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► **To cite this version:**

Pauline Olivieri, Julien Lagarde, Stéphane Lehéricy, Romain Valabrègue, Adeline Michel, et al.. Early alteration of the locus coeruleus in phenotypic variants of Alzheimer's disease. *Annals of Clinical and Translational Neurology*, 2019, 6 (7), pp.1345-1351. 10.1002/acn3.50818 . hal-02279493

**HAL Id: hal-02279493**

<https://hal.sorbonne-universite.fr/hal-02279493v1>

Submitted on 5 Sep 2019

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## BRIEF COMMUNICATION

## Early alteration of the locus coeruleus in phenotypic variants of Alzheimer's disease

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### Funding information

French Health Ministry under reference (2013-0919), CEA (reference 100033), and Fondation pour la recherche sur Alzheimer, France Alzheimer. PET imaging has been partially funded by Institut de Recherches International Servier, Center for Therapeutic Innovation in Neuropsychiatry.

Received: 22 February 2019; Revised: 20 May 2019; Accepted: 22 May 2019

*Annals of Clinical and Translational Neurology* 2019; 6(7): 1345–1351

doi: 10.1002/acn3.50818

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### Introduction

Besides cortical  $\beta$ -amyloid plaques and tau protein aggregates which characterize Alzheimer's disease (AD), neuropathological studies point to a significant neuronal loss in the locus coeruleus (LC), associated with disease severity, estimated between 13 and 30% at the prodromal stage and between 30 and 55% at mild or moderate stages when compared with controls.<sup>1–5</sup> LC is among the earliest sites of detectable tau pathology in AD, and some authors suggest that LC tau pathology precedes the primary

### Abstract

Neuropathological studies showed early locus coeruleus (LC) neuronal loss associated with tauopathy in Alzheimer's Disease (AD). We used the LC signal intensity (LC-I) on 3T MRI to assess the LC integrity in AD ( $n = 37$ ) and controls ( $n = 17$ ). The LC-I was decreased in AD regardless of typical (amnestic) and atypical presentation (logopenic aphasia/visuo-spatial deficit), from the prodromal stage, and independently of the amyloid load measured by PiB-PET. The LC-I was correlated with memory performance of typical AD. This supports the pathophysiological model in which the LC plays a critical role in AD and may thus be a potential therapeutic target.

symptoms by several decades.<sup>6–9</sup> Some authors suggest that it may seed the development of tau aberrant protein aggregates in interconnected brain regions.<sup>6,9,10</sup> In animal studies, lesions of the LC exacerbate AD neuropathology and cognitive deficits in both amyloid-based transgenic mouse models and mouse models of tauopathy.<sup>11,12</sup> Due to its noradrenergic function, LC could also play a critical role in cognition, especially mental flexibility or memory-retrieval.<sup>13</sup> Neuropathological studies in AD showed a correlation between the decreased number of noradrenergic LC neurons and cognitive performance, especially

memory formation and retrieval.<sup>4</sup> All neuropathological studies remained focused on typical AD, and, until today, data in atypical AD remain lacking.

The LC can be studied *in vivo* using a new MRI method based on the measure of the LC signal intensity (LC-I) using the paramagnetic properties of neuromelanin, the main pigment contained in the LC.<sup>14</sup> The LC-I was related to the number of LC cells observed in post-mortem healthy individuals<sup>15</sup> and was proposed as an early marker of non-dopaminergic Parkinson's disease pathology.<sup>16</sup>

This study aimed to assess the LC signal intensity (LC-I) in AD patients and its correlations with cognition and amyloid load. By contrasting typical and atypical AD, we aimed to decipher the role of LC neuronal loss in AD pathogenesis. We hypothesized that, if LC alteration plays a critical role for tau deposition as suggested in recent works, we should find a similar LC-I decrease in both AD sub-groups.

## Methods

### Patients

We included 54 participants from the Shata7-Imataw study (NCT02576821): 37 patients with AD according to the following criteria (1) for typical AD: progressive episodic memory impairment, characterized by a low free recall not normalized with semantic cueing according to the Free and Cued Selective Reminding Test (FCSRT), associated or not with other cognitive deficits; (2) for atypical AD: visuo-spatial deficit and/or logopenic progressive aphasia (LPA) with relative preservation of memory function; (3) the positivity of pathophysiological biomarkers suggestive of AD: cerebrospinal fluid (CSF) biomarkers (IATI score < 0.8)<sup>17</sup> and amyloid PET imaging (PiB global cortical index (GCI) >1.45); (4) Clinical Dementia Rating (CDR) scale  $\leq 1$ . Seventeen controls with normal neuropsychological testing and negative PiB-PET were included.

We did not include subjects with (1) systemic illnesses that could interfere with cognitive functioning or unstable or severe pathology; (2) extrapyramidal signs or neurological history suggestive of Parkinson's disease with dementia, progressive supranuclear palsy, corticobasal degeneration, or dementia with Lewy bodies; (3) depression assessed with a score > 15 on the Montgomery-Asberg Depression Rating Scale (MADRS); (4) a history of epileptic pathology; (5) Fazekas score  $\geq 3$ .

Patients were defined as prodromal AD when the CDR scale score was 0.5, and as mild AD dementia when the CDR score was 1.

The clinical characteristics of the patients and controls are summarized in Table 1.

### Ethics statement

The Ethics Committee (Ile-de-France VI) approved the study. All the subjects provided written informed consent.

### Magnetic resonance imaging and measure of LC signal intensity

A 3T MRI was performed at the Centre de Neuro-Imagerie de Recherche (Siemens PRISMA) including (a) three-dimensional (3D) T1-weighted volumetric magnetization-prepared rapid gradient echo (MP-RAGE) sequence, (b) neuromelanin-sensitive images acquired using two-dimensional axial turbo spin echo T1-weighted images that were positioned on the sagittal 3D T1-weighted images perpendicular to the posterior border of the brainstem from the lower part of the pons to the upper part of the midbrain, covering the entire LC; (c) a Fluid Attenuated Inversion Recovery (FLAIR) sequence.

The analysis of neuromelanin-sensitive images was performed using a previously described automated method.<sup>16</sup> Three regions (serving as bounding boxes) were manually defined on the International Consortium for Brain Mapping template and were resampled onto the individual neuromelanin-sensitive T1-weighted images. The first region corresponded to the rostral pontomesencephalic area (6200 mm<sup>3</sup>) and was used as a reference region for the normalization of the signal between subjects. This normalization allowed the direct comparison of intensity values between subjects. The other regions corresponded to the bilateral regions containing the coeruleus-subcoeruleus complexes (700 mm<sup>3</sup> each), avoiding any other structure that could be considered as "bright" such as the substantia nigra. We used in-house software to automatically determine the 10 brightest connected voxels bilaterally, which were considered as the LC areas.<sup>16</sup> The appropriate location of voxels in the LC area was checked visually on each image (none was modified).

Volumetric segmentation of the hippocampi was automatically performed on the 3D T1-weighted MP-RAGE scans using FreeSurfer 6.0.0 (<http://surfer.nmr.mgh.harvard.edu/>).<sup>18</sup> The volume measures of hippocampi were corrected using the individual total intracranial volume (TIV).

### Statistical analysis

Data were analyzed using SPSS 24 (SPSS Inc, Chicago, IL, USA). We verified the Gaussian distribution of data using the Shapiro-Wilk test. Differences between groups were assessed using analysis of covariance (ANCOVA) (age, educational level, and disease duration as covariates). We averaged the left and right values of the LC

**Table 1.** Data are given as mean (SD)

	Controls (n = 17)	Typical AD (n = 21)	Atypical AD (n = 16)
Age, y	68.5 (3.9)	68.9 (7)	66.3 (7.2)
Sex (Male/female)	5/12	10/11	6/10
No. patients with CDR = 0	17	0	0
No. patients with CDR = 0.5	0	15	12
No. patients with CDR = 1	0	6	4
MMSE	28.8 (1.0)	24.0 (3.5)**	21.6 (2.2)***
Episodic Memory Score <sup>1</sup>	89.9 (6.5)	40.8 (19.2) **	NA
FCSRT free recall (max score:48)	32.2 (5.8)	10.1 (7.4) **	NA
FCSRT total recall (max score 48)	47.0 (1.3)	24.2 (10.8) **	NA
Doors A test (max score:12)	10.2 (1.3)	6.5 (2.5) **	6.7 (2.4)**
Cognitive Parietal Score <sup>2</sup>	156.5 (1.5)	147.8 (13.8)	125.9 (22.8)****
Denomination (max score: 80)	79.6 (0.6)	76.3 (4.2)	71.9 (7.8)***
Gestural praxis (max score: 36)	35.5 (0.9)	34.4 (2.3)	27.2 (11.3)****
ROCF copy (max score: 36)	35.4 (0.9)	31.1 (10.5)	21.9 (15.2)**
Construction score of the MATTIS DRS <sup>3</sup> (max score: 6)	6.0 (0)	5.9 (0.4)	4.9 (1.7)*
Executive functions			
Category fluency (2 minutes)	34.4 (10.1)	19.0 (5.6) **	14.6 (6.3)**
Forward digit span	5.6 (1.2)	5.3 (1.0)	4.9 (1.2)
Backward digit span	4.5 (1.1)	4.3 (1.1)	2.6 (0.9)***
Similarities WAIS	23.8 (3.6)	19.8 (4.5)***	14.2 (4.8)***
MADRS	5.3 (3.5)	5.5 (6.5)	7.6 (5.3)
Treatment			
AChEI, No./total No. (%)	0/17 (0)	16/21 (76)	15/16 (94)
SSRIs, No./total No. (%)	0/17 (0)	9/21 (43)	9/16 (56)
SSNIs, No./total No. (%)	0/17 (0)	4/21 (19)	2/16 (12.5)
GCI [ <sup>11</sup> C]-PIB	1.30 (0.11)	2.95 (0.67)**	2.67 (0.62)**
CSF IATI Score	NA	0.54 (0.21)	0.45 (0.17)
LC-I	1.28 ± 0.04	1.24 ± 0.04**	1.21 ± 0.03 **
HV/TIV	2.38 (0.18)	2.05 (0.34)***	2.15 (0.22)
FAZEKAS Score			
Score = 0/1/2	11/5/1	13/6/2	7/3/6

IATI score: Innostest Amyloid Tau Index (IATI) score was calculated using the formula: amyloid-β42/[240 + (1.18xT-tau)].

AD, Alzheimer's disease; CDR, Clinical Dementia Rating scale; MMSE, Mini-Mental State examination; FCSRT, Free and Cued Selective Reminding Test, maximal score = 48, the FCSRT was not applicable (NA) in atypical AD patients due to aphasia; ROCF recall, Rey figure recall; MATTIS DRS, MATTIS Dementia Rating Scale; WAIS, Wechsler Adult Intelligence Scale; MADRS, Montgomery-Asberg Depression Rating Scale; AChEI, acetylcholinesterase inhibitors; SSRIs, selective serotonin reuptake inhibitors; SSNIs, serotonin-norepinephrine reuptake inhibitors; GCI, global cortical index; PIB-PET imaging was acquired in 17 controls, 21 typical AD, and 13 atypical AD. HV/TIV, hippocampal volume normalized to the total intracranial volume.

<sup>1</sup>The episodic memory score was defined by the sum of FCSRT free and total recall and Doors A test scores (maximal score = 108).

<sup>2</sup>The cognitive parietal score was defined by the sum of denomination, gestural praxis, and visuo-constructive (Rey and MATTIS figures copy) scores (maximal score = 158).

\* $P < 0.05$  between typical and atypical AD,

\*\* $P \leq 0.005$  in patients versus controls,

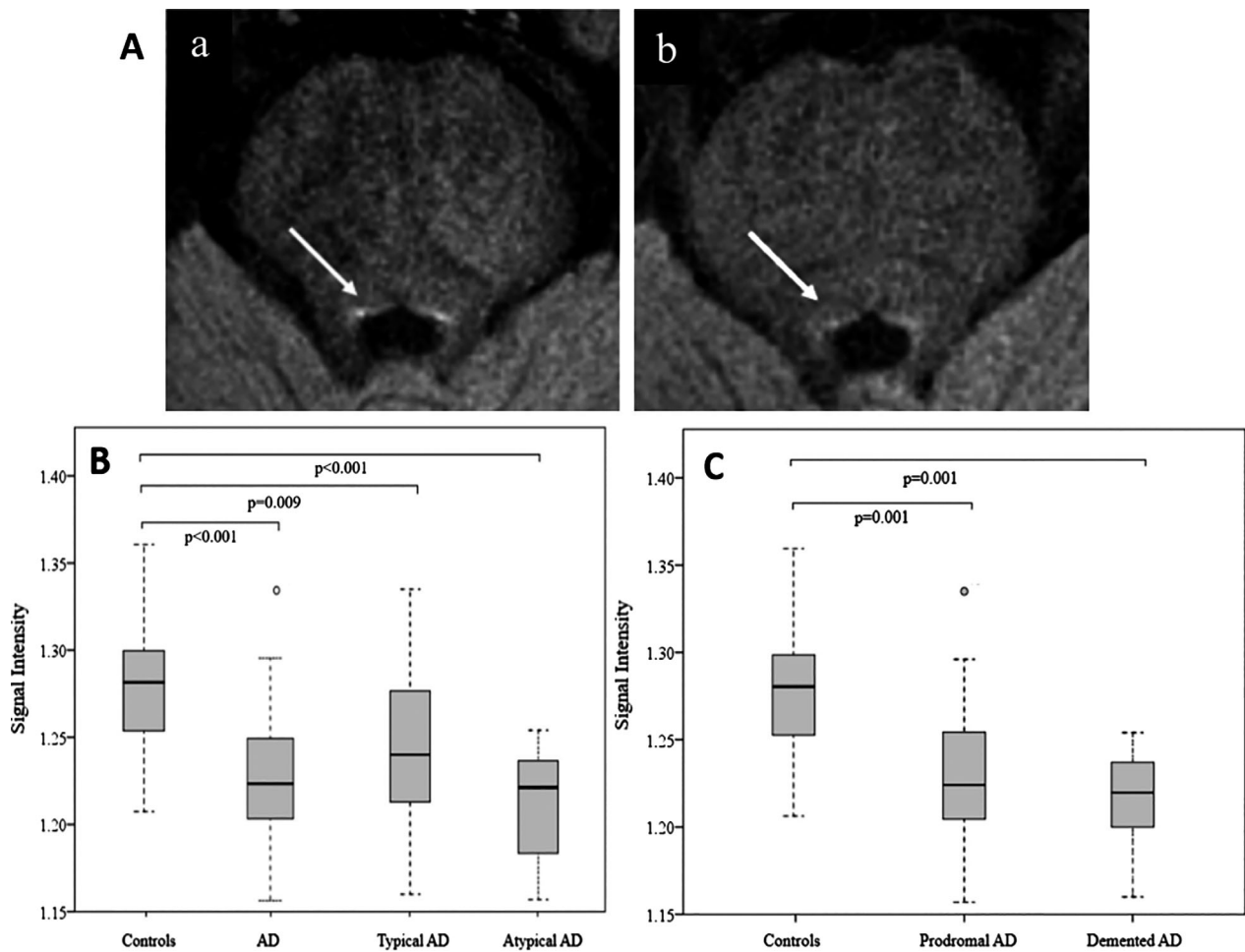
\*\*\* $P < 0.05$  in patients versus controls.

signal intensity. The correlation analyses between signal intensity in the LC and the clinical variables or PET SUVr were performed by using linear partial correlation analysis, which was corrected for age, disease duration, and educational level. The level of statistical significance was set at  $P < 0.05$ . The Bonferroni correction for multiple comparisons was used for ANCOVAs and for all correlations.

## Results

### Locus coeruleus signal intensity (LC-I)

The LC-I was lower in the whole AD group compared to controls ( $P < 0.001$ ). The LC-I was also lower in each typical and atypical AD sub-group ( $P = 0.009$  and  $P < 0.001$ ) (Table 1, Fig. 1A and B. There was no



**Figure 1.** Imaging of locus coeruleus with 3T MRI and Box plot of the locus coeruleus signal intensity across groups. (A) Axial neuromelanin-sensitive T1-weighted images of the locus coeruleus/subcoeruleus (a) in a 64-year-old control and (b) in a 61-year-old patient with prodromal typical Alzheimer's disease, MMSE = 27/30. The locus coeruleus area (arrows) is visible as an area of increased signal intensity. (B) Box plot of the locus coeruleus intensity in controls compared to the whole AD group (typical and atypical AD) or typical and atypical AD subgroups. (C) Box plot of the locus coeruleus intensity in controls compared to prodromal and mild demented AD subgroups. Signal intensity: normalized signal intensity in the locus coeruleus area. Plots indicate median, the boxes indicate the upper and lower quartiles. Whiskers are defined as the lowest (highest) values still within the 1.5 interquartile range from the box. AD = Alzheimer's disease.

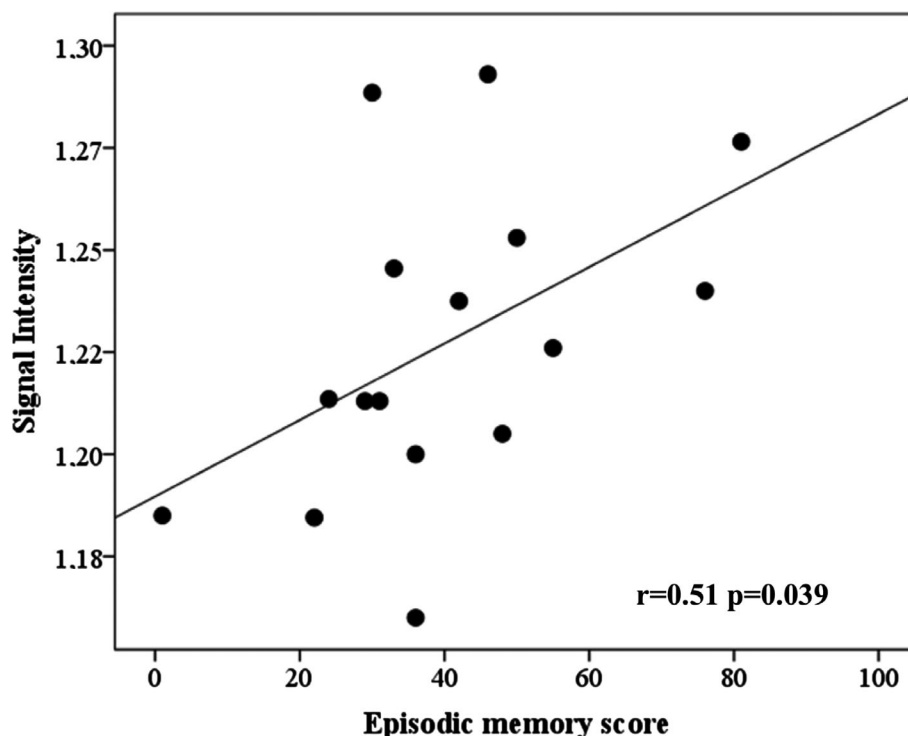
statistical difference between typical and atypical AD patients ( $P = 0.089$ ). We confirmed the absence of significant difference between both AD subgroups with an equivalence test ( $P = 0.000003$ , with a 90% confidence interval contained within the  $[-0.1, 0.1]$  interval of equivalence). The LC-I was significantly lower in prodromal ( $n = 27$ ;  $1.23 \pm 0.05$ ,  $P = 0.001$ ) and mild demented AD ( $n = 10$ ,  $1.22 \pm 0.03$ ,  $P = 0.001$ ) patients compared to controls (Fig1C).

### Correlations between the LC-I and cognition, HV and amyloid load

No significant correlations were found between the LC-I and age or the MMSE score.

We found no correlation between the LC-I and MADRS score (Montgomery-Asberg Depression Rating Scale).

As cholinergic treatment can improve neuropsychological performances, correlation analysis with cognition scores were performed in AD patients who were taking a stable dose of cholinesterase inhibitors ( $n = 31$ ). In typical AD patients ( $n = 16$ ), we observed significant positive correlation between the LC-I and the episodic memory score (sum of the verbal and visual episodic memory scores;  $r = 0.51$ ,  $P = 0.039$ ; Fig. 2). No correlation was found with the parietal cognitive score and executive functions. In atypical AD patients ( $n = 15$ ), we found no significant correlation with any cognitive score.



**Figure 2.** Correlation between the locus coeruleus signal intensity and memory scores in typical AD patients. Signal intensity: normalized signal intensity in the locus coeruleus area. Correlations adjusted for age, educational level, and disease duration.

We verified that removing the six patients who took noradrenergic treatment did not modify our results.

No correlation was found between the LC-I and the HV or the PIB-PET binding.

## Discussion

We investigated the LC-I *in vivo*, which likely reflects LC neuronal integrity, in typical and atypical AD patients (amnesic/limbic and non-amnesic/cortical clinical presentations). The study has two major findings: (1) LC-I was lower in AD patients regardless of clinical presentation, from the prodromal stage, and independently of the amyloid load, (2) the LC-I was correlated with memory performances in typical AD.

Our data are in agreement with neuropathological studies, which have all shown early LC neuronal loss but remained focused on typical AD.<sup>2,4</sup>

We chose strict inclusion criteria based on clinical and pathophysiological AD markers (PiB-PET and CSF biomarkers). We found no difference between the typical and atypical AD subgroups for global or regional amyloid load, as previously described.<sup>17</sup> Because LC damage in AD could begin long before the first symptoms occur,<sup>19</sup> we also chose strict inclusion criteria for the control subjects,

who all exhibited negative PiB-PET, to limit the risk of preclinical AD.

Unlike other previous *in vivo* studies in healthy controls,<sup>20,21</sup> we found no correlation between the LC-I and age. Although this could be due to the low sample size and limited age range, our data are in accordance with recent neuropathological studies that have shown no age-related cell loss in the LC.<sup>9,22</sup>

Only few studies have investigated the LC *in vivo* using the paramagnetic properties of neuromelanin via MRI. Most of them concerned Parkinson's disease, aging or psychiatric disorders.<sup>16</sup> To the best of our knowledge, only three studies investigated the LC-I in AD and two of them showed a decrease in LC signal, without clear conclusions regarding the involvement of the LC at the prodromal stage of the disease.<sup>23–25</sup> These works did not use the same method as ours. First, patients were not included on clinical-biological criteria. Second, the LC-I signal was measured in manually defined ROIs and calculated via the ratio with the adjacent white matter.

As expected, we found correlations between the LC-I and the memory scores in typical AD. The lack of correlation between LC-I and cognition in the atypical AD group could be explained by the more heterogeneous

cognitive profiles in this group, which was characterized by LPA and focal visuospatial deficit.

The absence of correlation between the LC-I and PiB binding is in agreement with neuropathological studies, showing that the LC is an early target of tau pathology, without direct relationship with cortical amyloid deposition. The norepinephrine (NE) system has nevertheless been described as an important regulator of microglial functions that facilitate A $\beta$  clearance; however, the MRI method used here does not allow direct quantification of the cortical NE depletion. Further studies are needed to determine the role of LC alteration in the pathogenesis of AD.

Taken together, this study supports the hypothesis that LC alteration plays a critical role in AD pathophysiology. It has been suggested that neurofibrillary tangles (NFT) in LC neurons may act as an initiator in the connectome-dependent transmission of tau pathology during the progression of AD, through “prion-like” transmission, also called “systemic propagator”.<sup>8,10,26,27</sup> In this scenario, we should have observed a correlation with the HV, which is considered as a neurodegenerative marker in AD. The LC might not be the only source of tau spreading. Some experimental studies in mice have demonstrated that the LC pathway does not exactly replicate tau spreading observed in the human AD brain.<sup>27</sup> The relationships between LC and tauopathy deserves to be tested *in vivo* using new tau PET ligands in a larger longitudinal cohort.

Faced with failures of anti-amyloid therapeutic trials in AD, it is crucial to investigate alternative models to the only amyloid cascade to develop new curative treatments. Our results suggest that targeting the LC system to promote noradrenergic signaling and to possibly prevent neurodegeneration could be an effective therapeutic strategy in AD, with a potential long window of opportunity for therapeutic intervention, as LC neurons can survive for a long time with AD pathology.<sup>10</sup>

## Acknowledgments

The authors would like to thank chemical/radiopharmaceutical and nursing staff of Service Hospitalier Frederic Joliot for the synthesis of [<sup>11</sup>C]-PiB and patient management, the team of CENIR (Centre de Neuroimagerie de Recherche) in Salpêtrière Hospital for patient management during the MRI acquisition. The work was partly supported by grants from the Investissements d’Avenir, IAHU-06 (Paris Institute of Neurosciences – IHU), and ANR-11-INBS-0006. The authors gratefully acknowledge la Fondation pour la Recherche. PO has obtained a grant from La Fondation pour la Recherche médicale (FRM : DEA 41203).

## Authors’ Contributions

PO and AM participated in data acquisition. PO analyzed the data and drafted the manuscript for intellectual content. MS, JL, and MB designed and conceptualized study, analyzed the data, and drafted the manuscript for intellectual content. SL, RV, FC, and PG interpreted the data and revised the manuscript for intellectual content. All authors read and approved the final version of the manuscript.

## Conflicts of Interest

The authors declare that they have no competing interests.

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