



**HAL**  
open science

# Mechanisms of the intensification of the upwelling-favorable winds during El Niño 1997–1998 in the Peruvian upwelling system

Adolfo Chamorro Gómez, Vincent Echevin, François Colas, Véra Oerder, Jorge Tam, Carlos Quispe-Ccalluari

## ► To cite this version:

Adolfo Chamorro Gómez, Vincent Echevin, François Colas, Véra Oerder, Jorge Tam, et al.. Mechanisms of the intensification of the upwelling-favorable winds during El Niño 1997–1998 in the Peruvian upwelling system. *Climate Dynamics*, 2018, 51 (9-10), pp.3717-3733. 10.1007/s00382-018-4106-6 . hal-01957278

**HAL Id: hal-01957278**

**<https://hal.sorbonne-universite.fr/hal-01957278v1>**

Submitted on 17 Dec 2018

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

1 **Mechanisms of the intensification of the upwelling-favorable winds during El Niño 1997-1998 in the**  
2 **Peruvian Upwelling System**

3

4 Adolfo Chamorro (1,2), Vincent Echevin (2), Francois Colas (2), Vera Oerder (2,3), Jorge Tam (1), Carlos  
5 Quispe-Ccalluari (1)

6

7 email: achamorro@imarpe.gob.pe

8

9 (1) Instituto del Mar del Perú (IMARPE), Callao, Perú

10 (2) LOCEAN-IPSL, IRD/CNRS/MNHN/UPMC, UMR7159, Paris, France

11 (3) Instituto Milenio de Oceanografía (IMO-Chile), Universidad de Concepción, Concepción, Chile

12

13 **Abstract.** The physical processes driving the wind intensification in a coastal band of ~100 km off Peru during  
14 the intense 1997-1998 El Niño (EN) event were studied using a regional atmospheric model. A simulation  
15 performed for the period 1994-2000 reproduced the coastal wind response to local sea surface temperature (SST)  
16 forcing and large scale atmospheric conditions. The model, evaluated with satellite data, represented well the  
17 intensity, seasonal and interannual variability of alongshore (i.e. NW-SE) winds. An alongshore momentum  
18 budget showed that the pressure gradient was the dominant force driving the surface wind acceleration. The  
19 pressure gradient tended to accelerate the coastal wind, while turbulent vertical mixing decelerated it. A quasi-  
20 linear relation between surface wind and pressure gradient anomalies was found. Alongshore pressure gradient  
21 anomalies were caused by a greater increase in near-surface air temperature off the northern coast than off the  
22 southern coast, associated with the inhomogeneous SST warming. Vertical profiles of wind, mixing coefficient,  
23 and momentum trends showed that the surface wind intensification was not caused by the increase of turbulence  
24 in the planetary boundary layer. Moreover, the temperature inversion in the vertical mitigated the development of  
25 pressure gradient due to air convection during part of the event. Sensitivity experiments allowed to isolate the  
26 respective impacts of the local SST forcing and large scale condition on the coastal wind intensification. It was  
27 primarily driven by the local SST forcing whereas large scale variability associated with the South Pacific  
28 Anticyclone modulated its effects. Examination of other EN events using reanalysis data confirmed that  
29 intensifications of alongshore wind off Peru were associated with SST alongshore gradient anomalies, as during

30 the 1997-1998 event.

31

## 32 **Keywords**

33 Ocean-atmosphere interactions; Coastal winds; El Niño 1997/1998; Peruvian Upwelling System

34

## 35 **1. Introduction**

36 The Peruvian Upwelling System is one of the major upwelling systems of the world in terms of fisheries (Zuta  
37 and Guillen 1970; Chavez et al. 2008). A key oceanic process in this nearshore marine environment is the  
38 upwelling of deep, nutrient-replete, cold water to the surface forced by Ekman divergence associated with  
39 predominantly equatorward coastal winds. As in other upwelling regions, it is characterized by an intense  
40 biological productivity (Chavez & Messié 2009) and strong air-sea interactions (e.g. Halpern 2002; Boé et al.  
41 2011; Oerder et al. 2016). A unique aspect of the Peruvian system is its proximity to the equator in the Eastern  
42 Pacific, which places it directly under the influence of El Niño events (EN hereafter). During the so called  
43 “canonical” EN events, warm surface waters accumulate in the Eastern Tropical Pacific off the Ecuador and Peru  
44 coasts (e.g. Picaut et al. 2002) causing a dramatic reduction of the upwelling of cold water (e.g. Colas et al.  
45 2008). The upwelling reduction is somewhat mitigated by an increase of the equatorward coastal wind (Wyrtki  
46 1975; Kessler 2006). This local wind increase is seemingly paradoxical since large-scale trade winds are  
47 weakened in the equatorial (Bjerknes 1966) and subtropical (Rahn et al. 2012) regions. Figure 1a shows the  
48 mean spatial distribution of the wind anomalies off Peru coast during the strongest El Niño event observed to  
49 date, between November 1997 and February 1998. Positive wind anomalies were maximum onshore and  
50 decreased offshore. Negative wind anomalies at the equator indicate the weakening of the southerly trade winds  
51 in the equatorial Pacific. The strongest alongshore positive wind anomalies reached  $\sim 1.5 \text{ m s}^{-1}$  during November  
52 1997-February 1998 which represents a  $\sim 40 \%$  increase with respect to the mean climatological conditions  
53 (Figure 1b). Note that in the present work, anomalies were computed with respect to mean climatological  
54 conditions over the 1994-2000 period.

55

56 The processes that drive the nearshore wind increase during EN have not been studied in detail. Previous studies  
57 suggested that the wind intensification could be driven by a strengthening of the cross-shore pressure gradient  
58 (supporting a geostrophic wind) owing to an enhanced cross-shore thermal contrast between land and sea (Bakun

59 1990; Bakun et al. 2010). This enhanced thermal contrast would be caused by a stronger temperature increase  
60 over land than over sea during EN, due to the greenhouse effect induced by moist air. On the other hand, Enfield  
61 (1981) suggested that the enhanced cross-shore thermal contrast during EN was forced by a stronger shortwave  
62 heating over land associated with a reduction of nearshore cloudiness. However, SST gradients may also impact  
63 on surface winds. Lindzen and Nigam (1987) showed that surface winds over the tropical Pacific can be forced  
64 by SST gradients at relatively large scale. Kessler (2006) suggested that the alongshore SST gradient which  
65 appears off the Peru coasts during EN may drive a strengthening of the alongshore pressure gradient and wind,  
66 but the mechanisms were not studied. In addition, the enhanced atmospheric turbulence due to the surface ocean  
67 warming may result in the downward vertical mixing of momentum from the upper layers of the atmosphere to  
68 the ocean surface, thus increasing the surface wind (*e.g.* Wallace et al. 1989).

69

70 Large scale atmospheric circulation is also impacted by EN, which may affect coastal winds through  
71 modifications of the South Pacific Anticyclone (SPA). Dewitte et al. (2011) showed that the alongshore wind  
72 intraseasonal (*i.e.* 10-60 days band) variability off central Peru (~15°S) was forced by migratory disturbances  
73 across the SPA. Rahn et al. (2012) showed that the SPA was weaker during EN, resulting in decreasing winds off  
74 central Chile. Such weakening might mitigate the coastal wind increase during EN off Peru, and a poleward  
75 displacement of the SPA might have a similar effect, as shown by Belmadani et al. (2014) in the context of  
76 climate change. However, the influence of large-scale atmospheric circulation interannual variability on the  
77 coastal winds off Peru remains to be extensively investigated.

78

79 In this paper, a regional atmospheric model forced by realistic oceanic (*i.e.* Sea Surface Temperature) and lateral  
80 boundary conditions was used to investigate the physical processes driving the coastal wind intensification  
81 during the strong 1997-1998, “eastern pacific” El Niño event. The respective roles of the large scale signal and  
82 SST local forcing on the alongshore wind anomalies were also studied for this particular event. Data and  
83 methods are described in section 2. Results are presented in section 3, and discussion and conclusions are given  
84 in section 4.

85

## 86 **2. Data and Methods**

### 87 **2.1 Regional atmospheric model**

88 The Weather Research and Forecasting (WRF) model version 3.3.1 (Skamarock and Klemp 2008) was used to  
89 simulate the coastal wind during the period 1994-2000, which includes the very strong 1997-1998 El Niño event  
90 (McPhaden 1999). WRF is a fully compressible and nonhydrostatic model. Its vertical coordinate is a terrain-  
91 following hydrostatic pressure coordinate. The model uses a time-split integration scheme. Slow and low-  
92 frequency modes are integrated using a Runge-Kutta 3rd order time integration scheme, while the high-frequency  
93 acoustic modes are integrated over smaller time steps to maintain numerical stability. For spatial discretization  
94 the model uses a 5th order upwind biased advection schemes. Two nested domains, with one-way offline nesting,  
95 were used (Fig.2). The large domain has a resolution of  $0.75^\circ$  and encompasses the South East Pacific and the  
96 main part of South America. The small domain has a resolution of  $0.25^\circ$  and covers the Peru and Northern Chile  
97 region ( $30^\circ\text{S}$ - $12^\circ\text{N}$ ). Both domains include the Andes. The relatively high resolution of the nested domain allows  
98 to better represent the orography (Fig. 2), a crucial element of the regional dynamics (e.g. Xue et al. 2004). Both  
99 grids have 60 vertical sigma levels between the surface and the top of the atmosphere (defined by the 50 hPa top  
100 pressure), with 21 levels in the first  $\sim 1000$  m. The time steps for the large and nested domains are 180 s and 60  
101 s, respectively.

102

103 The parameterizations for short and long wave radiation, cloud physics, land surface and planetary boundary  
104 layer (PBL) used in this study are listed in Table 1. Most of them (except the Dudhia (1989) shortwave radiation  
105 scheme) are identical to those in the  $1/12^\circ$  configuration of Oerder et al. (2016) for the Peru-northern Chile  
106 region. The ERA-interim reanalysis data (Dee et al. 2011), 6-hourly, were used as initial and boundary  
107 conditions. The daily Optimum Interpolation Sea Surface Temperature (OISST) at  $0.25^\circ$  (Reynolds et al. 2007)  
108 was used as SST forcing. Diurnal SST variations are accounted for in our simulations by using the Zeng and  
109 Beljaars (2005) slab model that is included in WRF. Model outputs were recorded every six hours using  
110 instantaneous and average values.

111

## 112 **2.2 Observational data**

113 Observations from two different satellite-borne scatterometers were used to evaluate the realism of the model  
114 surface winds: the ERS weekly wind fields at  $1^\circ \times 1^\circ$  resolution over the period 1992-2000 and a monthly  
115 climatology of QuikSCAT wind fields (hereafter QSCAT) at  $1/2^\circ \times 1/2^\circ$  resolution grids. The two products were  
116 processed by CERSAT (2002a,b) and downloaded from [www.ifremer.fr/cersat](http://www.ifremer.fr/cersat). Surface winds were interpolated

117 on the 1/4° model grid.

118

119 Daily SST and output from ERA-interim reanalysis (see above) were also used in the analysis.

120

### 121 **2.3 Monthly momentum budget**

122 In order to investigate the dominant forces that induce the monthly wind changes, we used the following relation

123 demonstrated in Madec (2008; for the NEMO ocean model) and Oerder et al. (2016):

$$124 \frac{\langle V \rangle - V(t_0)}{\Delta t} = \sum_{F_n \in \{\text{forces}\}} [F_n] \quad (1)$$

125 where  $\langle V \rangle$  is the monthly mean wind,  $V(t_0)$  is the initial velocity at the beginning of the month,  $\Delta t$  the time

126 step, and  $F_n$  the momentum terms: advection  $(\vec{v} \cdot \vec{\nabla}) \vec{v}$ , vertical mixing  $\frac{\partial}{\partial z} \left( \frac{\vec{\tau}}{\rho} \right)$ , Coriolis  $-f \vec{k} \times \vec{v}$  and pressure

127 gradient  $-\frac{1}{\rho} \vec{\nabla} P$ . The bracket ( $[ \ ]$ ) is a the double time averaging operator defined as:

$$128 [F_n] = \frac{1}{N+1} \sum_{p=0}^N \left( \sum_{k=1}^p F_n \right) \quad (2)$$

129 with N the number of time steps during one month.

130 Based on Eq. (1), we obtain the following relation for two consecutive months (M and M+1):

$$131 \langle V \rangle_{M+1} - \langle V \rangle_M = V(t_{0,M+1}) - V(t_{0,M}) + \sum_{F_n \in \{\text{forces}\}} \Delta t ([F_n]_{M+1} - [F_n]_M) \quad (3)$$

132 On the other hand, a simple integration of the momentum equation between  $t_{0,M}$  and  $t_{0,M+1}$  (i.e. the respective

133 dates corresponding to the beginning of months M and M+1) leads to:

$$134 V(t_{0,M+1}) - V(t_{0,M}) = \Delta t \sum_{F_n \in \{\text{Forces}\}} \langle F_n \rangle \quad (4)$$

135 Thus  $\langle F_n \rangle$  is the time average of the forces between the beginning of the month ( $t_{0,M}$ ) and the beginning of the

136 following month ( $t_{0,M+1}$ ).

137 Finally, replacing Eq. (4) in Eq. (3), we obtain:

$$138 \langle V \rangle_{M+1} - \langle V \rangle_M = \sum_{F_n \in \{\text{forces}\}} \Delta t ([F_n]_{M+1} - [F_n]_M + \langle F_n \rangle) \quad (5)$$

139 The left side of Eq. (5) represents the change of the monthly mean wind (from month M to month M+1) and the

140 right side represents the sum of the forces contribution. We computed the anomalies of the alongshore (i.e.

141 parallel to the WRF model smoothed coastline oriented in the NW-SE direction) component of Eq. (5) (with

142 respect to the “climatology” over 1994-2000, i.e. the average over all years of the difference of one month from

143 its predecessor) in a coastal band of one degree width (4 grid points of the nested domain). This coastal band  
 144 fully covers the upwelling area which offshore extension is controlled by the shelf topography (Estrade et al.  
 145 2008) and does not exceed the Rossby radius of deformation (around 120 km off Peru, e.g. Chavez and Barber  
 146 1987). In this area were observed the maximum wind anomalies during the 1997-98 EN (Fig. 1a). WRF code  
 147 modifications were necessary to save online the individual tendency terms of the momentum balance at each  
 148 model time step. However modification of the tendency terms due to high-frequency acoustic modes were not  
 149 saved, which explains why an exact closure of the momentum balance can not be expected.

150

#### 151 **2.4 Virtual temperature**

152 Virtual temperature is computed in order to estimate the relative contribution of humidity to air density and  
 153 pressure during EN. The virtual temperature of moist air is the temperature that dry air should have to reach a  
 154 total pressure and density equal to those of the moist air (Wallace and Hobbs 2006). It is defined by:

$$155 T_v = T * (1 + 0.61 * Q_v) \quad (6)$$

156 with T the air temperature and  $Q_v$  the mixing ratio, which describes humidity.

157 We computed the monthly virtual temperature anomaly as:

$$158 T_v' = (1 + 0.61 * \overline{Q_v}) * T' + (\overline{T} * 0.61) * Q_v' \quad (7)$$

159 with primes marking the monthly anomalies and overlines marking the monthly means. The two terms on the  
 160 right side of Eq. (7) represent the relative contribution of air temperature and humidity anomalies to the virtual  
 161 temperature anomaly. Given that virtual temperature is directly proportional to the pressure (for a fixed mass of  
 162 gas, at a constant volume), these terms contribute to the atmospheric pressure.

163

#### 164 **2.5 Model simulations**

165 We carried out three experiments with the WRF model. First, a control run was performed over the 1994-2000  
 166 period. Then, two experiments (BRY-EN and SST-EN ) were performed to isolate the role of the large scale  
 167 signal from the role of the SST local forcing. The BRY-EN experiment was carried out using the 6-hourly  
 168 atmospheric boundary conditions from the years 1997-1998 (Niño boundary conditions) and daily SST forcing  
 169 from the years 1994-1995 (so-called SST neutral conditions) to isolate the role of the large scale signal. The  
 170 SST-EN experiment was performed using the 6-hourly atmospheric boundary conditions from the years 1994-  
 171 1995 (neutral boundary conditions) and daily SST forcing from the years 1997-1998 (SST Niño conditions) to

172 isolate the role of the SST local forcing. Note that the 1994-1995 period is considered here as “neutral  
173 conditions” for the Peru region as it did not present strong anomalies with respect to climatological conditions, in  
174 spite of the occurrence of a weak Central Pacific El Niño in austral spring 1994 and summer 1995.

175

## 176 **2.6 Statistical significance**

177 For each of the correlations between two variables presented in the following, the Pearson correlation coefficient  
178 ( $r$ ) was used to measure the strength of a linear association between the variables, and the p-value was used to  
179 determine the statistical significance of the relationship.

180

## 181 **3. Results**

### 182 **3.1 Validation**

#### 183 3.1.1 Mean and annual cycle of the surface wind

184 The simulated surface winds were compared with ERS and QSCAT observations. Figures 3 a-c show the annual  
185 mean surface wind field obtained from both satellites and the model for the year 2000, when the two satellite  
186 observation periods were overlapping. South of the equatorial line, the observed surface winds were strong ( $\sim 6-8$   
187  $\text{m s}^{-1}$ ) and they blowed north-westward over the oceanic region. They were weaker and approximately parallel  
188 to the coastline in the nearshore region, with a maximum ( $\sim 5-6 \text{ m s}^{-1}$ ) near  $15^\circ\text{S}$  and minimum ( $\sim 3-4 \text{ m s}^{-1}$ ) near  
189  $18^\circ\text{S}$  (Figs. 3a,c). The model reproduced the observed wind spatial patterns, with a wind intensity closest to that  
190 of QSCAT. However, the wind drop-off (*i.e.* wind decrease towards the coast, *e.g.* Capet et al., 2004) was poorly  
191 simulated, especially between  $10^\circ\text{S}$  and  $16^\circ\text{S}$ . It is stronger in QSCAT than in ERS, and both satellites have a  
192 blind zone near the coast of approximately 25 km and 50 km respectively where no data are available. Note that  
193 wind speed was lower in ERS than QSCAT by  $\sim 0.5 \text{ m s}^{-1}$  over a large part of the model domain, but that the  
194 wind directions were consistent. The differences in wind intensity between ERS and QSCAT are attributed to the  
195 use of different operating frequencies, different temporal sampling of the satellites, and gridded products with  
196 different spatial resolutions (Bentamy et al. 2013).

197

198 Figures 3 d-f show the climatological mean annual cycle of the alongshore wind near the Peru coast. The  
199 seasonal cycles were computed over the same time period for ERS and the model (1994-2000), but different time  
200 period for QSCAT (2000-2008). The observed alongshore winds were strongest during austral winter (July-



201 September) and early spring (October) around 15°S. The model wind climatology was in relatively good  
202 agreement with the satellite wind climatologies (Pearson's  $r > 0.85$ ,  $p < 0.01$ ). It reproduced the local maximum  
203 values (coastal jets) near 4°S and 15°S which are both seen in QSCAT but not in ERS. ERS did not capture the  
204 local maximum at 4°S likely due to its lower spatial resolution. The model overestimated the intensity of the  
205 alongshore wind with respect to ERS and QSCAT between 3°S and 17°S, and slightly underestimated it with  
206 respect to QSCAT in the equatorial region (0-2°S).

207

### 208 3.1.2 Wind and temperature cross-shore vertical structures

209 The meridional wind and temperature cross-shore vertical structures in the central Peru (at ~15°S) simulated by  
210 the model were compared against the ERA-interim reanalysis data (Figure 4). Reanalysis data showed the  
211 coastal jet core located a height of ~250 m and within the first 100 km from the coast, with a wind intensity of  
212 ~8 m s<sup>-1</sup> (Fig.4a). The coastal jet is capped by a temperature inversion, which base is located at ~600 m above  
213 sea level nearshore. The model reproduced relatively well the intensity of the coastal jet core, although with a  
214 position much closer to the coast. In overall, the vertical structures of wind and temperature were well  
215 reproduced by the model in the first ~1000 m. Note that the steep topography of the Andes is logically  
216 represented with greater detail in the WRF model (Fig. 4b).

217

### 218 3.1.3 Surface winds and SST anomalies during El Niño

219 The model reproduced the spatial structure of the wind anomalies off Peru (north of ~20°S) during November  
220 1997-February 1998 (Fig. 5a) found in the satellite observations (Fig. 1b). Persistent positive (equatorward)  
221 alongshore wind anomalies occurred from April 1997 to October 1998 (Fig. 5b) in the 5°S-10°S latitude band.  
222 There was a short relaxation period in August 1997 with weak negative anomalies south of 10°S. The strongest  
223 positive anomalies (>1.5 m s<sup>-1</sup>) occurred between November 1997 and March 1998. Furthermore, strong negative  
224 anomalies (<-1 m s<sup>-1</sup>) occurred in December 1997 - February 1998 north of 5°S. The general pattern of  
225 alongshore wind anomalies from the model was consistent with that from ERS (Fig. 1b). However, some  
226 discrepancies were found, such as an overestimation of modelled wind anomalies in May-June 1997 and an  
227 underestimation in January 1997. Strong SST positive anomalies (>3°C) were seen between May 1997 and May  
228 1998 along the equator in the Eastern Pacific and along the Peru coast (Fig. 5c), with two main peaks (>4°C)  
229 between 5°S and 10°S in July-August 1997 and November 1997-April 1998 (Fig. 5d). These peaks were related

230 to the poleward propagation of downwelling coastal-trapped waves, which strongly deepened the thermocline  
231 during EN, shutting down the upwelling of cold water (Colas et al., 2008). The amplitude of the SST anomalies  
232 slightly reduced in September-October 1997 but remained quite strong ( $>3^{\circ}\text{C}$ ). Note that although the two SST  
233 anomaly peaks were of a relatively similar amplitude, only the second peak was synchronous with strong wind  
234 anomalies ( $> 1.5 \text{ m s}^{-1}$ , Fig. 5a) during November 97-April 98, whereas the wind response to the first peak in  
235 July-August 1997 was weaker ( $<1 \text{ m s}^{-1}$  in the model and less than  $0.5 \text{ m s}^{-1}$  in ERS; Fig. 1b). Besides, the model  
236 also reproduced the negative wind anomalies north of  $5^{\circ}\text{S}$  between November 1997 and April 1998, a period  
237 during which SST anomalies were positive.

238

### 239 **3.2 Alongshore momentum budget**

240 First, in order to investigate the spatial patterns of the forces that induced the wind anomalies off Peru during  
241 EN, we computed the anomalies of the meridional component of the forces (involved in the meridional monthly  
242 momentum budget, see Eq. 5) in the first layer of the model for the whole domain. Each term was then averaged  
243 for the period November 1997-February 1998 (Figs. 6a-d). Second, we computed the monthly alongshore  
244 anomalies of the forces (i.e. both zonal and meridional components were used in the projection along the NW-SE  
245 direction) and averaged them in a coastal band for the entire EN period (Fig. 6e).

246

247 Comparison of anomalies of advection, vertical shear of the meridional turbulent stress (hereafter named vertical  
248 mixing term), pressure gradient and Coriolis terms shows that pressure gradient and vertical mixing were the  
249 dominant forces (Figs. 6b,c). The pressure gradient anomaly was positive (Fig. 6c), thus accelerated the  
250 equatorward wind during EN. Expectedly, vertical mixing anomaly was opposed to the equatorward wind thus  
251 negative (Fig. 6b). The two terms almost balanced each other everywhere in the domain, except in the region  
252 between  $0-5^{\circ}\text{S}$  where advection anomaly was relatively strong (Fig. 6a) and pressure gradient and vertical  
253 mixing anomalies were much weaker. Coriolis term anomalies had much smaller values (Fig. 6d).

254

255 Figure 6e shows the time evolution of the monthly anomalies of the surface forces projected in the alongshore  
256 direction. The terms were averaged in a one-degree-wide coastal band between  $7^{\circ}\text{S}$  and  $15^{\circ}\text{S}$  (this coastal region  
257 was chosen because the coastline is relatively rectilinear and the wind anomalies were relatively homogeneous in  
258 space). The pressure gradient and vertical mixing were the main forces during the entire time period. The dates

259 corresponding to pressure gradient maximum (positive anomalies) values coincided with those of vertical mixing  
260 minimum (negative anomalies) values (*e.g.* in June-July and November-December 1997 and April-May 1998).  
261 The advection term was weaker, except in July-September and October-November 1997. The contribution of the  
262 Coriolis force was negligible during the entire period. The tendency ( $\Delta V'$ : month to month wind anomaly  
263 difference) was almost equal to the sum of all terms, showing that the budget (see Eq. (5) in section 2.3) is  
264 virtually closed. The small differences in the budget (RMS error of ~6% over the simulation period) come from  
265 the acoustic correction (see sections 2.1 and 2.3).

266

267 The wind intensification during EN occurred during different phases (Fig. 6e, dashed-black line). It began in  
268 March 1997 ( $\Delta V' \sim 1 \text{ m s}^{-1}$  from March to April 1997) and was maintained until June 1997, due to a positive  
269 equatorward pressure force stronger than the sum of the other (negative) terms. The wind anomaly then strongly  
270 decreased ( $\Delta V' \sim -1.2 \text{ m s}^{-1}$  from June to July 1997) due to a negative advection of momentum between June and  
271 August 1997, which was related to the large scale forcing. A similar momentum budget for the BRY-EN  
272 experiment confirmed this large scale modulation (figure not shown). The wind acceleration became strong  
273 again in September 1997 ( $\Delta V' \sim 1.5 \text{ m s}^{-1}$  from September to October), when advection weakened and the  
274 pressure gradient dominated the other forces. The tendency was weak from January to May 1998. In the later  
275 phase of EN, the wind anomaly was strongly reduced from May to July 1998, due to a decrease of the pressure  
276 gradient.

277

278 Consistently with previous studies (Muñoz and Garreaud, 2005; Belmadani et al., 2014), alongshore pressure  
279 gradient anomalies were highly correlated ( $r=0.8$ ,  $p<0.01$ ) with alongshore wind anomalies averaged over the  
280 coastal band (line red and dashed-black line in Fig. 6e). Although it displays smaller spatial scales than the  
281 alongshore wind, the pressure gradient explains relatively well the wind intensification between  $5^\circ\text{S}$  and  $16^\circ\text{S}$   
282 during the peak of EN but also over limited portions of the coast in June 1997 and August 1998 (Fig.7a). More  
283 specifically, a strong correlation ( $r \sim -0.75$ ,  $p < 0.01$ ) between alongshore pressure gradient anomalies and  
284 alongshore wind anomalies was found along the coast for latitudes between  $4^\circ\text{S}$  and  $18^\circ\text{S}$  (Fig. 7b). In this  
285 sense, on average over a coastal band ( $7^\circ\text{S}$ - $15^\circ\text{S}$ ) ~64% of the temporal variability of the wind anomalies was  
286 explained by pressure gradient anomalies, suggesting that vertical mixing behaved like a linear bottom frictional  
287 term equilibrating the pressure gradient. This led to the following relation  $V = \frac{-1}{c\rho} \frac{\partial P}{\partial y}$  with  $c$  the linear friction

288 coefficient and  $\rho$  the surface air density. From our model results,  $c$  was  $\sim 4 \cdot 10^{-5} \text{ s}^{-1}$ , thus comparable to Muñoz  
289 and Garreaud (2005)'s estimation for the Chile central coast ( $c \sim 5 \cdot 10^{-5} \text{ s}^{-1}$ ). The steep orography of the Andes  
290 precluded the development of an anomalous cross shore flow, thus the alongshore pressure gradient can not be  
291 equilibrated by the Coriolis force. Consequently the alongshore flow built up until the frictional force (i.e.  
292 vertical mixing term) balanced the alongshore pressure gradient.

293

294 In summary, the pressure gradient term played a major role in initiating and terminating the wind anomaly  
295 during this EN event. In the next sections we study in detail the processes that drive the pressure gradient  
296 increase at the beginning of the event.

297

### 298 **3.3 Air temperature and humidity contributions to the alongshore pressure gradient**

299 As surface pressure is related to virtual temperature in the air column (see Section 2.4), we examined the relative  
300 contributions of temperature and humidity anomalies to the virtual temperature anomalies (VTA) (see Eq. (7) in  
301 section 2.4) during EN (November 1997-February 1998). The VTA distribution displayed the largest positive  
302 anomalies ( $>4 \text{ }^\circ\text{K}$ ) along the north coast between  $4^\circ\text{S}$  and  $8^\circ\text{S}$  and in the lowest 300 m (Fig. 8a). Temperature  
303 variations dominated VTA (Fig. 8b), while humidity anomalies contributed to at most  $\sim 15\%$  of the VTA (north  
304 coast around 400 m, Fig.8c). The humidity anomaly was stronger at 400 m because humidity was higher and  
305 nearly constant from the surface up to  $\sim 400$  m before decreasing progressively with altitude during EN, whereas  
306 it progressively decreased with altitude with a relatively constant vertical gradient from the surface to 900m  
307 under mean climatological conditions (Fig. 8d). These results show that the stronger temperature increase in the  
308 north of Peru was the main driver of the alongshore pressure gradient anomaly during the EN event, while  
309 humidity did not play an important role.

310 This thermally driven pressure gradient was confirmed by the high correlation ( $r=0.84$ ,  $p<0.01$ ) between the  
311 surface wind anomaly in the coastal band and the alongshore SST gradient (Fig. 9). This correlation was slightly  
312 higher (0.87) with a 1 month lag (when the SST gradient leads the wind). This suggests that the anomalously  
313 warm surface ocean forced the low atmosphere by heating the air column more in the north than in the south,  
314 thus generating the pressure gradient that drove the equatorward wind anomaly.

315

316

### 317 3.4 Downward mixing of momentum during EN

318 Due to the air warming and humidification associated with the presence of anomalously warm surface waters in  
319 the nearshore region, shallow convection was enhanced. In the coastal band, the planetary boundary layer height  
320 (PBLH) increased by ~200 m (~50%) around June 97 and by ~100-150m (~100%) between December 1997-  
321 March 1998 (Fig. 10). The PBLH increases were in phase with the SST anomalies peaks, and slightly stronger in  
322 the north than in the south, in agreement with the SST spatial changes (not shown).

323

324 Given this PBL variability, a potential mechanism for the wind intensification could be associated with the  
325 increase of turbulence in the PBL during EN, which may generate a more efficient downward vertical flux of  
326 momentum (Wallace et al. 1989). However, turbulent mixing tended to decelerate the wind even more during the  
327 warm EN phases (Fig. 6e). To further investigate why Wallace et al. (1989)'s mechanism can not explain the EN  
328 wind increase, we examined the vertical profiles of alongshore wind, turbulent vertical mixing coefficient,  
329 momentum budget forces contribution, and temperature during climatological and EN conditions in November  
330 1997-February 1998 (Fig. 11). The wind intensification during EN occurred between the sea surface and ~1600  
331 m (black line in Fig. 11a). The wind maximum ( $\sim 8 \text{ m s}^{-1}$ ) shifted from ~300 m in mean climatological conditions  
332 to ~500 m. There was a decrease of wind shear ( $dV/dz$ ) below ~500m during EN, mainly due to the velocity  
333 increase at the surface (~20%) and a virtually unchanged velocity at 300 m (black line in Fig. 11a). Turbulent  
334 vertical mixing coefficient ( $K_z$ ) increased almost twofold during EN reaching a maximum of  $\sim 40 \text{ m}^2 \cdot \text{s}^{-1}$  at 200 m  
335 ( $\sim 15 \text{ m}^2 \cdot \text{s}^{-1}$  in climatological conditions, blue line in Fig. 11a). The impact on the turbulent stress ( $\tau = K_z \cdot dV/dz$ )  
336 of the wind shear decrease and turbulent vertical mixing ( $K_z$ ) increase was such that the momentum vertical  
337 mixing term ( $d\tau/dz$ ) reduced ( it is negative in mean climatological conditions, blue line in Fig 11b and 12b)  
338 during EN conditions (Fig. 6e; blue line in Fig. 11c). This also shows that the EN wind intensification near the  
339 surface was not driven by downward mixing of momentum. The pressure gradient (positive in mean  
340 climatological conditions, red line in Fig. 11b and 12b) increase was maximum at the surface and decreased with  
341 height during EN conditions (red line in Fig. 11c). The Coriolis and advection terms did not change much during  
342 EN (magenta and green lines in Fig. 11c), but they are relatively important for the budget in mean climatological  
343 conditions (magenta and green lines in Fig. 11b and 12b). Note that the pressure gradient was strong at surface  
344 and in upper layers during EN. Air temperature decreased between the surface and 1600 m, showing no  
345 temperature inversion in this period (austral summer) in mean climatological or EN conditions (Fig. 11d).

346 This time period is contrasted with the period July-August 1997, during which the surface wind anomalies were  
347 weak (Figs. 5a and 12a) in spite of SST anomalies of the same order ( $\sim 4^{\circ}\text{C}$ ) as during November 1997-April  
348 1998 (Fig. 5b). Between the surface and 800 m the wind speed decreased with respect to mean climatological  
349 conditions, and did not change in the upper layers (800-1600m). The wind shear decreased between the surface  
350 and 400m (black line in Fig. 12a), mainly due to the velocity decrease between 300-400 m ( $\sim 10\%$ ) and a  
351 virtually unchanged velocity at surface. Mixing coefficient ( $K_z$ ) increased by almost 70% in this period, reaching  
352 a maximum of  $\sim 74 \text{ m}^2\cdot\text{s}^{-1}$  at 250 m ( $\sim 45 \text{ m}^2 \text{ s}^{-1}$  in climatological conditions at 220 m, blue line in Fig. 12a). The  
353 changes in wind shear and turbulent vertical mixing ( $K_z$ ) in this period counteracted such that the momentum  
354 vertical mixing term did not change significantly (blue line in fig 12c), thus compensation. The wind decrease  
355 below  $\sim 600$  m was likely driven by advection, which decreased below 500 m (green line in Fig. 12c) whereas the  
356 pressure gradient change remained positive (red line in Fig. 12c). On the other hand, the pressure gradient  
357 vertical shear between the surface and  $\sim 600$  m (Fig. 12c) was stronger than in November 1997-February 1998  
358 period (Fig. 11c). Note also the well-marked temperature inversion in July-August 1997 (Fig. 12d). The effect of  
359 this particular vertical structure on the pressure gradient will be discussed below (Sect. 4.2).

360

### 361 **3.5 Impacts of large scale atmospheric forcing and local SST forcing**

362 In this subsection, we analyzed the model sensitivity experiments to study the respective roles of the large scale  
363 atmospheric signal (BRY-EN experiment, forced by 1997-1998 EN boundary conditions and neutral SST forcing  
364 from the years 1994-1995) and of the SST local forcing (SST-EN experiment, forced by EN SST forcing and  
365 1994-1995 neutral boundary conditions, see section 2.5) in driving the EN wind anomalies.

366

367 Figure 13a displays the alongshore surface wind anomalies (averaged in the coastal band between  $7^{\circ}\text{S}$  and  $15^{\circ}\text{S}$ )  
368 for the CTRL, BRY-EN, SST-EN experiments. The large scale signal (BRY-EN simulation) during EN induced  
369 strong negative wind anomalies ( $\sim -1 \text{ m s}^{-1}$ ) between March and September 1997, and moderate ( $<1 \text{ m s}^{-1}$ )  
370 positive anomalies between October 1997 and March 1998. In contrast, the model forced by the 1997-98 SST  
371 forcing (SST-EN) simulated persistent positive wind anomalies between May 97 and August 97 (reaching  $\sim 1 \text{ m}$   
372  $\text{s}^{-1}$  in August 97) and between November 1997 and May 1998 (peaking at  $\sim 1.5 \text{ m s}^{-1}$  in January-February 98).  
373 There was a slightly negative anomaly in September 1997, which coincided with the slight decrease of SST  
374 anomaly in September-October 1997 (Fig. 5b). Note that the wind anomaly was negligible in CTRL in Jul-Aug

375 1997, in spite of a strong SST anomaly ( $\sim 3\text{-}4\text{ }^{\circ}\text{C}$ , Fig. 5b). This can be explained by the large scale signal, which  
376 forced a decrease of the equatorward wind (negative anomalies of  $\sim -1\text{ m s}^{-1}$  in July-September 1997 in BRY-  
377 EN) which compensated the wind increase ( $\sim 0.5\text{-}1\text{ m s}^{-1}$  in SST-EN) forced by the anomalously warm SST.

378

379 In the first warm period (July-August 1997), the intensification of the wind driven by the SST forcing (SST-EN  
380 experiment) was compensated by the large scale signal (BRY-EN) not only at the surface but also as high as  
381 1400 m (Fig. 13b). During the second period (November 1997-February 1998), boundary conditions (BRY-EN  
382 simulation) did not have a strong effect. The wind was modified very little in the vertical with respect to  
383 climatological conditions. The wind intensification below 200 m was fully forced by the anomalous SST (Fig.  
384 13c).

385

386 Thus, the BRY-EN experiment showed that the large-scale atmospheric signal propagating into the Peru region  
387 through the open boundaries could mitigate (or enhance) the coastal wind anomalies during EN. The SPA center  
388 was located around its mean climatological position into both periods (red lines in Figs 14 a,b). However, during  
389 March-September 1997, the SPA was weaker ( $-1\text{-}2\text{ hPa}$  anomaly) than in mean climatological conditions  
390 (Fig.14a). This weakening should produce negative anomalies for the surface atmospheric pressure off Peru,  
391 which likely contributed to a decrease of the alongshore wind off Peru in the BRY-EN simulation. Indeed, the  
392 maximum surface pressure in the SPA was well correlated (0.67) with BRY-EN wind anomalies during the EN  
393 period. In contrast with the March-September 1997 period, the SPA was slightly more intense in October 1997-  
394 March 1998 (Fig. 14b) and September 98 (not shown), favoring an intensification of the coastal wind during  
395 these time periods due to SST forcing (Fig.13a).

396

#### 397 **4. Discussion and conclusions**

##### 398 **4.1 Summary**

399 A regional atmospheric model was used to investigate the physical processes driving the wind intensification off  
400 the Peru coast during the 1997-1998 EN event. As anomalously warm waters accumulated near the coast, the  
401 equatorward coastal wind increased by  $\sim 1\text{-}1.5\text{ m s}^{-1}$  during 5-6 months (up to  $\sim 40\%$  increase with respect to the  
402 climatological mean over the 1994-2000 period). Simulated surface wind anomalies during EN were in good  
403 agreement with observed wind anomalies. A momentum balance analysis showed that the coastal wind

404 intensification was mainly driven by the enhancement of the alongshore pressure gradient. Vertical mixing  
405 tended to counterbalance the alongshore pressure gradient, leading to a quasi-equilibrium between the  
406 alongshore pressure gradient and the frictional force, consistently with previous modeling studies in the region  
407 (Muñoz and Garreaud, 2005; Belmadani et al., 2013). The enhancement of the alongshore pressure gradient  
408 occurred because the atmospheric pressure decreased more north ( $\sim 6^\circ\text{S}$ ) than south ( $\sim 14^\circ\text{S}$ ), in association with  
409 the larger increase of SST, air temperature and humidity off northern Peru. Surface warming induced an increase  
410 of the height of the PBL of up to two and half times and of the vertical turbulent mixing coefficient  
411 ( $K_z = \tau / (dV/dz)$ ) of up to three times their values in mean climatological conditions. However vertical mixing of  
412 momentum ( $d\tau/dz$ ) remained negative and was stronger (in absolute value) during EN than in mean  
413 climatological conditions, thus did not accelerate the equatorward wind.

414

#### 415 **4.2 The back-pressure effect during EN**

416 The alongshore pressure gradient change was strong at surface and its vertical structure varied during the EN  
417 period: the pressure gradient change was strong between the surface and  $\sim 1000\text{m}$  when there was no temperature  
418 inversion (*e.g.* in November 1997-February 1998; Figs. 11b,c). In contrast, it became negligible above  $600\text{m}$  in  
419 the presence of a marked temperature inversion (*e.g.* in July-August 1997; Figs. 12b,c). Hashizume et al. (2002)  
420 showed that the so-called “back-pressure effect”, a mechanism compensating the surface pressure gradients, was  
421 strong in the case of a marked temperature inversion above the PBL. Oerder et al. (2016) found that this effect  
422 reduced significantly the intensity of the surface pressure gradient above mesoscale SST positive anomalies in  
423 the Peru region. This is also likely the case in the Peru coastal region in our simulation during the period July-  
424 August 1997 (Fig. 12c). Note that temperature inversion is related to the strong atmospheric subsidence in the  
425 region led by the SPA (*e.g.* Haraguchi 1968).

426 In conclusion, it is likely that two conditions, a SST anomaly alongshore gradient and a weak (or absent)  
427 temperature vertical inversion would be necessary to drive a strong surface wind anomaly in the coastal region.  
428 The SST gradient drives the pressure gradient, and the weak temperature inversion allows shallow convection to  
429 develop without triggering any “back-pressure effect”.

430

#### 431 **4.3 Land-sea thermal contrast**

432 Bakun et al. (2010) suggested that the increase of humidity over coastal land during EN could enhance the local



433 greenhouse heating effect, thus increasing land temperature more than SST. This thermal contrast would lead to  
434 the presence of lighter air over land than over sea, an intensification of the cross-shore pressure gradient and  
435 associated alongshore geostrophic wind. Similarly, Enfield (1981) suggested that a land-sea thermal contrast may  
436 occur due to an increase of downward solar radiation over land, which would be due to a reduction of cloudiness  
437 during EN. As Bakun et al. (2010) and Enfield (1981) suggested, humidity and incoming solar radiation  
438 increased over land during EN in our simulations (green and red lines respectively; Fig. 15). However, this  
439 increase in humidity and short wave radiation reaching the land surface did not support a strengthened land-sea  
440 thermal contrast. Indeed, the simulated land-sea contrast, which was positive (*i.e.* air temperature was higher  
441 over land than over sea) in mean climatological conditions (not shown), decreased by ~100% during EN (blue  
442 line in Fig.15) as the air temperature over sea increased much more than the air temperature over land (not  
443 shown). Note that a clear relation between land-sea thermal contrast and alongshore winds off Peru has not been  
444 demonstrated in previous studies. Using a set of GCM simulations with a spatial resolution of ~50 km in the  
445 Peru-Chile region, Belmadani et al. (2013) found that the land-sea thermal contrast ( $dT/dx$ ) increased off Peru in  
446 scenarios of climate change: at 8°S,  $dT/dx$  was  $\sim 4.5 \cdot 10^{-2} \text{ K km}^{-1}$  in preindustrial climate conditions, and reached  
447  $\sim 6.5 \cdot 10^{-2} \text{ K km}^{-1}$  in 4xCO<sub>2</sub> climate conditions, thus increased by ~40% (see Figure 8c in Belmadani et al. 2013).  
448 In spite of this strong increase, the alongshore wind decreased moderately (~10%) off Peru. This reduction was  
449 driven by a decrease of the alongshore pressure gradient associated with a poleward displacement of the SPA in a  
450 warmer climate (Belmadani et al. 2013 and references herein). This and our results suggest that an increase of  
451 the land-sea thermal gradient may not play a strong dynamical role for the alongshore wind, at least for the range  
452 of horizontal resolution (~25 km in the present study and ~50 km in Belmadani et al. 2013) explored in our  
453 model simulations. Note that these resolutions do not allow to represent the coastal terrain located between the  
454 Andes and the ocean with more than a few grid points.

455

456 In addition, our model simulated poorly the mean downward shortwave radiation associated with the cloud  
457 cover, a well-known problem in models of the Southeast Pacific lower troposphere (e.g. Wyant et al. 2010).  
458 Despite this bias, the model reproduced reasonably well the surface air temperature distribution and, more  
459 importantly for the purpose of the present study, the air temperature anomalies at surface during EN, in  
460 agreement with reanalysis data (not shown). The modelled air temperature increased more over sea than over the  
461 land during EN associated with the strong SST warming, thus reducing the land-sea thermal contrast. However,

462 the land-sea thermal contrast may impact the wind at scales smaller than those resolved by the present model  
463 (e.g. Enfield, 1981). This process remains to be investigated using higher resolution model experiments in future  
464 work.

465

#### 466 **4.4 Dynamical processes during other EN events**

467 Due to the model computational cost, our simulations were performed for a relatively short time period (1994-  
468 2000) including only one EN event. In order to evaluate if the same dynamical processes were active during  
469 other events, we performed similar diagnostics using the ERA-Interim reanalysis data over the period 1979-  
470 2016. This data has a lower spatial resolution (~80 km) than our regional model, but it can give hints of the  
471 processes at stake during EN events. The wind anomaly (in a coastal band of ~160 km and between 7°S and  
472 15°S) for the 1997-98 EN was ~0.6-0.8 m s<sup>-1</sup>, less than in our model (~1.0-1.2 m s<sup>-1</sup> for an average over 6 WRF  
473 grid points). We found relatively strong wind anomalies during most EN events, in particular in 1982-83 (~0.8 m  
474 s<sup>-1</sup>), 1987-88 (~1 m s<sup>-1</sup>), 1992-93 (0.7 m s<sup>-1</sup>), 2015-16 (0.8 m s<sup>-1</sup>) (Fig. 16). In agreement with our analysis,  
475 these four events were associated with positive alongshore SST gradient anomalies (e.g. ~0.15 10<sup>-2</sup> °C km<sup>-1</sup> in  
476 1982-83). There were also EN events with relatively weak wind anomalies (i.e. 2002-2003). Note that strong  
477 SST gradient anomalies occurred during relatively short time periods in 1993, 2002 and 2008, and were not  
478 associated with positive wind anomalies. We may conjecture that other processes such as a compensation by the  
479 large scale forcing through a modification of the SPA or the presence of temperature inversion with a back-  
480 pressure effect may be active during these periods.

481

#### 482 **4.5 Local air-sea coupled processes during EN**

483 Local air-sea coupled interactions not investigated in the present study may also play a role during EN. First, the  
484 increase of humidity in the north of Peru leads to intense precipitation on land and over the nearshore ocean  
485 (Takahashi, 2004), which may enhance the ocean surface stratification. This may mitigate wind-driven oceanic  
486 vertical mixing in the north and thus help maintaining the anomalous alongshore SST gradient driving the  
487 coastal wind. On the other hand, the stronger coastal wind (Figs 1b and 5a), SST (Fig.5b) and humidity (Fig.8c)  
488 anomalies in the north would increase evaporation and thus cool the ocean more efficiently than further south.  
489 This effect may mitigate the SST gradient and thus reduce the wind anomaly. Studying such feedbacks, which  
490 were not taken into account in our forced atmospheric model framework, is beyond the scope of the present

491 study. These questions, which can be addressed using a regional high resolution, ocean-atmosphere coupled  
492 model (*e.g.* Oerder et al. 2016), will be the purpose of future studies.

493

#### 494 **5. Acknowledgments**

495 This research is part of A. Chamorro's PhD thesis, funded by the fellowship from  
496 CIENCIACTIVA/CONCYTEC-PERU at the University Pierre and Marie CURIE of France. It is also part of the  
497 IDB project PE-G1001(Adaptation to Climate Change of the Fishery Sector and Marine-Coastal Ecosystem),  
498 and it is a contribution to the cooperative agreement between the Instituto del Mar del Peru (IMARPE) and the  
499 Institut de Recherche pour le Developpement (IRD) and the LMI DISCOH. The simulations were performed on  
500 the supercomputer Curie from the GENCI at the CEA (projects 2011040542, 2012061047 and 2014102286).  
501 Francois Pinsard is acknowledged for her help in the making of lateral boundary forcing for the regional  
502 atmospheric model. Francis Codron and Clémentine Junquas are acknowledged for useful discussions.

503

#### 504 **6. References**

505 Bakun A, Field D, Renondo-Rodriguez A, Weeks SJ (2010) Greenhouse gas, upwelling favourable winds, and  
506 the future of upwelling systems. *Glob Chang Biol* 16:1213-1228

507

508 Belmadani A, Echevin V, Codron F, Takahashi K, Junquas C (2014) What dynamics drive future wind scenarios  
509 for coastal upwelling off Peru and Chile? *Clim Dyn* 43: 1893-1914. doi:10.1007/s00382-013-2015-2

510

511 Bentamy A, Grodsky SA, Katsaros K, Mestas-Nuñez AM, Blanke B, Desbiolles F (2013) Improvement in air-sea  
512 flux estimates derived from satellite observations. *Int J Remote Sens* 34(14):5243–5261.  
513 doi:10.1080/01431161.2013.787502

514

515 Bjerknes J (1966) Survey of El Niño 1957-58 in its relation to tropical Pacific meteorology. *Inter-American*  
516 *Tropical Tuna Commission Bulletin* 12(2):, pp 1-62

517

518 Boé J, Hall A, Colas F, McWilliams JC, Qu X, Kurian J, Kapnick SB (2011) What shapes mesoscale wind  
519 anomalies in coastal upwelling zones? *Clim Dyn* 36(11–12):2037–2049

520

521 Capet XJ, Marchesiello P, McWilliams JC (2004) Upwelling response to coastal wind profiles. *Geophys Res*  
522 *Lett* 31, L13311. doi:10.1029/2004GL020123

523

524 Carr M-E., Strub PT, Thomas AC, Blanco JL (2002) Evolution of 1996 – 1999 La Nina and El Nino conditions  
525 off the western coast of South America: A remote sensing perspective. *J Geophys Res* 107(C12), 3236.  
526 doi:10.1029/2001JC001183

527

528 CERSAT (2002a) Mean wind fields (MWF product) user manual volume 1: ERS-1, ERS-2 & NSCAT. Rep C2-  
529 MUT-W-05-IF. CERSAT-IFREMER, Brest

530

531 CERSAT (2002b) Mean wind fields (MWF product) user manual volume 1: QuikSCAT. Rep C2-MUT-W-04-IF.  
532 CERSAT-IFR- EMER, Brest

533

534 Chavez FP, Barber RT (1987) An estimate of new production in the equatorial Pacific. *Deep-Sea Research*  
535 34(7):1229-1243. doi.org/10.1016/0198-0149(87)90073-2

536

537 Chavez FP, Bertrand A, Guevara-Carrasco R, Soler P, Csirke P (2008) The northern Humboldt Current System:  
538 Brief history, present status and a view towards the future. *Prog Oceanogr* 79(2–4):95-105.  
539 doi:10.1016/j.pocean.2008.10.012

540

541 Chavez FP, Messié M (2009) A comparison of eastern boundary upwelling ecosystems. *Prog Oceanogr* 83(1):80-  
542 96.

543

544 Chen F, Dudhia J (2001) Coupling an advanced land surface hydrology model with the penn state-NCAR MM5  
545 modeling system. Part ii: Preliminary model validation. *Mon Weather Rev* 129(4):587–604. doi:10.1175/1520-  
546 0493(2001)129<0587:CAA LSH>2.0.CO;2

547

548 Colas F, Capet X, McWilliams JC, Shchepetkin A (2008) 1997–98 El Niño off Peru: A numerical study. *Prog*

549 Oceanogr 79:138-155. doi:10.1016/j.pocean.2008.10.015.  
550  
551 Dee, D.P. et al (2011) The ERA-Interim reanalysis: configuration and performance of the data assimilation  
552 system. Q J R Meteorol Soc A 137:553–597. doi:10.1002/qj.828  
553  
554 Dudhia J (1989) Numerical study of convection observed during the winter monsoon experiment using a  
555 mesoscale two-dimensional model. J Atmos Sci 46:3077-3107. doi:10.1175/1520-0469(1989)046<3077:  
556 NSOCOD>2.0.CO;2.  
557  
558 Enfield DB (1981) Thermally-driven wind variability in the planetary boundary layer above Lima, Peru. J  
559 Geophys Res 86(C3):2005-2016  
560  
561 Estrade P, Marchesiello P, Colin de Verdiere A, Roy C (2008) Cross-shelf structure of coastal upwelling: A two-  
562 dimensional expansion of Ekman’s theory and a mechanism for innershelf upwelling shut down. J Mar Res  
563 66:589-616.  
564  
565 Haraguchi PY (1968) Inversions over the tropical eastern Pacific ocean. Mon Weather Rev 96:177–185  
566  
567 Hashizume H, Xie SP, Fujiwara M, Tanimoto TWY (2002) Direct observations of atmospheric boundary layer  
568 response to SST variations associated with tropical instability waves over the Eastern Equatorial Pacific. J Clim  
569 15:3379–3393. doi:10.1175/1520-0442(2002)015<3379:DOOABL>2.0.CO;2  
570  
571 Hong S, Lim J (2006) The WRF single-moment 6-class microphysics scheme (WSM6). J Korean Meteorol Soc  
572 42(2):129–151  
573  
574 Janjic ZI (1994) The step-mountain eta coordinate model: further developments of the convection, viscous  
575 sublayer, and turbulence closure schemes. Mon Wea Rev 122:927–945. doi:10.1175/1520-  
576 0493(1994)122<0927:TSMECM>2.0.CO;2  
577

578 Kessler WS (2006) The circulation of the eastern tropical Pacific: A review. *Prog Oceanogr* 69(2):181-217  
579

580 Lindzen R, Nigam S (1987) On the role of sea surface temperature gradients in forcing low level winds and  
581 convergence in the Tropics. *J Atmos Sci* 44:2418–2436. doi:10.1175/1520-  
582 0469(1987)044<2418:OTROSS>2.0.CO;2  
583

584 Nakanishi M, Niino H (2009) Development of an improved turbulence closure model for the atmospheric  
585 boundary layer. *J Meteorol Soc Jpn* 87:895–912. doi:10.2151/jmsj.87.895  
586

587 Madec G (2008) NEMO ocean engine. Note du Pole de modélisation,  
588 Institut Pierre-Simon Laplace (IPSL) 27. ISSN No. 1288–1619.  
589

590 McPhaden MJ (1999) Genesis and evolution of the 1997–98 El Niño. *Science* 283:950-954.  
591

592 Mlawer E, Taubman S, Brown P, Iacono M, Clough S (1997) Radiative transfer for inhomogeneous atmosphere:  
593 RRTM, a validated correlated-k model for the long-wave. *J Geophys Res* 102:16,663–16,682.  
594 doi:10.1029/97JD00237  
595

596 Muñoz RC, Garreaud RD (2005) Dynamics of the low-level jet off the west coast of subtropical South America.  
597 *Mon Wea Rev* 133:3661–3677. doi:10.1175/MWR3074.1  
598

599 Oerder V, Colas F, Echevin V, Masson S, Hourdin C, Jullien S, Gurvan M, Lemarié F (2016) Mesoscale SST-  
600 wind stress coupling in the Peru-Chile current system: Which mechanisms drive its seasonal variability? *Clim*  
601 *Dyn* (2016) 47:2309. doi:10.1007/s00382-015-2965-7  
602

603 Penven P, Echevin V, Pasapera J, Colas F, Tam J (2005) Average circulation, seasonal cycle, and mesoscale  
604 dynamics of the Peru current system: A modeling approach. *J Geophys Res* 110, C10021.  
605 doi:10.1029/2005JC002945  
606

607 Picaut J, Hackert E, Busalacchi A, Murtugudde R , Lagerloef GSE (2002), Mechanisms of the 1997–1998 El  
608 Niño–La Niña, as inferred from space-based observations. *J Geophys Res Oceans* 107 (C5). doi:  
609 10.1029/2001JC000850.  
610  
611 Rahn DA (2012) Influence of large scale oscillations on upwelling-favorable coastal wind off central Chile. *J*  
612 *Geophys Res* 117, D19114. doi:10.1029/2012JD018016  
613  
614 Renault L, Hall H, McWilliams JC (2016) Orographic shaping of USWest Coast wind profiles during the  
615 upwelling season. *Clim Dynam* 46:273–289. doi:10.1007/s00382-015-2583-4  
616  
617 Reynolds RW, Smith TM, Liu C, Chelton DB, Casey KS, Schlax MG (2007) Daily high-resolution-blended  
618 analyses for sea surface temperature. *J Climate* 20:5473-5496. doi:10.1175/2007JCLI1824.1.  
619  
620 Skamarock W, Klemp J (2008) A time-split nonhydrostatic atmos- pheric model for weather research and  
621 forecasting applications. *J Comp Phys* 227:3465–3485. doi:10.1016/j.jcp.2007.01.037  
622  
623 Strub PT, J Mesías, V Montecino, J Rutllant & S Salinas. 1998. Coastal ocean circulation off Western South  
624 America. In: Robinson AR & KH Brink (eds). *The global coastal ocean: Regional studies and syntheses*. *The Sea*  
625 11: 273-314. John Wiley, New York.  
626  
627 Takahashi K (2004) The atmospheric circulation associated with extreme rainfall events in Piura, Peru, during  
628 the 1997-1998 and 2002 El Niño events. *Ann Geophys* 22:3917-3926. doi:10.5194/angeo-22-3917-2004  
629  
630 Takahashi K, Battisti DS (2007) Processes Controlling the Mean Tropical Pacific Precipitation Pattern. Part I:  
631 The Andes and the Eastern Pacific ITCZ. *Journal of Climate* 20:3434-3451. doi: 10.1175/JCLI4198.1  
632  
633 Wallace JM, Hobbs PV (2006) *Atmospheric Science: A Introductory Survey*. 2nd ed. Academic Press, pp 483  
634  
635 Wallace JM, Mitchell T, Deser C (1989) The influence of sea surface temperature on surface wind in the Eastern

636 Equatorial Pacific: seasonal and interannual variability. *J Clim* 2:1492–1499. doi:10.1175/1520-  
 637 0442(1989)002<1492:TIOSST>2.0.CO;2

638

639 Wyant MC et al (2010) The PreVOCA experiment: modeling the lower troposphere in the Southeast Pacific.  
 640 *Atmos Chem Phys* 10:4757–4774. doi:10.5194/acp-10-4757-2010

641

642 Wyrski K (1975). El Niño: the dynamic response of the equatorial Pacific to atmospheric forcing. *Journal of*  
 643 *Physical Oceanography* 5:572–584

644

645 Xue H, Wang Y, Xie SP (2004) Effects of the andes on eastern pacific climate: a regional atmospheric model  
 646 study. *J Clim* 17:587–602

647

648 Zeng X, Beljaars A (2005) A prognostic scheme of sea surface skin temperature for modeling and data  
 649 assimilation. *Geophysical Research Letters* 31:L14605. doi: 10.1029/2005GL023030

650

651 Zuta S, Guillén O (1970) Oceanografía de las aguas costeras del Perú. *Boletín Instituto del Mar del Perú* 2: 157–  
 652 324

653

654 **7. Tables**

655 Table 1. Parameterizations used in WRF model for the simulations.

656

Processes	Scheme	Reference
Shortwave Radiation	Dudhia scheme	Dudhia (1989)
Longwave Radiation	RRTM scheme	Mlawer et al. (1997)
Microphysics	WRF Single-Moment 6-class scheme	Hong and Lim (2006)
Cumulus	Betts-Miller-Janjic scheme	Janjic (1994)
Surface Layer	MYNN surface layer	Nakanishi and Niino (2009)
Land Surface	Noah Land Surface Model	Chen and Dudhia (2001)
Planetary Boundary Layer	MYNN Level 2.5 PBL	Nakanishi and Niino (2009)

657

658



659 **8. Figure captions**

660 Figure 1. a) ERS wind anomalies (in  $\text{m s}^{-1}$ ) off Peru and Northern Chile during El Niño conditions in November-  
661 February 1997/1998. Arrows mark the direction of the monthly wind anomalies. b) Time-latitude diagram of  
662 ERS alongshore monthly wind anomalies off the Peru coast. The wind average was computed within a 100 km-  
663 wide coastal band and a 3-month running mean was applied. Positive values indicate equatorward wind  
664 anomalies.

665

666 Figure 2. South East Pacific model domain and Peru nested domain used in the WRF simulations. Color shading  
667 indicates model topography (in meters) above sea level for the small domain.

668

669 Figure 3. Mean surface wind (in  $\text{m s}^{-1}$ ) in 2000 from a) ERS satellite, b) WRF model and c) QSCAT satellite.  
670 Mean annual cycle of the alongshore wind (averaged in a 100-km-wide coastal domain) from d) ERS and e)  
671 WRF over the period 1994-2000, and from f) QSCAT over the period 2000-2008.

672

673 Figure 4. Vertical structure of the mean meridional wind (shading, in  $\text{m s}^{-1}$ ) and air temperature (black contours,  
674 in  $^{\circ}\text{C}$ ) at  $15^{\circ}\text{S}$  from a) ERA-Interim and b) WRF  
675 model. Data was averaged for the 1994-2000 period.

676

677 Figure 5. a) Mean surface wind anomalies (in  $\text{m s}^{-1}$ ) from WRF (over November 1997-February 1998) and b)  
678 time-latitude diagram of WRF alongshore wind anomalies. c) Mean sea surface temperature (SST) anomalies  
679 (in  $^{\circ}\text{C}$ ) from OISST (over the same time period in a)) and d) time-latitude diagram of alongshore SST  
680 anomalies.

681

682 Figure 6. Anomalies of the meridional component of the surface forces contribution (in  $\text{m s}^{-1}$ ) during El Niño  
683 1997/1998: a) advection (V-ADV'), b) vertical turbulent mixing (V-MIX'), c) pressure gradient (V-PGF'), and d)  
684 Coriolis force (V-COR'). Anomalies were computed with respect to a climatology over 1994-2000 and averaged  
685 over November 1997-February 1998. e) Time series of the monthly anomalies of the alongshore component of  
686 the surface forces contribution in 1997-1998 (in  $\text{m s}^{-1}$ , with scale defined by the left-hand side y axis). The  
687 forces were averaged in a 100-km coastal band between  $7^{\circ}\text{S}$  and  $15^{\circ}\text{S}$ . Gray, black and black-dashed lines

688 indicate tendency (the month to month wind anomaly difference), the sum of all terms and wind anomaly  
689 (relative to climatology), respectively (in  $\text{m}\cdot\text{s}^{-1}$ , with scale defined by the right-hand side y axis).

690

691 Figure 7. a) Alongshore anomalies of pressure gradient (in  $\text{m}\cdot\text{s}^{-1}$ , shading) and coastal wind (in  $\text{m}\cdot\text{s}^{-1}$ , black  
692 contours) between the equator and  $20^{\circ}\text{S}$ . b) Latitudinal variation of the correlation between alongshore pressure  
693 gradient anomalies and alongshore coastal wind anomalies.

694

695 Figure 8. Alongshore vertical sections of the mean monthly anomalies (during November 1997-February 1998)  
696 of a) virtual temperature (in  $^{\circ}\text{C}$ ) and b) temperature and c) humidity contributions (in  $^{\circ}\text{C}$ ) to the virtual  
697 temperature anomaly. Note the different scales for temperature and humidity contribution. d) Vertical profiles of  
698 humidity in the northern coastal region ( $4^{\circ}\text{S}$ - $8^{\circ}\text{S}$ ) during November 1997-January 1998 (full line) and mean  
699 climatological conditions (dashed line).

700

701 Figure 9: Time evolution of alongshore SST gradient (in  $^{\circ}\text{C}/25\text{km}$ , positive equatorward, red line) and wind (in  
702  $\text{m}\cdot\text{s}^{-1}$ , black line) anomalies. Anomalies were smoothed using a 3-month running mean, and averaged between  
703  $7^{\circ}\text{S}$  and  $15^{\circ}\text{S}$  and within 100 km from the coast.

704

705 Figure 10. Mean Planetary Boundary Layer Height (in meters, PBLH) off Peru. PBLH was averaged between  
706  $7^{\circ}\text{S}$  and  $15^{\circ}\text{S}$  and within 100 km from the coast. Red line marks PBLH during 1997-1998, and black dashed line  
707 the climatology. Error bars indicate standard deviation from the mean climatological values.

708

709 Figure 11. Vertical profiles of a) alongshore wind (in  $\text{m}\cdot\text{s}^{-1}$ ), b) alongshore forces in mean climatological  
710 conditions (in  $\text{m}\cdot\text{s}^{-1}$ ), c) anomalies of alongshore forces in Niño conditions (in  $\text{m}\cdot\text{s}^{-1}$ ) and d) air temperature (in  
711  $^{\circ}\text{C}$ ). Averages were computed over November 1997-February 1998, between  $7^{\circ}\text{S}$  and  $15^{\circ}\text{S}$ , and within 100 km  
712 from the coast. Full and dashed lines in a) and c) correspond to El Niño and mean climatological conditions,  
713 respectively.

714

715 Figure 12. Same as Figure 11 but for the period July-August 1997.

716

717 Figure 13: a) Time series of coastal alongshore wind anomalies (in  $\text{m s}^{-1}$ ) from CTRL (black line), SST-EN (red  
718 line), BRY-EN (blue line) experiments. Mean alongshore wind profiles (in  $\text{m s}^{-1}$ ) in b) July-August 1997 and c)  
719 November 1997-February 1998. Black-dashed, black, red and blue lines mark the climatological (CLIM), CTRL,  
720 SST-EN and BRY-EN profiles, respectively.

721

722 Figure 14. Mean (red contours) and anomalous (shading) sea level pressure (in hPa) during a) March-September  
723 1997 and b) October 1997–March 1998. White contours mark pressure climatological values (1994-2000).

724

725 Figure 15. Anomalies of air humidity at 2 meters (in  $\text{g kg}^{-1}$ , green line), downward shortwave radiation at sea  
726 surface (in  $\text{W m}^{-2}$ , red line) and land-sea air temperature gradient at 2 meters (in  $10^{-2} \text{ }^\circ\text{C km}$ , blue line).  
727 Anomalies of air humidity and shortwave radiation were taken at the model land grid points closest to sea.  
728 Temperature gradient was computed as the difference between the model land grid point closest to sea and the  
729 sea grid point closest to land at each latitude. All variables were averaged between  $7^\circ\text{S}$  and  $15^\circ\text{S}$ .

730

731 Figure 16. Time evolution of alongshore SST gradient (in  $^\circ\text{C}/80 \text{ km}$ , positive equatorward, red line) and  
732 alongshore wind (in  $\text{m s}^{-1}$ , black line) anomalies for ERA-Interim reanalysis over 1979-2016. Anomalies were  
733 averaged in a 160-km-wide coastal band and between  $7^\circ\text{S}$  and  $15^\circ\text{S}$ . Grey vertical bands indicate El Niño  
734 periods.

Figure 1

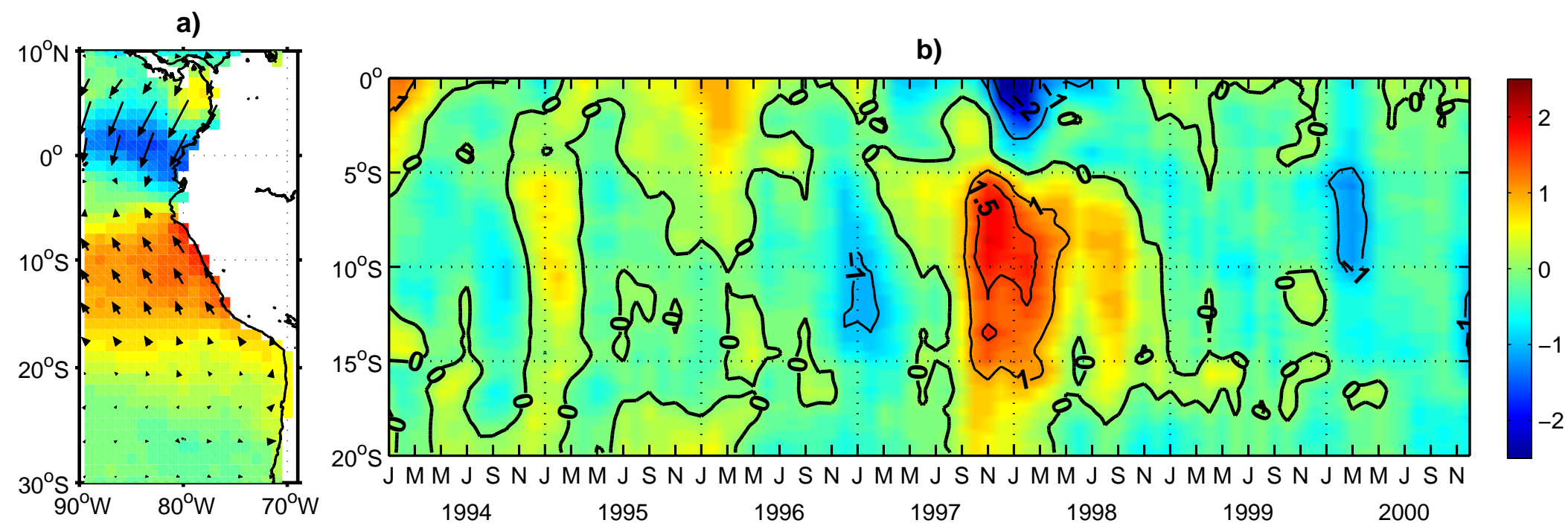


Figure 2

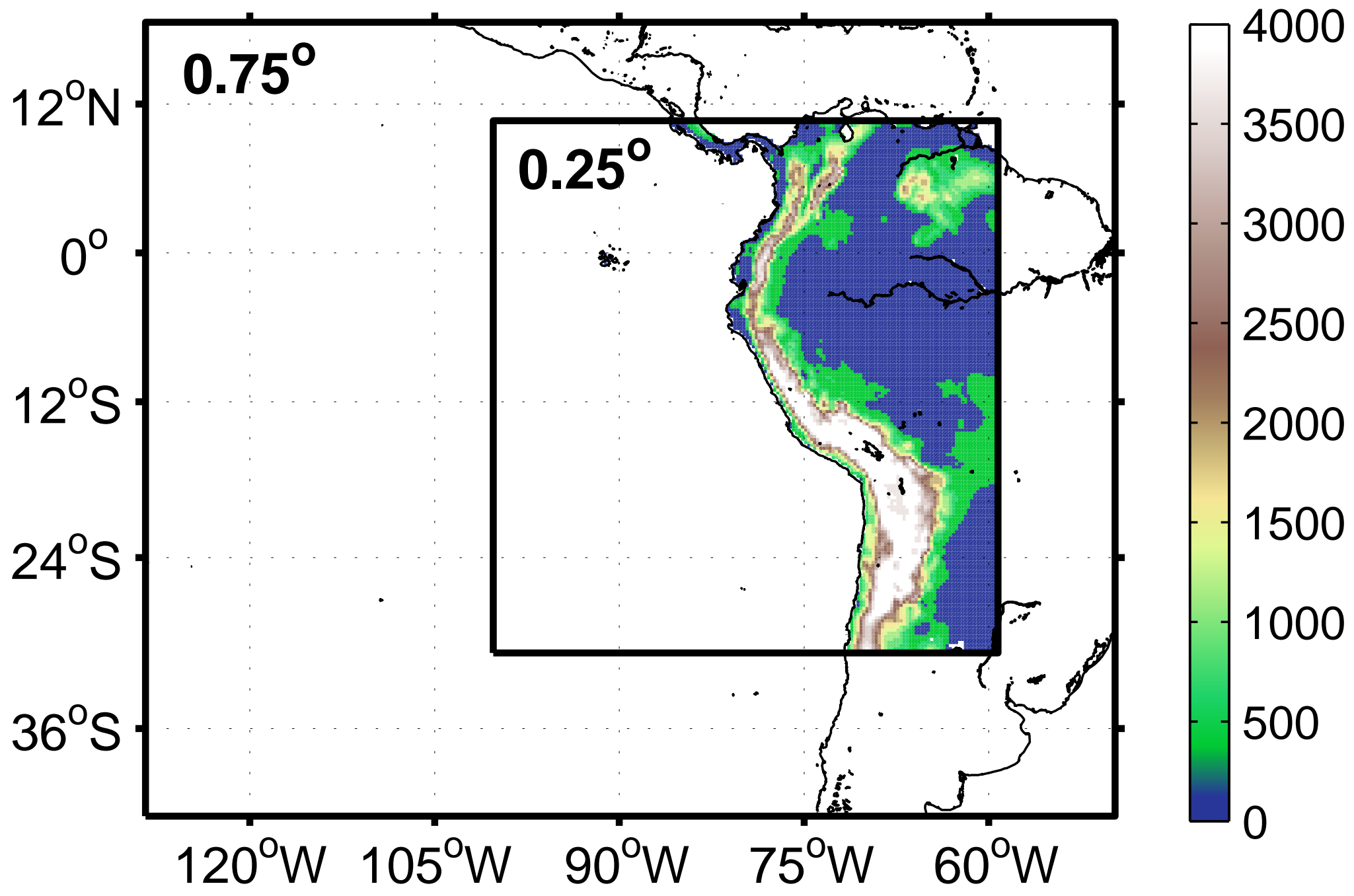


Figure 3

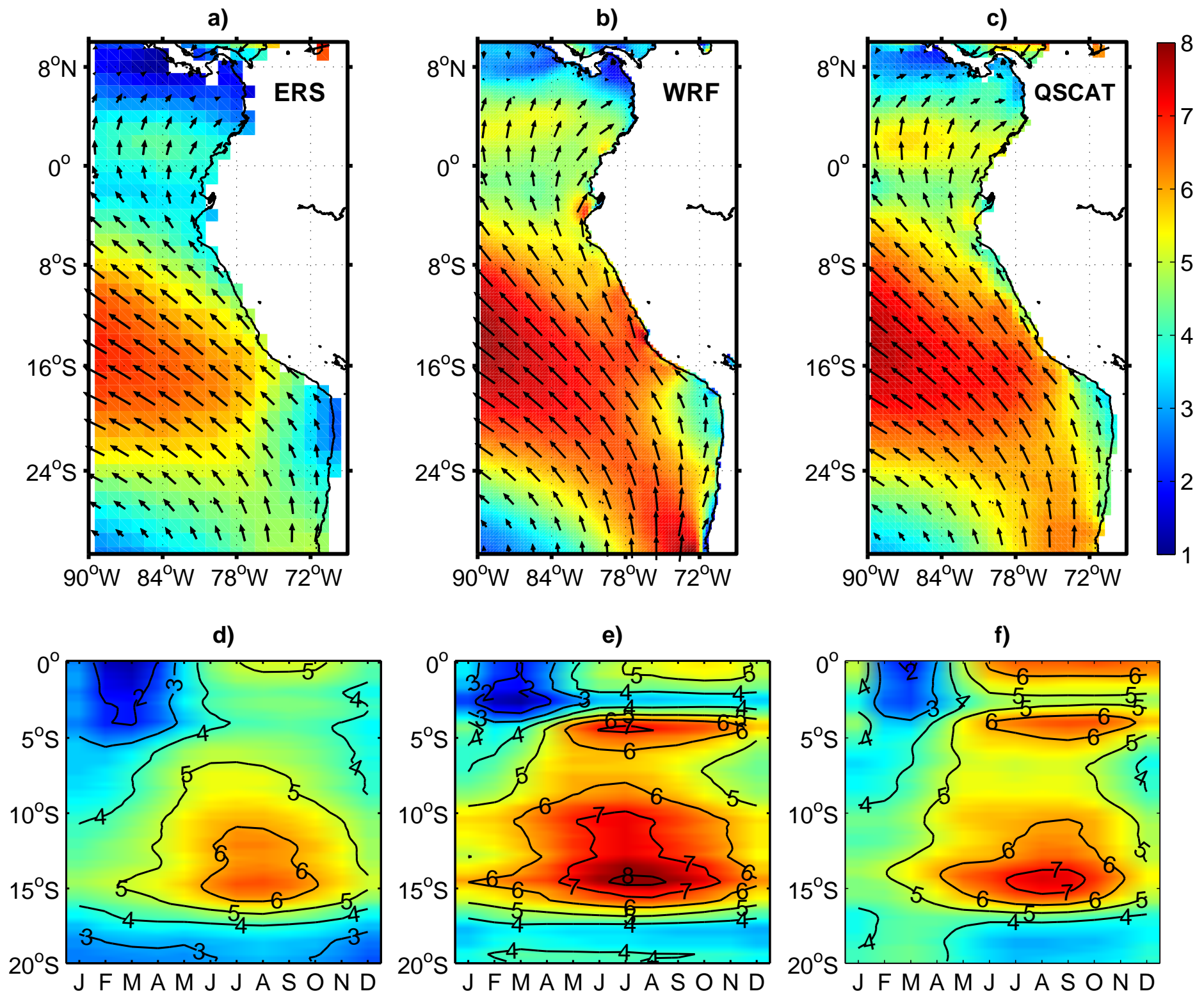
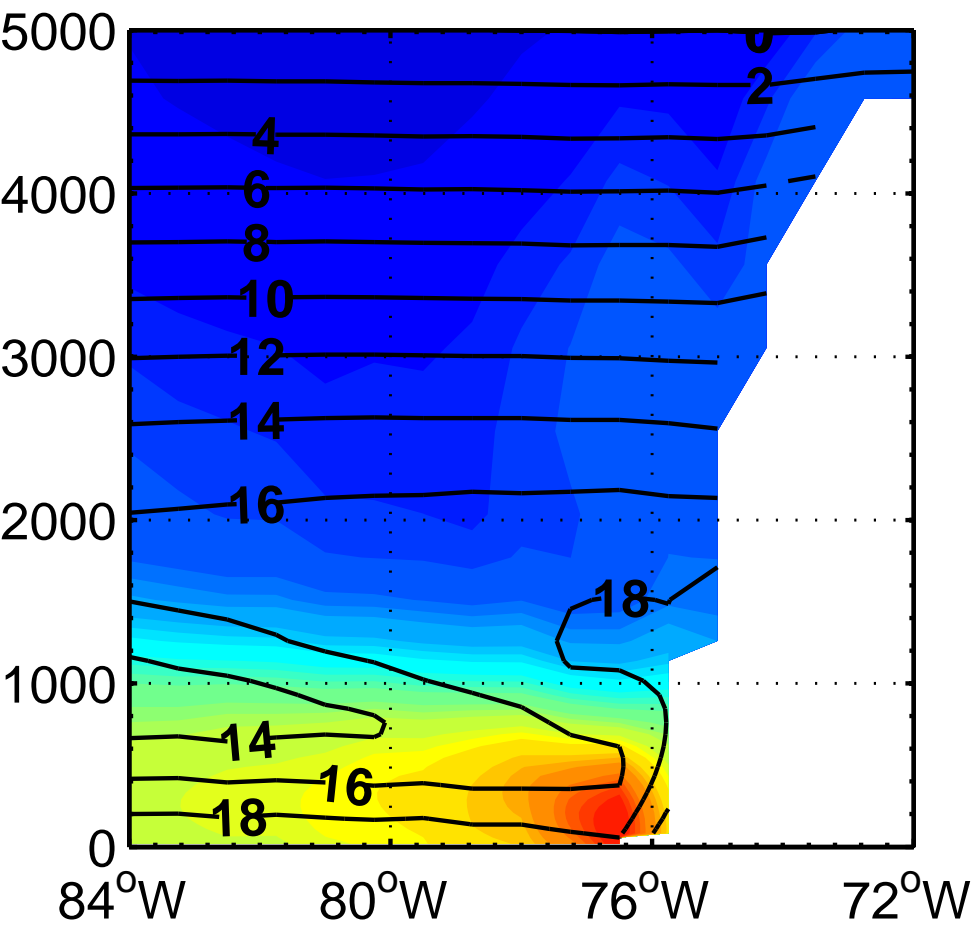


Figure 4

a) ERA-Interim



b) WRF

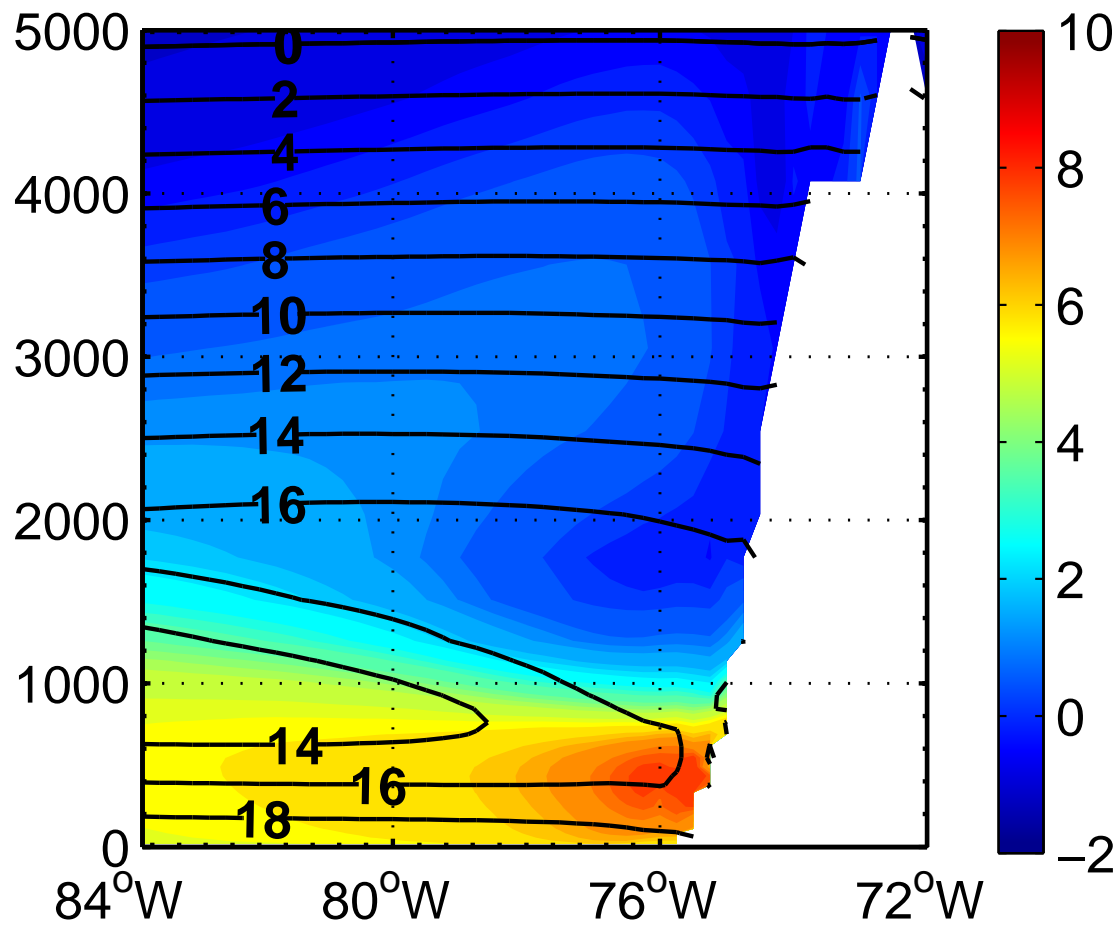


Figure 5

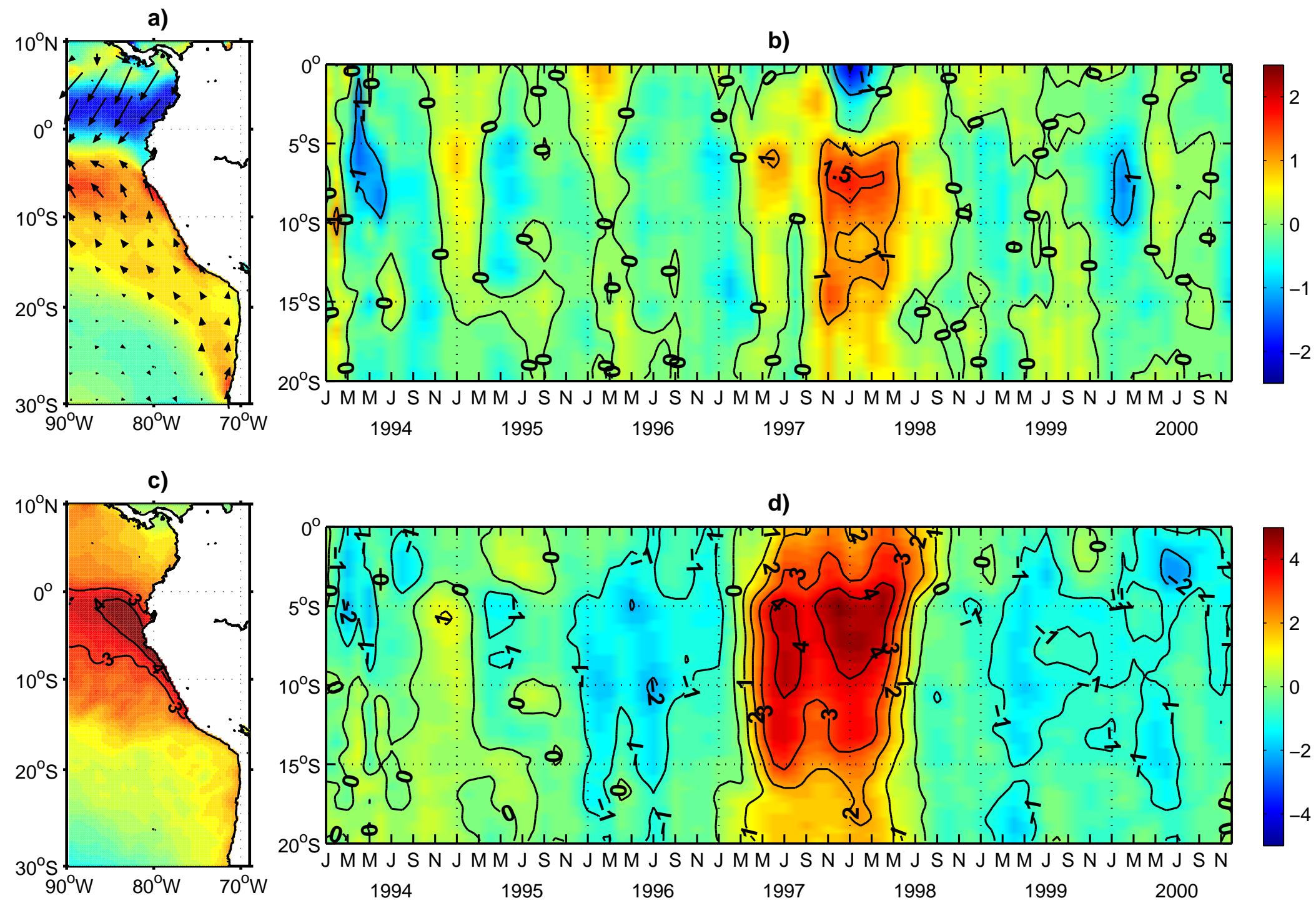




Figure 6

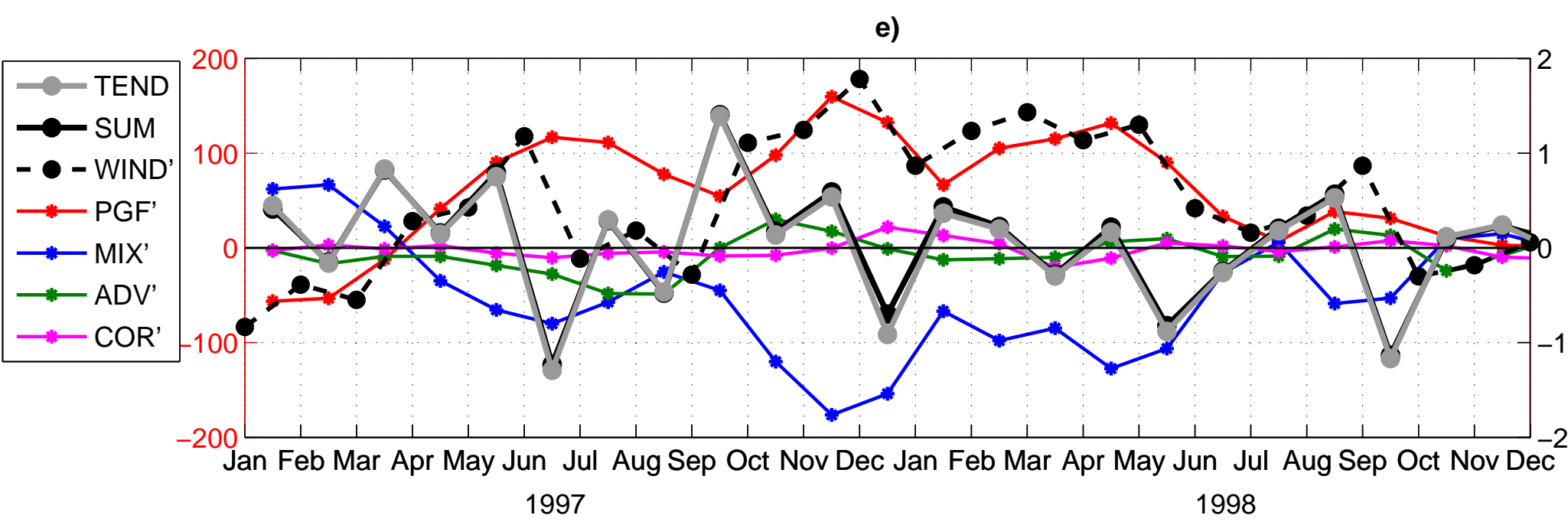
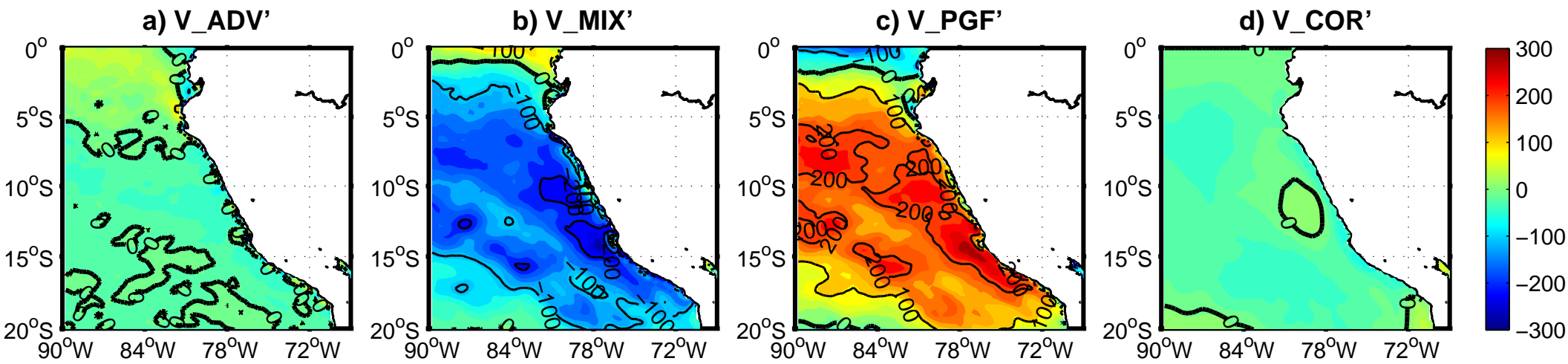


Figure 7

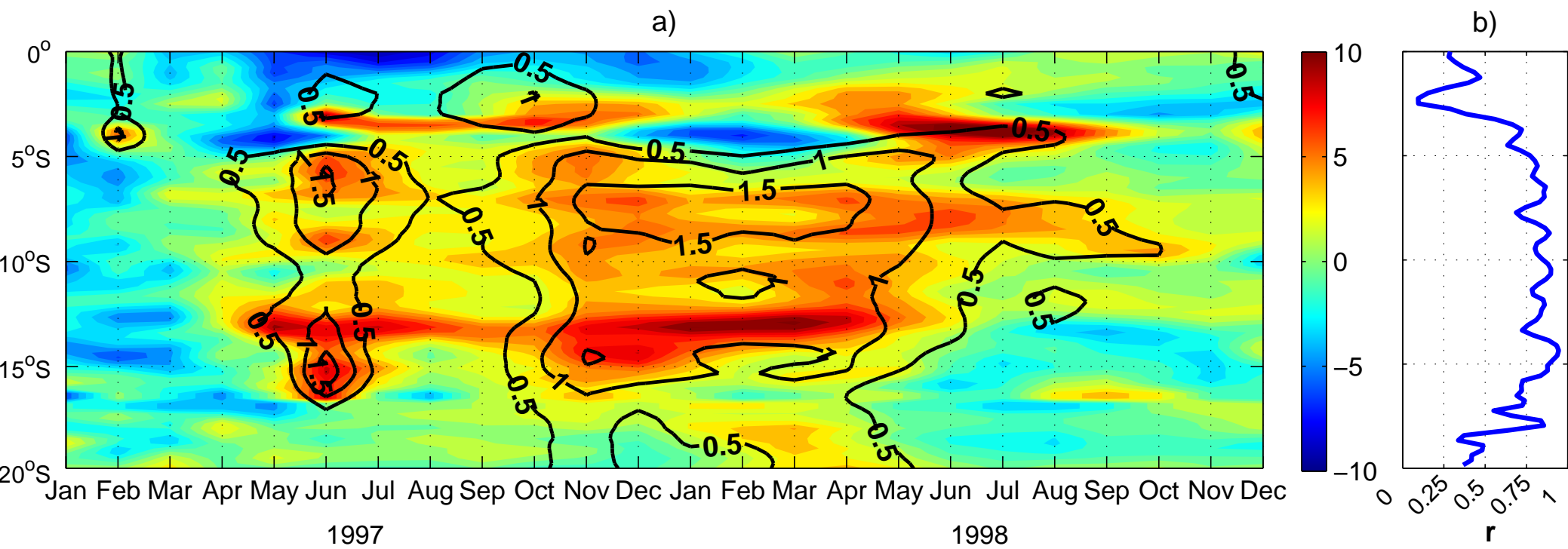


Figure 8

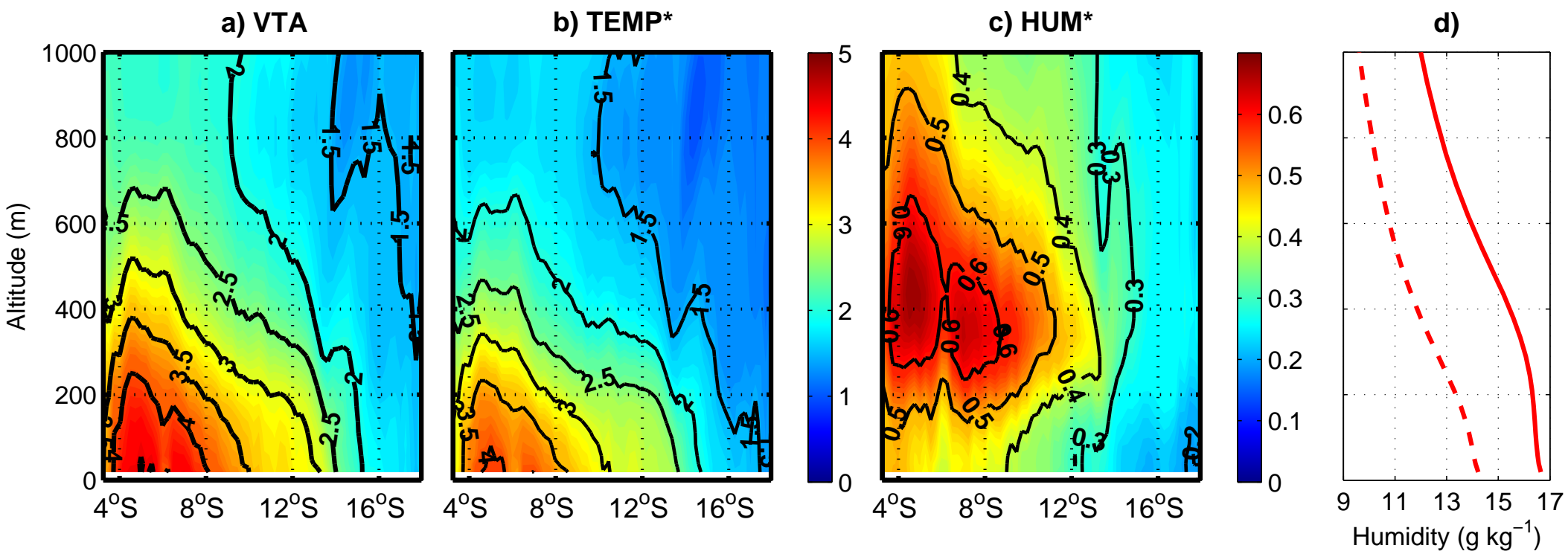


Figure 9

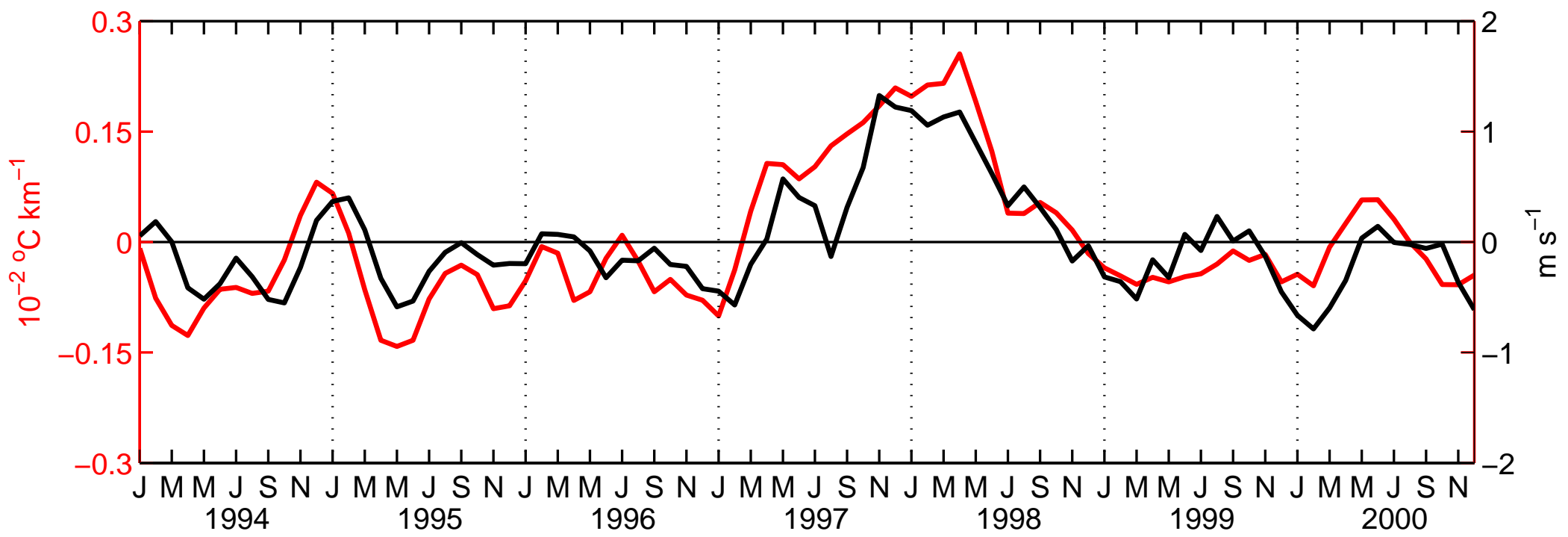


Figure 10

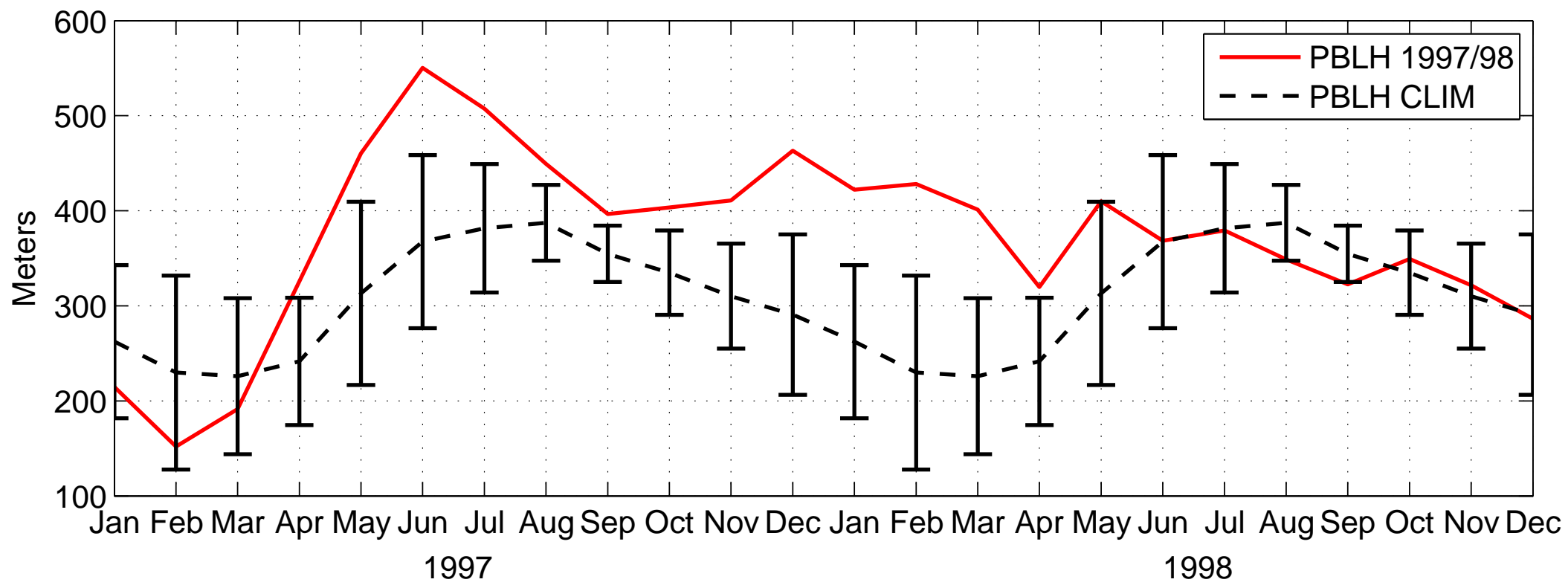


Figure 11

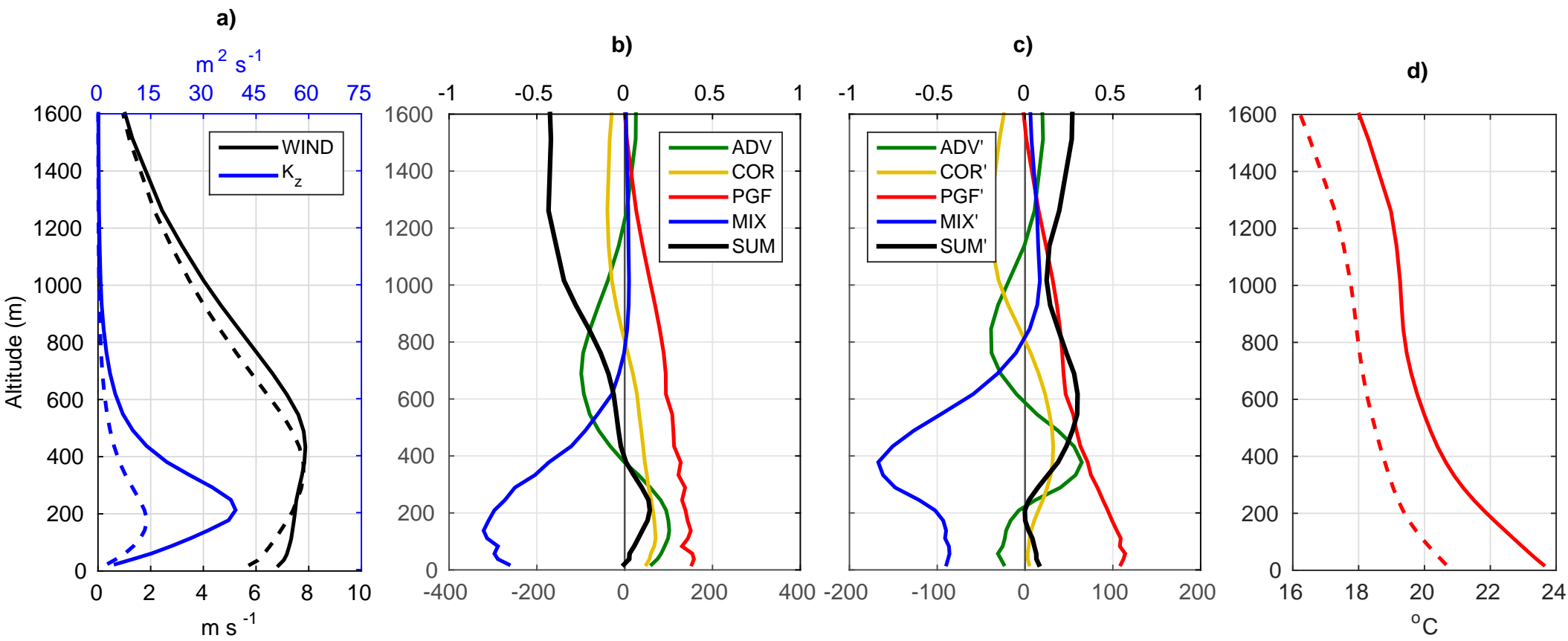


Figure 12

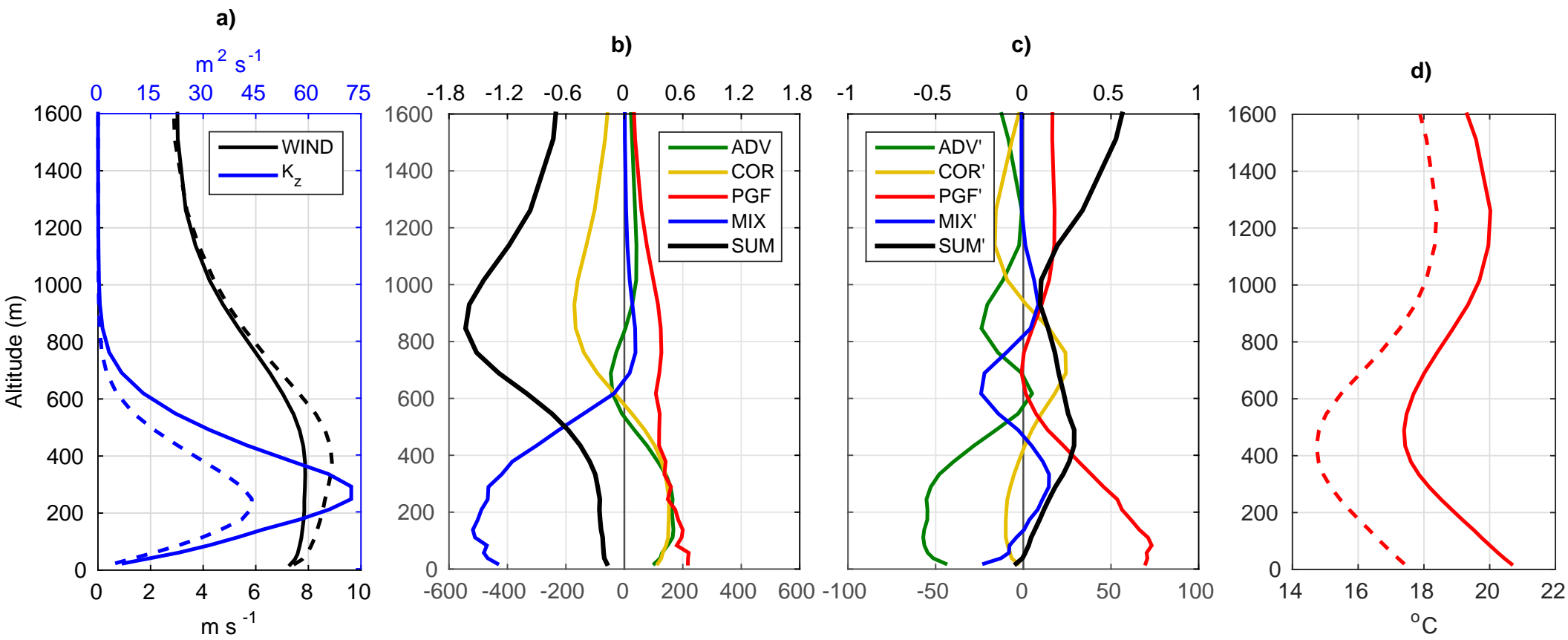


Figure 13

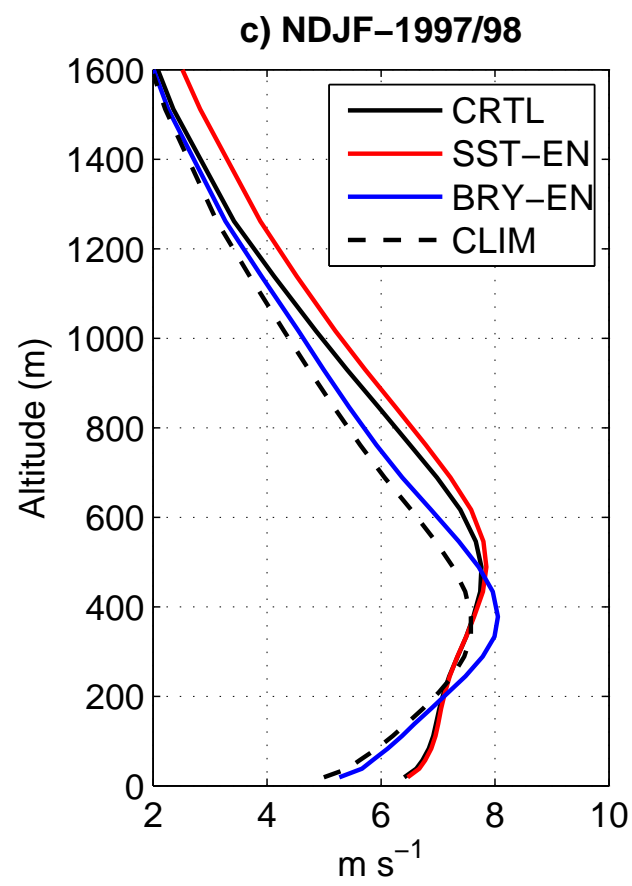
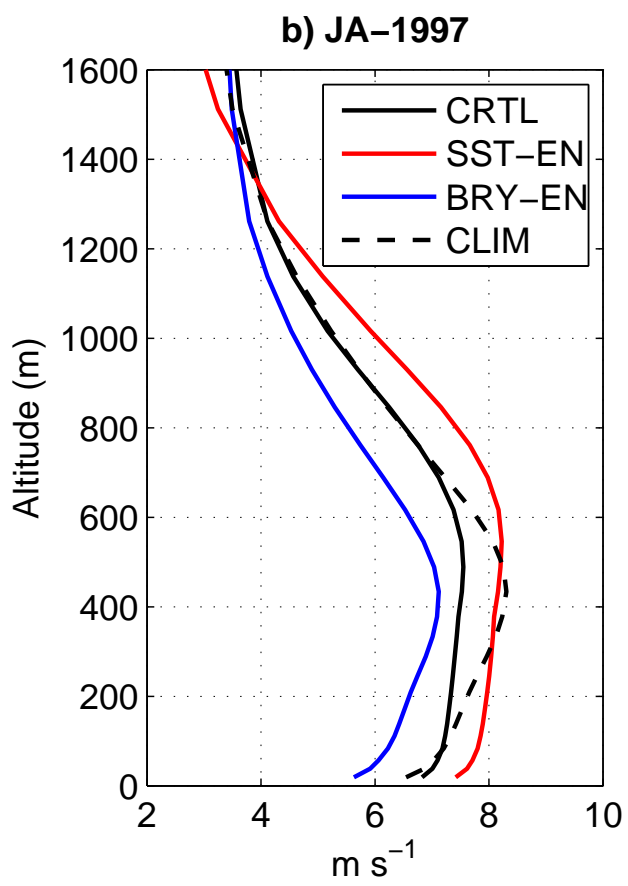
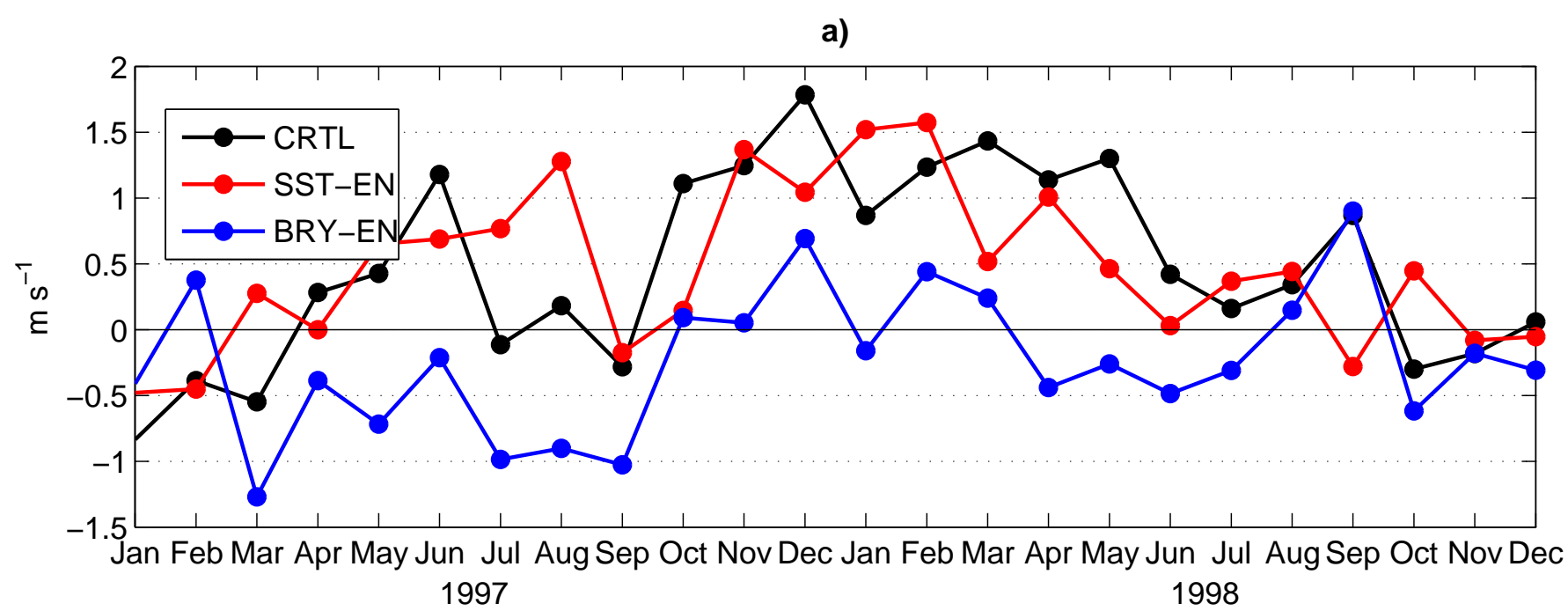




Figure 14

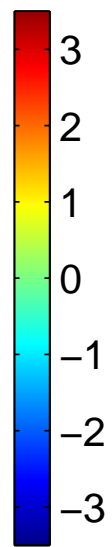
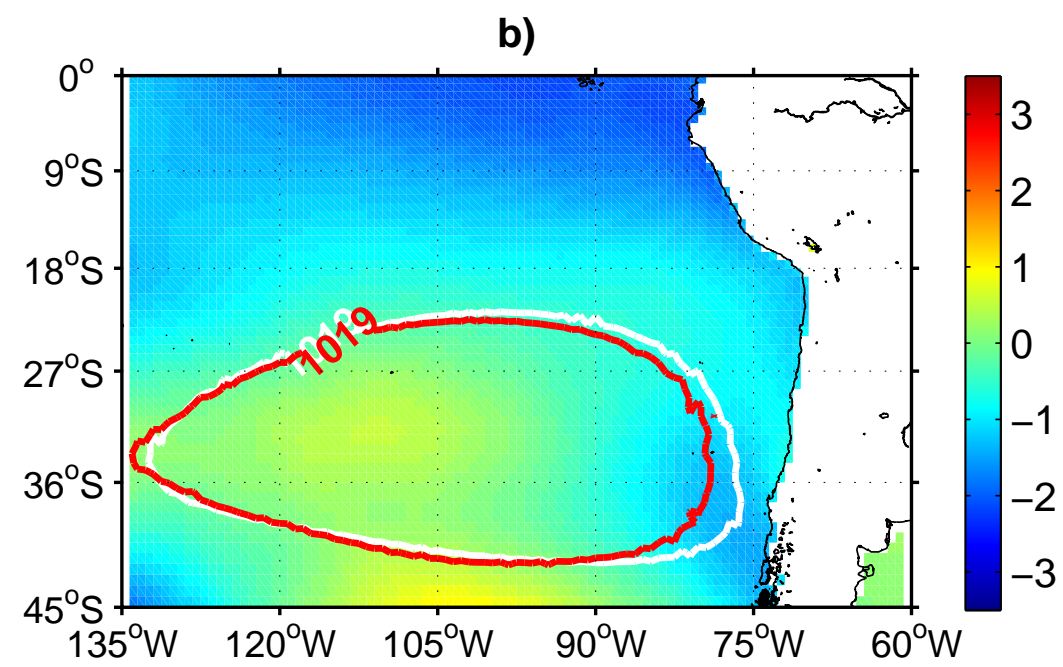
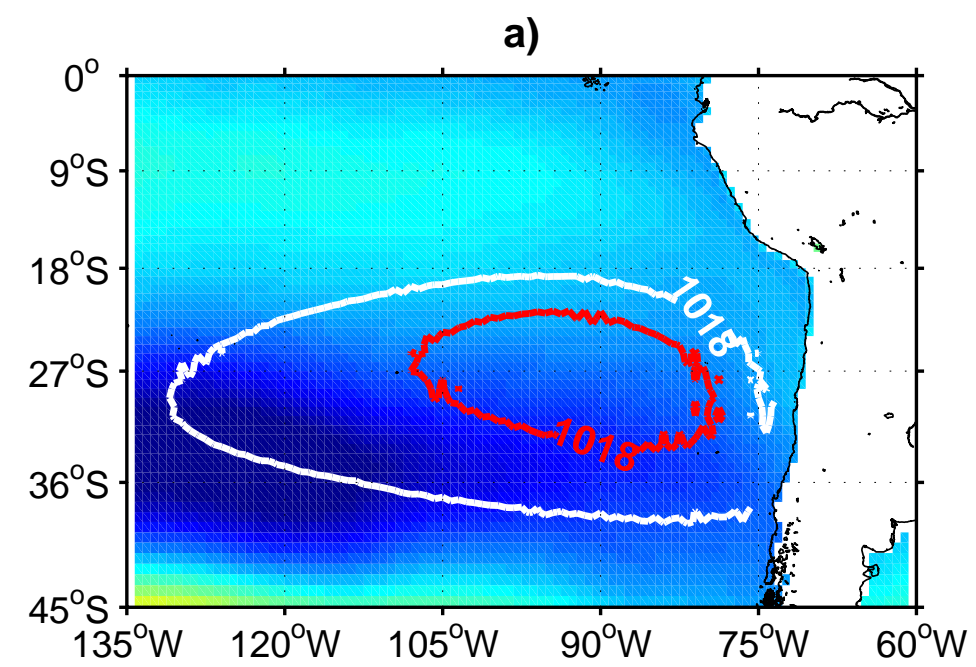


Figure 15

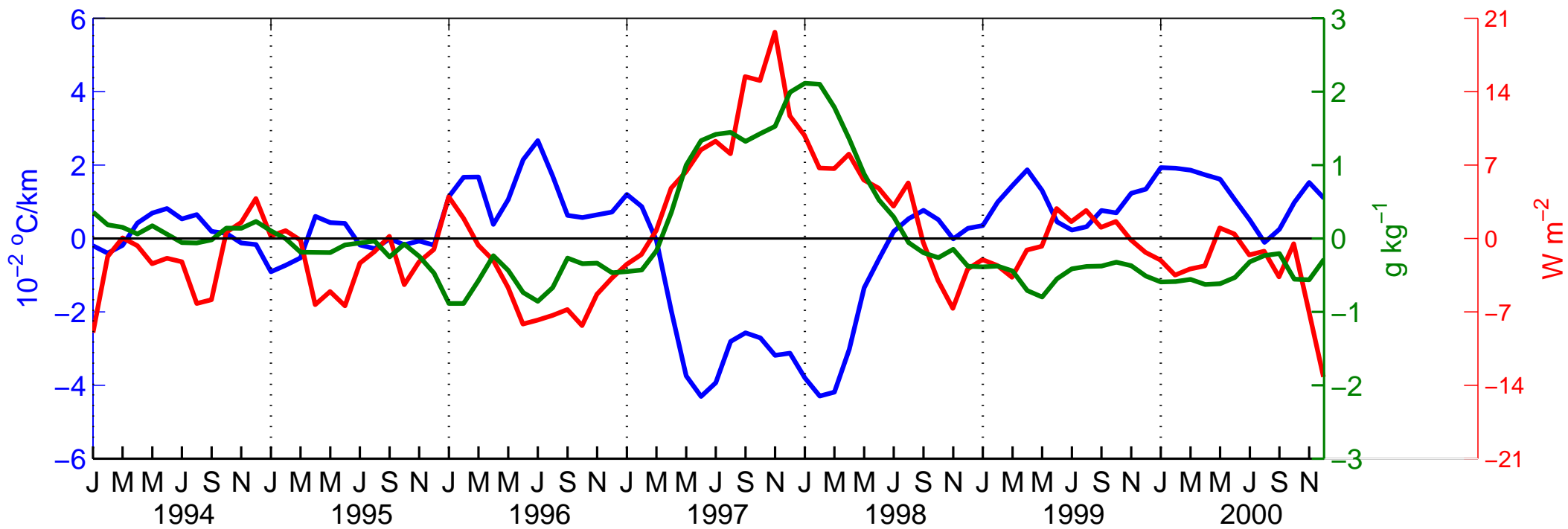
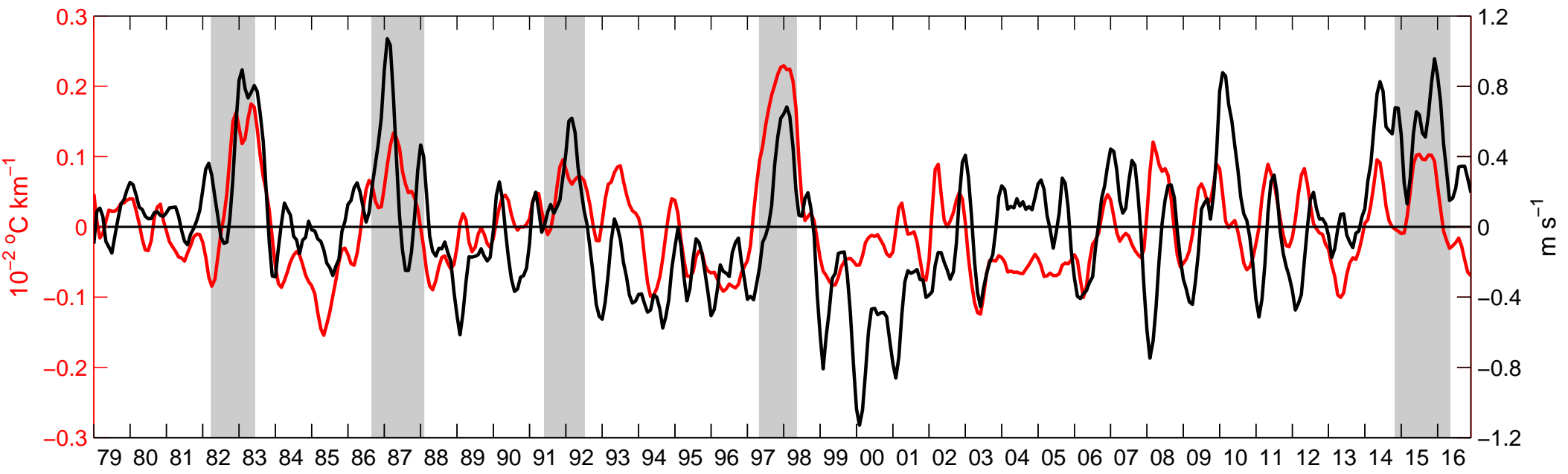


Figure 16



**Table 1.** Parameterizations used in WRF model for the simulations.

<b>Processes</b>	<b>Scheme</b>	<b>Reference</b>
Shortwave Radiation	Dudhia scheme	Dudhia (1989)
Longwave Radiation	RRTM scheme	Mlawer et al. (1997)
Microphysics	WRF Single-Moment 6-class scheme	Hong and Lim (2006)
Cumulus	Betts-Miller-Janjic scheme	Janjic (1994)
Surface Layer	MYNN surface layer	Nakanishi and Niino (2009)
Land Surface	Noah Land Surface Model	Chen and Dudhia (2001)
Planetary Boundary Layer	MYNN Level 2.5 PBL	Nakanishi and Niino (2009)