

Approaches to target IgE antibodies in allergic diseases

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1	Approaches to target IgE antibodies in allergic diseases
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24 Abstract

IgE is the antibody isotype found at the lowest concentration in the circulation. However IgE 26 can undeniably play an important role in mediating allergic reactions; best exemplified by the 27 28 clinical benefits of anti-IgE monoclonal antibody (omalizumab) therapy for some allergic 29 diseases. This review will describe our current understanding of the interactions between IgE 30 and its main receptors FceRI and CD23 (FceRII). We will review the known and potential 31 functions of IgE in health and disease: in particular, its detrimental roles in allergic diseases 32 and chronic spontaneous urticaria, and its protective functions in host defense against parasites and venoms. Finally, we will present an overview of the drugs that are in clinical 33 34 development or have therapeutic potential for IgE-mediated allergic diseases.

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38 Keywords

Immunoglobulin, FceRI, FceRII, CD23, omalizumab, DARPins, antibody, allergy,
anaphylaxis, asthma, atopic dermatitis

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43 Abbreviations

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AD: atopic dermatitis; Ag: antigen; ADAM10: a disintegrin and metalloprotease 10; ADCC: 45 antibody-dependent cell-mediated cytotoxicity; AECs: airway epithelial cells; ASST: 46 47 autologous serum skin test: C ϵ : constant epsilon domain of IgE: C_L: constant region of an antibody's light chains; CSU: chronic spontaneous urticaria; DARPins: designed ankyrin 48 repeat proteins; DC: dendritic cell; Fab: fragment antigen-binding region; Fc: fragment 49 50 crystallizable region of an antibody; HRF: histamine releasing factor; IECs: intestinal 51 epithelial cells; Ig: immunoglobulin; IL: interleukin; ITAM: immunoreceptor tyrosine-based 52 activation motif; mIgE: membrane-bound IgE; PCA: passive cutaneous anaphylaxis; PLA2: phospholipase A2; PSA: passive systemic anaphylaxis; Tg: transgenic; T_H2: T cell helper 53 type 2; **TPO**: Thyroperoxidase; V_{H} : variable region of an antibody's heavy chains; V_{L} : 54 55 variable region of an antibody's light chains; WT: wild type.

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96 **1. Introduction**

97

98 Immunoglobulin E (IgE) was discovered about 50 years ago. In 1966, the Ishizakas' 99 group in Japan described an immunoglobulin different from the known immunoglobulin 100 classes, that could induce allergic reactions in the skin, and which they called γE antibody 101 (Ishizaka and Ishizaka 1967). During the same period, the group of Johansson and Bennich in 102 Sweden isolated a new immunoglobulin class, which they called IgND (Johansson and 103 Bennich 1967). It soon turned out that yE and IgND belong to the same and unique antibody 104 class, and the official name IgE was given in 1968 (Bennich, Ishizaka et al. 1968). The story 105 behind this discovery has been the subject of many reviews, including two recent reviews by 106 the discoverers themselves (Ishizaka and Ishizaka 2016, Johansson 2016). IgE is the isotype 107 found at the lowest concentration in the circulation (50-200 ng/ml IgE in healthy individuals 108 vs. ~10 mg/ml for IgG) (Dullaers, De Bruyne et al. 2012). However, IgE levels can increase 109 dramatically in individuals with allergic diseases (Galli and Tsai 2012, Platts-Mills, Schuyler 110 et al. 2016). Indeed, the importance of IgE in allergy was demonstrated at the time of its 111 discovery, when the investigators identified that purified IgE was capable of transferring skin 112 reactivity from sensitized human subjects to naive hosts (Ishizaka and Ishizaka 2016, Johansson 2016). This discovery has had great importance for both the diagnosis and 113 114 treatment of allergic disorders: quantification of allergen-specific IgE is one of the main 115 diagnostic criteria for allergies (Hamilton, MacGlashan et al. 2010), and the anti-IgE 116 therapeutic antibody omalizumab is now approved for the treatment of moderate to severe 117 persistent allergic asthma, and shows great potential for the treatment of other allergic diseases (Humbert, Busse et al. 2014, Pelaia, Vatrella et al. 2015, Kawakami and Blank 118 119 2016). Omalizumab has also been approved for the treatment of chronic spontaneous urticaria 120 (CSU), demonstrating that the pathologic functions of IgE extend beyond allergy (Maurer,
121 Rosen et al. 2013, Chang, Chen et al. 2015, Zhao, Ji et al. 2016).

122

IgE antibodies exist in two forms: a membrane-bound form (mIgE) expressed by B cells that have undergone class switching to IgE, and a secreted form produced by plasma B cells. mIgE serves as a B cell receptor involved in antigen uptake and presentation. The structure and functions of mIgE, as well as the regulation of IgE synthesis, have been extensively reviewed elsewhere (Geha, Jabara et al. 2003, Gould and Sutton 2008, Wu and Zarrin 2014). This review will focus mainly on the effector functions of secreted IgE (hereafter referred to as 'IgE').

130

131 IgE exerts its biological functions by binding to two main receptors: FceRI and CD23 132 (FceRII). The high affinity IgE receptor, FceRI, is expressed on the surface of blood basophils 133 and tissue resident mast cells; and on other cell types in humans (but not in mice), including 134 neutrophils, eosinophils, platelets, monocytes and dendritic cells (Kraft and Kinet 2007). The 135 low affinity receptor CD23 is expressed mainly by B cells (Sutton and Davies 2015), but also 136 by several other cell populations including neutrophils, eosinophils, follicular DCs and 137 intestinal epithelial cells (IECs) (Acharya, Borland et al. 2010). CD23 on B cells serves 138 mainly as a negative regulator of IgE synthesis (Acharya, Borland et al. 2010). Crosslinking 139 of FceRI-bound IgE can initiate allergic reactions by inducing the activation of mast cells and 140 basophils, the immediate release of preformed granule-stored mediators such as histamine and 141 proteases, and the *de novo* production of lipid mediators (e.g. prostaglandins, leukotrienes), 142 cytokines and chemokines (Galli, Kalesnikoff et al. 2005, Voehringer 2013, Wernersson and 143 Pejler 2014).

In this review, we will describe our current understanding of the interactions between IgE and its receptors FceRI and CD23. We will review the known and potential functions of IgE antibodies in health and disease, in particular their detrimental roles in allergic diseases and chronic spontaneous urticaria, as well as their protective functions in host defense against parasites and venoms. Finally, we will present an overview of the drugs that are in clinical development or have therapeutic potential for IgE-mediated allergic diseases.

151 **2. IgE structure**

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153 IgE antibodies are composed of two identical heavy chains (each comprising a 154 variable V_H domain and four constant Cε domains) and two identical light chains (composed of a variable V_L domain and a constant C_L domain) with a total molecular weight of 190 kDa 155 156 (Gould and Sutton 2008, Wu and Zarrin 2014) (Figure 1). Similar to other antibody classes, the Fab region of IgE is responsible for antigen recognition and binding, while the effector 157 158 function of IgE is determined by the carboxy-terminal Fc portion (Gould and Sutton 2008, 159 Wu and Zarrin 2014). IgE shares a similar overall structure with IgG, with the exception of an 160 additional domain in the heavy chain (C ε 2). As detailed in part 3.1.3, this additional C ε 2 161 domain corresponds to the location of the flexible hinge region found in IgG, and plays a 162 major role in enhancing the stability of the interaction between IgE and its high affinity 163 receptor FceRI (McDonnell, Calvert et al. 2001). The FceRI binding site is located in the Ce3 164 domain and in the Cc2-Cc3 linker region (Garman, Wurzburg et al. 2000) (described in more 165 detail in part 3.1.3). The binding site to the low affinity IgE receptor CD23 is also primarily 166 located within the CE3 domain, with contributions from the CE4 domain (described in more 167 detail in part 3.2.3) (Figure 1). The crystal structure of the human C ε 3-C ε 4 domains revealed 168 that, by rotating relatively to $C\varepsilon 4$, $C\varepsilon 3$ can adopt either 'open' or 'closed' conformations. This 169 conformational flexibility regulates the binding of IgE to both FceRI and CD23 (Garman, 170 Wurzburg et al. 2000, Wurzburg, Garman et al. 2000). These features are discussed in more 171 detail in part 3.1.3 & 3.2.3. Several intra- and inter-domain disulphide bridges control the structure and activity of IgE, which is also regulated by glycosylation at various sites (Figure 172 173 1). In particular, disruption of the glycosylation site found in the C ε 3 domain at asparagine-394 (N394) in humans, and N384 in mouse, abrogates the binding of IgE to FceRI, 174

highlighting the importance of glycosylation modifications in IgE biology (Shade, Platzer etal. 2015).

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178 **3. IgE receptors**

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180 **3.1. The high affinity IgE receptor FceRI**

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- 182 *3.1.1. FceRI structure and expression*
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FccRI is the high affinity receptor for IgE (K_d of $\sim 10^{-9}$ to 10^{-10} M). It is constitutively 184 185 expressed at high levels on both human and rodent mast cells and basophils as a tetramer 186 formed of one α subunit, one β subunit, and a dimer of disulfide-linked γ subunits (Blank, Ra et al. 1989). The α subunit (FceRI α) belongs to the immunoglobulin (Ig) superfamily, with an 187 188 extracellular portion composed of two Ig-like domains (D1 and D2), containing the IgE 189 binding sites, a transmembrane domain and a short cytoplasmic domain which is thought to 190 have no signaling function (Kraft and Kinet 2007) (Figure 2). Human FceRIa is glycosylated 191 at seven sites, and these glycosylations appear to be required for proper interactions with the 192 folding machinery in the endoplasmic reticulum, rather than for binding to IgE (Letourneur, 193 Sechi et al. 1995, Sutton and Davies 2015). FccRIB has a cytoplasmic immunoreceptor tyrosine-based activation motif (ITAM), which acts as signal amplifier. The FceRIy 194 195 homodimer also contains two ITAM domains, which are responsible for signal transduction 196 (Lin, Cicala et al. 1996, Dombrowicz, Lin et al. 1998).

197

198 In humans, but not in rodents, Fc ϵ RI is also constitutively expressed as a $\alpha\gamma2$ trimer at 199 the surface of monocytes (Maurer, Fiebiger et al. 1994, Takenaka, Tanaka et al. 1995),

200 dendritic cells (DCs) (Maurer, Fiebiger et al. 1996), Langerhans cells (Bieber, de la Salle et 201 al. 1992), neutrophils (Gounni, Lamkhioued et al. 2001), eosinophils (Gounni, Lamkhioued et 202 al. 1994) and platelets (Joseph, Gounni et al. 1997, Hasegawa, Pawankar et al. 1999). It was 203 reported that expression of the $\alpha\gamma2$ trimer is increased in peripheral blood monocytes from 204 atopic patients, as compared to healthy controls (Maurer, Fiebiger et al. 1994).

205

A circulating soluble form of FcɛRI (sFcɛRI) of about 40 kDa, and which contains an
intact IgE binding site, has been described in human serum (Dehlink, Platzer et al. 2011).
However, the cell types that release or shed this protein in humans, and the physiological role
of sFcɛRI, remain to be identified (reviewed in (Platzer, Ruiter et al. 2011).

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211	3.1.2.	FceRIf	functions
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213 FceRI plays a key role in mediating the biological functions of IgE *in vivo*, which is 214 best exemplified by the fact that FceRI-deficient mice are fully resistant to IgE-mediated 215 passive cutaneous anaphylaxis (PCA) and passive systemic anaphylaxis (PSA) (Dombrowicz, Flamand et al. 1993). These findings are most likely attributable to the $\alpha\beta\gamma2$ FccRI tetramer 216 217 expressed on the surface of mast cells, since mast cell-deficient mice are also resistant to IgE-218 mediated PCA and PSA (Miyajima, Dombrowicz et al. 1997, Feyerabend, Weiser et al. 2011, 219 Lilla, Chen et al. 2011). Studies using transgenic mice expressing the human FceRIa chain under the control of its own promoter have also given significant insight into the functions of 220 221 human FceRI (Dombrowicz, Brini et al. 1996, Dombrowicz, Lin et al. 1998, Greer, Wu et al. 2014). *hFc* $\varepsilon RI\alpha^{Tg}$ mice (bred on a mouse Fc εRI -deficient background) express a 'humanized' 222 223 FceRI receptor with a similar cellular distribution as that found in humans (Dombrowicz, 224 Brini et al. 1996, Dombrowicz, Lin et al. 1998, Mancardi, Iannascoli et al. 2008, Greer, Wu et

al. 2014). $hFc \in RI\alpha^{Tg}$ mice can develop PSA reactions upon sensitization with antigen-specific 225 human or mouse IgE and challenge with the same antigen (Dombrowicz, Brini et al. 1996, 226 227 Dombrowicz, Lin et al. 1998). Notably, mouse IgE is able to bind both human and mouse FceRI, while human IgE does not bind the mouse receptor (Conrad, Wingard et al. 1983). 228 PCA reactions can even be induced in $hFc \in RI\alpha^{Tg}$ mice by intradermal transfer of plasma from 229 230 allergic patients followed by challenge with the relevant allergen (Zhu, Kepley et al. 2005, 231 Liu, Sun et al. 2013). The $\alpha\beta\gamma2$ tetramer on mast cells is also probably the main trigger of IgE-mediated systemic and cutaneous anaphylaxis in $hFc \in RI\alpha^{Tg}$ mice, although, to the best of 232 233 our knowledge, this has not yet been unequivocally demonstrated.

234

The biological functions of the $\alpha \gamma 2$ trimer of FceRI are less well understood. Greer 235 and collaborators recently used $hFc \in RI\alpha^{Tg}$ mice to demonstrate that internalization of human 236 FceRI by conventional DCs and monocytes (which express the $\alpha\gamma^2$ trimer) contributes to 237 serum IgE clearance (Greer, Wu et al. 2014). They injected human IgE into $hFc \in RI\alpha^{Tg}$ mice 238 239 and control mice (deficient for both human and mouse FceRI), and found that serum IgE 240 clearance was markedly accelerated in the transgenic animals. They subsequently 241 demonstrated that human IgE was rapidly endocytosed by conventional DCs and monocytes, 242 and that this endocytosis was associated with the rapid clearance of circulating IgE observed in $hFc \in RI\alpha^{Tg}$ mice (Greer, Wu et al. 2014). While these findings appear convincing, it 243 244 remains to be determined the extent to which trapping of circulating IgE by human FceRI expressed on mast cells also contributes to its clearance. It was recently reported that 245 246 perivascular mouse mast cells can 'sample' circulating IgE directly in the blood by extending cell processes across the vessel wall (Cheng, Hartmann et al. 2013). However, the role of 247 248 FceRI in serum IgE clearance seems to be a specific feature of the human receptor, and not

the mouse receptor, as mice deficient in FcεRI clear serum IgE to the same extent as WT mice
(Cheng, Wang et al. 2010).

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It has also been suggested that human peripheral blood DCs use the $\alpha\gamma 2$ Fc ϵ RI trimer for allergen uptake and presentation to naive T cells (Maurer, Fiebiger et al. 1996). Using transgenic mice expressing human Fc ϵ RI α under the dependency of the CD11c promoter, in an attempt to restrict expression to DCs, these authors found that hFc ϵ RI-expressing DCs can efficiently prime naive T cells for T_H2 differentiation, and amplify antigen-specific T_H2 responses *in vivo* (Sallmann, Reininger et al. 2011).

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259 3.1.3. Binding of IgE to FceRI

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Mutagenesis studies have helped define the FceRI binding epitope on IgE. 261 262 Schwarzbaum and colleagues generated a mutant form of mouse IgE with a deletion of 45 263 amino acids in the carboxy end of Ce3: this mutant IgE was unable to bind FceRI (Schwarzbaum, Nissim et al. 1989). Nissim and collaborators produced several chimeric IgE 264 265 containing the C ϵ 2, C ϵ 3 and C ϵ 4 domains of human IgE (hereafter named C ϵ 2-4), in which various domains were replaced by their murine counterparts. This work confirmed that the 266 FceRI binding site mapped to the Ce3 domain of IgE (Nissim, Jouvin et al. 1991). In 2000, 267 268 Garman et al. determined the crystal structure of the IgE CE3-4 dimer bound to the 269 extracellular part of FceRIa (Garman, Wurzburg et al. 2000). Analysis of this crystal 270 structure confirmed that each of the two chains of the IgE Cc3-4 dimer could bind the receptor using surface loops in C ε 3, and revealed contributions of the C ε 2-C ε 3 linker region 271 272 (Garman, Wurzburg et al. 2000).

274 Analysis of the crystal structures of the extracellular portion of human FceRIa alone (Garman, Kinet et al. 1998) or in complex with a dimeric C ε 3-4 fragment (Garman, 275 276 Wurzburg et al. 2000) have also provided invaluable insight into how IgE interacts with FceRI. The extracellular part of FceRIa is formed of two immunoglobulin domains of about 277 278 85 amino acids each (D1 and D2), with a heavily bent D1-D2 interface forming an overall 279 structure of an inverted V shape (Garman, Kinet et al. 1998, Garman, Kinet et al. 1999) 280 (Figure 2). The two C ε 3 domains of IgE bind distinct sites on F $c\varepsilon$ RI α , one site found in the 281 D2 domain, and a second site formed by a cluster of four surface-exposed tryptophans in the 282 D1-D2 interface (Garman, Wurzburg et al. 2000). The presence of these two binding sites 283 explains the 1:1 stoichiometry of the IgE-Fc ϵ RI α complex, which is essential to ensure that receptor crosslinking and activation occurs only upon multivalent antigen binding to IgE 284 285 (Garman, Wurzburg et al. 2000).

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287 A unique feature of the FccRI receptor, as compared to other Fc receptors, is the distinctly slow dissociation rate of the IgE-FceRI α complex ($k_{off} \approx 10^{-5} \text{ s}^{-1}$). This translates 288 289 into a half-life of about two weeks for IgE bound to FceRI (compared to only hours for IgG 290 complexes bound to Fcy receptors), and ensures that tissue mast cells and basophils remain 291 saturated with IgE (Geha, Helm et al. 1985, McDonnell, Calvert et al. 2001). McDonnell and collaborators showed that full human IgE molecules and dimeric IgE fragments comprising 292 293 the C ε 2, C ε 3 and C ε 4 domains (C ε 2-4) have identical kinetics of dissociation with F $c\varepsilon$ RI α , 294 while C ε 3-4 displays a markedly enhanced dissociation kinetic (~20-fold), indicating that Ce2 plays a major role in enhancing the stability of the IgE-FceRIa complex (McDonnell, 295 296 Calvert et al. 2001). More recently, Holdom et al. published the crystal structure of human C ϵ 2-4 bound to the extracellular domain of F $\epsilon\epsilon$ RI α , and confirmed that the C ϵ 2 domain 297

contributes to the slow dissociation rate of IgE-FcεRIα complexes through conformational
changes rather than direct interactions with the receptor (Holdom, Davies et al. 2011).

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301 Analysis of the crystal structures of free vs. receptor-bound IgE Fc domains have 302 revealed that the Cɛ3 domains of IgE undergo a large conformational rearrangement upon 303 binding to FceRI (Wurzburg, Garman et al. 2000, Wan, Beavil et al. 2002, Wurzburg and 304 Jardetzky 2009, Holdom, Davies et al. 2011). The free IgE Fc portion was observed in a 305 'closed' conformation in which the FccRIa binding site in Cc3 is masked (Wurzburg, 306 Garman et al. 2000, Wan, Beavil et al. 2002, Wurzburg and Jardetzky 2009). This masking is 307 achieved as the Cɛ2 domains in the free Fc fragment are folded back asymmetrically onto the CE3 and CE4 domains, locking the CE3 domains in a 'closed' conformation (Wan, Beavil et 308 309 al. 2002) (Figure 3). The authors suggest that free 'bent' IgE may first engage FceRI through 310 only one C ε 3 domain, followed by an important conformational change involving C ε 2, 311 whereby C ε 3 would adopt an 'open' conformation, leading to engagement of the second C ε 3.

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313 **3.2.** The low affinity IgE receptor CD23 (FceRII)

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- 315 *3.2.1. CD23 structure and expression*
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317 CD23, also known as FccRII, is the low affinity receptor for IgE ($K_d = 10^{-5}$ M) 318 (Wurzburg, Tarchevskaya et al. 2006). The structure of CD23 and its interaction with IgE 319 have been reviewed in detail (Sutton and Davies 2015). CD23 self-associates as trimer, and is 320 composed of an IgE-binding 'head domain' (which belongs to the C-type lectin superfamily) 321 linked to the membrane by an extracellular coiled-coil stalk region, and a small cytoplasmic 322 N-terminal domain (**Figure 4**). CD23 exists in a membrane-bound form of 45 kDa (mCD23), 323 as well as in soluble forms of various sizes (sCD23) which are released by proteolytic cleavage at several sites in the stalk region (Sutton and Davies 2015). ADAM10 ('a 324 325 disintegrin and metalloprotease 10') is considered to be the main endogenous protease 326 responsible for cleavage and generation of sCD23 (Weskamp, Ford et al. 2006, Lemieux, 327 Blumenkron et al. 2007). The exogenous house dust mite cysteine protease Der p I is also 328 able to cleave mCD23 at two sites (Schulz, Sutton et al. 1997). mCD23 (hereafter referred to 329 as CD23) is expressed by B cells (Sutton and Davies 2015), and several other cell populations 330 including neutrophils (Yamaoka, Arock et al. 1996), eosinophils (Capron, Truong et al. 1992), 331 follicular DCs (Johnson, Hardie et al. 1986) and IECs (Yang, Berin et al. 2000, Yu, 332 Montagnac et al. 2003). Human CD23 exists as two isoforms (CD23a and CD23b), which 333 differ in the first seven (CD23a) or six (CD23b) amino-acid residues of the cytoplasmic N-334 terminal part (Yokota, Yukawa et al. 1992, Sutton and Davies 2015).

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336 *3.2.2. CD23 functions*

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338 CD23 is expressed on the surface of B cells, where it serves as a negative regulator of 339 IgE synthesis. Several publications show increased levels of IgE in mice deficient for CD23 (Stief, Texido et al. 1994, Yu, Kosco-Vilbois et al. 1994, Haczku, Takeda et al. 2000, Riffo-340 341 Vasquez, Spina et al. 2000, Lewis, Rapsomaniki et al. 2004). Conversely, transgenic mice 342 overexpressing CD23 in B (and T) cells have markedly reduced levels of circulating IgE after 343 immunization (Payet, Woodward et al. 1999). The regulation of IgE production seems to 344 require the oligomerization of CD23, since serum IgE levels are also increased in mice treated 345 with an antibody that binds to the stalk region of CD23 and thus blocks receptor oligomerization (Kilmon, Ghirlando et al. 2001, Ford, Kilmon et al. 2006). It is possible that 346 347 CD23 on B cells plays an additional role(s) in regulating serum IgE levels, independently of 348 its effects on IgE production. This was suggested by a study showing that exogenous IgE

injected into mice deficient for B cells or treated with an anti-CD23 antibody can be detected
in the blood one hour later at levels two-fold higher than in the corresponding control mice
(Cheng, Wang et al. 2010). The mechanism through which CD23 regulates serum IgE levels
is still unclear, and appears to be independent on B cells, since the administered IgE had
similar rates of clearance in B cell-deficient and -sufficient mice (Cheng, Wang et al. 2010).

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In B cells, CD23 has also been implicated in IgE-dependent antigen uptake and presentation to T cells. *In vitro* experiments showed that mouse and human B cells incubated with antigen-specific IgE were up to 100-fold more efficient than untreated B cells at presenting low concentrations of the respective antigen, and this phenomenon was markedly reduced by a CD23 blocking antibody (Kehry and Yamashita 1989, Pirron, Schlunck et al. 1990).

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CD23 is expressed on IECs, and such expression is enhanced upon antigen 362 363 sensitization in rodents (Yang, Berin et al. 2000, Yu, Yang et al. 2001), or exposure to the 364 T_H2 cytokine IL-4 in humans (Tu, Salim et al. 2005). Studies using CD23 blocking antibodies 365 or mice deficient for CD23 have demonstrated that CD23 in IECs is involved in the 366 transepithelial transport of IgE and IgE/antigen complexes into the intestinal lumen (Yang, 367 Berin et al. 2000, Yu, Yang et al. 2001, Tu, Salim et al. 2005). This phenomenon is potentially important for food allergy, since it could explain how IgE and allergens are 368 369 delivered to mast cells located in the lamina propria beneath the epithelial lining of the gut 370 (Tu, Salim et al. 2005, Gould and Sutton 2008). Similarly, CD23 is expressed on human 371 airway epithelial cells (AECs), where it is also subject to upregulation by IL-4, and ex vivo 372 experiments suggest that CD23 in AECs is involved in transpithelial transport of IgE and IgE/antigen immune complexes (Palaniyandi, Tomei et al. 2011). A more recent study using 373

374 CD23-deficient mice confirmed that CD23 expressed by AECs is involved in IgE and 375 IgE/antigen transport, and showed that expression of CD23 in lung structural cells is 376 important for the development of allergic airway inflammation (Palaniyandi, Liu et al. 2015). 377

378 The soluble form of CD23 (sCD23) can also regulate IgE synthesis. sCD23 exists in 379 several isoforms of different sizes. All isoforms can interact with IgE, but the shorter sCD23 380 remains monomeric while the longer isoforms associate in trimers (reviewed in detail in 381 (Platzer, Ruiter et al. 2011)). sCD23 isomers can have divergent effects on B cells. Trimeric 382 sCD23 can upregulate IgE synthesis through the co-ligation of CD21 and membrane IgE on B 383 cells (Aubry, Pochon et al. 1992, Hibbert, Teriete et al. 2005, McCloskey, Hunt et al. 2007, 384 Cooper, Hobson et al. 2012), whereas monomeric sCD23 inhibits IgE synthesis in human B 385 cells (McCloskey, Hunt et al. 2007).

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387 *3.2.3. Binding of IgE to CD23*

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389 Early mutagenesis studies mapped the IgE binding site of CD23 to discontinuous 390 epitopes between residues 160-287 in the C-terminal head domain (Bettler, Maier et al. 1989, 391 Bettler, Texido et al. 1992). These mutagenesis studies also suggested that binding of IgE 392 requires six out of eight extracellular cysteine residues of CD23, which are likely involved in 393 the formation of intramolecular disulfide bridges (Bettler, Texido et al. 1992). The head 394 domain of CD23 is involved in IgE binding, since its proteolytic cleavage by the house dust 395 mite protease Der p I abrogates binding (Schulz, Sutton et al. 1997). Nevertheless, one 396 mutagenesis study suggested that the stalk region of CD23 is also involved in IgE binding 397 (Chen, Ma et al. 2002); a finding that was recently confirmed, indicating that the IgE-CD23 398 interaction is more complex than previously anticipated (Selb, Eckl-Dorna et al. 2016).

- Interestingly, the latter study also demonstrated that mutation of the N-glycosylation site of
 CD23 (N63) alone is sufficient to enhance binding of IgE (Selb, Eckl-Dorna et al. 2016).
- 401

402 Vercelli et al. first demonstrated, using a bank of peptides spanning the IgE Ce2-4 403 domains, that CD23 recognizes a motif in the Cɛ3 domain of IgE (Vercelli, Helm et al. 1989). 404 This was confirmed in a study using chimeric IgE molecules in which the human Cɛ3 domain 405 was replaced by mouse CE3: these chimeric molecules bound to mouse CD23 and 406 concomitantly lost their ability to bind the human receptor (Nissim, Schwarzbaum et al. 407 1993). Thereafter, the CD23 binding site on IgE was more precisely mapped to the A-B loop 408 of the Cɛ3 domain (residues 341-356), with a key role for lysine 352 (Sayers, Housden et al. 409 2004). More recently, the crystal structure of the soluble head domain of CD23 bound to a 410 Cɛ3-4 IgE dimer was resolved by Dhaliwal and collaborators (Dhaliwal, Yuan et al. 2012). 411 These authors found that one CD23 molecule binds to each IgE heavy chain, principally via 412 the C ε 3 domains but with a contribution from C ε 4 (Dhaliwal, Yuan et al. 2012) (Figure 4). Although the binding sites for FccRI and CD23 are at opposite ends of the Cc3 domain, 413 414 binding of the two receptors to IgE is mutually exclusive. Indeed, binding of IgE to CD23 415 induces conformational changes in CE3, leading to a highly 'closed' conformation 416 incompatible with FccRI binding (Borthakur, Hibbert et al. 2012, Dhaliwal, Yuan et al. 2012). 417 Similarly, the 'opened' conformation adopted by Ce3 upon binding to FceRI is incompatible 418 with CD23 binding (Borthakur, Hibbert et al. 2012, Dhaliwal, Yuan et al. 2012) (Figure 3). 419 Finally, the crystal structure of CD23 bound to a complete IgE Fc fragment was reported, 420 revealing that the IgE CE2 domain also contributes to CD23 binding, in addition to the known contributions of the C ϵ 3 and C ϵ 4 domains (Dhaliwal, Pang et al. 2017). 421

422

423 **3.3. Other IgE or Fce RI binding molecules**

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424

Mast cells and basophils can be activated by the cytokine-like protein histamine-425 426 releasing factor (HRF) (reviewed in (Kawakami, Kashiwakura et al. 2014)). It was shown that 427 HRF could bind to a subset of IgE antibodies via their Fab regions, thereby inducing antigen-428 independent cross-linking of FccRI-bound IgE molecules, and that this process could amplify 429 inflammation in mouse models of cutaneous anaphylaxis or allergic airway inflammation (Kashiwakura, Ando et al. 2012). Similarly, the protein Galectin-3 (formerly known as ε 430 binding molecule), which is released by several cell types, can bind to both IgE and FceRI 431 432 and induce mast cell and basophil activation via antigen-independent crosslinking of FceRI 433 (Frigeri, Zuberi et al. 1993, Zuberi, Frigeri et al. 1994). Galectin-3 is also directly produced 434 by mast cells (it is found in the cytoplasm and nucleus of mast cells (Craig, Krishnaswamy et 435 al. 1995)), and it was shown that mast cells derived from the bone marrow of galectin-3 436 deficient mice displayed reduced activation by IgE and antigen in vitro as compared to WT 437 mast cells (Chen, Sharma et al. 2006).

438

439 Takizawa and collaborators reported that IgE immune complexes can bind to the mouse IgG receptors FcyRIIB and FcyRIII expressed on mast cells and macrophages, with an 440 441 affinity similar to that of IgG immune complexes (Takizawa, Adamczewski et al. 1992). They 442 further demonstrated that such binding to FcyRs can induce mast cell activation independently 443 of FceRI (Takizawa, Adamczewski et al. 1992). IgE immune complexes were also found to bind and activate mouse FcyRIV, expressed on monocytes, macrophages and neutrophils 444 445 (Hirano, Davis et al. 2007, Mancardi, Iannascoli et al. 2008). Confirming that FcyRIV can act as a low-affinity receptor for mouse IgE, treatment of mice with an anti-FcyRIV antibody 446 447 inhibited late phase reactions in a model of IgE-mediated passive cutaneous allergic inflammation (Hirano, Davis et al. 2007). In addition, experiments performed in mice 448

449	deficient for FceRI, CD23 and all FcyRs except FcyRIV suggested that the in vivo
450	engagement of $Fc\gamma RIV$ by IgE immune complexes can synergize with mediators released by
451	IgE-activated mast cells to induce lung inflammation (Mancardi, Iannascoli et al. 2008).
452	
453	4. Roles of IgE in health and disease
454	
455	4.1. Pathologic roles of IgE
456	
457	4.1.1. Immediate hypersensitivity reactions
458	
459	IgE antibodies are probably best known for their critical role in acute allergic
460	reactions. In allergic individuals, mast cells and basophils have antigen-specific IgE bound to
461	FceRI expressed on the cell surface (Galli and Tsai 2012). Antigen-mediated IgE/FceRI
462	crosslinking initiates a complex signaling cascade (Reber and Frossard 2014, Sibilano, Frossi
463	et al. 2014), leading to the eventual activation of these effector cells and the immediate and
464	rapid release of preformed granule-stored mediators (Wernersson and Pejler 2014) (e.g.,
465	histamine, serotonin, proteoglycans, proteases and cytokines) and de novo production and
466	release of an impressive range of lipid mediators (e.g., prostaglandins, leukotrienes),
467	cytokines and chemokines (Galli, Kalesnikoff et al. 2005, Voehringer 2013). These mediators
468	can act locally or systemically, leading to the clinical features of immediate hypersensitivity,
469	such as bronchoconstriction, urticaria, diarrhea (when acting locally in the airways, the skin
470	and the gut, respectively) (Figure 5).
471	

472 4.1.2. Anaphylaxis

474 Anaphylaxis is the most extreme manifestation of an allergic reaction. In humans, anaphylaxis can be attributed to an IgE- and mast cell-dependent immediate hypersensitivity 475 476 reaction in individuals previously sensitized to that allergen (Lieberman, Camargo et al. 2006, Burton and Oettgen 2011, Galli and Tsai 2012). Indeed, quantification of specific IgE levels 477 478 is used to identify potential triggers of anaphylaxis in patients with a personal history of 479 anaphylaxis (Hamilton, MacGlashan et al. 2010). IgE-dependent anaphylactic reactions can 480 also be recapitulated in mice, in which a local or systemic injection of antigen one day after 481 passive injection of antigen-specific IgE induces features of anaphylaxis (Wershil, Mekori et 482 al. 1987, Dombrowicz, Flamand et al. 1993, Oka, Kalesnikoff et al. 2012).

483

484 IgE-mediated anaphylaxis is abrogated in mice lacking the high affinity IgE receptor FceRI (Dombrowicz, Flamand et al. 1993), as well as in mast cell-deficient mice 485 486 (Feverabend, Weiser et al. 2011, Lilla, Chen et al. 2011, Oka, Kalesnikoff et al. 2012), 487 highlighting the importance of IgE-mediated mast cell activation in this reaction. Mast cells 488 likely also play a key role in human anaphylaxis. Indeed, elevated levels of the mast cell 489 specific protease tryptase have been detected during anaphylactic reactions in humans 490 (Schwartz, Metcalfe et al. 1987, Schwartz 2006, Brown, Stone et al. 2013). Moreover, an 491 increased incidence of anaphylaxis was reported in patients with mastocytosis, a disease 492 characterized by increased numbers of mast cells (Schuch and Brockow 2017). By contrast, 493 the role of basophils in anaphylaxis is more debated. So-called "Basophil activation tests" are 494 used to confirm allergen sensitization in human patients. In these tests, which are performed 495 on blood samples ex vivo, IgE-mediated activation of basophils is monitored by measuring 496 up-regulation of surface markers such as CD63 and CD203c (Santos, Du Toit et al. 2015, 497 Kim, Kim et al. 2016, Giavina-Bianchi, Galvao et al. 2017). Recently, Korosec and 498 colleagues also reported an increase of CD63 expression on circulating basophils, as well as a 499 marked reduction in the absolute number of circulating basophils, during anaphylactic 500 reactions to Hymenoptera venom in humans (Korosec, Turner et al. 2017). While these data 501 suggest that basophils are activated in human anaphylaxis, they do not however demonstrate a 502 significant contribution to anaphylaxis pathophysiology. Even in mice, the role of basophils in 503 IgE-mediated anaphylaxis remains contentious. Different reports indicate that depletion of 504 basophils does not reduce IgE-mediated local or systemic passive anaphylaxis (Wada, 505 Ishiwata et al. 2010, Sawaguchi, Tanaka et al. 2012). Mukai and colleagues reported that 506 intravenous injection of antigen-specific IgE in mice, followed one day later by subcutaneous 507 challenge with the antigen, can induce a triphasic response (Mukai, Matsuoka et al. 2005). 508 The 'immediate' and 'late-phase' (6 to 10 h after challenge) responses were dependent on 509 mast cells. However, the third-phase, beginning one to two days after challenge, was 510 independent of mast cells and was abrogated upon depletion of basophils (Mukai, Matsuoka 511 et al. 2005, Obata, Mukai et al. 2007, Sawaguchi, Tanaka et al. 2012). This third-phase 512 delayed response was also absent in mice lacking $FcR\gamma$ (a signaling subunit shared by $Fc\epsilon RI$ 513 and activating IgG Fcy receptors), and was restored upon engraftment of these mice with basophils purified from WT mice but not from $FcR\gamma^{-}$ mice (Mukai, Matsuoka et al. 2005). 514 515 Since this passive model relies on specific IgE antibodies, and not on IgG, these results 516 strongly suggest that direct activation of basophils through FceRI is responsible for the 517 delayed allergic skin inflammation observed this model. Using a similar model of IgE-518 mediated chronic allergic inflammation, Cheng et al. also reported markedly reduced 519 eosinophilic dermatitis in basophil-deficient mice as compared to control mice three days 520 after cutaneous challenge with the relevant antigen (Cheng, Sullivan et al. 2015).

521

522 The presence of allergen-specific IgE alone does not explain an individual's 523 susceptibility to allergy and anaphylaxis. Allergen-specific IgE can be detected in subjects

524 who do not develop clinical symptoms when exposed to the corresponding allergen (Sicherer and Sampson 2010). Conversely, some patients can experience near fatal anaphylaxis despite 525 526 having low or undetectable levels of circulating allergen-specific IgE (Simons, Frew et al. 2007), which suggests (but does not prove) the existence of IgE-independent pathways of 527 528 anaphylaxis in humans (recently reviewed in (Finkelman, Khodoun et al. 2016) and (Reber, 529 Hernandez et al. 2017)). More definitive evidence for IgE-independent pathways of 530 anaphylaxis has been obtained using mouse models of active systemic anaphylaxis (ASA), in 531 which mice are sensitized with an antigen (to produce antigen-specific antibodies) and re-532 exposed later on to the same antigen to induce anaphylaxis (Finkelman, Khodoun et al. 2016, Munoz-Cano, Picado et al. 2016). Mice deficient for IgE or for FceRI can still partially (Sun, 533 534 Arias et al. 2007, Arias, Chu et al. 2011, Balbino, Sibilano et al. 2017) or fully (Oettgen, 535 Martin et al. 1994, Dombrowicz, Flamand et al. 1997, Jonsson, Mancardi et al. 2011) develop 536 features of anaphylaxis in these ASA models. Other studies have subsequently shown that 537 mouse IgG antibodies can trigger anaphylaxis in ASA models, through activation of IgG receptors (FcyRs) on the surface of various myeloid cells, including basophils, macrophages 538 539 and neutrophils (Miyajima, Dombrowicz et al. 1997, Jonsson, Mancardi et al. 2011, Khodoun, 540 Kucuk et al. 2013, Finkelman, Khodoun et al. 2016, Balbino, Sibilano et al. 2017).

541

542 *4.1.3. Allergic asthma*

543

Asthma is a chronic inflammatory disease of the airways with continual increasing prevalence (Busse and Lemanske 2001, Subbarao, Mandhane et al. 2009). In many patients, the asthmatic condition is associated with allergic reactivity to environmental allergens and elevated levels of IgE antibodies (Busse and Lemanske 2001). In these allergic patients, IgE is thought to contribute to the asthmatic manifestations (Galli and Tsai 2012). Following antigen 549 exposure in the airways, rapid local IgE/FceRI-dependent mast cell activation and the immediate hypersensitivity reaction can lead to increased vascular permeability, 550 bronchoconstriction and increased mucus production. A large array of cytokines, growth 551 552 factors and chemokines secreted by activated mast cells can influence airway remodeling (Galli, Tsai et al. 2008, Moiseeva and Bradding 2011). Finally, IgE can also act on other cell 553 554 types that express FceRI or CD23, such as DCs, B cells, basophils or (in humans) eosinophils, 555 which may potentially affect several biological responses associated with the asthmatic 556 response (Galli, Tsai et al. 2008, Galli and Tsai 2012). Supporting the important role of IgE in 557 asthma, the anti-IgE antibody omalizumab has been shown to reduce asthma symptoms in several clinical trials involving patients with moderate-to-severe and severe allergic asthma 558 559 (reviewed in (Humbert, Busse et al. 2014)) (for more detail see part 5.1, below).

560

561 *4.1.4. Atopic dermatitis*

562

563 Eczema, or atopic dermatitis (AD), is a pruritic inflammatory skin disease with 564 dramatically increased incidence over the last decades (Bieber 2008, Dharmage, Lowe et al. 2014). AD manifestations are characterized by pruritus (itching), skin inflammatory lesions 565 566 associated with cellular infiltration and histopathological changes, and atopy. Indeed, the 567 majority of AD patients exhibit increased serum levels of total and antigen-specific IgE 568 (Leung and Bieber 2003, Laske and Niggemann 2004, Oyoshi, He et al. 2009). The function 569 of IgE in development of AD is supported by the beneficial effect of anti-IgE therapy in a 570 number of clinical studies (Belloni, Andres et al. 2008, Liu, Goodarzi et al. 2011).

571

572 Abboud, Staumont-Sallé *et al.* used a mouse model of AD induced by repeated 573 epicutaneous sensitizations with ovalbumin. They reported that several features of this model

574 (including T_H1 and T_H2 skin responses, mast cell recruitment into draining lymph nodes and IgE production) were reduced in $Fc \in RI^{-/-}$ mice. In this model, T_H2 skin response as well as T 575 cell proliferation and IgG1 production were also reduced in mice lacking the IgG receptor 576 FcyRIII (Abboud, Staumont-Salle et al. 2009). In addition, symptoms of AD were completely 577 578 absent in mice deficient for FcRy, a subunit shared by FccRI and FcyRIII (and several other 579 FcR). The authors therefore concluded that in this model, FceRI and FcyRIII both contribute 580 to AD but differentially regulate immune responses associated with the disease (Abboud, 581 Staumont-Salle et al. 2009). Ando and colleagues developed a mouse model of AD in which 582 eczematous skin lesions are induced by repeated epicutaneous applications of house dust mite 583 extract and staphylococcal enterotoxin B (Kawakami, Yumoto et al. 2007, Ando, Matsumoto 584 et al. 2013). The global skin gene expression pattern in this model was very similar to that 585 observed in human AD skin. Mast cell-deficient mice had markedly reduced skin 586 inflammation; and FceRI expression was required to attain maximal clinical scores in this AD model (Ando, Matsumoto et al. 2013). However, some features of the model were reduced in 587 mast cell-deficient mice but not in $Fc \in R\Gamma^{-}$ mice, which suggests that mast cells can amplify 588 589 inflammation in the context of AD model though both IgE-dependent and IgE-independent 590 pathways (Ando, Matsumoto et al. 2013).

591

592 4.1.5. Chronic spontaneous urticaria

593

594 Chronic spontaneous urticaria (CSU; also known as chronic idiopathic urticaria) is 595 defined as itchy wheals, angioedema, or both that reoccur for more than 6 weeks without 596 a specific trigger (Zuberbier, Aberer et al. 2014). Antihistamines show clinical benefit for 597 many (but not all) CSU patients, and it is therefore believed that skin mast cells, which are a 598 major source of histamine, play an important role in CSU (Vonakis and Saini 2008). CSU 599 patients often have high levels of total IgE (Kessel, Helou et al. 2010). However, CSU may 600 not be triggered by specific external antigens. By contrast, most CSU patients exhibit 601 autoimmune responses in the form of serum IgE to autoantigens or IgG autoantibodies to IgE or FceRI (reviewed in (Kolkhir, Church et al. 2017)). 35-45% of adults with CSU develop a 602 603 wheal when injected intradermally with their own serum, a test called autologous serum skin 604 test (ASST) (Metz, Gimenez-Arnau et al. 2009). Such positive ASSTs responses have been 605 linked to IgG autoantibodies directed against the high-affinity IgE receptor FcERI, or less 606 commonly against IgE (Hide, Francis et al. 1993, Chang, Chen et al. 2015, Auyeung, Mittag 607 et al. 2016). Both types of autoantibodies can trigger activation of mast cells (and other 608 FccRI-bearing cells) through cross-linking of FccRI. In a recent study, autoreactive T cells 609 specific for FceRI were also detected in the blood of a large proportion of patients with CSU 610 (Auyeung, Mittag et al. 2016). The authors therefore proposed that, as for other autoimmune 611 diseases, activation of autoreactive T cells is likely one of the initial events in CSU (Auveung, 612 Mittag et al. 2016). Moreover, some CSU patients have high titers of autoreactive IgE 613 directed against dsDNA or thyroid antigens, such as thyroperoxidase (TPO) (Altrichter, Peter 614 et al. 2011, Hatada, Kashiwakura et al. 2013). It was also recently reported that IL-24 is a 615 common autoantigen in patients with CSU (Schmetzer, Lakin et al. 2017). Such IgE 616 autoantibodies could mediate skin reactions in CSU by inducing mast cell degranulation in 617 response to autoantigens (Altrichter, Peter et al. 2011, Hatada, Kashiwakura et al. 2013, 618 Chang, Chen et al. 2015). It should be noted, however, that the presence of IgE against 619 autoantigens is also documented in diseases other than CSU, such as atopic dermatitis 620 (reviewed in (Hradetzky, Werfel et al. 2015)), and a direct link between autoantibodies and 621 the clinical manifestations of CSU has not yet been demonstrated. Some reports also indicate the presence of IgE against exogenous antigens, such as *Staphylococcus aureus* enterotoxins, 622 623 in some CSU patients, which could contribute to the pathogenesis of CSU in a subpopulation

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624 of patients (Ye, Hur et al. 2008, Altrichter, Hawro et al. 2018).

625

626 In support of a key role of IgE and FccRI in CSU, the anti-IgE therapeutic antibody 627 omalizumab is now approved for the treatment of CSU (Maurer, Rosen et al. 2013, Chang, 628 Chen et al. 2015, Zhao, Ji et al. 2016). Moreover, most patients with CSU who stop omalizumab treatment relapse within a few months, and a recent study indicates that 629 630 total IgE serum levels before omalizumab treatment correlate negatively with the time to relapse in these patients (Ertas, Ozyurt et al. 2017). As reviewed in detail by Chang and 631 632 colleagues (Chang, Chen et al. 2015), the clinical benefits of omalizumab are likely due to a 633 direct blockade of IgE antibodies before they can bind FceRI and activate mast cells 634 (especially in patients with autoreactive IgE), and/or a downregulation of FceRI on the 635 surface of mast cells and other effector cells (Chang, Chen et al. 2015).

636

637 **4.2. Protective roles of IgE**

638

IgE and the main FcεRI-expressing effector cells, mast cells and basophils, do not
only play roles in pathology, but also critically contribute to host defense. This has been
convincingly demonstrated using mouse models of host defense against certain parasites and
venoms.

643

644 *4.2.1. Host defense against parasites*

645

646 Helminth infections are generally associated with a "type 2" immune response, 647 characterized by helper type 2 T (T_H2) cells that typically produce IL-4, IL-5 and IL-13, 648 increased numbers of tissue mast cells and eosinophils, and elevated levels of antigen-specific

649 and unspecific IgE (Finkelman, Shea-Donohue et al. 1997, Anthony, Rutitzky et al. 2007, 650 Grencis, Humphreys et al. 2014). Data from epidemiological studies in humans point towards 651 a protective role for IgE in helminth infections, as increased levels of helminth-specific IgE 652 correlate with host resistance (Hagan, Blumenthal et al. 1991, Rihet, Demeure et al. 1991, 653 Faulkner, Turner et al. 2002). Remarkably, anti-IgE antibody treatment of human patients at 654 high risk of helminth infections did modestly increase parasite infection risk, albeit an effect 655 that did not reach statistical significance (Cruz, Lima et al. 2007). Increased IgE levels might, 656 however, simply reflect a strong T_H2 cell response in infected individuals, the latter being of 657 unquestionable importance in host defense against parasites. Indeed, the actual contributions 658 of non-specific vs. specific IgE antibodies in host defense and parasite clearance are still 659 unclear and numerous experimental studies aiming at addressing this question have led to 660 different, sometimes opposing, conclusions (recently reviewed in (Mukai, Tsai et al. 2016)). 661 Also, protective vs. detrimental roles of IgE antibodies in anti-parasite immunity appear to be parasite-dependent. For instance, data from experiments with IgE-deficient mice indicate 662 663 beneficial functions for IgE in models of Trichinella sprialis (Gurish, Bryce et al. 2004), 664 Schistosoma mansoni (King et al. 1997), Brugia Malayi (Spencer et al. 2003), 665 Nippostrongylus brasiliensis and Heligmosomoides polygyrus (Schwartz, Turqueti-Neves et 666 al. 2014). On the other hand, experiments with IgE- or FccRIa-deficient mice in other studies 667 showed no effect or *decreased* parasite burden in infections with *H. polvgvrus* (McCov, Stoel 668 et al. 2008), Strongyloides venezuelensis (Matsumoto, Sasaki et al. 2013) or S. mansoni (Jankovic, Kullberg et al. 1997). Among the factors potentially contributing to these 669 670 discrepancies, one could cite differences in experimental approaches (transgenic [IgE- or 671 FcεRIα-deficient mice] or pharmacological [anti-IgE treatments]), the experimental model and/or the genetic background of the mice (Mukai, Tsai et al. 2016). 672

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674 *4.2.2. Host defense against venoms*

675

676 Toxic substances, such as venoms, represent an obvious threat for mammals, against 677 which defense mechanisms are needed. In 1991, Margie Profet proposed a theory known as 678 the "toxin hypothesis", suggesting that allergic immune responses (*i.e.*, IgE-associated type 2 679 immune responses and effector cell-mediated allergic reactions) represent an immunological 680 defense against toxins (Profet 1991). According to this theory, the purpose of an acute allergic 681 reaction (manifested by, e.g., scratching, vomiting, diarrhea, and, in extreme cases, 682 anaphylaxis) is to respond rapidly and avoid, eliminate and/or neutralize toxic substances 683 indicative of life-threatening situations (Profet 1991, Palm, Rosenstein et al. 2012).

684

685 Recently, Profet's hypothesis was supported by experimental evidence demonstrating 686 that IgE antibodies could contribute to acquired resistance against honeybee and snake 687 venoms (Marichal, Starkl et al. 2013, Palm, Rosenstein et al. 2013, Starkl, Marichal et al. 688 2016). Marichal, Starkl et al. characterized the immune response of mice following 689 subcutaneous injection of whole bee venom to mimic bee stings (Marichal, Starkl et al. 2013). 690 The venom induced a robust adaptive type 2 immune response associated with development 691 of venom-specific T_{H2} cells and IgE, and this acquired immune response was associated with 692 increased resistance of mice (quantified by survival and body temperature) against a 693 subsequent challenge with bee venom. Experiments involving passive immunization and 694 transgenic animals deficient in IgE or FceRI demonstrated that IgE antibodies and IgE 695 effector mechanisms played a crucial role in mediating acquired host resistance against bee 696 venom (Marichal, Starkl et al. 2013). In a complementary study, Palm, Rosenstein et al. 697 provided experimental evidence that a type 2 immune response directed against the bee 698 venom component phospholipase A2 (PLA2) was able to confer protection against a

subsequent near lethal dose of PLA2, and that such protection was dependent on FcεRI (Palm,
Rosenstein et al. 2013). Subsequently, Starkl, Marichal *et al.* found that IgE effector
mechanisms also played a critical role in acquired host defense against the venom of the
Russell's viper (Starkl, Marichal et al. 2016).

703

704 The strong evidence for the important protective function of IgE and IgE effector cells 705 in immune defense against venoms in mice challenges the current view of the function of IgE 706 in (venom-) allergic humans (Artis, Maizels et al. 2012). Therefore, future investigations are 707 needed to determine whether IgE-associated responses can enhance resistance to other toxins, 708 and to understand why, in some species or individuals, exposure to the same venom or venom 709 component may induce either a protective IgE-dependent adaptive immune response, as in the 710 mouse studies described above (Marichal, Starkl et al. 2013, Palm, Rosenstein et al. 2013, 711 Starkl, Marichal et al. 2016), or a deleterious and potentially fatal allergic reaction (*i.e.*, 712 anaphylaxis) (Saelinger and Higginbotham 1974, Charavejasarn, Reisman et al. 1975). This 713 question is of great interest and relevance for basic and clinical allergy research.

- 714
- 715 5. Targeted anti-IgE therapies

716

- 717 **5.1 Anti-IgE antibodies**
- 718

720

Omalizumab is a recombinant humanized IgG1 monoclonal antibody directed against
human IgE sold by Novartis and Genentech under the trade name Xolair® (Presta, Lahr et al.
1993). It binds to the Cε3 domain of free IgE, and thereby impairs binding of IgE to both

⁷¹⁹ *5.1.1. Omalizumab*

FceRI and CD23 (Chang, Davis et al. 1990, Selb, Eckl-Dorna et al. 2016, Davies, Allan et al.
2017) (Figure 5). Importantly, omalizumab does not recognize IgE already bound to FceRI or
CD23, and therefore cannot induce cell activation by crosslinking of IgE receptors (Chang,
Davis et al. 1990, Davies, Allan et al. 2017).

728

729 The IgE binding site of omalizumab has been characterized recently by molecular modeling and crystallography (Zheng, Li et al. 2008, Wright, Chu et al. 2015, Pennington, 730 731 Tarchevskaya et al. 2016, Davies, Allan et al. 2017). Omalizumab binds to symmetric sites on 732 the two IgE Ce3 domains: it does not directly mask the FceRI binding site on IgE, but rather 733 induces major conformational changes in the Cɛ3 domains that inhibit interaction with FcɛRI 734 (Zheng, Li et al. 2008, Wright, Chu et al. 2015, Pennington, Tarchevskaya et al. 2016, Davies, 735 Allan et al. 2017). Davies and colleagues reported that, furthermore, IgE binding to CD23 is 736 sterically hindered by Omalizumab due to overlapping binding sites on each CE3 domain 737 (Davies, Allan et al. 2017). While omalizumab is alleged to be unable to bind IgE already 738 bound to FceRI, *in vitro* data suggest that omalizumab could also facilitate the dissociation of 739 FccRI-bound IgE (Eggel, Baravalle et al. 2014).

740

741 The first randomized, double blind, placebo controlled trials were conducted in 1996 to assess the tolerability and efficiency of omalizumab in patients with allergic asthma 742 743 (Boulet, Chapman et al. 1997, Fahy, Fleming et al. 1997). These trials showed a reduction of free serum IgE levels (but an increase in total serum IgE, *i.e.* free IgE and IgE complexed 744 745 with omalizumab), and improved responses to inhaled allergens following omalizumab 746 therapy ((Boulet, Chapman et al. 1997, Fahy, Fleming et al. 1997). In addition to the 747 reduction of free serum IgE levels, treatment with omalizumab also induced a decrease in the expression of FceRI on the surface of basophils, DCs and mast cells (Saini, MacGlashan et al. 748

749 1999, Prussin, Griffith et al. 2003, Lin, Boesel et al. 2004). In 2003, Xolair® was approved 750 for the treatment of moderate to severe persistent allergic asthma, and is now also approved 751 for the treatment of chronic spontaneous urticaria (CSU) (Maurer, Rosen et al. 2013, Chang, 752 Chen et al. 2015, Zhao, Ji et al. 2016). In addition, more than 150 clinical trials of 753 omalizumab are now listed on the website clinicaltrials.gov, in various diseases including 754 food and venom allergies (in combination with allergen-specific immunotherapy), allergic 755 rhinitis or mastocytosis. It is, however, important to note that, although Xolair® is generally 756 well tolerated, it can induce side effects ranging from skin inflammation (at the site of 757 subcutaneous injection) to systemic anaphylaxis (in 0.1-0.2% of patients) (Harrison, MacRae 758 et al. 2015, Lieberman, Umetsu et al. 2016).

759

760 *5.1.2. Ligelizumab*

761

Ligelizumab (QGE031) is a more recent humanized anti-IgE antibody developed by 762 Novartis. It is also directed against C ε 3, but is designed to achieve improved IgE suppression, 763 with an equilibrium dissociation constant (K_D) of 139 pM (as compared to the K_D of 764 omalizumab, ~6-8nm) (Arm, Bottoli et al. 2014) (Figure 5). The first clinical results of 765 ligelizumab treatment indicated that this antibody can reduce free-IgE and basophil FceRI 766 767 with an efficiency superior to that of omalizumab (NCT01716754). Although the authors did not observe serious adverse events in this study, one patient treated with ligelizumab 768 769 developed systemic symptoms (Arm, Bottoli et al. 2014). In 2016, ligelizumab was tested in patients with mild allergic asthma, and was shown to have greater efficacy than omalizumab 770 771 on inhaled and skin allergen responses in these patients (NCT01703312) (Gauvreau, Arm et al. 2016). However, in a more recent phase II field study of asthma patients, ligelizumab was 772

not seen to be superior to omalizumab (NCT01716754), and further development for asthmahas been discontinued.

775

776 *5.1.3. Quilizumab*

777

778 Quilizumab (MEMP1972A) is a humanized monoclonal antibody developed by 779 Genentech targeting the M1' epitope which is present on membrane IgE (mIgE) but not on 780 serum IgE (Figure 5). Brightbill and colleagues demonstrated, using genetically modified 781 mice that contained the human M1' domain inserted into the mouse IgE locus, that quilizumab 782 could reduce serum IgE and deplete IgE-producing plasma cells in vivo, without affecting 783 other immunoglobulin isotypes (Brightbill, Jeet et al. 2010). Quilizumab has been tested in clinical trials in patients with allergic rhinitis (NCT01160861) and mild allergic asthma 784 785 (NCT01196039) (Gauvreau, Harris et al. 2014). In both studies, reductions in total and 786 allergen-specific serum IgE were observed, as well as improved clinical responses to allergen, 787 suggesting that targeting mIgE can reduce IgE production in humans (Gauvreau, Harris et al. 2014). In a subsequent trial (NCT01582503), treatment with quilizumab also reduced total 788 789 and allergen-specific IgE in patients with allergic asthma uncontrolled by standard therapy. 790 However, treatment with quilizumab had no impact on asthma exacerbations, lung functions, 791 or patient-reported symptoms in this trial (Harris, Maciuca et al. 2016). Similarly, guilizumab 792 reduced IgE levels by about 30% in CSU patients, but it did not lead to clinical improvements 793 in patient's self-reported itch-severity scores (NCT01987947) (Harris, Cabanski et al. 2016). 794

795 5.1.4. XmAb7195

797 XmAb7195 is a monoclonal anti-IgE antibody developed by Xencor through 798 humanization, affinity maturation, and Fc engineering of the murine parental antibody of 799 omalizumab (MaE11) (Chu, Horton et al. 2012). XmAb7195 has an IgE-binding affinity 5.3-800 fold higher than that of omalizumab. In addition, two point mutations in the IgG1 Fc portion 801 of the mAb (G236R and L328R) increase the binding affinity to inhibitory IgG receptor 802 FcyRIIB by 400 times compared to omalizumab (Chu, Horton et al. 2012). The authors 803 demonstrated that XmAb7195 could block free IgE and inhibit IgE production in B cells 804 through co-engagement of mIgE and FcyRIIB (Chu, Horton et al. 2012) (Figure 5). In a first-805 in-human phase 1a trial in healthy volunteers (NCT02148744), XmAb7195 decreased IgE 806 levels below the limit of detection in 90% of subjects that had detectable IgE levels at 807 baseline. Transient thrombocytopenia was observed at a dose of 3.0 mg/kg, but no other 808 major adverse events were reported (Gershman, Goldwater et al. 2016). A phase 1b study on 809 the safety, tolerability and bioavailability of a subcutaneous formulation of XmAb7195 has 810 been recently completed (NCT02881853), but the results of this study have not yet been 811 reported.

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813 *5.1.5. MEDI4212*

814

MEDI4212 is a human IgG1 anti-IgE antibody developed by MedImmune. MEDI4212 was generated using phage display technology, combined with targeted mutagenesis of V_H and V_L sequences to increase its affinity for IgE (Cohen, Dobson et al. 2014). Like omalizumab, MEDI4212 does not recognize IgE already bound to FceRI, but the authors report that MEDI4212 binds free IgE with an affinity of 1.95 pM, more than 100-fold higher than omalizumab (Cohen, Dobson et al. 2014) (**Figure 5**). Analysis of the crystal structure of IgE Ce3-4 domains in complex with MEDI4212 Fab portion revealed that MEDI4212

recognizes residues in the C ϵ 3 and C ϵ 4 domains, and targets critical residues in C ϵ 3 also involved in binding to F $c\epsilon$ RI. This suggests that MEDI4212 directly competes with F $c\epsilon$ RI for IgE binding (Cohen, Dobson et al. 2014).

825

826 Since MEDI4212 recognizes residues in the IgE Cɛ3-4 domains, it can also bind mIgE on the surface of B cells. MEDI4212 was further engineered in order to increase its potential 827 828 to eliminate IgE-expressing B cells through antibody-dependent cell-mediated cytotoxicity 829 (ADCC) (Nyborg, Zacco et al. 2016). The authors chose to insert mutations in the Fc portion 830 of MEDI4212 in order to improve its affinity for the IgG receptor FcyRIIIA, as ADCC can be 831 performed by natural killer (NK) cells that express FcyRIIIA. Indeed, in vitro experiments revealed that, thus Fc-engineered, MEDI4212 could eliminate class-switched human IgE B 832 833 cells more efficiently (Nyborg, Zacco et al. 2016). A phase I study on the pharmacokinetics, 834 pharmacodynamics, and safety of MEDI4212 in subjects with atopy was initiated in 2012 (NCT01544348); and demonstrated that MEDI4212 rapidly reduced free IgE to a greater 835 836 extent than omalizumab. However, recovery of free IgE to baseline was much faster in 837 patients receiving MEDI4212 as compared as omalizumab, which was attributed to a rapid 838 decrease of serum MEDI4212. Since then, no other study has been initiated with this 839 antibody.

840

841 5.2. Anti-IgE, anti-FceRI and anti-CD23 DARPins

842

<u>Designed ankyrin repeat proteins</u> (DARPins) are engineered small proteins that can recognize targets with high specificity and with affinity in the low nanomolar range (Binz, Amstutz et al. 2004, Pluckthun 2015). In 2009, Eggel and collaborators reported identification of two monovalent DARPins, termed B-A4-85 and C-A3-30, displaying high affinity for two different epitopes on human FccRI α (Eggel, Baumann et al. 2009). They further produced a bispecific anti-FccRI α DARPin (designated 30/85) by linking sequences of the two monovalent DARPins with a [Gly₄–Ser]₄ linker. Remarkably, this bispecific DARPin showed greater affinity than IgE for FccRI α , and was able to inhibit IgE-FccRI α interaction and IgEmediated degranulation of rat basophilic leukemia cells expressing human FccRI α (RBL-2H3-hu α cells), with an effect similar to that of omalizumab (Eggel, Baumann et al. 2009) (Figure 5).

854

855 Using a similar strategy, the same group reported identification of several DARPins 856 binding human IgE (Figure 5). Among these, the DARPins E2 79 and E3 54 were able to 857 inhibit binding of IgE to either FccRIa or omalizumab, and inhibit IgE-mediated activation of 858 RBL-2H3-hua cells with higher efficacy than omalizumab (Baumann, Eggel et al. 2010). It 859 was further demonstrated that E2 79 not only prevented binding of free IgE to FccRI, but also 860 actively disrupted pre-formed IgE:FccRI complexes (Kim, Eggel et al. 2012). Such facilitated 861 IgE dissociation was observed both *in vitro*, *ex vivo* in primary human basophils, and *in vivo* in human FceRI transgenic mice (Kim, Eggel et al. 2012, Eggel, Baravalle et al. 2014), 862 863 suggesting that anti-IgE DARPins might be suitable drug candidates to desensitize allergic 864 patients.

865

Another DARPin (E3_53) can recognize both free IgE and IgE bound to Fc ϵ RI. This DARPin was linked to the Fc portion of human IgG1 (using a [Gly₄–Ser]₃ linker) to produce a fusion protein capable of cross-linking Fc ϵ RI-bound IgE with the inhibitory receptor Fc γ RIIB. This molecule, termed DE53-Fc, was able to reduce allergen-induced basophil activation *ex vivo* using whole blood samples from allergic patients (Eggel, Buschor et al. 2011). Furthermore, by using blocking antibodies against Fc γ RIIB, the authors demonstrated 872 that binding of DE53-Fc to FcyRIIB was required for full inhibitory properties of the fusion 873 molecule (Eggel, Buschor et al. 2011). Confirming this mode of action, it was later reported 874 that mutant forms of DE53-Fc displaying enhanced affinity for FcyRIIB also have greater 875 capacity to inhibit basophil activation (Buschor, Eggel et al. 2014). However, while mouse 876 basophils and mast cells and human basophils express high levels of FcyRIIB, it is still 877 ambiguous whether human mast cells also express this inhibitory receptor (Zhao, Kepley et 878 al. 2006). Therefore, whether cross-linking of FceRI-bound IgE to FcyRIIB could inhibit IgE-879 and mast cell-mediated responses in humans remains an open question.

880

More recently, two DARPins (D86 and D89), which specifically recognize CD23, were also identified. These anti-CD23 DARPins inhibited binding of IgE to CD23 (which suggests that they share a similar binding epitope to IgE), and could inhibit IgE synthesis in human peripheral B cells (Fellmann, Buschor et al. 2015).

885

886 5.3. Fcε-Fcγ fusion proteins

887

888 The human Fcy-Fce bifunctional fusion protein consists of the Fc region of human 889 IgG1 (hinge-Cy2-3) linked to the Fc portion of human IgE (C ϵ 2-4) by a 15 amino acid linker 890 (Gly₄Ser)₃ (Zhu, Kepley et al. 2002). As first described by Zhu et al., this fusion protein 891 (called GE2) was able to compete with IgE for the binding to FceRI, and could thereby be 892 used to 'desensitize' mast cells and basophils (Figure 5). It could also bind to IgG FcyRs 893 through its Cy2-3 domains, and it was therefore proposed that GE2 could block IgE-mediated 894 mast cell and basophil activation through co-engagement of FceRI with the inhibitory 895 receptor FcyRIIB (Zhu, Kepley et al. 2002). Indeed, the authors demonstrated that GE2 was 896 able to inhibit histamine release in primary human blood basophils sensitized with IgE, and 897 could also block IgE-mediated passive cutaneous anaphylaxis (PCA) in transgenic mice 898 expressing human FceRI (Zhu, Kepley et al. 2002). In addition to its effect on mast cells and 899 basophils, it was proposed that the fusion protein could also inhibit allergic inflammation 900 through effects on FceRI-expressing Langerhans cells (Kepley, Zhang et al. 2003), and inhibit 901 IgE class switch recombination in B cells by co-aggregating CD23 and FcyRII (Yamada, Zhu 902 et al. 2003). Several attempts were subsequently made to improve the efficiency of the fusion 903 protein, such as removal of the $(Gly_4Ser)_3$ linker, or mutations in the Cy portion to improve 904 binding to FcyRIIB and/or decrease binding to FcyRIII (Allen, Kepley et al. 2007). However, 905 most of these modifications altered the effectiveness of the fusion protein to inhibit FceRI-906 mediated functions (Allen, Kepley et al. 2007). Nevertheless, and as described above (part 5.2), while basophils undoubtedly express FcyRIIB, it is still unclear whether human mast 907 908 cells express FcyRIIB in vivo (Zhao, Kepley et al. 2006).

909

910 The effects of GE2 were also tested in non-human primates. Rhesus monkeys have 911 been reported to exhibit skin test reactivity and serum IgE directed against dust mites 912 (Schelegle, Gershwin et al. 2001, Zhang, Kepley et al. 2004). Taking advantage of this, Zhang 913 and collaborators showed that GE2 was able to inhibit dust mite allergen-induced skin 914 reactivity in rhesus monkeys in a dose-dependent manner (Zhang, Kepley et al. 2004). In a subsequent study, GE2 demonstrated efficacy in a model of house dust mite-induced allergic 915 916 asthma in cynomolgus monkeys (Van Scott, Mertsching et al. 2008). The effects of GE2 917 lasted for 4 weeks and were associated with reduced numbers of circulating basophils and 918 reduced FceRI expression on basophils. However, repeated injections of GE2 induced the 919 production of serum antibodies against the fusion protein, and increased occurrence of serious 920 adverse events, including anaphylaxis (Van Scott, Mertsching et al. 2008).

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922 6. Concluding remarks

923

Discovered some 50 years ago. IgE continues to be the focus of extensive academic 924 925 and industrial research. The clinical benefits of the anti-IgE antibody omalizumab best 926 exemplify the key role of IgE in allergic diseases and chronic spontaneous urticaria. Besides 927 omalizumab, several new anti-IgE therapies are now at various stages of clinical 928 development, with some promising early results. Recent insights from crystallographic studies 929 have also shed light on the mechanisms by which IgE antibodies recognize their main receptors FccRI and CD23; findings that should help in the design of additional therapeutic 930 931 approaches aimed at blocking these interactions.

932

While IgE can undeniably trigger allergic reactions, it is also now clear that not all allergies are IgE-mediated, and evidence from mouse models suggests that IgE may have protective functions in host defense against parasites and venoms. An ongoing effort is therefore necessary to clearly identify the full spectrum of IgE-mediated diseases, but also to address the potential limitations of targeted anti-IgE therapies.

938

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940

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- 951

952 8. Conflict of Interest Statement

- 953
- E.C. is an employee of Neovacs SA. L.L.R. reports serving as consultant for Neovacs SA. All
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1671 Figure legends

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Figure 1. IgE structure. IgE antibodies consist of two identical heavy chains (composed of a variable V_H domain and four constant C ϵ domains) and two identical light chains (composed of a variable V_L domain and a constant C_L domain). 'Fab': region responsible for antigen recognition and binding. 'Fc': portion responsible for IgE effector functions. The positions of interdomain disulfide bridges, N-linked glycosylation sites (in human IgE), Fc ϵ RI- and CD23-binding sites are indicated.

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Figure 2. Structure of Fce RI and its interaction with IgE. a. Fce RI is expressed on mast cells and basophils as a tetramer formed with one α subunit, one β subunit and a dimer of disulfide-linked γ subunits. IgE binds the receptor via surface loops in Cε3, with contributions from the Cε2-Cε3 linker region. b. The two Cε3 domains of IgE bind distinct sites on FcεRIα, one site found in the D2 domain (site 1), and a second site formed by a cluster of four surface-exposed tryptophan residues in the D1-D2 interface (site 2) (Protein Data Bank ID: 2Y7Q).

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Figure 3. Conformational changes in IgE Fc portion upon binding to FcεRI or CD23.
The Cε3 domains of free IgE are found in a 'closed' conformation in which the FcεRIα
binding site in Cε3 is masked (middle; Protein Data Bank [PDB] ID: 2WQR). Cε3 adopts an
'opened' conformation upon binding to FcεRI, which is incompatible with CD23 binding
(left; PDB ID: 1F6A-2). By contrast, Cε3 adopts a 'closed' conformation upon binding to
CD23, which is incompatible with FcεRI binding (right; PDB ID: 4GKO).

Figure 4. Structure of CD23 and its interaction with IgE. a. CD23 self-associates as a trimer, and is composed of an IgE-binding 'head domain' (which belongs to the C-type lectin superfamily) linked to the membrane by an extracellular coiled-coil stalk region, and a small cytoplasmic N-terminal domain. b. The IgE binding site of CD23 is located in the C-terminal head domain (in green), with some additional contributions from the stalk region (not shown). Two CD23 molecules bind to each IgE heavy chain, primarily to the Cε3 domains but with a contribution from Cε4 (Protein Data Bank ID: 4GKO).

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1703 Figure 5. Key role of IgE in allergic reactions. Stimulation with the T_H2 cytokines IL-4 and 1704 IL-13 induces class-switching of B cells into IgE-producing cells. IgE binds to its high-1705 affinity receptor FceRI on the surface of tissue mast cells and blood basophils. Upon exposure 1706 to an allergen, in allergic patients, allergen recognition by allergen-specific IgE on the surface 1707 of mast cells and basophils induces crosslinking of FceRI, leading to degranulation and the 1708 immediate release of histamine, proteases and other preformed mediators, as well as *de novo* 1709 synthesis of lipid mediators (prostaglandins, leukotrienes,...), cytokines and chemokines. 1710 These mediators can act locally or systemically, leading to the clinical features of immediate 1711 hypersensitivity, such as bronchoconstriction, urticaria, diarrhea (when acting locally in the 1712 airways, the skin and the gut, respectively) and vasodilatation. These mediators are also 1713 responsible for late-phase allergic responses, entailing the recruitment of leukocytes, mainly 1714 eosinophils and neutrophils. Several drugs have been developed to counteract the effects of 1715 IgE. These drugs either target IgE production, block free IgE or compete with IgE for binding 1716 to FceRI. The only FDA-approved anti-IgE drug is Omalizumab, a humanized anti-IgE mAb 1717 that blocks free IgE, and which is approved for the treatment of moderate to severe persistent 1718 allergic asthma, and chronic spontaneous urticaria (CSU). Ag: antigen.



- Interdomain disulfide bridges
- FceRI-binding site
- CD23-binding site



'Open' conformation

'Closed' conformations



FccR1-bound IgE





b а IgE (Cε3-4) V_H C_L lgE Cε1 ---Cε4 Cε4.... C₂ Ce4 Ce3 Head domain **CD23 CD23** C₂3 C₂3 (head (head Stalk region domain) CD23 domain)

