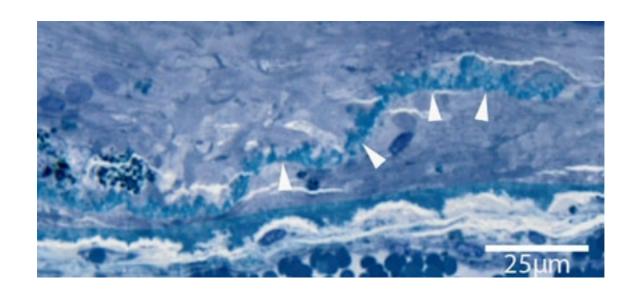


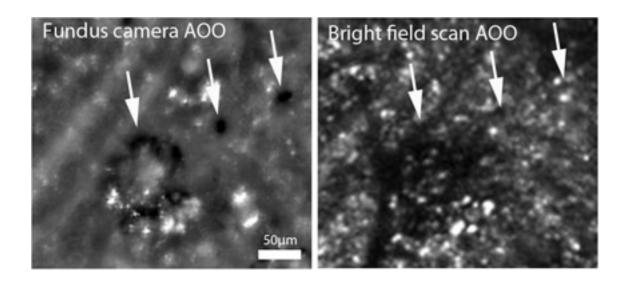


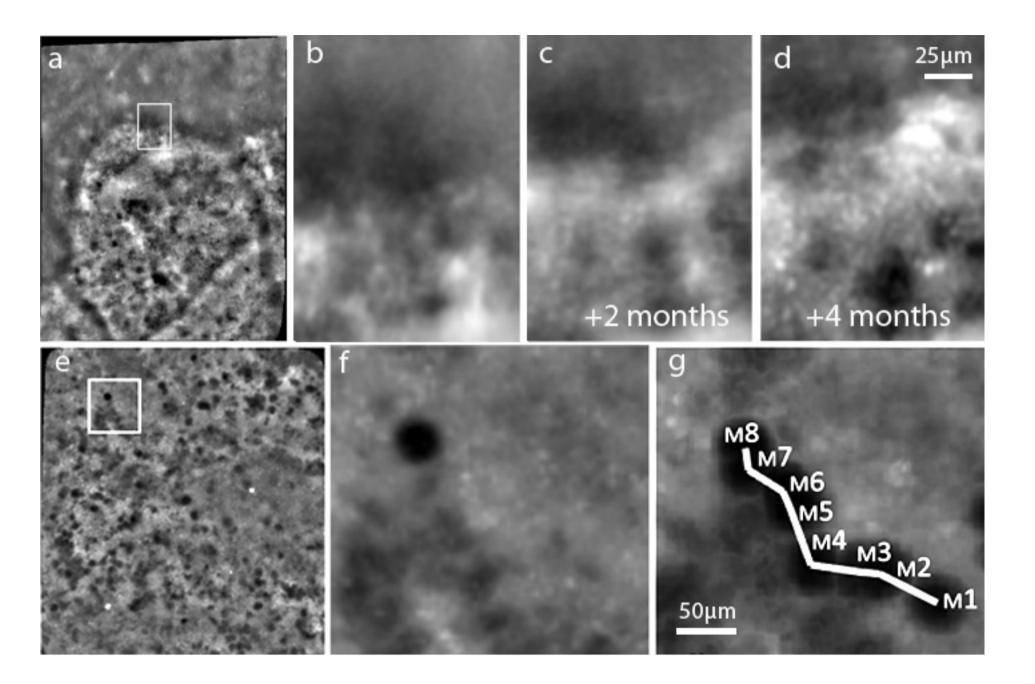


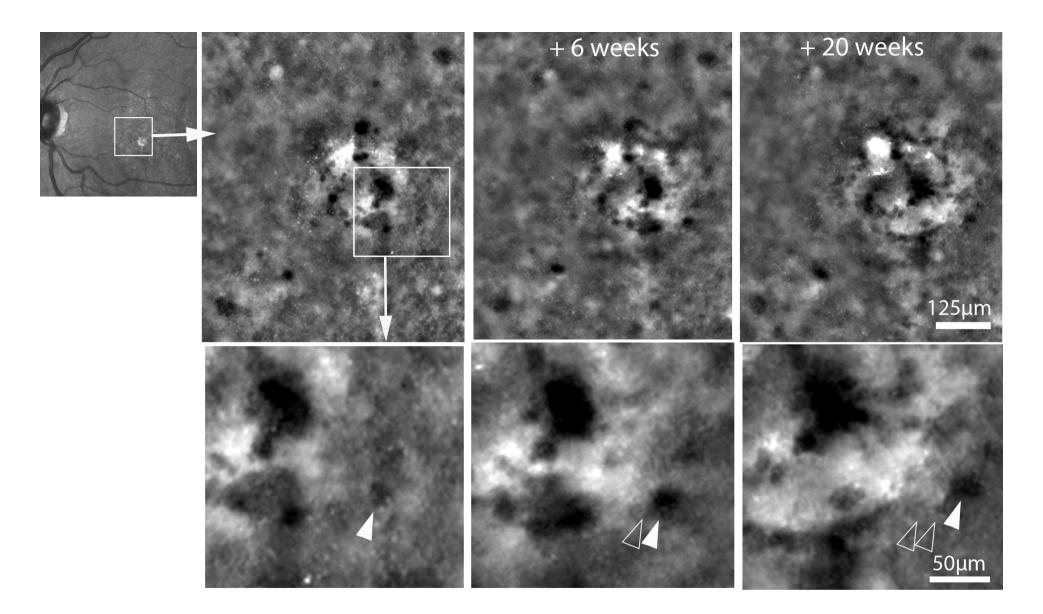


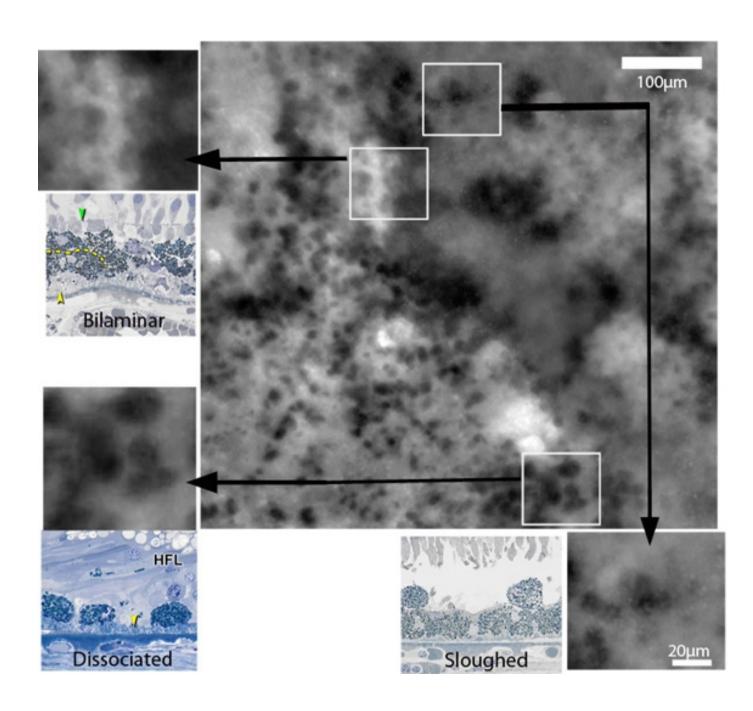


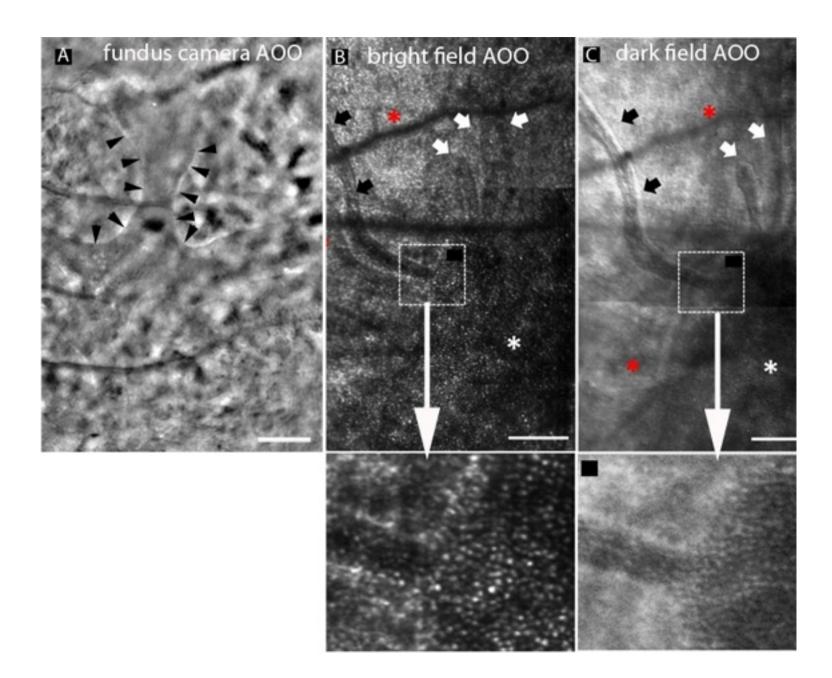


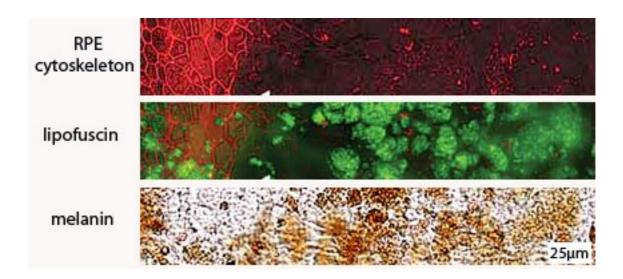


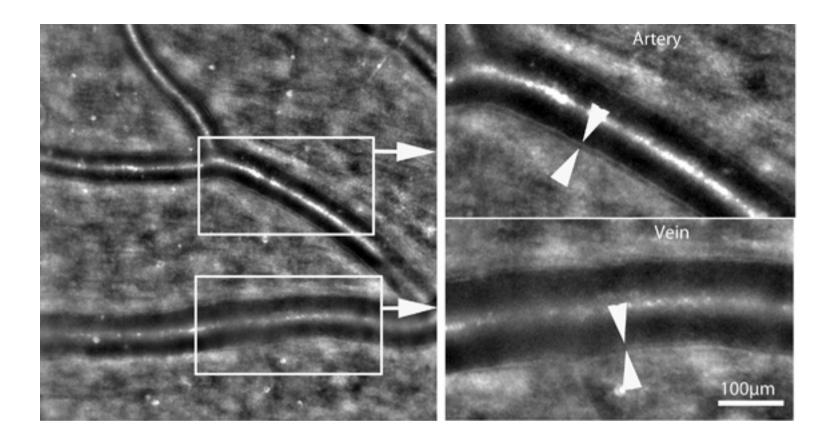




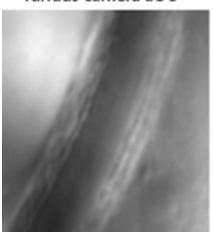




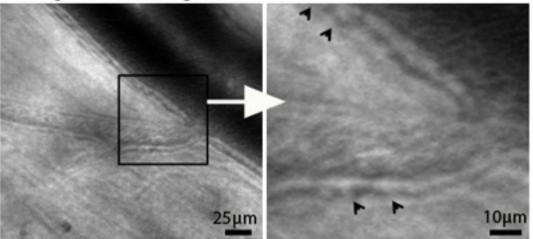




fundus camera aOO



bright field scanning AOO



Fundus camera AOO Bright field scan AOO Motion contrast

1 2 3
1 2 3
50 µm

