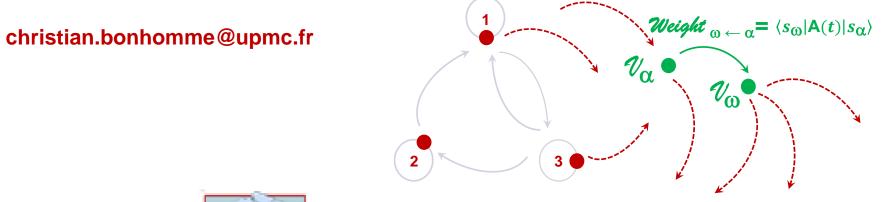
A New Approach of Ordered Exponential in NMR: the Path-Sum

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60th Experimental Nuclear Magnetic Resonance Conference April 7 - 12, 2019 Asilomar Conference Center, Pacific Grove, California

General context – The evolution operator U(t)

Dyson time-ordering operator

$$\mathbf{U}(\mathbf{t}',\mathbf{t}) = \mathbf{OE}[-\mathbf{i} \mathbf{H}(\mathbf{t}',\mathbf{t})] = \mathbf{T} \exp(-\mathbf{i} \int_{t}^{t'} \mathbf{H}(\tau) d\tau)$$

$$\mathbf{U}(\tau_c) = exp\left(-i\tau_C\sum_{n=0}^{\infty}\overline{H^{(n)}}\right)$$

Magnus

$$\overline{\hat{H}} = {}^{(0)}\hat{H} - \frac{1}{2}\sum_{n\neq 0} \frac{\left[{}^{(-n)}\hat{H}, {}^{(n)}\hat{H} \right]}{n\omega_m} + \frac{1}{2}\sum_{n\neq 0} \frac{\left[\left[{}^{(n)}\hat{H}, {}^{(0)}\hat{H} \right], {}^{(-n)}\hat{H} \right]}{(n\omega_m)^2} + \frac{1}{3}\sum_{k,n\neq 0} \frac{\left[{}^{(n)}\hat{H}, \left[\hat{H}, {}^{(-n-k)}\hat{H} \right] \right]}{kn\omega_m^2} + \cdots$$

Floquet

. . .

$$\frac{\left\lfloor {}^{(n)}H, \left\lfloor H, {}^{(-n-k)}H \right\rfloor \right\rfloor}{kn\omega_m^2} + \cdots$$

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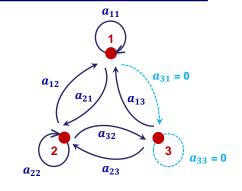
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Path-Sum applied to Ordered Exponential (OE)

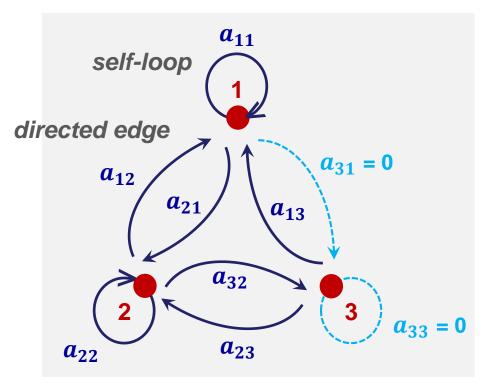
$$\mathbf{OE[A]}(t',t) = \begin{pmatrix} \int_{t}^{t'} G_{K_{2},11}(t',\tau)d\tau & OE_{12}(t',t) \\ OE_{21}(t',t) & \int_{t}^{t'} G_{K_{2},22}(t',\tau)d\tau \end{pmatrix}$$

- Applications:
 - Circularly polarized excitation
 - ► Linearly polarized excitation, Bloch-Siegert (BS) effect



Basic results of algebraic graph theory

$$\mathcal{G} = (\mathcal{V} \text{ertex set}, \mathcal{E} \text{dge set})$$

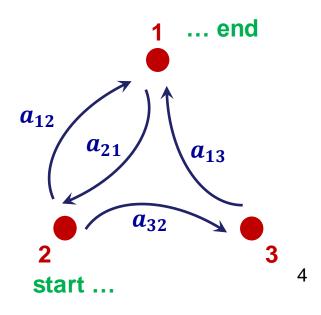


ex.: $walk \mathcal{W}_{1 \leftarrow 2}$ (from \mathcal{V}_2 to \mathcal{V}_1) of *length* 4

Adjacency finite matrix A_a

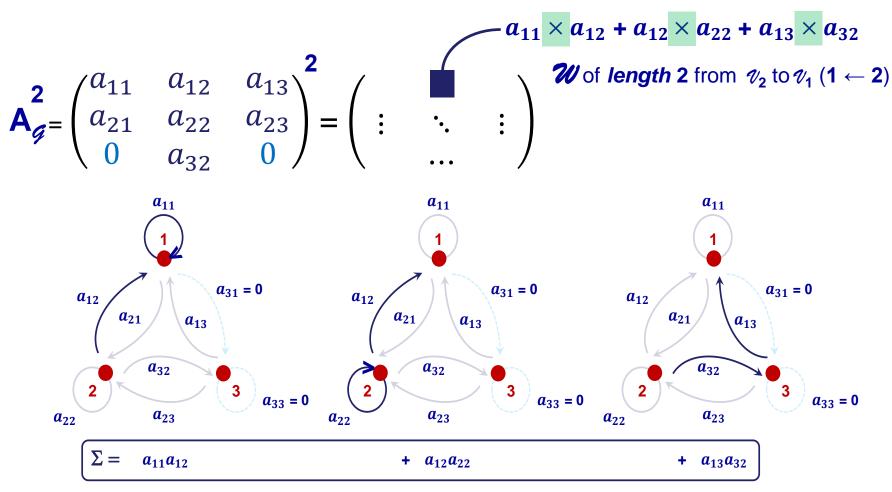
$$\mathbf{A}_{\mathbf{g}} = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ 0 & a_{32} & 0 \end{pmatrix}$$

entry: weight on a directed edge



Basic results of algebraic graph theory

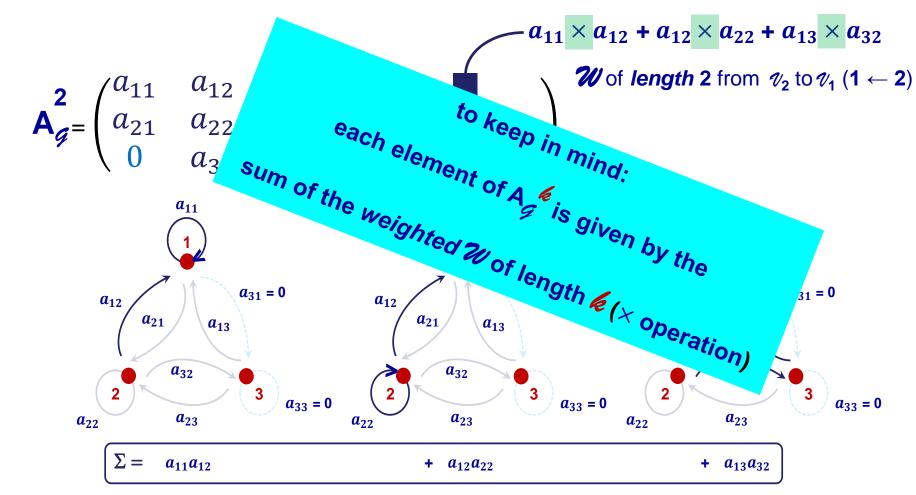
the *powers* of the Adjacency matrix A_g on a graph g generate ALL weighted WALKS *W* on g



N. Biggs, in: Algebraic Graph Theory (1993)

Basic results of algebraic graph theory

the *powers* of the Adjacency matrix A_g on a graph g generate ALL weighted WALKS *W* on g

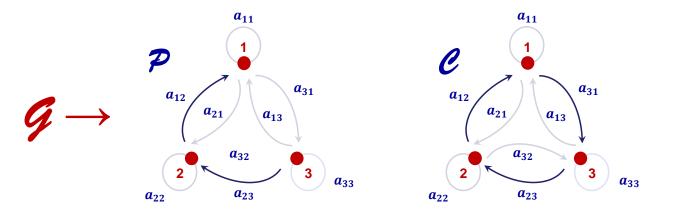


N. Biggs, in: Algebraic Graph Theory (1993)

Path-Sum

◊ *simple path* **P** (self avoiding walk): **W** whose **V** are all **distinct**

simple cycle C (self avoiding polygon): *W* whose endpoints are identical and intermediate
 v are all distinct and different from the endpoints

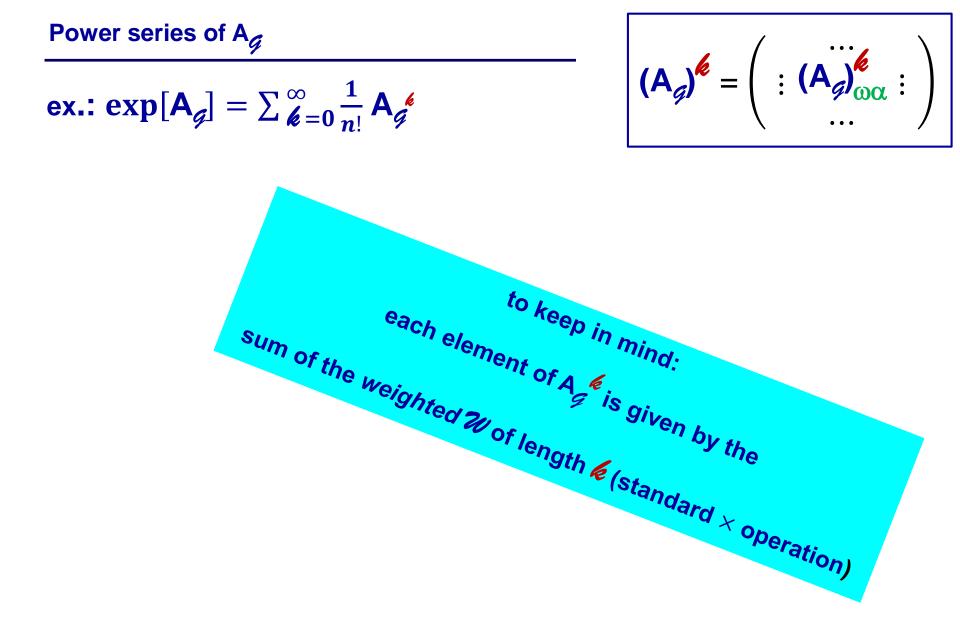


« Fundamental Theorem of Arithmetic » on *9* (P.-L. Giscard, 2012)

► *W* factor *uniquely* into *prime* elements, *i.e. simple paths* and *simple cycles*

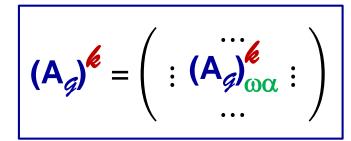
▶ if ∉ is <u>finite</u> the number of primes is <u>finite</u>

resummation of all *w* involves a <u>finite</u> number of operations: sum on simple paths and continuous fraction of simple cycles with vertex removal



Power series of A_{a}

ex.:
$$\exp[\mathsf{A}_{\mathcal{G}}] = \sum_{k=0}^{\infty} \frac{1}{n!} \mathsf{A}_{\mathcal{G}}^{\ell}$$



$$F(A_{\mathcal{G}})_{\omega\alpha} = \sum_{k=0}^{\infty} c_{k} \sum_{\mathcal{G}, \alpha\omega; k} a_{\omega h_{k}} \dots \times a_{h_{3}h_{2}} \times a_{h_{2}\alpha}$$

power series of A_a

all weighted walks \mathcal{U} from \mathcal{V}_{α} to \mathcal{V}_{ω} of length \mathbf{k}

Power series of $A_{\mathcal{G}}$ ex.: exp $[A_{\mathcal{G}}] = \sum_{k=0}^{\infty} \frac{1}{n!} A_{\mathcal{G}}^{\ell}$ $F(A_{\mathcal{G}})_{\omega\alpha} = \sum_{k=0}^{\infty} c_{k} \sum_{\mathcal{U}_{\mathcal{G},\alpha\omega;k}} a_{\omega h_{k}} \dots \times a_{h_{3}h_{2}} \times a_{h_{2}\alpha}$ power series of $A_{\mathcal{G}}$ all weighted walks \mathcal{U} from \mathcal{V}_{α} to \mathcal{V}_{ω} of length ℓ

Path-Sum

« Fundamental Theorem of Arithmetic » on *g* (P.-L. Giscard, 2012)

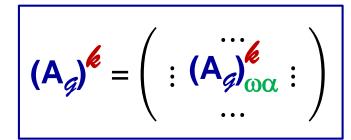
▶ ₩ factor uniquely into prime elements, i.e. simple paths and simple cycles

▶ if *g* is *finite* the number of primes is *finite*

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Power series of A_{a}

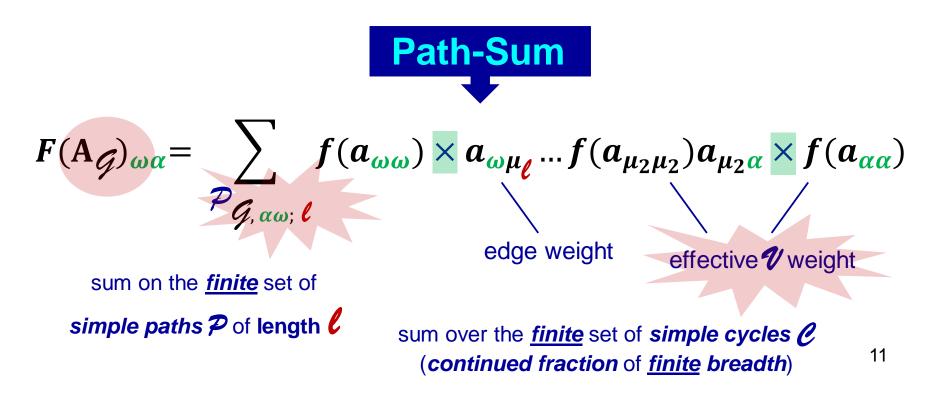
ex.:
$$\exp[\mathsf{A}_{\mathscr{G}}] = \sum_{\mathscr{K}=0}^{\infty} \frac{1}{n!} \mathsf{A}_{\mathscr{G}}^{\mathscr{K}}$$



$$F(A_{\mathcal{G}})_{\omega\alpha} = \sum_{k=0}^{\infty} c_{k} \sum_{\mathcal{G}, \alpha\omega; k} a_{\omega h_{k}} \dots \times a_{h_{3}h_{2}} \times a_{h_{2}\alpha}$$

power series of A_{a}

all weighted walks \mathcal{U} from \mathcal{V}_{α} to \mathcal{V}_{ω} of length \mathbf{k}



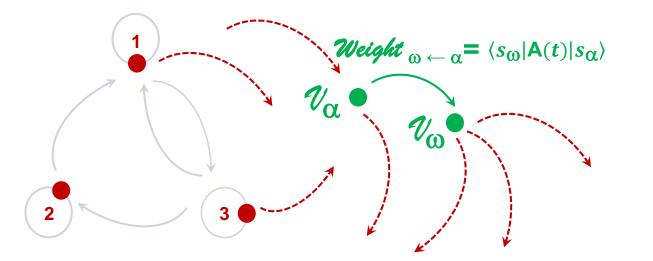
Ordered exponential (OE)

(P.-L. Giscard, 2015)

$$\mathbf{A}_{\mathcal{G}}(t) = \begin{pmatrix} \cdots \\ \langle s_{\omega} | \mathbf{A}(t) | s_{\alpha} \rangle \\ \cdots \end{pmatrix}$$

$$\mathbf{OE[A_{g}]}(t',t) = \begin{pmatrix} \dots \\ s_{0} | \mathbf{OE[A_{g}]}(t',t) | s_{0} \end{pmatrix}$$

 $\sum \text{ALL weighted walks } \omega \leftarrow \alpha \text{ on } A_{\mathcal{G}}$ but using *-product $(f * g) = \int_{t}^{t'} f(t', \tau) g(\tau, t) d\tau$ instead of ×





An example: 2×2 matrix

- ▶ entry → solving an equation with analytical tools
- ▶ *finite* number of operations → *unconditional convergence*
- non perturbative formulation of OE

scalability

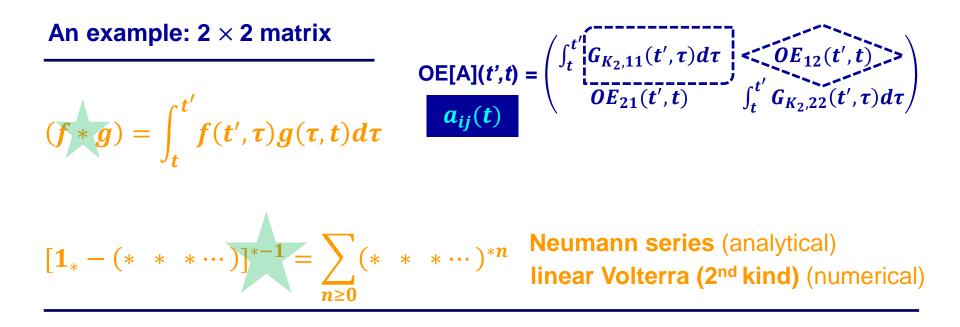
An example: 2×2 matrix

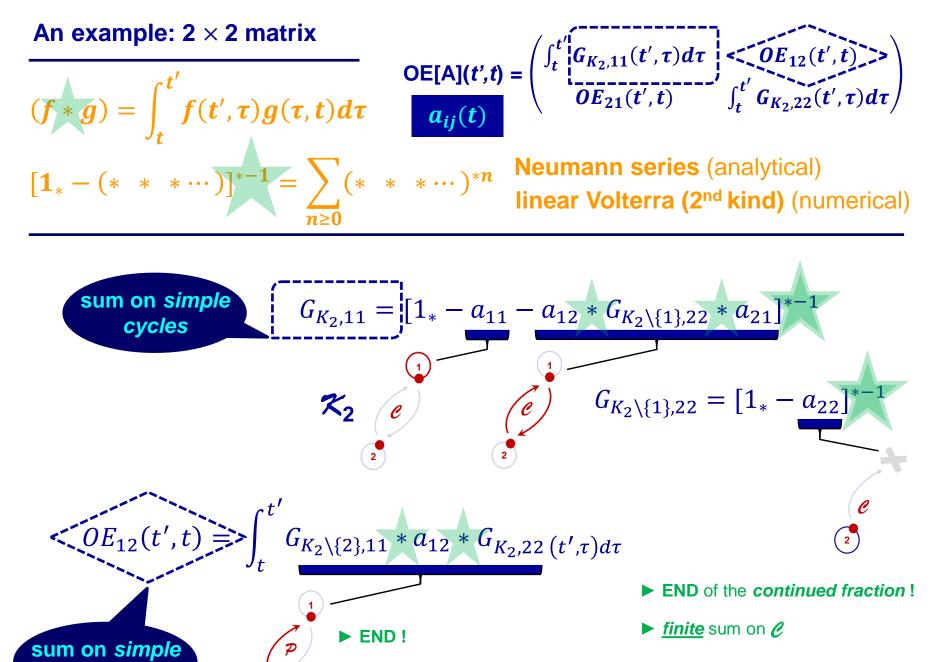
« Fundamental Theorem of Arithmetic » on *g* (P.-L. Giscard, 2012)

- ▶ **W** factor **uniquely** into **prime** elements, *i.e.* **simple paths** and **simple cycles**
- ▶ if *∉* is *finite* the number of primes is *finite*

resummation of all *w* involves a <u>finite</u> number of operations: sum on simple paths and continuous fraction of simple cycles with vertex removal

product





finite sum on simple P

paths

16

▶ ... take a *finite* matrix $A_{g}(t)$ associated to G (Hermitian or not, periodic or not...)

leach entry of A_{g}^{*} is given is given by a *finite* number of operations by using Path-Sum (with \times product)

► each entry of $OE[A_g](t',t)$] is given is given by a *finite* number of operations by using Path-Sum (with * -product and $[1_* - (* * * \cdots)]^{*-1})$

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the matrix nature of the problem is fully replaced when working on entries

 \square or, one can keep it partially... \rightarrow **PARTITIONS** (*scalability*)

the convergence of the Neumann series (analytical) is superexponential

a convenient (*numerical*) approach: linear Volterra equations (2nd kind)

Outline

Basic results of algebraic graph theory

Path-Sum applied to the ordered exponential (OE)

Applications:

- Circularly polarized excitation
- Linearly polarized excitation, Bloch-Siegert (BS) effect
- ► N spins homonuclear dipolar Hamiltonian, H_D

Applications – Circularly polarized excitation (test model)

$$H(t) = \begin{pmatrix} \frac{\omega_{0}}{2} & \beta e^{-i\omega t} \\ \beta e^{i\omega t} & -\frac{\omega_{0}}{2} \end{pmatrix}, [H(t'), H(t)] \neq 0$$

$$H(t) = \frac{1}{2}\omega_{0}\sigma_{z} + [1_{*} - (* * * \cdots)]^{*-1}$$

$$\beta[\sigma_{x}\cos(\omega t) + \sigma_{y}\sin(\omega t)]$$

$$G_{K_{2},11}(t) = (1_{*} - \frac{\omega_{0}}{2i} + \frac{i\beta^{2}}{\Delta} (e^{-i\Delta(t'-t)} - 1))^{*-1}$$

$$OE = \text{entry}$$

$$Neumann \text{ series}$$

$$OE[-iH](t)_{11} = 1 + \sum_{n=0}^{\infty} \frac{(-it\beta^{2}/\Delta)^{n+1}}{(n+1)!} \sum_{k=0}^{n+1} {n+1 \choose k} (\frac{\Delta\omega_{0}}{2\beta^{2}} - 1)^{k} {}_{2}F_{1} (-k, -k + n + 1; -n - 1; \frac{\Delta^{2}}{\Delta\omega_{0}} - \beta^{2})$$

$$Gauss \text{ hypergeometric}$$

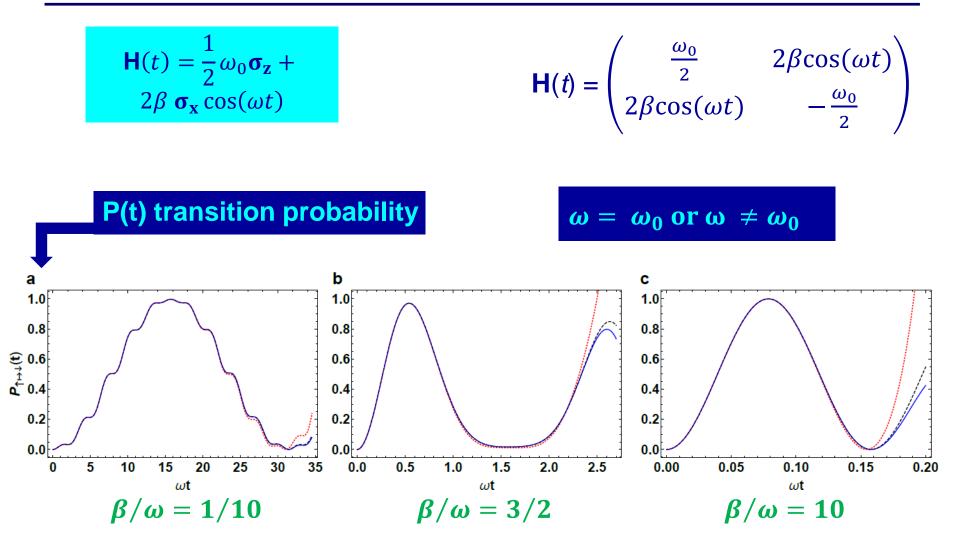
$$OE[-iH](t)$$

$$\left(e^{-\frac{1}{2}it(\Delta + \frac{\omega_{0}}{2})} (\cos(\alpha t/2) + \frac{i}{\alpha} (\Delta - \frac{\omega_{0}}{2}) \sin(\alpha t/2)) - \frac{2i\beta}{\alpha} e^{\frac{1}{2}it(\Delta + \frac{\omega_{0}}{2})} \sin(\alpha t/2) - \frac{2i\beta}{\alpha} (e^{-\frac{1}{2}it(\Delta + \frac{\omega_{0}}{2})} \sin(\alpha t/2)) - \frac{2i\beta}{\alpha} e^{\frac{1}{2}it(\Delta + \frac{\omega_{0}}{2})} \sin(\alpha t/2) - \frac{i}{\alpha} (\Delta - \frac{\omega_{0}}{2}) \sin(\alpha t/2))\right)$$

$$U(t) = \exp\left(-\frac{1}{2}i\omega t\sigma_{z}\right) \exp\left(-it\left(\frac{1}{2}(\omega_{0} - \omega)\sigma_{z} + \beta\sigma_{x}\right)\right)$$

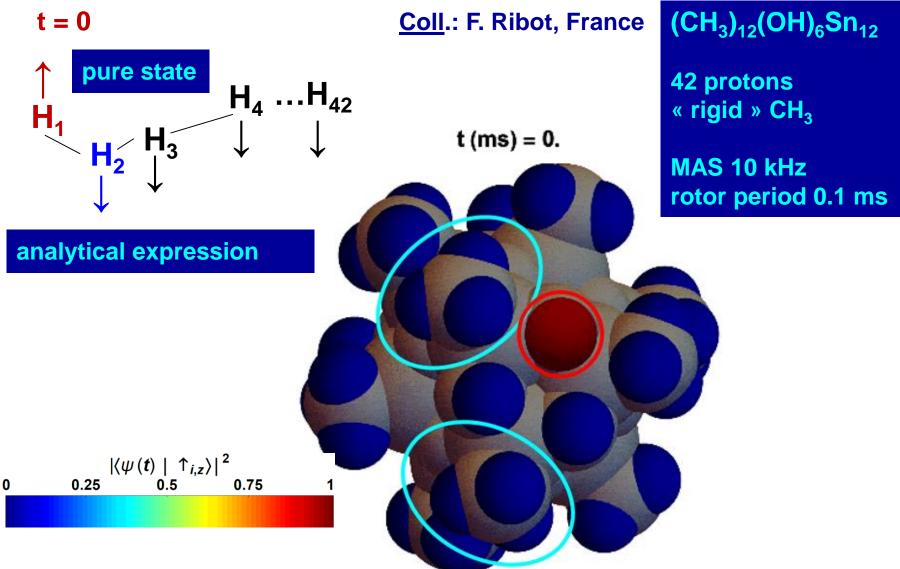
$$20$$

Applications – Linearly polarized excitation, Bloch-Siegert (BS) effect

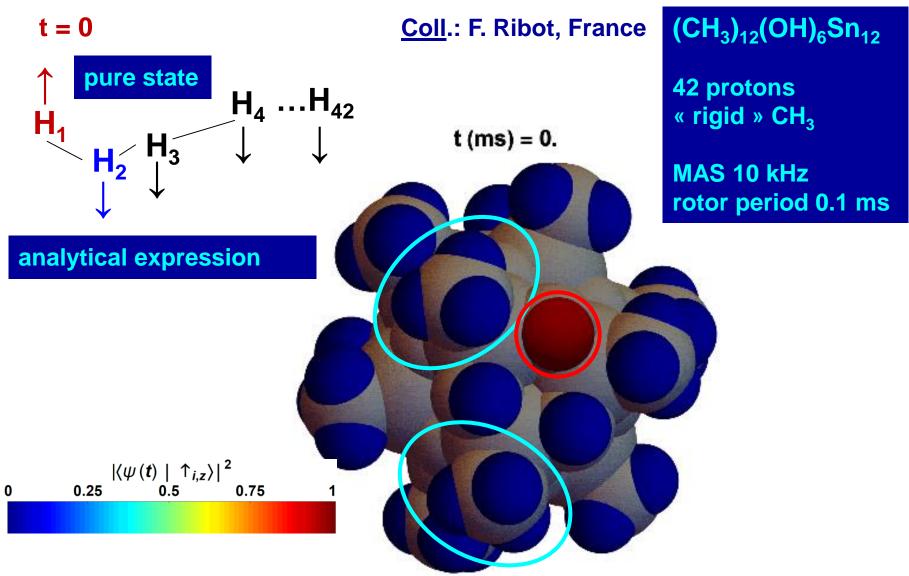


analytical expression with few orders of the Neumann series

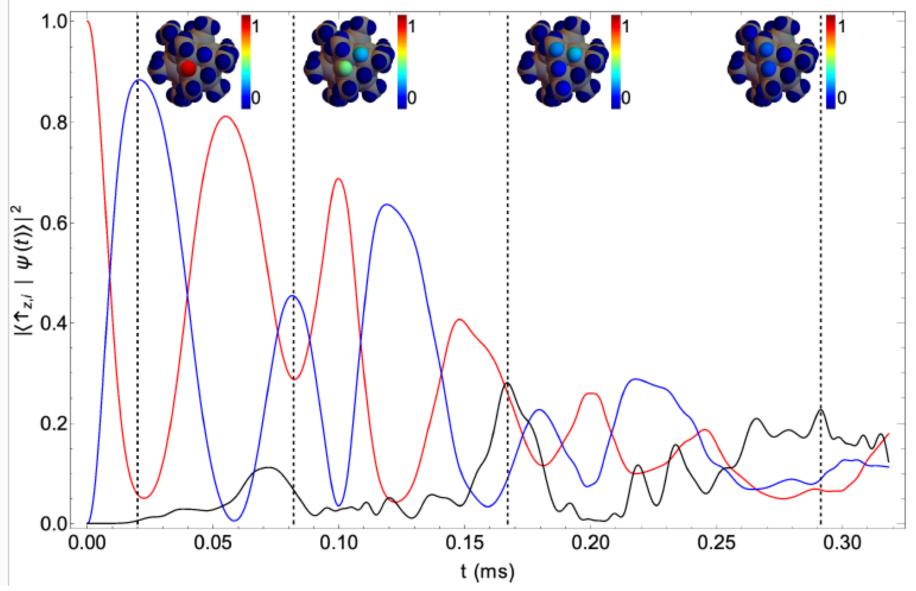
Applications – N spin systems, homonuclear dipolar Hamiltonian, H_D



Applications – N spin systems, homonuclear dipolar Hamiltonian, H_D



Applications – N spin systems, homonuclear dipolar Hamiltonian, H_D





- a new approach
- analytical expression for U(t)
- unconditional convergence
- non perturbative formulation
- scalable to large spin systems
- other theory/applications to come...







Post doctoral position available in Paris: on NMR instrumentation & DNP

▶ main goal \rightarrow get an **exact** form for **U(t)**

ZASSENHAUS

FER/TROTTER-SUZUKI

FLOQUET



MAGNUS

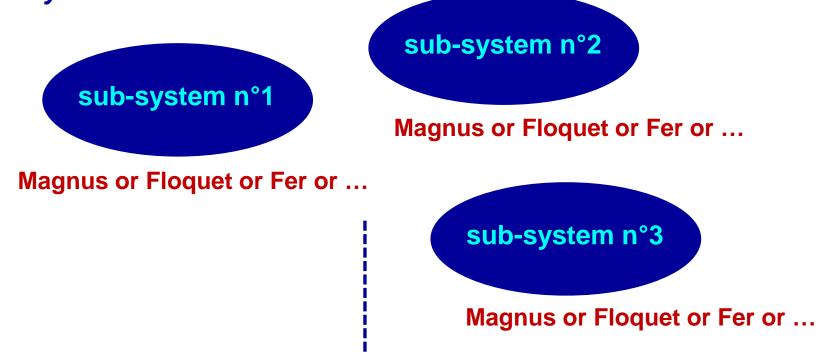




► PATH-SUM is *exact* and PARTITIONS allow to *choose the dimension* of the of the working space from *H(t)* to *U(t)*

To go further – Scale invariance

Take a **partition** of a spin system in a set of (*smaller*, *independent*) *sub-systems*



the *exact* evolution of the *entire* spin system as functions of the evolutions of the *isolated sub-systems* is given by **Path-Sum**

(though **non contiguous blocks** in H(t) matrix!)

To go further – WHY does Path-Sum work?

- the EXACT result is given by a FINITE number of terms
- the matrix nature of the problem is fully replaced when working on entries
- \blacktriangleright or, one can keep it partially... \rightarrow **PARTITIONS**
- ► hard work $\rightarrow [1_* (* * * \cdots)]^{*-1}$
- ▶ hopefully: the *Neumann series* give the analytical solution at any order with unconditional convergence (not to be "found" ... just apply a "recipe")
- the convergence of the Neumann series is superexponential
- a convenient numerical approach: linear Volterra equations (2nd kind)

$$D_x^2 u + \left[\frac{\gamma}{x} + \frac{\delta}{x-1} + \frac{\epsilon}{x-a}\right] D_x u + \left[\frac{\alpha\beta x - q}{x(x-1)(x-a)}\right] u = 0$$

<u>ex</u>.: the best obtainable solution for the **general 2** \times **2** matrix (closed form for the **confluent Heun's special functions**) (see Q. Xie, 2018) ²⁸

▶ 1st explosion: related to the *size of H(t)* with *many-body* systems (Q nature)

2nd explosion: related to the *time* needed to isolate the *primes* (g nature)

Lanczos-Path-Sum (numerical) fixes the 2nd explosion:

Idea behind: initial $H(t) \rightarrow time$ dependent *tridiagonal matrix*

<u>expectations</u>: to reach *excellent convergence* with the breadth of the continued fraction and why not ?... "Circumvent" the 1st explosion

P.-L. Giscard et al., 2019, in preparation

▶ for finite *G*: the decomposition of *W* in primes (e.g. simple paths & cycles) for the □ (nested) operation exists and is unique



► to determine the existence of a prime of *length L* is *NP-complete* (*no*(?) algorithm with polynomial complexity)

► to *count* them is *#P-complete* (the same but for counting problems)

► to count them for a fixed *length L* is *#W[1]-complete* (same as *#P-complete* but with parameters, such as *L*, taken into account)

BUT: for sparse g : counting becomes polynomial in the max degree of g!

see: P. L. Giscard et al., Algorithmica, 2019

► fundamentally: $\mathcal{R}_{esolvent}[A(t)]_{*}$ product = $\frac{d}{dt}OE[A(t)] \rightarrow Path-Sum$

each entry of A(t) must be bounded on [0,t], a bounded interval of time

▶ if the entries are *not bounded*, Path-Sum still work ... but perhaps the Neumann series will *not converge*

- continuity is not necessary
- ► *if continuity*: Volterra equations are much *easier* to handle

► A(t) can be Hermitian *or not*, periodic *or not* ... and entries can be: matrices, quaternions, octonions, division rings...

► *finite* A(t): *sufficient* condition for *finite breadth* of the continued fraction

► NOT a necessary condition: ex. a *finite* number of simples cycles in an infinite matrix

in some cases, Path-Sum can still be applied on *infinite matrices*: *strong symmetry*, e.g. invariance by translation (soluble *non-linear* Volterra equations)

In other words:

■ *infinity* of cycles ... but *self-similar* like in a *fractal*

the corresponding continued fraction is of *finite breadth*

• take one entry: $f(t) = OE[A(t)]_{ij}$

• **Taylor** series: expansion in t^n *i.e.* $f(t) = \sum_{n=0}^{\infty} \frac{f^{(n)}(0)}{n!} t^n$

ex.:
$$\frac{1}{1-t} = \sum_{n=0}^{\infty} t^n = 1 + t + t^2 + \dots + t^n + \dots$$
 with **r = 1** (*radius of CV*)

• Neumann series: uses the * -product, i.e. $f(t) = \sum_{n=0}^{\infty} f^{*n}$

each order contains functions represented by intinite Taylor series

 $r = \infty$ (!) with *uniform* & *superexponential* CV

starting with a *pure state* with *1* up-spin (total: N, *any geometry*)
Path-sum contains all *N-order correlations*

 \rightarrow if $\omega_{rot} = 0$

all terms of the Neumann series are *explicitly* known

 \rightarrow if $\omega_{rot} \neq 0$

still *analytical* up to the CV of the series to the solution

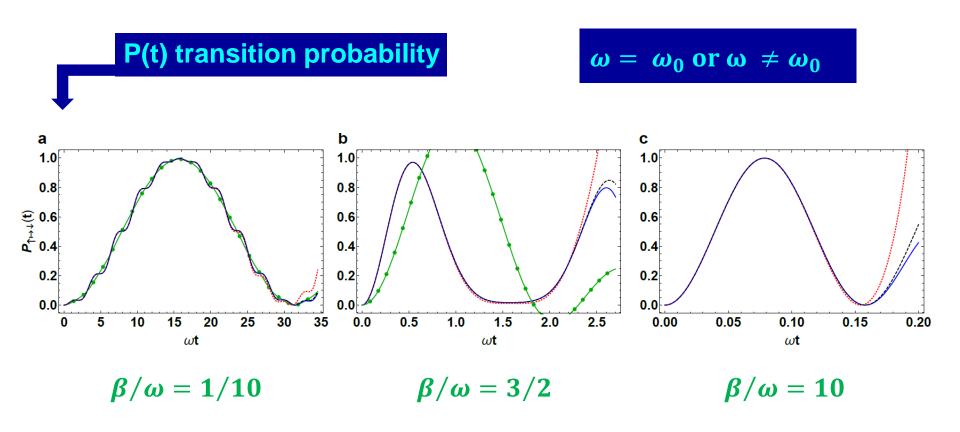
starting with a *pure state* with *4 or 5* up-spin is still tractable (*i.e.* no exponential explosion) ▶ Pure state: if *k* up-spins over N and *k* << N → space of states dim. $\approx N^k$ (suppression of the exponential explosion)

▶ Partial polarization: a *cut-off* is needed → if $\left|\frac{int_{i,j}}{intV} \le \frac{1}{cut-off}\right|$ then $int_{i,j} = 0$

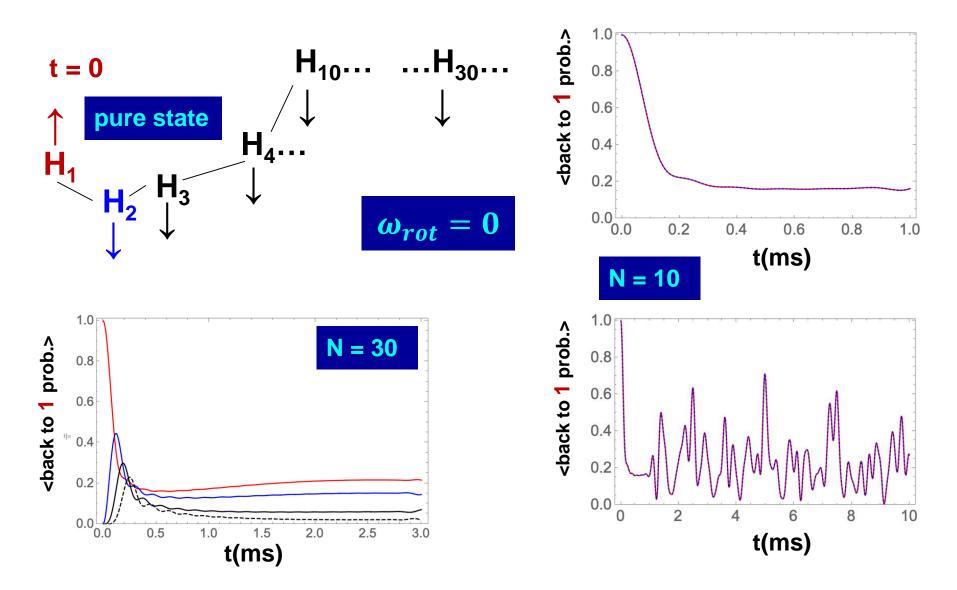
cut-off : « high » for chains but decreases for more « dense » spin systems



next target: to extend **Path-Sum** to **mixed states** *via* a **decomposition on pure states**



To go further – N spin chains and H_D



To go further – Liouvillian space, Feynman paths and diagrams

extension of Path-Sum in the Liouvillian space is possible using the adjoint operator of H(t)



« With application to quantum mechanics, path integrals suffer most grievously from a serious defect. They do not permit a discussion of spin operators or other such operators in a simple and lucid way » (**R.P. Feynman**)

Path-sum can be used starting from the Lagrangian with action as weight on a given W

Path-sum can be used starting from the *Hamiltonian* with *energy* as *weight* on a given *W*

► Feynman diagrams: **W** of **G** in the state space (but continuous)

Path-sum performs a formal re-summation of an infinite number of 20, *i.e.* Feynman diagrams !