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1 **Assessment of an ultra-sensitive IFN $\gamma$  immunoassay prototype for latent tuberculosis**  
2 **diagnosis**

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10 **Keywords:** Latent tuberculosis infection; interferon gamma release assay; digital ELISA

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16

17 **Abstract**

18 Worldwide there are about 1.7 billion individuals with latent tuberculosis infection (LTBI)  
19 and only 5% to 15% will develop active tuberculosis (TB). It is recommended to treat only  
20 those most at risk of developing active TB to avoid problems of drug resistance. LTBI  
21 diagnosis involves reviewing the individual's medical history, physical examination, and  
22 biological tests. Interferon gamma release assays (IGRA) can yield 'indeterminate' or  
23 'uncertain' results which makes clinical management decisions difficult. We assessed an  
24 ultra-sensitive immunoassay prototype based on single molecule array (SiMoA) technology to  
25 evaluate its overall performance, and in particular, its performance for indeterminate and  
26 uncertain positive or negative samples, as classified by results from the current ELISA used  
27 for IFN $\gamma$  quantification. We analyzed samples from hospitalized or consulting patients and  
28 healthcare workers from three hospitals in Paris previously classified as negative (n=30),  
29 positive (n=35), uncertain negative (n=25), uncertain positive (n=31) and indeterminate  
30 (n=30). We observed that with the SiMoA assay 83.3% of the indeterminate samples became  
31 interpretable and could be classified as negative, while 74% of uncertain positive samples  
32 were classified as positive. Most uncertain negative samples (72%) were re-classified as  
33 uncertain positive (68%) or positive (4%). The results suggest that ultra-sensitive SiMoA  
34 IFN $\gamma$  assay could represent a useful tool for the identification of true positive and negative  
35 samples among those giving indeterminate or uncertain results with the TB IGRA assay  
36 currently used.

37

## 38 INTRODUCTION

39 Tuberculosis (TB) is a bacterial infection caused by *Mycobacterium tuberculosis* complex that  
40 affects the lungs in about 70-80% of cases [1, 2]. When people with pulmonary TB cough,  
41 sneeze or spit the bacteria is expelled into the air and other people can become infected by  
42 inhalation of only a few of the bacteria [1]. TB infection can be either active (disease state) or  
43 latent. TB is a major public health threat that has important medical and economic  
44 consequences. In 2017, about 10 million people were reported to have active TB and it was  
45 among the top ten causes of death worldwide, with 1.6 million deaths [1]. TB is responsible  
46 for about 40% of deaths in co-infected HIV patients [1].

47 The WHO (World Health Organization) defines latent tuberculosis infection (LTBI) as the  
48 persistence of an immune response to *M. tuberculosis* antigens, coupled with the absence of  
49 clinical signs suggestive of tuberculosis [3]. In 2014 it was estimated that there were about 1.7  
50 billion individuals with LTBI, i.e. 23% of the global population [4]. About 5% to 15% of  
51 those with LTBI will develop active TB during their lifetime [5].

52 To prevent active TB from developing, individuals with LTBI can receive treatment, which is  
53 less intensive than treatment for active TB [6]. However, it is not recommended to treat  
54 everyone with LTBI to avoid problems of drug resistance, particularly multi-drug resistant  
55 strains. The priority, therefore, is to identify those who are most at risk of developing active  
56 TB, i.e., those with an incompetent immune system, either due to disease, e.g. HIV or  
57 immunosuppressive therapy, such as anti-tumor necrosis factor alpha (anti-TNF- $\alpha$ ) therapies  
58 for the management of certain pathologies (e.g. Crohn's disease and rheumatoid arthritis). The  
59 growing number of people with LTBI that could potentially evolve to active TB underlines  
60 the need for reliable means to diagnose LTBI [7].

61 LTBI is diagnosed using the patient's medical history, physical examination, and biological  
62 tests. These tests assess the capacity of a patient's immune system to recognize mycobacterial

63 antigens, thereby providing indirect proof of infection [8, 9]. The first test, developed in the  
64 early 20<sup>th</sup> century by Robert Koch, involved an intradermal injection of mycobacterial antigen  
65 extracts (tuberculin skin test, TST) that triggers an *in vivo* delayed hypersensitivity reaction,  
66 indicating the presence of a more or less specific immune response [10]. Recently, two more  
67 specific assays, i.e., interferon gamma release assays (IGRA), based on an *in vitro* immune  
68 response and subsequent secretion of interferon gamma (IFN $\gamma$ ) in whole blood samples have  
69 been developed, the QuantiFERON<sup>®</sup>-TB Gold in-tube and T-SPOT.TB<sup>®</sup> [11-13]. These  
70 assays have significantly improved the diagnosis of LTBI because, unlike the TST, they do  
71 not cross-react with BCG (bacillus Calmette Guérin). The most widely used TB IGRA tests is  
72 the QuantiFERON-TB test (Qiagen, Hilden, Germany). The QuantiFERON<sup>®</sup>-TB Gold in-  
73 Tube assay (QFT<sup>®</sup>-GIT) is being replaced by the new generation QuantiFERON<sup>®</sup>-TB Gold  
74 Plus (QFT<sup>®</sup>-Plus). These assays involve an *in vitro* stimulation of lymphocytes specific to the  
75 bacterial *tuberculosis* complex by a cocktail of peptides from two *M. tuberculosis*-specific  
76 proteins (ESAT-6 and CFP-10). This is followed by the quantification of IFN $\gamma$  produced in  
77 response to this stimulation (expressed as international units/mL (IU/mL)) using the same  
78 microplate QFT IFN $\gamma$  ELISA kit. Both QFT-GIT and QFT-Plus rely on a differential  
79 interpretation of different *in vitro* stimulations, based on the quantity of detected IFN $\gamma$ . The  
80 negative control (NIL) provides information on the background level of IFN $\gamma$  in the sample at  
81 the time of testing and a positive control (MIT) validates the IFN $\gamma$  secretion capacity of T  
82 lymphocytes in the whole blood sample. In the QFT-GIT assay there is a single *M.*  
83 *tuberculosis* specific stimulation (TB), compared with two (TB1 & TB2) in the QFT-Plus  
84 assay. The test result is considered positive if the difference between the *M. tuberculosis*  
85 specific stimulations (TB1 or TB2) and the negative control exceeds a predefined threshold.  
86 However, the QFT results are considered 'indeterminate' when the positive control result is  
87 low or there is a high background response to the negative control. Indeterminate results are

88 often associated with immunodeficiency due to lymphopenia, HIV, or immunosuppressive  
89 treatments, for example [14-19]. This immunodeficiency reduces the T lymphocyte  
90 population and, consequently, the amount of IFN $\gamma$  secreted is decreased. However, other  
91 factors can reduce the number of viable T-cells, e.g. delayed reception of samples in the  
92 laboratory and result in lower levels of secreted IFN $\gamma$  for the positive control [20-22]. High  
93 results for negative controls, either due to excessive levels of circulating IFN $\gamma$  or heterophilic  
94 antibodies, can also result in indeterminate results. The incidence of indeterminate results is  
95 usually low in healthy individuals (<2%) but can increase significantly in immunosuppressed  
96 populations due to the lower secretion of IFN $\gamma$  and the level of the IGRA detection threshold  
97 [23, 24].

98 ‘Uncertain’ results are linked to an additional uncertainty zone around the QFT  
99 manufacturer’s predefined threshold. An ‘uncertainty zone’ has been proposed to deal with  
100 variations occurring in the interpretation of results from serial QFT assays. In one study  
101 assessing serial testing of samples from healthcare workers in a low-incidence setting using  
102 the QFT-GIT assay, the use of an uncertainty zone from 0.2 to 0.7 IU/mL instead of a strict  
103 threshold of 0.35 IU/mL resulted in a lower percentage of conversions and reversions (2.6%  
104 vs. 6.1% and 15.4% vs. 32.6%, respectively) [25]. Several sources of variability that can have  
105 an impact on serial QFT testing were identified in a systematic review, with the QFT IFN $\gamma$   
106 ELISA kit variability itself being the most important [26]. More recently, results from a study  
107 investigating the pre-analytical, analytical and inter-assay variability of the QFT-GIT assay  
108 demonstrated that the variability could be improved by implementing optimized procedures,  
109 some of which were linked to the combined effects of blood volume and incubation times in  
110 the QFT stimulation tubes and some linked to the QFT IFN $\gamma$  ELISA kit itself [27].

111 Overall, these observations suggest that a more sensitive, automated assay could provide a  
112 clearer clinical interpretation of the results for these specific patient populations. Recently, a

113 highly-sensitive SiMoA prototype for IFN $\gamma$  quantification, based on single molecule array  
114 (SiMoA) technology and digital ELISA signal detection, has been developed. This technology  
115 enables detection of lower concentrations of proteins than with conventional tests such as  
116 microplate ELISA assays; the detection threshold can be 100-fold lower or more, compared  
117 with current analog methods [28, 29].

118 The aim of this study was to assess the analytical performance of this new IFN $\gamma$  immunoassay  
119 prototype based on SiMoA technology and to compare it with the performance of the QFT  
120 Plus IFN $\gamma$  ELISA kit. In particular, we investigated if SiMoA IFN $\gamma$  assay can improve the  
121 clinical interpretation of samples collected using QFT-Plus tubes and classified as  
122 'indeterminate' and 'uncertain' using the QFT IFN $\gamma$  ELISA kit.

## 123 **MATERIALS AND METHODS**

### 124 **QFT-TB Gold Plus assay**

125 The QuantiFERON TB-Gold Plus (QFT-Plus) assay (Qiagen) measures cell-mediated  
126 immune responses to two different peptide cocktails (TB1 and TB2, see below) from two *M.*  
127 *tuberculosis* proteins (ESAT-6 and CFP10). The assay is performed in two stages. In the first  
128 stage, blood samples are transferred to the laboratory in collection tubes containing the  
129 peptide cocktail where they are incubated at 37°C for 16-24 hours. In the second stage, the  
130 plasma is harvested and the secreted IFN $\gamma$  is measured with the QFT ELISA kit in a 50 $\mu$ L  
131 aliquot.

132 The routine QFT-Plus assay was performed according to manufacturer's recommendations  
133 except the whole blood samples that had been collected in lithium heparin tubes could be  
134 received up to 24h hours after collection at the Pitié-Salpêtrière Hospital in the Cellular and  
135 Tissue Immunology laboratory, whereas the manufacturer recommends up to 16h. For each  
136 patient, blood samples were transferred into the four specialized collection QFT-Plus tubes:  
137 (1) a negative control tube i.e. NIL (without antigen); (2) a positive control tube i.e. mitogen

138 (MIT; PHA); (3) a tube containing ESAT-6 & CFP10 long peptides i.e. TB antigen 1 (TB1);  
139 (4) a tube containing ESAT-6 & CFP10 short & long peptides i.e. TB antigen 2 (TB2).  
140 A log-log standard curve was generated by plotting the log of the mean optical density (OD)  
141 on the y-axis against the log of the IFN $\gamma$  concentration of the four standards (0, 0.25, 1.0 and  
142 4.0 IU/mL) supplied in the kit on the x-axis. The line of best fit for the standard curve was  
143 then determined with regression analysis and used to determine the IFN $\gamma$  concentration in  
144 IU/mL for each of the tested plasma samples, using the OD value of each sample. The results  
145 were calculated as MIT minus NIL and TB1 (or TB2) minus NIL. When the TB1-NIL ( $\delta$ TB1)  
146 and TB2-NIL ( $\delta$ TB2) results were discordant, the highest value was taken into account for  
147 interpretation. The results were interpreted as summarized in Table 1.

#### 148 **SiMoA IFN $\gamma$ immunoassay prototype**

149 The SiMoA IFN $\gamma$  immunoassay prototype is a fully automated three-step sandwich  
150 immunoassay that quantifies IFN $\gamma$  in plasma and cell culture supernatants using the HD-1  
151 Analyzer. In this assay, the IFN $\gamma$  is captured onto antibody-coated paramagnetic beads and  
152 detected with a biotin-labeled antibody and an enzyme-conjugated streptavidin. The  
153 individual beads are then isolated and sealed in arrays of femtoliter-sized wells in the  
154 presence of a fluorogenic enzyme substrate. The fluorescence emitted is captured by a  
155 charged coupled device (CCD) camera, allowing the number of wells containing an enzyme-  
156 labelled bead and the level of emitted fluorescence to be ascertained. Both the fraction of  
157 beads associated with at least one enzyme and the fluorescence intensity from each well are  
158 determined, enabling the instrument to detect ultra-low IFN $\gamma$  concentrations (digital readout  
159 mode). The assay was calibrated with native IFN $\gamma$  antigen obtained by stimulation of  
160 peripheral blood mononuclear cells (PBMCs) and diluted in the sample diluent. Standards  
161 were calibrated in IU/mL, based on determinations with the QFT ELISA assay. The  
162 calibration curve was established using seven standards tested in duplicate (0, 0.0023, 0.0056,

163 0.029, 0.13, 0.61 and 2.34 IU/mL) using a four parameter logistic (4PL) regression model  
164 [30]. Two duplicate control samples were included; one with a concentration within the  
165 digital range and the other with a concentration within the analog range for the SiMoA IFN $\gamma$   
166 assay.

167 The carboxy-paramagnetic microbeads (2.7- $\mu$ m, provided by Agilent Technologies) coated  
168 with a mouse monoclonal anti-human IFN $\gamma$  antibody (developed by bioMérieux) were mixed  
169 with 75  $\mu$ L of the pre-diluted sample (1/4) and incubated for 15 min. An additional dilution  
170 (1/20) was analyzed when saturation of TB1 or TB2 occurred. The antibody-coated beads  
171 were diluted to obtain a concentration of  $2 \times 10^7$  beads/mL in Tris buffered saline with 0.05%  
172 tween 20 and 0.05% bovine serum albumin (BSA). The capture microbeads were collected  
173 into a pellet using a magnet, washed and then incubated for 5 minutes with biotinylated anti-  
174 human IFN $\gamma$ -detector monoclonal antibody (also developed by bioMérieux) at 0.1  $\mu$ g/mL in  
175 phosphate-buffered saline containing 0.05% tween 20 (PBST) and 0.05% BSA. After  
176 pelleting and washing, the beads were incubated with streptavidin- $\beta$ -galactosidase (S $\beta$ G;  
177 enzymatic conjugate) compound for five minutes. The S $\beta$ G compound was prepared at  
178 bioMérieux by covalent conjugation of purified streptavidin (Thermo Scientific) and  $\beta$ G  
179 (Sigma), and diluted to 150 ng/mL in PBST and 0.05% BSA. The beads were then pelleted  
180 and washed and finally incubated with the fluorogenic substrate, resorufin  $\beta$ -D-  
181 galactopyranoside (RGP). The HD-1 analyzer processed the substrate incubation, bead  
182 transfer onto the disk and the CCD camera reading and image acquisition in about 3 minutes.

### 183 **Assessment of assay reproducibility and limits of quantification**

184 The reproducibility of the SiMoA IFN $\gamma$  and QFT IFN $\gamma$  ELISA assays was assessed using  
185 samples that were within their specific detection ranges: from 0.0023 to 2.34 IU/mL and from  
186 0.065 IU/mL (LOD) to 10.0 IU/mL (extrapolated highest standard), respectively [31].

187 The reproducibility of the QFT ELISA assay was assessed using 13 blood samples that were  
188 tested in duplicate over three days. Two technicians performed the assays in one laboratory,  
189 using the same QFT ELISA assay batch (n = 12 for each sample). The limit of quantification  
190 (LOQ) at 20% CV was estimated using concentrations of the 13 blood samples ranging from  
191 0.02 IU/mL to 4.0 IU/mL, with 8 of the samples in the low range (0.02-0.37 IU/mL).

192 The reproducibility of the SiMoA IFN $\gamma$  assay was performed using one HD-1 instrument.  
193 Seven plasma samples and two controls in duplicate (one digital and one analog) with  
194 concentrations ranging from 0.02 to 1.0 IU/mL were tested twice-a-day for three days (n = 12  
195 for each sample). Five of the samples, obtained by dilution in the sample diluent, were used  
196 specifically to assess the assay LOQ at 20% CV.

#### 197 **Linearity of the SiMoA IFN $\gamma$ and QFT assays**

198 To assess the linearity of the SiMoA IFN $\gamma$  assay two plasma samples, containing 0.64 and  
199 2.51 IU/mL of IFN $\gamma$ , were serially diluted with the sample diluent from 3/4 to 1/20 for sample  
200 A, and from 3/5 to 1/20 for sample B, giving concentrations from 0.48 IU/mL to 0.032 IU/mL  
201 and from 1.51 IU/mL to 0.13 IU/mL, respectively. Each dilution was tested in duplicate to  
202 assess the linearity of SiMoA IFN $\gamma$  assay. The IFN $\gamma$  concentrations were determined using an  
203 in-house standard curve.

204 We did not assess the linearity of the QFT IFN $\gamma$  ELISA here because the manufacturer had  
205 documented its linearity previously [31].

#### 206 **Samples tested**

207 A total of 1,717 fresh blood samples from hospitalized or consulting patients from three  
208 hospital in Paris, France (Pitié Salpêtrière, Saint –Antoine and Tenon Hospital), were assessed  
209 routinely in our laboratory using the QFT Plus assay from 19 June to 10 October 2017. There  
210 were 1,387 negative (81%), 188 positive (11%), 50 uncertain negative (3%), 56 uncertain  
211 positive (3%) and 36 indeterminate (2%) samples. A total of 151 of these samples that were

212 frozen after the QFT IFN $\gamma$  ELISA test were later assessed with the SiMoA IFN $\gamma$  assay: 30  
213 negative, 25 uncertain negative, 35 positive, 31 uncertain positive and 30 indeterminate  
214 samples. The samples were a convenience selection of samples that had a sufficient volume  
215 for the SiMoA IFN $\gamma$  assay to obtain about 30 in each category. The majority of the samples  
216 came from hospitalized patients and 21 were from healthcare professionals (**Table 2**).  
217 Lymphocyte counts were available for 41% of the samples.  
218 These samples were used to assess if the higher sensitivity of the SiMoA IFN $\gamma$  assay could  
219 confirm the positive and negative results and improve the clinical interpretation of samples  
220 classified as indeterminate or uncertain positive or negative based on the results from the QFT  
221 IFN $\gamma$  ELISA assay. The indeterminate samples were mainly from immunosuppressed patients,  
222 with a MIT - NIL <0.5 IU/mL. The thresholds for the negative and positive  $\delta$ TB1 and  $\delta$ TB2  
223 samples were <0.2 IU/mL and >0.7 IU/mL, respectively. The results for the uncertain  
224 negative and uncertain positive samples fell into the uncertainty zones around the cut off of  
225 0.35 IU/mL; 0.2 to 0.35 IU/mL and 0.35 to 0.7 IU/mL, respectively [27].

## 226 **Statistical analysis**

227 Analyses were performed using the GraphPad Prism (version 7.03), SAS-Add in (version 4.3)  
228 and Analyse-it (version 3.70) software. Passing and Bablok regression analysis, a non-  
229 parametric statistical method, was used to estimate the agreement between the assays and  
230 detect any systematic bias between them [32].

## 231 **RESULTS**

### 232 **Analytical performance of the SiMoA IFN $\gamma$ assay and the QFT IFN $\gamma$ ELISA**

#### 233 *Reproducibility and limits of quantification*

234 The intra-assay CVs for the SiMoA IFN $\gamma$  assay were below 4% for samples ranging from  
235 0.045 to 1.037 IU/mL compared with CVs from 4.4% to 14.1% for the QFT ELISA assay for  
236 samples ranging from 0.595 to 3.998 IU/mL (**Table 3**). The inter-assay CVs for the SiMoA

237 IFN $\gamma$  assay ranged from 3.7% to 8.2% compared with from 10.5% to 21.3% for the QFT  
238 ELISA.

239 The precision profiles for both assays were plotted using the results for the lowest  
240 concentration samples tested in the repeatability study to determine the LOQ, corresponding  
241 to 20% of the CV (**Figure 1**). The LOQ for the QFT ELISA was 0.169 IU/mL compared with  
242 0.002 IU/mL for the SiMoA IFN $\gamma$  assay.

#### 243 *Linearity of the SiMoA IFN $\gamma$ assay*

244 For the assessment of the linearity of the SiMoA IFN $\gamma$  assay the recovery rate ranged from  
245 99.2% to 115.7% for sample **A** (initial IFN $\gamma$  concentration 0.64 IU/mL) and from 103.3% to  
246 111.0% for sample **B** (initial IFN $\gamma$  concentration 2.51 IU/mL), with slopes and a R<sup>2</sup> close to  
247 1.0 for both samples (**Figure 2**).

#### 248 *Verification of the metrological traceability between the QFT IFN $\gamma$ ELISA and SiMoA IFN $\gamma$ 249 assay*

250 The correlation of the results from the SiMoA and QFT IFN $\gamma$  ELISA assays was assessed  
251 using the 35 positive samples, i.e. where  $\delta$ TB1 and/or  $\delta$ TB2 were  $\geq 0.7$  IU/mL, to ensure that  
252 the poorer precision of the QFT IFN $\gamma$  ELISA assay in the low range of concentrations would  
253 not bias the results. The IFN $\gamma$  concentrations obtained with SiMoA IFN $\gamma$  assay were, on  
254 average, 17% higher than those obtained with the QFT ELISA assay for  $\delta$ TB1 and 24%  
255 higher for  $\delta$ TB2 (95% CI: 0.99-1.42 and 1.01-1.41, respectively). The correlation equations  
256 were  $y = 1.17x + 0.16$  for  $\delta$ TB1 and  $y = 1.24x + 0.09$  for  $\delta$ TB2 (**Figure 3**). The Pearson  
257 correlation coefficient R<sup>2</sup> was 0.923 for both  $\delta$ TB1 and  $\delta$ TB2.

#### 258 **SiMoA IFN $\gamma$ assay results for non-positive QFT Plus results**

##### 259 *Comparison of indeterminate results*

260 A total of 30 samples with indeterminate MIT - NIL results (<0.5 IU/mL) from the QFT Plus  
261 assay were also analyzed with the SiMoA assay. The SiMoA assay results for the majority of

262 NIL tubes were above the LOQ of 0.002 IU/mL (median value 0.026 IU/mL, interquartile  
263 range (IQR) 0.008 to 0.055 IU/mL), compared with none of the QFT ELISA results (median  
264 value 0.055 IU/mL, IQR 0.033 to 0.080 IU/mL) (**Figure 4A**). Only two of the samples were  
265 not measurable by the SiMoA assay, but they were both detectable and lower than the LOQ  
266 (0.0014 IU/mL). The median MIT results from the SiMoA and the QFT assays were 0.393  
267 IU/mL with IQR 0.198 to 0.690 IU/mL and 0.230 IU/mL with IQR 0.089 to 0.300 IU/mL,  
268 respectively (**Figure 4B**). The MIT-NIL values for the SiMoA assay were significantly  
269 different from those for the QFT IFN $\gamma$  ELISA assay, resulting in a MIT-NIL value >0.5  
270 IU/mL for 11/30 samples making interpretation possible according to the manufacturer's  
271 recommendations (**Table 1; Figure 4C**).

272 The lymphocyte count was within the normal range (1,500-4,000 lymphocytes/mm<sup>3</sup>) for 3 of  
273 the 17 QFT Plus indeterminate samples for which lymphocyte counts were available. For the  
274 remaining 14, the counts were below the normal range (mean: 698 lymphocytes/mm<sup>3</sup>, SD:  
275 332 lymphocytes/mm<sup>3</sup>). No link between lymphopenia and the MIT - NIL value was  
276 observed.

277 The  $\delta$ TB1 and  $\delta$ TB2 results for the 11/30 samples with MIT - NIL values >0.5 IU/mL in the  
278 SiMoA assay (maximum=0.027 and 0.016, respectively) were all below the uncertain  
279 positivity thresholds of 0.2 IU/mL and 0.35 IU/mL for the SiMoA and QFT IFN $\gamma$  ELISA  
280 assays, respectively and they were interpreted as negative. Since the LOQ for the SiMoA  
281 assay is lower than that for the QFT ELISA, a MIT - NIL threshold lower than 0.5 IU/mL  
282 could be considered. The results with lower MIT - NIL thresholds on the clinical  
283 interpretation of the indeterminate samples are summarized in **Table 4**. The lowest MIT - NIL  
284 threshold, >0.1 IU/mL, enabled the results for 25 of the 30 indeterminate samples to be  
285 interpreted; all were negative. Four of the five samples that remained indeterminate had high  
286 NIL values (data not shown).

287 *Comparison of uncertain positive, uncertain negative and negative results*

288 Passing-Bablok regression analyses were used to compare the results for samples that were  
289 classified as uncertain (positive and negative) with the QFT Plus assay with those from the  
290 SiMoA assay (**Figure 5**). We also used the QFT Plus manufacturer's criteria for the  
291 uncertainty categories to compare the interpretation of the results from both assays.  
292 Unlike the analyses with positive samples, where the Passing Bablok analyses gave slopes of  
293 1.17 and 1.24 for the  $\delta$ TB1 and  $\delta$ TB2 results, respectively, higher slopes were observed with  
294 the uncertain categories (**Figures 3 and 5**). In these analyses the slopes for the  $\delta$ TB1 and  
295  $\delta$ TB2 results for uncertain negative samples were 2.79 and 3.37, respectively, and 2.17 and  
296 2.30, respectively, for uncertain positive samples (**Figure 5**).

297 When the uncertainty thresholds and the QFT Plus manufacturer's thresholds were used to  
298 interpret the results, discordant results were observed for 43 and 19 samples, respectively  
299 (**Table 5**). The  $\delta$ TB1 and  $\delta$ TB2 SiMoA assay results were classified in a higher category than  
300 the QFT Plus assay results for all 19 discordant samples, using the manufacturer's thresholds  
301 (data not shown).

302 Passing Bablok regression analyses were not performed for the negative samples because  
303 most of the QFT  $\delta$ TB1 and  $\delta$ TB2 were close to 0 or negative.

304 **DISCUSSION**

305 The WHO recommends screening for LTBI in certain at-risk populations [3]. Biological  
306 exploration with TB IGRA assays is an important element for the diagnosis of LTBI since  
307 there are no clinical symptoms. However, the results for transplanted patients or individuals  
308 living with HIV may be indeterminate due to lymphopenia or immunosuppressive therapy  
309 [23, 33-35]. A more sensitive test, such as the SiMoA IFN $\gamma$  assay, could provide more  
310 definite results confirming or refuting the diagnosis of LTBI in these patients.

311 The LOQ for the SiMoA IFN $\gamma$  assay was lower than that for the QFT ELISA assay  
312 (0.002 IU/mL vs. 0.169 IU/mL) confirming that the IFN $\gamma$  assay based on digital SiMoA  
313 technology is about 100-fold more sensitive than the QFT ELISA assay.

314 We obtained inter-assay CVs ranging from 10.5% to 21.3% for samples containing IFN $\gamma$   
315 concentrations ranging from 0.6 to 4.0 IU/mL for the QFT ELISA, which is similar to those  
316 indicated in the manufacturer's package insert and those previously reported; inter-assay CVs  
317 of 13% around an individual mean of  $\pm 0.47$  IU/mL for all values (irrespective of the initial  
318 IFN $\gamma$  value) and CVs of 30% around an individual mean of  $\pm 0.26$  IU/ml for individuals with  
319 an initial borderline IFN $\gamma$  response (in the range of 0.25–0.80 IU/mL) [26, 27]. The inter-  
320 assay CVs were smaller for the SiMoA IFN $\gamma$  assay, ranging from 3.7% to 8.2% for samples  
321 containing IFN $\gamma$  concentrations ranging from 0.05 to 1.04 IU/mL. This variability for samples  
322 with low IFN $\gamma$  concentrations ranging from 0.01 to 0.40 IU/mL with the QFT assay has an  
323 important impact on the clinical interpretation of assay results and therefore the management  
324 of these patients. In addition, the manufacturer recommends only one assay per sample, which  
325 could result in a higher risk of false positive and negative results due to the poor precision.

326 We found good agreement for the clinical interpretation of results from the two assays, when  
327 we checked the metrological traceability of the SiMoA assay to the QFT IFN $\gamma$  ELISA assay  
328 by regression analysis using the 35 positive samples, i.e. with a  $\delta$ TB1 and/or  $\delta$ TB2  
329  $\geq 0.7$  IU/mL.

330 In clinical practice, samples that have either an uncertain positive, uncertain negative or  
331 indeterminate result are retested with the T-SPOT-TB assay, which is a more sensitive TB  
332 IGRA assay than the QFT assay, to attempt to provide a clear clinical interpretation [36]. The  
333 advantage of T-SPOT-TB assay is that a standard number of PBMCs is used, which can  
334 correct for a patient's immune status, but a second blood sample has to be taken, which can be  
335 inconvenient for both clinicians and patients. The SiMoA IFN $\gamma$  assay results, using the

336 original frozen samples, were consistent with the T-SPOT-TB assay results using the second  
337 blood samples from 6/14 patients (data not shown). Among the remaining eight samples, four  
338 that gave uncertain positive results with the QFT assay and negative results with T-SPOT-TB  
339 assay, were positive with the SiMoA IFN $\gamma$  assay. This may be explained by the higher  
340 sensitivity of the SiMoA IFN $\gamma$  assay and its measurement precision at low IFN $\gamma$   
341 concentrations, since in samples with low levels of secreted IFN $\gamma$ , the SiMoA technology  
342 enables to distinguish between no response and a weak response to the TB antigens. Thus the  
343 SiMoA IFN $\gamma$  assay could help to provide a clearer interpretation to guide clinical decisions  
344 about LTBI without the need for a second blood sample. Although, it has been reported that  
345 IGRA assays are sensitive to blood lymphocyte counts, we observed no link between  
346 lymphopenia and MIT - NIL values, although lymphocyte counts were only available for 41%  
347 of the samples [34, 37, 38].

348 The main limitation of this study relates to heterogeneity of the patient population since the  
349 cohort included immunosuppressed patients as well as immunocompetent patients and  
350 healthcare workers. However, this heterogeneity is representative of patients in a real-world  
351 setting that had undergone TB testing for a variety of reasons.

352 Our results suggest that the ultra-sensitive SiMoA IFN $\gamma$  assay could be a useful tool for the  
353 identification of true positive and negative samples among those giving indeterminate or  
354 uncertain results with the currently used TB IGRA assay and therefore allow appropriate  
355 clinical management of the patients. Future studies should be conducted on defined  
356 populations at risk, such as individuals living with HIV, patients receiving anti-TNF therapy  
357 and those who have undergone organ transplant, to confirm the potential advantages of using  
358 a more sensitive detection method such as the SiMoA IFN $\gamma$  assay.

359

360

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373 **Conflicts of interests**

374 The authors declare bioMérieux financial support (study and SiMoA reagents). Mylène  
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376

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- 482
- 483

484 **Table 1:** Rules for interpreting the results from the QuantiFERON-TB Gold Plus assay

Categories of results	MIT - NIL	$\delta$ TB1 and $\delta$ TB2	
		Manufacturer's recommendation	Uncertainty-categories
Indeterminate	<0.5 IU/mL	Not interpretable	Not interpretable
Negative	$\geq$ 0.5 IU/mL	<0.35 IU/mL	<0.2 IU/mL
Uncertain negative	$\geq$ 0.5 IU/mL	Not considered	[0.2 – 0.35[ IU/mL*
Uncertain positive	$\geq$ 0.5 IU/mL	Not considered	[0.35 – 0.7[ IU/mL*
Positive	all	$\geq$ 0.35 IU/mL*	$\geq$ 0.7 IU/mL*

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*MIT: positive control tube or mitogen; NIL: negative control tube;  $\delta$ TB1: TB1 - NIL;  $\delta$ TB2: TB2 - NIL;*  
\*  $\delta$ TB1 or  $\delta$ TB2 >25% of NIL

488 **Table 2:** Origin of the 151 samples assessed with the SiMoA IFN $\gamma$  assay by classification  
 489 based on QFT IFN $\gamma$  ELISA results

Classification of sample (N)	Total	Internal medicine	Rheumatology / Gastroenterology	Occupational medicine	Others**	Lymphocyte count available
Positive	35*	6	2	5	20	10
Negative	30	5	6	6	13	14
Uncertain positive	31*	8	3	6	12	11
Uncertain negative	25*	4	4	4	11	10
Indeterminate	30	10	5	0	15	17
Total	151	33	20	21	71	62 (41%)

490 \* information missing for two samples in each category; \*\* from infectious medicine, pulmonology, neurology,  
 491 ophthalmology, nephrology and organ transplantation departments

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**Table 3: Reproducibility of QFT and SiMoA IFN $\gamma$  assays**

IFN $\gamma$ sample mean (IU/mL)	Intra-assay CV (%)	Inter-assay CV (%)
<b>QFT IFN<math>\gamma</math> ELISA assay (n=12)</b>		
0.595	4.4	10.5
1.471	14.1	21.3
2.211	12.0	12.4
3.998	7.2	11.6
<b>SiMoA IFN<math>\gamma</math> assay (n=12)</b>		
0.045	2.7	8.2
0.080	3.2	3.7
0.484	3.7	4.5
1.037	3.4	7.4

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496 **Table 4:** Impact of lower MIT-NIL thresholds with the SiMoA assay on the classification of  
 497 30 samples from the QFT PLUS ‘indeterminate’ category

MIT - NIL threshold	Indeterminate	Interpretable	Interpretation based on $\delta$ TB1 and $\delta$ TB2
> 0.5 IU/mL	19/30	11/30	Not considered Negative
> 0.35 IU/mL	15/30	15/30	Not considered Negative
> 0.2 IU/mL	10/30	20/30	Not considered Negative
> 0.1 IU/mL	5/30	25/30	Not considered Negative

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501 **Table 6:** Classification of the samples based on A) uncertainty categories and B) QFT Plus

502 manufacturer's categories. The numbers of concordant samples for each classification are

503 indicated in bold.

<b>A</b>		<b>QFT IFN<math>\gamma</math> ELISA</b>				<i>Total</i>
		Negative ( $\delta$ TB1 or $\delta$ TB2 < 0.2 IU/ml)	Uncertain negative ( $\delta$ TB1 or $\delta$ TB2 [0.2 – 0.35[ IU/ml)	Uncertain positive ( $\delta$ TB1 or $\delta$ TB2 [0.35 – 0.7[ IU/ml)	Positive ( $\delta$ TB1 or $\delta$ TB2 > 0.7 IU/ml)	
<b>SiMoA IFN<math>\gamma</math></b>	Negative ( $\delta$ TB1 or $\delta$ TB2 < 0.2 IU/ml)	<b>28</b>	0	0	0	<b>28</b>
	Uncertain negative ( $\delta$ TB1 or $\delta$ TB2 [0.2 – 0.35[ IU/ml)	1	<b>7</b>	0	0	<b>8</b>
	Uncertain positive ( $\delta$ TB1 or $\delta$ TB2 [0.35 – 0.7[ IU/ml)	1	17	<b>8</b>	0	<b>26</b>
	Positive ( $\delta$ TB1 or $\delta$ TB2 > 0.7 IU/ml)	0	1	23	<b>35</b>	<b>59</b>
	<i>Total</i>	<i>30</i>	<i>25</i>	<i>31</i>	<i>35</i>	<i>121</i>

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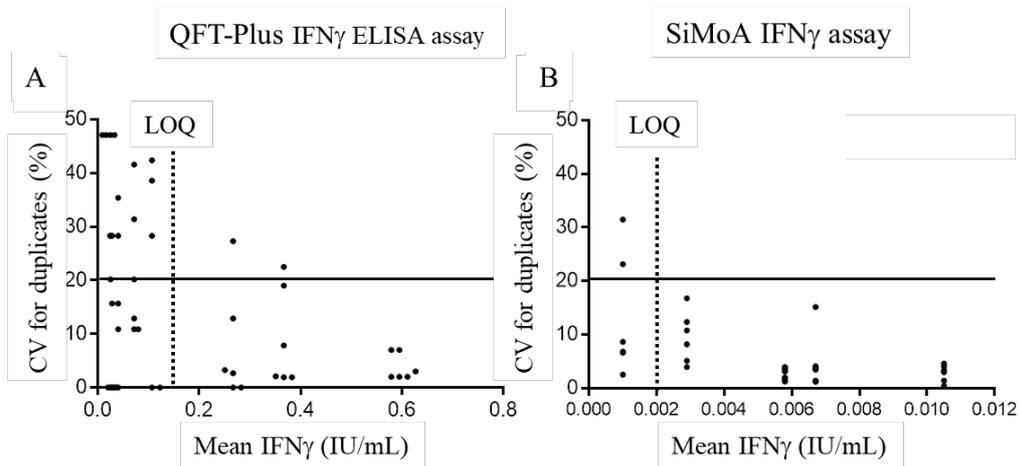
<b>B</b>		<b>QFT IFN<math>\gamma</math> ELISA</b>			<i>Total</i>
		Negative ( $\delta$ TB1 or $\delta$ TB2 < 0.35 IU/ml)	Positive ( $\delta$ TB1 or $\delta$ TB2 $\geq$ 0.35 IU/ml)		
<b>SiMoA IFN<math>\gamma</math></b>	Negative ( $\delta$ TB1 or $\delta$ TB2 < 0.35 IU/ml)	<b>36</b>	0		<b>36</b>
	Positive ( $\delta$ TB1 or $\delta$ TB2 $\geq$ 0.35 IU/ml)	19	<b>66</b>		<b>85</b>
	<i>Total</i>	<i>55</i>	<i>66</i>		<i>121</i>

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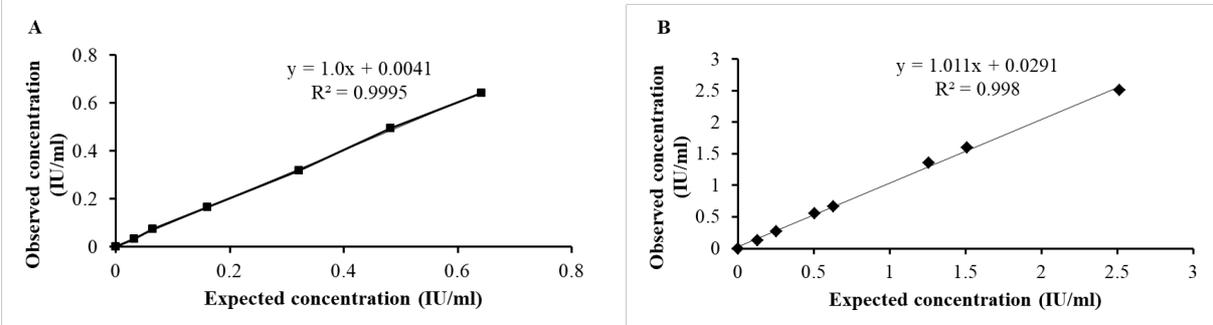
508 **Figure 1:** Limits of quantification (LOQ) for (A) QFT IFN $\gamma$  ELISA and (B) SiMoA IFN $\gamma$   
509 assays determined using the 20% CV for the lowest concentration samples in the repeatability  
510 study



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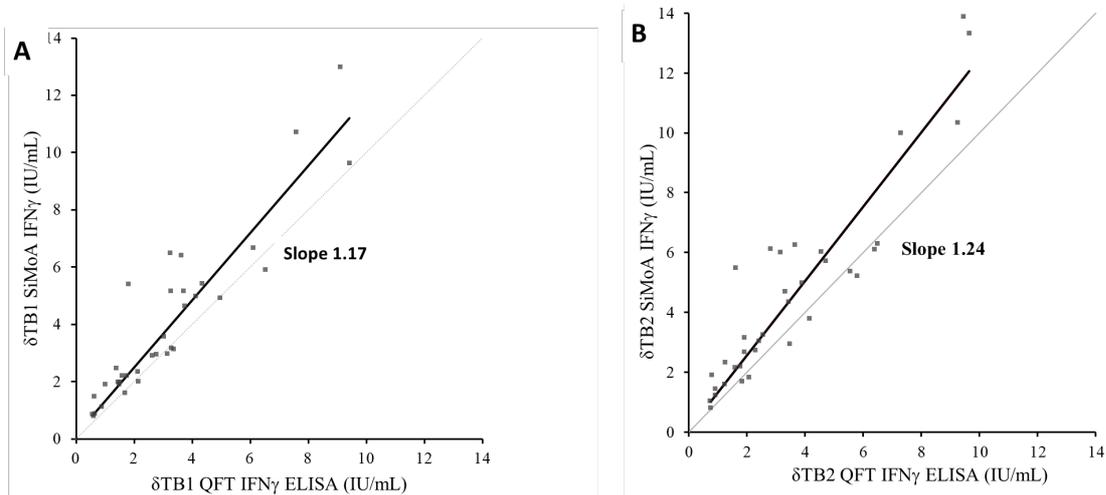
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513 **Figure 2:** Linear regression curves with regression equations and R<sup>2</sup> values for SiMoA IFN $\gamma$   
514 assay for (A) sample A, initial IFN $\gamma$  concentration 0.64 IU.mL and (B). sample B, initial IFN $\gamma$   
515 concentration 2.51 IU/mL



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519 **Figure 3:** Passing-Bablok correlation between (A)  $\delta$ TB1 and (B)  $\delta$ TB2 IFN $\gamma$  concentrations  
520 (IU/mL) obtained with the QFT IFN $\gamma$  ELISA and SiMoA IFN $\gamma$  assays using 35 positive blood  
521 samples ( $\delta$ TB1 or  $\delta$ TB2  $\geq 0.7$  IU/mL, as determined with the QFT IFN $\gamma$  ELISA assay). The  
522 grey dashed line indicates 100% agreement (slope =1.00).

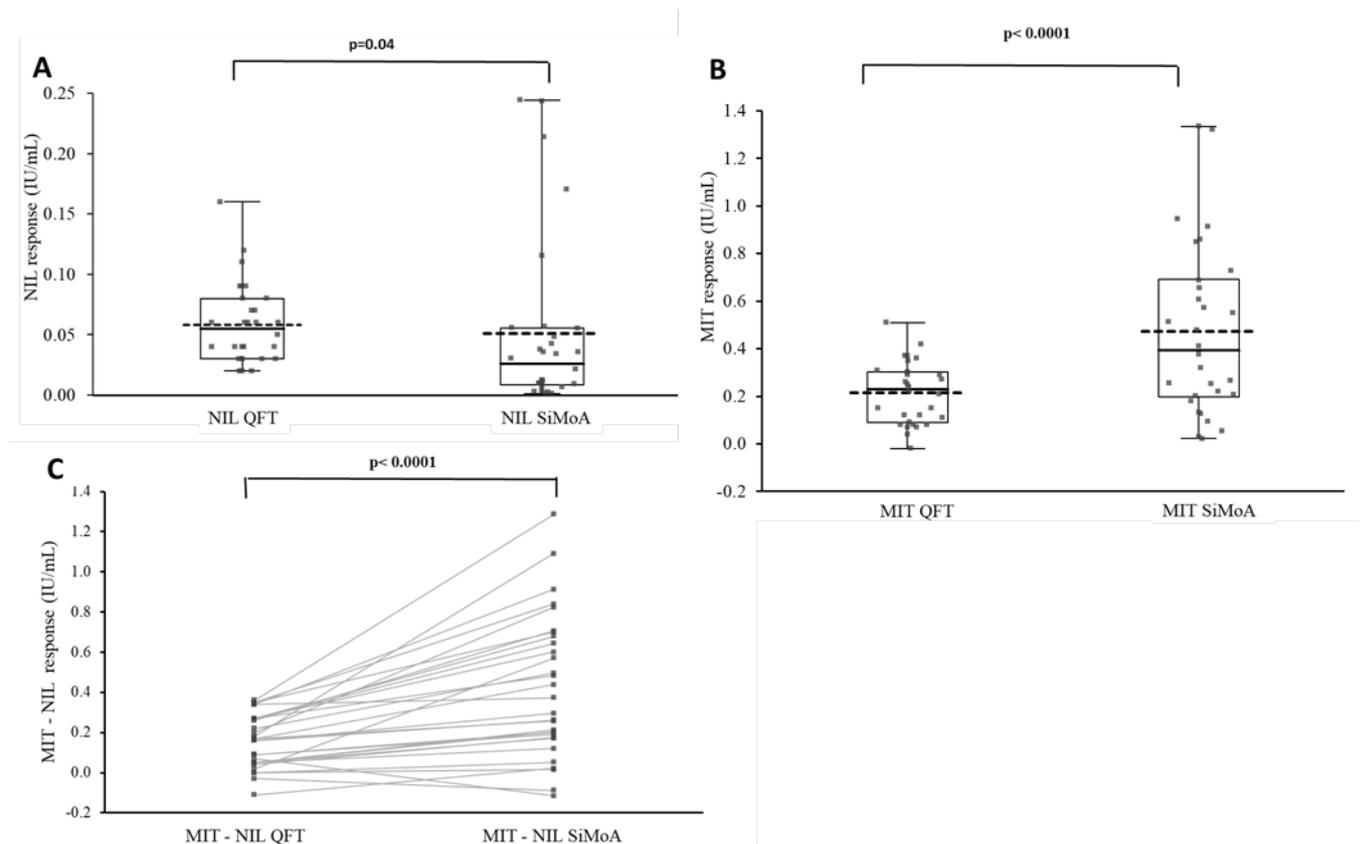


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526 **Figure 4:** Box and whisker plots of (A) NIL and (B) MIT responses (IU/mL) with the QFT  
527 ELISA and SiMoA IFN $\gamma$  assays for samples with indeterminate results with the QFT Plus  
528 assay (n=30) showing median, interquartile range and the minimum and maximum values. P  
529 values were calculated by Wilcoxon test. The dashed lines denote the means. (C) Pairwise  
530 comparison for the MIT - NIL results from the QFT Plus and SiMoA IFN $\gamma$  assays for the 30  
531 samples with indeterminate results with the QFT Plus assay.



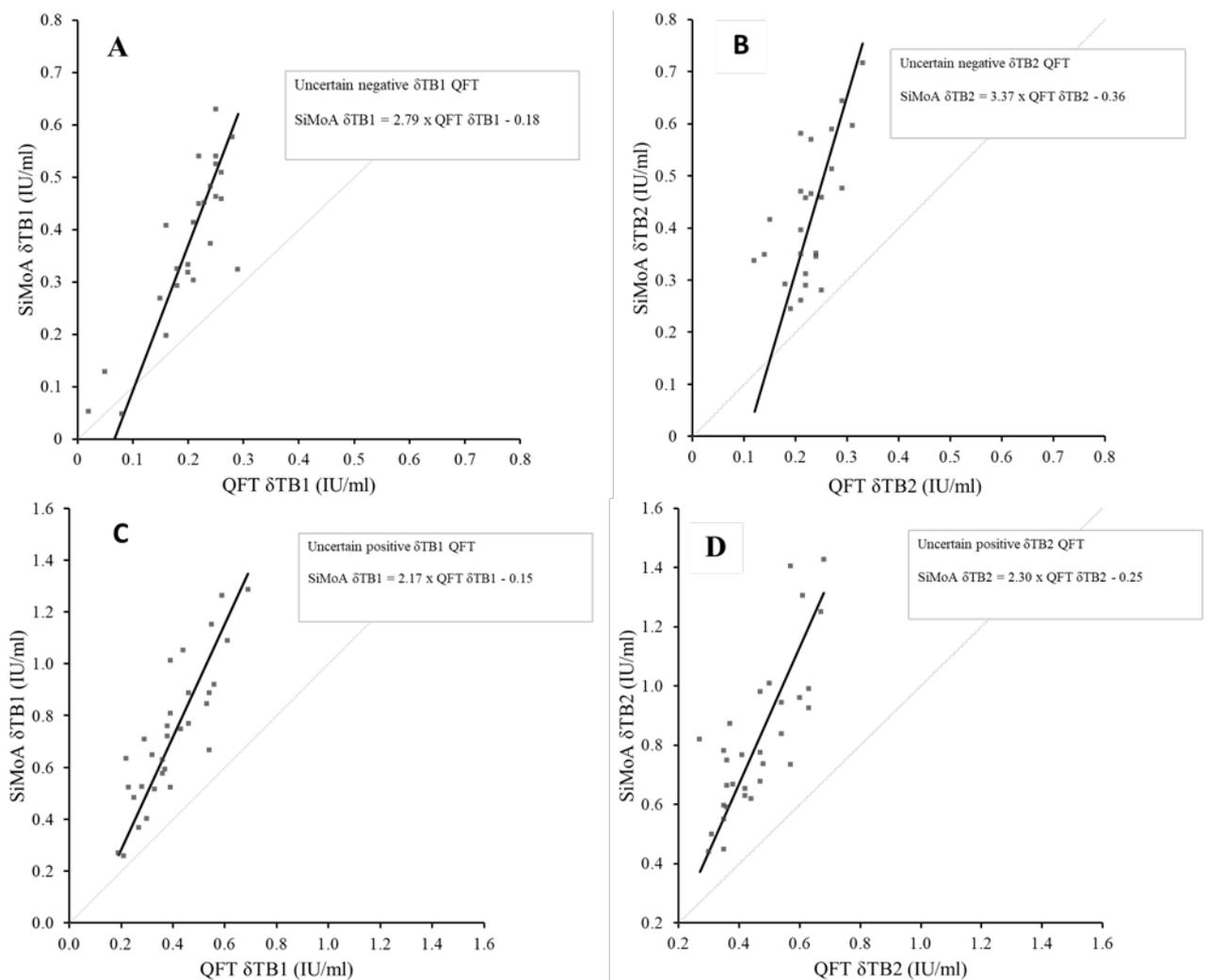
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536 **Figure 5:** Passing-Bablok regression analyses of results from the QFT Plus  $\delta$ TB1 and  $\delta$ TB2  
 537 versus SiMoA  $\delta$ TB1 and  $\delta$ TB2. (A) QFT Plus  $\delta$ TB1 vs SiMoA  $\delta$ TB1 and (B) QFT Plus  $\delta$ TB2  
 538 vs SiMoA  $\delta$ TB2 using 25 uncertain negative blood samples ( $\delta$ TB1 or  $\delta$ TB2 with the QFT  
 539 assay [0.2 – 0.35] IU/mL). (C) QFT Plus  $\delta$ TB1 vs SiMoA  $\delta$ TB1 and (D) QFT Plus  $\delta$ TB2 vs  
 540 SiMoA  $\delta$ TB2 using 31 uncertain positive blood samples ( $\delta$ TB1 or  $\delta$ TB2 with the QFT Plus  
 541 assay [0.35 – 0.7] IU/mL). The grey line indicates 100% agreement.



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