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1 **New pigments based on carminic acid and smectites: a molecular investigation**

2
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22 **Abstract**

23
24 Hybrid materials based on montmorillonite, a cationic polymer and carminic acid were
25 prepared. The surface charge of montmorillonite was inversed thanks to the cationic polymer,
26 polydiallyldimethylammonium chloride. Samples were characterized by a set of different
27 techniques including Infrared (IR) and Nuclear Magnetic Resonance (NMR) spectroscopies to
28 highlight the nature of the interactions between the organic and inorganic parts. The photo-
29 stability of the samples was tested for different durations, and L*a*b* parameters were
30 measured. It was possible to propose an approach for the degradation mechanism of the
31 supported dye thanks to EPR (electron paramagnetic resonance) spectroscopy. The
32 experimental data were in agreement with the theoretical periodic DFT calculations where a
33 molecular scheme of the adsorption complex was proposed, and the importance of hydration
34 on the stability of the adsorption complex highlighted.

1 Keywords: Montmorillonite, lake pigments, Carminic Acid, DFT, NMR, EPR

2 **Introduction**

3

4 Natural organic dyes and pigments are key components of cultural heritage artifacts and
5 understanding their durability is a crucial issue. These two types of materials have distinct
6 features. Indeed dyes are soluble in the medium in which they are present [1]. Furthermore,
7 dyes are able to absorb light in the visible range of electromagnetic radiation (400–700 nm),
8 bear a chromophore group, and can also possess an auxochrome group. In contrast, pigments
9 are generally inorganic and insoluble [2] and the mixture between a dye and an inorganic
10 material or metallic cation such as aluminum or tin can therefore be used as a pigment. The
11 latter are known to be more sensitive to fading. The weak photo-resistance of the red lake
12 pigments used in paintings by the Impressionists has been reported. A very good illustration is
13 the painting by Pierre Auguste Renoir: “Madame Léon Clapisson”, where the red colors have
14 faded as a result of the levels of light and humidity in the museum[3].

15 The approach based on the preparation of hybrid materials where dyes are inserted into
16 inorganic matrices, is currently widely employed in numerous applications dealing with
17 pigments such as for instance solar cells [4], laser applications or art. Various strategies have
18 been used for obtaining resistant, chemically stable pigments. Among them, sol-gel
19 techniques and adsorption of organic dyes in inorganic matrixes have been widely studied [5-
20 10]. As far as this latter approach is concerned, numerous studies have reported organic dyes
21 stability enhancement through complexation with swelling clay minerals [11-17], an approach
22 that follows the famous case of Maya Blue, in which blue dyes are adsorbed onto fibrous
23 sepiolite or palygorskite [18]. The use of clay minerals appears particularly attractive as these
24 materials do not absorb light in the visible wavelength region, are environmentally friendly,
25 abundant and rather cheap.

1 Among the large family of red dyes molecules, carminic acid is an organic dye ,widely used
2 in the cosmetic industry and as a food colorant [19].Chemically speaking, it is a
3 hydroxyanthraquinone with a lateral chain of C- glycosyl and only one position free on the
4 aromatic nucleus. Its pKa values were reported to be $pK_{a1} = 2.81 \pm 0.09$; $pK_{a2} = 5.43 \pm 0.04$;
5 $pK_{a3} = 8.10 \pm 0.03$ [20]. For pH values higher than 3, carminic acid is therefore negatively
6 charged. As a consequence, the direct preparation of swelling clays/carminic acids hybrids
7 cannot be achieved, as these minerals bear a permanent negative charge, which will lead to
8 repulsive interactions upon mixing carminic acid with non-treated swelling clays [8]. The
9 preparation of clay/carminic acids hybrid materials therefore requires a pre-treatment stage to
10 change the surface charge of the clays.

11 Various strategies can be employed to reverse the surface charge of clay minerals. The first
12 one is to adsorb excess cationic surfactants[21], such as hexadecyltrimethylammonium or
13 cetylpyridium chloride. These molecules bear a positively charged hydrophilic head (usually
14 through the presence of an ammonium functional group) and a neutral hydrophobic tail. The
15 head can be adsorbed onto the negatively charged surface to form the first layer of the
16 surfactant, and additional surfactant adsorption will then yield a positive surface charge[21].
17 Another solution is to change the external surface using silane molecules such as
18 aminopropyltriethoxysilane [22, 23] that is positively charged in acidic aqueous solutions. It
19 is also possible to adsorb cationic polymers such as PDADMAC (Poly
20 (diallyldimethylammonium chloride) at the clay surface. In such conditions, stable clay
21 suspensions [20] in which particles bear a net positive charge [24-26] can be obtained. For
22 this reason, polycation-clay systems have recently been the object of renewed attention as
23 potential nano-composites for surface applications[24-26].

24 In the present paper, we apply this latter method for preparing montmorillonite/carminic acids
25 pigments. The pigments obtained in this way are characterized in depth using numerous

1 experimental techniques including XRD, thermal analysis, IR, ^{13}C solid state NMR and EPR
2 (Electron Paramagnetic Resonance) spectroscopies and zeta potential measurements. The
3 conformation and geometry of both cationic polymer and dye in the hybrid materials are
4 further analyzed by density functional theory (DFT). Finally, extensive spectro-
5 photometric analyses are carried out to investigate the influence of dye-montmorillonite
6 interactions on the stability/degradation of the resulting hybrids under light and in the
7 presence of different solvents.

8 **Experimental part**

9 **A. Reagents:** Carminic acid (90%, wt) and Poly(diallyldimethylammonium
10 chloride) solution in water (20%, wt), were purchased from Sigma-Aldrich.

11 **B. Montmorillonite synthesis**

12
13 Montmorillonite synthesis was carried out using classical procedures [27]. Hydrofluoric acid
14 (HF, 40% w/w; Fluka), sodium acetate (NaCOOCH_3 , 99%; Fluka), magnesium acetate
15 [$\text{Mg}(\text{COOCH}_3)_2 \cdot 4\text{H}_2\text{O}$, 99%; Fluka], boehmite (Al_2O_3 , 74%, Pural SB1; Condea), and silica
16 (SiO_2 , 99.5%, Aerosil 130; Degussa) were mixed for preparing an initial hydrogel with the
17 following chemical composition: $1\text{SiO}_2: 0.2\text{Al}_2\text{O}_3: 0.1\text{MgO}: 0.05\text{Na}_2\text{O}: 0.05\text{HF}: 96\text{H}_2\text{O}$. This
18 hydrogel was matured during 4 h at room temperature before being introduced into a PTFE-
19 lined stainless steel autoclave where it was heated at 473 K during 96 h. After cooling down
20 to room temperature, the solid was recovered by centrifugation, washed thoroughly with
21 distilled water and dried at 333 K for 12 h. The ideal formula per half unit cell of the
22 montmorillonite thus synthesized can be written as $\text{Na}_{0.4}[(\text{Si}_4)(\text{Al}_{1.6}\text{Mg}_{0.4}\square)\text{O}_{10}(\text{OH},\text{F})_2]$,
23 where \square refers to the vacancies in the octahedral sheets, yielding a cationic exchange capacity
24 of $1.09 \text{ meq} \cdot \text{g}^{-1}$ [27, 28].

25
26
27

C. Surface modification of the different clay minerals

1 A wet impregnation procedure was used for adsorbing Poly(diallyldimethylammonium
2 chloride) on montmorillonite. In a typical preparation, 40 mg of a 20 wt% PDADMA solution
3 were mixed with 100 mg of dry sodic clay. Water was then added to obtain a homogeneous
4 suspension. Samples were dried overnight at 333K. The amount of cationic polymer was
5 varied and samples will be referred to as “x/y-Mt” where “x/y” is a molar ratio with “x” the
6 negative charges hold by the clay (Mt) and “y” the positive charges brought by the cationic
7 polymer (CP). The following ratios were used: 1/1, 2/3, 1/2 and 1/3.

8
9

D. Adsorption of carminic acid

10 10 % of carminic acid with a concentration of 5mg/mL in distilled water was added to Na-Mt
11 at an average working pH of 6 as already reported in the literature[29-31]. An excess of
12 carminic acid induces a strong release in solution. The suspension was stirred for 4 h, the time
13 request to reach the equilibrium[8]. After centrifugation, the resulting solids were dried at
14 333K overnight. Presence of carminic acid is indicated by the prefix CA in sample names
15 e.g.: CA_1/2_Mt.

16
17

E. Desorption experiments

18 Desorption experiments were performed by dispersing 10 mg of selected samples in a 2 mL
19 conical flask with 1 mL of solvent and shaking at room temperature for 1 h. The desorption of
20 CA from the hybrid material after centrifugation was measured by absorption at $\lambda = 495$ nm
21 since carminic acid has its maximum of absorption at the later wavelength.

F. Photo-fading

22
23

24 The evolution of samples under visible light was followed by placing them under a
25 SL164 LED from Advanced Illumination Company during 400 hours. As this lamp provides a
26 flux of 50 Klux per hour, such a procedure can also provide information about the long time

1 evolution of the samples. Indeed, the total dose after 400 hours of illumination is equivalent to
2 a 30 years illumination under a classical lamp with a flux of 200 lux per hour.

3 **G. Characterization**

4
5 X-Ray powder diffraction (XRD) was carried out with a Bruker D8 Advance
6 diffractometer using the Cu K α radiation (wavelength $\lambda = 1.5404 \text{ \AA}$). XRD patterns were
7 recorded between 5° and 70° with a step size of 0.05° . Thermogravimetric analysis (TGA) of
8 the samples was performed on a TA Instruments – Waters LLC, SDT Q600 analyzer with a
9 heating rate of $5 \text{ }^\circ\text{C}\cdot\text{min}^{-1}$ under dry air flow ($100 \text{ mL}\cdot\text{min}^{-1}$). Transmission Electron
10 Microscopy (TEM) measurements of the samples were performed using a JEOL100CX
11 microscope. Samples in the form of bulk powders were suspended in ethanol and then
12 deposited on specific grids (400 mesh copper grids covered with an ultrathin carbon membrane
13 of 2–3 nm thickness).

14 Zeta potential measurement were performed at controlled temperature, fixed ionic strength
15 (NaNO_3 solution at $1.10^{-4} \text{ mol}\cdot\text{L}^{-1}$) and at $5 < \text{pH} < 5.5$, using a Malvern Zetasizer nanoZS device.

16 Spectrophotocolorimetry was performed using Ocean Optics halogen light source HL-2000-
17 FHSA as incident light beam and Ocean Optics USB4000 detector with Ocean Optics QP400-
18 1-UV-VIS glass fibers for acquisition from 350 to 1050 nm on pressed pellets samples. Data
19 were collected with OceanView 1.5.0 software in color measurement mode, and an average of
20 100 scans was performed for each measurement to obtain the optimum signal.

21 ATR/FTIR spectra were recorded at room temperature with a Cary 630 Agilent
22 spectrometer. For each measurement, three spectra were recorded as an accumulation of 32
23 scans, with a spectral resolution of 4 cm^{-1} .

24 The ^{13}C Cross-Polarization spectra were acquired with a MAS rate of 14 kHz, a ramp-
25 CP contact time of 1 ms and a 1 s recycle delay and with a ^1H decoupling spinal. Over an

1 acquisition time of 40 ms, the number of scans to obtain the spectra depends on the S/N
 2 obtained for each sample. Spectra were processed with a zero-filling factor of 2 and with an
 3 exponential decay corresponding to a 25 Hz line broadening in the transformed spectra. Only
 4 spectra with the same line broadening are directly compared.

5 Epifluorescence microscopy was performed on a LEICA MD 6500 microscope. Time-
 6 resolved fluorescence spectroscopy data were obtained by the time-correlated single-photon
 7 counting technique using a lab-made device previously described [32, 33]. For the analysis, the
 8 fluorescence decay law at the magic angle $I_M(t)$ and the anisotropy decay law $r(t)$ were
 9 analyzed as a sum of exponentials :

$$10 \quad I_M(t) = \frac{1}{3} \sum_{i=1}^n \alpha_i e^{-t/\tau_i} \quad (\text{eq 1})$$

$$11 \quad r(t) = \sum_{j=1}^n r_j e^{-t/\phi_j} \quad (\text{eq 2})$$

12 With τ_i the fluorescence lifetime, α_i the pre-exponential factor related to the contribution of
 13 each lifetime of component i , r_j the anisotropy of a component j for a correlation time ϕ_j .

14 The anisotropy decay, $r(t)$ is related to the decays collected with vertical, $I_V(t)$, and
 15 horizontal, $I_H(t)$, polarization, the excitation being vertical:

$$16 \quad I_V(t) = I_M(t)\{1 + 2r(t)\} \quad (\text{eq 3})$$

$$17 \quad I_H(t) = I_M(t)\{1 - r(t)\} \quad (\text{eq 4}) \text{ or } r(t) = \frac{I_V(t) - I_H(t)}{I_V(t) + 2I_H(t)} \quad (\text{eq 5})$$

18 Fluorescence lifetimes were calculated from data collected at the magic angle by iterative
 19 adjustment after convolution of a pump profile (scattered light) with a sum of exponentials as
 20 described previously.

1 EPR experiments were carried out at room temperature and in aerated medium using an X-
2 Band spectrometer (EMX plus Bruker). Radicals can be observed either directly or by the spin
3 trapping technique (ESR-ST) in the presence of phenyl N-tert-butyl nitron (PBN, 3)
4 according to scheme S1. The procedure has been described in detail elsewhere [1,2]. PBN was
5 selected as spin trap agent due to its rather good stability upon light irradiation. ESR spectra
6 simulations were carried out with the WINSIM software, extracting the hyperfine coupling
7 constants (hfc) a_N and a_H from the ESR simulated spectra. Values are related to the trapped
8 (or adduct) radical R° by the PBN moiety.

9 The reduction potential (E_{red} vs. SCE) and oxidation potential (E_{ox} vs. SCE) of the studied
10 compound was determined by cyclic voltammetry (Voltalab PST006) in acetonitrile solution
11 containing tetrabutylammonium hexafluorophosphate (Aldrich) as the supporting electrolyte.
12 A platinum electrode was used as a working electrode and a saturated calomel electrode
13 (SCE) was used as a reference electrode.

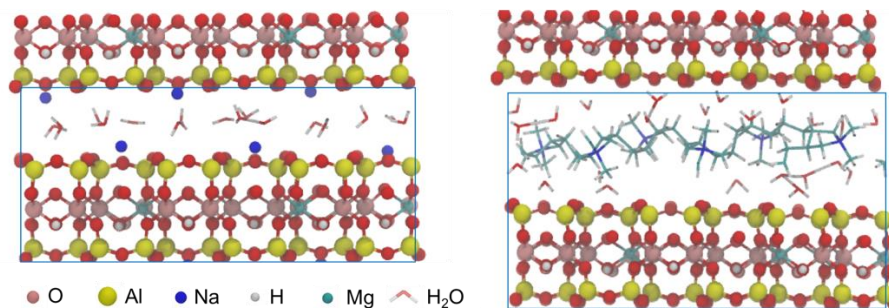
14 **H. Computational Details**

15 All geometry (atom positions + unit cell parameters) optimizations were performed using an
16 ab initio plane-wave pseudopotential approach as implemented in VASP[34, 35]. The PBE
17 functional [36, 37] was chosen to perform periodic DFT calculations with an accuracy on the
18 overall convergence tested elsewhere [38-40]. The valence electrons are treated explicitly and
19 their interactions with the ionic cores are described by the Projector Augmented-Wave
20 method (PAW) [36, 37], which allows using a low energy cut off equal to 500 eV for the
21 plane-wave basis. A $3 \times 3 \times 1$ Monkhorst-Pack mesh of k-Points is used in the Brillouin-zone
22 integration. Partial occupancies of the wave functions are determined using the tetrahedron
23 method with Blöchl corrections[41].

1 Since our system involves interacting organic molecules, the influence of introducing
2 dispersion forces was investigated by using the Grimme[42] D2 method as implemented in
3 VASP 5.4. vdW-DF was used by means of the optPBE functional[43].

4 *Bulk Models*

5 The bulk model of Mt was built in order to be as close as possible to the synthesized MT
6 while remaining calculable by DFT. The periodic super cell used in the calculations had the
7 raw formula: $\text{Al}_{18}\text{Mg}_6\text{Si}_{48}\text{O}_{120}\text{Na}_6(\text{OH})_{24}$. The super cell corresponds to a formula per half
8 unit cell of $\text{Na}_{0.5}[(\text{Al}_{1.5}\text{Mg}_{0.5})\text{Si}_4\text{O}_{10}(\text{OH})_2]$. This compares well with the experimental
9 formula $\text{Na}_{0.4}[(\text{Al}_{1.6}\text{Mg}_{0.4})\text{Si}_4\text{O}_{10}(\text{OH})_2]$. The Na^+ cations compensate for the negative charge
10 of the material. They can be replaced by the cationic polymer PDADMAC. One monomer of
11 PDADMAC is charged +1. In the model (See Figure 1), 6 monomers PDADMAC are needed
12 to replace 6 Na^+ .

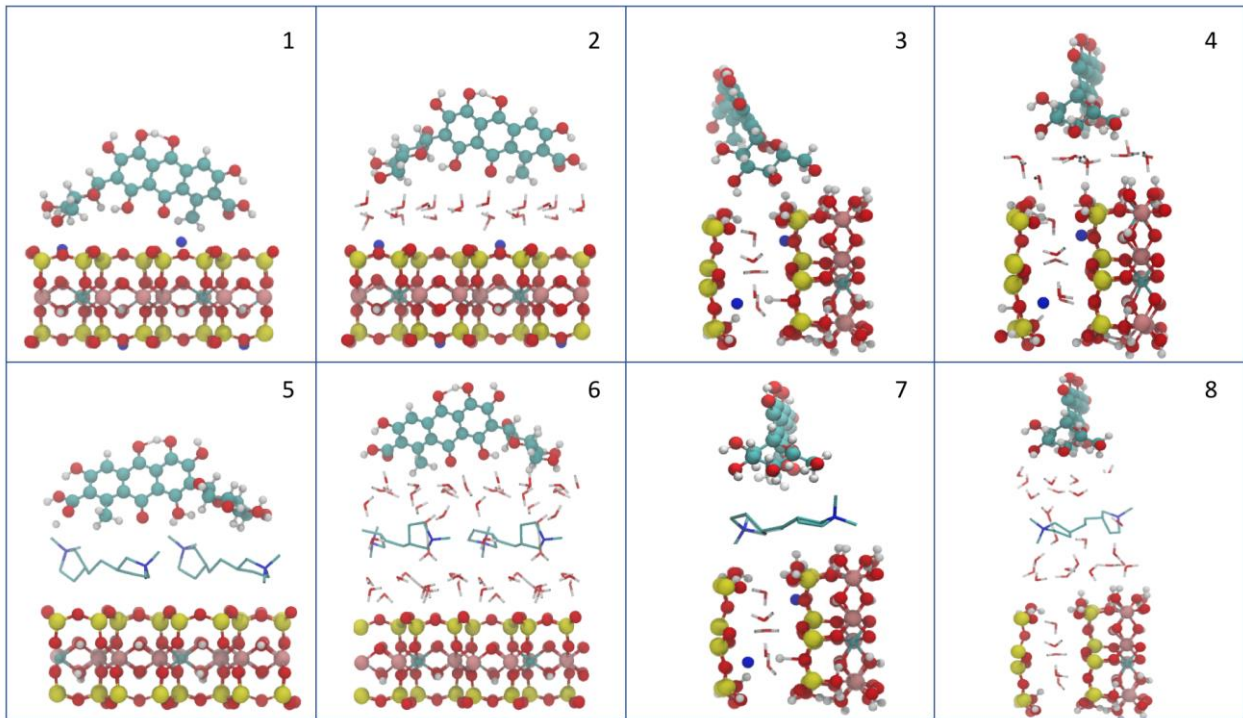


14 **Figure 1:** Two bulk models of Mt with and without the cationic polymer

15 As shown in Figure 1, a monolayer of water molecules was considered in the interlayer space
16 of Mt. The number of water molecules was chosen in order to be consistent with TG-DTA
17 experiments. For one periodic super cell, 16 water molecules were added, corresponding to
18 1.33 water molecules per half unit cell. Finally, the corresponding raw formula per half unit
19 cell is $\text{Na}_{0.5}[(\text{Al}_{1.5}\text{Mg}_{0.5})\text{Si}_4\text{O}_{10}(\text{OH})_2]1.33\text{H}_2\text{O}$.

1 *Adsorption*

2 *Models*



3

4 **Figure 2:** Eight adsorption models of CA on dry MT 001 (left) and 100 (right) surfaces

5 The considered Mt periodic cell formula is $\text{Na}_4[(\text{Al}_{12}\text{Mg}_4)\text{Si}_{32}\text{O}_{80}(\text{OH})_{16}]$. In this study, the

6 PDADMAC was modeled by two dimers instead of one polymer and the CH_3 groups were

7 removed for the sake of unit cell size consistency. The presence of the PDADMAC's methyl

8 groups would not have allowed reasonable calculations in an optimum time. The (001)

9 surface model corresponds to the same model as the bulk but with a larger interlayer distance.

10 This leads to negligible interactions between Mt layers. For the (100) surface the Mt bulk was

11 cut perpendicularly to a layer. H and O atoms were added in order to neutralize surface

12 charge. At the end, OH groups are exposed on the surface. Each of the two surfaces was

13 calculated in dry or hydrated conditions, with or without PDADMAC, leading to a total of 8

14 surface models.

15 These surface models are presented with CA above in Figure 2.

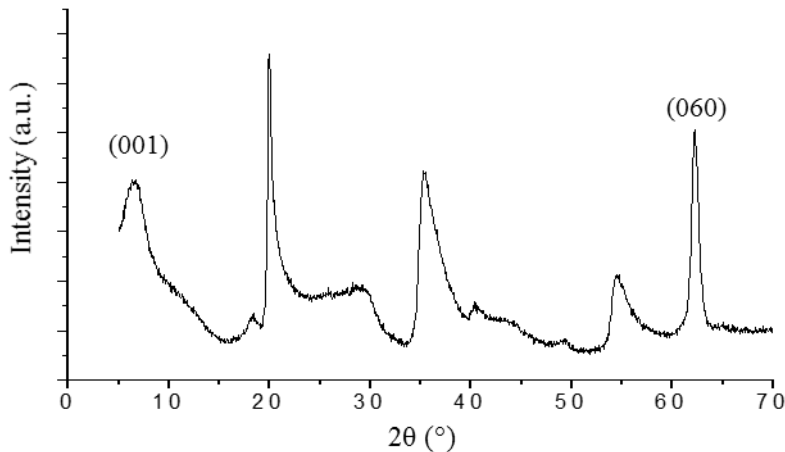
1 The adsorption energy of CA on a surface is calculated as the difference between the total
2 energy of the surface with adsorbed CA and the sum of the total energy of the bare surface
3 and the total energy of isolated CA as follows:

$$4 \quad E_{ads} = E(surface + CA) - E(surface) - E(CA) \quad (eq. 6)$$

5 Results and discussion

6 X-ray diffraction

7 XRD pattern of the initial montmorillonite exhibit (hkl) bands typical of smectites (Figure
8 3).[44]



9

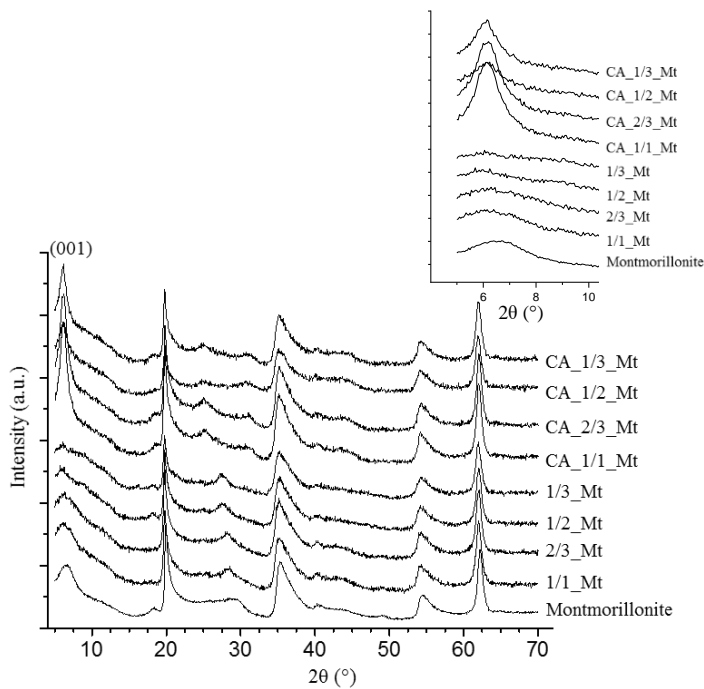
10 **Figure 3:** X-ray diffraction pattern of the Na⁺- Mt

11 The (001) reflection corresponds to an inter-reticular distance of 1.26 nm that can be assigned
12 to “one” water layer for montmorillonite. The d₀₆₀ value of 0.149 nm is consistent with the
13 dioctahedral character of the layers (occupation of 2/3 of the octahedral cavities by trivalent
14 elements).

15 After mixing with the cationic polymer, the d₀₀₁ value reaches 1.47 nm whatever the amount
16 of organic matter, indicating that the polymer is probably intercalated in the interlayer space.

17 The addition of carminic acid to the composite “cationic polymer_Mt” does not lead to

1 considerable changes in the XRD pattern except for a narrowing of the (001) reflection
2 (Figure 4).



3
4 **Figure 4:** XRD patterns of raw Mt and all hybrid materials, in insert a zoom on the (001)
5 reflection.

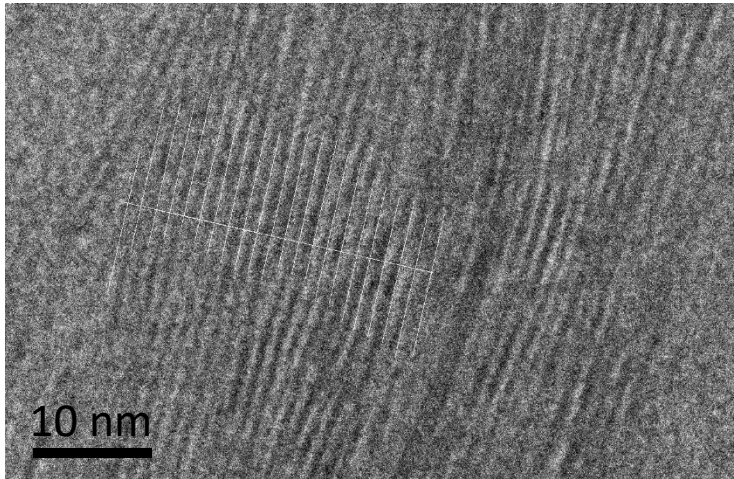
6 Such narrowing could be due to a rearrangement of polymer moieties in the interlayer space.
7 Indeed, the adsorption of the cationic polymer was performed by wet impregnation without
8 any washing procedure whereas the dyeing procedure was followed by a washing step that
9 likely removed the sodium cations exchanged by the cationic polymer in the interlayer space.
10 An additional reflection is observed for these samples around 25° in 2θ and is attributed to the
11 (004) plan, attesting a better organization of the layers along the c-axis.

12 **Transmission Electron Microscopy**

13 In order to investigate more precisely polymer intercalation in the interlayer space of Mt,
14 TEM experiments were conducted. The micrograph corresponding to raw Mt exhibits layers
15 with a $d_{(001)}$ value of ~ 1.2 nm. As regards the CA-CP-Mt micrographs (Figure 5), they exhibit
16 stacked layers with a $d_{(001)}$ spacing of ~1.30 nm. Such a value is significantly higher than the

1 value obtained for the starting montmorillonite and is also rather high considering the fact that
2 the microscope operates under vacuum. It then strongly suggests that cationic polymer
3 molecules are present in the interlayer space of montmorillonite after the impregnation
4 procedure.

5



6

7 **Figure 5:** TEM image of CA-CP-Mt composites oriented along C-axis.

8

9 **DFT Calculations**

10 The interlayer distance was calculated as the distance between the center of an oxygen atom
11 from the top layer and the center of an oxygen atom from the bottom layer minus one oxygen
12 van der Waals radii. If the cationic polymer is in the interlayer space of bulk Mt, an
13 equilibrium interlayer distance of 7.6 Å was calculated. This is to be compared to the value of
14 3.6 Å that was calculated for the same model with Na⁺ ions as interlayer cations. A d₀₀₁ of
15 1.427 nm should then be obtained for polymer-intercalated montmorillonite, which concurs
16 with XRD results.

17 **Table 1:** interlayer distances of Mt bulk with Na⁺ cations or cationic polymer as counter ions

Interlayer ions	Na⁺	PDADMAC
Interlayer	3.6 Å	7.6 Å

Theoretical Distance		
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Table 2: Adsorption Energies of Carminic Acid (CA) on Mt 001 and 100 Surfaces (kJ/mol).
The numbers in the table correspond to the model numbers in Figure 2.

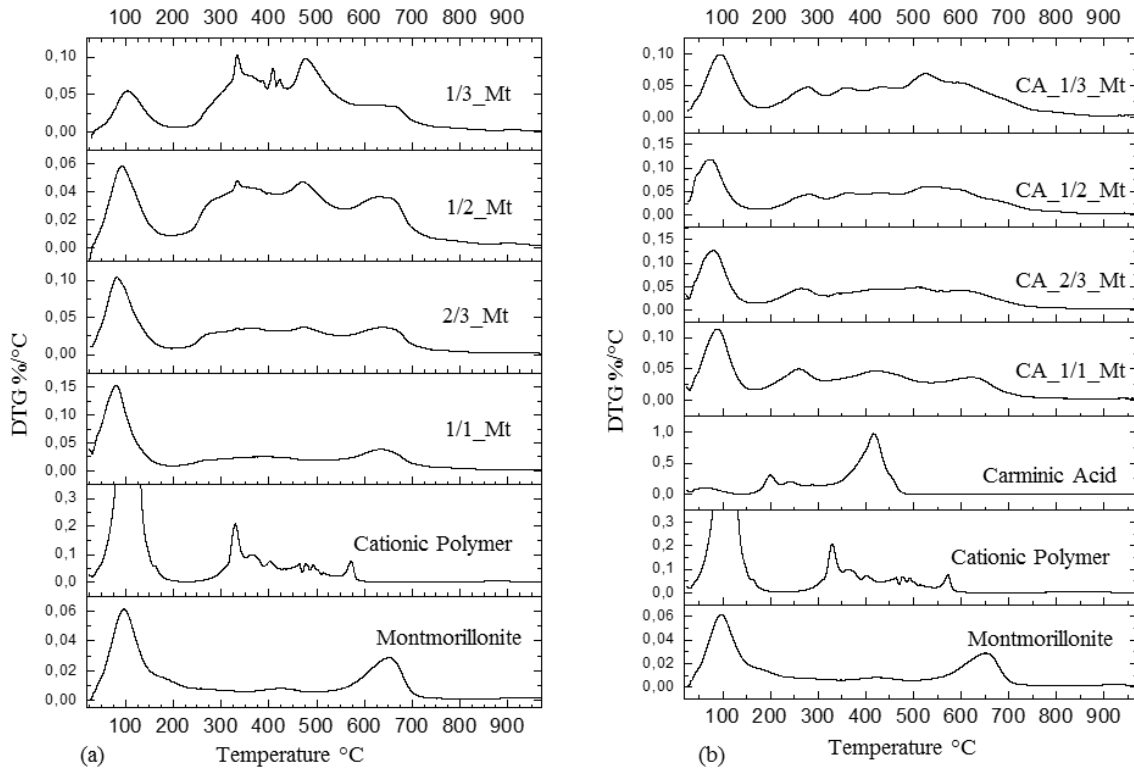
Model	001 surface	100 surface
Mt CA	1 : -7.9	3 : -24.0
Mt-polymer CA	5 : +3.9	7 : +3.1
MT CA hydrated	2 : -58.9	4 : -60.6
MT-polymer CA hydrated	6 : -57.2	8 : -59.7

No stabilization of CA on dry Mt surfaces (001) and (100) was observed. On the contrary, a consistent stabilization was observed on the hydrated surfaces. This highlights the role of water in such a composite. It can act as a glue to fix molecules at the surfaces of Mt, as has been observed and confirmed in the case of silica[45-48] e.g. This behavior is due to the capacity of water molecules to form a flexible network of hydrogen bonds between the adsorbate and the substrate. Interestingly, no significant difference in adsorption energy was found between (001) and (100) surfaces of Mt.

Thermogravimetric Analysis

The amount of adsorbed organic matter in the composite materials was obtained by thermal analysis. The DTG curves corresponding to the raw materials and to all the cationic polymer/carminic acid composites are shown in Figure 6a and b. For raw Mt, water loss occurs at low temperature (< 200°C) whereas dehydroxylation corresponding to a weight loss of 5.6% is observed at high temperature around 650°C. For pure cationic polymer, a first endothermal signal assigned to water loss of is observed below 200°C. Organic matter degradation occurs in the range 230-620°C and is accompanied by an exothermal signal

1 observed by DTA (not shown). Carminic acid displays a similar behavior with a water weight
2 loss occurring before 130°C and the oxidation of the organic part between 130°C and 530°C.
3 The DTG curves of CP-Mt composites can be separated in three regions. In the 30°C-170°C
4 range, a large weight loss associated with an endotherm corresponds to the elimination of
5 physisorbed water (including interlayer water for the smectite supports). The corresponding
6 amounts in this step are 6, 14.1, 10.1, 5.9 and 5.9 % for Mt, 1/1_Mt, 2/3_Mt, 1/2_Mt and
7 1/3_Mt, respectively. The region 200-730°C corresponds to the decomposition of the cationic
8 polymer and accounts for weight losses of 9.6, 13.7, 16.3 and 30.3 % 1/1_Mt, 2/3_Mt, 1/2_Mt
9 and 1/3_Mt, respectively. For the highest amount of cationic polymer, the presence of sharp
10 peaks around 330-400°C on the DTG curve suggest that some polymer remains free.
11 Assuming that dehydroxylation also occurs in the composites and still corresponds to a 5.6%
12 wt loss, and assuming that all weight losses for temperatures higher than 170° are due to
13 elimination of organic material, the total amount of organic matter calculated from the total
14 weight loss between 170 and 730 °C is 19.7, 23.1, 27.6 and 28.6 % for CA_1/1_Mt,
15 CA_2/3_Mt, CA_1/2_Mt and CA_1/3_Mt, respectively. Comparing lost amounts between
16 CP-Mt composites and CA-CP-Mt composites suggests that for the highest amount of cationic
17 polymer, the free part of cationic polymer is somehow replaced by adsorbed carminic.



1
 2 **Figure 6:** DTG curves of (a) raw Mt and its hybrid derivatives with the cationic polymer and
 3 (b) raw Mt and the composites based on CA-CP-Mt.

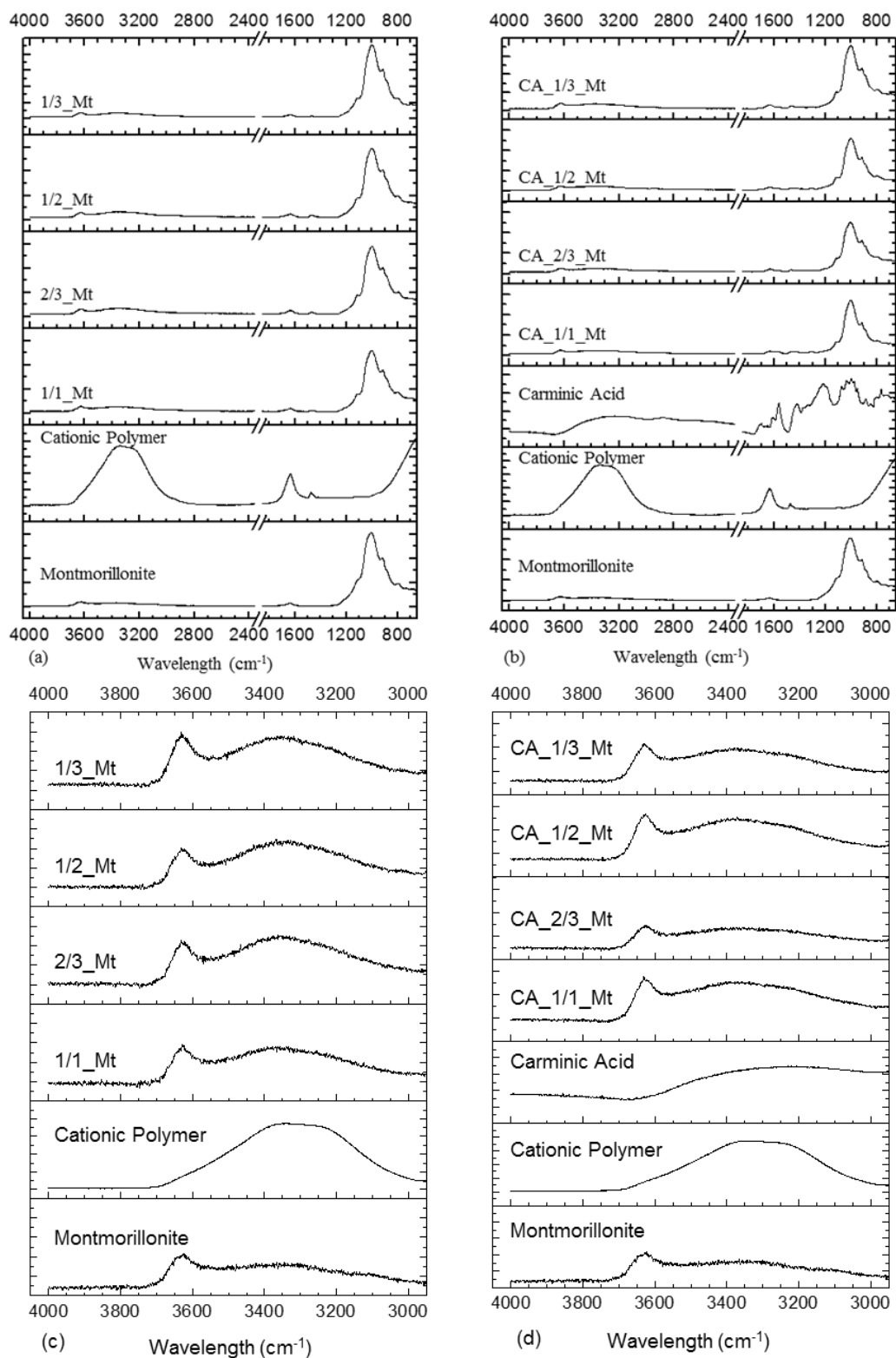
4 **Zeta Potential**

5 As expected, raw montmorillonite, displays a negative surface charge (-40 mV). After
 6 adsorption of the cationic polymer, the measured zeta potential evolves with the amount of
 7 fixed polycation. For a montmorillonite/polymer ratio of 1/1 the surface charge is still
 8 negative (-25mV), which suggests that the exchange between sodium cations and polycations
 9 is not complete. The point of zero charge is reached for a ratio of 2/3 and charge reversal
 10 starts occurring for a theoretical ratio of 1/2.

11 12 **Infrared spectroscopy**

13 The FTIR spectra of raw montmorillonite is displayed in figure 7: a band at 3635 cm⁻¹
 14 corresponding to structural OH groups is observed. The presence of hydration water can be
 15 inferred from the existence of a broad multicomponent band between \approx 3500 -3000 cm⁻¹,
 16 assigned to OH stretching vibrations (ν O-H) and a signal at 1630-1640 cm⁻¹ assigned to the

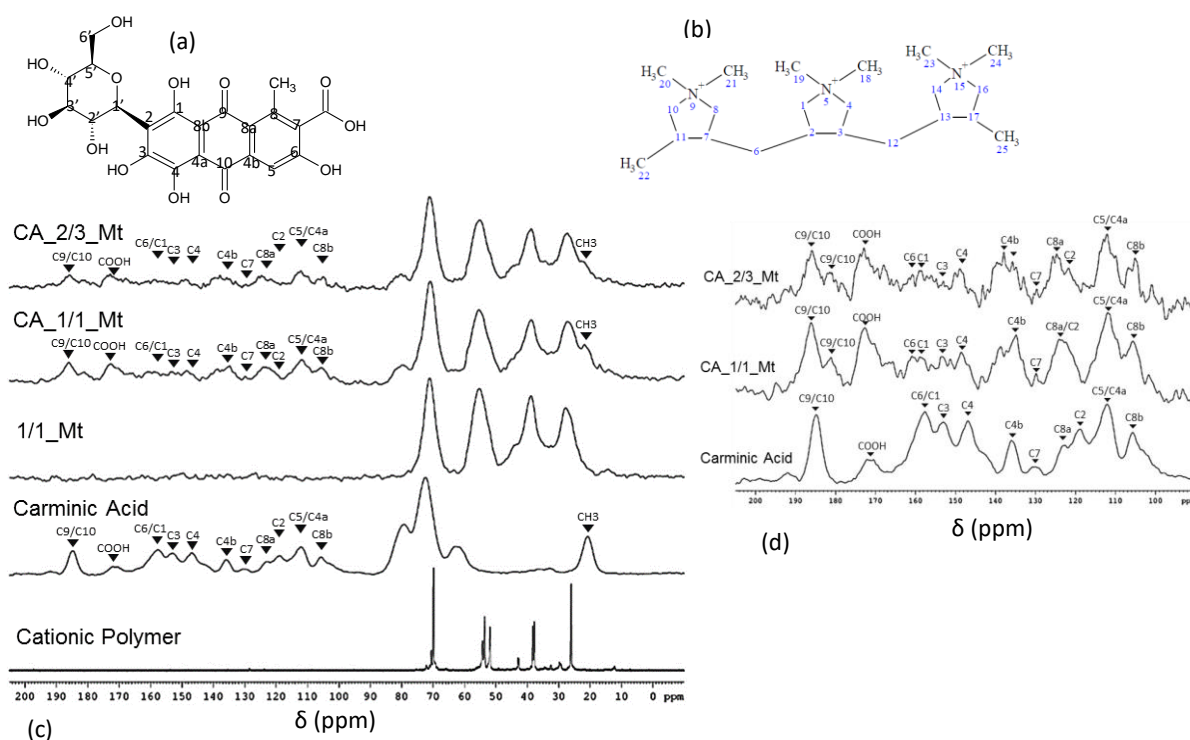
1 δ H-O-H band. In the low-energy region, bands characteristic of the vibrations of the sheets
2 are present: one broad band with a maximum at 1045-1050 cm^{-1} and shoulders at 1015-1017
3 and 1080 cm^{-1} , assigned to Si-O stretching and Si-O-Si bending vibrations of the tetrahedral
4 sheet together with a band at 918 cm^{-1} associated with (Al, Al)-OH vibrations in the
5 octahedral sheet.



1
 2 **Figure 7:** IR spectra of the (a) raw Mt and its hybrid derivatives with the cationic polymer,
 3 (b) raw Mt with the composites based on CA-CP-Mt and (c) and (d) respectively zooms of (a)
 4 and (b) in the 4000-3000 cm⁻¹ water region.

1 The IR spectrum of the cationic polymer displays a broad signal in the region 3100-3600 cm^{-1}
 2 ¹, where bands assigned to the -OH (water) and to protonated amine are overlapping[49]. The
 3 presence of a band at 1465 cm^{-1} indicates a long carbon chain with a high degree of regularity
 4 for the linear backbone structure.
 5
 6 The spectrum corresponding to carminic acid displays specific features. Some of them are
 7 linked to aromatic functions such as C=C stretching vibrations (1560 cm^{-1}) and -CH
 8 deformational vibrations (660 cm^{-1}). In addition, the spectrum exhibits a clear signal at ~890
 9 cm^{-1} assigned to bending vibrations of OH groups from carboxyl group and bands in the
 10 region 1200-1250 cm^{-1} assigned to catechol functions [50-52]. The IR spectra of the
 11 montmorillonite/cationic polymer composites do not show any specific signals that could
 12 indicate particular interaction between compounds.

13 Nuclear Magnetic Resonance



14

1 **Figure 8:** (a) carminic acid structure (b) Cationic polymer structure (c) NMR spectra of
2 hybrid materials, cationic polymer and carminic acid and in insert (d) zoom in the 100-200
3 ppm region.

4

5 ^{13}C CP-MAS NMR spectra of some selected samples are presented in Figure 8. The ^{13}C NMR
6 spectrum of carminic acid displays resonances over a wide range of chemical shifts. The peak
7 at 20.8 ppm is assigned to the methyl group linked to the C8. The region between 60 and 80
8 ppm corresponds to the sugar part of the dye molecule. The numerous peaks at higher
9 chemical shifts correspond to the various C atoms of carminic acid whereas the peak at 172
10 ppm is assigned to carboxylate moieties and that at 185 ppm to ketones functions.

11 The spectrum of the cationic polymer displays several peaks in the region of 10 to 75 ppm.
12 The peaks in the region 50-57 ppm are related to C22, C23, C25. The signals of the carbons
13 bearing the nitrogen (in the cationic polymer) are located in the region 66-77 ppm.

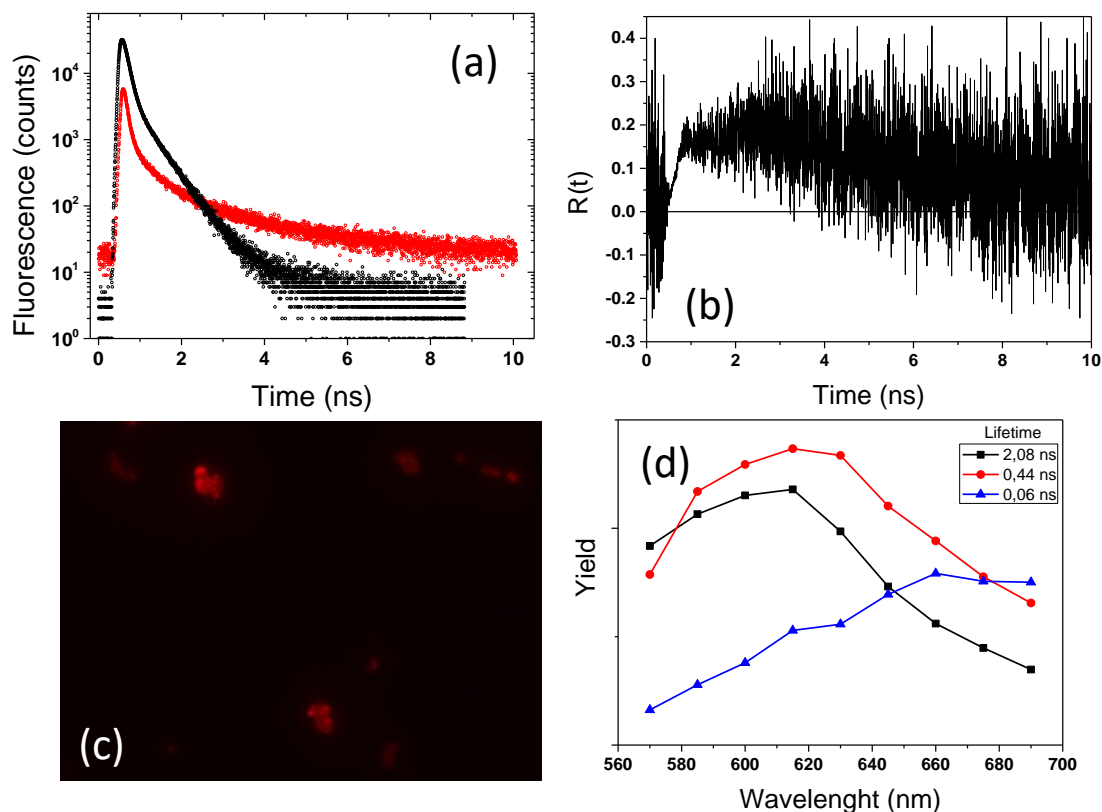
14 The spectrum of 1-1 CP-Mt composite displays all the peaks previously assigned to the
15 cationic polymer. Still, no direct comparison can be done since the pure polymer is liquid
16 whereas the composite is solid.

17 Spectra of CA-CP-Mt composites display two series of peaks: that corresponding to the dye
18 molecule and that to the cationic polymer. These latter signals are not affected by the presence
19 of the CA, which confirms that polymer intercalation in montmorillonite is not modified in
20 the presence of CA. In contrast, several changes can be observed in the region assigned to the
21 organic dye and are highlighted in Figure 8d: a splitting of the peak assigned to the ketone
22 functions is observed resulting in peaks at 186 and 181 ppm. In the range corresponding to
23 carboxylic acid, additional peaks appear at 168.8 and 166 ppm. The signals assigned to the
24 carbons bearing the $-\text{OH}$ (C1, C3, C4 and C6) are strongly affected in the region 147-158

1 ppm. Finally, the peak at 72.6 ppm assigned to the OH bearing carbon is shifted to 71.3 ppm
2 where it overlaps the signal assigned to the nitrogen bearing carbon in the cationic polymer.

3 **Fluorescence imaging and time resolved fluorescence**

4 In a previous work we showed that the fluorescence lifetime of carminic acid was strongly
5 dependent on the nature of the exchangeable cation of Mt[44, 53]. The fluorescence decay of
6 carminic acid was recorded under an excitation wavelength of 540 nm and at different
7 wavelengths from 560 nm to 670 nm by 10 nm step. In water, carminic acid has an average
8 fluorescence lifetime of 0.2 ns that can be decomposed into 3 components 0.09 ns, 0.46 ns
9 and 1.33 ns. When adsorbed on cationic polymer-Mt, the average fluorescence lifetime is
10 longer (0.54 ns). The anisotropy functions that are directly connected to rotational diffusion
11 coefficient due to Brownian motion yield a long correlation time (~50 ns) much longer than in
12 solution (≈ 0.3 ns)[54]. This long correlation time can be assigned to the rotation of carminic
13 acid loaded on clay, and the lack of any short correlation time confirms that carminic acid is
14 not released from the surface, which concurs with fluorescence microscopy results that show
15 that dye is only located on clay particles.



1

2 **Figure 9:** Fluorescence analysis (a) fluorescent decay of Carminic acid loaded on polymer-Mt
 3 (red) and free in water (black) (b) anisotropy function of Carminic acid loaded on polymer-Mt
 4 (c) epifluorescent imaging (d) spectra of each lifetime of Carminic acid loaded on polymer-
 5 Mt.

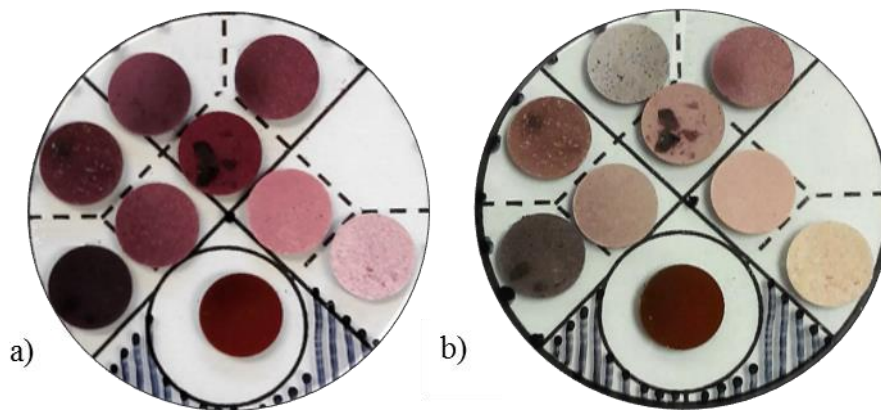
6 To deepen our investigation, the fluorescence decay of carminic acid loaded on cationic
 7 polymer-clay was recorded at different wavelength from 560 to 700 nm. Four components are
 8 required (4 lifetimes) for a proper analysis of decays. The shorter one ~ 0.01 ns could be
 9 assigned to a contribution of scattered light. This is however unlikely as a double
 10 monochromator was used in the experiments. The three other lifetimes measured are 0.06 ns,
 11 0.44 ns and 2.08 ns. This latter time is significantly higher than that of carminic acid in
 12 solution, which again confirms the interaction between dye and solid.

13 **Desorption test**

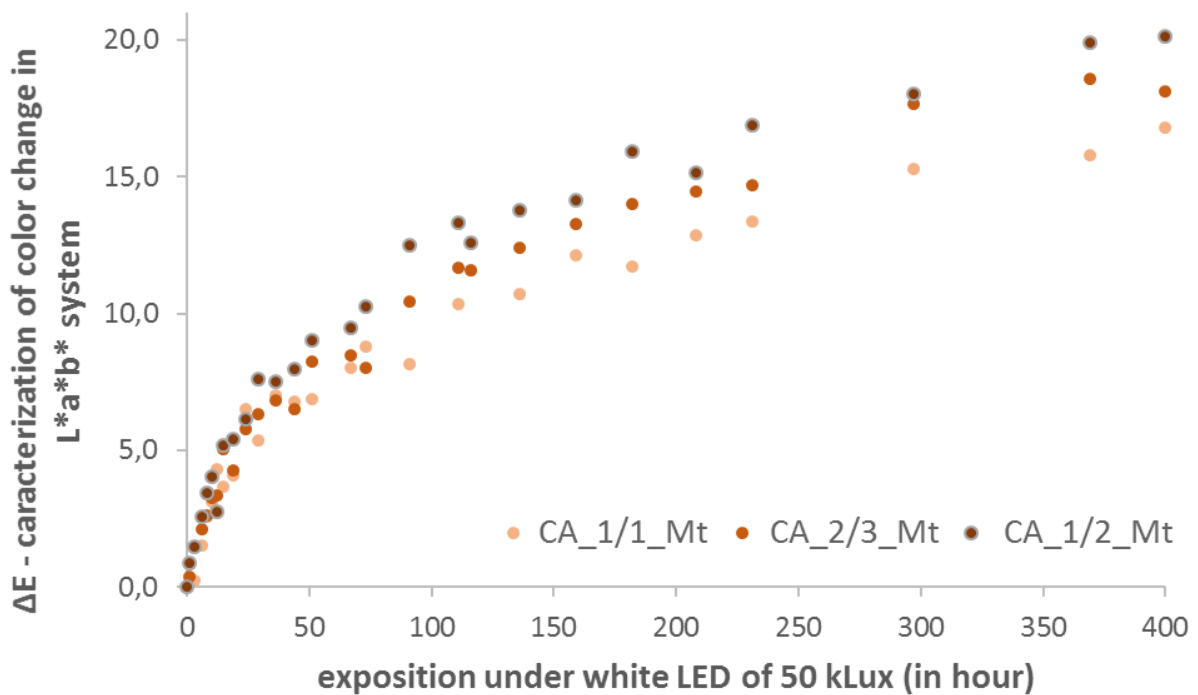
1 Desorption tests for samples containing CA were carried out in different solvents: distilled
 2 water at pH 6, ethanol and ethylene glycol. No release of the dye was ever observed and the
 3 results were confirmed by resolved time fluorescence.

4 Spectrophotocolorimetry

5 To follow the ageing and fading of samples, pellets 5mm in diameter were pressed to obtain a
 6 flat surface allowing an easy and reproducible acquisition of the spectrum in visible light.



7
 8 **Figure 10:** Color of the samples before exposition a) and after 400h under light b)



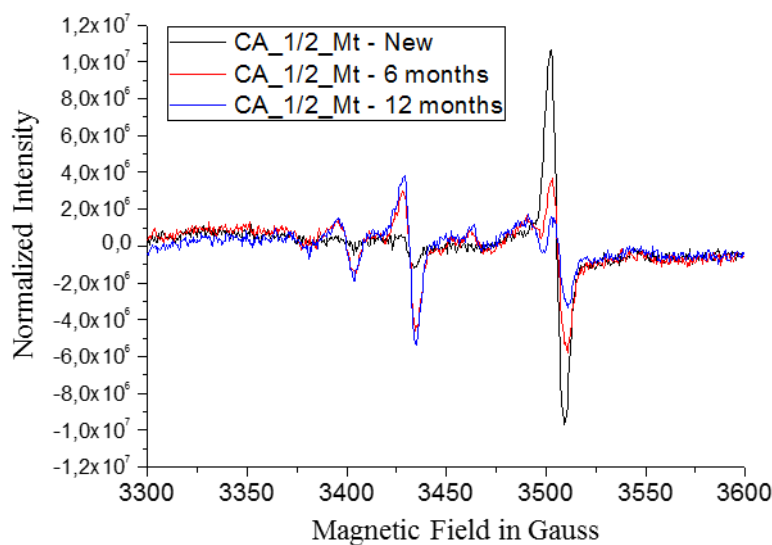
9
 10 **Figure 11:** Ageing of pigments followed by color change observations over the 400h
 11 exposition under light.

1 The different dyed samples were submitted to ageing under light. Different measurements of
2 the parameters $L^*a^*b^*$ were performed at different light irradiation time. Fading is observed
3 in the first hours of irradiation for the Mt-carminic acid solid (blank). At longer times, all
4 curves are close to each other. The presence of the cationic polymer in the composite CP-CA-
5 Mt then does not seem to affect the photostability of the samples even if it prevents the dye
6 from being released in different solvents.

7 **Electron Paramagnetic Resonance**

8 To follow the degradation of the pigment, samples with different ageing were prepared.
9 CA_1/2_Mt – New were synthesized just before the EPR experiment, while others were
10 placed under ambient light condition during 6 and 12 months, respectively. EPR results
11 expressed in normalized intensities are shown in Figure 12.

12



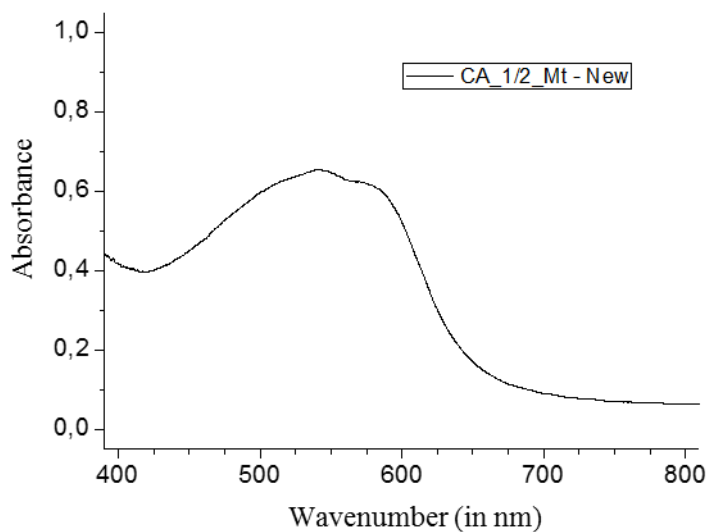
13

14 **Figure 12:** EPR on samples with long time exposure to ambient light

15 Three main contributions can be observed at 3400 G, 3430 G and 3500 G. They all
16 correspond to organic radicals and can be assigned to carminic acid, as samples with cationic
17 polymer only do not exhibit any EPR signal. Fresh pigment does not display any clear
18 contribution at 3400 G or 3430 G and a strong signal at 3500 G that is much higher than for

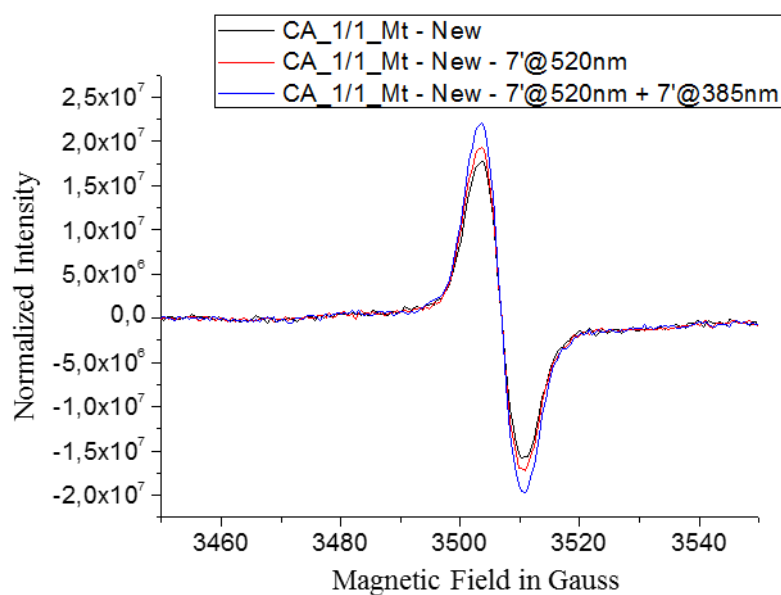
1 aged pigments. In contrast, both aged samples display a weaker contribution at 3500G and
2 stronger signals at 3400 and 3430 G, longer aging resulting in decreasing signals at 3500G
3 and increasing ones at both 3400 and 3430 G. The ageing process then appears to occur in
4 subsequent steps, with a rapid formation of radicals at 3500 G that then evolve to form at
5 3400 G and 3430 G.

6 In order to see if intense light could drive a fast radical production, EPR experiments were
7 performed after some focused ageing of the pigments using a laser beam. Two types of laser
8 were used: a green one, as it is the main absorbed color from the red carminic acid dye (see
9 Figure 13), and an UV one, as UV illumination is commonly used for forming radicals on
10 organic compounds. No change could be observed at either 3400 G or 3430 G, but the 3500 G
11 radicals were clearly sensitive to the light beam, 7 minutes of laser illumination leading to
12 increased signals at 3500 G (see Figure 14).



13

14 **Figure 13:** Pigment Absorbance in the visible light range



1

2 **Figure 14:** EPR on samples with short time exposure to focused light

3 Finally, the “g” values corresponding to the 3 radicals observed by EPR spectroscopy can be
4 calculated.

5 $g_1 = 2.0057 \pm 0.0005$ [field 3506.5 ± 1.0]

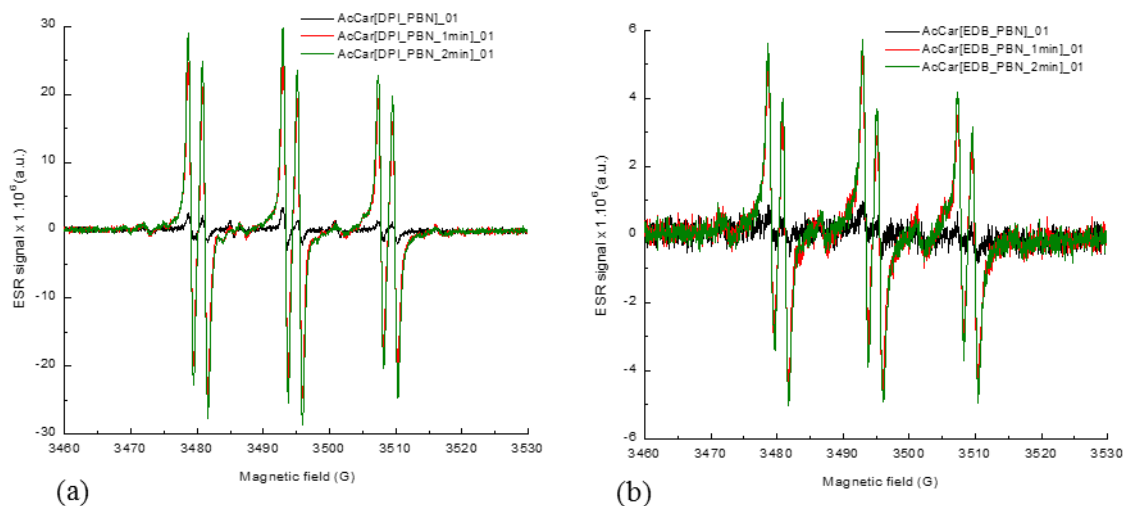
6 $g_2 = 2.0492 \pm 0.0005$ [field 3430.7 ± 1.0]

7 $g_3 = 2.0684 \pm 0.0005$ [field 3399.2 ± 1.0]

8 These 3 values are typical of organic radical species but cannot be interpreted any further as
9 the degradation products of the dye are not known precisely. To gain additional mechanistic
10 information, EPR experiments were carried out on carmine red in solution in tert-
11 butylbenzene.

12 In a first experiment, carminic acid was mixed with diphenyl iodonium hexafluorophosphate
13 (DPI), well known for its oxidizing properties i.e. DPI is an excellent electron acceptor to
14 simulate the photooxidation of the dye upon light irradiation. In a second one, the dye was
15 mixed with ethyl dimethylamino benzoate (EDB), a chemical compound with a reducing
16 properties (amines are good electron donors) to simulate photoreduction of the dye upon
17 irradiation.

- 1 In both experiments, spin trap, N-tert-Butyl- α -phenylnitrone (PBN) was added, to ensure
- 2 detection of any formed radical after mixing, or during photo-activation.
- 3 Figure 15 present the EPR spectra corresponding to these two experiments.



4
5 **Figure 15:** EPR of Carminic Acid with a) DPI and with b) EDB

6 In both cases, a clear effect is observed, which shows that carminic acid can behave both as an
7 oxidant and a reducing agent. upon light irradiation. The oxidation and reduction potentials
8 of carminic acid were determined as 1.32V and -0.88V, respectively. These potentials being
9 also typical of rather good electron donor and acceptor in agreement with potential
10 photooxidation or photoreduction of carminic acid upon light.

11 **Conclusion**

12
13 The first question that may be asked is what happens initially when the cationic polymer-clay
14 composite is in contact with carminic acid. In the composite, as shown by XRD and TEM
15 experiments, the cationic polymer appears to be at least partially intercalated in the interlayer
16 clay space since the d001 values obtained for both hydrated and dehydrated systems are
17 higher in the presence of polymers. Furthermore, DFT calculations confirm the intercalation
18 of cationic polymers in the hydrated interlayer space of Mt. In such conditions, carminic acid
19 is very unlikely to be able to access the interlayer space. When high amounts of cationic
20 polymer are contacted with montmorillonite, charge inversion is observed through excess

1 adsorption of cationic polymers, which can provide a favourable environment for carminic
2 acid adsorption. Dye adsorption indeed occurs in such conditions as revealed by both IR and
3 NMR spectroscopy. The main adsorption mechanism appears to be hydrogen bonding
4 between OH groups of the carminic acid and both surface groups present on the edge faces of
5 clay mineral and adsorbed cationic polymers molecules present on basal faces.
6 The DFT calculations highlighted the role of water in the stabilization of CA on Mt surface.
7 via hydrogen bonds.
8 Consequently, as the interactions between dye molecules and CP-Mt composites are mainly of
9 van der Waals type, dye photostability in such composite is only marginally improved as
10 revealed by irradiation experiments. Still, hydrogen bonding prevents the release of dye
11 molecules when the composites are placed in various solvents. Such a feature may be
12 advantageously used to design environmental applications (depollution) of clay-polymer
13 composites. Finally, EPR results clearly show that photodegradation involves the formation of
14 organic radicals the amount of which increases with irradiation time. Further experiments
15 would be required to precisely unravel these degradation mechanisms via a radicalar
16 approach.

17

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21

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