



**HAL**  
open science

# **Atmospheric pressure plasma jets applied to cancerology: correlating electrical configuration with in vivo toxicity and therapeutic efficiency**

Florian Judée, J Vaquero, S. Guégan, L Fouassier, Thierry Dufour

## ► To cite this version:

Florian Judée, J Vaquero, S. Guégan, L Fouassier, Thierry Dufour. Atmospheric pressure plasma jets applied to cancerology: correlating electrical configuration with in vivo toxicity and therapeutic efficiency. *Journal of Physics D: Applied Physics*, 2019, 52 (24), pp.245201. <10.1088/1361-6463/ab0fbb>. <hal-02188633>

**HAL Id: hal-02188633**

**<https://hal.sorbonne-universite.fr/hal-02188633v1>**

Submitted on 18 Jul 2019

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



HAL Authorization

# Atmospheric pressure plasma jets applied to cancerology: correlating electrical configuration with *in vivo* toxicity and therapeutic efficiency

F Judée<sup>1,5,7</sup> , J Vaquero<sup>1,2,5</sup>, S Guégan<sup>3,4</sup>, L Fouassier<sup>2,6</sup> and T Dufour<sup>1,6</sup>

<sup>1</sup> LPP, Sorbonne Université Univ. Paris 6, CNRS, Ecole Polytech., Univ. Paris-Sud, Observatoire de Paris, Université Paris-Saclay, PSL Research University, 4 Place Jussieu, 75252 Paris, France

<sup>2</sup> Sorbonne Université, INSERM, Centre de Recherche Saint-Antoine (CRSA), Paris, France

<sup>3</sup> Dermatology Department, Hôpital Cochin AP-HP, Paris, France

<sup>4</sup> Université Paris-Descartes, Paris, France

E-mail: [judee@lpp.polytechnique.fr](mailto:judee@lpp.polytechnique.fr)

## Abstract

Two atmospheric pressure plasma jet (APPJ) devices—a plasma gun and a plasma Tesla jet—are compared in terms of safety and therapeutic efficiency to reduce the tumor volume progression of cholangiocarcinoma, i.e. a rare and very aggressive cancer emerging in biliary tree. For this, a three steps methodology is carried out. First, the two APPJ have been benchmarked in regard to their electrical and physico-chemical properties while interacting with material targets: dielectric plate, liquid sample, metal plate and an equivalent electrical circuit of human body. The propagation properties of the ionization wave interacting with these targets are discussed, in particular the profile of the related pulsed atmospheric plasma streams. In a second step, a dermal toxicity survey is performed so as to define an experimental operating window where plasma parameters can be changed without damaging healthy skin of mice during their exposure to plasma and without inducing any electrical hazards (burnings, ventricular fibrillation). Optimal conditions are identified discarding the conditions where slight alterations may be evidenced by histology (e.g. pre-necrotic aspect of keratinocytes, alterations in the collagen structure). Hence, for the two APPJ plasma parameters these conditions are as follow: duty cycle = 14%, repetition frequency = 30 kHz, magnitude = 7 kV, gap = 10 mm and exposure time = 1 min. In a third step, the two plasma jets are utilized on cholangiocarcinoma xenograft tumor model developed in immunodeficient mice. The two devices are safe and a significant therapeutic efficiency is demonstrated with the plasma Tesla. In conclusion, we have developed a safe cold atmospheric plasma device with antitumoral properties in preclinical model of cholangiocarcinoma, opening the path for new anticancer treatment opportunities.

Keywords: plasma gun, plasma Tesla jet, nano pulse discharge, cancer, solid tumor, plasmas for *in vivo* model

(Some figures may appear in colour only in the online journal)

---

<sup>5</sup> Share co-first authorship.

<sup>6</sup> Share co-senior authorship.

<sup>7</sup> Author to whom any correspondence should be addressed.

## 1. Introduction

### 1.1. DBD: a large panel of configurations

Cold atmospheric plasmas are weakly ionized gases containing energetic and chemical transient species (electrons, ions, metastables, radicals) while presenting radiation, gas flowing and electromagnetic field properties (Brandenburg *et al* 2018). In laboratory, they can be easily generated by supplying electrical power to a device containing either one or two electrodes. The electrode connected to the high voltage power supply is referred as the exciting electrode while the second optional electrode is brought to the ground and referred as the counter-electrode. As a result a high magnitude electric field can be generated to create electrical discharges that partially ionize the gas into cold plasma. Of the most commonly cold plasma devices used in laboratories, the dielectric barrier discharge (DBD) appears ubiquitous owing to its low manufacturing and implementation costs, as well as its great versatility in regard of the many diversified applications like ozone generation, incoherent excimer UV radiation, air purification, surface modifications, etc (Kogelschatz *et al* 1997, Laroussi and Akan 2007). In these DBDs, one electrical insulating layer, typically a dielectric material like quartz or alumina is utilized as a barrier to prevent arcing from plasma current. Whatever their 1 or 2 electrode(s) configurations, two types of DBD can be distinguished: (i) the non-flowing DBDs where the powered electrode is enwrapped by an insulator material and where plasma remains confined in the interelectrode region (ii) gas-flowing DBDs where plasma is located in this region as well as further away: in the post-electrode region. There, the ionized gas is referred as a plume to design its emissive properties although long lifetime radicals can propagate much further away in the post-discharge, as sketched in figure 2. The gas-flowing DBDs are more commonly referred as plasma jets and more specifically as atmospheric pressure plasma jets (APPJ) if they operate in ambient air (Isbary *et al* 2013). As illustrated in figure 1, one can distinguish two types of APPJ configurations: (i) APPJ devices with a single metal electrode biased to the exciting potential (typically high voltage) while the counter-electrode is the biological target (grounded or floating potential) exposed to plasma, (ii) APPJ devices with two metal electrodes (exciting electrode and counter electrode) while the biological target can eventually play a role of third electrode. Among the ‘two-electrodes APPJ’ successfully applied upon *in vivo* experiments, the plasma gun (PG) is composed of an outer ring electrode as sketched in figure 1 and an inner pin electrode directly in contact with the gas/plasma (Robert *et al* 2009, 2012, Darny *et al* 2017). Another configuration of interest, referred in this article as plasma Tesla jet (PTJ), presents two ring electrodes located on the outer tube.

### 1.2. Target configurations

The physico-chemical properties of a cold plasma do not solely depend on the DBD device itself but also on the biological target under exposure (e.g. tissue, tumor, skin).

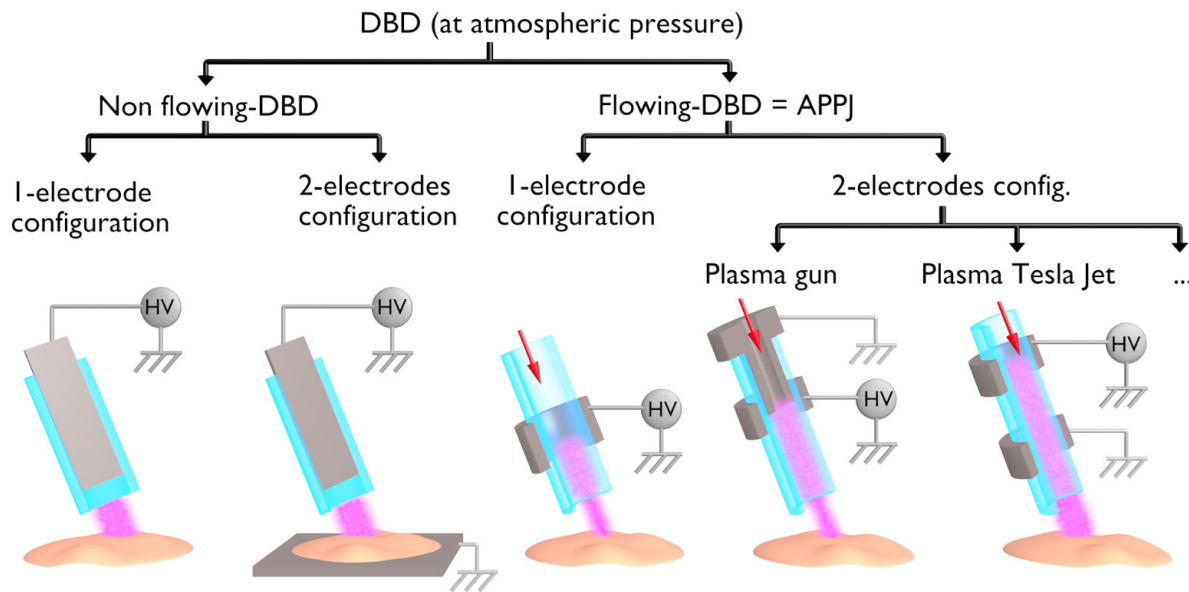
Understanding this device-target interaction is crucial owing to its significant effects on the plasma properties, as already shown on fluid-dynamics (Robert *et al* 2012, Boselli *et al* 2014, Li *et al* 2017), on electrical parameters (Li *et al* 2017), on electromagnetic field (Darny *et al* 2017) and on deposited electrical charge distribution (Wild *et al* 2013) (Stoffels *et al* 2008). These interactions have been extensively investigated on metal plate at ground potential (Darny *et al* 2017, Li *et al* 2017), on dielectric substrate (Boselli *et al* 2014, Li *et al* 2017) and on liquids (Li *et al* 2017).

### 1.3. APPJ applied to medicine

From 2005 until today, these DBD devices have been utilized for medical applications and represent approximately 200 original articles (Dubuc *et al* 2018). 95% of these publications deal with *in vitro* treatments performed on tumor cell lines (e.g. brain, lung, blood, cervical melanoma and breast cancer) while less than 5% report *in vivo* experiments carried out on murine models. Besides, only three completed clinical trials report long term plasma-effects on human cancer although no antitumor effects have been demonstrated so far (Hoffmann *et al* 2010, Metelmann *et al* 2013, 2018, Schuster *et al* 2016). The small number of *in vivo* studies illustrates the challenge of generating a plasma safe for the patient and therapeutically efficient, in particular in the cancer field. So far, the PG appears as a good candidate owing to its success in treating pancreatic cancer (Brullé *et al* 2012) and melanoma (Binenbaum *et al* 2017) in murine models and, tissue oxygenation (Collet *et al* 2014). The PTJ has already been applied once on bladder tumor upon *in vivo* experiment (Keidar *et al* 2011) and is investigated here on another cancer, the cholangiocarcinoma.

### 1.4. Cholangiocarcinoma

Cholangiocarcinoma is a heterogeneous group of aggressive malignancies that can emerge at every point of the biliary tree from the canals of Hering into the liver to the main bile duct. CCA is the second most frequent type of primary liver cancer and ~3% of all gastrointestinal neoplasia. Cholangiocarcinoma are generally asymptomatic in early stages, they are diagnosed when the disease has already metastasized, drastically complicating their therapeutic treatment options (Banales *et al* 2016). Surgical resection is the only effective therapy, but it can only be applied in 20% of patients and the 5 year survival rate remains as low as 15%–40%. Most of the patients who cannot benefit from surgery undergo a palliative treatment with a combination of gemcitabine and oxaliplatin platinum salt (GEMOX), the only chemotherapy validated for advanced unresectable CCA (Valle *et al* 2010). In case of tumor progression after this first line of treatment, there is no other treatment approved to date. Tumor size and other features (anatomical location, vascular and lymph node invasion and metastasis) condition the potential surgical and/or radiological options but chances of recurrence are very high. Owing to these limitations, the emergence of new therapeutic options is eagerly needed.



**Figure 1.** Cross-section views of DBD devices treating a biological tissue. For flowing-DBDs (atmospheric pressure plasma jet (APPJ)), the red arrows indicate the direction of carrier gas flow.

### 1.5. Overview of this article

This article is divided into 3 stages.

- First, two APPJ devices have been engineered—a PG and a PTJ—to measure the electrical properties of plasma with/without material target. Studying the device-target interaction is a preliminary but essential step before carrying out *in vivo* experiments since it allows to easily and regularly calibrate the two APPJ devices as well as to compare their properties with plasma sources engineered by other teams. In that respect, five target configurations are considered in this work: APPJ in free jet and APPJ treating several types of material targets located 10mm away.
- Second, *in vivo* experiments are carried out in mice with PG and PTJ to verify the absence of any toxic effects induced on skin.
- Third, the therapeutic efficiency induced by PG and PTJ is studied using a cholangiocarcinoma xenograft tumor model developed in immunodeficient mice.

## 2. Experimental setup

### 2.1. Plasma sources

Two APPJ devices have been compared in regard of physico-chemical properties as well as therapeutic efficiency on murine models upon *in vivo* experiments: a PG (Sarron *et al* 2013, Robert *et al* 2012, Darny *et al* 2017) and an alternative configuration named PTJ. Schematic views of the PG and PTJ are depicted in figures 2(a) and (b), respectively. The two APPJ generate plasma in a 10cm long dielectric quartz tube with a 4 mm inner diameter and a tube thickness of 2 mm. The major difference between PG and PTJ relies on their respective electrode configurations:

- In the PG, a 50mm long inner electrode is centered in the tube and supplied with high voltage. A 10mm wide grounded ring electrode is set on the outer quartz tube. Along the tube axis, the middle of the ring electrode corresponds to the end of the coaxial HV electrode, as sketched in figure 2(a).
- In the PTJ, two ring electrodes, 10mm long, are set on the outer surface of the quartz tube and separated by a distance of 10mm. One electrode is connected to the ground while the other is biased to the high voltage.
- In both APPJ, a distance of 50mm separates the exit of the quartz tube and the down part of the exciting electrode as mentioned in figure 2.

### 2.2. Electrical environment and electrical diagnostics

The two APPJ are supplied in helium (1000sccm) and powered by the same mono-polar square pulse high voltage generator (Spellman, SLM 10kV 1200 W) coupled with a Smart HV Pulses Generator (RLC electronic, NanoGen1 10kV). To measure their electrical parameters, two capacitors are placed downstream and upstream of the APPJ as sketched in figure 3(a): (i) the upstream capacitor ( $C_{m1}$ ) is placed between the high voltage generator and the exciting electrode to measure the total current provided by the generator, (ii) the downstream capacitor ( $C_{m2}$ ) is placed between the counter electrode and the ground potential. Wall capacitance is represented by  $C_W$  while gas capacitance is represented by  $C_{IE}$  in the inter-electrode region and  $C_{PE}$  in the post-electrode region as shown in figure 3(a).

Five targets configurations are considered: APPJ in free jet (i.e. target infinitely remote from the device) and APPJ treating material targets located 10mm away. Here, four types of targets (area 100 cm<sup>2</sup>) are studied: a metal plate at floating potential, a dielectric plate, an aqueous liquid (floating

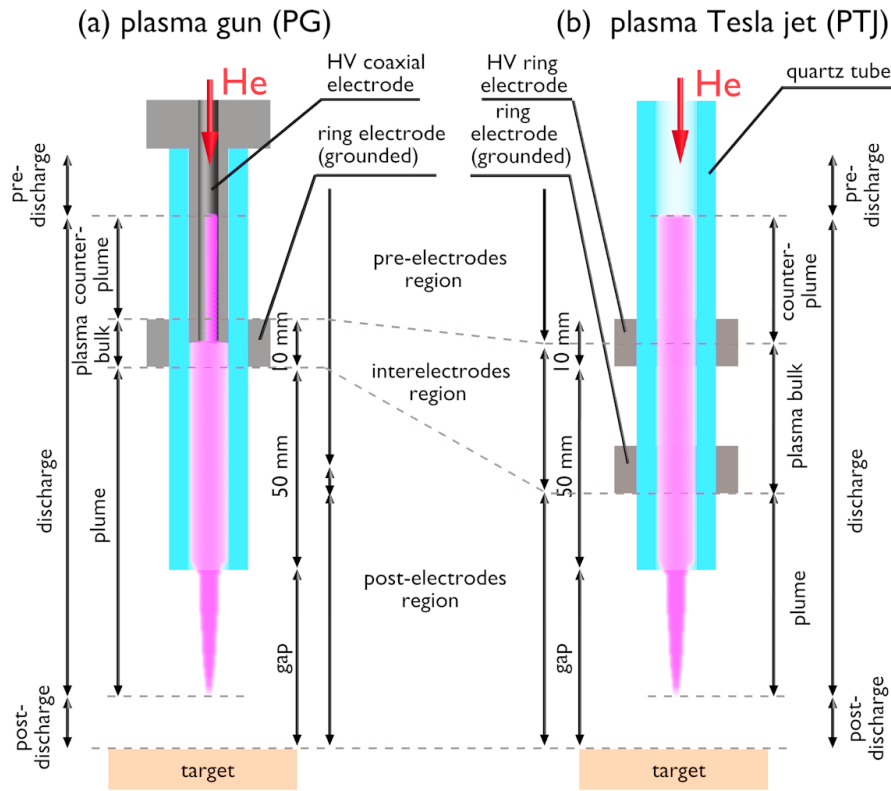


Figure 2. Experimental setup of (a) plasma gun device (PG) and (b) plasma Tesla jet device (PTJ).

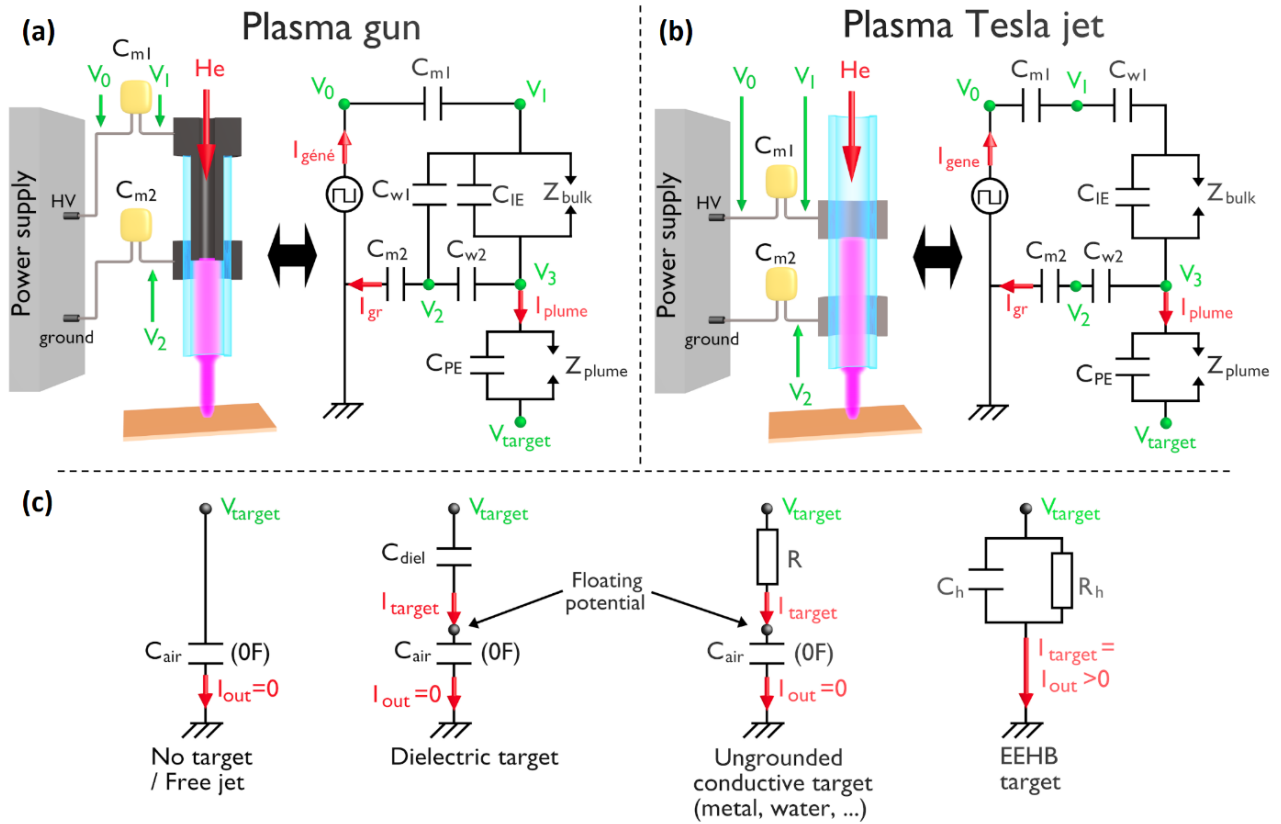


Figure 3. Equivalent electrical circuits of (a) PG, (b) PTJ and (c) targets configurations.

potential, conductivity  $650 \mu\text{S cm}^{-1}$ ) and an equivalent electrical human body (EEHB) circuit whose specifications are detailed in Judée *et al* (2019).

Total power (delivered by the high voltage generator), plasma power and target power are estimated using high-voltage probes (Tektronix P6015A 1000:1, Teledyne LeCroy PPE 20kV 1000:1, Teledyne LeCroy PP020 10:1) and an analog oscilloscope (HMO3004, Rohde and Schwarz). All currents and plasma powers are deduced according assumptions from Judée *et al* (2019) and equivalent electrical circuits introduced in figure 3.

### 2.3. Equivalent electrical circuits

The equivalent electrical circuits of the PG and PTJ are introduced in figures 3(a) and (b) respectively while the equivalent electric models of the five aforementioned configurations are represented in figure 3(c). The ‘no target’ configuration is modeled as an APPJ interacting with an infinitely distant target. Its resulting equivalent electrical circuit is a single capacitor of 0 Farad to represent the absence of collected charges. Similarly, the ‘dielectric target’ can be modeled by two capacitors in series: the capacitor  $C_{\text{diel}}$  is specific to the material properties of the target and (non-null value) while  $C_{\text{air}}$  is 0 Farad and represents the absence of charge transfer between the floating target and the ground. The same approach is followed with the ‘ungrounded conductive target’ where a resistor is in series with the air capacitor. Here the resistance is specific to the material properties of the target. Finally, the EEHB (EEHB) configuration corresponds to a resistor ( $1500 \Omega$ ) in parallel with a capacitor (100 pF). It represents the electrical response of human body to electrical stimuli as detailed in Judée *et al* (2019).

The electrical power transferred to the targets cannot be determined in all the configurations. With the EEHB target, it can easily be deduced from  $P = f \cdot \int_T V_{\text{target}} \cdot I_{\text{target}} \cdot dt$  by measuring  $V_{\text{target}}$  with the HV probe and  $I_{\text{target}}$  by applying Ohm’s law to the grounded resistor ( $1500 \Omega$ ). In the other configurations, target currents cannot be measured: (i) in free jet or in the dielectric target, only capacitors are included in the models sketched in figure 3(c). By definition, a capacitor can only store reactive power in electrostatic or magnetic form and cannot consume active power, hence resulting in  $P_{\text{target}} = 0$ , (ii) in ungrounded conductive targets (metal, water), the model is composed of a resistor in series with a capacitor. The electrical power can only be dissipated as ‘active power’ in the resistor but remains unknown since the current cannot be estimated experimentally.

### 2.4. Optical emission spectroscopy

The radiative emission of the plasma jet, 300–800 nm, is collected by an optical emission spectrometer (Andor SR-750-B1-R) operating in the Czerny Turner configuration with a focal of 750 mm. It is equipped with an optical fiber (Leoni fiber optics SR-OPT-8014,  $100 \mu\text{m}$  diameter) and an ICCD camera (Andor Istar,  $2048 \times 512$  imaging array of  $13.5$

$\mu\text{m} \times 13.5 \mu\text{m}$  pixels). Diffraction is performed using a  $1200$  grooves  $\text{mm}^{-1}$  grating in the visible range. Due to low emissivity of plasma, OES spectra are acquired along the jet axis, i.e. side-on. Moreover, a converging lens (ThorLabs, LA4380-UV,  $f = 100$  mm) is placed between the plasma plume and the optical fiber to focus and collect a maximum of plasma emission (see inset of figure 8). Finally, a high pass optical filter (Newport 10CGA-225) is placed between the optical fiber and the plume to eliminate lines and bands of the second order diffraction.

### 2.5. Mass spectrometry

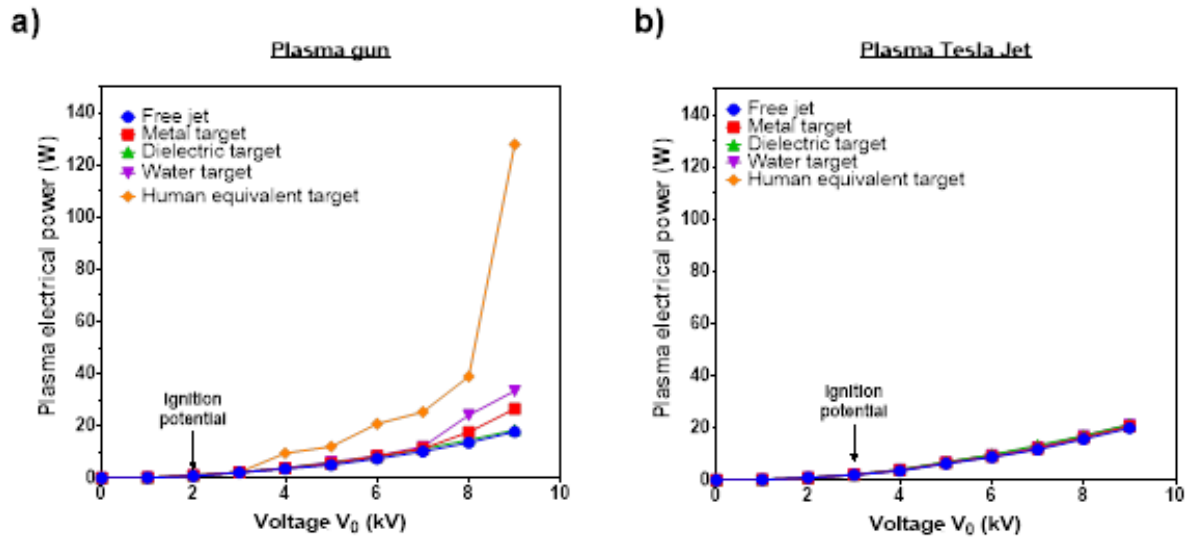
Gas phase analysis is completed using a quadrupole-based mass spectrometer (Model HPR-20 from Hidden Analytical Ltd). Plasma chemical species are collected by a quartz capillary whose inlet is fixed onto a 2-axis stages plate to perform spatial profiles of the APPJ (radially and axially) with a resolution of 1 mm. This capillary is 1 m long, flexible, chemically inert and heated at  $200 \text{ }^\circ\text{C}$  to prevent chemisorption. Then, a three-stage differentially pumped inlet system separated by aligned skimmer cones and turbo molecular pump, enables a pressure gradient from  $10^5$  bar to  $10^{-7}$  bar at the entrance of the ionization chamber. There, ionization energy is set at 70 eV. The residual gas analyzer (RGA) detector is used for scanning masses from 1 to 50 amu.

In all experiments, APPJs are supplied with helium gas. In the plume region, helium interacts with ambient air to form a gas mixture of pure helium, dry air and water vapour. Each of these components is characterized by its gas molar fraction  $\chi$  expressed as the ratio of its partial pressure to the total pressure measured inside the ionization chamber. Dry air partial pressure is assumed to be the sum of oxygen, nitrogen, argon and carbon dioxide partial pressures. In this article, all the gas molar fractions are calculated over averaged treatment times (typically 1 min) rather than accounting on a single pulse.

### 2.6. In vivo experimentation

**2.6.1. Dermal toxicity test.** Innocuity of PG and PTJ is tested on the skin of anesthetized 5-weeks-old female ATHYM-Foxn1 nu/nu mice (Janvier Labs, France). Several plasma treatments are performed with various exposure times (1, 5, 10 min) and two values of duty cycles (14% and 24%). Then, the skin is harvested, fixed in 10% formalin, embedded in paraffin and stained with hematoxylin-eosin (HE) for histological analysis (Suvarna *et al* 2018). Animal experiments have been performed in accordance with the French Animal Research Committee guidelines and all procedures have been approved by a local ethic committee (No 10609).

**2.6.2. Cell culture.** EGI-1 cancer cells, derived from extrahepatic biliary tract, are obtained from the German Collection of Microorganisms and Cell Cultures (DSMZ, Germany). Cells have been cultured in DMEM supplemented with  $1 \text{ g l}^{-1}$  glucose,  $10 \text{ mmol l}^{-1}$  HEPES, 10% fetal bovine serum (FBS), antibiotics ( $100 \text{ UI ml}^{-1}$  penicillin and  $100 \text{ mg ml}^{-1}$



**Figure 4.** Electrical plasma power per pulse as a function of the plasma voltage considering free jet or jet-target configurations for (a) PG and (b) PTJ. Experimental conditions: helium flow rate = 1000 sccm, frequency = 30 kHz, duty cycle = 14% and gap = 10 mm.

streptomycin), and antimycotic ( $0.25 \text{ mg ml}^{-1}$  amphotericin B; Invitrogen). Cells have been routinely screened for the presence of mycoplasma and authenticated for polymorphic markers to prevent cross-contamination.

**2.6.3. Xenograft tumor model.** Animal experiments are performed in accordance with the French Animal Research Committee guidelines and all procedures approved by a local ethic committee (No 10609).  $2 \times 10^6$  of EGI-1 cells are suspended in  $60 \mu\text{l}$  of PBS and  $60 \mu\text{l}$  of Matrigel<sup>®</sup> growth factor reduced (Corning) and implanted subcutaneously into the flank of 5-week-old female ATHYM-Foxn1 nu/nu mice (Janvier Labs, France). Mice are housed under standard conditions in individually ventilated cages enriched with a nesting material and kept at  $22 \text{ }^\circ\text{C}$  on a 12h light/12h dark cycle with ad libitum access to food and tap water. Tumor growth is monitored by measuring every 2–3 d the tumor volume ( $V_{\text{xenograft}}$ ) with a caliper as follows:  $V_{\text{xenograft}} = x \cdot y^2/2$  where  $x$  and  $y$  are the longest and shortest lateral diameters respectively. Once tumor volume reaches approximately  $200 \text{ mm}^3$ , plasma treatments are initiated: two treatments, 7 d distant, with the PG and two other treatments, 7 d distant, with the PTJ.

**2.6.4. Statistical analysis.** Results were analyzed using the GraphPad Prism 5.0 statistical software. Data are shown as means standard error of the mean (SEM). For comparisons between two groups nonparametric Mann–Whitney test were used.

### 3. Results

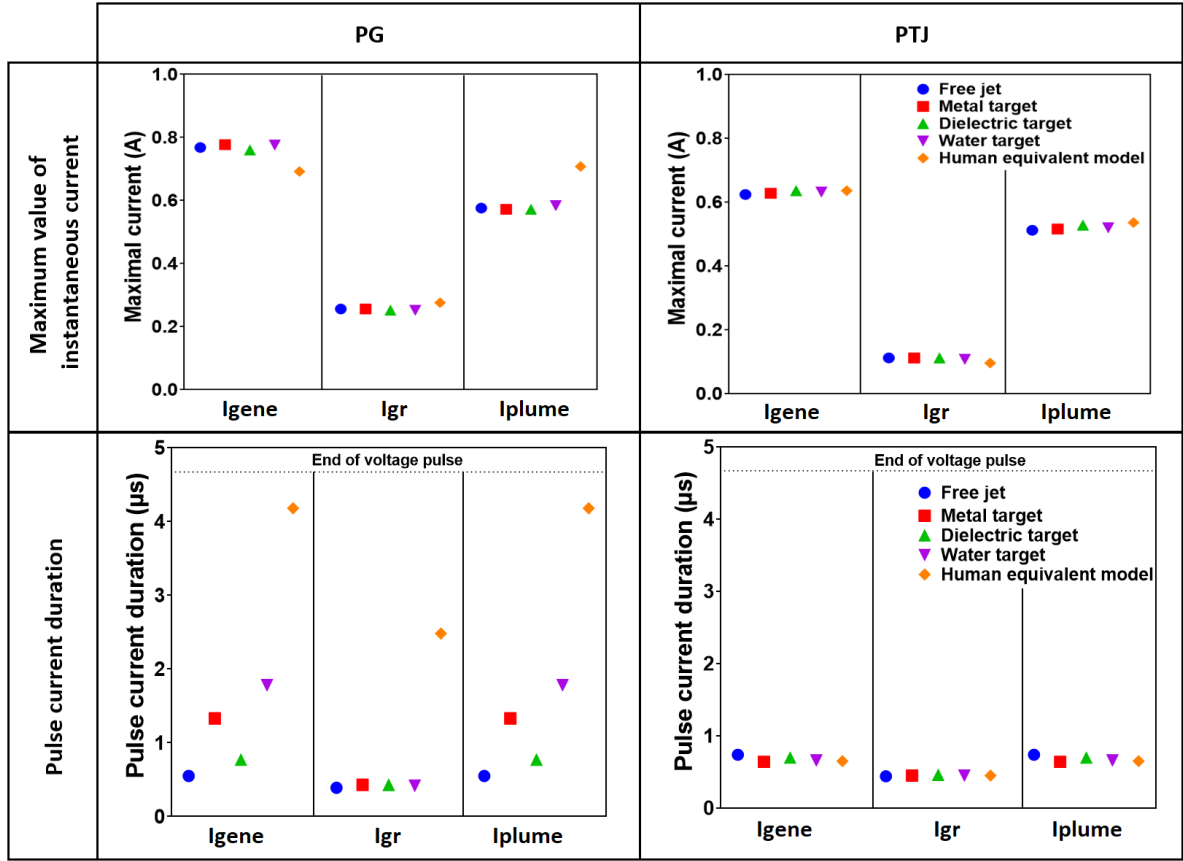
#### 3.1. Electrical study of plasma

Plasma current is usually measured through a capacitor (or resistor) placed between the counter electrode and the ground potential (Kostov *et al* 2009, Fang *et al* 2016). If such approach is acceptable for two electrode configurations, it can barely be

considered where (biological) target can play a role of charge collector or of third electrode. Here, the current provided by the generator is the sum of the current measured on the ground electrode and of the current measured on the target. Besides, electrical plasma power corresponds to the difference between the power delivered by the generator (measured at  $C_{m1}$ ) and the electrical power deposited on the target.

In figure 4, the electrical plasma power of PG and PTJ is compared as a function of the plasma voltage, considering the five aforementioned configurations (free jet, metal target, dielectric target, water target and EEHB target). As shown in figure 4(a), the PG electrical power increases with  $V_0$ : from 1 kV to 6 kV, it increases from 0.2 W to 9.0 W for all configurations except with the EEHB target where a value close to 20 W is reached. Then, for higher values of  $V_0$ , e.g. 9.0 kV, the plume can create a direct electrical contact with the target. Hence, in free jet or with the dielectric target,  $P_{\text{plasma}} = 18.0 \text{ W}$  while higher values are obtained for the conductive targets, namely 26.5 W with the metal plate and 33.0 W with the water sample. For the EEHB target considering the same voltage of 9.0 kV, the electrical plasma power reaches a value as high as 128 W. For comparison, the figure 4(b) reports the values of the PTJ electrical power considering the same five configurations. Here, whatever the values of  $V_0$ , none of the configurations induces a change in the power which remains as low as 21 W at 9 kV. In all configurations and whatever the APPJ, these power values are obtained for  $V_0 = 9 \text{ kV}$  while the plasma plume always touches the target.

To understand why plasma electrical powers are so different in PTJ and PG, the time profiles of the currents associated with each of these APPJs are analyzed:  $I_{\text{plume}}$ ,  $I_{\text{gr}}$  and  $I_{\text{gene}}$  (figure 3), keeping in mind that  $I_{\text{plume}}$  is the current of interest owing to its interaction with the target. For the sake of clarity, we focus our analysis on two parameters characterizing pulse currents: (i) the maximum value of the instantaneous current for a pulse duration, (ii) the pulse current duration registered after application of the voltage pulse. These two parameters



**Figure 5.** Maximum values of current and pulse current durations for PG and PTJ with/without target interaction. Experimental conditions:  $V_0 = 9$  kV, helium flow rate = 1000 sccm, repetition frequency = 30 kHz, duty cycle = 14%, gap = 10 mm. The error bars are not visible due to the high reproducibility of the measurements ( $<2\%$ ).

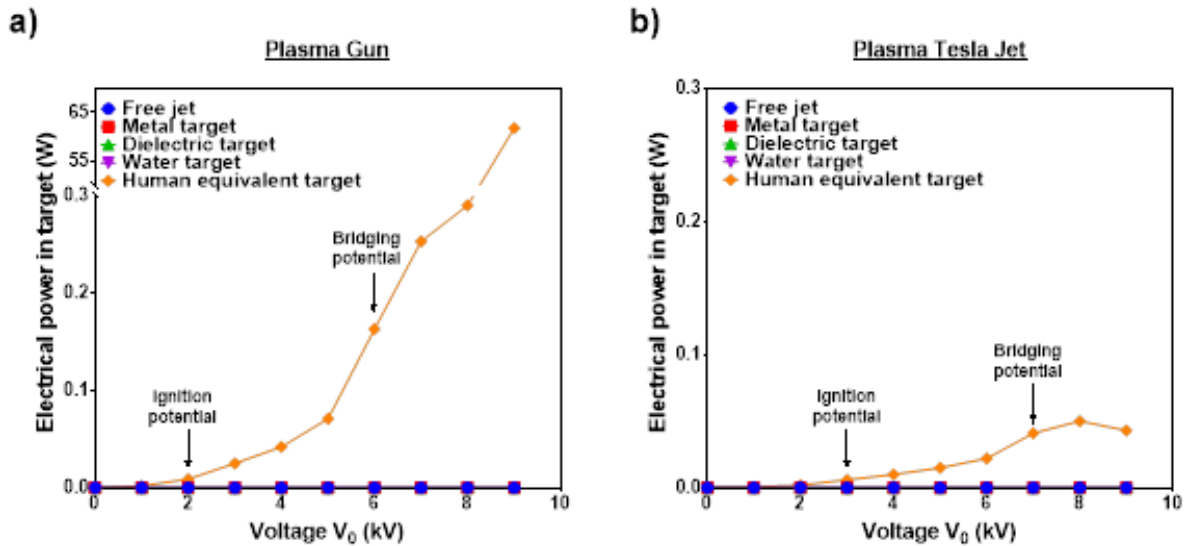
are measured for the two APPJ considering the five configurations, as shown in figure 5.

With the PG, the maximum values of  $I_{\text{plume}}$ ,  $I_{\text{gr}}$  and  $I_{\text{gene}}$  remain the same whatever the configuration. However, the pulse current duration is target-dependent, with for example  $I_{\text{plume}}$  values as long as  $1.3 \mu\text{s}$  and  $1.8 \mu\text{s}$  for metal and water targets respectively and more than  $4.0 \mu\text{s}$  using the EEHB target. On the contrary, with the PTJ, the maximum magnitude of current and the pulse current duration remain identical with/without targets and for electrical power values always equal or lower than with the PG. Considering the EEHB configuration at 9 kV introduced in figure 4, the electrical plasma power of 128 W obtained with the PG results from pulse current durations longer than  $4 \mu\text{s}$  while the power of 21 W obtained with the PTJ results from pulse current durations maintained as low as  $0.9 \mu\text{s}$ . Owing to its ability to maintain a temporally narrow and stable pulse current over time, the PTJ provides a plasma electrical power which is not time or target dependent.

In this study, only the electrical power deposited in the grounded EEHB target is accurately measurable, as shown in figure 6. In free jet and dielectric target configurations,  $I_{\text{target}} = 0$  for the reasons indicated in section 2.2. For the floating targets (metal, water), the figure 6 does not include  $I_{\text{target}}$  since an electrical power can still locally dissipate by Joule effect although not measurable. For voltage values close to the ignition potential ( $V_{\text{ignit}} = 2$  kV for the PG and

$V_{\text{ignit}} = 3$  kV for the PTJ), the plume is constituted by a visible region (whose emissivity gradually vanishes as one moves away from the capillary), immediately followed by the optically transparent post-discharge region, as sketched in figure 2. Assuming that the target is ‘touched’ by the post-discharge and not by the plasma plume itself (see figure 2), it turns out that a current can still be collected by the target, whatever its configuration. Two mechanisms could explain this target polarization: (i) between the plasma plume and the target, the post-discharge can be modelled as a virtual capacitor where—for example—the electrostatic field accumulates a certain amount of positive charges on the target while an equal amount of negative charges is accumulated on the plasma plume, (ii) the front of the ionization wave may be too poorly emissive to be detected by OES. As a result, in some cases, one might expect the target to be in contact with the post-discharge while it is actually in contact with the undetectable part of the plasma plume.

Increasing  $V_0$  allows to extend the plume until a critical value,  $V_{\text{bridge}}$ , where the plume creates an optical contact with the target, i.e. the post-discharge totally vanishes. As illustrated in figure 6, the electrical power deposited in the target increases from 1 mW to 70 mW for the PG-EEHB configuration (between  $V_0 = V_{\text{ignit}} = 2$  kV and  $V_0 = 5$  kV) and from 5 mW to 24 mW for the PTJ-EEHB configuration (between  $V_0 = V_{\text{ignit}} = 3$  kV and  $V_0 = 6$  kV). Then, once the optical



**Figure 6.** Comparison of electrical power deposited in targets using (a) PG and (b) PTJ. Experimental conditions: helium flow rate = 1000 sccm, repetition frequency = 30 kHz, duty cycle = 14% and gap = 10 mm.

bridging is obtained, the electrical power still deposits to the target although in a very different way: with the PG,  $P_{\text{target}}$  increases from 160 mW to 62 W (between  $V_{\text{bridge}} = 6$  kV and  $V_0 = 9.0$  kV) while for the PTJ  $P_{\text{target}}$  remains close to 45 mW (between  $V_{\text{bridge}} = 7$  kV and  $V_0 = 9.0$  kV). In that respect, the PTJ appears as a safe device for *in vivo* campaigns: it can be supplied with larger voltage without inducing any electrical hazard, offering the additional advantage of a more affluent gaseous chemistry as detailed in the next section.

### 3.2. Interaction of plasma with targets: plasma parameters, short and long lifetime reactive species

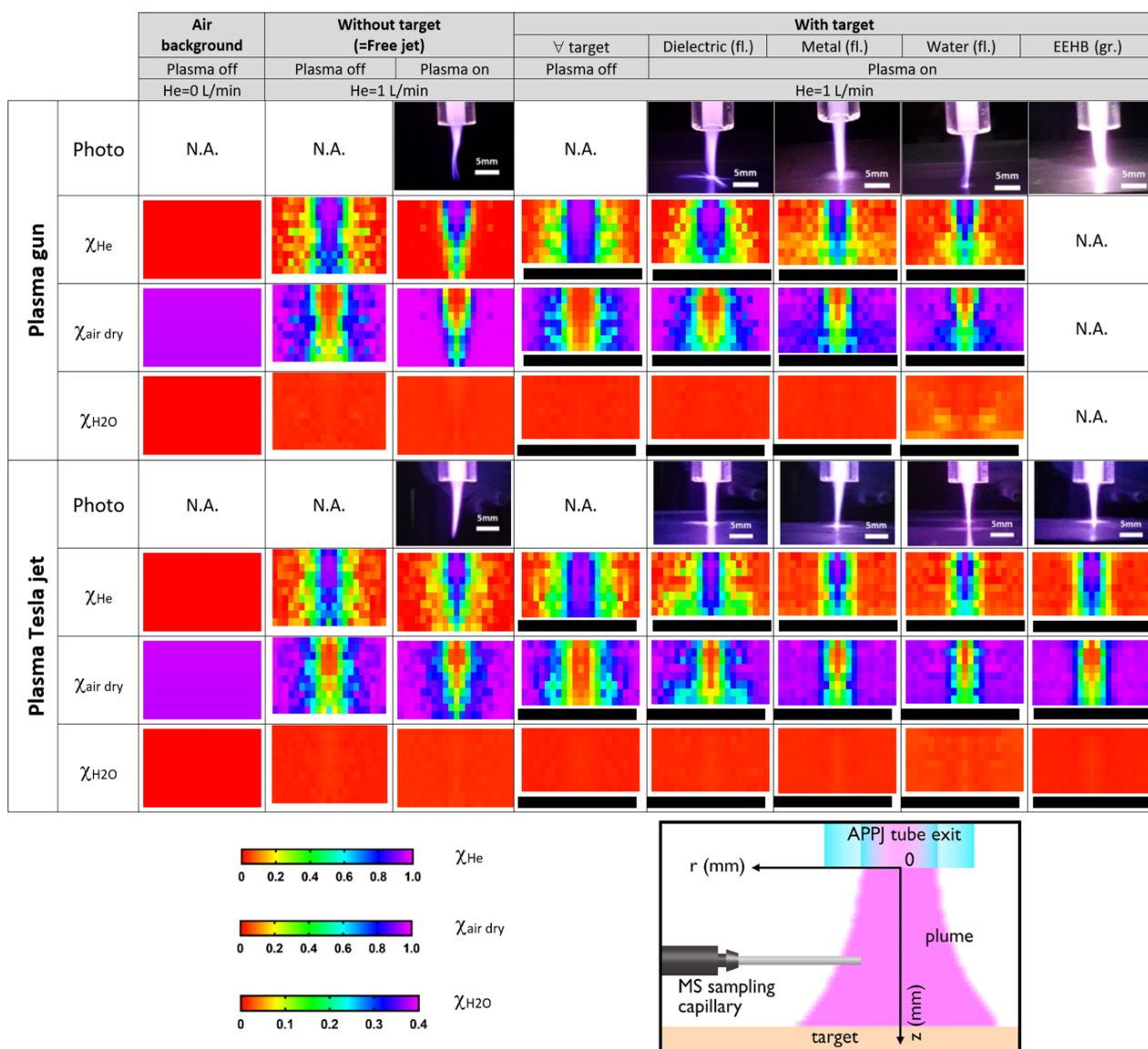
Gas flowing dynamics of an APPJ is governed by parameters specific to the device itself (e.g. tube length, inner diameter of the tube, geometry), the plasma (e.g. temperature, gas flow rate, gas mixture) and the target (size, material, etc). Such plasma device-target interaction has already been studied using Schlieren imaging in free jet configuration (Sarron *et al* 2013) as well as with conductive and dielectric targets (Boselli *et al* 2014, Darny *et al* 2017, Li *et al* 2017), providing qualitative and sometimes quantitative information on fluid dynamics using Toepler lenses (Traldi *et al* 2018). In this article, the flowing properties of the plume with/without target are investigated using space resolved mass spectrometry. Although this technique is slightly intrusive, it can be considered as complementary to Schlieren imaging while providing chemical information.

Two-dimension profiles of the plasma plume with/without target interaction are reported in figure 7 for the PG and PTJ devices. The MS capillary is positioned side-on with respect to the jet axis. The two APPJ are supplied with a power of 12 W ( $V_0 = 7$  kV, repetition frequency = 30 kHz and duty cycle = 14%). Profiles are plotted in  $(r, z)$  coordinates with a spatial resolution of 1 mm. As sketched in the inset of figure 7, the radial position  $r$  varies between 0 and 11 mm in all cases while the axial position  $z$  is probed on the 0–10 mm range

in free jet and on the 0–8 mm range in presence of target. These profiles correspond to molar fractions of helium, air and water vapor, according to calculations reminded in the section *Experimental setup and methods*. The fluid dynamics properties are target-dependent:

- In the free jet configuration, PG and PTJ show similar  $\chi_{\text{He}}$  and  $\chi_{\text{dry\_air}}$  profiles depending on the on/off status of the plasma. When helium gas flows into the tube while the plasma is off, the plume shows a cylindrical profile with a diameter close to the inner tube diameter (4 mm). Then, igniting the plasma makes the plume becomes thinner as one moves away from the tube exit, giving rise to a cone-shape distribution.
- If a target interacts with a non-ionized gas flow of helium, whatever the target type and/or APPJ, the distribution of the plume remains cylindrical, with a diameter larger than the one obtained in free jet. Then, ionizing the gas into plasma enables the flow dynamics profile to be modified by the type of target and of APPJ. In the case of the PTJ/dielectric target interaction, the diameter of  $\chi_{\text{He}}$  is almost three times larger on the target than the inner diameter of the quartz tube (12 mm versus 4 mm, respectively). For the PTJ/metal target (grounded or floating), the helium flow propagates along a narrow cylinder channel, with a diameter of 4 mm, i.e. same as the tube inner diameter. The PG shows similar results while interacting with the metal target but in the case of the water target, the helium gas flow distribution is disrupted by vapor issued from the target heating. For the PG/EEHB interaction, no measurements have been performed since electrical power is too high and could damage the HV power supply.

Contrarily to what has been obtained with  $\chi_{\text{He}}$  or  $\chi_{\text{dry\_air}}$ , the molar fraction of water vapor remains low ( $\chi_{\text{H}_2\text{O}} < 0.03$ ) and does not show any preferential space profile whether in or out of the plasma plume. This random distribution of  $\chi_{\text{H}_2\text{O}}$  is obtained whatever the APPJ and in all target configurations

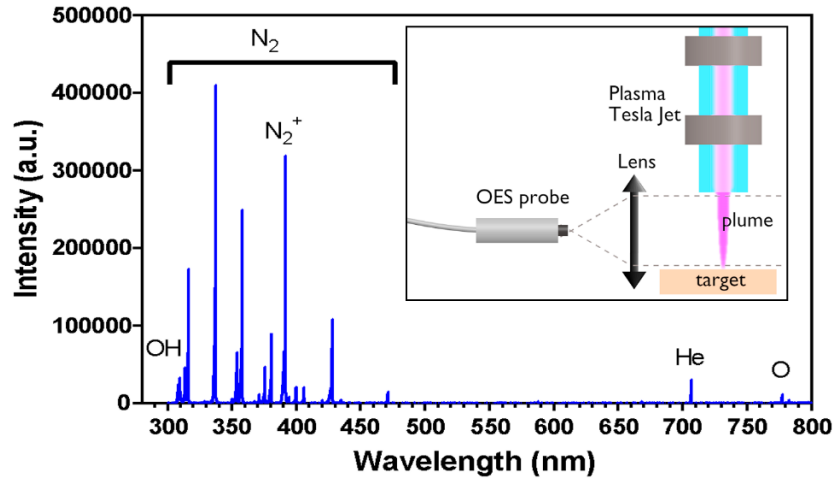


**Figure 7.** 2D profiles of gas molar fractions for PG and PTJ with/without target interaction. Experimental conditions: Voltage 7 kV, helium flow rate = 1000 sccm, frequency = 30 kHz, duty cycle = 14% (electrical plasma power = 12 W) and gap = 10 mm. The inset indicates how the MS capillary is positioned to perform the mapping.

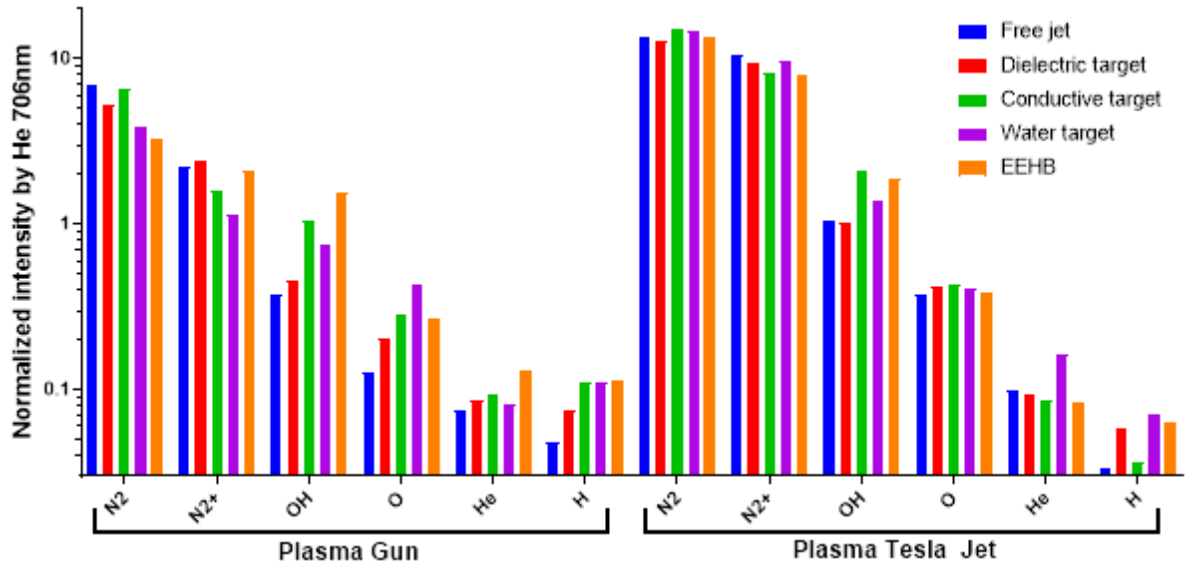
(except with the aqueous target where higher  $\chi_{\text{H}_2\text{O}}$  may appear 2 mm above the interface).

The characterization of the plasma phase by mass spectrometry is completed by optical emission spectroscopy to identify radiative species of interest and calculate gas temperature. Whatever the APPJs with/without targets, same optical emission spectra are obtained, as indicated in figure 8. Each spectrum is composed of the first negative and second positive systems of nitrogen ( $\text{N}_2$ ), a band of hydroxide (OH) and lines of helium (He), oxygen (O) and hydrogen (H). Although no UV radiation of nitric oxide (NO) is detected by OES, nitrogen dioxide ( $\text{NO}_2$ ) is evidenced by mass spectrometry. To highlight the influence of targets on radiative species production/consumption mechanisms, all intensities are normalized with respect to the helium line at 706 nm. The figure 9 shows the normalized intensities of the aforementioned species. With the PG, all radiative species ( $\text{N}_2$

337 nm,  $\text{N}_2^+$  391 nm, OH 309 nm, O 777 nm, He 587 nm and H 656 nm) are less intense than with the PTJ device except for the hydrogen radiation in free jet and dielectric target configurations. Moreover, the normalized intensity is more sensitive to the target configuration with the PG than with the PTJ. For example, nitrogen bands and oxygen line are not target-affected when PTJ is used. In both APPJ, OH intensity is higher with conductive target (i.e. metal and EEHB targets) than with water target, dielectric target and free jet. Normalized intensity of He indicates that both APPJs provide He 587 nm at a same level. Consequently, electron temperature is close in both APPJs except when the PG interacts with the EEHB target or with PTJ and water target. In these configurations, electron temperature is higher than in other configurations. Nevertheless, this electron temperature cannot be accurately assessed since the helium lines at 706 and 587 nm are too poorly emissive.



**Figure 8.** Optical emission spectra of the plumes generated by PG and PTJ. The two spectra overlap whatever the APPJ with/without target interaction. The inset indicates how plasma emission is collected: along the jet axis (i.e. side-on) without spatial resolution.



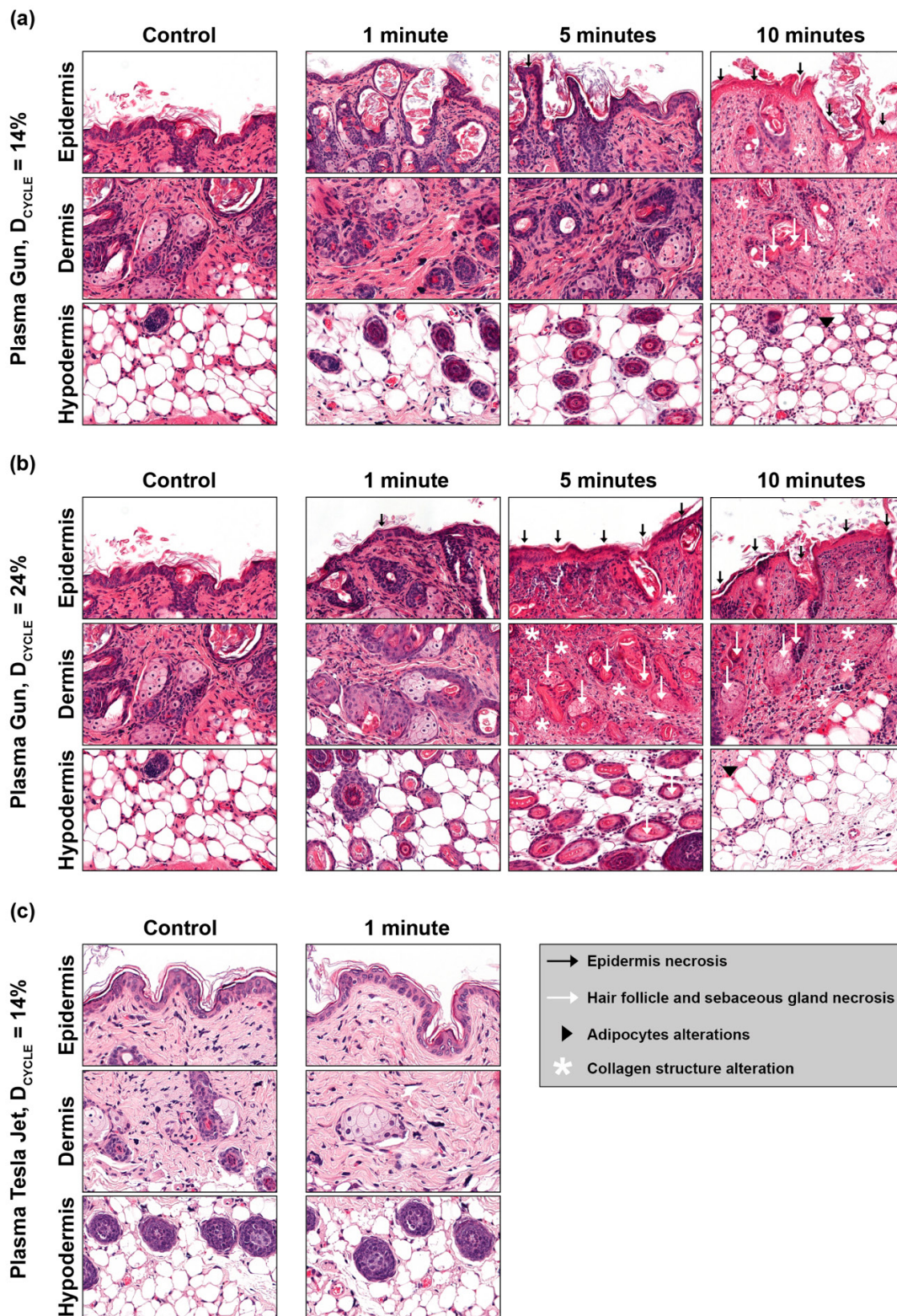
**Figure 9.** Normalized intensity of OES lines and bands using PG and PTJ with/without target.  $V_0 = 7$  kV, i.e.  $P_{\text{plasma}} = 12$  W for all configurations except for the PG-EEHB configuration where  $P_{\text{plasma}} = 26$  W).

**Table 1.** Rotational and vibrational temperature of plasma. Plasma power of the PG and PTJ is 12 W (voltage 7 kV) in all configurations except for the PG with EEHB target where it is 26 W.

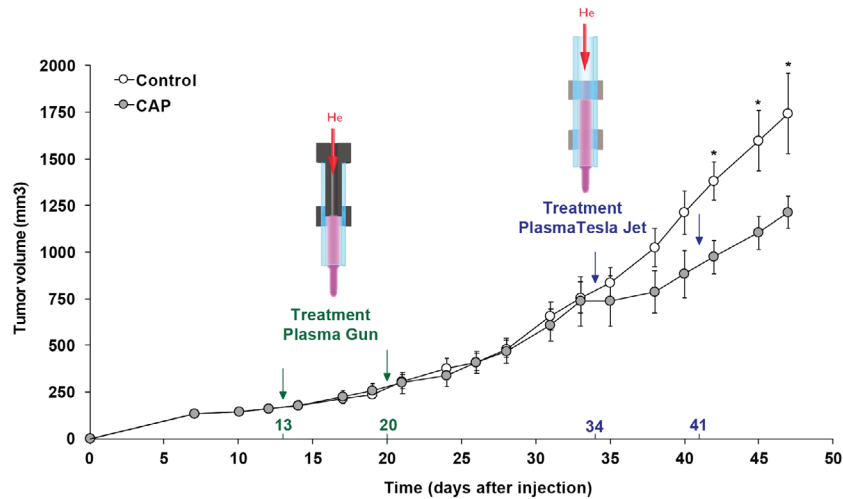
	APPJ	Targets				
		Free jet	Dielectric	Conductive	Water	EEHB
$T_{\text{rot}}$ (K)	PG	306	300	310	322	1277
	PTJ	310	311	304	304	300
$T_{\text{vib}}$ (K)	PG	2390	2446	2639	2747	5453
	PTJ	2545	2550	2659	2454	2606

Rotational and vibrational temperature can be deduced from Boltzmann plot of OH(A-X) and  $N_2$  (371, 375.5, 380.5 nm) respectively (Bruggeman *et al* 2014, Ravari *et al* 2017). As reported in table 1, the values of the plasma temperature indicate the generation of a non-equilibrium plasma with a vibrational temperature much higher than rotational temperature. Except for the PG/EEHB interaction, no significant

difference can be observed between the two APPJs and whatever the target configuration.  $T_{\text{rot}}$  is close to 310 K, i.e. close to the ambient temperature (293 K) while  $T_{\text{vib}}$  is approximately 2500 K. During the PG/EEHB interaction, electrical power is two times higher than in others configurations. In the same case, rotational temperature turns around 1300 K and vibrational temperature 5500 K.



**Figure 10.** Dermal toxicity test performed on the skin of immunodeficient mice. Representative histology (HE staining) of the skin after exposure to cold atmospheric plasma generated with (a) PG,  $D_{\text{CYCLE}} = 14\%$ , (b) PG,  $D_{\text{CYCLE}} = 24\%$  and (c) PTJ,  $D_{\text{CYCLE}} = 14\%$ . In all cases,  $V_0 = 7 \text{ kV}$ . Magnification  $\times 40$ .  $n = 4$ .



**Figure 11.** Tumor volume of mice bearing EGI-1 cells treated with vehicle (white circles) or cold atmospheric plasma (grey circles) generated with PG (arrows at days 13 and 20) and with PTJ (arrows at days 34 and 41). Values are expressed as means  $\pm$  SEM. \*,  $p < 0.05$ ; comparing CAP with vehicle. CAP, cold atmospheric plasma ( $n = 4$ ).

### 3.3. Dermal toxicity survey: effects of PG on mice skin

Before assessing any plasma-induced antitumor effects, we have defined an experimental operating window inside which the values of the relevant experimental parameters can be changed without inducing deleterious effects on mice skin. The dermal toxicity assay has been achieved on the skin of immunocompromised mice exposed to PG or PTJ using values of duty cycle ( $D_{\text{cycle}}$ ) at 14% or 24%, and a repetition frequency at 30 kHz and a gap of 10 mm ( $\pm 2$  mm). The uncertainty on this latter distance results from the mouse breathing.

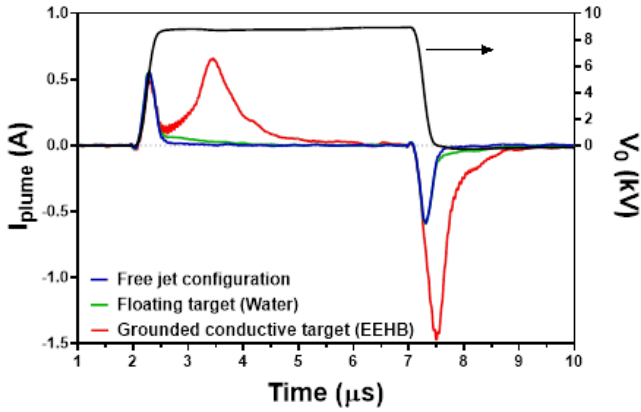
In the case of the PG treatment, macroscopic analysis of the skin shows no major alteration of the skin in treated mice compared to the control group (data not shown). However, HE analysis of samples reveals skin alterations that are correlated with exposure time and duty cycle. As shown in figure 10(a), when used with a  $D_{\text{cycle}} = 14\%$ , the PG does not induce any skin alterations after 1 min of treatment. However, after 5 min, HE staining reveals that half of the treated mice present slight alterations affecting mainly the epidermis with a pre-necrotic aspect of keratinocytes in very focal areas, and very rarely alterations in the collagen structure of the superficial dermis. After 10 min of exposure, all treated mice show similar significant tissue modifications affecting all layers of the skin, with extensive necrosis of the epidermis, alteration in the collagen structure of the dermis, necrosis of hair follicles and sebaceous glands. Moreover, cellular modifications in the hypodermis are evidenced for one third of the mice exposed to PG during 10 min. As shown in figure 10(b) for  $D_{\text{cycle}} = 24\%$ , exposing mice to PG during 1 min leads to skin alterations in half of them, i.e. focal pre-necrotic lesions affecting the epidermis are observed. After 5 and 10 min of plasma exposure, HE staining indicates significant tissue necrosis affecting all layers of skin comparable to what is observed at the condition  $\{D_{\text{cycle}} = 14\%, \text{time} = 10 \text{ min}\}$ . In very few cases, the microscopic alterations of the skin are observed macroscopically as a light skin redness that resolves within 24h post-treatment, when the samples are collected.

Since PG treatment can induce skin alterations correlated with duty cycle and exposure time, the PTJ was evaluated only in the unharmed conditions defined with the PG:  $D_{\text{cycle}} = 14\%$ , time = 1 min (figure 10(c)). The PTJ does not induce macroscopic and microscopic skin changes. Indeed, no epidermis, dermis or hypodermis alterations are evidenced histologically, all skin layers being strictly comparable with controls. Of note, only one mouse displays in a single focal area some very slight nuclei and cytoplasmic alterations involving keratinocytes of the epidermis (data not shown).

### 3.4. Antitumor effects of PG and PTJ treatments on mice cholangiocarcinoma

Once the safety of the two plasma sources is clearly defined, their antitumor effects are evaluated in a subcutaneous xenograft tumor model performed with EGI-1 cholangiocarcinoma cells. After a few days, cancer cell proliferation generates a tumor localized under the skin, mimicking human tumor (Vaquero *et al* 2018). When tumor volumes reach an approximate volume as high as 200 mm<sup>3</sup>, two PG treatments are achieved at days 13 and 20 for an exposure time of 1 min and  $D_{\text{cycle}} = 14\%$ . As illustrated in figure 11, the tumor volumes remain the same versus time whatever the control and plasma groups. Then, after leaving a 14 d refractory period, the tumors are treated using the PTJ at days 34 and 41 for an exposure time of 1 min and  $D_{\text{cycle}} = 14\%$ . In this case, figure 11 shows a strong reduction of tumor growth in the plasma group compared with the control group. At day 48, tumor volumes remain limited to 1250 mm<sup>3</sup> for mice belonging to the plasma group versus 1730 mm<sup>3</sup> for mice belonging to the control group. Experiments have not been carried out further to respect ethical protocols limiting tumor volume to less than 2000 mm<sup>3</sup> for the two groups.

Altogether, the dermal toxicity test and the xenograft tumors experiments show that the PTJ is as safe as the PG and displays *in vivo* antitumor properties. The PTJ properties are



**Figure 12.** Influence of targets on instantaneous current in PG plume. Experimental conditions: Voltage 9 kV, helium flow 1000 sccm, frequency 30 kHz and duty cycle 14%. Voltage waveform is indicated in solid black line.

more interesting since PTJ effect appears the day after PTJ treatment, i.e. at day 35 and that this effect is obtained at a very advanced stage of tumor development.

## 4. Discussion

### 4.1. How targets influence plasma properties

Measuring the electrical current upstream (between HV generator and exciting electrode) and downstream (on grounded electrode) of the APPJ enables a deeper understanding of the plasma discharge mechanisms as well as a more accurate determination of electrical plasma power. The data presented in the ‘Results’ section show that targets can greatly influence APPJ in different manners:

1. Targets exposed to a PG can modify the **propagation properties of the plasma**, in particular the profile of the pulsed atmospheric plasma streams (PAPS). The PAPS exhibit a strong decay of their tail when the PG operates in free jet or in interaction with a dielectric target, while a longer one is obtained with ungrounded conductive targets. Transient thermal arcs can occur with grounded conductive target.
2. Targets can change **fluid-dynamics properties of the plume**. If the target is a dielectric (with a necessarily floating potential), the ionization wave propagates as short PAPS spreading over its surface. On the contrary, if the target is conductive, the plume propagation is performed along a cylinder-like channel, becoming even narrower as one gets closer to the target. In the case of a liquid target, plasma heating can convert part of the liquid into vapor, hence modifying the physico-chemical properties of the surrounding atmosphere.
3. The polarization status of the target impacts the propagation distance of the plume as well as the bridging potential, i.e. value of  $V_0$  for which the plume is in optical contact with the target. The maximum propagation distance is as high as 20 mm if the target is grounded while only 12 mm if it is at floating potential. Besides,  $V_{\text{bridge}}$  is always lower

if the target is grounded rather than floating. Hence, in the case of the PG-metal target interaction,  $V_{\text{bridge}} = 6$  kV at floating potential versus 5 kV at grounded potential. Using the PTJ, the bridging potentials are even 1 kV lower.

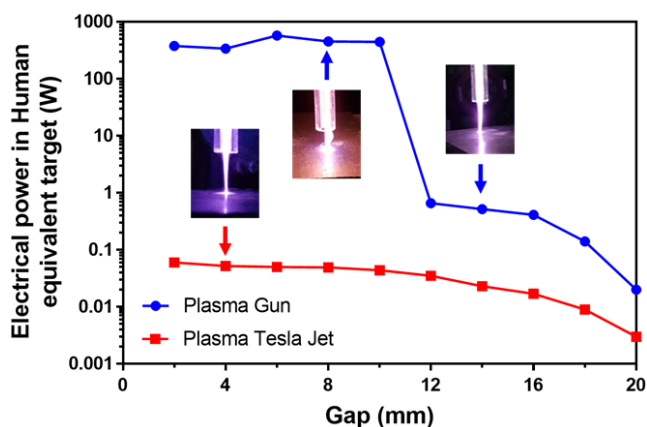
4. Chemical species production/consumption mechanisms are target-dependent, as shown in figure 9 with reactive oxygen species, i.e. OH, O and H radicals.
5. Target configuration can strongly influence plasma parameters like electron density and plasma temperature (Klarenaar *et al* 2018), especially in the PG/grounded target configuration.

### 4.2. Plasma propagation mechanisms

With the PTJ, a dielectric barrier always separates HV electrode and plasma, hence preventing any transition to electric arc, whatever the target utilized.

With the PG, three target-dependent plasma propagation mechanisms can be identified in figure 12:

- Free jet configuration: the plasma behaves as an ionization wave characterized by an intense ionization front (i.e. local electric field of high magnitude) while its tail can be reasonably considered as negligible. Indeed, no ionization channel is detectable by electrical or imaging techniques once the wave front has propagated along the interelectrode distance. Such pulsed plasmas are referred as plasma bullets and generate current peaks that can be easily detected on the oscilloscope. In free jet, one peak of current appears at every edge (positive/negative) of the applied voltage, to decay a few  $\mu\text{s}$  later. These current peaks are slightly dissymmetric. Since the dielectric current corresponds to the product of the capacitance by the derivative of the applied voltage, its left wing is superimposed with the rise in voltage. If the voltage slew rate is low, dielectric and discharge currents can be time dissociated and so could be their peaks. If the voltage slew rate is high as in figure 12, then they appear as a dissymmetric peak.
- Floating targets (dielectric target, water or metal plate at floating potential): the plasma behaves like a Pulsed Atmospheric-pressure Plasma Stream (PAPS) (Robert *et al* 2015). Contrarily to the previous case, the wave front remains connected to the inner HV electrode through an ionization channel resulting from the propagation of the ionization wave and that can extend beyond the glass tube length, i.e. in ambient air. As a result, the current peak associated to a PAPS is highly dissymmetric: its right wing can decay on several  $\mu\text{s}$  (versus less than 0.5  $\mu\text{s}$  on its left wing) and is the direct consequence of the PAPS tail. Once the ionization channel is entirely open by the wave front, the residual electrical charges bridge the HV electrode to the target. Such bridging lasts as long as the voltage between HV electrode and the floating conductive target becomes too low to keep open the plasma channel.
- Grounded conductive target (e.g. human equivalent electrical circuit): 3 peaks appear at 2.3, 3.7 and 7.5  $\mu\text{s}$  in figure 12. The instantaneous current shows the profile of a



**Figure 13.** EEHB target power as a function of the gap for PG and PTJ devices. Experimental conditions:  $V_0 = 7$  kV, helium flow rate = 1000 sccm, repetition frequency = 30 kHz and duty cycle = 14%. No measurement capacitor  $C_{m1}$  is present in the electrical circuit.

RC series circuit. The instantaneous current is limited due to  $C_{m1}$  (capacitor of measurement) and to the low duty cycle. Respecting these two conditions is a mandatory to prevent any cold discharge-to-arc transition.

In the case of the PG, the conductive channel (resulting from the ionization wave propagation) can bridge the grounded target to the high voltage electrode. If the applied voltage is high enough, this bridging can lead to a discharge-to-arc transition. Then, the electrical power dissipated into the target can be limited if the plasma impedance is much higher than the target impedance. This condition can be satisfied either by reducing the inner diameter of the tube or by increasing the HV electrode-to-target distance.

To mimic the influence of this latter parameter on the power deposited on living models, the grounded EEHB target has been exposed to PG and PTJ for gap distances ranging between 1 and 20 mm. The corresponding powers are reported in figure 13. With the PG, the deposited electrical power strongly depends on the gap: for gaps lower than 11 mm, power is as high as 480 W for  $V_3 = 2.0$  kV and the plasma appears as an electric arc (see inset of figure 13). Then, for gap longer than 11 mm, the dissipated electrical power is drastically reduced, ranging between 0.66 W at 12 mm to 0.02 W at 20 mm. For higher gaps, plasma is no more bridged to the EEHB target.

The power values given in figure 13 are much higher than those introduced in figures 4 and 6 since in this electrical setup, measurements have been carried out without  $C_{m1}$  capacitor. As a result, the current cannot be estimated in the arc even if one can estimate its effective value in the EEHB target using  $I_{\text{target,eff}} = \sqrt{\frac{1}{T} \cdot \int_T \frac{V_{\text{target}}^2(t)}{R_{\text{target}}} \cdot dt}$ . Hence, with the PG operating at lower gaps,  $I_{\text{target,eff}} = 500$  mA ( $P = 800$  W) while for higher gaps it is only 10 mA ( $P = 0.6$  W).

The electrical power deposited in the target is always lower with PTJ than with PG, since it ranges from 60 mW (2 mm) to 3 mW (20 mm). Moreover, the  $V_3$  potential (and therefore the voltage along the EEHB target) is less than 1.5 V.

#### 4.3. Comparing PG and PTJ in regard of their physical properties

From an energy balance point of view, the electrical power supplied by the HV generator is the sum of thermal power, chemical power and radiative power consumed by the plasma. If the precise quantification of each contribution is beyond the scope of the present article, one can however suggest lines of thoughts for future work. First, it would be important to verify whether the chemical contribution—and more specifically the contribution of the short lifetime reactive species—is richer and more selective with PTJ than with PG, therefore contributing into higher antitumor effects. Since the emission band and lines of  $N_2^+$ , OH and O in figure 9 are higher with PTJ than with PG, one may assume a richer PTJ-induced radical chemistry. However, such statement remains an assumption since quenching processes must also be assessed using a collision radiative model to calculate atomic state distribution functions versus particle densities and temperatures (Hartgers *et al* 2001). Mass spectrometry measurements have been performed in this work but its 1 m long flexible capillary make this technique not accurate enough to conclude on transient chemistry. Second, one can reasonably consider the radiative power much higher with PG than with PTJ if one refers to the absolute optical emission of the He line at 706 nm. Indeed, its intensity ranges between 75 000–93 000 a.u. with PG versus 29 000–41 000 a.u. with PTJ. Third, thermal power is similar in both APPJ with/without target except the EEHB target, as reported in table 1. To summarize, one may assume that PG enables higher radiative transfers while the PTJ better promote chemical consumption/production mechanisms. Such dissimilar behaviors remain hypothetical and could result from two different electron energy distribution functions, one specific to the PG and the other to the PTJ.

#### 4.4. Comparing PG and PTJ in regard of safety issues

Connecting a conductive target to the ground allows the generation of a dynamic electric field between the target surface and the ionization wave's front. As a result, the bridging potential is obtained at lower value, whatever the APPJ. With the PTJ, such bridging is inconsequential in terms of electrical hazards on *in vivo* models since the plume propagates as short/long PAPS. However, it becomes critical if one utilizes a PG configuration without precautions. Indeed, as soon as  $V_0$  reaches the  $V_{\text{bridge}}$  value, an electric arc is likely to appear since no dielectric barrier separates the HV electrode to the grounded target. Then the gas temperature increases to values far larger than the threshold authorized for our *in vivo* experiments (313 K, 40 °C). To reduce arcing, one can limit the current from 500 mA to 10 mA by placing a capacitor like  $C_{m1}$  downstream of the APPJ, as shown in figure 3. In our case, the gas temperature is lowered although its value (approx. 1000 K) remains too elevated for medicine applications. To prevent the arcing without modifying the PG electrode configuration, the best option is to increase the plasma impedance by elongating the post-electrode length; for example, using a

longer dielectric tube as successfully demonstrated upon *in vivo* campaigns (Brullé *et al* 2012).

Finally, gas dynamics profiles achieved in the post-electrode region are very different depending on the non-ionized or ionized status of the carrier gas. If this behavior remains poorly understood, several mechanisms are foreboded including gas heating, local pressure increase, gas transport properties or momentum transfer between ions and neutrals (Boselli *et al* 2014).

#### 4.5. Comparing PG and PTJ antitumor properties

To understand why one of the two plasma sources validates an anti-tumor effect and not the other, we propose to briefly compare them at the light of the usual cold plasma properties, i.e. radiative, thermal, chemical, electrical and gas flow properties.

According to table 1, the gas temperature (neutral species) is always close to 305 K ( $\pm 6$  K) whatever the plasma source (with the exception of the arc regime which cannot be applied on living organisms). Therefore, we assume that for such a low value, the temperature cannot induce antitumor effect owing to the absence of such results with the PG. Regarding flow properties, figure 7 shows profiles that significantly differ when the target is changed but not when the PG is replaced with the PTJ. Again, in our own experimental conditions, the impact of flow properties can be considered as negligible to induce antitumor effects.

The balance between radiative and chemical properties could partly explain the anti-tumor effects demonstrated in figure 11. As previously noted, the radiative properties are stronger with PG than with PTJ, whereas more chemical species may be produced with PTJ, likely to explain the tumor size reduction. In our *in vivo* experiments, the tumors were ectopically grafted on mice, i.e. covered by a thin skin layer likely to mitigate the diffusion of exogeneous radicals from plasma. Since reactive species can be delivered several millimeters into tissues (Szili *et al* 2018), it is important to identify if those detected with PTJ and PG are likely to induce antitumor effects:

- The hydroxyl (OH) radical is the most electrophilic ROS with high reactivity. It can cause oxidative damage to DNA, proteins and lipids as long as it is produced in their vicinity (Hadi *et al* 2010, Cadet and Davies 2017). In our research works, even if OH radicals are significantly produced with the two APPJ, their therapeutic potential remains questionable in inducing antitumor effects.
- Low concentrations of extracellular singlet oxygen can inactivate catalase on the membrane of tumor cells and thus abrogate the antioxidant activity of one of the central molecules of tumor cells (Riethmüller *et al* 2015). Although produced in low amounts with our APPJ, the role of O radical as antitumoral agent must not be underestimated. In the vicinity of inactivated catalase, it could prevent NO from oxidation and prevent H<sub>2</sub>O<sub>2</sub> and peroxyxynitrite (constantly produced outside of tumor cells) to be decomposed (Bauer 2016). Then, the subsequent protonation of peroxy-

xynitrite into peroxyxynitrous acid can enable the production of intracellular NO<sub>2</sub> and hydroxyl radicals.

- To the best of the authors knowledge, the H radical is not described in the literature as a candidate likely to induce strong anticancer effects. Besides its production into the plasma phase remains very low as shown in figure 9. For these reasons, H radicals can reasonably consider as playing a negligible role in the antitumor effects highlighted in figure 11.
- As reminded by Graves (2014), nitric oxide (NO) is a biologically significant molecule that can induce several pivotal effects, e.g. immune modulation of tumor growth, modulation of angiogenesis and inhibition of cell respiration (Janakiram and Rao 2015, Morbidelli *et al* 2019). Although NO has not been investigated in the preset study, further works could be carried out to generate it on purpose, as selectively as possible.

Finally, the plasma electrical properties are also assumed to play an important role in the antitumor effect. Depending on whether PG or PTJ is used on the same target, the resulting  $V_{\text{target}} = f(t)$  profiles could highlight substantial differences (change in voltage polarity, change in pulses duration, ...) that are still under investigation.

## 5. Conclusion

Simple targets (dielectric plates, grounded/floating metal plates) are useful to quickly and cheaply determine the plasma properties of APPJ devices interacting with a biological system. However, the values of the measured plasma parameters have to be considered with great care. Since the impedance of these targets is different from the impedance of any living model, the measured plasma properties can only be used to benchmark the APPJ among themselves, without possibly predicting their accurate values if a living model is treated instead. In particular,  $P_{\text{plasma}}$  and  $P_{\text{target}}$  values can be unintentionally underestimated, as demonstrated in this work with the PG, since the maximum value of instantaneous current as well as the pulse current duration depend on the target type. These discrepancies are even stronger if one considers a target mimicking the electrical properties of the human body. Indeed, electrical hazards are only detectable with the EEHB target and not with simple dielectric/metal targets. The reason is the propagation of the PAPS which is target-dependent.

On the contrary, electrical properties of the PTJ are not affected by the target itself owing to the dielectric glass tube separating the plasma bulk from the HV electrode and which always plays the role of dielectric barrier. Thus, strong stability and reproducibility are guaranteed, PAPS propagation does not depend on the target type and no electrical hazards can occur like transition to arc. Another advantage of the PTJ is its ability to produce higher amounts of reactive species compared with a PG operating in the same conditions. These strengths must however be nuanced with regard to the enhanced radiative properties of the PG.

The dermal toxicity survey has shown that potential deleterious effects can be obtained on the skin for long exposure times (>5 min) and high duty cycles: preneoplastic aspect of keratinocytes in very focal areas of the epidermis followed by necrosis of the epidermis, alteration in the collagen structure of the dermis, necrosis of hair follicles and sebaceous glands. To prevent such effects, optimal values have been set as follows: duty cycle = 14%, repetition frequency = 30 kHz, magnitude = 17 kV, gap = 10 mm and exposure time = 1 min.

In conclusion, we have engineered a cold atmospheric plasma device showing a therapeutic efficiency for the treatment of cholangiocarcinoma. If the PG has already been successfully applied on murine models to induce antitumor effects, the PTJ appears today as a promising alternative for the treatment of specific/aggressive cancers as cholangiocarcinoma. Further investigation is ongoing to dissect the cellular and molecular mechanisms involved in the antitumoral effect. Altogether, the study should improve the usefulness of the device and be able to provide new anticancer treatment opportunities to patients suffering from cholangiocarcinoma as well as other aggressive cancer.

## Acknowledgments

This work has been done within the LABEX Plas@par project and received financial state aid managed by the Agence Nationale de la Recherche, as part of the programme 'Investissements d'avenir' (ANR-11-IDEX-0004-02) and within the Emergence @ Sorbonne Universités 2016 fundings. Also, it has been supported by the « Région Ile-de-France » (Sesame, Ref. 16016309), Sorbonne Université Platform program and by French network GDR 2025 HAPPYBIO. The authors acknowledge Tatiana Ledent from Housing and experimental animal facility (HEAF) and Fatiha Merabtene from the histomorphology Platform, UMS 30 Lumic, Centre de recherche Saint-Antoine (CRSA).

## ORCID iDs

F Judée  <https://orcid.org/0000-0003-2721-5151>

## References

- Banales J M *et al* 2016 Cholangiocarcinoma: current knowledge and future perspectives consensus statement from the European Network for the Study of Cholangiocarcinoma (ENS-CCA) *Nat. Rev. Gastroenterol. Hepatol.* **13** 261–80
- Bauer G 2016 The antitumor effect of singlet oxygen *Anticancer Res.* **36** 5649–64
- Binenbaum Y, Ben-David G, Gil Z, Slutsker Y Z, Ryzhkov M A, Felsteiner J, Krasik Y E and Cohen J T 2017 Cold atmospheric plasma, created at the tip of an elongated flexible capillary using low electric current, can slow the progression of melanoma *PLoS One* **12** e0169457
- Boselli M, Colombo V, Ghedini E, Gherardi M, Laurita R, Liguori A, Sanibondi P and Stancampiano A 2014 Schlieren high-speed imaging of a nanosecond pulsed atmospheric pressure non-equilibrium plasma jet *Plasma Chem. Plasma Process.* **34** 853–69
- Brandenburg R *et al* 2018 White paper on the future of plasma science in environment, for gas conversion and agriculture *Plasma Process. Polym.* **16** 1700238
- Bruggeman P J, Sadeghi N, Schram D C and Linss V 2014 Gas temperature determination from rotational lines in non-equilibrium plasmas: a review *Plasma Sources Sci. Technol.* **23** 023001
- Brullé L, Vandamme M, riès D, Martel E, Robert E, Lerondel S, Trichet V, Richard S, Pouvesle J-M and Le Pape A 2012 Effects of a non thermal plasma traitement alone or in combinations with gemcitabine in a MIA PaCa2-luc orthotopic pancreatic carcinoma model *PLoS One* **7** e52653
- Cadet J and Davies K J A 2017 Oxidative DNA damage and repair: an introduction *Free Radic. Biol. Med.* **107** 2–12
- Collet G, Robert E, Lenoir A, Vandamme M, Darny T, Dozias S, Kieda C and Pouvesle J-M 2014 Plasma jet-induced tissue oxygenation: potentialities for new therapeutic strategies *Plasma Sources Sci. Technol.* **23** 012005
- Darny T, Pouvesle J-M, Puech V, Douat C, Dozias S and Robert E 2017 Analysis of conductive target influence in plasma jet experiments through helium metastable and electric field measurements *Plasma Sources Sci. Technol.* **26** 045008
- Dubuc A, Monsarrat P, Virard F, Merbahi N, Sarrette J-P, Laurencin-Dalcioux S and Cousty S 2018 Use of cold-atmospheric plasma in oncology: a concise systematic review *Ther. Adv. Med. Oncol.* **10** 1–12
- Fang Z, Shao T, Yang J and Zhang C 2016 Discharge processes and an electrical model of atmospheric pressure plasma jets in argon *Eur. Phys. J. D* **70** 60437–4
- Graves D B 2014 Reactive species from cold atmospheric plasma: Implications for cancer therapy *Plasma Process. Polym.* **11** 1120–7
- Hadi S M, Ullah M F, Shamim U, Bhatt S H and Azmi A S 2010 Catalytic therapy of cancer by ascorbic acid involves redox cycling of exogenous/endogenous copper ions and generation of reactive oxygen species *Chemotherapy* **56** 280–4
- Hartgers A, van Dijk J, Jonkers J and van der Mullen J A M 2001 CRModel: A general collisional radiative modeling code *Comput. Phys. Commun.* **135** 199–218
- Hoffmann M, Bruch H P, Kujath P and Limmer S 2010 Cold-plasma coagulation in the treatment of malignant pleural mesothelioma: results of a combined approach *Interact. Cardiovasc. Thorac. Surg.* **10** 502–5
- Isbary G, Shimizu T, Li Y F, Stolz W, Thomas H M, Morfill G E and Zimmermann J L 2013 Cold atmospheric plasma devices for medical issues *Expert Rev. Med. Devices* **10** 367–77
- Janakiram N B and Rao C V 2015 Nitric oxide: immune modulation of tumor growth *Nitric Oxide and Cancer: Pathogenesis and Therapy* (New York: Springer)
- Judée F and Dufour T 2019 Plasma gun for medical applications: engineering an equivalent electrical target of human body and deciphering relevant electrical parameters *J. Phys. D: Appl. Phys.* **52** 16LT02
- Keidar M, Walk R, Shashurin A, Srinivasan A, Srinivasan P, Sandler A, Dasgupta S, Ravi R, Guerrero-Preston R and Trink B 2011 Cold plasma selectivity and the possibility of a paradigm shift in cancer therapy *Br. J. Cancer* **105** 1295–301
- Klarenaar B L M, Guaitella O, Engeln R and Sobota A 2018 How dielectric, metallic and liquid targets influence the evolution of the electron properties in a pulsed He jet measured by Thomson and Raman scattering *Plasma Sources Sci. Technol.* **27** 085004
- Kogelschatz U, Eliasson B and Egli W 1997 Dielectric-barrier discharges. Principle and applications *J. Physique IV* **07** 47–66

- Kostov K G, Honda R Y, Alves L M S and Kayama M E 2009 Characteristics of dielectric barrier discharge reactor for material treatment *Braz. J. Phys.* **39** 322–5
- Laroussi M and Akan T 2007 Arc-free atmospheric pressure cold plasma jets: a review *Plasma Process. Polym.* **4** 777–88
- Li C, Pei X and Lu X 2017 Measurement of the impact force of a nonequilibrium atmospheric pressure plasma jet on various substrates *J. Appl. Phys.* **121** 203305
- Metelmann H R *et al* 2013 Head and neck cancer treatment and physical plasma *Clin. Plasma Med.* **3** 17–23
- Metelmann H R *et al* 2018 Clinical experience with cold plasma in the treatment of locally advanced head and neck cancer *Clin. Plasma Med.* **9** 6–13
- Morbidelli L, Donnini S and Ziche M 2019 Therapeutic implications of the nitric oxide pathway in the angiogenesis of tumors and inflammatory-related disorders *Therapeutic Application of Nitric Oxide in Cancer and Inflammatory Disorders* (Amsterdam: Academic) ch 4
- Ravari M, Ganjovi A, Shojaei F and Falahat A 2017 Temperature measurements in a manufactured RF plasma jet in various Ar/N<sub>2</sub> mixtures *Turk. J. Phys.* **41** 507–19
- Riethmüller M, burger N and Bauer G 2015 Singlet oxygen treatment of tumor cells triggers extracellular singlet oxygen generation, catalase inactivation and reactivation of intercellular apoptosis-inducing signaling *Redox Biol.* **6** 157–68
- Robert E, Barbosa E, Dozias S, Vandamme M, Cachoncinlle C, Viladrosa R and Pouvesle J-M 2009 Experimental study of a compact nanosecond plasma gun *Plasma Process. Polym.* **6** 795–802
- Robert E, Darny T, Dozias S, Iseni S and Pouvesle J M 2015 New insights on the propagation of pulsed atmospheric plasma streams: from single jet to multi jet arrays *Phys. Plasmas* **22** 122007
- Robert E, Sarron V, Ries D, Dozias S, Vandamme M and Pouvesle J-M 2012 Characterization of pulsed atmospheric-pressure plasma streams (PAPS) generated by a plasma gun *Plasma Sources Sci. Technol.* **21** 034017
- Sarron V, Robert E, Fontane J, Darny T, Riès D, Dozias S, Joly L and Pouvesle J-M 2013 Plasma plume length characterization *21st Int. Symp. on Plasma Chemistry*
- Schuster M *et al* 2016 Visible tumor surface response to physical plasma and apoptotic cell kill in head and neck cancer *J. Craniomaxillofac. Surg.* **44** 1445–52
- Stoffels E, Sakiyama Y and Graves D-B 2008 Cold atmospheric plasma: charged species and their interactions with cells and tissues *IEEE Trans. Plasma Sci.* **36** 1441–57
- Suvarna K, Layton C and Bancroft J 2018 *Bancroft's Theory and Practice of Histological Techniques* 8th edn (Amsterdam: Elsevier)
- Szili E J, Hong S H, Oh J S, Gaur N and Short R D 2018 Tracking the penetration of plasma reactive species in tissue models *Trends Biotechnol.* **36** 594–602
- Traldi E, Boselli M, Simoncelli E, Stancampiano A, Gherardi M, Colombo V and Settles G S 2018 Schlieren imaging: a powerful tool for atmospheric plasma diagnostic *EPJ Tech. Instrum.* **5** 4
- Valle J *et al* 2010 Cisplatin plus gemcitabine versus gemcitabine for biliary tract cancer *N. Engl. J. Med.* **362** 1273–81
- Vaquero J *et al* 2018 IGF2/IR/IGF1R pathway in tumor cells and myofibroblasts mediates resistance to EGFR inhibition in cholangiocarcinoma *Clin. Cancer Res.* **24** 4282–96
- Wild R, Gerling T, Bussiahn R, Weltmann K-D and Stollenwerk L 2013 Phase-resolved measurement of electric charge deposited by an atmospheric pressure plasma jet on a dielectric surface *J. Phys. D: Appl. Phys.* **47** 042001