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# The subduction plate interface: rock record and mechanical coupling (from long to short timescales)

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Short- and long-term processes at or close to the subduction plate interface (e.g., mineral transformations, fluid release, seismicity and more generally deformation) might be more closely related than previously thought. Increasing evidence from the fossil rock record suggests that some episodes of their long geological evolution match or are close to timescales of the seismic cycle. This contribution uses rocks recovered (episodically) from subduction zones, together with insights from thermomechanical modelling, to provide a new dynamic vision of the nature, structure and properties of the plate interface and to bridge the gap between the mechanical behavior of active subduction zones (e.g., coupling inferred from geophysical monitoring) and fossil ones (e.g., coupling required to detach and recover subducted slab fragments).

Based on critical observations and an exhaustive compilation of worldwide subducted oceanic units (for which the presence near the plate interface, rock types, pressure, temperature, T/P gradients, thickness and timing of detachment can be assessed), the present study demonstrates how long-term mechanical coupling exerts a key control on detachment from the slab and potential rock recovery. Critical assessment of rock T/P characteristics indicates that these fragments can indeed be used as natural probes and provide reliable information on subduction interface dynamics down to ~2.8 GPa. Rock clusters are identified at depths of 30, 55–60 and 80 km, with some differences between rock types. Data also reveal a first-order evolution with subduction cooling (in the first ~5 Myr), which is interpreted as reflecting a systematic trend from strong to weak mechanical coupling, after which subduction is lubricated and mostly inhibits rock recovery.

This contribution places bounds on the plate interface constitution, regular thickness (<300 m; i.e. where/when there is no detachment), changing geometry and effective viscosity. The concept of 'coupled thickness' is used here to capture subduction interface dynamics, notably during episodes of strong mechanical coupling, and to link long- and short-term deformation. Mechanical coupling depends on mantle wedge rheology, viscosity contrasts and initial structures (e.g., heterogeneous lithosphere, existence of décollement horizons, extent of hydration, asperities) but also on boundary conditions (convergence rates, kinematics), and therefore differs for warm and cold subduction settings. Although most present-day subduction zone segments (both along strike and downdip) are likely below the detachment threshold, we propose that the most favorable location for detachment corresponds to the spatial transition between coupled and decoupled areas. Effective strain localization involves dissolution-precipitation and dislocation creep but also possibly brittle fractures and earthquakes, even at intermediate depths.

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## 1. Introduction

Mechanical coupling and material transfer at and across subduction plate boundaries (hereafter the ‘plate interface’) interact on a wide range of spatial and temporal scales ( $10^{-6}$  to  $10^6$  m,  $10^0$  to  $10^{15}$  s), with dramatic consequences for earth dynamics and risk assessment. Although intrinsically weak to accommodate mantle convection during millions of years, strong mechanical ‘coupling’ regularly builds up across the plates, causing (i) recurring mega-earthquakes at the human time scale (in Sumatra, Chile, Japan) and (ii) episodic return, at the million year scale, of slab fragments metamorphosed along subduction at high-pressure/low-temperature (HP-LT) conditions.

The nature, structure and properties of the subduction plate interface (i.e., lithology, geometry, thickness, rheology, and how these change with depth) are still largely unknown, however, due to the lack of direct access and poor imaging beyond a few km depth (e.g. Nantoseize, Japan). And these two short- and long-term ‘coupling’ processes, though taking place along/across the very same plate interface (Fig. 1), are at present studied mostly independently and through very different approaches.

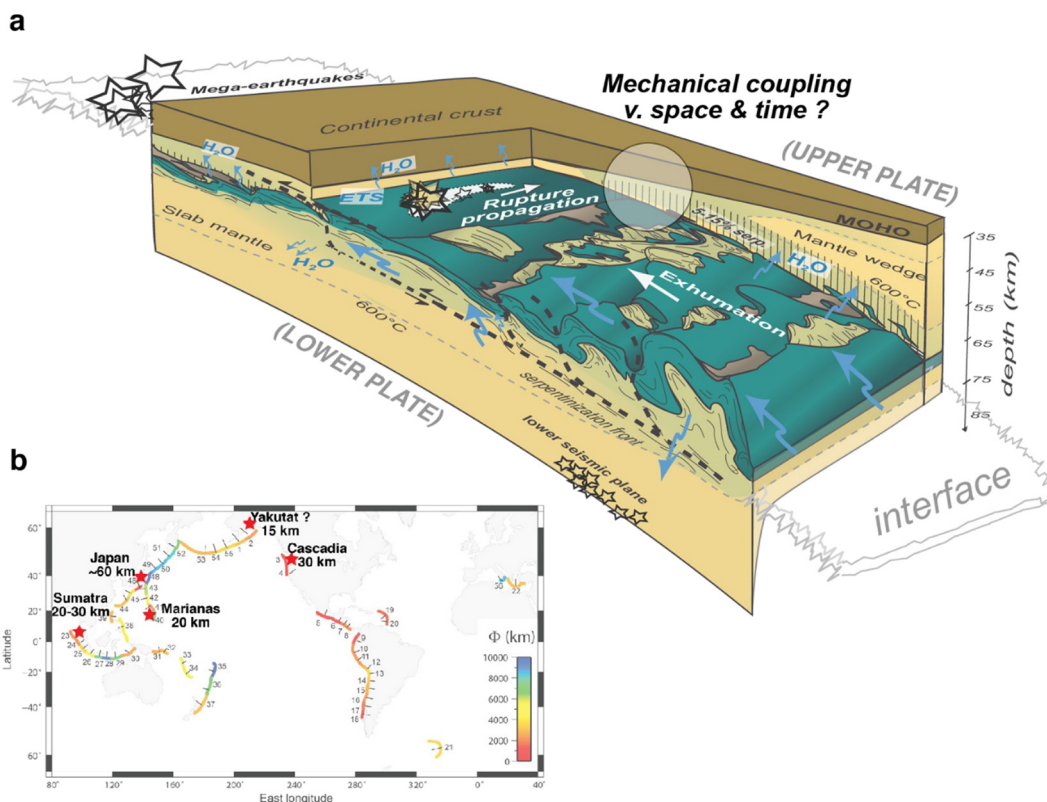
High-end monitoring of active subduction zones and related geophysical studies (i.e., using seismological, GPS, InSAR or magnetotelluric methods) have, over the past two decades, improved our knowledge on short-term mechanical coupling and stress release (Peng and Gomberg, 2010 and references therein; Gao and Wang, 2017) and revealed new types of seismic phenomena, notably slow-slip events (Ide et al., 2007; Schwartz and Rokosky, 2007). Using ad hoc rheological properties of the plate interface (i.e., rate-and-state behavior or brittle-viscous transitions: Scholz, 1998; Gao and Wang, 2014; Fagereng and den Hartog, 2017), many studies focus on mechanical coupling at the scale of (a fraction of) the seismic cycle (Métois et al., 2016; Gao and Wang, 2017; van Dinther et al., 2013; Sobolev and Muldashev, 2017; Corbi et al., 2013; Zheng et al., 2016). At the other end of the spectrum, transient changes in (long-term) mechanical coupling have been invoked for fossil

subduction zones to account for the punctuated recovery of subducted rocks (Maruyama et al., 1996; Agard et al., 2009, 2016; Monié and Agard, 2009; Plunder et al., 2015; Ruh et al., 2015).

What is in common, therefore, between stress accumulation and strain localization during the seismic cycle and stress-strain relationships during the detachment and/or exhumation of rock fragments? For example, rock recovery requires, prior to exhumation, a shift of the plate contact into the slab to detach fragments: could this deformation be operating on the scale of seismic cycles? The mechanical impact of seamounts (whose role as seismic barrier or asperity is much debated: e.g., Cloos, 1992; Mochizuki et al., 2008; Wang and Bilek, 2011; Geersen et al., 2015; Ruh et al., 2016) is expected to last a few hundred thousand years at least: how permanent is ‘coupling’ through time?

That short- and long-term processes might be more readily connected has emerged from studies linking mineral transformations, fluid release and seismicity at or close to the plate interface (i.e., Audet et al., 2009; Audet and Kim, 2016; Hacker et al., 2003; Rogers and Dragert, 2003). There is indeed a growing body of evidence from the (fossil) rock record that at least some of the episodes of their long geological evolution match or are close to timescales of the seismic cycle. Recent findings address very different aspects, such as underplating (Kimura et al., 2010), fluid-rock interactions (John et al., 2012; Penniston-Dorland et al., 2010; Taetz et al., 2018), fluid pressure changes (Vannucchi et al., 2008), element transfer (Audet and Bürgmann, 2014; Fisher and Brantley, 2014) and switches in brittle/ductile deformation (Fagereng, 2011a, Angiboust et al., 2012a; Sibson, 2013; Yamaguchi et al., 2012; Menant et al., 2018).

Using HP-LT rocks recovered from subduction zones as natural probes is presently the only way to gain high-resolution, m- to hm-scale insights on the constitution of the plate interface (Stöckhert, 2002), and on the complex interplay between physical and chemical transformations, deformation and fluids (Agard et al., 2016; Bebout and Penniston-Dorland, 2016; Fagereng and den Hartog, 2017; Wassmann and Stöckhert, 2013 and references therein).



**Fig. 1.** a - Three dimensional cartoon illustrating the intricacy of subduction interface processes, with transient processes operating at very different timescales on the same interface (in a broader sense, across the plate contact): short-lived deformation episodes such as earthquakes coexist with longer-lived movements (e.g., detachment of rocks from the slab, exhumation), calling for a better understanding of mechanical coupling in space and time. b - Locations of present-day subduction zones, where active and/or recent detachment of  $>1$ – $10$  km wide fragments from subducting slabs has been suspected (see text for details; Section 5.3). Background map of van Keken et al. (2011), illustrating the thermal regime of present-day subduction zones ( $\Phi$ : convergence velocity times oceanic plate age). This shows that underplating could occur across a range of thermal regimes, but data is still very restricted. See Section 5.3 for references.

Understanding what these rocks exactly record is therefore of prime importance and, as for absolute earthquake relocation, setting back HP-LT rocks with respect to the plate interface is essential.

The present contribution intends to critically assess the provenance of these rocks, the conditions of rock recovery along the plate interface, the information they preserve and how they help us track mechanical coupling (or any other process) along the plate interface. First, we redefine and review the current knowledge on the constitution of the plate interface (Section 2), the depths from which these rocks are recovered (Section 3) and provide supporting evidence, based on examples studied by our group or others (e.g., Monié and Agard, 2009; Plunder et al., 2015; Wakabayashi et al., 2015), that long-term mechanical coupling exerts a key control on their recovery (Section 4). We then further scrutinize the fossil record (Section 5), based on a comprehensive compilation of pressure (P; converted to depth) and temperature (T) conditions, T/P gradients, timing of detachment and thickness of rock units, for carefully selected localities preserving oceanic fragments sliced off from the top of the slab (i.e.,  $<500$  m away from the plate interface) during long-lasting oceanic lithosphere subduction. Results and new concepts are discussed with emphasis on (i) the evolution through space and time of long-term tectonic slicing, (ii) parameters controlling changes in mechanical coupling and (iii) short- to long-term physical mechanisms leading to effective detachment from the slab and rock recovery (Section 6).

## 2. Plate interface geometry across depths

Not much is known about the constitution of the plate interface at depths greater than a few kilometers (Oncken et al., 2003; Sage et al., 2006; Calahorrano et al., 2008; Contreras-Reyes et al., 2017), and

available images provide a km-scale view at best. The NantroSeize project (e.g., Lewis et al., 2013) has not drilled through the plate boundary yet and will only at most access its shallow part. Absolute earthquake relocation is fraught with uncertainties on the order of 1 to 5 km (e.g., Rietbrock and Waldhauser, 2004), equivalent to those associated with depth assessment of HP-LT rocks ( $\sim 0.05$ – $0.2$  GPa, i.e.  $\sim 0.2$  to 7 km; Plunder et al., 2012). Similarly, assessing the past location and distance of a given blueschist or eclogite facies sample from the plate interface is difficult and rarely done.

Published views on the plate interface geometry (starting from England and Holland, 1979; Shreve and Cloos, 1986; Cloos, 1992) largely depend on whether they are based on field observations or geophysical data (as noted by Vannucchi et al., 2012; see Section 2.2). For the sake of clarity, the glossary of Table 1 and Fig. 2 provide the terminology used throughout the text (notably coupling, detachment, accretion/underplating and exhumation).

The term 'plate interface' is used throughout this contribution to describe the domain between the lower and upper plates, including their boundaries (Fig. 2a,b). We regard this term as less interpretative than 'subduction channel' which, in the literature of the last 30 years, often implicitly conveyed the idea of continuous return flow (e.g., Gerya et al., 2002). Besides, the geometry is so poorly-constrained that there might be areas along the plate interface where there is no channel at all (and/or no 'control point' as defined by Shreve and Cloos, 1986).

### 2.1. From geophysical data

Fig. 2c attempts to encapsulate current views on the plate interface as seen from geophysical data. Structures across depths are increasingly well imaged by seismology (e.g., Abers, 2005; Abers et al., 2006; Bostock

**Table 1**  
Glossary of the major concepts/terms used in the present manuscript.

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**'Plate Interface'** — It is characterized by two tectonic contacts (here named after Vannucchi et al., 2012): the 'roof décollement' (upper boundary) and 'basal décollement' (lower boundary), each of unknown width. These are the hanging wall and footwall of Shreve and Cloos (1986).

**'Plate Interface Thickness'** — It refers to the total width of the plate interface, i.e. comprising the roof and basal décollements and the domain in between (this last one being generally referred to as the 'Channel'). Its value is not known with any precision and could vary from the cm–m scale at shallow depths (Saffer and Tobin, 2011; Vannucchi et al., 2012b) to the km-scale deeper down (as suggested during subduction infancy; Agard et al., 2016).

**'Channel Material'** — This term is restricted to rocks located between the roof and basal décollement (Fig. 2a), whose displacement, during at least part of its evolution, does not coincide with those of the upper and lower plates. This material may be intact (meta)sedimentary sequences or oceanic crust or may be partly disrupted, incorporating various amounts of tectonic slices of variable dimensions, possibly leading to the formation of mélanges (see next point). There might be also virtually no material (in case of zero plate interface thickness).

**'Mélange'** — This is a formation made of mixed rock bodies that are mappable (at 1:25,000 or less) and in which the proportion of blocks to matrix turns out to be <~50–70 vol% in subduction zone environments (Grigull et al., 2012). Unless specified, we implicitly refer to a tectonic mélange (i.e., dismantling of preexisting structures by deformation along the plate interface, regardless of potential inherited heterogeneities) rather than sedimentary mélange (which may turn into a tectonic mélange in the interface; e.g., Cowan, 1978; Cloos, 1982; Festa et al., 2012). The extent to which tectonic mélanges form by mechanical mixing and chemical mixing (i.e. by progressive reactions between the various rock types and/or fluids leading to chemical and rheological changes, with complex feedbacks) is generally unknown.

**'Detachment'** — This designates effective slicing of rocks/units from the slab (irrespective of effective deformation mechanisms or tectonic offsets), which inherently requires a down-stepping of the basal décollement and leads to the net addition of material to the interface. Any detachment requires strain localization into the slab and significant mechanical coupling (see below) across the plate boundary so that some strain is effectively transferred into the slab rather than focused across the plate interface alone (Fig. 2b).

**'Underplating'** — This process corresponds to the net addition (or accretion, in a broad sense) of material to the upper plate, hence involving a down-stepping of the roof décollement and transfer of channel or slab material to the upper plate. This may comprise underplating of incoming (fresh) material or of previously detached material (whether experiencing exhumation or not; Fig. 2b). The term **'Frontal Accretion'** is restricted here to shallow accretion of material, i.e. within the non-subducted accretionary wedge. Subducted material, by definition, corresponds to rocks dragged pass the inlet of the plate interface (Fig. 2a).

**'Exhumation'** — This term describes the upward movement of material within the plate interface (by opposition to burial), whether in the channel or along one of the boundaries (more likely roof than basal décollement; Raimbourg et al., 2007). Exhumation, probably in part 'buoyancy-propelled' (Ernst, 2001), is still not well understood (see Platt, 1993; Warren, 2013 and references therein).

**'Basal erosion'** — This term refers to the removal of material from the upper plate (whether previously underplated or not).

**'Mechanical coupling'** — This is defined, at any given depth, as the integrated resistance across the interface (and along some characteristic distance in 3D). Plates in contact are locally 'increasingly coupled' when not sliding at average plate velocities with respect to each other for some distance and time (**'Partial Locking'** on Fig. 2b), i.e. when the sum of relative displacements across the plate interface (at the roof and/or basal décollement and/or within channel material) is less than required by convergence. Strain distribution is modified whenever plates get 'increasingly (or strongly) coupled', as well as during opposite evolutions. Strain accumulation may induce localization within the downgoing plate (detachment) or the upper plate (basal erosion). We define the **'Coupled Thickness'** (Fig. 2b) as the width across which strain is effectively distributed, such that coupled thickness  $\geq$  plate interface thickness (see Section 6.3). For the sake of simplicity, when the coupled thickness equals the plate interface thickness (i.e., the integrated strength equals that of the channel material and décollements), plates are termed 'decoupled' (somewhat abusively since this does not mean there is no mechanical coupling). This definition of mechanical coupling is similar to the one used in the geophysical literature to describe variations in seismic coupling depth (Tichelaar and Ruff, 1993; Oleskevich et al., 1999) or interseismic/geodetic coupling (e.g., Wallace et al., 2012; Métois et al., 2016). At intermediate timescales, mechanical coupling can be envisioned as the integrated resistance across the whole plate contact (e.g., Agard et al., 2016; Riel et al. 2017). Implicit in all these definitions, increased mechanical coupling means higher stresses along a partly 'locked' portion but not necessarily across the whole plate interface.

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et al., 2002; Paulatto et al., 2017; Saffer and Wallace, 2015), at least in areas illuminated by earthquakes/signals (i.e., trench geometries commonly restrict instrumentation and coverage of the 10–30 km depth range, or 'white zone'). It is nevertheless beyond the scope of this contribution to review the huge progress made over the past twenty years on subduction-zone seismicity, moving from Wadati-Benioff to double (or triple) seismic planes, from regular earthquakes (EQ) to slower ones (Ide et al., 2007; Schwartz and Rokosky, 2007), or on source mechanisms or stress drop estimates (e.g., Gao and Wang, 2017).

The seismogenic zone (or locked zone; ~15 to 25–30 km; Fig. 2c) is bounded by conditionally stable (i.e., potentially velocity weakening) areas into which ruptures may propagate and finally die out (Lay et al., 2012). Its downdip end (~35–40 km, 1–1.2 GPa, ~350 °C) is thought to represent the transition between the brittle field and the domain dominated by plastic deformation. In warm subduction zones, this area is also the locus of episodic tremor and slip characterized by slow energy dissipation at the scale of several weeks (ETS; Rogers and Dragert, 2003), thought to be associated with fluid release beneath an impermeable seal (Audet et al., 2009; Nakajima and Uchida, 2018; Fig. 2c). Fluid and mass transfer between the ETS region and shallower up-dip portions along the plate interface has recently been suggested (Fig. 2c; Fisher and Brantley, 2014; Audet and Burgmann, 2014).

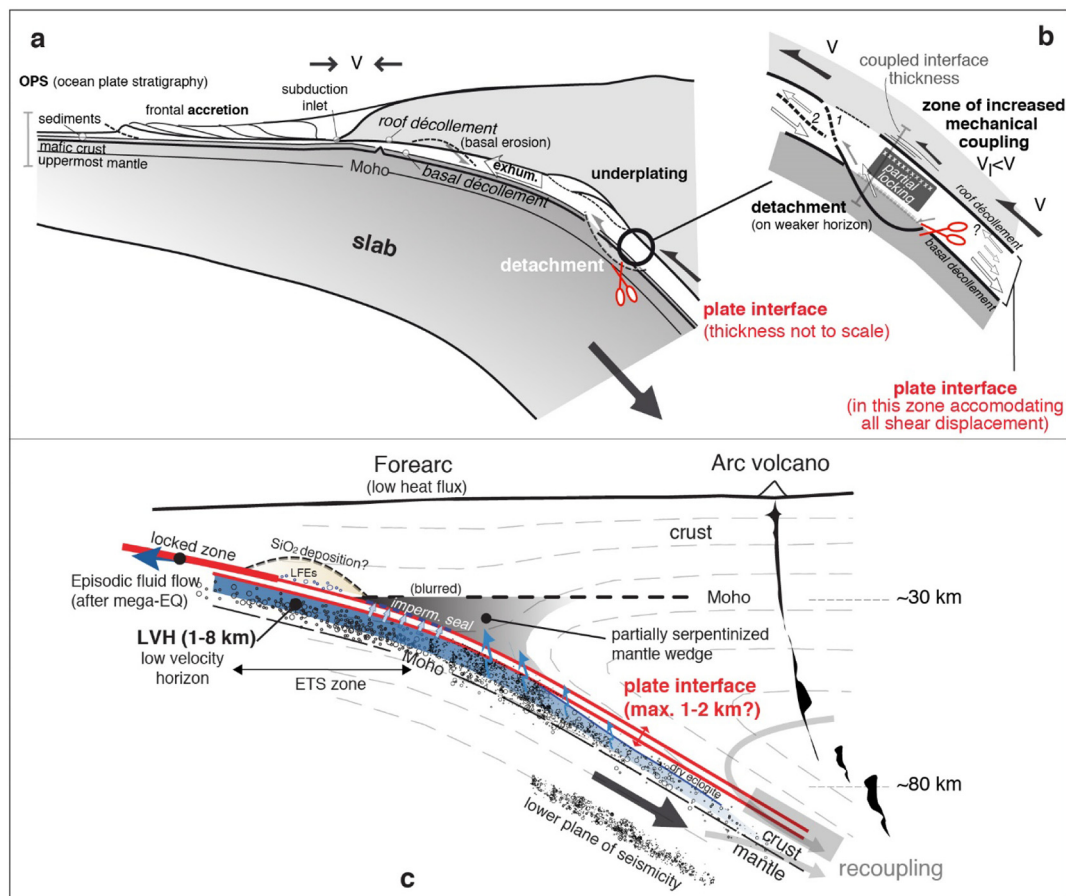
Deeper down, beyond the seismogenic zone, earthquakes are interpreted as within plate because low stresses (Oleskevich et al., 1999) and ductile behavior are thought to prevail on the plate interface (at least when 'decoupled'). The presence of fluids and serpentine minerals, suggesting fluid liberation into the wedge corner, has been argued based on estimates of  $V_p$ ,  $V_s$  and  $V_p/V_s$  ratios. The hydration state of the mantle wedge is nevertheless largely debated (Abers et al., 2017; Hyndman and Peacock, 2003). At some distance above and away from the interface (>20–30 km), mapping of the attenuation factor ( $Q$ ) reveals the presence of fluids and/or melts (Fig. 2c).

The plate interface itself was estimated to be no more than a few kilometers thick (Abers, 2005; Hilairet and Reynard, 2008) but only blurry pictures exist for now. On the other hand, receiver-function techniques have imaged on most subduction zones a low-velocity horizon (LVH) located at the top of the slab and associated with a variety of the recently discovered seismic manifestations (silent-slip, low or very-low frequency earthquakes; SSEs; LFEs; VLFs; Bostock, 2013). The LVH is believed to coincide with the oceanic (mafic) crust, probably its upper portion (i.e., basalts of Layer 2; Hansen et al., 2012). Others have suggested that it may also in part be located in a sedimentary horizon (Abers et al., 2009). The downdip end of the LVH, from ~50 km (Cascadia) to 120 km (NE Japan), correlates well with thermal structure (deeper ones for colder ones) and seems to dip into the slab and die out once the blueschist to eclogite transformation is completed (Fig. 2c; Kita et al., 2006; Rondenay et al., 2008; van Keken et al., 2012). A lower seismic plane, ~20–40 km into the slab mantle, whose origin is still debated (i.e., related to serpentine breakdown or bending), is also imaged for most subduction zones (e.g., Zhao et al., 2009; Fig. 1a).

## 2.2. From geological data

Plate interface thickness, not (yet) constrained by geophysical methods, was estimated for shallow depths (<15 km; Rowe et al., 2013) by inspection of fault drills from subduction environments and exhumed portions. These authors concluded on the existence of a ~100–350 m thick, depth independent multi-strand fault system (outside potentially accreted/underplated material). Though not preventing the possibility of material return, no supporting evidence was found. Such thickness makes steady return flow unlikely, even with deformable plate walls (Raimbourg et al., 2007).

There is no direct information at greater depth, justifying the need for the present compilation (Section 5). The variety of subducted materials is immense, from slow to fast spreading lithosphere or oceanic plateaus with thick crust. Plate interface thickness is likely to be modulated



**Fig. 2.** a - Main (commonly accepted) features of the long-term behavior of a subduction zone, focusing on major contact zones and potential rock movements. The thickness of the plate interface is exaggerated to feature detachment and exhumation. See Section 2.1 for a precise nomenclature and glossary. b - Close-up view on the plate interface itself illustrating the fate of any detached fragment, irrespective of the constitution of the plate interface: detachment from the slab requires (i) at least partial locking of a portion of the interface, potentially inducing (ii) down-stepping of the basal décollement into the slab. Fragments will either get underplated (case 1) or travel back/upward for some distance within/along the plate interface, amongst channel material (case 2). See Fig. 11a for more detailed portrayal of subduction interface dynamics. c - Composite snapshot of an idealized active subduction zone showing the main dynamic processes or characteristics recognized by geophysical methods (see details in Section 2.2). The simplest possible subduction shape is featured here (after Audet and Burgmann, 2014), since this sketch is not meant to encompass the variability of present-day subduction shapes/regimes/peculiarities, and isotherms are purely qualitative. For the sake of simplicity, geochemical exchanges other than fluid liberation (blue arrows) are not shown. Earthquakes swarms (i.e., variably-sized dots) are mostly taken from and modified after Bostock (2012) and van Keken et al. (2012). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

by the type of material/lithology involved and mixing proportions (e.g., Fagereng and den Hartog, 2017). Fluid budgets seem critical and particularly influenced by the hydrothermal alteration of basalts (Spinelli, 2014) and serpentinization at the top of the lithospheric slab (in the first 1–5 km; Fig. 2a; Emry and Wiens, 2015). Variations in ocean plate stratigraphy (OPS, Fig. 2a), particularly for sediments, have been discussed in a number of publications (Wakabayashi et al., 2011, 2015; Kusky et al., 2013; Wakita, 2012), but their impact on subduction mechanics is relatively poorly known (Agard et al., 2016; Behr and Becker, 2018). Frontal accretion, though not considered here (this material does not enter into subduction much, unless aerial or basal erosion proceeds), likely impacts plate interface thickness: since accretion is favored by slower plate convergence (yet mostly independent from incoming sediment thickness, generally between 0.5 and 1 km; Cliff et al., 2004; Syracuse et al., 2010), fast convergence will result in more sedimentary material dragged along the plate interface.

Field-based, idealized views of the plate interface provide more details but generally lack scale and/or information on the distance to the plate interface (e.g., Angiboust et al., 2015; Bachmann et al., 2009; Konrad-Schmolke et al., 2011; Fagereng, 2011a,b; Kimura et al., 2012; Vannuchi et al., 2012; Rowe et al., 2013; Wakabayashi, 2015; Guillot et al., 2009, 2015). It is beyond the scope of this study to describe or re-appraise the merits of all published representations, but most focus on two distinct depth ranges:

- shallow depths <~20–25 km, in Japan (Kitamura et al., 2005; Kimura et al., 2012; Raimbourg et al., 2014), New Zealand (Fagereng et al., 2011), California (Wakabayashi, 2015, 2017) or the Western Alps (Bachmann et al., 2009).
- depths >35–40 km (Ague, 2017; Angiboust et al., 2012b,c, 2015; Bebout, 2007; Guillot et al., 2015; Konrad-Schmolke et al., 2011). For these, constraints from fluid-rock interactions, related mineral textures and detailed geochemistry are increasingly available (Bebout, 2007; Bebout and Penniston-Dorland, 2016), but the exact tectonic setting is less well constrained (save for a few examples; e.g. Monviso massif, W. Alps: Angiboust et al., 2011 and references therein).

Field-based pictures highlight ductile deformation, shear bands or boudinage but differ in terms of strain localization (strongly localized or distributed shear), material behavior/status (coherent slices or variably disrupted mélanges) and fluid regime. Fossil deformation commonly reveals (i) a combination of deformation mechanisms, such as grain-size sensitive creep (mostly dissolution-precipitation creep; Stöckhert, 2002; Wassmann and Stöckhert, 2013) and dislocation creep and (ii) fluctuations between brittle and ductile behavior owing, notably, to changes in fluid pressure (e.g., at the hm-scale: Angiboust

et al., 2015; for large-scale, present-day changes: Wallace et al., 2012). Although essential physical parameters such as permeability or porosity remain largely unknown, many efforts have been devoted over the last 10 years to link long-term deformation to earthquakes (Marone and Richardson, 2010; Andersen and Austrheim, 2006; Fagereng et al., 2011, 2018; Angiboust et al., 2012a).

### 3. Episodic rock recovery from specific subduction depths

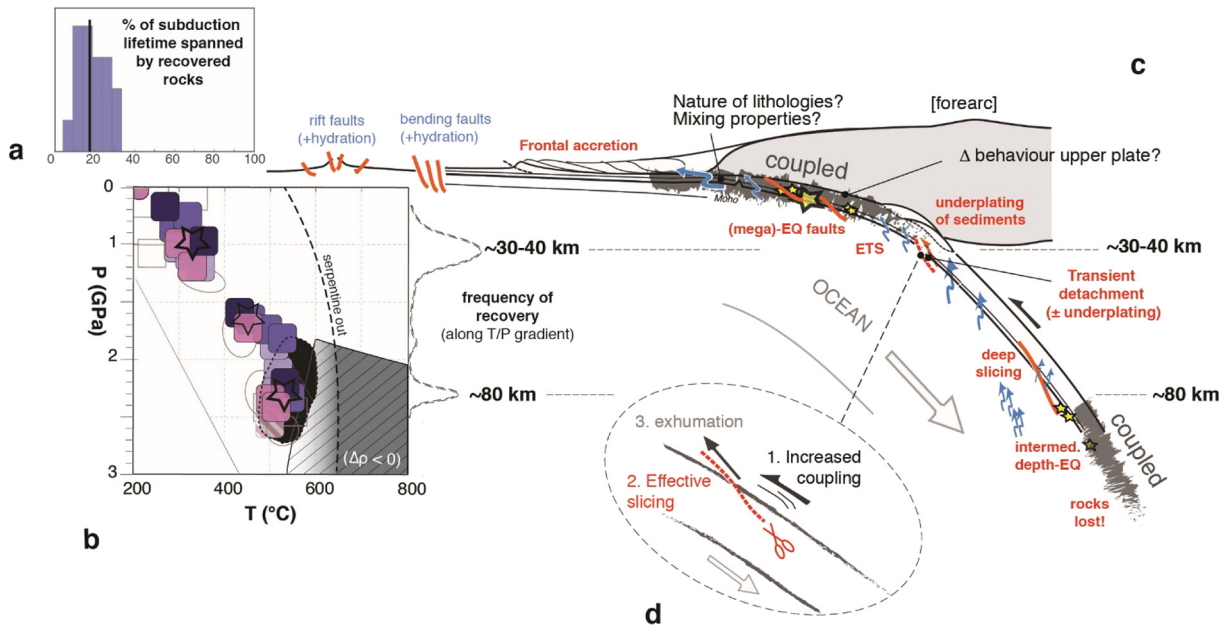
The punctuated exhumation record (Agard et al., 2009; Angiboust et al., 2016; Guillot et al., 2009) shows that rock recovery is intrinsically episodic: subducted rocks are returned over relatively short-lived episodes representing only a fraction of subduction lifetime (<20%, typically during a few Myr; Fig. 3a), and each time across only a small part of the subduction zone length (e.g., <5% for the Neotethys or S. America). Altogether, rock recovery amounts to a few percents at most. This rules out a steady return flow process (a concept mainly derived from numerical models: Cloos and Shreve, 1988a,b; Gerya et al., 2002), at least across a significant part of the subduction history (see also the discussion in Krohe, 2017; Wakabayashi, 2017).

Maximum depths at which exhumed rocks did equilibrate, i.e., reached their peak burial, is likely not random: despite some scatter, two main depth clusters were pointed out by Plunder et al. (2015); Fig. 3b): at ~30–40 km (i.e., downdip of the seismogenic zone) and at ~80 ± 10 km, perhaps with one additional peak at ~50–55 km. The rock record may be biased for shallow depths, i.e. leaving aside rocks not recognized as having once been subducted due to difficulties in assessing P-T values for low temperatures (<~250 °C, or 20–25 km for subduction zone gradients), but diagnostic minerals and thermodynamic models for both metasedimentary and metabasic rocks confidently cover the range for  $P > 4$  GPa and  $T > 250$  °C.

The lesser recovery (or gap) between depths of 30–40 and 80 km spatially coincides with the depth range over which the oceanic slab is juxtaposed against the mantle wedge, beyond the continental Moho (Fig. 3b), i.e. at modelled upper plate temperatures low enough that some rheologically weak serpentine material should be stable (<550–600 °C; Figs. 2c and 3b; Ulmer and Trommsdorff, 1995a,b; Hyndman and Peacock, 2003; Reynard, 2013; Hilairt and Reynard, 2009; Guillot et al., 2015). This lesser recovery, once rocks are in contact with the partly serpentinized mantle wedge, is intuitively consistent with lubrication of the plate interface by serpentinites. We note, however, that serpentine stability depends on subduction thermal structure and may extend down to 100–120 km in cold subduction zones (van Keken et al., 2011) and that no or a very limited number of rocks are recovered beyond ~80 km (Agard et al., 2009; Plunder et al., 2015; Fig. 3b).

The depth range of most exhumed rocks therefore spatially coincides with marked changes in first-order features and mechanical coupling in active subduction zones, as shown in Fig. 3c (e.g., hydration or dehydration sites, mega-earthquakes, ETS, intermediate-depth earthquakes): (i) from the seismogenic zone to a portion below generally considered as decoupled (30–40 km; Peacock and Hyndman, 1999; Lay et al., 2012; Audet and Bürgmann, 2014; Gao and Wang, 2017) and (ii) from decoupled to recoupled depths (~80 km; Wada and Wang, 2009; Syracuse et al., 2010). This observation raises several important remarks:

- does the rock record reliably reflect depths at which rocks get scraped off (hence detachment dynamics) or instead some process preventing the recovery of already detached slices? The latter could be (i) an exhumation barrier, whether geometrical and/or rheological (Brun and Faccenna, 2008), (ii) an efficient dragging along the interface beyond a ‘critical point’ (Shreve and Cloos, 1986) or



**Fig. 3.** a - Percentage of subduction lifetime covered by rocks returned from subduction zones, estimated by comparing the duration of recovery with subduction duration (i.e., ratio of the age range of subducted rocks to subduction duration; after Fig. 8 of Agard et al., 2009). This is by far a maximum estimate of episodicity since only subduction zones for which rocks were recovered are considered, and since along a fraction of subduction zone length shows HP-LT rock fragments (e.g., <5% in both Chile and Zagros, examples). Episodicity of rock return is such that rocks recovered represent most probably <<1% of the total amount of subducted material. b - Compilation by Plunder et al. (2015) of the peak burial of oceanic rocks equated to depth. Stippled area to the lower right: domain where metabasalt becomes denser than and negatively buoyant with respect to the mantle peridotite (adapted from Agard et al., 2009). Superimposed coloring refers to a 50–50% mixture of metabasalt and serpentinite; light grey field: conditions where the mixture is lighter than peridotite; dark grey: conditions where the mixture is denser than peridotite. See discussion in text. Two main clusters (estimates projected on the T/P gradient) are noticeable, with some smearing. c - First-order dynamic processes operating along the subduction zone set back against depth (background profile as in Fig. 2a). Large-scale tectonic features are in red and fluid migration in blue. Zones of maximum (present-day) coupling are indicated: note that the depths of the transitions from coupled to decoupled coincide with depths from which high-pressure low-temperature (HP-LT) rocks are recovered. d - Close-up view emphasizing the need, in order to detach fragments from the slab (and potentially later exhume them), for two key processes: (i) increased mechanical coupling (i.e., at least partial locking of the interface) leading to strain redistribution across the plate boundary and ultimately (although for a transient period only) strain localization within the slab; (ii) effective ‘slicing’, thanks to strain localization mechanisms. Both processes are required, but which one is rate-limiting? (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

result from (iii) subtraction across a given depth range, for example by relamination or diapirism, of sediment and/or hybridized material (Gerya et al., 2008; Hacker et al., 2011; Marschall and Schumacher, 2012; Hacker and Gerya, 2013; Nielsen and Marschall, 2017). Section 4 provides evidence supporting detachment-controlled recovery.

- could episodicity be an artifact due to switches between subduction accretion and erosion, the latter inducing full subduction of the OPS and removal of upper plate or underplated material (Clift and Vannucchi, 2004; Sallares and Ranero, 2005; von Huene and Scholl, 1991)? Subduction erosion, by essence elusive unless some material is later returned (for the few reports of basal erosion: Grove et al., 2008; Angiboust et al., 2018; one recent claim of mid- to lower-crustal material: Zhang et al., 2017), would nevertheless not explain why recovery is depth-dependent and/or why in most cases exhumation pulses seem to be rather short-lived (see below).
- are pressure estimates reflecting correct depths, i.e. what is the extent of over-pressure (e.g., Schmalholz and Podladchikov, 2014)? Its importance in subduction environments is probably minimal, given the consistency between petrological and geophysical observations and the lateral homogeneity/extent of regional-scale exposures, but this point is further discussed in the light of the present compilation (Section 6.1).

Episodicity of the rock recovery (irrespective of depth) suggests the existence of a rate-limiting process. Since down-stepping of the subduction interface into the slab is necessary to scrape off rocks/units, it could be (i) the extent of mechanical coupling between the two plates or (ii) strain localization and slicing mechanisms in the slab (Fig. 3d: 1 and 2, respectively). As slabs undergo extensive deformation prior to reaching depths of 30–40 km (i.e., faults form at or near the ocean ridge, during bending and along the seismogenic zone; Fig. 3c; Ranero et al., 2003; Rietbrock and Waldhauser, 2004), the second hypothesis seems less likely, unless efficient healing mechanisms erase weakness/fault zones beyond 30–40 km. These aspects are examined in the next section and in the Discussion.

#### 4. Evidence that transient changes in mechanical coupling control rock recovery

##### 4.1. Rock recovery linked to changes in regional-scale (>1000 km) kinematics and/or slab dynamics

We consider below two well-documented examples from the Mesozoic, from the Tethyan and Pacific realms respectively.

- (1) Within the Neotethys, across >3000 km (from approx. Turkey to the Himalayas; Fig. 4a; Monié and Agard, 2009), most ocean-derived blueschists were returned coevally from a long-lived subduction system (>100 Myr), over a short time interval <~20 Myr (~90 ± 10 Ma) from depths around 30–40 km (Fig. 4b). These blueschists crop out as tectonic slices with fairly intact OPS sequences.

The similarity of ages supports fresh slicing from the slab rather than recovery from a 'storage' zone: exhumation of previously, diachronously detached pieces would have led to different ages (especially for relatively low-T rocks that did not significantly exceed closure temperature of radiometric systems). It also indicates that detachment was rate-limiting: recovery across such a vast area requires that detachment was triggered by regional-scale (mantle-scale) geodynamic changes (Fig. 4a), as a result of plate reorganization and increase in convergence velocities in the Neotethys (Agard et al., 2006, 2007; Jolivet et al., 2016). Their return from similar depths, close to the downdip end of the seismogenic zone (30–40 km; Figs. 2c and 4b), suggests

changes in long-term mechanical coupling between the upper and lower plates, possibly extending the seismogenic zone downward.

A slightly younger episode of deeper recovery from depths of 50–55 km (at 70–80 Ma; Seghin unit: Agard et al., 2006; Angiboust et al., 2016; Sapi-Shergol: Groppo et al., 2016) is recorded from the same subduction system. Interestingly, in the nearby Sistan subduction zone, a pulse of rock recovery along ~150 km is documented at 85 Ma (Eastern Iran; Broecker et al., 2013; Angiboust et al., 2013; Bonnet et al., 2018): two types of mafic rocks returned from depths of 30–40 and ~80 km are found as m- to 10 m-scale blocks in a serpentinite mélange.

- (2) In the Franciscan complex of California, despite >10,000 km of eastward subduction, rock recovery was limited. It is characterized by small volumes returned shortly after subduction inception (~175–170 Ma; Anczkiewicz et al., 2004; Mulcahy et al., 2018; Fig. 4d), of high temperature eclogites (high-grade blocks; Cloos, 1985; Ukar and Cloos, 2014; Wakabayashi, 2015) or slightly more coherent terranes (e.g., Ward Creek, Goat Mountain, Willow Spring, Skaggs Springs; Wakabayashi, 2015). More volumetrically significant rock recovery and underplating mostly took place during the Cretaceous (Fig. 4c,d). This coincided with large-scale geodynamic reorganization, notably with the reorientation of the Pacific plate at ~125 Ma, the increase in magmatic production in the Sierra Nevada batholith, the switch from erosional to accretionary mode (Dumitru et al., 2010 and references therein) and predated the period of flat slab subduction (85–45 Ma). Underplating was discontinuous in space and time (Cloos, 1985; Dumitru et al., 2010; Wakabayashi, 2015). Stepwise underplating of ~km-thick tectonic slices (Fig. 4c) occurred in the north at ~123 Ma (South Fork mountain schist), 117 Ma (Valentine Springs) and 110–100 Ma (Yolla Bolly terranes) and at 105–80 Ma ~300 km further south, in the Diablo range (Ernst, 1984). All units were returned from similar depths of ~25 km (~0.7 GPa; Fig. 4c) as largely non-disrupted slices. Biostratigraphic constraints for the subducted material demonstrate diachronous subduction and thus sequential underplating, suggesting fresh tectonic slicing from the slab rather than recovery from a 'storage' zone.

A similar example of stepwise underplating is provided by the stacking sequence observed in Southern Chile (Almagro island; Angiboust et al., 2018). Another striking example of large-scale, transient recovery is documented in the Guatemala-Cuba-Hispaniola trench at 120 ± 10 Ma (García-Casco et al., 2008a, 2008b).

##### 4.2. Sequential underplating at 30–40 km depth

Peak burial conditions indicate that the 30–40 km depth range is a favorable locus for detachment of slab material (Fig. 3b). Combined structural and petrochronological data have enabled the identification of stepwise underplating towards the base or below the seismogenic zone ('underplating' in Fig. 3c; e.g., Platt, 1986; Grove et al., 2008; Plunder et al., 2012; Angiboust et al., 2018). By comparison, much less structural information is available for greater depths.

Underplating requires that the main displacement zone within the plate interface shifts from the roof décollement to within the interface, along the basal décollement or within the slab (Fig. 2b). Recent studies outline a threefold sequence for underplating: (i) scraping off (and strain localization into) the top of the downgoing slab to individualize a tectonic slice, (ii) welding of the slice to the upper plate, in several cases to an earlier underplated sliver and (iii) pervasive shearing of the base of the tectonic slice as it transiently occupies a roof décollement position (e.g., Angiboust et al., 2018). All these features suggest



(transient) periods of effective mechanical coupling between the tectonic plates.

This process most commonly involves the uppermost sedimentary section at the slab surface as shown along the Chilean margin or in the Schistes Lustrés complex of the Western Alps (e.g., [Plunder et al., 2012](#); [Willner, 2005](#)), but rocks deeper in the slab sequence may also be involved in duplex structures ([Kimura and Ludden, 1995](#); [Kukowski et al., 2002](#); [Hyppolito et al., 2014](#); see compilation in [Section 5](#)). Ongoing nappe-stacking over tens of millions of years is marked in the rock record by gradually younger deformation ages towards the bottom of the accreted sequence (Franciscan: [Dumitru et al., 2010](#); Chilean Patagonia: [Angiboust et al., 2018](#); Cuba: [Despaigne-Díaz et al., 2016](#), [Despaigne-Díaz et al., 2017](#)).

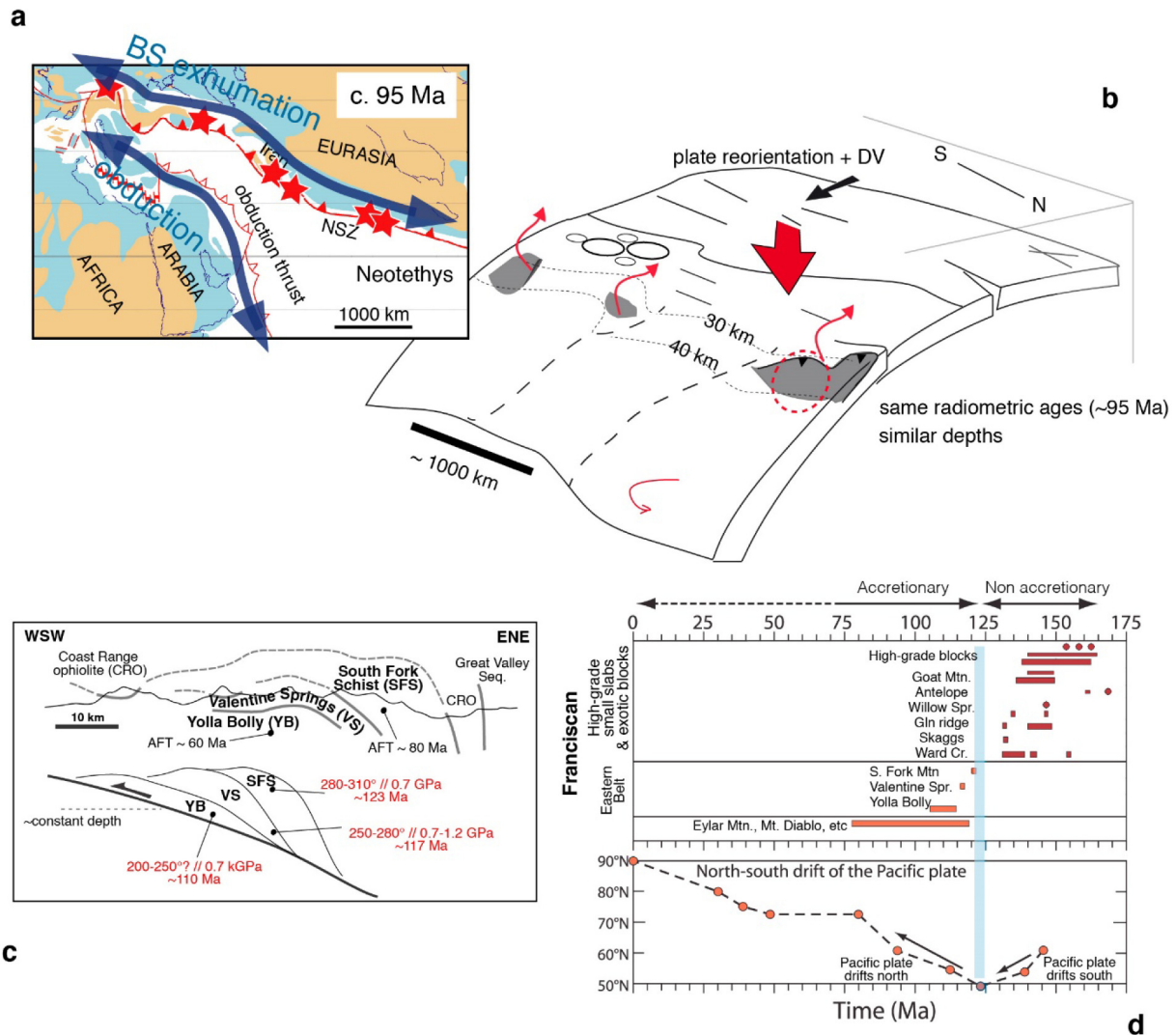
In long-lived margins, this process may lead to the formation of a large antiformal stack structure, eventually cutting across the forearc crust (Central Chile: [Glodny et al., 2005](#); Crete: [Marsellos et al., 2010](#)). Asperities at the slab surface (such as seamounts, extensional allochthons, plateaus) may also undergo stacking, leading to the formation of a composite, interface-parallel body separating the downgoing slab from the forearc lower crust or mantle wedge, with implications

on stress and coupling distribution near the downdip end of the seismogenic zone (e.g., [Menant et al., 2018](#)).

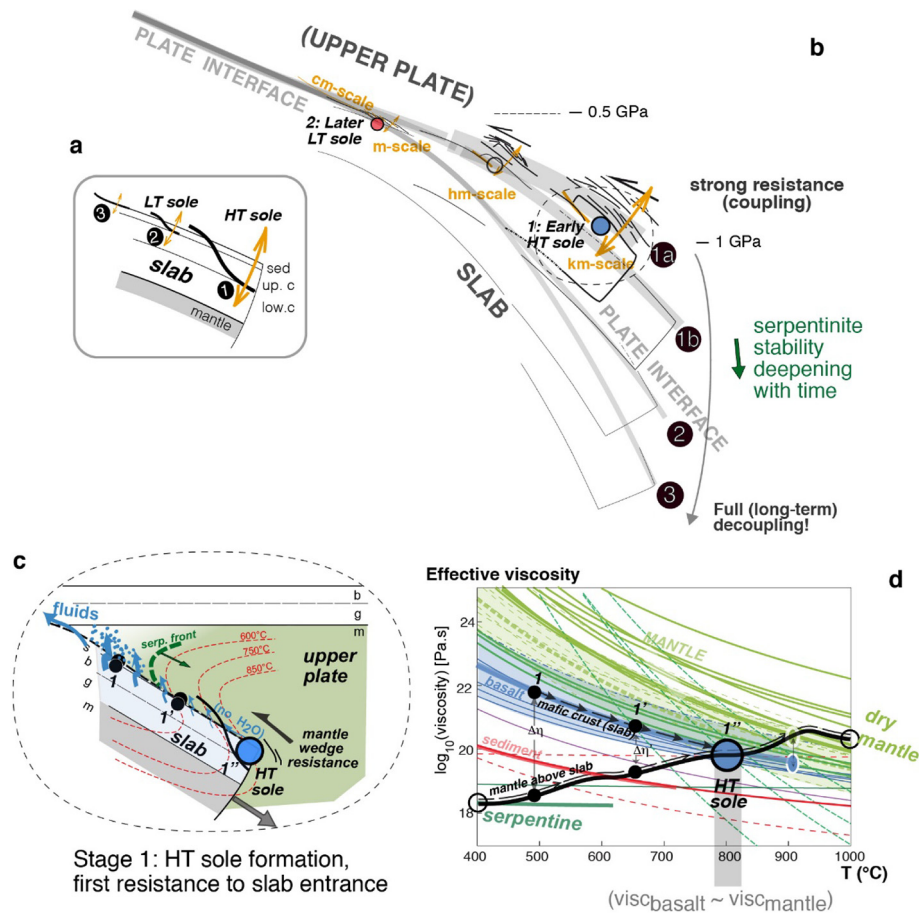
#### 4.3. Detachment and underplating during subduction infancy

One of the best examples of (long-term) mechanical coupling along the plate interface is provided by metamorphic soles ([Agard et al., 2016](#); [Fig. 5](#)), which represent pieces of crust stripped from young slabs and underplated beneath the (nascent) mantle wedge shortly after subduction initiation ([Wakabayashi and Dilek, 2000](#)).

These metamorphosed pieces of crust, scraped off and underplated over  $\sim 1\text{--}2$  Myr ([Rioux et al., 2016](#)), have very comparable characteristics worldwide: the  $\sim 10\text{--}100$  m thick slices of high temperature soles (HT soles) formed at  $800 \pm 50$  °C and  $1 \pm 0.1$  GPa are made of mafic crust, whereas later, lower temperature soles (LT soles, down to  $\sim 600$  °C) have an increasing sedimentary component ([Casey and Dewey, 1984](#)). Progressively shallower portions of the slab are thus being scraped off diachronously across a few Myr and underplating is stepwise ([Fig. 5a](#); [Soret et al., 2017a,b](#)). The mantle above is strongly deformed across  $\sim 0.5\text{--}1$  km during HT sole formation ([Fig. 5b,c](#)) and



**Fig. 4.** Rock recovery linked to changes in regional-scale (>1000 km) kinematics and/or slab dynamics. a-b - Ocean-derived blueschists returned coevally along the Neotethys from depths around 30–40 km. The similarity of ages supports fresh slicing from the slab rather than recovery from a 'storage' zone (see text for details; [Section 4.1](#)). c-d - Stepwise underplating of  $\sim$ km-thick tectonic slices at  $\sim 125\text{--}100$  Ma in the Franciscan complex (123 Ma: South Fork mountain schist; 117 Ma: Valentine Springs; 110–100 Ma: Yolla Bolly terranes). This episode coincides with large-scale geodynamic processes, notably the reorientation of the Pacific plate at  $\sim 125$  Ma, the increase in magmatic production in the Sierra Nevada batholith, and predates the period of flat slab subduction (85–45 Ma). Modified after [Dumitru et al. \(2010\)](#).



**Fig. 5.** Evolution of subduction dynamics during the early stages of subduction ( $\sim 0\text{--}2$  Myr), when a newly born slab attempts to overcome the resistance of the (upper plate) mantle to make its way towards the earth interior. This evolution highlights the importance of fluid release and of the contrasting mechanical behavior of incoming materials. a - The rock record (i.e., metamorphic soles) reveals that the stepping down of the roof decollement into the slab gets shallower and shallower with time, first stripping the whole upper crust (step 1a), then parts of it mixed with minor sediments (step 1b), then only sediments (step 2) and ultimately stops (step 3). b - Evolution of the plate interface as the slab progresses, from largely distributed (km scale) to strongly localized (cm scale). c-d - Slab crust is initially stronger than the shallow serpentinized mantle wedge but weakens with increasing temperature (thick blue line) until it reaches a value similar to that of the drier mantle wedge (evolution 1 to 1"). This induces a strong transient mechanical coupling along the plate interface, which is responsible for the detachment of slab fragments (i.e., the metamorphic soles). For more detail see [Agard et al. \(2016\)](#). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

partly exhumed together with the metamorphic sole. This indicates that deformation is distributed across the whole subduction interface and testifies to the existence of a thick roof décollement during early subduction (stage 1a; [Fig. 5b](#); [Prigent et al., 2018](#)).

In essence, metamorphic soles record initial subduction steps, during which the newly born slab attempts to overcome the resistance of the (upper plate) mantle acting as a buttress (e.g., formation of the HT sole; [Fig. 5c](#)). Comparing effective viscosities shows that the slab crust is initially stronger than the (shallow) serpentinized mantle wedge until it reaches at depth a value similar to that of the deeper, drier mantle wedge (evolution 1 to 1"; [Fig. 5d](#)): the detachment of metamorphic soles and welding to the upper plate marks the fact that, during a relatively short time span ( $<1\text{--}2$  Myr), the slab crust and the mantle wedge on top share similar viscosities ([Fig. 5c,d](#)), which induces strong mechanical coupling ([Agard et al., 2016](#)).

The cooling of the subduction thermal regime has several important consequences:

- (i) at 1 GPa, the mantle wedge is initially stronger than the slab but weakens over time as it cools and as weak hydrous phases, mainly serpentine, are progressively stable deeper and deeper ([Fig. 5b](#)), lubricating the plate interface and allowing for strain localization across an increasingly narrower zone (orange arrows in [Fig. 5b](#)).
- (ii) as mantle wedge serpentinization expands further down, strong

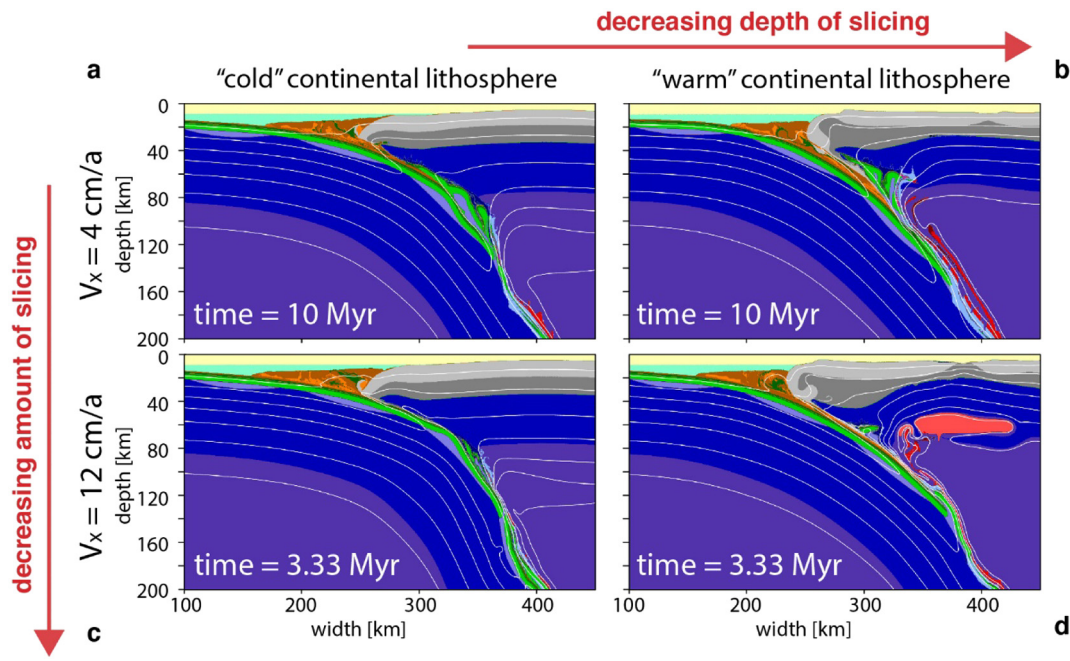
mechanical coupling can only be expected at greater depths, yet rock analogous to metamorphic soles are no longer exhumed. [Agard et al. \(2016\)](#) suggested that high-grade mafic blocks found in serpentinites or lower-T mélanges exhumed early during subduction history ([Agard et al., 2009](#); [García-Casco et al., 2008a,b](#); [Lazaro et al., 2009](#)) may represent such analogues.

- (iii) the stepping down of the roof décollement into the slab gets shallower and shallower ([Fig. 5a,b](#)), first stripping the whole upper crust (step 1a), then parts of it mixed with minor sediments (step 1b), then only sediments (step 2) and ultimately stops (step 3).

Metamorphic soles exemplify the role of transient mechanical coupling along the plate interface. They highlight the importance of fluid release and contrasting mechanical behavior of incoming materials, suggesting that similar mechanisms may also control the transient detachment and subsequent underplating/exhumation of HP-LT rocks in mature subduction systems.

#### 4.4. Detachment of tectonic slices from the slab in numerical models

Using numerical experiments, [Ruh et al. \(2015\)](#); [Fig. 6](#) investigated the effect of upper and lower oceanic plate mantle serpentinization



**Fig. 6.** Influence of lower (and upper) oceanic plate mantle serpentinization on the possibility, amount and depth of slicing of the oceanic crust along the plate interface (after Ruh et al., 2015). The existence of discontinuous serpentinite patches (i.e., violet lenses in the topmost slab mantle, beneath the light green gabbros) allows for strain localization in the slab and detachment of slices. Detachment is promoted by slow convergence and shallower for warmer upper plates. See text (Section 4.4) for details. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

(Figs. 2a and 3b; Ranero et al., 2003; Faccenda, 2014 and references therein; Emry and Wiens, 2015) on the possibility, amount and depth of detachment of the oceanic crust along the plate interface.

In the absence of oceanic mantle serpentinites, models indicate that most of the downgoing slab crust remains attached to the slab mantle and get subducted (Fig. 3 of Ruh et al., 2015; see also Angiboust et al., 2012b). This is consistent with the fact that a mechanically weak zone is needed within the slab (whether inherited or newly formed as a result of progressive strain accumulation and damage) to localize strain. Continuous serpentinization of the upper oceanic mantle, on the other hand, results in complete shallow accretion (Fig. 4 of Ruh et al., 2015; see also Vogt and Gerya, 2014b). Depending on several rheological, mechanical and thermal parameters, discontinuous, patchy serpentinization of the oceanic mantle may or not lead to effective detachment at various depths:

- (i) cooler continental geothermal gradients within the upper plate enhance mechanical coupling and deeper dehydration of serpentinite, favoring deeper slicing of oceanic crust (Fig. 6a,b).
- (ii) larger serpentinite patches (170 km; Ruh et al., 2015) induce shallower detachment, due to the fact that larger patches decrease mechanical coupling between the oceanic crust and oceanic mantle: decoupling within the oceanic slab (atop a patch) occurs when the slab is still at accretionary wedge levels. Tectonic slices underplated at shallow depths (i.e., 20 km, such as the Crescent Terrane along the Cascadia subduction zone; Hyndman et al., 1990; Hirsch and Babcock, 2009) could thus derive from the presence of large serpentinized patches below the oceanic crust. Too small patches (e.g., 42.5 km) do not trigger detachment, since oceanic crustal/mantle decoupling is too weak and stresses built up within the crust are insufficient for strain localization.
- (iii) faster convergence velocities have a negative impact on the detachment of tectonic slices (Fig. 6a,c). A plausible explanation is that the associated colder geotherms extend the forearc serpentinite stability (and lubrication) downward;
- (iv) whenever oceanic crust detaches from eclogitic depths,

exhumation along the serpentinized mantle wedge occurs with velocities from 1 to 10 mm/yr, i.e. comparable to natural observations (Agard et al., 2009 and references therein).

#### 4.5. Mechanical coupling: from long- to short-term

The above examples allow drawing a number of general conclusions:

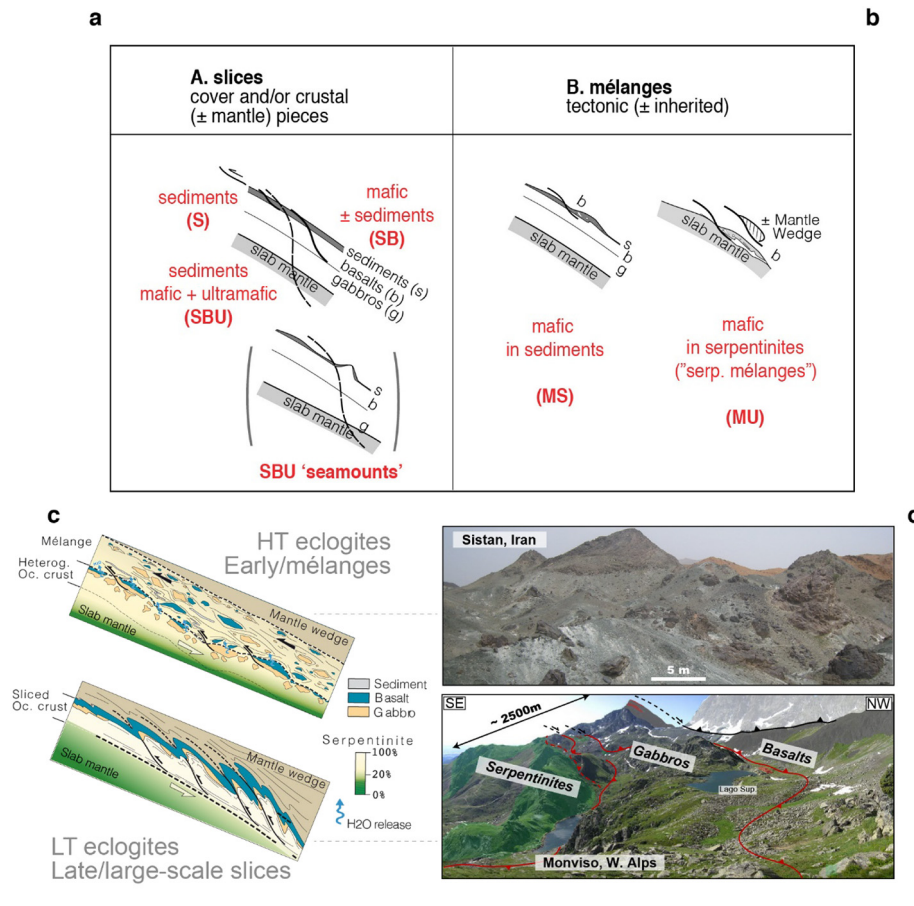
- the punctuated rock record (dominantly from depths  $\leq 30$ –40 km to  $\sim 80$  km) results from the fundamentally transient detachment from the slab (followed or not by underplating of channel material; Fig. 2b), on the order of the Myr or possibly less. Most of the fossil record originates from the downgoing plate.
- rocks/units detached likely correspond to « fresh » material: the absence of a storage zone (at least over more than a few Myr) supports the absence of an exhumation barrier/filter.
- mechanical coupling (rather than the effective slicing) chiefly controls the detachment of tectonic slices from the slab and is controlled by effective viscosities across the plate interface. It will depend on changes in strain rates, kinematics, fluid inputs and/or rock type (Figs. 5 and 6).
- transient (changes in) mechanical coupling and strain localization are essential components of subduction dynamics: how rocks get detached can therefore provide useful information on active deformation processes (i.e., seismic or interseismic/geodetic coupling; Table 1).

#### 5. Further evidence from diagnostic fossil exposures

A systematic survey of diagnostic fossil exposures was performed to scrutinize further the rock record: the above conclusions suggest that this (through the duration and physical conditions of detachment, typical volumes, rock types, structural relationships, or the nature of the incoming plate structure) should help constrain the threshold values for







**Fig. 7.** a-b - Natural examples considered in this study correspond to fragments derived from oceanic slabs (<500 m from the plate interface) for which P-T, timing of peak burial, subduction duration and thickness of the units could be assessed (e.g., Cuba, Chile, Japan, Cyclades, Turkey, Iran, W. Alps, California, N. Caledonia; Supplementary Table 2). Two main groups are distinguished: (i) tectonic slices with recognizable lateral continuity (>100 km) and (ii) mélanges with block-in-matrix structures. Tectonic slices are grouped in metasediments only (type 'S'), metasediments with metamafics usually alternating in varying amounts (type 'SB') or crustal material associated with ultramafic rocks, i.e. with fragments of lithospheric mantle (type 'SBU'; some were identified as seamounts). Mélanges are (mostly mafic) blocks in metasediments (type 'MS'; e.g., most knockers of the Franciscan complex), or (mostly mafic) blocks in serpentinites or serpentinitized peridotites (i.e., ultramafics: type 'MU'; e.g., Cuba). c-d - A common distinction can be made between two end-member types of eclogites (Guillot et al., 2015), although counter-examples exist: (i) LT eclogites, some of which are (large-scale) tectonic slices (e.g., Monviso, W. Alps; see Angiboust et al., 2011); and (ii) HT eclogites sampled from (early) mélanges (e.g., Sistan example; after Angiboust et al., 2013). See Discussion (notably Section 6.2).

basalts exposures are found in seamounts or subducted ridges (e.g., Ecuador, SWIran, locally Sambagawa).

A third type corresponds to crustal material associated with ultramafic rocks, i.e. with fragments of lithospheric mantle ('SBU' type). Ultramafic fragments may be of variable sizes, from the hm- to km-scale (Angiboust and Agard, 2010; Grove et al., 2008; John et al., 2010). Exposures with serpentinites and/or metasomatic mafic-ultramafic horizons along faults only were not considered as part of this category (i.e., Diahot unit, New Caledonia; Cluzel et al., 2001; Vitale-Brovarone et al., 2018).

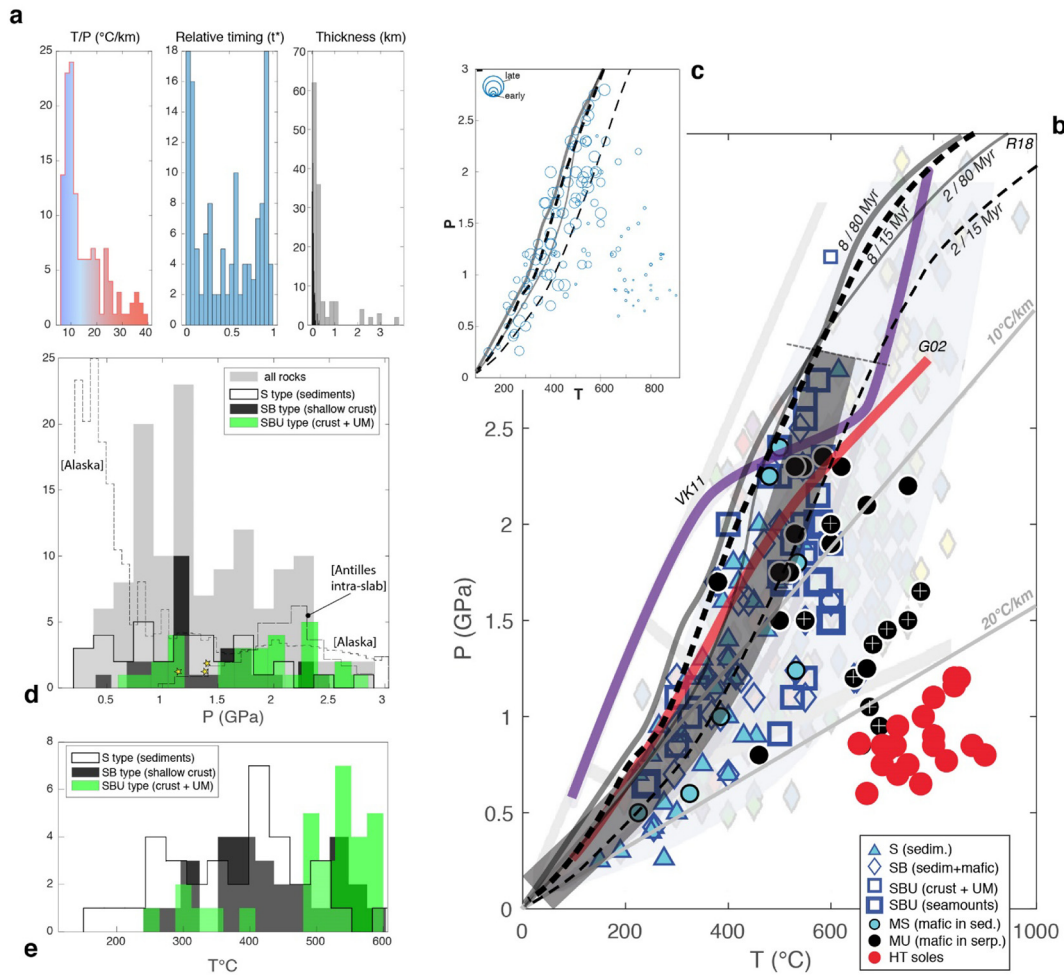
High-temperature metamorphic soles (Section 4.2) range within tectonic slices of SB type, but were distinguished on the plots (e.g., red dots in Fig. 8b): though mainly made of metamorphosed mafic rocks and only minor metasediments, relative proportions can be difficult to assess, as sediments (and to a lesser extent basalts) may have partly melted away (see discussion in Soret et al., 2017a,b).

- (2) Mélanges (Fig. 7b) are block-in-matrix units with matrix/block ratio typically >50 vol% (Grigull et al., 2012; Yamaguchi et al., 2012). A first type of mélange is represented by blocks in metasediments (type 'MS'; e.g., most knockers of the Franciscan complex), whereas a second type, perhaps more frequent, corresponds to blocks in serpentinites or serpentinitized peridotites (i.

e., ultramafics: type 'MU'; e.g., Cuba; Table 2). To which extent the characteristics of these mélanges are controlled by inherited, primary sedimentary features (e.g., Festa et al., 2012; Vitale-Brovarone et al., 2014) is a case by case study (e.g., Wakabayashi, 2017). Some of the mélanges, for example, correspond to strongly deformed tectonic slices, as suggested by the progressive dismantling observed in sedimentary series or SB mixtures in shallow environments (e.g., Shimanto, SWJapan; Chrystalls Beach, N. Zealand). This is the case in SW Zagros, where metabasalt ridges (100 m thick by 500 m long) metamorphosed at ~55 km depth are now 'floating' in a serpentinite matrix (Seghin unit; Agard et al., 2006; Angiboust et al., 2016).

As an example of the difference between tectonic slices and mélanges, two end-member types of tectonic settings can be opposed within the global diversity of eclogite exposures (Agard et al., 2009; Guillot et al., 2015; Fig. 7c,d): (i) LT eclogites commonly recovered as (late) large-scale tectonic slices and more rarely in mélanges (Tsujiomori and Ernst, 2014), whereas (ii) HT eclogites dominantly sampled from (early) mélanges. Potential explanations will be addressed in the discussion (Section 6.2).

Parameters considered here are:



**Fig. 8.** a - Histograms of metamorphic gradients (T/P), relative timing of detachment ( $t^*$ ), and thickness for all samples (see Supplementary Table 2). b - T/P conditions are shown by sample type (see legend). Metamorphic soles clearly stand out. Fragments in serpentinite mélanges (MU type) reaching peak metamorphic conditions early during the subduction history (i.e.,  $t^* < 0.1$ ) are indicated by a white cross (or smaller dots on Fig. 8c). The conditions compiled by Penniston-Dorland et al. (2015; their Fig. 5f), which partly include subducted continental fragments, are shown in the background. Note the cooler T/P gradients ( $\sim < 100\text{--}150\text{ }^\circ\text{C}$  lower) reported here on average for mature subduction (i.e.,  $t^* > 0.1$ ; see also Fig. 8c) and, by contrast, the warmer ones represented by metamorphic soles. Also note the cut-off at  $\sim 2.8$  GPa for oceanic rocks. Typical prograde paths estimated for subduction interface rocks by numerical models are also shown (Gerya et al., 2002: G02; van Keken et al., 2011: VK11; Ruh et al., 2015: R18, this study). R18 and G02 show no significant mismatch with natural data. c - P-T conditions shown as a function of relative timing of all samples: the smaller the dots the earlier the recovery in subduction life time. d - Maximum burial depths shown by type for tectonic slices (see legend for S/SB/SBU types) and for all samples (in grey). These are compared to relocated earthquakes for two characteristic subduction zones (one Pacific, one Tethyan) with an intermediate thermal regime (Alaska, Lesser Antilles; Syracuse et al., 2010). e - Maximum temperatures recorded by tectonic slices only (S/SB/SBU types). Note the bimodal distribution for SB and SBU. The MU type would plot towards higher temperatures (see black dots on Fig. 8b).

- the age of peak pressure equilibration (peak burial), which corresponds to the age of detachment. The timing of detachment normalized to subduction lifetime is noted  $t^*$  ( $0 < t^* < 1$ ).
- thickness: data is very disparate and thickness is rarely estimated. The only systematic study is the one by Kimura and Ludden (1995), but for specific shallow slices ( $< \sim 25$  km). This study extends the approach to the whole fossil record. Unless specifically reported, estimates come from published maps and sections, and unpublished field inspection. We report the thickness of individual fossil fragments, whether large pieces of crust or blocks in matrix. For tectonics slices, particularly metasediments, some could represent stacks (despite attempts to disentangle individual slices or mélanges), so that thickness estimates should be taken as maximum values.
- the nature of sediments (deep-sea, trench or both) or crustal type (basalts only or with some plutonic bodies) are indicated in Table 2 whenever possible. The latter characteristic may not be very important since it is highly dependent on ocean type.
- the homogeneous or heterogeneous character of the mafic/ultramafic fragment (i.e., fast- or slow-spreading).
- the age of incoming material. This is however rarely known with certainty, except for some cases such as the Meso-Cenozoic Pacific

subduction.

- whether they might represent more buoyant material (e.g., ridge, nascent arc), or their recovery be associated with continental subduction or a slowing down of subduction.

## 5.2. Characteristic trends in pressure, temperature, T/P gradient, thickness and relative timing of recovery

The data compiled (see also Table 2) are displayed as histograms of metamorphic gradients (T/P), timing of detachment ( $t^*$ ), and thickness (Fig. 8a). Pressure and temperature conditions are shown by sample type (Fig. 8b) and timing (Fig. 8c), and compared with calculated thermal profiles (Gerya et al., 2002; van Keken et al., 2011; G02 and VK11 in Fig. 8b). Thermal profiles were also calculated for plate convergence rates of 2 or 8 cm/yr and subducting plate ages of 15 or 80 Myr based on the method of Ruh et al. (2015); R18 in Fig. 8b and c). In Fig. 8d, maximum burial depths are shown for the S/SB/SBU type tectonic slices (and for all samples in grey; Fig. 8d) and compared to relocated earthquakes for two characteristic subduction zones with an intermediate

thermal regime (Alaska, Lesser Antilles; Syracuse et al., 2010). In Fig. 8e, the range of temperatures is compared for the S-SB-SBU rock types.

More than half of the metamorphic gradients lie  $<12$  °C/km, with a peak at 9.5 °C/km. Fig. 8b-c reveal the existence of three main types of T/P gradients:  $\sim 25$  °C/km for subduction initiation,  $\sim 15$ – $17$  °C/km for early subduction and 8–12 °C/km for steady subduction. A difference can be noted within the MU category: early ones (black dots with a cross; Fig. 8b; dashed ellipses; Fig. 9a,b) show a higher T/P than the later ones (see also Fig. 8c). Fig. 9c outlines the change of T/P gradient with timing of recovery (by contrast, there is no change in pressure: Fig. 9d).

Oceanic rocks are returned from maximum depths of  $\sim 85$  km (2.8 GPa; Fig. 8b). There is no difference between the mélangé types (MS and MU) in terms of maximum burial, but mélanges with matrix serpentinite (MU) record slightly higher pressure values on average (1.5–2.3 GPa).

Histograms by type as a function of peak pressure (i.e., depths; Fig. 8d) reveal a difference between metasediment-dominated and types with mafic fragments. Type S is rarer beyond  $\sim 1.5$ – $2$  GPa. For type SB, the peak of recovery of slices (with basalt and chert) lies at  $\sim 1$  GPa. A pressure gap (such as noted by Plunder et al., 2015, or Groppo et al., 2016; Fig. 3b) is only observed for type SB and SBU, between  $\sim 1.2$  and 1.7–1.8 GPa (approx. 40 and 55 km). A second peak is observed for SBU at 2.3 GPa ( $\sim 70$  km).

These burial depths can be compared with the seismicity of two active subduction zones for which earthquakes were relocated with a precision of a couple of km (Alaska: by double-difference, courtesy M. Bostock; Lesser Antilles after Paulatto et al., 2017), hence with a comparable or lower uncertainty than the estimates of metamorphic pressure (whereas EQ locations in the ISC catalogue, for example, tend to be larger). The range of depths reached by SBU broadly coincides with the envelope of intermediate-depth seismicity. The SB and SBU types

show a bimodal distribution with respect to temperatures (in some way comparable to the plot as a function of depth, considering the average T/P gradient is  $\sim 9.5$  °C/km), which match the temperature range of the brittle-ductile transition ( $\sim 350$  °C) and of partial de-serpentinization (550 °C).

The timing of recovery of these rocks ( $t^*$ ; Fig. 8a, middle panel) is highly variable but shows that early and late recovery dominate (grey overlays on Fig. 9b-d). Intermediate peaks show that incidental recovery is also significant (Agard et al., 2009), as further illustrated by the dispersion of points along the vertical axis in Fig. 9b-d. As mentioned above, early ones have (quite logically) the highest T/P (Fig. 9b). Fig. 8c also shows that steady subduction is rapidly reached ( $<5$  Myr).

There are a few long-lasting systems with underplating  $<0.5$ – $0.8$  GPa, along the North American margin (Franciscan, 123–80 Ma; see Section 4.1) and SW Japan subduction zones (i.e., the successive Chichibu-Sambagawa-Shimanto belts, from the Late Jurassic to early Cenozoic). For these examples deep recovery exists too, but only early on and in mélanges (MU or MS).

Rock fragments are mainly metasediments and metabasalts, and only rarely gabbros, suggesting that what is sampled corresponds mostly to the top of the slab, except for SBU fragments. The contrast between the fairly anhydrous gabbros and the more strongly hydrothermalized and therefore hydrated basalts probably allows for a major tectonic decoupling between the two. In general, returned fragments correspond to rather thin slices (Fig. 8a, right panel), in line with former findings of Kimura and Ludden (1995;  $<200$  m; black overlay), though hinting towards higher values ( $<400$  m; see also Fig. 9e).

Thicker types are slab pieces comprising crustal and lithospheric fragments (SBU; Fig. 9e-h), and are restricted to  $<4$  km. Maximum thickness for the metasedimentary type (S) is  $\sim 700$  m. No blocks or units thicker than  $\sim 100$  m are found for the highest temperature pieces (Fig. 9f) or T/P gradients (metamorphic soles plus early mélanges; Fig.

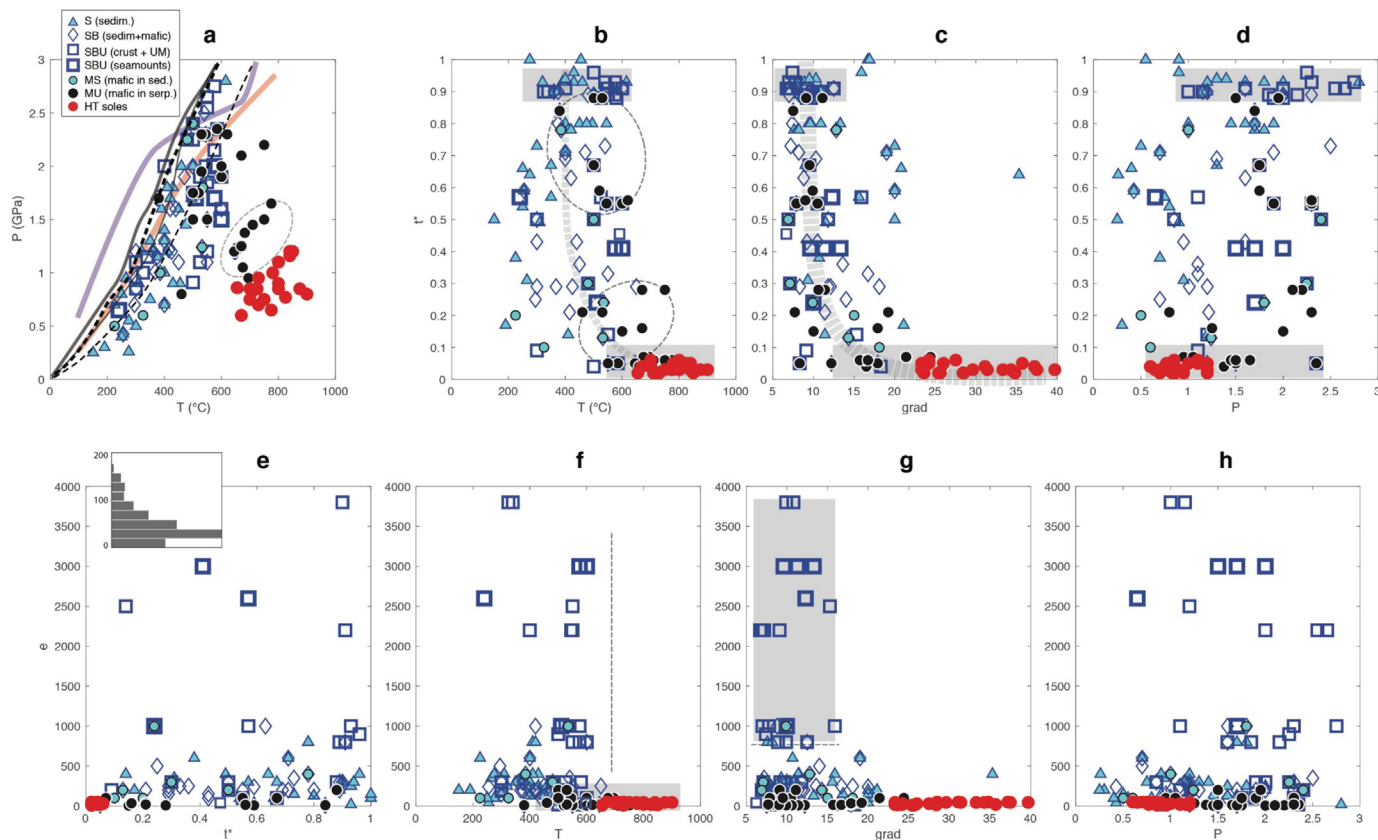


Fig. 9. a - Same as Fig. 8b (but with fewer details) to compare with Fig. 9b-h. b-d - Timing of recovery of the subducted rocks with respect to other parameters. e-h - Evaluation of a possible relationship between thickness and  $t^*$ , T, T/P or P. See text for detailed comments.



9g). The underplating of metamorphic soles, however, probably involves significant thinning (Soret et al., 2017a,b). This may also be the case of units involving only metasediments (Plunder et al., 2012; Fagereng, 2013, in Rowe et al., 2013). No correlation is observed between peak burial and thickness.

As pointed out by Grigull et al. (2012), subduction mélanges are such that matrix (serpentine or sediments) largely dominates over blocks. Block sizes of MU type are nevertheless somewhat larger when mélanges are less chaotic (Despaigne-Díaz et al., 2017).

No major difference exists between the subducted material types identified by Maruyama et al. (1996), i.e. 'type B' represented by Japan and the Franciscan complex ('active continental-margin protoliths in an accretionary complex characterized by bedded chert, MORB and ocean-island basalts, reef limestones, and graywackes') or the 'type A' by Samana Peninsula and the Western Alpine Schistes Lustrés ('passive-margin protoliths characterized by platform-type carbonates, bimodal volcanics, and peraluminous sediments'), except for slight differences in maximum burial, mostly <2 GPa for type A and mostly <1 GPa for type B.

### 5.3. Present-day examples

Evidence for present-day detachment and/or underplating is scarce. Geophysical imaging is blurry, particularly in the 10–30 km depth range (Saffer and Wallace, 2015), and only a handful examples have so far been reported (see below and location on Fig. 1b). There could be more since local seismic networks have been deployed only across a limited number of subduction zones (e.g., Toda et al., 2008). However, with only a few percents detached in the rock record (Section 3), one should expect less than ~500–1000 km coeval detachment along the 55,000 km of active subduction zones, which may easily go unnoticed.

Suspected underplated pieces of crust ± mantle (S or SBU type, which may or may not ultimately be recovered) include:

- North and South America: (i) Yakutat: ~5 km of sediments underplated at ~35 km depth (Chuang et al., 2017); (ii) Cascadia: ~5–10 km thick at 25 km (Calvert, 2004); (iii) Costa Rica: 3–4 km at ~15 km depth (St Clair et al., 2016).
- Japan: (i) SE Japan (Kanto region): ~10 km thick, 25 km by 100 km fragment (Toda et al., 2008) and km-thick sedimentary slices at ~10–15 km depth (Kimura et al., 2010); (ii) Nankai: 10–15 km of underplated material at ~30 km depth (Kodaira et al.).
- Sumatra: 10–15 km at ~30 km depth (Singh et al., 2008, 2011).

Return of HP-LT rocks in active subduction zones are scarce too and do not (yet) bring much information on these processes: fragments returned by shallow serpentinite diapirs were metamorphosed long before exhumation (e.g., ~48 Ma for the Marianas; Maekawa et al., 1993; Pabst et al., 2012; Tamblyn et al., 2018), suggesting that these pieces stagnated at sub-surface depths before being plucked by the diapirs.

## 6. Discussion

### 6.1. Conditions of rock recovery: pressure, temperature, T/P gradients, thickness and timing

#### 6.1.1. T/P gradients: no significant overpressures

Fig. 8 demonstrates that very consistent T/P gradients are observed for all rocks recovered significantly after subduction initiation (Fig. 8c). This observation rules out significant overpressure (Schmalholz and Podladchikov, 2014; Yamato and Brun, 2017; Marques et al., 2018), as already hinted by Warren (2013)— or would imply systematic overpressure in a very consistent way for all rocks.

#### 6.1.2. Trustable natural probes for the plate interface

Our compilation suggests that P-T trajectories of recovered rocks are those expected for subduction interface conditions. Doubts were cast by the suggestion that rocks may preserve higher T than numerical models (Penniston-Dorland et al., 2015; van Keken et al., 2018). Yet the present compilation shows that the high T/P steady state gradients are less dispersed than suggested by these authors and that rocks may not be significantly warmer than models (e.g., the steady metamorphic T/P gradient and that of Gerya et al., 2002 are close; Fig. 8b), especially given uncertainties on the models. Predictions from the four thermo-mechanical models calculated here (R18; Figs. 8b,c) match in fact quite well the rock record. The consistency of T/P gradients for oceanic remnants (Fig. 8a,b) also suggests that the thermal impact of later exhumation-related processes is only moderate. The apparent discrepancy in Penniston-Dorland et al. (2015) probably comes from the fact that the timing of recovery ( $t^*$ ) was not considered and that subducted continental fragments were considered along with oceanic rocks in their compilation (as well as in Brown and Johnson, 2018), for which higher temperature and greater thermal imprint may result from subduction slow down and exhumation (e.g., O'Brien et al., 2006). In theory, part of the T mismatch (if any) could arise from the fact that rocks were not located exactly along slab tops or may correspond to minor additional T sources (e.g., shear heating, though likely <50 °C; van Keken et al., 2018). A significant contribution from rock convection within a 'subduction channel' would conflict with the punctuated character of exhumation, and its independence from convergence rates (Agard et al., 2009; Penniston-Dorland et al., 2015).

#### 6.1.3. Maximum depths

Fig. 8b shows that there is a depth cut-off at ~2.8 GPa: beyond that point, no deeper oceanic rocks are recovered in general (in line with Agard et al., 2009; Whitney et al., 2014). The only exception so far (Fig. 8b) is a 10–50 m thick UHP slice (Lago di Cignana; Reinecke, 1998), from the Zermatt-Saas unit in the W. Alps, equilibrated at 3.2 GPa and 600 °C (Frezzotti et al., 2011; Groppo et al., 2009). This occurrence nevertheless lies within the domain where a 50–50% mixture of metabasalt and serpentinite (as reported throughout the Zermatt-Saas unit; Angiboust and Agard, 2010) is more buoyant than the surrounding mantle (see Fig. 3b). Pieces comprising only serpentinites, equilibrated at similar depths, have also recently been reported (Luoni et al., 2018). Rocks recovered from greater depths in other compilations are all continental.

Tentative explanations for this depth cut-off beyond 2.8 GPa include, separately or combined (i) density increase (rocks become too dense with respect to the mantle; Agard et al., 2009; Fig. 3b), (ii) slab density and effect on slab pull (Angiboust and Agard, 2010; Duesterhoeft et al., 2014) and (iii) viscous recoupling processes dragging down rocks irreversibly into the mantle once serpentinite is no longer stable (Syracuse et al., 2010; Wada and Wang, 2009). Mechanical recoupling at depth, invoked by numerical models to match heat fluxes (Wada and Wang, 2009; Syracuse et al., 2010; Abers et al., 2017), is inherently required by plate tectonics. Cold subduction zones, where serpentinite could may reach 100–120 km depth (Reynard, 2013; van Keken et al., 2011), may extend recovery somewhat deeper (such as for the Lago di Cignana exposure from the W. Alps cold subduction).

#### 6.1.4. Preferential depths for recovery?

Although similar depth peaks are observed as in Plunder et al. (2015) and Groppo et al. (2016), the distribution appears less clustered in our exhaustive compilation (Fig. 8d, grey histogram), with peaks at ~25–35 km, 55–60 km and 80 km. Outstanding features are the large overall peak at 25–35 km and the sharp drop in recovery beyond 80 km. Lesser recovery in between the peaks may in part relate to differences in rock type (these differences can also be seen in single localities: e.g., Krebs et al., 2011): (i) slab fragments (SBU type) mostly detach in the depth range of intermediate-depth intraslab earthquakes, when

comparing depths with seismicity for two subduction zones with intermediate thermal regime (Fig. 8d; Alaska: Pacific type; Lesser Antilles: Tethyan type); (ii) the SB-SBU types also probably detach at non-random temperatures (Fig. 8e); (iii) metasediments, by contrast, show no clustering at all.

Some of the data dispersion may well reflect the variability of thermal regimes and therefore of decoupling/serpentinization depths in the mantle wedge (from ~55 to 110 km; van Keken et al., 2011). Since serpentinization is <20% for cold subduction (Abers et al., 2017), one may also anticipate the presence of an only thin serpentine horizon in these settings which, if tectonically dismembered, would affect mechanical coupling (see Section 6.4).

Importantly, synchronous bimodal recovery from 25 to 35 km and 70–80 km is observed in the Sistan (Bonnet et al., 2018), Sambagawa (Aoki et al., 2009) and Franciscan complexes (e.g., San Simeon and W. Creek; Ukar et al., 2012). This observation suggests that increased mechanical coupling can take place coevally along the whole first 80 km of the plate interface, with preferential strain localization and detachment in places where mechanical coupling and viscosity contrasts are the highest (Figs.3b).

### 6.1.5. Punctuated, but when and what?

Punctuated recovery with respect to subduction history (i.e., early, late, incidental: Agard et al., 2009) points to long periods of insufficient effective detachment (thus low mechanical coupling) alternating with periods during which transient processes and/or specific boundary conditions prevail. Recovery is generally less than a few percents and rarely reaches a few tens of percent (mostly via underplating; e.g., Kimura and Ludden, 1995; Willner et al., 2005; Plunder et al., 2012), even for examples showing longer recovery (Franciscan, Japan, W. Alps, Rio San Juan; Table2).

Although mostly <~300–500 m (Fig. 8a), some very large fragments can be recovered, such as seamounts, thick MORB fragments, or slow-spreading pieces once located near hyper-extended margins (notably in the Western Alps). However, massive transfer of channel material across the plate interface via deep diapirs, hypothesized on the basis of thermo-mechanical or geochemical arguments (see Behn et al., 2011; Codillo et al., 2018; Marschall and Schumacher, 2012; Nielsen and Marschall, 2017), has not been documented. Formation of large-scale diapirs, if any, has to occur deeper down (Bayet et al., 2018; this probably holds true also for large-scale relamination in a subduction context; Hacker and Gerya, 2013). Serpentinite mélanges (Blanco-Quintero et al., 2011) could nevertheless represent examples of restricted diapiric ascent during early subduction (see Section 6.2), but not in a steady state convective fashion.

Although young-warm and/or slow fragments may be recovered (van Keken et al., 2018), as for metamorphic soles at the start of subduction, this is by no means a pre-requisite: there are also many old/cold fragments, as shown by Fig. 8c (e.g., Western Alps or other Tethyan examples). Recovery is rather promoted by subduction of heterogeneous low-spreading lithosphere and/or asperities (seamounts: Ecuador; ridges: SWJapan; seafloor fragments: Lagabrielle et al., 2015). Heterogeneities are indeed essential to localize strain (Gorzyk et al., 2007; Ruh et al., 2015; Fig. 6), so that model calculations of T/P gradients with heterogeneous slab structure should be considered.

## 6.2. Long-term interplate mechanical coupling

### 6.2.1. Long-term trend with subduction cooling

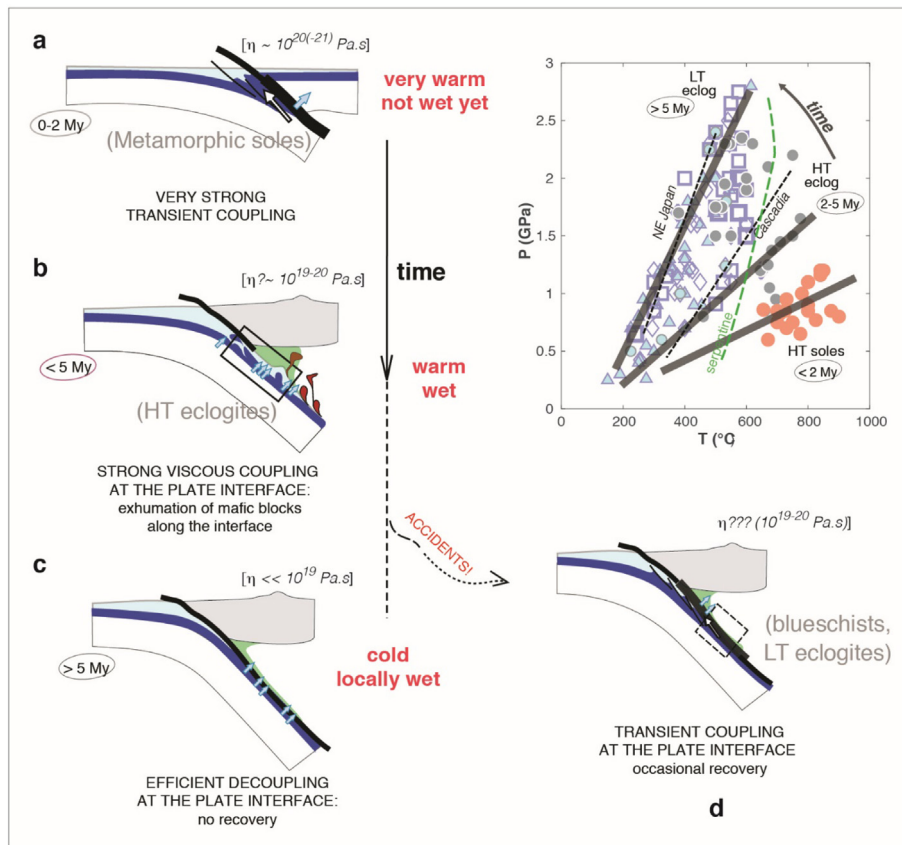
The present compilation outlines the existence, superimposed on transient/occasional recovery, of a systematic long-term trend associated with subduction cooling, from metamorphic soles to HT eclogites and then LT eclogites (Figs.8c and 10). We relate this trend to an evolution of mechanical coupling, from strong to weak, across the subduction interface as subduction cools (Fig. 10, inset):

- When young and still relatively dry, the plate interface experiences strong viscous coupling as testified by underplating of metamorphic soles (Fig. 10a; Section 4.2). The effective viscosity of the plate interface is estimated to be on the order of  $10^{20}$  (to  $10^{21}$ ) Pa·s during these stages (Fig. 5d; Agard et al., 2016).
- During subsequent subduction cooling (first ~1–5 Myr; Fig. 10b), high-temperature eclogites (or HP amphibolites) form, mostly in serpentinite mélanges (MU; Fig. 8b). These HP tectonic mélanges require significant mixing along the interface, which is favored by strongly altered and/or warm (or young) incoming lithosphere and coupling at m-hm scale with the mantle wedge (e.g., Sistan: Angiboust et al., 2013; Cuba: Garcia-Casco et al., 2008b; Lazaro et al., 2009). Effective viscosities could be in the range  $10^{19-20}$  Pa·s. Similar conditions might prevail beneath warm subduction zones today and reflect how mechanical recoupling takes place at depths (e.g., 600–800 °C at ~60–90 km depending on the thermal regime; Syracuse et al., 2010; Abers et al., 2017). In the present understanding, metamorphic soles form in nascent intraoceanic subduction zones (Dewey and Casey, 2013; van Hinsbergen et al., 2015; Wakabayashi and Dilek, 2000). Their formation is less likely in the context of subduction initiation beneath a continent, since the sub-continental mantle (i.e., the future mantle wedge) is comparatively colder and more hydrated. For the same reason, we infer that the recovery of HT eclogites is less likely in ocean-continent contexts, at least for those with a cold continental geothermal gradient (see also Ruh et al., 2015).
- During steady-state subduction, the locally fluid-rich, colder (older) and weaker plate interface ( $\eta < <10^{19}$  Pa·s: Grigull et al., 2012; Wassmann and Stoeckert, 2013; Freed et al., 2017) is ‘decoupled’ (Table1; Fig. 10c), similar to most subduction zones today. Only occasional ‘accidents’ (Fig. 10d) result in the detachment of metasedimentary material and, more rarely, large-scale slivers of oceanic lithosphere. These accidents are only possible if décollement horizons exist within the crust (Kimura and Ludden, 1995) and/or if the oceanic mantle is partly serpentinized (allowing for the decoupling within the downgoing slab for SBU fragments; Fig. 6; Ruh et al., 2015; Cannao et al., 2016). Some of these fragments are selectively exhumed at the end of oceanic subduction, as a result of the entrance of a buoyant continent (e.g., Agard et al., 2009). This cooling trend is recorded in the Rio San Juan fossil subduction (active from ~125 to 70 Ma; Table2), with m-scale fragments equivalent to metamorphic soles formed at ~120 Ma (and ~30 km), fragments transitional between HT and LT eclogites formed at 105 Ma (and 75 km) and the later recovery, between ~80 and 70 Ma, of mostly blueschists and LT eclogites from depths of 25–35 km and ~55 km respectively (Fig. 5 in Krebs et al., 2011). This cooling trend is also consistent with the one observed in Santa Catalina island in the Franciscan complex (Grove et al., 2008; Penniston-Dorland et al., 2018; Platt, 1975).

### 6.2.2. Differences for cold or warm settings?

Depending on thermal regime and on the thickness of the serpentinite horizon above the plate interface, we predict the following differences in the way (long-term) mechanical coupling may operate in cold or warm settings (Fig. 11):

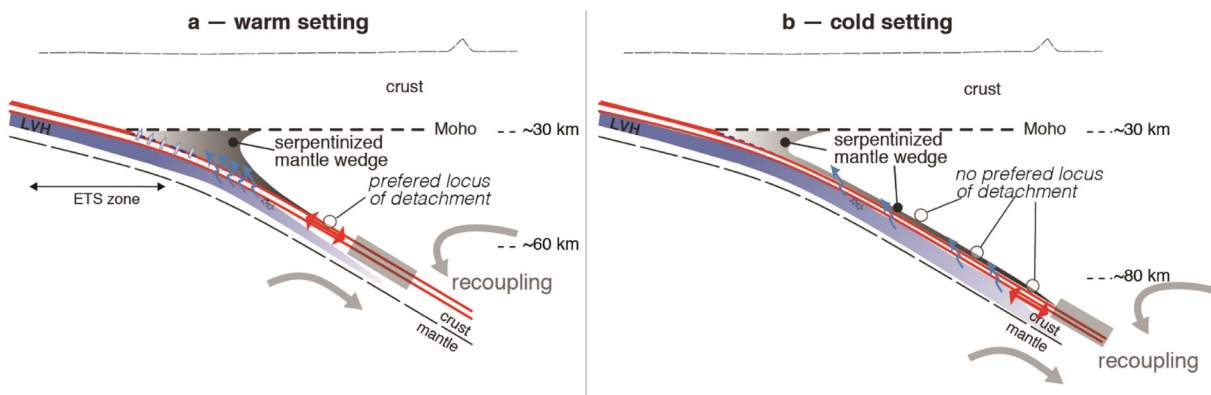
- warm settings (Fig. 11a): variations in coupling and fluid release (and volume change) will control the possibility to embed (HT eclogite or HP amphibolite) blocks in serpentinite, possibly through fluctuations in frictional-viscous properties. This likely occurs in the depth-temperature range of recoupling, where serpentine becomes unstable (~550–600 °C). This is consistent with blocks in MU preserving a warm T/P record being generally eclogites rather than blueschists, and may contribute to the second peak observed at ~50 km depth (Figs.8d and 11d).



**Fig. 10.** Long-term trend in mechanical coupling and rock recovery associated with subduction cooling. The progressive change in mechanical coupling, from strong to weak, is governed by effective viscosity contrasts across the subduction interface. The combined evolution of temperature and fluid migration (and storage) plays a key role (see text for details and viscosity estimates). When young and still relatively dry (a), the plate interface experiences strong viscous coupling and the formation of metamorphic soles. During subsequent subduction and cooling (b) high-temperature (eclogite) rocks are recovered, mostly in serpentinite mélanges (early MU type; Fig. 8b). The steady-state, locally fluid-rich, colder (older) and weaker interface leads to a decoupled plate interface (c), similar to most subduction zones today, except during occasional ‘accidents’ (d).

– cold settings (Fig. 11b): because the downdip limit of serpentinization is almost never reached before  $\sim 80$  km, transient changes in mechanical coupling will have an impact only if discontinuities preexist, with wavelength  $> \sim$ km scale as seen from fossil fragments (or numerical models: Fig. 6) and/or if the rheologically weak serpentinite horizon predicted to exist along the mantle wedge (Scambelluri and Tonarini, 2012; Reynard, 2013; Fig. 11b) gets rubbed away. Rheological contrasts across specific sedimentary horizons (lithologies) could modulate/control strain localization (Ikari, 2015; Vannucchi et al., 2017), explaining the distinct lithostratigraphic content of successive tectonic slices (e.g., Schistes

Lustrés: Lagabrielle et al., 2015; Deville et al., 1992; Plunder et al., 2012). In addition, in contexts where background mechanical ‘coupling’ is strong, changes in mantle wedge geometry and thermal structure of the cold corner, such as lithospheric thinning and/or mantle upwelling, can be expected to modulate the decoupling depth (e.g., Agard et al., 2016; Aygül and Oberhänsli, 2018) and affect maximum recovery depth (in any case  $\ll 2.8$  GPa).



**Fig. 11.** Inferred differences in the way (long-term) mechanical coupling may operate in cold or warm settings, depending on thermal regime and on the thickness of the serpentinite horizon above the plate interface. See text for details.

### 6.3. Subduction interface thickness

The subduction rock record can be used to constrain interplate thickness or geometries, to determine whether the plate interface has a constant width, may decrease to almost zero or varies with time at a given depth (Shreve and Cloos, 1986; Raimbourg et al., 2007), and whether deformation is rather localized on one or multiple active faults and/or shear zones (e.g., Meneghini and Moore, 2007; Rowe et al., 2013) or distributed on sheared 'channel material'.

#### 6.3.1. Plate interface thickness

Our compilation outlines that most units are thin slices <~300–500 m (Fig. 8a; Fig. 9e–h), similar yet somewhat thicker than those reported by Kimura and Ludden (~200 m; 1995). The thickest natural examples (~2–4 km) are thinner than present-day examples inferred by geophysics (~5–10 km; Section 5.3). The contrast with Kimura and Ludden (1995) may come from the greater diversity of examples considered here and/or from the fact that packages more strongly metamorphosed may be more difficult to subdivide, each tectonic slice being potentially composed of many smaller, unrecognized imbricate structures developed during burial, such as those disrupting the ocean plate stratigraphy (Franciscan complex: Wakabayashi, 2015, 2017).

This average thickness thus scales with that of typical OPS sedimentary sequences (e.g., ~0.5–1 km for sediments; Cliff et al., 2004) and places a lower bound (since some tectonic thinning may be involved) on the average volume 'capacity' of the plate interface. No particular depth-time-volume recovery systematics is apparent however, apart from one associated with cooling (Fig. 9g: warm fragments are commonly thin; metamorphic soles, however, have probably been thinned by a factor of ~5; Soret et al., 2017a,b).

Fig. 12a provides a tentative picture of what the plate interface might look like. Irrespective of its 'average' value, plate interface thickness must vary at least during episodes of down-stepping and partial migration of rocks/units (Fig. 12a), to allow for the existence and accumulation of km-thick slices (deep examples: Tianshan: Bayet et al., 2018; W. Alps: Angiboust et al., 2009).

Since the width of active plate interface deformation is unlikely to be larger than the average thickness of the units stripped from the slab (Fig. 12a; i.e., distributed deformation on a  $\geq$  km-scale shear zone would make additional strain localization across the hm-scale, in the slab, unlikely), we suggest that the regular plate interface thickness (i.e., when there is no detachment) is less than ~300 m.

This upper bound is consistent with estimates obtained for shallow depths (from ~2 to 15 km, i.e. down to ~250°–300 °C; Rowe et al., 2013) by studying strain localization at the m- to hm-scale. These authors suggested cumulative displacement on a 100–350-m-thick multistrand fault system (Fig. 12b; for shallow portions: e.g., Contreras-Reyes et al., 2017) sufficiently weak that gradual growth (at the expense of the oceanic plate) is 'discouraged'. Whether only some or all of the studied planes were active coevally is unclear, however, so that the extent of localization at a given time is uncertain.

#### 6.3.2. Coupled thickness

The concept of 'coupled thickness' of the subduction zone, taken as the width across which strain is effectively distributed (Table 1; Fig. 12a), is probably more relevant here. During periods (and in areas) of strong mechanical coupling, this coupled thickness (always  $\geq$  plate interface thickness) will comprise part of the upper plate (mantle wedge), the plate interface (i.e., potentially the roof and basal décollement and channel material) and any décollement horizon within the slab (e.g., at the contact between basalts and sheeted dykes; Fig. 12a).

Widening of the strain zone will result in more faults/shear bands with lower strain rates, which in turn may control which deformation mechanism is preferentially activated (e.g., diffusion versus dislocation creep; see Section 6.4). Strain rates are thus likely to drop below

$\sim 10^{-11} \text{ s}^{-1}$  (i.e., accommodation of 3 cm/yr of slip on a 100 m wide plate interface, as in the downdip end of Fig. 12a), perhaps down to exhumation values, which are 1–2 orders of magnitude lower ( $\sim 10^{-13} \text{ s}^{-1}$ , for mm/yr displacements on the hm–km scale). Whether or not exhumation will ensue will depend on density and viscosity ratios on both sides of the tectonic slice/mélange (Fig. 12a; exhumation number of Raimbourg et al., 2007) and deformation mechanisms once within the plate interface (Fig. 2b; e.g., Platt, 1993; Jolivet et al., 2003; Laurent et al., 2016; Soldner et al., 2017). Intuitively, once detachment is effective, specific boundary conditions need to be maintained long enough to allow for the exhumation of this transiently 'channel material', which otherwise will get underplated or dragged down.

The concept of coupled thickness allows depicting the evolution of mechanical coupling through time (Fig. 12c) in a way that complements the evolution shown in Fig. 10. During subduction initiation and formation of HT soles the coupling thickness is maximum (~several km) across a wide depth interval (~10 km; Fig. 12c; see also Fig. 5a,b). Upon cooling, the coupled thickness decreases and changes location, moving both deeper for HT eclogites and shallower for LT soles (both form in first approximation coevally; Fig. 12c). From then on, coupled thickness is equivalent to plate interface thickness for most of the subduction history except during 'accidents' (Fig. 12c). It is thinner when shallow portions of the slab are recovered (e.g., S or SB types) than when crustal/lithospheric-scale fragments are (SBU type).

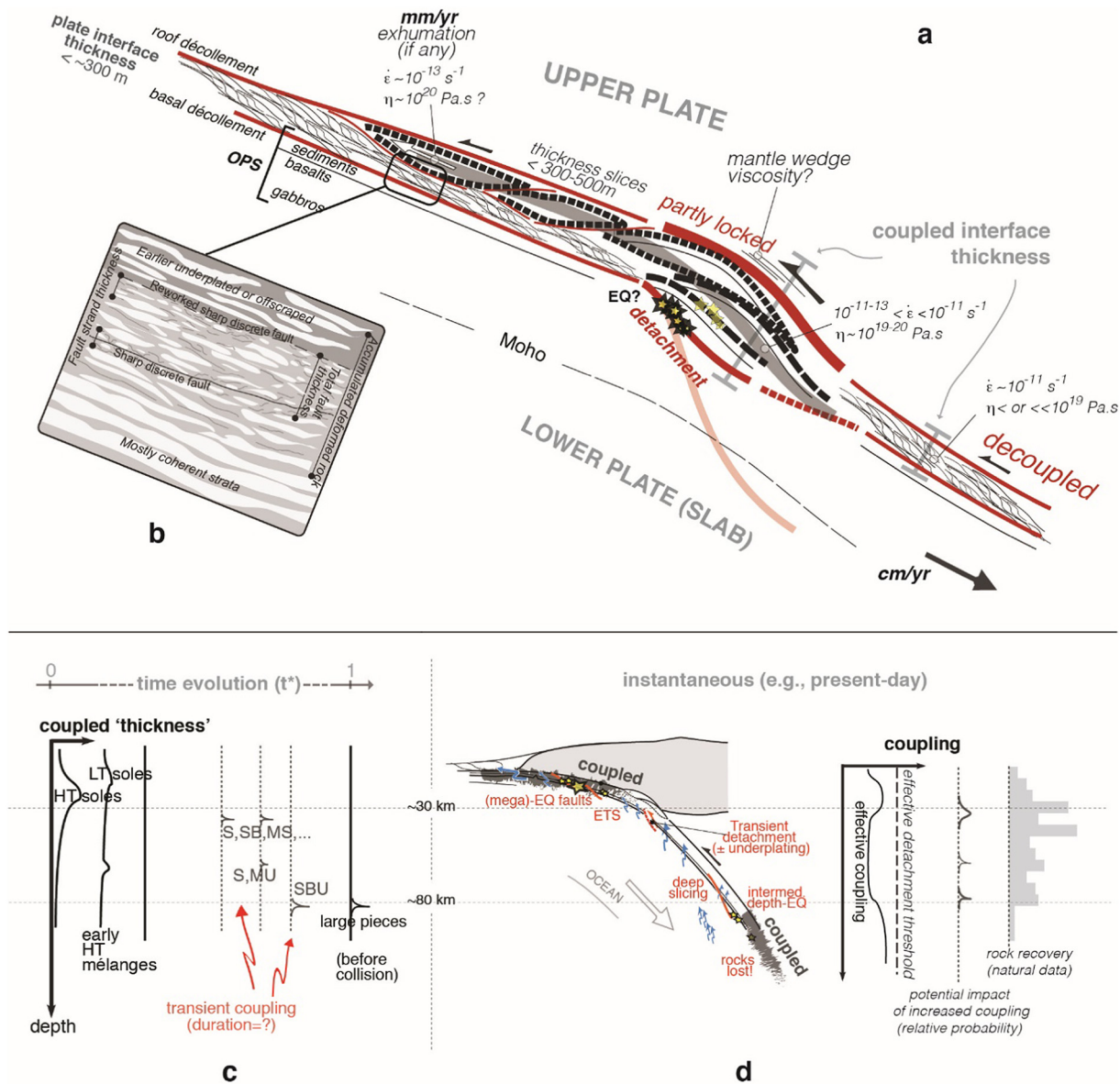
This evolution can be compared to effective short-term (only?) mechanical coupling along an active subduction zone (Fig. 12d). Owing to the scarcity of rocks recovered, effective coupling must generally be below the coupling threshold leading to detachment (vertical dashed line in Fig. 12d). The rock record (Fig. 8; Table 2) indicates that chances of overcoming this critical threshold are greater at ~30 km, 50 km and 70–80 km (dotted line and histogram in Fig. 12d), which points to the role of depth variations of coupled/decoupled transitions for the first and third cases.

### 6.4. Mechanical coupling and strain (de)localization processes

Increased mechanical coupling leading to effective rock detachment and recovery could in principle result from subduction of geometric obstacles (e.g., seamounts, asperities, rugosities; Wang and Bilek, 2011; Shillington et al., 2015; Bassett and Watts, 2015; Ruh et al., 2016; van Rijsingen et al., 2018), changes in regional slab dynamics/kinematics, or fluctuations in mineral reactions and fluid liberation (i.e., major changes in incoming rock types/lithologies). Seafloor obstacles, however, represent ~17% of the total length of modern subduction systems (Vannucchi et al., 2016), much more than the fraction of rocks recovered in any fossil subduction system (see above), and are thus far from being systematically effective. Peaks of recovery may be controlled by regional-scale rearrangements of slab dynamics (e.g., slab convergence: Monié and Agard, 2009; Fig. 4a; slab roll-back: Brun and Faccenna, 2008; slab windows: McCrory et al., 2009; slab interference: Uchida et al., 2009), global changes in subduction flux and crustal accretion (Cramer et al., 2018, their Fig. 8c; Hounslow et al., 2018), or the Wilson cycle and/or mantle plumes (Vaughan and Scarrow, 2003; Dumitru et al., 2010; Kusky et al., 2013; van Hinsbergen et al., 2011), but quantification is yet to come.

Whatever the exact trigger, the possibility of detaching slab fragments (Fig. 3d) will depend on (i) how bulk/effective rheologies control mechanical coupling and (ii) which deformation mechanisms are activated during strain localization in weaker horizons and subsequent slicing.

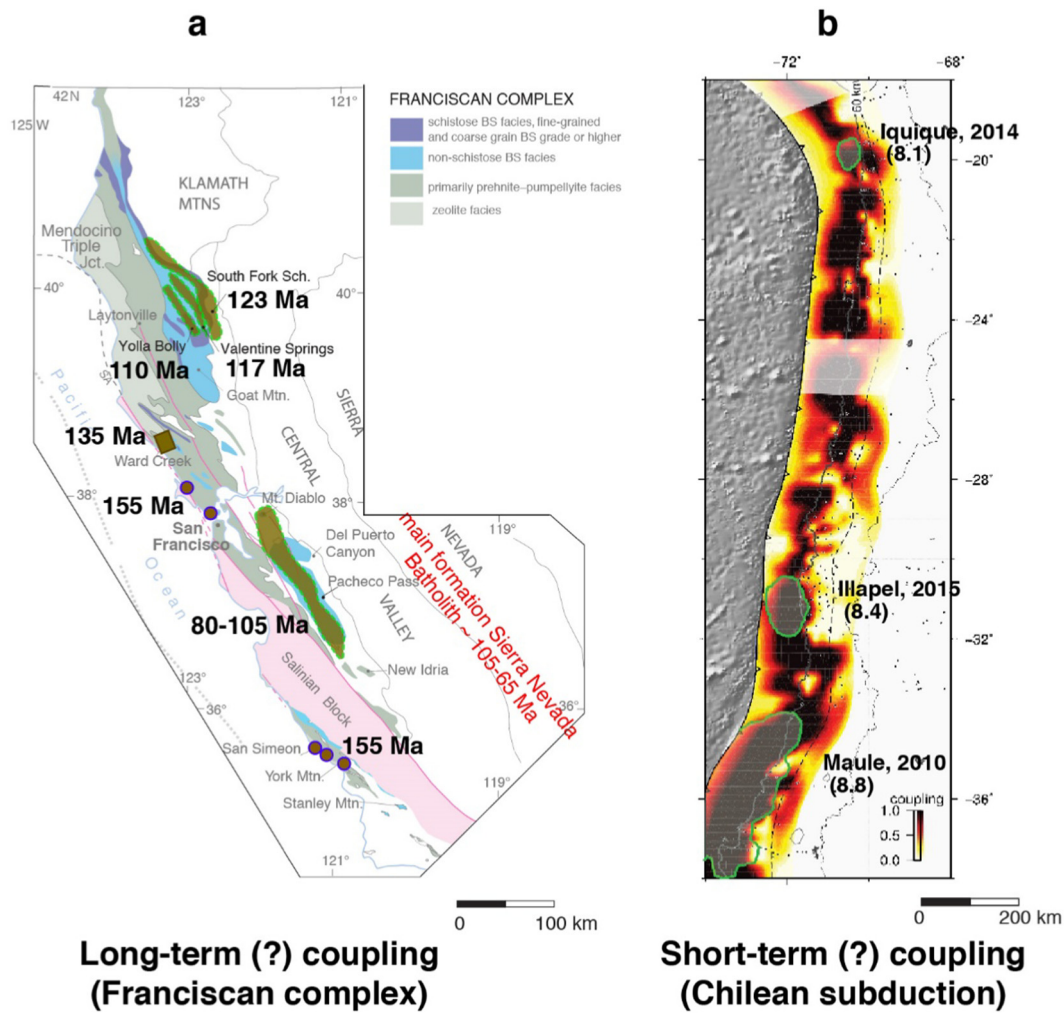
Lubrication of the plate interface (particularly of the roof décollement), whether through weak hydrous phyllosilicates or pore fluids, is certainly essential. Progressive changes along the plate interface (Kitamura and Kimura, 2012) are indeed thought to be dominantly governed by dissolution-precipitation creep processes requiring only low effective stresses (Stöckhert, 2002; Wakabayashi, 2015;



**Fig. 12.** a - Integrated view of the subduction interface. Its thickness is (again) exaggerated for clarity and probably  $< 300\text{ m}$  in general. The interface is shown with ongoing detachment along a portion of it (i.e., stripping of a tectonic slice of SB type, here; the pink contact into the mantle would lead to the detachment of a SBU slice; see Fig. 7a,b). The integrated mechanical coupling across a given portion of the plate boundary is referred to as 'coupled interface thickness'. The interface is most commonly decoupled, at least from the long-term perspective, but detachment requires partial locking of the interface (as in the central portion of the sketch). Whether this happens through creep or earthquakes (EQ; or a combination) is unknown (see discussion; EQ shown as stars; plain: active EQ; faded: former EQ in already detached slice). Such locations probably coincide with short-term mechanical coupling. Conversely, the extent to which short-term mechanical coupling contributes to effective detachment is unclear. Other details: detached slices may be partly exhumed (as shown here), underplated (compare with Fig. 2c), and may even be dragged down back into subduction. Reasonable values for strain rates and viscosity are indicated. Note the active shearing of channel material and the usual contrast between subduction and exhumation velocities (mm/yr). The thick grey line is highlighting finite strain of the group of slices detached during transient coupling. b - Close-up view on m- to hm-scale strain distribution across the plate interface, bridging our interpretation with that of Rowe et al. (2013), after which the sketch was adapted. Their 'cumulated deformed rocks' broadly corresponds to what we termed 'coupled interface thickness'. Their sketch was adapted so as to feature more general situations than mélanges (which only corresponds to one of the natural possibilities; this compilation) in the central portion of the fault. c - Evolution of the coupled interface thickness as a function of time. This evolution should be compared with that of Fig. 10. Rock recovery is controlled by periods when at least a portion of the plate interface gets increasingly coupled (or partly locked). See text for details (Sections 6.1 and 6.2). d - Evolution with depth of effective mechanical coupling at a given time (i.e. present-day, short- to long-term). Mechanical coupling is generally insufficient during steady state regime (though higher in the seismogenic zone and beyond  $\sim 80\text{ km}$ ) to promote detachment (which is rate-limiting compared to coupling, as discussed in Section 4.5). A critical threshold of mechanical coupling must be overcome, which will mostly impact portions where important changes in mechanical coupling exist, explaining the distribution of rocks recovered (grey column to the right).

Wassmann and Stoeckert, 2013). Kimura and Ludden (1995) argued that sealing by the 'precipitation of pore-filling and vesicle filling minerals, such as smectites and carbonates' creates a 100–150 m thick upper crust cap and controls the thickness of recovered tectonic slices. Similar permeability changes have been invoked in the context of ETS, e.g., sealing by the positive volume change of serpentinization, upward fluid transfer and down temperature silica deposition (Fig. 2c; Fisher and Brantley, 2014; Audet and Bürgmann, 2014; Gao and Wang,

2017) or deeper down (Bebout and Penniston-Dorland, 2016; Faccenda, 2014). The mechanical behavior of matrix mineral or mineral mixtures (Faulkner et al., 2010; Penniston-Dorland et al., 2018; Rowe et al., 2013), particularly in mélanges (Grigull et al., 2012), or the rheological evolution of sediments (which may control accretion/underplating; e.g. Kitamura and Kimura, 2012; Raimbourg et al., 2014; Vannucchi et al., 2017), may also contribute to strain hardening/weakening. Flow laws incorporating dissolution-precipitation and dislocation creep



**Fig. 13.** Can long-term mechanical coupling, i.e. localities with exhumed fragments, be linked to locked/coupled patches detected by GPS and/or InSAR methods? Across how many seismic cycles a given interseismic coupling state can and needs to be sustained? Detaching a 10 km long piece of a slab at 5–10 cm/y requires  $\sim 10^5$  yr, which, in principle, could be accommodated by  $\sim 1000$  M-earthquakes (e.g., Mw  $\sim 8$  with  $\sim 1$ – $10$  m of displacement every 100 yr, as along Chile). The reader is referred to the caption of Fig. 11a and the discussion in Section 6.3. a - Location, in the Franciscan complex, of the main recovered rock bodies (after Dumitru et al., 2010; Fig. 4d). The map is adapted from Wakabayashi (2015). b - Map of interseismic coupling in the central part of Chile (Métois et al., 2016).

(Gratier et al., 2013; Wheeler, 1992) and mixing laws for polyminerale assemblages (i.e., rocks; Huet et al., 2014) are therefore required but yet unknown.

We propose that changes in mechanical coupling impact the depth and/or strength contrast of the coupled/decoupled transitions (Figs. 3c and 12d), thereby explaining preferential exhumation around 30 km and 80 km:

- Near 30 km depth (i.e., the downdip limit of the seismogenic zone), mechanical coupling impacts deformation mechanisms fluctuating from frictional (potentially velocity weakening) to viscous flow (velocity strengthening), e.g., from plastic yielding on phyllosilicates to pressure-solution controlled deformation (Stöckhert, 2002; Fagereng et al., 2011; Vannucchi et al., 2009, 2012; Fagereng and den Hartog, 2017). Such switches in the brittle-ductile regime are commonly envisioned (Bachmann et al., 2009; Behr et al., 2018; Hayman and Lavier, 2014; Webber et al., 2018) as a result of fluctuations in near-lithostatic fluid pressure (Angiboust et al., 2015; Gao and Wang, 2017; Wallace et al., 2012 and references therein).
- Near 80 km depth, where serpentine may destabilize. Its distribution and mechanical behavior near the plate interface (i.e., within the first km) are unknown (e.g., Bloch et al., 2014), with only estimates of bulk mantle wedge serpentinization (e.g., Abers et al., 2017:  $<20$ – $30\%$  for most subduction zones, and only significant in warm ones

where ETS are reported). A layered mantle wedge is in fact suspected down to  $\sim 100$  km from numerical modelling to best explain postseismic data (e.g., Freed et al., 2017). Any increase in strain rate, via increased shear heating and/or mechanical abrasion of the serpentinite horizon (Fig. 6a,c; Section 4.3), will tend to restrict serpentinization, leading to hardening of the roof décollement. This could tentatively explain rock recovery associated with an increase in convergence velocities (e.g., for the Neotethys at  $\sim 95$  Ma; Section 4.1; Monié and Agard, 2009).

The origin of a third peak of recovery, near 55–60 km (1.7–1.8 GPa, Fig. 8d; Groppo et al., 2016; and/or the gap until  $\sim 2.3$  GPa) is more enigmatic. These examples might represent cases for which recoupling depths are shallower than 80 km depth (i.e., warm gradients; Fig. 11b). Dehydration reactions (particularly those with  $\Delta V > 0$ , which may be velocity-weakening) may provide an alternative explanation, since fluids released by the breakdown of chlorite (to garnet, liberating  $\sim 12$  wt%  $H_2O$ ; Angiboust and Agard, 2010), lawsonite (to epidote:  $\sim 6.5$  wt%  $H_2O$ ; Schmidt and Poli, 1998, 2014; Vitale-Brovarone and Agard, 2013) or carpholite (to chloritoid:  $\sim 4.5$  wt%  $H_2O$ ; Agard et al., 2001) will impact strain localization in this specific depth range in metabasites (first two reactions) and metasediments (last two reactions).

## 6.5. Bridging long and short time scales

Mechanical coupling being rate-limiting for rock recovery (Section 4.5), the question arises as to how long an increased interseismic coupling state needs to be sustained (see Kaneko et al., 2010; Kinoshita and Tobin, 2013; Wakabayashi and Rowe, 2015) to detach slab fragments, and whether this will happen mostly through creep (i.e., macroscopically ductile formation, whether mylonitic or cataclastic; Wassmann and Stoeckert, 2013; Fagereng and Sibson, 2010) or earthquakes (whether regular or slow-slip; Ide et al., 2007; Peng and Gomberg, 2010). Detaching a 10 km long slab fragment (e.g., a small seamount; Singh et al., 2012; Toda et al., 2008) at 5–10 cm/yr requires  $\sim 10^5$  yr, which could be accommodated by  $\sim 1000$  mega-earthquakes (considering one Mw $\sim 8$  with  $\sim 1$ – $10$  m of displacement every 100 yr, as along Chile). One can therefore tentatively link long-term mechanical coupling inferred from areas where rocks are recovered (Fig. 13a) to locking/coupling patches inferred from GPS images (Fig. 13b; e.g., for Chile; Metois et al., 2012, 2016; Moreno et al., 2011; Ecuador: Nocquet et al., 2017). Song and Simons (2003) have indeed suggested, based on trench-parallel gravity variations, that the seismogenic behavior within a given subduction zone is stationary and linked to geological structures over time scales up to at least 1 Myr (see also Uchida et al., 2009).

### 6.5.1. Stability of mechanical coupling through time

High-resolution geophysical imaging recently revealed the existence of several high-Vp patches along the plate interface, advocating for a strong upper plate and/or a relatively dry subduction channel and a locked and potentially loaded megathrust fault (Paulatto et al., 2017; stars on Fig. 8d). The discovery of aftershocks in the deep wake of megathrust planes, down to 50–60 km (Frank et al., 2015) may indicate that seismic fault planes extend downdip into major tectonic structures (as featured by stars on Fig. 12a). At shallower depths, slow-slip events were taken as evidence for active underplating (Kimura et al., 2010; see also Tsuji et al., 2017). Active underplating is inferred from large EQ and uplift near the Yakutat plateau subduction in the area of the 1964 Great Alaskan rupture (Haeussler et al., 2015).

Whether or not these features are stable through time is yet unknown (e.g., Melnick et al., 2017). Geological information bridging the seismic cycle with a fraction of Myr (e.g.,  $10^2$  to  $10^5$  yr) may come from systematic investigation of terrace uplift (e.g., S America: Bookhagen et al., 2006; Saillard et al., 2009) and long-term trends in mechanical coupling (e.g., across the Andes: Oncken et al., 2006). Geodynamic modelling (e.g., impact of plateau and fore-arc uplift: Vogt and Gerya, 2014a; Sobolev and Muldashev, 2017; Govers et al., 2018) may test which conditions and rheologies, in the context of steady-state long-term coupling with recurrent seismicity, trigger detachment instabilities on the  $10^5$  to  $10^6$  yr scale.

### 6.5.2. Creeping or seismic?

Reports of EQ are still relatively rare in metamorphic rocks due to overprinting, particularly on detachment shear zones reworked multiple times (Fig. 12a; e.g., basal versus lower shear zone in Angiboust et al., 2011). Some intermediate-depth EQ were nevertheless documented (Zambia: John and Schenk, 2006; Corsica: Andersen and Austrheim, 2006; Magott et al., 2016) and can be assigned to portions of the slab (W. Alps: Angiboust et al., 2012a,b; Scambelluri et al., 2017). Strain localization has also been shown to involve significant fluid migration (e.g. Angiboust et al., 2014a,b), which is in turn suspected to operate on seismic cycle timescales: vein filling (John et al., 2012; Taetz et al., 2018), garnet growth  $\leq 10^{4-5}$  yr (Dragovic et al., 2012) and even flash garnet or clinopyroxene growth ( $\sim 1$  yr; Deseta et al., 2014; Menant et al., 2018) indeed match fluid migration on seismic cycle time-scales (Audet et al., 2009; Frank et al., 2015).

Some correlation between the depths of intra-slab EQ and the distribution of rock remnants (Fig. 8d) hints to the possibility of linking nests of EQ to detachment in active subduction zones. This could be further tested by scrutinizing present-day subduction zones with dense seismic networks in the most favorable areas (e.g., Puysegur; Hayes et al., 2009; Choi et al., 2017). However, if displacement is controlled by features such as the numerous  $\sim 10$  cm long veins found in rocks, which have been argued to accommodate plate interface movements (Fagereng et al., 2011) or invoked in some fracture and viscous flow models (Hayman and Lavier, 2014), their formation would require negative magnitude EQ lasting a fraction of seconds, thus pointing to creep rather than detectable EQ.

## 7. Conclusions

Based on critical observations and an exhaustive compilation of worldwide subducted oceanic rocks, the present study demonstrates how long-term mechanical coupling exerts a key control on detachment from the slab and potential rock recovery from subduction zones. This calls for changing the current paradigm in subduction zone tectonics, from wondering how (deep) rocks are exhumed to how they get detached and what this tells us about active processes:

- The critical assessment of rock T/P characteristics indicates that these fragments track subduction interface dynamics down to  $\sim 2.8$  GPa and can be used as reliable natural probes.
- Rock clusters can be identified at depths of 30 km and 80 km, and possibly 55–60 km, with some differences between rock types. Outstanding features are the broad cluster at 25–35 km and the sharp drop in recovery beyond 80 km.
- There is a first-order impact of subduction cooling on rock recovery, which is interpreted as reflecting a systematic trend from strong to weak mechanical coupling— after which ( $> 5$  Myr) subduction is lubricated and mostly inhibits rock recovery.
- Mechanical coupling, rather than tectonic slicing, is likely rate-limiting and controls the occasional detachment from the slab. Later exhumation (providing favorable density and viscosity conditions) likely shortly ensues after detachment.
- Mechanical coupling depends on mantle wedge rheology, viscosity contrasts and initial structure (e.g., existence of décollement horizons, heterogeneous lithosphere, extent of hydration, asperities, etc.) but also on boundary conditions (convergence rates, kinematics). Strain localization processes involve creep (notably grain size sensitive deformation mechanisms such as dissolution-precipitation) and possibly brittle fractures and EQ, even at intermediate depths.
- We provide estimates for regular plate interface thickness ( $< 300$  m; i. e. where/when there is no detachment) and effective viscosity required for rock recovery (i.e.,  $\sim 10^{19-20}$  Pa·s, inferred from the evolution from  $10^{20-21}$  to  $\sim 10^{19-20}$  Pa·s during early subduction).
- Plate interface geometry will change during episodes of strong mechanical coupling: we herein use the concept of ‘coupled thickness’ to capture subduction interface dynamics and link long-term mechanical coupling with present-day, short-term mechanical coupling inferred from geophysical data.
- Although most present-day subduction zone segments (both along strike and downdip) are likely below the detachment threshold, we propose that transitional zones between coupled and decoupled areas, notably at 30 km and 80 km depth, are most favorable for detachment and predict differences between warm and cold subduction settings.

Our study suggests that bridging long- to short-term processes (and vice versa) should be possible. Future progress in our understanding of subduction zones will require better relocation (both for rocks and EQ),

radiochronometers able to track short-lived processes on the order of  $10^2$ – $10^5$  yr and dedicated thermomechanical modelling with adequate rheologies/viscosities.

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