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# Will Sodium Layered Oxides Ever Be Competitive for Sodium Ion Battery Applications?

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The Na-ion battery technology is rapidly developing as a possible alternative to Li-ion for massive electrochemical energy storage applications because of sustainability and cost reasons. Two types of technologies based either on sodium layered oxides  $\text{Na}_x\text{MO}_2$  ( $x \leq 1$ , M = transition metal ion(s)) or on polyanionic compounds such as  $\text{Na}_3\text{V}_2(\text{PO}_4)_2\text{F}_3$  as positive electrode and carbon as negative electrode are presently being pursued. Herein, we benchmark the performance of full Na-ion cells based on several sodium layered oxide materials against  $\text{Na}_3\text{V}_2(\text{PO}_4)_2\text{F}_3$ /hard carbon cells. Although several studies report more attractive capacities for sodium layered oxides vs. Na metal ( $\sim 200 \text{ mAh g}^{-1}$ ) than for polyanionic phases ( $\sim 120 \text{ mAh g}^{-1}$ ), we find that such advantages are not maintained when assembling practical full Na-ion cells; the opposite of what is found for Li-ion technology. The reasons for such a loss of supremacy of the layered oxides against polyanionic compounds are discussed in terms of materials structural stability and composition so as to identify fundamental challenges that impede their practical applications. Finally, a few perspectives are given to design better sodium layered oxide electrode materials that could outweigh the performance of today's stellar  $\text{Na}_3\text{V}_2(\text{PO}_4)_2\text{F}_3$ . © The Author(s) 2018. Published by ECS. This is an open access article distributed under the terms of the Creative Commons Attribution Non-Commercial No Derivatives 4.0 License (CC BY-NC-ND, <http://creativecommons.org/licenses/by-nc-nd/4.0/>), which permits non-commercial reuse, distribution, and reproduction in any medium, provided the original work is not changed in any way and is properly cited. For permission for commercial reuse, please email: [oa@electrochem.org](mailto:oa@electrochem.org). [DOI: 10.1149/2.0201816jes]



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Energy storage devices are one of the key components that we depend on in our everyday life. Because of their light weight and high energy density, Li-ion batteries (LIBs) are presently powering most of the portable electronics and are also on the verge to fully conquer the Electrical Vehicle (EV) market.<sup>1,2</sup> Therefore, for mass storage associated to the use of renewable energies, there is a sore need for low cost batteries that utilize more sustainable materials.<sup>3,4</sup> In this regard, sodium ion batteries (NIBs) that have similar redox chemistry as Li-ion ones, but rely on the use of abundant and low cost Na metal, are regaining great research interest. Such a blooming research has led over the last 5–6 years to a rapid increase in the discovery of attractive positive and negative electrode materials for making full Na-ion cells.<sup>5</sup> Materials-wise, there has not been real surprises since the identified Na-insertion candidates belong either to the layered oxides or to the polyanionic family,<sup>5–9</sup> like for the Li-ion technology, with however fewer members because of the greater size of  $\text{Na}^+$  as compared to  $\text{Li}^+$  that limits structural variances.<sup>10</sup> A similar analogy can be drawn for the negative electrode since carbon, or intermetallic alloys can act as hosts for the reversible  $\text{Na}^+$  uptake.<sup>11</sup>

Altogether, two types of Na-ion technologies using carbon as negative electrode material and either a layered oxide  $\text{Na}_x\text{MO}_2$  (where  $x \leq 1$  and M is transition metal ion(s)) or a polyanionic compound  $\text{Na}_3\text{V}_2(\text{PO}_4)_2\text{F}_3$ , denoted hereafter NVPF, as positive electrode material, have emerged and are presently being pursued for commercialization.<sup>6,8,10,12,13</sup> Thus, there is a need to determine the performance-wise most attractive electrode material among them. Previous comparative studies have indicated that  $\text{Na}_3\text{V}_2(\text{PO}_4)_2\text{F}_3$ /hard carbon (HC) cells could compete favorably with  $\text{Na}_{0.67}\text{Fe}_{0.5}\text{Mn}_{0.5}\text{O}_2$ /HC cells although the practical capacity of NVPF does not exceed  $128 \text{ mAh g}^{-1}$ .<sup>14</sup> The reasons are twofold, namely i) the large output voltage ( $\sim 3.6 \text{ V}$ ) for NVPF/HC cells as compared to  $\sim 2.6 \text{ V}$  for P2- $\text{Na}_{0.67}\text{Fe}_{0.5}\text{Mn}_{0.5}\text{O}_2$ /HC cells and ii) the feasibility to utilize 1  $\text{Na}^+$  per vanadium in NVPF as opposed to only  $\sim 0.5$ – $0.6$  per 3d-metal in P2- $\text{Na}_{0.67}\text{Fe}_{0.5}\text{Mn}_{0.5}\text{O}_2$  layered oxide. This gap in favor of the NVPF/HC system has recently become even greater with the feasibility of using the 3<sup>rd</sup>  $\text{Na}^+$  ion from NVPF as a reservoir to compensate for the Na lost due to the SEI formation during the first cycle (Fig. S1).<sup>15</sup>

Meanwhile, the supremacy of NVPF against Na-layered oxides in full Na-ion cells was demonstrated exclusively for a single P2-type

sodium layered oxide member while the sodium layered oxide family offers rich crystal chemistry with numerous members that can adopt either O3, O1, P2 or P3 crystal structures.<sup>16–18</sup> These structures differ by the stacking of  $\text{MO}_2$  layers and the occupancy/environment of the Na sites. O-type phases (O3 and O1), for instance have sodium in the octahedral sites, whereas P-type (P2 and P3) phases have sodium in the prismatic sites.<sup>16</sup> Moreover, their respective chemical stability depends on the sodium stoichiometry ( $x$ ) and the nature of transition metal ion(s) involved. This results in the existence of a wide panel of Na-layered oxides that offer specific energy ranging from 400–600  $\text{Wh kg}^{-1}$  in Na-half cells. These materials also differ in their redox processes enlisting numerous Na-driven phase transitions that affect their cycling performances.<sup>7</sup> So, application-wise the burning question is to determine, which of these layered oxide is presently the best for full Na-ions cells?

To answer this question, we embarked on a comparative performance survey of various sodium layered oxide representatives that we have synthesized and studied for their electrochemical performances in half and full Na-ion cells and benchmarked against NVPF. We found that none of the state of the art Na-based layered oxides, reported to give large capacities in Na-half cells, could compete either in specific energy or in cyclability with NVPF in full Na-ion cells. Due to the fact that these performance limitations are related to structural issues, we have exploited a new material design approach based on ionic-covalence considerations of the chemical substituents to develop novel layered oxides with enhanced structural stability and cycling performances.

## Experimental

All the layered oxide materials reported herein were synthesized in-house by solid state synthesis. Stoichiometric amounts of the required transition metal oxide precursors or the co-precipitated transition metal carbonates were ball milled with  $\text{Na}_2\text{CO}_3$  and heated at the required temperature prior to being quenched or slow cooled to room temperature. The detailed synthesis protocol for each material is given in supplementary information. Once recovered, the resulting powders were stored in an argon filled glove box prior to being analyzed for phase purity by X-ray diffraction analysis using a Bruker d8 diffractometer with  $\text{Cu K}_\alpha$  radiation. The reactivity of the synthesized sodium layered oxides were tested by placing a part of the sample in a desiccator with controlled humidity of RH  $\sim 55\%$ . The humidity

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was controlled using a saturated solution of  $\text{Mg}(\text{NO}_3)_2$  and the XRD pattern of the samples were measured after 24h of storage at 55% RH.

For electrochemical characterizations, the positive electrode composites were made by ball milling the prepared Na-based phases with 15% super P carbon black for 30 minutes using a ball to powder material weight ratio of 23. The resulting loose powders were tested in sodium half cells (Swagelok-type) against metallic sodium and in full Na-ion cells (coin-type CR2032) against a hard carbon electrode coated on aluminum foil with a  $\sim 5.5 \text{ mg/cm}^2$  loading. A solution of 1M  $\text{NaPF}_6$  (stella chemifa) dissolved in propylene carbonate was used as electrolyte for both types of cells. For full Na-ion cells relying on the selected Na-based layered oxides, the mass balancing of the positive to negative material was done by equalizing the positive and negative material's practical capacity and having 4% (with respect to the practical capacity of positive electrode) excess of negative electrode to avoid sodium plating during cycling.

The assembled half and full cells were cycled galvanostatically at C/10 and C/20 respectively with 1C being the current to remove one sodium in 1 hour. The specific capacities were calculated from the weight of active material in the positive electrode, while specific energies were deduced by considering the total weight of positive and negative active material unless otherwise mentioned, for meaningful comparison. Only results that have been duplicated at least twice are reported. The tests for analyzing power rate capabilities were conducted in sodium half cells by collecting signature curves as follows. The cell was charged to 4.5 V at C/10 and then discharged back to 1.5 V by applying successive rates 5C, 2C, 1C, C/2 etc to C/20, with a resting period of 10 h between each rate sequence.

Single-phased NVPF powders for benchmarking, made via a two-step ceramic process<sup>19</sup> were used after mixing with 15% conductive carbon. The mass balancing of the NVPF to HC material for full cell assembly was done similarly to the sodium layered oxides by equalizing their practical capacity (128  $\text{mAh g}^{-1}$  for NVPF and 300  $\text{mAh g}^{-1}$  for hard carbon) and having 4% excess of hard carbon.

Cycling conditions for full NVPF/HC Na-ion cells were set, in light of our recent studies, to achieve the optimal performance in terms of specific energy and cycle life (Fig. S1).<sup>15</sup> More specifically, NVPF/HC cells were first charged to 4.8 V in the first cycle to remove 2.35 Na from the structure and then cycled over the voltage window of 2 to 4.3 V (Fig. S1). It is worth mentioning that for comparative purposes, the NVPF composite electrodes used in the present study consists of loose powders as opposed to a coated film electrodes used in our previous studies (Fig. S2).<sup>15</sup>

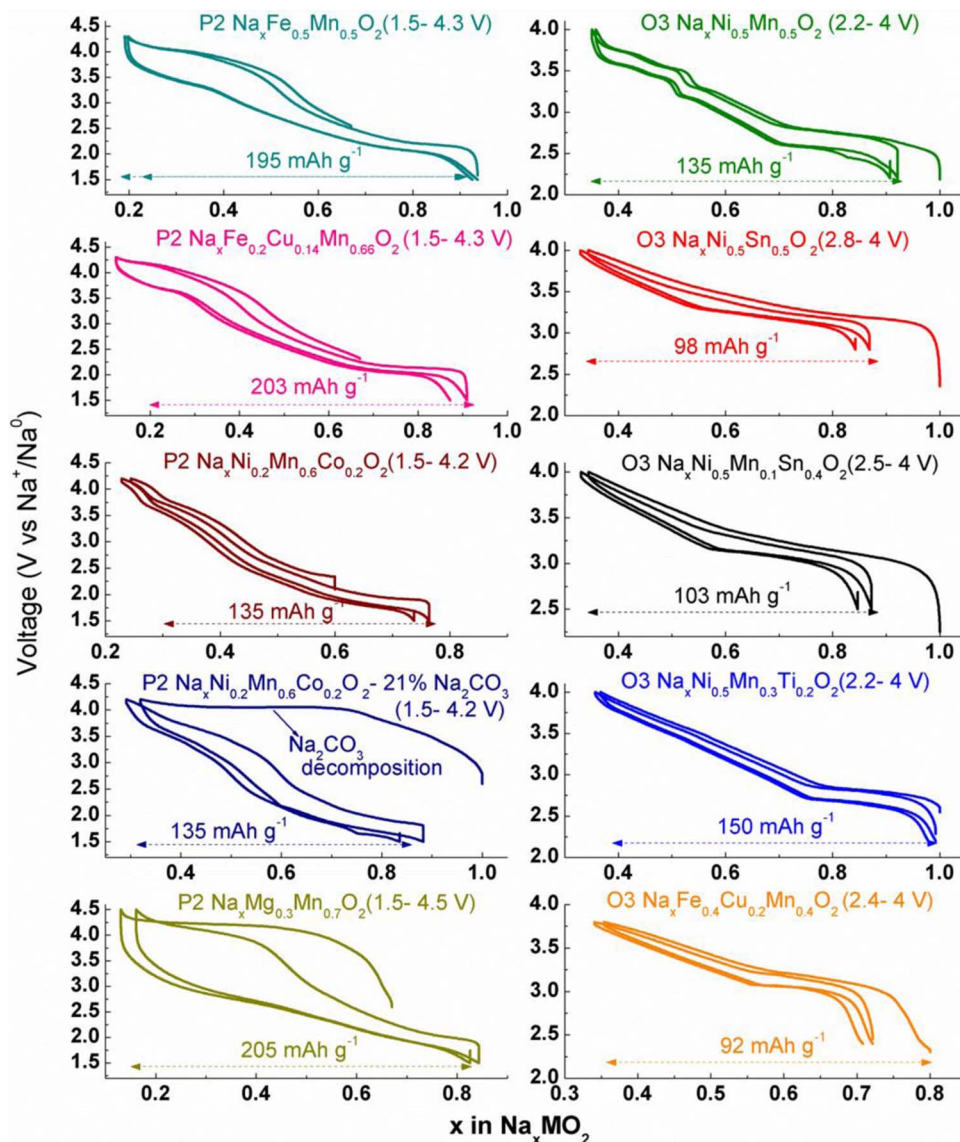
## Results and Discussion

**Selecting the representative sodium layered oxides.**—Ten samples were selected for our study (see Table I). Firstly, they enlist Na-based layered oxides for which key performance values such as capacity, redox voltage, stability of the pristine phase to moisture/air and cycle-life were altogether reported in the literature.<sup>7,8</sup> Secondly, we also considered layered oxides showing either anionic redox activity or enhanced capacity due to the sacrificial salt strategy.<sup>20,21</sup> The nature and abundance of the transition metals constituting layered oxides was also another criterion of choice hence the presence of numerous compounds in our selected list based on sustainable and cheap transition metal ions ( $\text{Fe}^{3+}$ ,  $\text{Cu}^{2+}$ ,  $\text{Mn}^{4+}$ ,  $\text{Ni}^{2+}$ , etc). Lastly, structurally we limit ourselves to materials showing exclusively O3 and P2 structures while disregarding the O1 and P3 materials.

The reason for such a choice is as follows. We chose O3  $\text{NaMO}_2$  phases that are structurally identical to Li phases ( $\text{LiCoO}_2$ ) which are at the heart of today's commercial Li-ion batteries, and therefore should lead to a similar alkali ion insertion mechanism. In contrast, the O1 phase ( $\text{NaMnO}_2$ ,  $\text{NaNiO}_2$  and derivatives) differs from the O3 phase by having a monoclinic distortion due to Jahn Teller  $\text{Ni}^{3+}/\text{Mn}^{3+}$  ion and it undergoes numerous Na-driven structural transitions upon cycling that penalize their capacity retention.<sup>22</sup> Turning to the P3  $\text{Na}_x\text{MO}_2$  phases, they were shown to stabilize with lower sodium

**Table I. List of selected sodium layered oxide materials for the present study, with their reported capacities and the reasoning for the selection.**

S. No	Material	Reported capacity ( $\text{mAh g}^{-1}$ )	Comments	Stability of the pristine on exposure to moisture/ air	Ref
P2 $\text{Na}_x\text{MO}_2$ ( $x < 1$ )					
1.	$\text{Na}_{2/3}\text{Fe}_{0.5}\text{Mn}_{0.5}\text{O}_2$	200	Standard example used for P2 phases	Sensitive	25
2.	$\text{Na}_{2/3}\text{Fe}_{0.2}\text{Cu}_{0.14}\text{Mn}_{0.66}\text{O}_2$	200	$\text{Cu}^{2+}$ substitution to increase the cycling stability	$\text{Cu}^{2+}$ substitution reported to improve the stability	26
3.	$\text{Na}_{0.6}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{Co}_{0.2}\text{O}_2$	140	A representative example for P2 phase with and without sacrificial salt.	Not reported	21
4.	$\text{Na}_{0.6}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{Co}_{0.2}\text{O}_2$ + 21% $\text{Na}_2\text{CO}_3$ composite	140			
5.	$\text{Na}_{2/3}\text{Mg}_{0.3}\text{Mn}_{0.7}\text{O}_2$	200	A representative for anionic redox in sodium layered oxides	Not reported	20
O3 $\text{NaMO}_2$ ( $x \sim 1$ )					
1.	$\text{NaNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$	140	Standard example used for O3 phases	Sensitive	27
2.	$\text{NaNi}_{0.5}\text{Sn}_{0.5}\text{O}_2$	100	Compounds used to compare the effect of ionic-covalency of the M-O bond and hence the redox potential		
3.	$\text{NaNi}_{0.5}\text{Sn}_{0.4}\text{Mn}_{0.1}\text{O}_2$	110			
4.	$\text{NaNi}_{0.5}\text{Mn}_{0.3}\text{Ti}_{0.2}\text{O}_2$	150			28
5.	$\text{Na}_{0.8}\text{Fe}_{0.4}\text{Cu}_{0.2}\text{Mn}_{0.4}\text{O}_2$	100	O3 phases with non-stoichiometric sodium and used to compare with the corresponding Fe-Cu-Mn P2 phase	$\text{Cu}^{2+}$ substitution reported to improve the stability	29



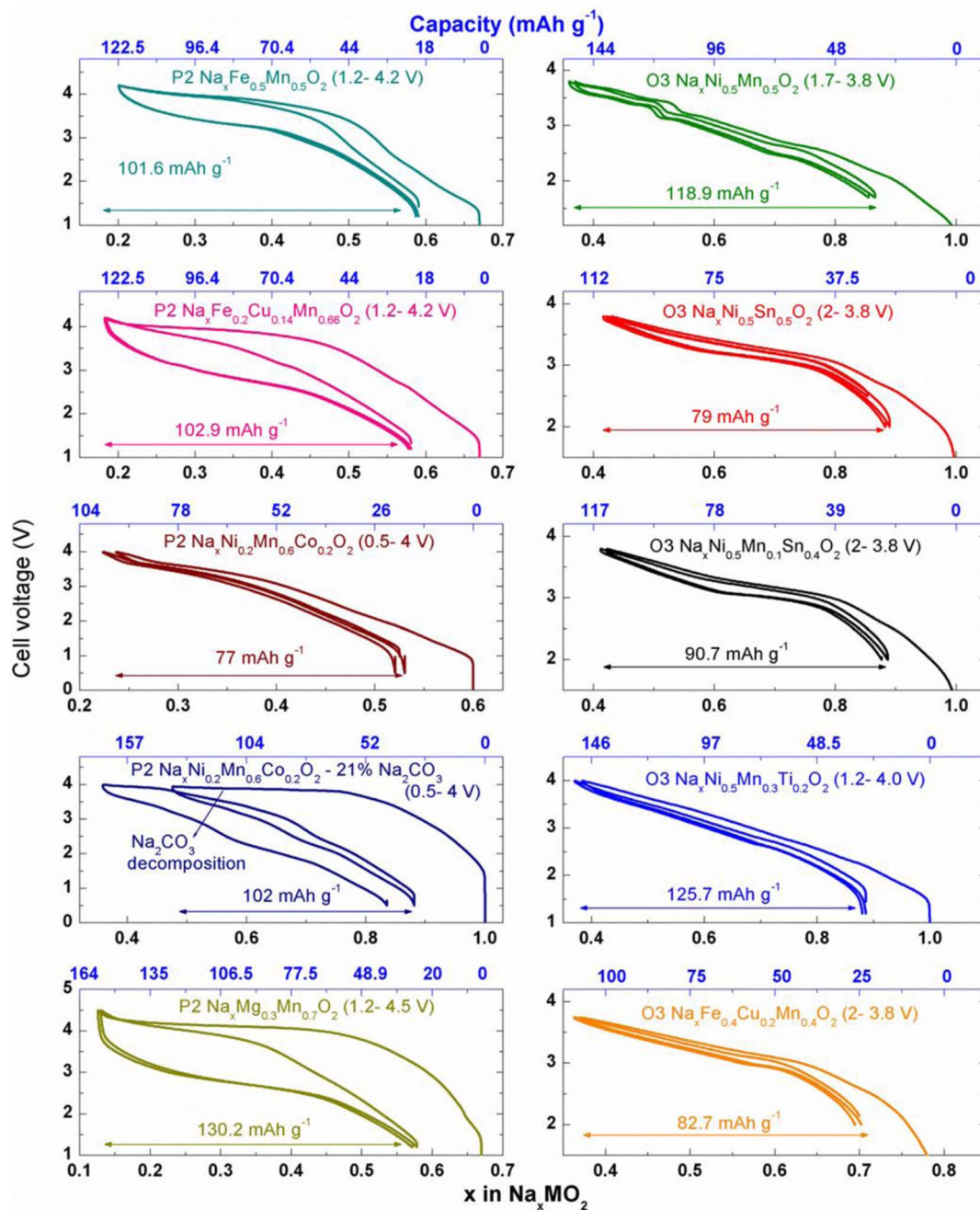
**Figure 1.** Voltage vs composition profile plots of the studied sodium layered oxide materials (left) P2  $\text{Na}_x\text{MO}_2$  and (right) O3  $\text{Na}_x\text{MO}_2$ . The materials were tested in sodium half cells using metallic sodium as negative electrode. The cells were cycled galvanostatically at C/10 rate. The voltage window used for each material is indicated in their corresponding cycling profile plot. The long plateau observed at 4 V in the first charge of the P2  $\text{Na}_{0.6}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{Co}_{0.2}\text{O}_2 + 21\% \text{Na}_2\text{CO}_3$  composite is due to the irreversible decomposition of  $\text{Na}_2\text{CO}_3$ . For calculation purposes, the total sodium in the composite P2  $\text{Na}_{0.6}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{Co}_{0.2}\text{O}_2 + 21\% \text{Na}_2\text{CO}_3$  is used in the x-axis; hence the starting sodium stoichiometry is mentioned as 1, however the actual composition is  $\text{Na}_{0.6}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{Co}_{0.2}\text{O}_2$ .

stoichiometry ( $\sim 0.5 \text{ Na/TM}$ ) and hence lower capacity than their P2  $\text{Na}_x\text{MO}_2$  counterparts. Furthermore, they are difficult to synthesize as pure phase.<sup>23</sup>

The targeted O3 and P2 materials were all synthesized as pure phases as confirmed by their XRD patterns (Fig. S3) with an exception to O3  $\text{NaNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  which was always contaminated by a small amount of NiO ( $\sim 5\%$ ) regardless of the synthesis conditions we have tried. Next, the synthesized sodium layered oxides and the NVPF material were tested for their stability toward moisture in air by storing a part of the samples under controlled humidity of  $\text{RH} \sim 55\%$  and analyzing the evolution of XRD patterns after 24 hours of storage.<sup>24</sup> Most of the studied sodium layered oxides show an evolution in the XRD patterns with the appearance of new peaks due to the presence of water intercalated phases and/or sodium carbonate (Fig. S4). This demonstrates the reactivity of sodium layered oxides toward moisture, in contrast to the NVPF material that shows no change in the XRD pattern before and after being exposed to moisture (Fig. S5). Hence, all the sodium layered oxides under study were stored in an argon filled

glove box whereas the NVPF material was stored in air and dried at  $100^\circ\text{C}$  under vacuum for 12h prior to being used for electrochemical analysis.

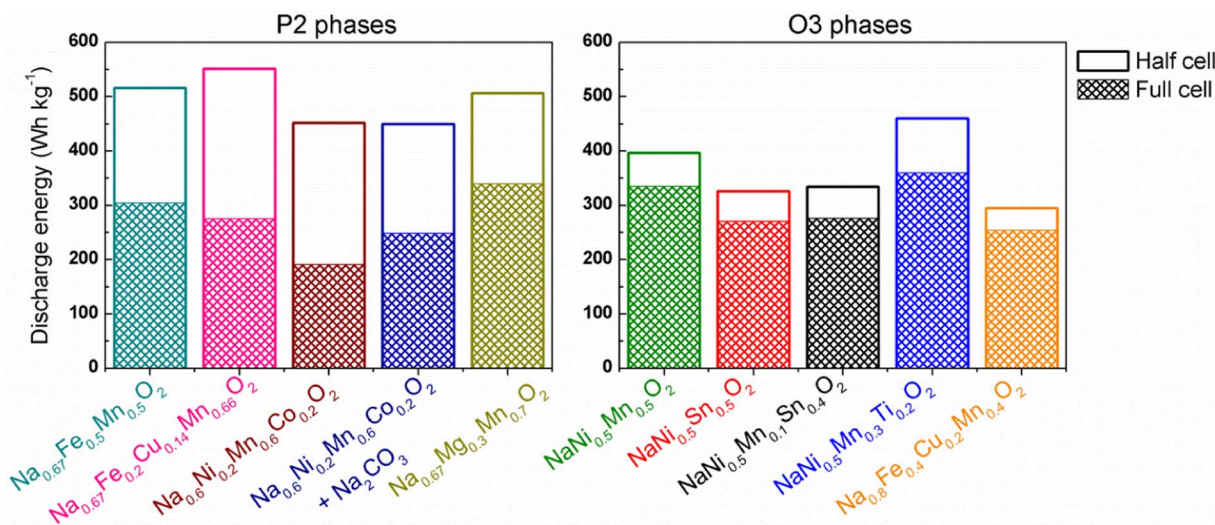
The electrochemical performances were tested in sodium half cells, and the voltage composition curves, shown for the P2 and O3 phases, on the left and right of Fig. 1, respectively are in full agreement with previous findings.<sup>21,25-29</sup> Whatever the O3 phase tested, a reversible capacity ranging from 100 to  $150 \text{ mAh g}^{-1}$  was observed when the cells were cycled between 2.2 V and 4 V. The discharge capacity is nearly equal to that of charge capacity with a small first cycle irreversibility ( $\sim 0.1 \text{ Na}$ ). On the other hand, the P2 phases behave quite differently. Indeed, although only  $0.45 \text{ Na}^+$  can be removed from P2- $\text{Na}_{0.67}\text{Fe}_{0.5}\text{Mn}_{0.5}\text{O}_2$  on charge (full charge capacity of  $120 \text{ mAh g}^{-1}$ ), the phase can uptake nearly  $0.8 \text{ Na}^+$  on the following discharge till 1.5 V leading to a reversible capacity as high as  $\sim 200 \text{ mAh g}^{-1}$ . The electrochemically formed  $\text{Na}_{\sim 0.8}\text{Fe}_{0.5}\text{Mn}_{0.5}\text{O}_2$  can then be cycled over the 1.5–4.2 V voltage range with limited capacity decay.



**Figure 2.** Galvanostatic charge-discharge profiles of the studied (left) P2 and (right) O3 sodium layered oxides in Na-ion full cells. Hard carbon coated on Al foil is used as negative electrode and the cells were cycled at C/20 rate. The voltage window used for the cycling and the obtained reversible capacities are indicated in their respective cycling curves. The long plateau observed at 4 V in the first and second charge of P2  $\text{Na}_{0.6}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{Co}_{0.2}\text{O}_2 + 21\% \text{Na}_2\text{CO}_3$  composite is due to the irreversible decomposition of  $\text{Na}_2\text{CO}_3$ . For calculation purposes, the total sodium in the composite P2  $\text{Na}_{0.6}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{Co}_{0.2}\text{O}_2 + 21\% \text{Na}_2\text{CO}_3$  is used in the x-axis; hence the starting sodium stoichiometry is mentioned as 1, however the actual composition is  $\text{Na}_{0.6}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{Co}_{0.2}\text{O}_2$ .

Next, we have used the same P2 and O3 phases in full cell configuration with hard carbon (HC) as negative electrode material. They were tested using different voltage windows and we only report (Fig. 2) the optimized data in terms of practical capacity and cycle life. The voltage-composition profiles over the few cycles in Fig. 2 show a nearly identical irreversibility between the first charge and subsequent discharge independently of the structure (O3 or P2). Similar to the Li-ion technology, this initial irreversible capacity is associated with the formation of a solid electrolyte interphase (SEI) at the carbon negative electrode that consumes Na ions. As a common feature, the O3 phases display a smaller polarization than the P2 phases with the exception of  $\text{Na}_x\text{Ni}_{0.2}\text{Mn}_{0.6}\text{Co}_{0.2}\text{O}_2$  which will be discussed later.

Next, we compare (Fig. 3) the specific energies of the studied P2 and O3 phases in half cell and full cell configurations. These energies were calculated considering the positive active material weight alone in order to compare half cell and full cell data. Nearly ~20% reduction in energy is observed for the O3 phases when full cells rather than half cells are assembled. The reasons for such a loss are twofold and enlist i) a decrease in capacity due to Na-ions consumed in the formation of SEI and ii) a reduction of the voltage when replacing Na-metal by hard carbon at the negative electrode. Note that this loss is quite larger for the P2 phases as it reaches more than 33% of the values reported for half cells. Bearing in mind that in full Na-ion cells, the  $\text{Na}^+$  ions are provided only by the positive electrode, the extra



**Figure 3.** Bar chart comparing the specific energy of the sodium layered oxides in half cell and full cell configurations. The total height and the height of the pattern marked portions represent the specific energy in Na-metal half cell and Na-ion full cell configurations respectively. The energies were calculated based on the positive material weight alone.

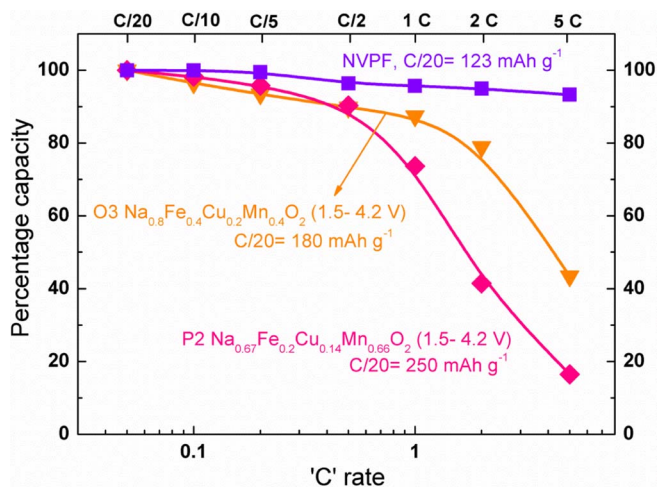
capacity achieved by the formation of  $\text{Na}_{0.67+x}\text{MO}_2$  in Na-half cells is not useable in Na-ion full cells. As a result, because of the initial Na-deficiency pertaining to the P2 phase, their attractive energy density of 500–550  $\text{Wh kg}^{-1}$  in half cells is reduced to 300  $\text{Wh kg}^{-1}$  in full cells. So, although a huge specific energy is reported for P2 type sodium layered oxides, they are of no practical interest for the Na-ion technology unless ways to circumvent this non-stoichiometry in sodium are found.

To alleviate this issue, a few directions were explored such as the pre-sodiation of the P2 phase by chemical/physical (ballmilling)<sup>30</sup> or electrochemical means with therefore the drawback of adding an extra step to obtain a highly moisture sensitive powder that complicates the cell assembling process. More attractive, has been the use of appropriate amounts of Na sacrificial salts ( $\text{Na}_2\text{CO}_3$ ,  $\text{Na}_3\text{N}$ ,  $\text{Na}_3\text{P}$ )<sup>21,30,31</sup> acting as a sodium reservoir to electrochemically form within the cell the P'2- $\text{NaMO}_2$  phase as exemplified by the P2  $\text{Na}_{0.6}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{Co}_{0.2}\text{O}_2 + \text{Na}_2\text{CO}_3$  composite reported in the present study (Figs. 1 and 2, 4<sup>th</sup> graph down to the left). However, the sodiated P'2  $\text{NaMO}_2$  phase is metastable, the reason why it cannot be synthesized by a ceramic process.<sup>16</sup> Therefore, we observed that the repeated P2-P'2 phase transition reduces the long term cyclability of the full Na-ion cell (Fig. S6). In short, the use of a sacrificial salt to obtain the full capacity of the P2  $\text{Na}_x\text{MO}_2$  layered oxides is a scientifically attractive concept, however it does not hold up in practical applications.

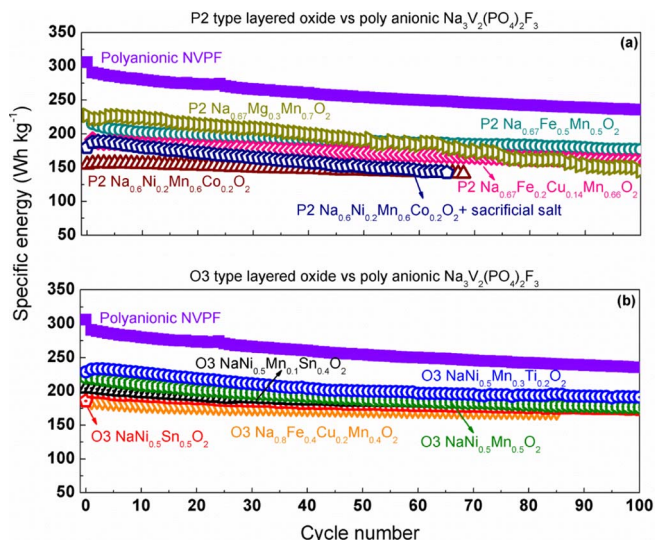
In light of the recently discovered reversible anionic redox chemistry that enabled the design of high capacity positive electrodes for Li-ion batteries,<sup>32,33</sup> another alternative to increase the capacity of Na-based layered oxides has been the development of the P2  $\text{Na}_{2/3}\text{Mg}_{0.28}\text{Mn}_{0.72}\text{O}_2$  and P2  $\text{Na}_{2/3}\text{Zn}_y\text{Mn}_{1-y}\text{O}_2$  layered phases showing anionic redox activity.<sup>20,34</sup> However, a drastic difference is that the anionic redox process is associated to an excess of Li (Li-rich) for Li-based layered oxides as opposed to a Na under-stoichiometry (less than one Na per transition metal ion (TM)) for the P2 sodium phases, hence impeding the benefit of anionic activity, unlike in the Li-rich phases. Nevertheless, a specific energy as high as  $\sim 510 \text{ Wh kg}^{-1}$  could be achieved with P2  $\text{Na}_{2/3}\text{Mg}_{0.3}\text{Mn}_{0.7}\text{O}_2$  in Na half cells with a good capacity retention (Fig. 1, 5<sup>th</sup> graph down on the left). Therefore, as before and due to the limited amount of Na that can be removed from the pristine phase, this specific energy is reduced to 360  $\text{Wh kg}^{-1}$  when full Na-ion cells are assembled (Fig. 3). Besides, additional drawbacks with these P2-phases are their large hysteresis between the charge and discharge processes (see the cycling profile of  $\text{Na}_{0.67}\text{Mg}_{0.3}\text{Mn}_{0.7}\text{O}_2$  in Fig. 2; 5<sup>th</sup> graph down on the left) leading to poor energy efficiency (Fig. S7). Similar hysteresis is also ob-

served with P2  $\text{Na}_{0.67}\text{Fe}_{0.5}\text{Mn}_{0.5}\text{O}_2$  and P2  $\text{Na}_{0.67}\text{Fe}_{0.2}\text{Cu}_{0.14}\text{Mn}_{0.66}\text{O}_2$  where competing cationic  $\text{Fe}^{3+}/\text{Fe}^{(3+n)+}$  and anionic redox processes are reported.<sup>25,26</sup> The origin of such hysteresis, which is nested in the sluggish kinetics of the anionic redox process, has been demonstrated to be an intrinsic property of insertion materials relying on anionic redox activity,<sup>35</sup> hence further limiting the practicality of the above P2 phases and more so as they are deficient in Na.

Lastly, another figure of merit, besides specific energy, in comparing the positive attributes of the P2 against the O3 phase is the power capability. To instruct this point we decided to determine the rate capability for both P2  $\text{Na}_{0.67}\text{Cu}_{0.14}\text{Fe}_{0.2}\text{Mn}_{0.66}\text{O}_2$  and O3  $\text{Na}_{0.8}\text{Cu}_{0.2}\text{Fe}_{0.4}\text{Mn}_{0.4}\text{O}_2$  phases which have relatively similar transition metal ions stoichiometry. It can be seen from the results summarized in Fig. 4 that the O3 phase exhibits better rate capability as it retains  $\sim 90\%$  of its capacity vs. 80% for the P2 phase at 1C rate. This result is surprising as it goes against previous theoretical predictions claiming a better rate capability of the P2 phase as compared



**Figure 4.** Comparison of power rate capability of P2  $\text{Na}_{0.67}\text{Fe}_{0.2}\text{Cu}_{0.14}\text{Mn}_{0.66}\text{O}_2$ , O3  $\text{Na}_{0.8}\text{Fe}_{0.4}\text{Cu}_{0.2}\text{Mn}_{0.4}\text{O}_2$  and NVPF. The experiments were carried out in Na-metal half cells in which the cells were first charged at C/10 rate followed by a signature curve analyses on discharge with the cycling rate ranging from 5C, 2C etc to C/20. The voltage window used for the sodium layered oxides is 1.5 – 4.2 V; however, the NVPF is charged to 4.8 V on first charge to remove 2.35 Na and then discharged down to 3 V.



**Figure 5.** Comparison of specific energies of the (a) P2 Na<sub>x</sub>MO<sub>2</sub>/HC and (b) O3 NaMO<sub>2</sub>/HC Na-ion cells with that of the benchmark polyanionic compound NVPF. The discharge specific energies were used for the comparison and all energies were normalized for the total weight of the positive and negative electrode active materials. The data is collected from the cell cycling shown in Fig. 2 for sodium layered oxides and, in Fig. S1 for NVPF.

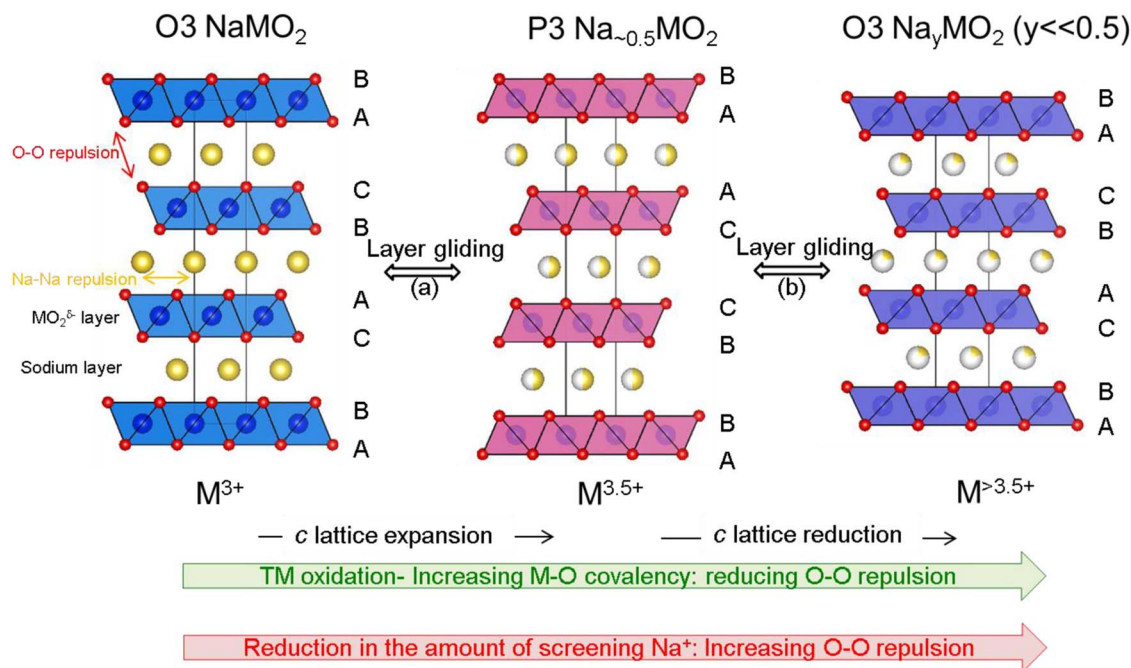
to the O3 phase.<sup>7</sup> To rationalize such behavior, we should recall that the sodium diffusion pathway in the O3 phase enlists a Na<sup>+</sup> hopping between octahedral sites via a tetrahedral site (O-T-O) while there is a direct and less energetic Na<sup>+</sup> hopping between two neighbors' prismatic sites in the P2 phase. Nevertheless, this crude view does not take into account the structural evolution of the O3 and P2 phases during cycling, which reversibly transform into a P3 and O2/OP4 type-phase, respectively. Altogether, this means that irrespective of the structure of the starting material, both phases end up having Na<sup>+</sup>

in prismatic sites at one stage, and in octahedral sites at another stage of the insertion-disinsertion process. Thus, it is not unlikely that O3 electrodes could present higher rate capabilities than P2 electrodes as we observed, further stressing that P2 electrodes are not automatically the best candidates for NIBs. The NVPF electrodes provide by far a better choice in terms of rate capability (Fig. 4) since nearly 95% of their initial energy can be used in less than 20 minutes (5C).

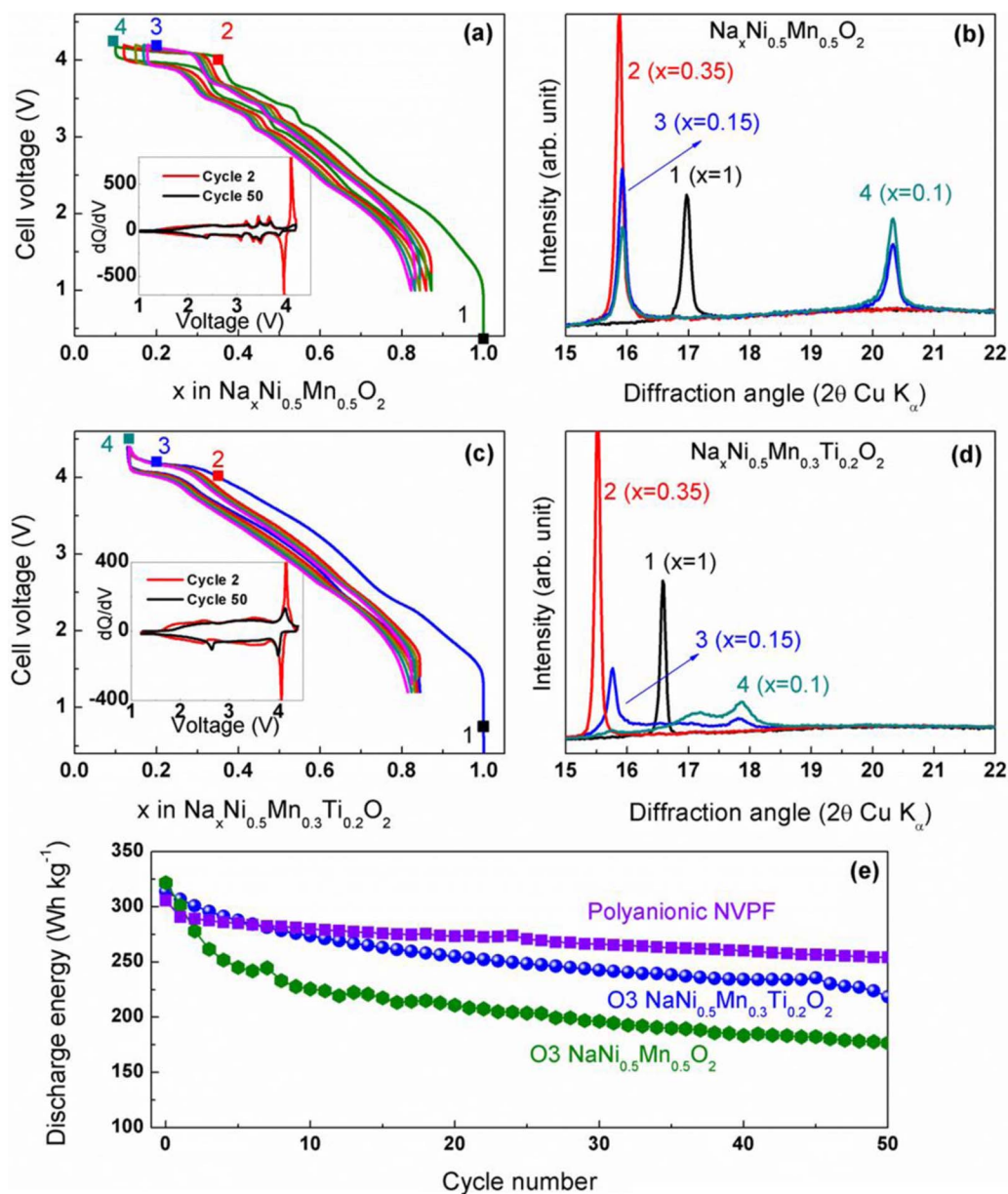
From this survey we can conclude that the P2 Na<sub>x</sub>MO<sub>2</sub> phases as such or boosted by the use of either sacrificial salts or of the anionic redox process, falls short when implemented in full Na-ion cells. Fig. 5a compiles the specific energy and cyclability of the studied P2 phases and compares with that of the benchmark polyanionic NVPF/HC Na-ion cells. The specific energies were normalized for the total weight of positive and negative active electrode material. Fig. 5a indeed shows that all the studied P2 Na<sub>x</sub>MO<sub>2</sub>/HC full cells exhibit specific energies ranging from ~200–250 Wh kg<sup>-1</sup>, which is much less than the >300 Wh kg<sup>-1</sup> that can be achieved with NVPF/HC full cells. All materials show good capacity retention with ~90% of the initial capacity retained after 100 cycles with the exception of materials with anionic activity and sacrificial Na<sub>2</sub>CO<sub>3</sub> which show comparatively higher degradations.

Similarly and using the same protocol, we have benchmarked the selected O3 phases that contain ~1 sodium/TM, against NVPF/HC Na-ion cells. In spite of the greater amount of Na (1 vs. 0.6–0.7 in P2 phases) in the starting material, all the O3 phases studied herein exhibit poor specific energy in comparison to the NVPF compound as shown in Fig. 5b. The main reason is nested in the difficulties to remove more than 0.5 Na<sup>+</sup> from the O3 phase because of structural reasons as for LiCoO<sub>2</sub> in its early stage. Hence, intensive amount of in situ structural analyses have been devoted to the monitoring of structural changes triggered by the removal of Na<sup>+</sup> from O3-NaMO<sub>2</sub> phases.<sup>29,36</sup>

Further comparing all of the studied O3 NaMO<sub>2</sub> materials, a nearly common feature is the existence of two major phase transitions (Fig. 6) namely (a) O3 NaMO<sub>2</sub> ⇌ P3 Na<sub>~0.5</sub>MO<sub>2</sub> and (b) P3 Na<sub>~0.5</sub>MO<sub>2</sub> ⇌ O3 Na<sub>y</sub>MO<sub>2</sub> (where y < 0.5 and varies with the nature of M) depending on the voltage window used for cycling. The origin of these phase transformations is commonly believed to be nested in minimizing (i)



**Figure 6.** The two major structural evolutions (a) O3 NaMO<sub>2</sub> ⇌ P3 Na<sub>~0.5</sub>MO<sub>2</sub> and (b) P3 Na<sub>~0.5</sub>MO<sub>2</sub> ⇌ O3 Na<sub>y</sub>MO<sub>2</sub> (where y < 0.5 and varies with the nature of M), widely observed in O3 NaMO<sub>2</sub> materials during sodium de-insertion. The blue, red, yellow and white circles represent the transition metal (M), oxygen, sodium atoms and vacancies respectively. The various inter and intra layer repulsions that control the stability of each phase are shown in the figure.



**Figure 7.** (a) Galvanostatic charge-discharge profiles of the (a) O3  $\text{NaNi}_{0.5}\text{Mn}_{0.5}\text{O}_2/\text{HC}$  and (c) O3  $\text{NaNi}_{0.5}\text{Mn}_{0.3}\text{Ti}_{0.2}\text{O}_2/\text{HC}$  Na-ion full cells cycled between 1.2–4.2 V and 1.2–4.4 V respectively. The derivative plots for the first and 50<sup>th</sup> cycle are shown as inset in the corresponding cycling profiles. The XRD patterns for the compositions marked 1, 2, 3 and 4 in (a) and (c) were analyzed in their equivalent half-cell cycling and the evolutions of the (003) peak is shown in (b)  $\text{NaNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  and (d)  $\text{NaNi}_{0.5}\text{Mn}_{0.3}\text{Ti}_{0.2}\text{O}_2$ ; the phases observed at each point are identified to be, (1) single phase O3, (2) O-type/P-type phase for Ti-unsubstituted and Ti-substituted phases respectively and (3,4) biphasic mixture in which one phase is O3  $\text{Na}_y\text{MO}_2$ , where  $y = 0.15$  and  $0.1$  respectively. (e) Comparison of specific energy and cyclability of the  $\text{NaNi}_{0.5}\text{Mn}_{0.5}\text{O}_2/\text{HC}$  and  $\text{NaNi}_{0.5}\text{Mn}_{0.3}\text{Ti}_{0.2}\text{O}_2/\text{HC}$  Na-ion cells with that of polyanionic NVPF/HC Na-ion cell. In all cases, the positive electrodes were used as loose powders and the specific energies were calculated for the total weight of positive and negative electrode active materials.

the O-O inter-layer repulsion, (ii) the Na-Na intra-layer repulsions and (iii) the Na-M interlayer repulsions so as to obtain the most thermodynamically stable intermediates, which were predicted as well by DFT calculations.<sup>37,38</sup> In light of such competing interactions and because of a specific  $\text{Na}^+$ -vacancy ordering, the P3  $\text{Na}_{\sim 0.5}\text{MO}_2$  phase, shows higher stability, hence explaining why a higher energy is needed to remove more sodium.<sup>39</sup> This is reflected in the appearance of a potential jump in the cycling curve (Fig. S8). At this stage, nearing the complete oxidation, the remaining amount of  $\text{Na}^+$  does not screen sufficiently the inter-layer O-O repulsive interactions, however the negative charge ( $\delta^-$ ) on the  $\text{MO}_2^{\delta-}$  layers decreases due to the oxidized transition metal ions. This leads to a gliding of the  $\text{MO}_2$  layers on top

of each other in order to minimize the energy, hence triggering a shift toward another O3 structure. Nevertheless, better cycle life is achieved in most of the materials studied so far in the literature by avoiding such high voltage phase transition ( $\text{P3 Na}_{\sim 0.5}\text{MO}_2 \leftrightarrow \text{O3 Na}_y\text{MO}_2$ ).<sup>40,41</sup> Needless to say that stabilizing this high voltage phase transition is essential to realize further improvements in the specific energy of the O3  $\text{NaMO}_2$  materials.

The striking effect of such structural transitions on the performances of the materials is illustrated by the cycling properties of  $\text{NaNi}_{0.5}\text{Mn}_{0.5}\text{O}_2/\text{HC}$  cells over the extended voltage window of 2–4.2 V. Note here that the voltage jump occurring at  $x \sim 0.5$  is followed by a long plateau region observed at 4.2 V (Fig. 7a), hence indicating



that a total of  $\sim 0.9$  Na<sup>+</sup> could be removed from the structure leading to a capacity of 200 mAh g<sup>-1</sup>. However, this high capacity degrades continuously on subsequent cycling with mainly the decay of the long voltage plateau as clearly indicated by the complete disappearance of the 4.2 V peak after 50 cycles in the derivative plot (inset in Fig. 7a). To better understand the structure-capacity decay relationship, we have carried out in situ XRD analysis of the NaNi<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub> material in sodium half cells. For reasons of conciseness we only report the evolution of the (003) peak in Fig. 7b for various compositions marked by points 1, 2, 3 and 4 on the corresponding voltage-composition curve (Fig. 7a). We confirm the O3 → P3 → O3 sequential phase changes, but note a considerable change in the *c*-axis parameter associated to the P3 → O3 transition which occurs at the very end of charge. We believe this to be the reason for the poor reversibility and the capacity decay upon cycling.

In light of such a finding, a simple solution to improve the cyclability of these Na<sub>*y*</sub>MO<sub>2</sub> materials at high voltages could consist in reducing the lattice contraction during the P3 → O3 phase transition which is mainly governed by the O-O inter layer repulsion. This calls for a careful tuning of the charge localization on the oxygen, hence the ionic-covalency of the M-O bonds. We have recently tested this approach by pursuing chemical substitution with elements having empty/full d orbitals such as Ti<sup>4+</sup> or Sn<sup>4+</sup> bearing in mind that the ionicity follows the trend Sn<sup>4+</sup> > Ti<sup>4+</sup> > Mn<sup>4+</sup>.<sup>27</sup> We found that with Ti<sup>4+</sup>, which is less ionic than Sn<sup>4+</sup> and more ionic than Mn<sup>4+</sup>, it is possible to improve the cyclability of the material even when cycled over the large 2–4.5 V voltage window (Fig. 7c and the derivative shown as inset). The corresponding in situ XRD (Fig. 7d) still shows the O3 NaMO<sub>2</sub> ⇌ P3 Na<sub>0.5</sub>MO<sub>2</sub> ⇌ O3 Na<sub>0.1</sub>MO<sub>2</sub> structural evolution but the (003) peak only changes from 16.5° (pristine) to 17.7° for the fully oxidized O3 Na<sub>0.1</sub>MO<sub>2</sub> phase which corresponds to a volume change of  $\Delta V/V = 15\%$  from the pristine O3 NaNi<sub>0.5</sub>Mn<sub>0.3</sub>Ti<sub>0.2</sub>O<sub>2</sub>. Such a comparatively reduced change in unit cell volume (15% vs 23% for the NaNi<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub>/Na<sub>0.1</sub>Ni<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub>) during cycling helps in stabilizing the phase transition, hence explaining why Ti<sup>4+</sup> substituted phases show better retention on long cycling compared to the unsubstituted phase NaNi<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub> (Fig. 7e). In contrast, moving to a more ionic NaNi<sub>0.5</sub>Sn<sub>0.5</sub>O<sub>2</sub> material was found to be detrimental as the removal of Na<sup>+</sup> requires a higher charging voltage to trigger the P3 → O3 phase which is highly irreversible (Fig. S9). This indicates the existence of a delicate balance between the ionicity of the 3d metal-O bonding and the amplitude of the structural P3-O3 transition in identifying the best O3-NaMO<sub>2</sub> phase.

Finally, we compare the cycling stability of the NaNi<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub>/HC and NaNi<sub>0.5</sub>Mn<sub>0.3</sub>Ti<sub>0.2</sub>O<sub>2</sub>/HC Na-ion cells in the extended 2–4.5 V voltage window, against NVPF/HC ones (Fig. 7e). Note that initially, all cells show nearly the similar energy density, but the cycling stability decays in the order NaNi<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub>/HC > NaNi<sub>0.5</sub>Mn<sub>0.3</sub>Ti<sub>0.2</sub>O<sub>2</sub>/HC > NVPF/HC. As expected, NVPF that consists of a stable 3D framework structure shows enough flexibility for repeated reversible sodium insertion without causing considerable changes in the structure and its volume ( $\Delta V/V \sim 2\%$ ).<sup>15</sup> This contrasts with the stacked MO<sub>2</sub> layers in sodium layered oxides that get stabilized mainly by the screening Na<sup>+</sup> ions in between the layers and for which the removal of Na<sup>+</sup> leads to phase transitions and volume changes. Such phase transformations are intrinsic to the Na-based layered oxides and therefore cannot be fully eliminated but only reduced as we've illustrated above with the Ti<sup>4+</sup> substitution. The introduction of pillaring ions/groups between the MO<sub>2</sub> layers could help in reducing the layer gliding all the while eliminating the phase transitions. However, this would come at the expense of Na<sup>+</sup> diffusion and therefore to decreased rate capabilities.

## Conclusions

We have benchmarked the electrochemical performances of Na-ion cells based on hard carbon negative electrodes and sodium layered oxide positive electrodes having either O3 or P2 structures with or with-

out anionic redox activity, against Na<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>2</sub>F<sub>3</sub>/HC cells. Whatever the figures of merit we have checked such as specific energy, cyclability as well as rate capability, the three dimensional Na<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>2</sub>F<sub>3</sub> (NVPF) phase outperforms the layered oxides. Such superiority of NVPF will last until some of the main challenges associated to the layered oxides such as low redox potential, limited reversible capacity, Na-driven structural instability and moisture sensitivity can be solved. Further pursuing the anionic redox chemistry with Na layered oxides to reach such goals has little chances to succeed. Nevertheless, let's hope that chemistry surprises us. Even then, success is not guaranteed in light of the major roadblocks preventing the practicality of anionic activity in Li-ion batteries that are still awaiting a solution in spite of 10 years of research.

Fluorine substitution can be envisioned as a possible way to raise the voltage but the fluoride chemistry with layered oxides is complex although we are witnessing a regain of hope with some recent work dealing with F-based disordered rock salt structures. Besides playing with F, cationic substitution is by all means the most favorable way to adjust material structural stability, redox potential and so on as nicely demonstrated for polyanionic compounds. Such substitution chemistry has already been heavily pursued with layered phases resulting in compounds having at least 3 to 4 3d-metals with no or limited performance gain. So chances of identifying better 3d metal combinations are slim unless we can obtain guidance from theorists. We have been pursuing this last approach and isolated a new layered phase that compares favorably with NVPF, except in terms of rate capability, which will be reported in a forthcoming paper.<sup>42</sup>

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