

Descent equalities and the inductive McKay condition for types B and E

Marc Cabanes, Britta Späth

▶ To cite this version:

Marc Cabanes, Britta Späth. Descent equalities and the inductive McKay condition for types B and E. Advances in Mathematics, 2019, 356, pp.106820. 10.1016/j.aim.2019.106820. hal-02340057

HAL Id: hal-02340057 https://hal.sorbonne-universite.fr/hal-02340057

Submitted on 30 Oct 2019

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Descent equalities and the inductive McKay condition for types B and E

Marc Cabanes and Britta Späth

Abstract

We establish the inductive McKay condition introduced by Isaacs-Malle-Navarro [IMN07] for finite simple groups of Lie types B_l ($l \geq 2$), E_6 , ${}^2\mathsf{E}_6$ and E_7 , thus leaving open only the types D and ${}^2\mathsf{D}$. We bring to the methods previously used by the authors for type C [CS17b] some descent arguments using Shintani's norm map. This provides for types different from A, D, ${}^2\mathsf{D}$ a uniform proof of the so-called global requirement of the criterion given by the second author in [S12, 2.12]. The local requirements from that criterion are verified through a detailed study of the normalizers of relevant Levi subgroups and their characters.

Contents

1	Introduction	2
2	Quasi-simple groups of Lie type and inductive McKay condition	4
	2.A Finite groups of Lie type and automorphisms	4
	2.B Inductive McKay conditions, global and local	5
3	The global condition $A(\infty)$ for types B, C, and E	7
	3.A A descent theorem for invariant classes	. 7
	3.B Genericity of unipotent characters and automorphisms	. 9
	3.C A descent theorem for invariant characters	. 11
	3.D Graph automorphisms and invariant characters	. 12
	3.E Stabilizers and extendibility of characters in types B, C and E	. 14
4	Towards the local conditions $A(d)$ and $B(d)$	16

M.C.: CNRS, Institut de Mathématiques Jussieu-Paris Rive Gauche, Place Aurélie Nemours, 75013 Paris, France. e-mail: marc.cabanes@imj-prg.fr

B. S.: School of Mathematics and Natural Sciences University of Wuppertal, Gaußstr. 20, 42119 Wuppertal, Germany, e-mail: bspaeth@uni-wuppertal.de

Mathematics Subject Classification (2010): 20C20 (20C33 20C34)

5	The local conditions for type B	18
	5.A The regular case: Construction of a Sylow d-torus	. 19
	5.B The regular case: Maximal extendibility with respect to $H_d \subseteq V_d$. 20
	5.C The regular case: Character theory of the relative inertia groups	. 23
	5.D Considerations for the non-regular case	. 27
	5.E Proof of Theorem A for type B_l	. 32
6	The local conditions for types E	33
	6.A Relative Weyl groups	. 33
	6.B The regular case - Extended Weyl group	
	6.C Proof of Theorem A for types E	
7	References	40

1 Introduction

The celebrated McKay conjecture on character degrees asserts that for any finite group G and prime p

$$|\operatorname{Irr}_{p'}(G)| = |\operatorname{Irr}_{p'}(N_G(P))| \tag{1.0.1}$$

where $Irr_{p'}$ denotes the set of irreducible characters of degree prime to p and P is a Sylow p-subgroup of G.

The reduction theorem proved by Isaacs-Malle-Navarro [IMN07] reduces this conjecture to the checking of the so-called *inductive McKay condition* for each finite simple group S and prime p (see [IMN07, §10]). The inductive McKay condition has been checked for many simple groups leaving open the cases of simple groups of Lie types B, D, 2 D, E₆, 2 E₆, E₇ for odd p and different from the characteristic of the group, see [Ma08a], [S12], [CS13], [MaSp16], [CS17a], [CS17b]. One of the main results of the present paper is the following.

Theorem A. The finite simple groups of Lie types B, E₆, ²E₆, and E₇ satisfy the inductive McKay condition for all prime numbers.

The proof of this theorem, as most previous verifications of the inductive McKay condition, uses the criterion [S12, 2.12], for which we give a streamlined version in Theorem 2.4 below.

For G the universal covering of a simple group S of Lie type, the outer automorphism group $\operatorname{Out}(G)$ decomposes as a semi-direct product $\operatorname{Out}(G) = \operatorname{Diag}(G) \rtimes E$ where $\operatorname{Diag}(G)$ is the group of so-called outer diagonal automorphisms of G (denoted by $\operatorname{Outdiag}(G)$ in [GLS, §2.5]) and E is a group of field and graph automorphisms (denoted by $\Phi_G\Gamma_G$ in [GLS]). As a key step we prove

Theorem B. Assume S is of type B, E_6 , ${}^2\mathsf{E}_6$ or E_7 . For every $\chi \in \mathrm{Irr}(G)$ there exists a $\mathrm{Diag}(G)$ -conjugate of χ whose stabilizer in $\mathrm{Out}(G)$ is of the form D'E' where $D' \leq \mathrm{Diag}(G)$ and $E' \leq E$.

The above property of $\operatorname{Irr}(G)$ as an $\operatorname{Out}(G)$ -set, called $\operatorname{A}(\infty)$ in Definition 2.2 below, is known in types A, ²A, C (see [CS17a], [CS17b]) and for characters χ either semi-simple or of odd degrees in all types (see [S12], [MaSp16]). This question about characters of groups of rational points of simply-connected reductive groups is not easily solved by the present knowledge about characters of groups of Lie type which is less complete in

the case of reductive groups with non-connected center. It has however gained interest lately, see for instance [Ma17], [T18]. Whenever Out(G) = Diag(G)E is abelian (types B, C, E₇), the above statement about stabilizers is just that

$$|\operatorname{Irr}(G)^{\langle \delta f \rangle}| = |\operatorname{Irr}(G)^{\langle \delta, f \rangle}|$$
 (1.0.2)

for any $\delta \in \text{Diag}(G)$, $f \in E$, using the exponent notation for fixed points (see [CS17b, 2.2]). In our case we prove this equality by showing that each number in the above equation is in fact equal to a number defined similarly for the smaller group G^f , whence the term of descent equality. In the proof the norm map introduced by Shintani [Shi76] is used in an elementary and effective way.

Those general statements are probably of independent interest with possible applications to the Jordan decomposition of characters, as in [CS17a, §8]. Given how central McKay's conjecture has proved to be in representation theory of finite groups, it is not surprising that the property of stabilizers $A(\infty)$ has applications to the checking of other counting conjectures through the classification of finite simple groups (see for instance [CS15]). When the triangularity property of decomposition matrices is ensured this can also apply to conjectures about modular characters as shown in [CS13, 7.4, 7.6].

All of the above concerns the first "global" part of the criterion given in Theorem 2.4 and applies uniformly to types B, C (thus reproving [CS17b, 3.1]), ${}^{2}\mathsf{E}_{6}$, E_{7} , and with some adaptations to E_{6} (see Sect. 3.D).

The rest of the paper is more specific to McKay's conjecture, being about local subgroups and related properties of their characters. Concretely, the "local" assumptions of the criterion from [S12] are implied by the condition A(d) from Definition 2.2 for integers $d \geq 1$ such that the cyclotomic polynomial Φ_d divides the polynomial order of our simple group. In Sect. 5 we verify that type B satisfies A(d) while Sect. 6 deals with types E.

The checking splits quite naturally into two parts. The one arising from numbers d that are regular for the Weyl group involved (divisors of 2l in type B_l) correspond to prime numbers in the statement (1.0.1) of McKay's conjecture such that any Sylow subgroup $P \leq G$ has abelian centralizer $\mathsf{C}_G(P)$. This is the most technical part of our proof (see Sect. 5.A-C). Probably owing to the particular nature of the spin groups and its consequences on the structure of $\mathsf{N}_G(P)$, the checking for type B is quite different from the one made for type C in [CS17b] and several cases have to be treated separately. The part of the proof dealing with so-called relative Weyl groups (first introduced by Broué-Malle-Michel [BMM93]) however coincides with type C in the case of type B , while in the cases of types E we use a proof provided to the authors by G . Malle.

The case of non-regular integers d such that Φ_d divides the polynomial order of G involves simple groups of smaller cardinality than |G/Z(G)| for which condition $A(\infty)$ is used in a crucial way.

Acknowledgements. This material is partly based upon work supported by the NSF under Grant DMS-1440140 while the authors were in residence at the MSRI, Berkeley CA. We also thank our home institutions for having allowed that stay. The first author thanks BU Wuppertal for its hospitality during several visits. We thank Gunter Malle for the proof of Proposition 6.1 and his remarks on our manuscript.

2 Quasi-simple groups of Lie type and inductive McKay condition

Let us recall some basic notation about normal inclusions and characters. Let $Y \leq X$ be an inclusion of finite groups. For $\theta \in \operatorname{Irr}(Y)$ one denotes by $X_{Y,\theta}$, or just X_{θ} whenever $Y \subseteq X$, the inertia subgroup of θ in $N_X(Y)$. If $\chi \in \operatorname{Irr}(X)$ we write $\operatorname{Irr}(Y \mid \chi)$ for the set of irreducible constituents of $\chi \mid_Y$, the restriction of χ to Y. For $\theta \in \operatorname{Irr}(Y)$, θ^X denotes the character of X obtained by induction of θ .

If $Y \subseteq X$ is a normal inclusion of finite groups, we say that maximal extendibility holds with respect to $Y \subseteq X$ if for any $\theta \in \operatorname{Irr}(Y)$ there exists $\widetilde{\theta} \in \operatorname{Irr}(X_{\theta})$ such that $\theta = \widetilde{\theta}\Big|_{Y}$. In this context, an extension map is then any map

$$\Lambda : \operatorname{Irr}(Y) \longrightarrow \coprod_{X' \ ; \ Y \trianglelefteq X' \le X} \operatorname{Irr}(X')$$

such that for every $\theta \in \operatorname{Irr}(Y)$, $\Lambda(\theta) \in \operatorname{Irr}(X_{\theta})$ and $\theta = \Lambda(\theta)|_{Y}$.

2.A Finite groups of Lie type and automorphisms

We denote by boldface letters \mathbf{G} or \mathbf{H} connected reductive groups over an algebraic closure \mathbb{F} of the field with p elements, for p a prime number. We denote by $\mathbf{G}_{\mathrm{sc}} \to [\mathbf{G}, \mathbf{G}]$ the unique simply connected covering. A regular embedding $\mathbf{G} \leq \widetilde{\mathbf{G}}$ is any closed embedding of reductive groups with connected $Z(\widetilde{\mathbf{G}})$ and $\widetilde{\mathbf{G}} = Z(\widetilde{\mathbf{G}})\mathbf{G}$ (see [CaEn, 15.1]).

When **G** is defined over a finite subfield \mathbb{F}_q (q a power of p), this yields a Frobenius endomorphism $F \colon \mathbf{G} \to \mathbf{G}$ (see [DM, §3]). For any cyclotomic polynomial Φ_d ($d \ge 1$), one has a notion due to Broué-Malle of d-torus which is a class of F-stable tori $\mathbf{S} \le \mathbf{G}$ such that, among other properties, \mathbf{S}^F has order a power of $\Phi_d(q)$ (see [MaTe, 25.6]).

The choice of a pair $\mathbf{T} \leq \mathbf{B}$ where \mathbf{T} is a maximal torus and \mathbf{B} is a Borel subgroup of \mathbf{G} allows one to associate a root system $\Phi \subseteq \operatorname{Hom}(\mathbf{T}, \mathbb{F}^{\times})$ along with the subsets $\Phi^+ \supseteq \Delta$ of positive and simple roots. To each $\alpha \in \Phi$, there corresponds a one-parameter unipotent subgroup

$$\mathbb{F} \ni t \mapsto \mathbf{x}_{\alpha}(t) \in \mathbf{G}$$

with $\mathbf{x}_{\alpha}(\mathbb{F}) \leq \mathbf{B}$ when $\alpha \in \Phi^+$. One also defines $\mathbf{n}_{\alpha}(t) := \mathbf{x}_{\alpha}(t)\mathbf{x}_{-\alpha}(-t^{-1})\mathbf{x}_{\alpha}(t) \in \mathbf{N}_{\mathbf{G}}(\mathbf{T})$ and $\mathbf{h}_{\alpha}(t) = \mathbf{n}_{\alpha}(t)\mathbf{n}_{\alpha}(1)^{-1} \in \mathbf{T}$ for $t \in \mathbb{F}^{\times}$. The $\mathbf{x}_{\alpha}(\mathbb{F})$'s for $\alpha \in \pm \Delta$ generate $[\mathbf{G}, \mathbf{G}]$. The *type* of \mathbf{G} is by definition the one of Φ as a root system.

Assume now that $\mathbf{T} \leq \mathbf{B} \leq \mathbf{G}$ is as above with $\mathbf{G} = \mathbf{G}_{\mathrm{sc}}$ of irreducible type. One defines a Frobenius endomorphism $F_0 \colon \mathbf{G}_{\mathrm{sc}} \to \mathbf{G}_{\mathrm{sc}}$ by $F_0(\mathbf{x}_{\alpha}(t)) = \mathbf{x}_{\alpha}(t^p)$ for all $t \in \mathbb{F}$, $\alpha \in \Phi$. It is easy to construct a regular embedding $\mathbf{G}_{\mathrm{sc}} \leq \widetilde{\mathbf{G}}$ with $\mathbf{Z}(\widetilde{\mathbf{G}})$ a torus of rank 1 or 2 according to $\mathbf{Z}(\mathbf{G}_{\mathrm{sc}})$ being cyclic or not, and to extend F_0 to a map $F_0 \colon \widetilde{\mathbf{G}} \to \widetilde{\mathbf{G}}$ defining $\widetilde{\mathbf{G}}$ over \mathbb{F}_p . Indeed since $F_0(s) = s^p$ for any $s \in \mathbf{T}$, all subtori of \mathbf{T} are F_0 -stable, so one can define $\widetilde{\mathbf{G}} := \mathbf{G}_{\mathrm{sc}} \times_{\mathbf{Z}(\mathbf{G}_{\mathrm{sc}})} \mathbf{Z}$ (central product) where $\mathbf{Z}(\mathbf{G}_{\mathrm{sc}}) \leq \mathbf{Z} \leq \mathbf{T}$ with \mathbf{Z} a subtorus of minimal rank subject to $\mathbf{Z}(\mathbf{G}_{\mathrm{sc}}) \leq \mathbf{Z}$.

Denote by $\operatorname{Aut}(\Delta)$ the group of graph automorphisms, i.e. permutations of Δ preserving lengths and pairwise angles of simple roots. Then any $\gamma \in \operatorname{Aut}(\Delta)$ induces an algebraic automorphism of \mathbf{G}_{sc} defined by $\gamma(\mathbf{x}_{\alpha}(t)) = \mathbf{x}_{\gamma(\alpha)}(t)$ for any $t \in \mathbb{F}$, $\alpha \in \pm \Delta$. This makes a group $\Gamma_{\mathbf{T} \leq \mathbf{B}} \cong \operatorname{Aut}(\Delta)$ of automorphisms of \mathbf{G}_{sc} . This group can be made to act on $\widetilde{\mathbf{G}}$ by algebraic automorphisms commuting with F_0

(see for instance [S12, 3.1]). Indeed for classical types $\mathbf{G}_{sc} = \mathrm{SL}_n(\mathbb{F}), \ldots$ one has well-known regular embeddings $\widetilde{\mathbf{G}} = \mathrm{GL}_n(\mathbb{F}), \ldots$ where this is clear. For exceptional types, only type E_6 needs some care and one chooses in the construction above $\mathbf{Z} := \{\mathbf{h}_{\alpha_1}(t)\mathbf{h}_{\alpha_2}(t^{-1})\mathbf{h}_{\alpha_5}(t)\mathbf{h}_{\alpha_6}(t^{-1}) \mid t \in \mathbb{F}^{\times}\}$ (notations of [GLS, Table 1.12.6]) which is $\Gamma_{\mathbf{T} < \mathbf{B}}$ -stable.

The finite quasi-simple groups we consider are of the form $\mathbf{G}_{\mathrm{sc}}^F$ where $F = F_0^m \gamma_0$ with F_0 as above, $m \geq 1$ and $\gamma_0 \in \Gamma_{\mathbf{T} \leq \mathbf{B}}$. One then denotes $q := p^m$. We keep $\mathbf{G}_{\mathrm{sc}} \leq \widetilde{\mathbf{G}}$ a regular embedding as above.

Definition 2.1. Let E be the subgroup of $\operatorname{Aut}(\mathbf{G}_{\operatorname{sc}}^F)$ generated by $F_0|_{\mathbf{G}_{\operatorname{sc}}^F}$ and the $\gamma|_{\mathbf{G}_{\operatorname{sc}}^F}$ for $\gamma \in \Gamma_{\mathbf{T} < \mathbf{B}}$.

As said before, the action of F extends to $\widetilde{\mathbf{G}}$ and the action of E also extends to $\widetilde{\mathbf{G}}^F$.

2.B Inductive McKay conditions, global and local.

As in most previous verifications for simple groups with a non-cyclic outer automorphism group (see [S12], [MaSp16], [CS17a], [CS17b]) the inductive McKay condition will be checked here through [S12, 2.12]. Theorem 2.4 below is a streamlined version of that criterion taking into account essentially [CS17a, §6] and the case of the defining prime [S12, 1.1]. Note in particular that the reference to a prime number is replaced by the reference to a cyclotomic polynomial. We first define the following properties $A(\infty)$, A(d), B(d) for $d \ge 1$ that represent the essential requirements of [S12, 2.12].

Definition 2.2. Keep \mathbf{G}_{sc} , $\widetilde{\mathbf{G}}$, F, E as above. We define the following properties

A(∞) Every $\chi \in \operatorname{Irr}(\mathbf{G}_{\operatorname{sc}}^F)$ has a $\widetilde{\mathbf{G}}^F$ -conjugate χ_0 such that $(\widetilde{\mathbf{G}}^F E)_{\chi_0} = \widetilde{\mathbf{G}}_{\chi_0}^F E_{\chi_0}$ and χ_0 extends to $\mathbf{G}_{\operatorname{sc}}^F E_{\chi_0}$.

Let $d \geq 1$, let **S** be a Sylow (i.e. maximal) d-torus of \mathbf{G}_{sc} and denote $N = \mathrm{N}_{\mathbf{G}_{\mathrm{sc}}}(\mathbf{S})^F$, $\widetilde{N} = \mathrm{N}_{\widetilde{\mathbf{G}}}(\mathbf{S})^F$, $\widetilde{C} = \mathrm{C}_{\widetilde{\mathbf{G}}}(\mathbf{S})^F$.

- A(d) For every $\chi \in \operatorname{Irr}(N)$ there is an \widetilde{N} -conjugate χ_0 which extends to $(\mathbf{G}_{\mathrm{sc}}^F E)_{\mathbf{S},\chi_0}$ and such that $O = (\widetilde{\mathbf{G}}^F \cap O)(E \cap O)$ for $O = (\widetilde{\mathbf{G}}^F E)_{\mathbf{S},\chi_0} \mathbf{G}_{\mathrm{sc}}^F$.
- B(d) Maximal extendibility holds with respect to the inclusions $N \subseteq \widetilde{N}$ and $\widetilde{C} \subseteq \widetilde{N}$. There is an extension map $\widetilde{\Lambda}$ with respect to $\widetilde{C} \subseteq \widetilde{N}$ which is $(\widetilde{\mathbf{G}}^F E)_{\mathbf{S}}$ -equivariant and satisfies

$$\widetilde{\Lambda}(\epsilon|_{\widetilde{C}}\theta) = \epsilon|_{\widetilde{N}_{\theta}}\widetilde{\Lambda}(\theta)$$

for any $\theta \in \operatorname{Irr}(\widetilde{C})$ and $\epsilon \in \operatorname{Irr}(\widetilde{\mathbf{G}}^F \mid 1_{\mathbf{G}_{co}^F})$.

Remark 2.3. Due to the $\mathbf{G}_{\mathrm{sc}}^F$ -conjugacy of Sylow d-tori of \mathbf{G}_{sc} (see [MaTe, 25.11]), the conditions A(d) and B(d) are independent of the choice of \mathbf{S} .

Note that for $d \geq 3h$ (where h is the Coxeter number of the root system of \mathbf{G}_{sc}) one has $\mathbf{S} = \{1\}$, $N = \mathbf{G}_{\mathrm{sc}}^F$, $\widetilde{C} = \widetilde{N} = \widetilde{\mathbf{G}}^F$. Since maximal extendibility holds with respect to the inclusion $\mathbf{G}_{\mathrm{sc}}^F \leq \widetilde{\mathbf{G}}^F$ by a theorem of Lusztig (see [L88, 10]), it is then clear that, for large d, $\mathbf{B}(d)$ is always satisfied while $\mathbf{A}(d)$ is equivalent to the above $\mathbf{A}(\infty)$, hence our notation.

The condition $A(\infty)$ is the so-called *global condition* introduced in [S12, 2.12(v)]. It is also an important step in the checking of A(d) for any d. We informally call here *local*

condition the conjunction of A(d) and B(d) for d such that (\mathbf{G}_{sc}, F) has a non-trivial d-torus. Among the latter local cases, we will also call regular the cases where Sylow d-tori \mathbf{S} satisfy that $C_{\mathbf{G}_{sc}}(\mathbf{S})$ is a (maximal) torus.

Note that the local conditions A(d) and B(d) have been checked for d = 1, 2 and all types in [MaSp16, § 3], while $A(\infty)$ is established there for characters of odd degree.

Theorem 2.4. Assume that A(d) and B(d) from Definition 2.2 are satisfied by $\mathbf{G}_{\mathrm{sc}}^F$ for any $d \geq 1$. Then if $\mathbf{G}_{\mathrm{sc}}^F / Z(\mathbf{G}_{\mathrm{sc}}^F)$ is a simple group, it satisfies the inductive McKay condition of [IMN07] for any prime.

More precisely, if one assumes only $A(\infty)$, A(d) and B(d) for a given $d \geq 1$ and $\mathbf{G}_{sc}^F/Z(\mathbf{G}_{sc}^F)$ is a simple group, then the latter satisfies the inductive McKay condition for any prime $\ell \nmid 2q$ such that the multiplicative order of $q \mod \ell$ is d.

Proof. Note that the cases where $\mathbf{G}_{\mathrm{sc}}^F/\mathrm{Z}(\mathbf{G}_{\mathrm{sc}}^F)$ is a simple group but $\mathrm{Z}(\mathbf{G}_{\mathrm{sc}}^F)$ is smaller than its Schur multiplier reduce to the same verification as in the other cases, thus dealing only with $\mathbf{G}_{\mathrm{sc}}^F$, thanks to [Ma08a, 4.1] and [CS17a, 7.4]. So we will check the inductive McKay condition of [IMN07] with $\mathbf{G}_{\mathrm{sc}}^F$ acting as the universal covering of $\mathbf{G}_{\mathrm{sc}}^F/\mathrm{Z}(\mathbf{G}_{\mathrm{sc}}^F)$.

The condition is empty for primes not dividing $|\mathbf{G}_{\mathrm{sc}}^F|$, so we assume ℓ is a prime divisor of $|\mathbf{G}_{\mathrm{sc}}^F|$. Thanks to [S12, 1.1] and [MaSp16] we can assume that $\ell \neq p, 2$. Let us take d the order of q mod ℓ and \mathbf{S} , N, \widetilde{N} , etc. as in our statement. First $\mathbf{S} \neq 1$. Indeed, since ℓ divides $|\mathbf{G}_{\mathrm{sc}}^F|$, we know that some cyclotomic polynomial $\Phi_{d\ell^a}$ for $a \geq 0$ divides the order of $|\mathbf{G}_{\mathrm{sc}}^F|$ seen as a polynomial in q. But then Φ_d itself divides this polynomial order as can be seen on tables for $|\mathbf{G}_{\mathrm{sc}}^F|$ (see for instance [MaTe, Table 24.1]).

Assuming now A(d), B(d) and A(∞) from Definition 2.2, we prove that $\mathbf{G}_{\mathrm{sc}}^F/\mathbf{Z}(\mathbf{G}_{\mathrm{sc}}^F)$ satisfies the inductive McKay condition for ℓ by reviewing the requirements given in [S12, 2.12]. The group theoretical requirements that $\widetilde{\mathbf{G}}^F/\mathbf{G}_{\mathrm{sc}}^F$ is abelian, $\mathbf{C}_{\widetilde{\mathbf{G}}^FE}(\mathbf{G}_{\mathrm{sc}}^F)=\mathbf{Z}(\widetilde{\mathbf{G}}^F)$ and $\widetilde{\mathbf{G}}^FE$ induces the whole Aut($\mathbf{G}_{\mathrm{sc}}^F$) are known as said in [S12, 3.4(a)] (see also [GLS, 2.5.4]).

One has $N \neq \mathbf{G}_{\mathrm{sc}}^F$. Otherwise \mathbf{S} is normalized by $\mathbf{G}_{\mathrm{sc}}^F$, hence $C_{\mathbf{G}_{\mathrm{sc}}}(\mathbf{S})^F := C \leq \mathbf{G}_{\mathrm{sc}}^F$ which implies that $C \in \{\mathbf{G}_{\mathrm{sc}}^F, \, \mathbf{Z}(\mathbf{G}_{\mathrm{sc}}^F)\}$ since $\mathbf{G}_{\mathrm{sc}}^F$ is quasi-simple. If $C = \mathbf{G}_{\mathrm{sc}}^F$ then $\mathbf{S} \leq \mathbf{Z}(\mathbf{G}_{\mathrm{sc}})$ by $[\mathbf{B}, 6.1]$, which in turn contradicts $\mathbf{S} \neq 1$ since $\mathbf{Z}(\mathbf{G}_{\mathrm{sc}})$ is finite. If $C = \mathbf{Z}(\mathbf{G}_{\mathrm{sc}}^F)$, arguing on the Sylow p-subgroup of the finite reductive group C, it is easy to see that $C_{\mathbf{G}_{\mathrm{sc}}}(\mathbf{S})$ would be a (maximal F-stable) torus \mathbf{T} with $\ell \mid |\mathbf{Z}(\mathbf{G}_{\mathrm{sc}}^F)| = |\mathbf{T}^F|$. Discussing along the lines of [C, Proof of 3.6.7], one finds only types A_1 and 2A_2 for $(q, \ell) = (3, 2)$ and (2, 3) respectively, but then $\mathbf{G}_{\mathrm{sc}}^F$ is solvable which is again a contradiction.

By [Ma07, 5.14, 5.19], we know that there is a Sylow ℓ -subgroup Q of $\mathbf{G}_{\mathrm{sc}}^F$ such that $N_{\mathbf{G}_{\mathrm{sc}}^F}(Q) \leq N$ except if $\mathbf{G}_{\mathrm{sc}}^F$ is among a list of exceptions for which the inductive McKay condition has been checked in [Ma08, §3.3]. We have seen that any element of $\widetilde{\mathbf{G}}^F E$ acts on $\mathbf{G}_{\mathrm{sc}}^F$ as the restriction of a bijective endomorphism σ of \mathbf{G}_{sc} defined up to powers of F. This explains why the group $(\widetilde{\mathbf{G}}^F E)_{\mathbf{S},\psi_0}$ is well-defined. For such a σ stabilizing N, one has by a Frattini argument $\sigma(Q) = Q^g$ for some $g \in N$. Applying [CS13, 2.5] to $g\sigma$, this implies that $\sigma(\mathbf{S}) = \mathbf{S}$. So our groups O and \widetilde{N} are indeed the ones denoted the same in [S12, 2.12].

Maximal extendibility holds with respect to $\mathbf{G}_{\mathrm{sc}}^F \leq \widetilde{\mathbf{G}}^F$ as recalled in the remark above, while it is here assumed for the inclusion $N \leq \widetilde{N}$ through condition $\mathbf{B}(d)$. This completes the proof of points 2.12(i)-(iii) of [S12]. Points 2.12(v) and 2.12(vi) are clearly contained in our assumptions $\mathbf{A}(\infty)$ and $\mathbf{A}(d)$.

The remaining requirement 2.12(iv) of [S12] is a consequence of [CS17a, $\S 6$] assuming B(d) as explained in [MaSp16, Proof of 7.3].

Remark 2.5. Let us say how assumption $A(\infty)$ in fact determines $Irr(\mathbf{G}_{sc}^F)$ as an $Out(\mathbf{G}_{sc}^F)$ -set. We keep the notations \mathbf{G}_{sc} , $\widetilde{\mathbf{G}}$, E, and we abbreviate $\mathcal{Z} = \mathbf{G}^F/\mathbf{G}_{sc}^F$. Then the semi-direct product $\mathcal{Z} \rtimes E$ acts on $Irr(\mathbf{G}_{sc}^F)$. Assume that \mathbf{G}_{sc} satisfies the stabilizer part of the $A(\infty)$ condition, that is

(a) any \mathcal{Z} -orbit in $\operatorname{Irr}(\mathbf{G}_{\operatorname{sc}}^F)$ has an element χ such that $(\mathcal{Z}E)_{\chi} = \mathcal{Z}_{\chi}E_{\chi}$.

Then as a $\mathcal{Z}E$ -set, $\operatorname{Irr}(\mathbf{G}_{\operatorname{sc}}^F)$ is a disjoint union of transitive $\mathcal{Z}E$ -sets of the type $\mathcal{Z}E/\mathcal{Z}_iE_i$ for $\mathcal{Z}_i \leq \mathcal{Z}$, $E_i \leq E \cap \operatorname{N}_{\mathcal{Z}E}(\mathcal{Z}_i)$.

One can then determine the stabilizers $(\mathcal{Z}E)_{\chi} = \mathcal{Z}_{\chi}E_{\chi}$ from the action of E and $\mathcal{Z}^* := \operatorname{Irr}(\widetilde{\mathbf{G}}^F \mid 1_{\mathbf{G}_{\operatorname{sc}}^F})$ on $\operatorname{Irr}(\widetilde{\mathbf{G}}^F)$, which is known by [CS13, 3.1]. The latter gives the term E_{χ} since $E_{\chi} = E_{\mathcal{Z}_{\cdot}\chi} = E_{\mathcal{Z}^*_{\cdot}\widetilde{\chi}}$ where $\widetilde{\chi} \in \operatorname{Irr}(\widetilde{\mathbf{G}}^F \mid \chi)$. On the other hand, [L88, 9.(a)] tells us that $\mathcal{Z}_{\chi} = (\mathcal{Z}_{\widetilde{\chi}}^*)^{\perp}$ where orthogonality refers here to the perfect pairing between \mathcal{Z} and $\mathcal{Z}^* \cong \operatorname{Hom}(\mathcal{Z}, \mathbb{C}^{\times})$.

3 The global condition $A(\infty)$ for types B, C, and E

The aim of this part is to check the property $A(\infty)$ from Definition 2.2 for the types listed. This is Theorem 3.10 below. It clearly implies Theorem B announced in the introduction. Many statements have however a broader range, see Theorems 3.3, 3.7 and 3.8.

3.A A descent theorem for invariant classes.

We show in this section a descent equality for the left-hand side of Equation (1.0.2). This is Theorem 3.3. We have to recall the basic notions and notations for twisted conjugacy and norm (or Shintani) maps.

Let H be a group. For $\sigma \in \operatorname{Aut}(H)$ and $h \in H$ one denotes by $h\sigma \in \operatorname{Aut}(H)$ the composite of σ with conjugation by h, namely $(h\sigma)(x) = h \cdot \sigma(x) \cdot h^{-1}$ for $x \in H$. One denotes by \sim_H the relation on H of conjugacy.

More generally, one denotes by \sim_{σ} the equivalence relation on H such that for $h', h'' \in H$, $h' \sim_{\sigma} h''$ means that there exists $h \in H$ with $h'' = h^{-1}h'\sigma(h)$. Seeing H as a normal subgroup of a semi-direct product $H \rtimes \langle c \rangle$ where c acts on H by σ , one has a bijection $h \mapsto hc$ sending H to the coset Hc and \sim_{σ} is transformed into \sim_{H} or equivalently $\sim_{H \rtimes \langle c \rangle}$.

When H is finite, maps $H \to \mathbb{C}$ that are constant on \sim_{σ} -classes have a well-known basis defined in terms of $\operatorname{Irr}(H)^{\langle \sigma \rangle}$. The following can be found in [I, 8.14] or [Shi76, 1.1-3].

Proposition 3.1. Let $Y \subseteq X$ be finite groups and $x \in X$ with $\langle Y, x \rangle = X$. Let Λ be an extension map with respect to $Y \subseteq X$ (see beginning of Sect. 2), which exists by [I, 11.22] since X/Y is cyclic. Then $(\Lambda(\chi)]_{xY})_{\chi \in \operatorname{Irr}(Y)^X}$ forms a basis of the space of \sim_X -class functions $xY \to \mathbb{C}$, in particular $|xY|_{xY} = |xY|_{xY} = |\operatorname{Irr}(Y)^X|$.

The following norm map was first introduced by T. Shintani in [Shi76]. Let **H** be a connected algebraic group and $F_1, F_2 : \mathbf{H} \to \mathbf{H}$ be Frobenius morphisms (see [DM, § 3]) such that $F_1F_2 = F_2F_1$. Note that by Lang's theorem (see [MaTe, 21.7]) every element of **H** can be written as $y^{-1}F_2(y)$ for some $y \in \mathbf{H}$.

Theorem 3.2 ([Sh98, $\S 1.1$]). The map

$$N_{F_1/F_2}^{(\mathbf{H})}: \mathbf{H}^{F_1}/\sim_{F_2} \longrightarrow \mathbf{H}^{F_2}/\sim_{F_1} \ given \ by \ y^{-1}F_2(y) \mapsto F_1(y)y^{-1}$$

is well-defined and bijective.

A classical consequence of Proposition 3.1 and Theorem 3.2 is that

$$|\operatorname{Irr}(\mathbf{H}^{F_1^m})^{F_1}| = |\operatorname{Irr}(\mathbf{H}^{F_1})|$$

for any $m \ge 1$, see [Shi76, 2.7]. We need a slight variant adding a diagonal automorphism to the picture.

Theorem 3.3. Let $F_1 : \widetilde{\mathbf{G}} \to \widetilde{\mathbf{G}}$ a Frobenius endomorphism of a connected algebraic group, let $F := F_1^m$ for some integer $m \ge 1$. Let $\mathbf{G} \le \widetilde{\mathbf{G}}$ be a closed F_1 -stable connected subgroup such that $[\widetilde{\mathbf{G}}, \widetilde{\mathbf{G}}] \le \mathbf{G}$. Let $t \in \widetilde{\mathbf{G}}^F$. Then

$$|\operatorname{Irr}(\mathbf{G}^F)^{\langle tF_1 \rangle}| = |\operatorname{Irr}(\mathbf{G}^{F_1})^{\langle t_1 \rangle}|$$
 (3.3.1)

for some $t_1 \in \widetilde{\mathbf{G}}^{F_1}$ corresponding to t by $N_{F/F_1}^{(\widetilde{\mathbf{G}})}$.

Moreover, if $\widetilde{\mathbf{G}}^F = \langle \mathbf{G}^F, t \rangle$, then $\widetilde{\mathbf{G}}^{F_1} = \langle \mathbf{G}^{F_1}, t_1 \rangle$.

Proof. Let us first consider the pair of commuting Frobenius endomorphisms (F, tF_1) on \mathbf{G} . Theorem 3.2 implies that

$$|\mathbf{G}^F/\sim_{tF_1}| = |\mathbf{G}^{tF_1}/\sim_F|.$$

Working now in the semi-direct product $\widetilde{\mathbf{G}} \rtimes \langle F_1 \rangle$, the considerations given at the start of the section allow to rewrite the above as

$$|\mathbf{G}^F t F_1 / \sim_{\mathbf{G}^F}| = |\mathbf{G}^{tF_1} F / \sim_{\mathbf{G}^{tF_1}}|.$$

Lang's theorem ensures that there is $g \in \widetilde{\mathbf{G}}$ such that $t = g^{-1}F_1(g)$. Denote $t_1 = F(g)g^{-1} \in \widetilde{\mathbf{G}}^{F_1}$, so that the \sim_F -class of t_1 is associated to t by $N_{F/F_1}^{(\widetilde{\mathbf{G}})}$. Now the interior automorphism $x \mapsto gxg^{-1}$ of $\widetilde{\mathbf{G}} \rtimes \langle F_1 \rangle$ sends \mathbf{G}^{tF_1} to \mathbf{G}^{F_1} and F to $t_1^{-1}F$. Applying that to the right hand side of the equation above, we deduce

$$|\mathbf{G}^{F}tF_{1}/\sim_{\mathbf{G}^{F}}| = |\mathbf{G}^{F_{1}}t_{1}^{-1}F/\sim_{\mathbf{G}^{F_{1}}}|.$$

Using the last equation in Proposition 3.1 allows to write the above as

$$|\operatorname{Irr}(\mathbf{G}^F)^{\langle tF_1 \rangle}| = |\operatorname{Irr}(\mathbf{G}^{F_1})^{\langle t_1^{-1}F \rangle}|.$$
 (3.3.2)

We know that $F = F_1^m$ acts trivially on \mathbf{G}^{F_1} , so the right hand side above can be rewritten as $|\operatorname{Irr}(\mathbf{G}^{F_1})^{\langle t_1^{-1} \rangle}|$ or equivalently $|\operatorname{Irr}(\mathbf{G}^{F_1})^{\langle t_1 \rangle}|$. This finishes the proof of (3.3.1).

In order to check the last statement, denote $\mathbf{C} = \widetilde{\mathbf{G}}/\mathbf{G}$. This is an abelian connected algebraic group where we can define $N_{F/F_1}^{(\mathbf{C})}$. Since $t_1 \in \widetilde{\mathbf{G}}^{F_1}$ is such that its \sim_F -class is the image by $N_{F/F_1}^{(\widetilde{\mathbf{G}})}$ of the \sim_{F_1} -class of t, the cosets mod \mathbf{G} satisfy $t_1\mathbf{G} = N_{F/F_1}^{(\mathbf{C})}(t\mathbf{G})$ as is easily seen from the definition of Shintani norm maps. In the abelian group \mathbf{C} , one has $\mathbf{C}^F/\sim_{F_1} = \mathbf{C}^F/[\mathbf{C}^F, F_1]$ while \sim_F is trivial on \mathbf{C}^{F_1} . Then $N_{F/F_1}^{(\mathbf{C})} = N_{F_1}^{(\mathbf{C})}$ is just the map $\mathbf{C}^F/[\mathbf{C}^F, F_1] \to \mathbf{C}^{F_1}$ defined by $x \mapsto xF_1(x) \dots F_1^{m-1}(x)$ on \mathbf{C}^F (see also [Sh98, §1.2]). This is a group morphism and it is a bijection by Theorem 3.2, so the assumption that $t\mathbf{G} \in \widetilde{\mathbf{G}}/\mathbf{G}$ generates $(\widetilde{\mathbf{G}}/\mathbf{G})^F = \widetilde{\mathbf{G}}^F/\mathbf{G}^F$ implies that $t_1\mathbf{G}$ generates $(\widetilde{\mathbf{G}}/\mathbf{G})^{F_1}$.

3.B Genericity of unipotent characters and automorphisms.

In [BMM93], Broué-Malle-Michel developed a language underlining the generic nature of unipotent characters. In the following we point out how this feature can take into account the action of automorphisms. This is a variation of the results given in [BMM93, § 1B] and extends their proof.

Let us recall that to each reductive group \mathbf{H} is associated its isomorphism type Γ of (finite crystallographic) root system represented by a Dynkin diagram. Any Frobenius endomorphism $F \colon \mathbf{H} \to \mathbf{H}$ defines a unique $F_{\Gamma} \in \operatorname{Aut}(\Gamma)$, a consequence of the $\sim_{\mathbf{H}}$ -uniqueness of pairs $\mathbf{T} \leq \mathbf{B}$ (see [BMM93, § 1A], [MaTe, 22.2]). We also deal with bijective endomorphisms

$$f \colon \mathbf{H} \to \mathbf{H}$$

that commute with F. They are of the same form or algebraic automorphisms, both cases giving rise to an

$$f_{\Gamma} \in \operatorname{Aut}(\Gamma)$$

commuting with F_{Γ} (see [GLS, 2.5.5(c)]).

Proposition 3.4. Let Γ be a (finite crystallographic) root system. Then there exist a finite $\operatorname{Aut}(\Gamma)$ -set $U(\Gamma)$ and, for each reductive group \mathbf{H} with root system Γ and Frobenius map $F \colon \mathbf{H} \to \mathbf{H}$, a bijection

$$\tau_{\mathbf{H},F} \colon \mathcal{E}(\mathbf{H}^F, 1) \xrightarrow{\sim} U(\Gamma)^{F_{\Gamma}}$$
 (1)

satisfying the following.

For any bijective algebraic morphism $f \colon \mathbf{H} \to \mathbf{H}$ with $f \circ F = F \circ f$ one has

$$f_{\Gamma}(\tau_{\mathbf{H},F}(\lambda \circ f)_{\mathbf{H}^F})) = \tau_{\mathbf{H},F}(\lambda) \text{ for any } \lambda \in \mathcal{E}(\mathbf{H}^F, 1).$$
 (2)

Proof. Note first that since one considers only unipotent characters, it is sufficient to define $\tau_{\mathbf{H},F}$ in cases where $\mathbf{H} = \mathbf{H}_{\mathrm{ad}}$ (see [DM, 13.20]). Note also that Equation (2) is just an equivariance condition, so that it is preserved by composition of endomorphisms satisfying it.

One defines $U(\Gamma)$ as follows. For Γ an isomorphism type of *irreducible* root system, let $W(\Gamma)$ be the associated Weyl group, and let \mathbb{G}_0 be the adjoint generic group associated with Γ and the trivial coset $W(\Gamma)$ inside the automorphism group of the coroot lattice in the sense of [BMM93, 1.A]. Let $U(\Gamma) = \text{Uch}(\mathbb{G}_0)$ in the sense of [BMM93, 1.26] and proof. Recall that $\text{Uch}(\mathbb{G}_0)$ is defined in terms of pairs associated to Lusztig families and relevant subgroups of $W(\Gamma)$. By [BMM93, 1.27], this is an $\text{Aut}(\Gamma)$ -set and there is a bijection $\tau_{\mathbf{H},F} \colon \mathcal{E}(\mathbf{H}^F,1) \xrightarrow{\sim} U(\Gamma)$ satisfying (2) for all split ($F_{\Gamma} = \text{Id}_{\Gamma}$) groups (\mathbf{H},F) by [BMM93, 1.28]. The bijection is denoted by $\gamma^{\mathbf{H}^F} \leftrightarrow \gamma$ in [BMM93, 1.26].

Let us look at cases where Γ is still irreducible but F_{Γ} is not necessarily Id_{Γ} . Here we have to diverge slightly from [BMM93, 1.B] since for the adjoint generic group \mathbb{G} corresponding to (Γ, F_{Γ}) , the set $\mathrm{Uch}(\mathbb{G})$ does not identify readily with $\mathrm{Uch}(\mathbb{G}_0)^{F_{\Gamma}}$. Given the equivariance already verified in the split case, we must ensure that

$$|\mathcal{E}(\mathbf{H}^F, 1)| = |\mathcal{E}(\mathbf{H}^{F_0}, 1)^F| \tag{3.4.1}$$

for $F_0: \mathbf{H} \to \mathbf{H}$ a split Frobenius morphism commuting with F. This has been proved by Shoji [Sh85, 2.2], [Sh87, 3.2], see also [BMM93, p. 24]. (Remark 3.5 below gives a combinatorial proof of this fact.)

Thanks to what has been seen about the split case above, this means $|\mathcal{E}(\mathbf{H}^F, 1)| = |U(\Gamma)^{F_{\Gamma}}|$ and therefore one may define $\tau_{\mathbf{H},F} \colon \mathcal{E}(\mathbf{H}^F, 1) \stackrel{\sim}{\longrightarrow} U(\Gamma)^{F_{\Gamma}}$ arbitrarily when F_{Γ} is non-trivial. To check (2) in that case, note first that automorphisms of simple groups of twisted Lie types act trivially on unipotent characters (see [L88, p. 59], [Ma07, 3.7]). So (2) simply asserts that f_{Γ} acts trivially on $U(\Gamma)^{F_{\Gamma}}$ whenever $f_{\Gamma} \in \operatorname{Aut}(\Gamma)$ commutes with the non-trivial F_{Γ} . This is actually the case since then $f_{\Gamma} \in \langle F_{\Gamma} \rangle$ because $\operatorname{Aut}(\Gamma)$ is either dihedral of order 6 (type D_4) or of order ≤ 2 (other types).

When Γ is not connected, let $U(\Gamma) := \Pi_i U(\Gamma_i)$ where the Γ_i 's are the connected components of Γ . We have $\operatorname{Aut}(\Gamma) = \Pi_\omega \operatorname{Aut}(\Gamma_\omega) \wr S_{|\omega|}$ where ω ranges over the isomorphism classes in $\{\Gamma_i\}_i$ and Γ_ω is the corresponding type. Moreover $\mathbf{H} = \mathbf{H}_{\operatorname{ad}} = \Pi_i \mathbf{H}_i$ a direct product along the components of Γ and any bijective endomorphism of \mathbf{H} permutes the \mathbf{H}_i 's (see for instance [CS13, p. 700]). So we can assume that there is a single ω , i.e. the Γ_i 's are all isomorphic. If $F : \mathbf{H} \to \mathbf{H}$ is a Frobenius map for a reductive group of type Γ , then $\mathbf{H}_{\operatorname{ad}}^F = \Pi_o \mathbf{H}_{i_o}^{F^{|o|}}$ where o ranges over the orbits of F permuting the i's and $i_o \in o$. The definition of $\tau_{\mathbf{H},F}$ satisfying (1) then derives from the irreducible case above. Concerning (2), let us note that for any $\sigma \in \operatorname{Aut}(\Gamma)$ commuting with F_{Γ} one defines easily an algebraic automorphism $\sigma_{\mathbf{H}} : \mathbf{H}_{\operatorname{ad}} \to \mathbf{H}_{\operatorname{ad}}$ inducing σ on Γ and satisfying (2). Now, if $f : \mathbf{H}_{\operatorname{ad}} \to \mathbf{H}_{\operatorname{ad}}$ is as in (2), one may compose it with $\sigma_{\mathbf{H}}^{-1}$ for $\sigma = f_{\Gamma}$ the element of $\operatorname{Aut}(\Gamma)$ induced by f, so that the checking of (2) now reduces to an f that preserves each \mathbf{H}_i . The irreducible case already checked then gives our claim.

Remark 3.5. It is possible to give a purely combinatorial proof of equation (3.4.1) using only the known action of automorphisms of finite groups of Lie type on unipotent characters (see [Ma07, 3.7]). We use the combinatorics described in [C, § 13.8].

For the automorphism of order 2 of type D, one has to define a rank-preserving bijection between non-degenerate symbols of defect in $4\mathbb{Z}$ on one hand, and symbols of defect in $2+4\mathbb{Z}$, the latter a parametrizing set for unipotent characters of groups ${}^2\mathsf{D}_l(q)$, on the other hand. Such a bijection is for instance $\Lambda \mapsto \Lambda^*$ where the symbol Λ^* is obtained from $\Lambda = \begin{pmatrix} T' \\ T'' \end{pmatrix}$ by moving the biggest element of $(T' \cup T'') \setminus (T' \cap T'')$ from the set where it belongs to the other one.

For the automorphism of order 3 of D_4 , there are 8 symbols of rank 4 and defect in $4\mathbb{Z}$ that are fixed under that automorphism, and this is indeed the number of unipotent characters for type 3D_4 .

In the case of types ${}^{2}A$ and ${}^{2}E_{6}$, it is well-known that unipotent characters are in bijection with the ones of the corresponding non-twisted group.

Corollary 3.6. For any pair of commuting Frobenius endomorphisms $F_1, F_2 : \mathbf{C} \to \mathbf{C}$ of a reductive group \mathbf{C} over \mathbb{F} , there is a bijection

$$\mathcal{E}(\mathbf{C}^{F_1},1)^{F_2} \to \mathcal{E}(\mathbf{C}^{F_2},1)^{F_1}$$

which is equivariant for algebraic automorphisms $\mathbf{C} \to \mathbf{C}$ commuting with both F_1 and F_2 .

Proof. Let us denote by Γ the isomorphism type of the root system of \mathbf{C} and by ϕ_i the automorphism of Γ induced by F_i for i=1,2. Proposition 3.4 gives an $\operatorname{Aut}(\Gamma)$ -set $U(\Gamma)$ and maps $\tau_{\mathbf{C},F_i}\colon \mathcal{E}(\mathbf{C}^{F_i},1) \xrightarrow{\sim} U(\Gamma)^{\phi_i}$ satisfying the equivariance property (2) of Proposition 3.4. The restriction of $\tau_{\mathbf{C},F_2}$ to $\mathcal{E}(\mathbf{C}^{F_2},1)^{F_1}$ gives a bijection

$$\tau_1 \colon \mathcal{E}(\mathbf{C}^{F_2}, 1)^{F_1} \xrightarrow{\sim} U(\Gamma)^{\langle \phi_1, \phi_2 \rangle}$$

thanks to condition 3.4(2) applied to $f = F_1$. The bijection τ_1 also satisfies the same commutation property 3.4(2) for automorphisms f of \mathbf{C} commuting with both F_1 and F_2 . Interchanging the roles of F_1 and F_2 , we also obtain $\tau_2 \colon \mathcal{E}(\mathbf{C}^{F_1}, 1)^{F_2} \xrightarrow{\sim} U(\Gamma)^{\langle \phi_1, \phi_2 \rangle}$. Now the bijection $\tau_1^{-1}\tau_2$ satisfies our claim.

3.C A descent theorem for invariant characters.

The following theorem deals with the right hand side of Equation (1.0.2). This is again a descent equality relating the number for some group \mathbf{G}^F to a similar number for a smaller group \mathbf{G}^{F_1} where F is a power of F_1 . Shintani maps again play a role through the use of Shoji's theorems in the proof of Proposition 3.4.

Theorem 3.7. Assume $\mathbf{G} = \mathbf{G}_{\mathrm{sc}}$ is simple of type B, C or E. Let $\mathbf{G} \leq \widetilde{\mathbf{G}}$ be a regular embedding. Let $F_1 \colon \widetilde{\mathbf{G}} \to \widetilde{\mathbf{G}}$ be a Frobenius morphism defining it over \mathbb{F}_{q_1} . Let $m \geq 1$, $q := q_1^m$ and let $F = F_1^m$ defining $\widetilde{\mathbf{G}}$ over \mathbb{F}_q . Assume F_1 and F coincide on $Z(\mathbf{G}_{\mathrm{sc}})$. Then

$$|\operatorname{Irr}(\mathbf{G}^F)^{\langle \widetilde{\mathbf{G}}^F, F_1 \rangle}| = |\operatorname{Irr}(\mathbf{G}^{F_1})^{\widetilde{\mathbf{G}}^{F_1}}|.$$

Proof. The image of $\widetilde{\mathbf{G}}^F$ in $\mathrm{Out}(\mathbf{G}^F)$ does not depend on the choice of the regular embedding since it also coincides with the image of $\mathbf{G}_{\mathrm{ad}}^F = \widetilde{\mathbf{G}}^F/\mathrm{Z}(\widetilde{\mathbf{G}})^F$. As seen in Sect. 2.A, one can assume that $\mathbf{Z} := \mathrm{Z}(\widetilde{\mathbf{G}})$ is a torus of dimension 1.

Let us form the semi-direct product $\widetilde{\mathbf{G}}^F \rtimes \langle \sigma \rangle$ where σ has order m and acts by F_1 . Let us show that $\widetilde{\mathbf{G}}^F \langle \sigma \rangle / \mathbf{G}^F$ has trivial Schur multiplier. When F is split, then F_1 is also split by the hypothesis on action on the center and $\widetilde{\mathbf{G}}^F \langle \sigma \rangle / \mathbf{G}^F \cong \mathbb{F}_q^{\times} \rtimes \langle \sigma \rangle$ has trivial Schur multiplier by [IMN07, 14.1]. When F is not split then the type is \mathbf{E}_6 and both F and F_1 act on $\mathbf{Z}(\mathbf{G}_{sc})$ by inversion. On $\widetilde{\mathbf{G}}/\mathbf{G} \cong \mathbb{F}^{\times}$, F_1 acts by $t \mapsto t^{-q_1}$ and we have $-q = (-q_1)^m$. Then $\widetilde{\mathbf{G}}^F \langle \sigma \rangle / \mathbf{G}^F \cong \langle \zeta_0 \rangle \rtimes \langle \sigma \rangle$ where ζ_0 is an element of order q+1 in \mathbb{F}^{\times} and $\sigma(\zeta_0) = \zeta_0^{-q_1}$. The fixed point subgroup $\langle \zeta_0 \rangle^{\langle \sigma \rangle}$ is the subgroup of order q_1+1 , generated by ζ_0^{q+1} . The latter is clearly $(\zeta_0\sigma)^m$ in $\langle \zeta_0 \rangle \rtimes \langle \sigma \rangle$ since $-q = (-q_1)^m$. Therefore $\langle \zeta_0 \rangle \rtimes \langle \sigma \rangle = CB$ where $B = \langle \zeta_0\sigma \rangle$ and $C = \langle \zeta_0 \rangle \unlhd CB$, to which we can apply [IMN07, 14.3] since $\mathbf{Z}(CB) \cap C = \left\langle \zeta_0^{q+1} \right\rangle \leq B$. We get that $\langle \zeta_0 \rangle \rtimes \langle \sigma \rangle$ and therefore $\widetilde{\mathbf{G}}^F \langle F_1 \rangle / \mathbf{G}^F$ has trivial Schur multiplier in all cases.

From the above, we conclude that the characters of \mathbf{G}^F invariant under $(\widetilde{\mathbf{G}}^F/\mathbf{G}^F) \rtimes \langle F_1 \rangle$ do extend to $\widetilde{\mathbf{G}}^F \rtimes \langle F_1 \rangle$. Clifford theory then implies that

$$|\mathrm{Irr}(\mathbf{G}^F)^{\left\langle \widetilde{\mathbf{G}}^F, F_1 \right\rangle}| = |\mathrm{Irr}(\widetilde{\mathbf{G}}^F/\mathbf{G}^F)^{F_1}|^{-1}|\mathrm{Irr}'(\widetilde{\mathbf{G}}^F)^{F_1}|$$

where $\operatorname{Irr}'(\widetilde{\mathbf{G}}^F)$ is the subset of $\operatorname{Irr}(\widetilde{\mathbf{G}}^F)$ of characters restricting irreducibly to \mathbf{G}^F . By a trivial application of Brauer's permutation lemma [I, 6.32] applied to the abelian group $\widetilde{\mathbf{G}}^F/\mathbf{G}^F$, we have $|\operatorname{Irr}(\widetilde{\mathbf{G}}^F/\mathbf{G}^F)^{F_1}| = |(\widetilde{\mathbf{G}}^F/\mathbf{G}^F)^{F_1}|$ which in turn is by Lang's theorem $|((\widetilde{\mathbf{G}}/\mathbf{G})^F)^{F_1}| = |\widetilde{\mathbf{G}}^{F_1}/\mathbf{G}^{F_1}|$. So indeed

$$|\operatorname{Irr}(\mathbf{G}^F)^{\langle \widetilde{\mathbf{G}}^F, F_1 \rangle}| = |\widetilde{\mathbf{G}}^{F_1}/\mathbf{G}^{F_1}|^{-1}|\operatorname{Irr}'(\widetilde{\mathbf{G}}^F)^{F_1}|. \tag{3.7.1}$$

Since our claim amounts to replacing (F_1, F) with (F_1, F_1) while keeping our number $|\operatorname{Irr}(\mathbf{G}^F)^{\langle \tilde{\mathbf{G}}^F, F_1 \rangle}|$ unchanged, it now reduces to showing that

$$|\operatorname{Irr}'(\widetilde{\mathbf{G}}^F)^{F_1}| = |\operatorname{Irr}'(\widetilde{\mathbf{G}}^{F_1})|.$$

Denote $\mathbf{H} = \widetilde{\mathbf{G}}^*$. As in the proof of [CS17b, 2.3] the characters of $\widetilde{\mathbf{G}}^F$ are parametrized by \mathbf{H}^F -conjugacy classes of pairs (s, λ) with $s \in \mathbf{H}_{ss}^F$ and $\lambda \in \mathcal{E}(\mathbf{C}_{\mathbf{H}}(s)^F, 1)$. Moreover, this parametrization is F_1 -equivariant. Since centralizers of semi-simple elements of \mathbf{H} are connected by [DM, 13.15(ii)], there are well-known bijections

$$\mathbf{H}_{\mathrm{ss}}^{F}/{\sim_{\mathbf{H}^{F}}}\longleftrightarrow\mathbf{H}_{\mathrm{ss}}^{F}/{\sim_{\mathbf{H}}}\longleftrightarrow(\mathbf{H}_{\mathrm{ss}}/{\sim_{\mathbf{H}}})^{F}$$

(see [G, 4.3.6]). They are F_1 -equivariant and hence

$$(\mathbf{H}_{ss}^F/\sim_{\mathbf{H}^F})^{F_1} \stackrel{\sim}{\longleftarrow} \mathbf{H}_{ss}^{F_1}/\sim_{\mathbf{H}^{F_1}}$$

by the obvious map. Therefore F_1 -stable \mathbf{H}^F -classes of pairs (s, λ) correspond to \mathbf{H}^{F_1} -classes of pairs (s, λ) with $s \in \mathbf{H}^{F_1}_{ss}$ and $\lambda \in \mathcal{E}(C_{\mathbf{H}}(s)^F, 1)^{F_1}$.

Recall that by the simply-connectedness of \mathbf{G} , \mathbf{G}^* is adjoint and therefore can be thought of as $\mathbf{H}/\operatorname{Z}(\mathbf{H})$. When $s \in \mathbf{H}$, one denotes by A(s) the group of components of $\operatorname{C}_{\mathbf{G}^*}(s\operatorname{Z}(\mathbf{H}))$. Recall that it identifies F_1 -equivariantly with a subgroup of $\operatorname{Irr}(\operatorname{Z}(\mathbf{G}))$, see [DM, 13.14(iii)]. Via the parametrization of $\operatorname{Irr}(\widetilde{\mathbf{G}}^F)$ above, the subset $\operatorname{Irr}'(\widetilde{\mathbf{G}}^F)$ of characters restricting irreducibly to \mathbf{G}^F corresponds to pairs (s,λ) with $A(s)^F_{\lambda} = \{1\}$ ([L88, 5.1], see proof of [CS17b, 2.3]). By the assumption that F_1 acts the same as F on $\operatorname{Z}(\mathbf{G}_{\operatorname{sc}})$, one has $A(s)^F = A(s)^{F_1}$, therefore $\operatorname{Irr}'(\widetilde{\mathbf{G}}^F)^{F_1}$ corresponds to the \mathbf{H}^{F_1} -classes of pairs (s,λ) with $s \in \mathbf{H}^{F_1}_{\operatorname{ss}}$ and $\lambda \in \mathcal{E}(\operatorname{C}_{\mathbf{H}}(s)^F, 1)^{F_1}$ such that $A(s)^{F_1}_{\lambda} = \{1\}$.

Now applying Corollary 3.6 with $\mathbf{C} = C_{\mathbf{H}}(s)$ and (F_1, F_2) being the present (F, F_1) , for a fixed semi-simple $s \in \mathbf{H}^{F_1}$, we get a bijection

$$\mathcal{E}(C_{\mathbf{H}}(s)^F, 1)^{F_1} \ni \lambda \mapsto \lambda' \in \mathcal{E}(C_{\mathbf{H}}(s)^{F_1}, 1)$$

that is equivariant for the action of $C_{\mathbf{G}^*}(s \operatorname{Z}(\mathbf{H}))^{F_1}$. So it sends any λ such that $A(s)_{\lambda}^{F_1} = \{1\}$ to λ' with $A(s)_{\lambda'}^{F_1} = \{1\}$.

We now have the equality

$$|\operatorname{Irr}'(\widetilde{\mathbf{G}}^F)^{F_1}| = \sum_{s \in \mathbf{H}_{ss}^{F_1}} |C_{\mathbf{H}}(s)^{F_1}|^{-1} |\{\lambda' \in \mathcal{E}(C_{\mathbf{H}}(s)^{F_1}, 1) \mid A(s)_{\lambda'}^{F_1} = \{1\}\}|.$$

This implies $|\operatorname{Irr}'(\widetilde{\mathbf{G}}^F)^{F_1}| = |\operatorname{Irr}'(\widetilde{\mathbf{G}}^{F_1})^{F_1}|$ and therefore $|\operatorname{Irr}(\mathbf{G}^F)^{\langle \widetilde{\mathbf{G}}^F, F_1 \rangle}| = |\operatorname{Irr}(\mathbf{G}^{F_1})^{\widetilde{\mathbf{G}}^{F_1}}|$ thanks to (3.7.1).

3.D Graph automorphisms and invariant characters.

We study a situation related to the extendibility condition in $A(\infty)$ from Definition 2.2. Our main application is to groups with connected center, see Corollary 3.9. Again, the Shintani norm map is used in a crucial way to relate various subspaces of central functions.

Theorem 3.8. Let $\widetilde{\mathbf{G}}$ be a reductive group defined over a finite field with associated Frobenius map $F_0 \colon \widetilde{\mathbf{G}} \to \widetilde{\mathbf{G}}$. Let γ an algebraic automorphism of $\widetilde{\mathbf{G}}$ such that $\gamma^2 = \operatorname{Id}$ and $\gamma F_0 = F_0 \gamma$. Let $m \geq 1$ and denote $F = F_0^m$. Assume that any power $F_1 = F_0^{m'}$ with $m' \mid m$ satisfies

$$|\operatorname{Irr}(\widetilde{\mathbf{G}}^F)^{\langle F_1, \gamma \rangle}| = |\operatorname{Irr}(\widetilde{\mathbf{G}}^{F_1})^{\langle \gamma \rangle}|.$$
 (3.8.1)

Let E' be the subgroup of $\operatorname{Aut}(\widetilde{\mathbf{G}}^F)$ generated by the restrictions of F_0 and γ . Then maximal extendibility holds with respect to the inclusion $\widetilde{\mathbf{G}}^F \preceq \widetilde{\mathbf{G}}^F \rtimes E'$.

We will apply this through the following

Corollary 3.9. Let $G = G_{sc}$ and a pair $T \leq B$ as in Sect. 2.A above. We assume that there is a graph automorphism γ of order 2 and a Frobenius morphism F_0 taking $\mathbf{x}_{\alpha}(t)$ to $\mathbf{x}_{\gamma(\alpha)}(t)$, resp. to $\mathbf{x}_{\alpha}(t^p)$, for any $t \in \mathbb{F}$ and $\alpha \in \pm \Delta$. Recall that there is a regular embedding $G \leq \widetilde{G}$ where both F_0 and γ extend and commute, with F_0 defining \widetilde{G} over \mathbb{F}_p .

Let $E' \leq \operatorname{Aut}(\widetilde{\mathbf{G}}^F)$ be as above generated by the restrictions of F_0 and γ . Then any element of $\operatorname{Irr}(\widetilde{\mathbf{G}}^F)$ extends to its stabilizer in $\widetilde{\mathbf{G}}^F \rtimes E'$.

Proof. Assuming Theorem 3.8, it clearly suffices to check that assumption (3.8.1) is satisfied. Denote $\mathbf{H} = \widetilde{\mathbf{G}}^*$. Then arguing as in the proof of Theorem 3.7, the Jordan decomposition of characters in the group $\widetilde{\mathbf{G}}$ with connected center gives a bijection

$$\operatorname{Irr}(\widetilde{\mathbf{G}}^F)^{F_1} \longleftrightarrow (\coprod_{s \in \mathbf{H}_{\operatorname{scr}}^{F_1}} \mathcal{E}(C_{\mathbf{H}}(s)^F, 1)^{F_1}) / \sim_{\mathbf{H}^{F_1}}.$$

Thanks to [CS13, 3.1] this can be assumed to be (γ, γ^*) -equivariant where $\gamma^* \in \text{Aut}(\mathbf{H})$ is defined dually to γ . In the case $(F, F_1) = (F_1, F_1)$, we get a bijection

$$\operatorname{Irr}(\widetilde{\mathbf{G}}^{F_1}) \longleftrightarrow \coprod_{s \in \mathbf{H}_{\operatorname{ss}}^{F_1}} \mathcal{E}(C_{\mathbf{H}}(s)^{F_1}, 1) / \sim_{\mathbf{H}^{F_1}}.$$

The family of maps

$$\left(\mathcal{E}(C_{\mathbf{H}}(s)^F, 1)^{F_1} \longrightarrow \mathcal{E}(C_{\mathbf{H}}(s)^{F_1}, 1)\right)_{s \in \mathbf{H}_{ss}^{F_1}}$$

provided by Corollary 3.6 commutes with the \mathbf{H}^{F_1} and γ^* actions. So we get a bijection

$$\operatorname{Irr}(\widetilde{\mathbf{G}}^F)^{F_1} \longleftrightarrow \operatorname{Irr}(\widetilde{\mathbf{G}}^{F_1})$$

that is γ -equivariant. This clearly implies our claim. We then get the statement by applying Theorem 3.8.

Proof of Theorem 3.8. Let $\chi \in \operatorname{Irr}(\widetilde{\mathbf{G}}^F)$. If $\gamma \notin E'_{\chi}$, then E'_{χ} is cyclic and χ extends to $\widetilde{\mathbf{G}}^F E'_{\chi}$ by [I, 11.22]. We now assume $\gamma \in E'_{\chi}$ and therefore $E'_{\chi} = \langle F_0 \rangle_{\chi} \times \langle \gamma \rangle$. It is easy to see that the subgroups of $\langle F_0 \rangle$ in $\operatorname{Aut}(\widetilde{\mathbf{G}}^F)$ are all of the form $\langle F_0^{m'} \rangle$ for $m' \mid m$, the latter being the unique subgroup of $\langle F_0 \rangle$ with order $\frac{m}{m'}$. So it suffices to show that, for F_1 as in (3.8.1), any element of $\operatorname{Irr}(\widetilde{\mathbf{G}}^F)^{\langle F_1, \gamma \rangle}$ extends to $\widetilde{\mathbf{G}}^F \rtimes \langle F_1, \gamma \rangle$.

Let us consider the space $C_1 = \mathbb{C}\operatorname{Irr}(\widetilde{\mathbf{G}}^{F_1})$ of central functions on $\widetilde{\mathbf{G}}^{F_1}$. Note that γ is an automorphism of $\widetilde{\mathbf{G}}^{F_1}$, hence acts on C_1 . Since γ permutes the basis $\operatorname{Irr}(\widetilde{\mathbf{G}}^{F_1})$ we have $\operatorname{Tr}(\gamma, C_1) = |\operatorname{Irr}(\widetilde{\mathbf{G}}^{F_1})^{\langle \gamma \rangle}|$ and by (3.8.1)

$$\operatorname{Tr}(\gamma, C_1) = |\operatorname{Irr}(\widetilde{\mathbf{G}}^F)^{\langle F_1, \gamma \rangle}|.$$
 (3.9.1)

Let us form the semi-direct product $\widetilde{\mathbf{G}}^F \rtimes \langle F_1 \rangle$. We fix an extension map

$$\Lambda : \operatorname{Irr}(\widetilde{\mathbf{G}}^F)^{F_1} \longrightarrow \operatorname{Irr}(\widetilde{\mathbf{G}}^F \rtimes \langle F_1 \rangle)$$
$$\chi \longmapsto \Lambda(\chi),$$

giving an extension to each F_1 -invariant character of $\widetilde{\mathbf{G}}^F$, which is always possible since $\widetilde{\mathbf{G}}^F \rtimes \langle F_1 \rangle / \widetilde{\mathbf{G}}^F$ is cyclic [I, 11.22].

The Shintani norm map $N_{F/F_1}^{(\widetilde{\mathbf{G}})} : \widetilde{\mathbf{G}}^F/\sim_{F_1} \to \widetilde{\mathbf{G}}^{F_1}/\sim_F$ can be composed with multiplication by F_1 on the domain. This gives a bijection N': $\widetilde{\mathbf{G}}^F F_1 / \sim_{\widetilde{\mathbf{G}}^F} \to \widetilde{\mathbf{G}}^{F_1} / \sim_{\widetilde{\mathbf{G}}^{F_1}}$ as explained in Sect. 3.A. The map N' is defined by $y^{-1}F_1(y)F_1 \mapsto F(y)y^{-1}$ for $y \in \widetilde{\mathbf{G}}$ with $y^{-1}F_1(y) \in \widetilde{\mathbf{G}}^F$. Composition with N' induces a \mathbb{C} -linear isomorphism

$$C_2 \xrightarrow{\sim} C_1$$

where C_2 is the space of $\sim_{\widetilde{\mathbf{G}}^F}$ -class functions on $\widetilde{\mathbf{G}}^F F_1$. This isomorphism commutes with the action of γ as can be seen on the definition of N'.

By Proposition 3.1, a basis of C_2 is given by the family $\{\Lambda(\chi)|_{\widetilde{\mathbf{G}}^FF_1} \mid \chi \in \operatorname{Irr}(\widetilde{\mathbf{G}}^F)^{F_1}\}.$ For $\{\chi, \chi^{\gamma}\}$ an orbit of γ on $Irr(\widetilde{\mathbf{G}}^F)^{F_1}$, denote

$$V_{\{\chi,\chi^{\gamma}\}} := \mathbb{C} \Lambda(\chi) \rceil_{\widetilde{\mathbf{G}}^{F}F_{1}} + \mathbb{C} \Lambda(\chi^{\gamma}) \rceil_{\widetilde{\mathbf{G}}^{F}F_{1}} \subseteq C_{2}.$$

They form a decomposition of C_2 as a direct sum with each $V_{\{\chi,\chi^{\gamma}\}}$ of dimension $|\{\chi,\chi^{\gamma}\}|.$

Each $V_{\{\chi,\chi^{\gamma}\}}$ is γ -stable. Indeed, for any $\chi \in \operatorname{Irr}(\widetilde{\mathbf{G}}^F)$, $\Lambda(\chi)^{\gamma}$ being another extension of χ^{γ} is of the form $\Lambda(\chi^{\gamma})\epsilon$ where ϵ is a linear character of $\widetilde{\mathbf{G}}^F \rtimes \langle F_1 \rangle / \widetilde{\mathbf{G}}^F$ and consequently $\left(\Lambda(\chi)\right|_{\widetilde{\mathbf{G}}^FF_1}\right)^{\gamma} = \Lambda(\chi)^{\gamma}|_{\widetilde{\mathbf{G}}^FF_1} = \epsilon(F_1) \Lambda(\chi^{\gamma})|_{\widetilde{\mathbf{G}}^FF_1} \in \mathbb{C} \Lambda(\chi^{\gamma})|_{\widetilde{\mathbf{G}}^FF_1}.$ The action of γ on C_2 with regard to this decomposition is as follows.

- (a) If $\chi^{\gamma} \neq \chi$, then $\Lambda(\chi)|_{\widetilde{\mathbf{G}}^F F_1}$ and $\Lambda(\chi^{\gamma})|_{\widetilde{\mathbf{G}}^F F_1}$ are linearly independent by Proposition 3.1. Moreover we have just seen that $(\Lambda(\chi)|_{\widetilde{\mathbf{G}}^FF_1})^{\gamma}$ and $\Lambda(\chi^{\gamma})|_{\widetilde{\mathbf{G}}^FF_1}$ generate the same line, so we can take $(\Lambda(\chi)|_{\widetilde{\mathbf{G}}^FF_1})^{\gamma}$ and $\Lambda(\chi)|_{\widetilde{\mathbf{G}}^FF_1}$ as basis of $V_{\{\chi,\chi^{\gamma}\}}$. Then it is clear that γ has trace 0 on $V_{\{\chi,\chi^{\gamma}\}}$.
- (b) If $\chi^{\gamma} = \chi$ and $\Lambda(\chi)^{\gamma} = \Lambda(\chi)$, then $V_{\{\chi\}}$ is a line where the action of γ has trace 1.
- (c) If $\chi^{\gamma} = \chi$ and $\Lambda(\chi)^{\gamma} \neq \Lambda(\chi)$, then the endomorphism induced by γ on the line $V_{\{\chi\}}$ is -1, since of order 2. There the trace is -1.

This implies that $\operatorname{Tr}(\gamma, C_2) = m_b - m_c$ where m_b is the number of $\chi \in \operatorname{Irr}(\widetilde{\mathbf{G}}^F)^{\langle F_1, \gamma \rangle}$ with γ -invariant extensions to $\langle \widetilde{\mathbf{G}}^F, F_1 \rangle$ and m_c is the number of $\chi \in \operatorname{Irr}(\widetilde{\mathbf{G}}^F)^{\langle F_1, \gamma \rangle}$ that do not have any γ -invariant extension (note that an element of $\operatorname{Irr}(\widetilde{\mathbf{G}}^F)^{\langle F_1, \gamma \rangle}$ has no extension to $\langle \widetilde{\mathbf{G}}^F, F_1 \rangle$ that is γ -invariant or all extensions are). On the other hand

$$\operatorname{Tr}(\gamma, C_2) = \operatorname{Tr}(\gamma, C_1) = |\operatorname{Irr}(\widetilde{\mathbf{G}}^F)^{\langle F_1, \gamma \rangle}| = m_b + m_c$$

by (3.9.1) above. This forces $m_c = 0$, and therefore any element of $\operatorname{Irr}(\widetilde{\mathbf{G}}^F)^{\langle F_1, \gamma \rangle}$ extends to $\widetilde{\mathbf{G}}^F \rtimes \langle F_1, \gamma \rangle$ as required.

Stabilizers and extendibility of characters in types B, 3.EC and E

We now combine Theorem 3.3 and Theorem 3.7 to obtain property $A(\infty)$ of stabilizers in the outer automorphism groups introduced in Definition 2.2. This clearly implies Theorem B from our Introduction. Type C, already known from [CS17b], is not included in the statement below but the same proof applies. To deal with type E₆ an additional extendibility property is required which will use Corollary 3.9 above.

Theorem 3.10. Assumption $A(\infty)$ of Definition 2.2 is satisfied by any G_{sc} of type B, E_6 or E_7 and any Frobenius endomorphism.

The following lemma helps reducing the stabilizer part of $A(\infty)$ to an abelian 2 or 3-group of outer automorphisms.

Lemma 3.11. Let $C \times D$ be a semi-direct product of finite groups where $|C| = r \in \{2, 3\}$ and D is abelian. Then a subgroup $X \leq CD$ is C-conjugate to some C'D' with $C' \leq C$ and $D' \leq D$ if X_r has the same property in the abelian group $(CD)_r = C \times D_r$.

Proof. The case r=2 is trivial since then CD is abelian. Assume r=3. Since $\operatorname{Aut}(C)$ has order 2, one easily reduces to the case where $D=D_3\times D_2$. By assumption we can write $X_3=C'D'$ with $C'\leq C$, $D'\leq D_3$. Now a Sylow 2-subgroup X' of X satisfies $X=X_3X'$ while ${}^cX'\leq D_2$ for some $c\in CD$. One may assume $c\in C$ and we indeed get ${}^cX={}^cX_3.{}^cX'=C'(D'.{}^cX')$ since CD_3 is abelian.

Proof of Theorem 3.10. In the types considered $Z(\mathbf{G}_{sc})$ is cyclic, so there exists a regular embedding $\mathbf{G}_{sc} \leq \widetilde{\mathbf{G}} = \mathbf{G}_{sc} Z(\widetilde{\mathbf{G}})$ such that $Z(\widetilde{\mathbf{G}})$ is a torus of rank 1 (see Sect. 2.A). We abbreviate $\mathbf{G} := \mathbf{G}_{sc}$. Continuing with the setting and notations of Sect. 2, one considers a Frobenius endomorphism $F_0 : \widetilde{\mathbf{G}} \to \widetilde{\mathbf{G}}$ defining $\widetilde{\mathbf{G}}$ over \mathbb{F}_p and sending $\mathbf{x}_{\alpha}(t)$ to $\mathbf{x}_{\alpha}(t^p)$ for any $\alpha \in \Phi$, $t \in \mathbb{F}$. Assume that $F : \widetilde{\mathbf{G}} \to \widetilde{\mathbf{G}}$ is of the form F_0^m or γF_0^m for some $m \geq 1$ and γ is an automorphism of order 2 such that $\gamma \mid_{\mathbf{G}}$ is associated with the graph automorphism of Δ in type E_6 . We recall E from Definition 2.1, that is $E = \langle F_0 \mid_{\mathbf{G}^F}, \gamma \mid_{\mathbf{G}^F} \rangle$ in type E_6 , $E = \langle F_0 \mid_{\mathbf{G}^F} \rangle$ in all other types considered. Let $Z := \widetilde{\mathbf{G}}^F/(\mathbf{G}^F Z(\widetilde{\mathbf{G}})^F)$ seen as a subgroup of $\mathsf{Out}(\mathbf{G}^F)$.

Let us now check the first part of $A(\infty)$, i.e. the fact that for any $\chi \in Irr(\mathbf{G}^F)$ the stabilizer $(ZE)_{\chi}$ is Z-conjugate to a subgroup of type Z'E' for $Z' \leq Z$, $E' \leq E$.

Lemma 3.11 shows that it suffices to study the stabilizers of characters of \mathbf{G}^F in $Z \times E_r$ for $r = |Z| \in \{2,3\}$. We have to prove that if $\chi \in \operatorname{Irr}(\mathbf{G}^F)$, $z \in Z$, $e \in E_r$, then an equality $\chi^{ze} = \chi$ holds only if $\chi^z = \chi^e = \chi$. This is equivalent to $\operatorname{Irr}(\mathbf{G}^F)^{\langle ze \rangle} = \operatorname{Irr}(\mathbf{G}^F)^{\langle z,e \rangle}$ or also

$$|\operatorname{Irr}(\mathbf{G}^F)^{\langle ze \rangle}| = |\operatorname{Irr}(\mathbf{G}^F)^{\langle z, e \rangle}| \text{ for any } z \in Z \text{ and } e \in E_r.$$
 (3.11.1)

Note that any element of E_r is an r'-power of some $F_1 \rceil_{\mathbf{G}^F}$ where $F_1 \colon \mathbf{G} \to \mathbf{G}$ is a Frobenius endomorphism such that F is a power of F_1 and both act the same on $\mathbf{Z}(\mathbf{G})^F$. Namely when $F = F_0^m$ then $F_1 = F_0^{m_{r'}a}$ for $a \geq 1$ a divisor of m_r provide generators for all subgroups of E_r , while for $F = \gamma F_0^m$ one can consider the elements $\gamma F_0^{m_{3'}a}$ for $a \geq 1$ a divisor of m_3 . Any change $(z,e) \mapsto (z^k,e^k)$ for some r'-integer k leaves both $\langle ze \rangle$ and $\langle z,e \rangle$ unchanged. Accordingly we may assume that e in (3.11.1) is $F_1 \rceil_{\mathbf{G}^F}$ with F_1 satisfying the hypothesis of Theorem 3.7. We may also assume that $z \neq 1$, otherwise (3.11.1) is trivial. So we assume that the action of z is conjugation by $t \in \widetilde{\mathbf{G}}^F$ such that $\widetilde{\mathbf{G}}^F = \langle \mathbf{G}^F, t \rangle$. Theorem 3.7 then implies that

$$|\operatorname{Irr}(\mathbf{G}^F)^{\langle z,e\rangle}| = |\operatorname{Irr}(\mathbf{G}^{F_1})^{\widetilde{\mathbf{G}}^{F_1}}|.$$
 (3.11.2)

Let $t_1 \in \widetilde{\mathbf{G}}^{F_1}$ such that its \sim_F -class is the image by $N_{F/F_1}^{(\widetilde{\mathbf{G}})}$ of the \sim_{F_1} -class of t (see Theorem 3.2). Then Theorem 3.3 implies that $\widetilde{\mathbf{G}}^{F_1} = \langle \mathbf{G}^{F_1}, t_1 \rangle$ and therefore

$$|\operatorname{Irr}(\mathbf{G}^{F_1})^{\widetilde{\mathbf{G}}^{F_1}}| = |\operatorname{Irr}(\mathbf{G}^{F_1})^{\langle t_1 \rangle}|.$$
 (3.11.3)

On the other hand (3.3.1) tells us that

$$|\operatorname{Irr}(\mathbf{G}^F)^{\langle ze \rangle}| = |\operatorname{Irr}(\mathbf{G}^{F_1})^{\langle t_1 \rangle}|.$$
 (3.11.4)

Combining those three equalities gives our claim (3.11.1).

We now turn to the extendibility part of the statement $A(\infty)$ of Definition 2.2. We will abbreviate $G := \mathbf{G}^F$ and $\widetilde{G} := \widetilde{\mathbf{G}}^F$. We have to prove that any element of $\operatorname{Irr}(G)$ has a \widetilde{G} -conjugate whose stabilizer in ZE has the structure just proven and that it extends to its stabilizer in GE. When E is cyclic, this is trivial by [I, 11.22]. So the only case we have to check is the one of non-twisted type E_6 with $F = F_0^m$ and we must then indeed show that any $\chi \in \operatorname{Irr}(G)$ extends to its stabilizer in GE. As in the proof of Theorem 3.8, one may assume that the non-trivial graph automorphism γ is in E_{χ} . Let $F_1 = F_0^{m_{2'}}$ be the power of F_0 such that γ and F_1 generate a Sylow 2-subgroup of E_{χ} . The only γ -invariant irreducible character of Z(G) is the trivial one (see [GLS, 1.15.2(c)]) so $Z(G) \leq \ker(\chi)$. Let $\widehat{\chi}$ be the extension of χ to $GZ(\widetilde{G})$ whose kernel contains $Z(\widetilde{G})$. By definition $\widehat{\chi}$ is $\langle F_1, \gamma \rangle$ -invariant.

Assume that χ is G-invariant. Then there are |Z(G)| different extensions of χ in $Irr(\widetilde{G} \mid \widehat{\chi})$. The group $\langle F_1, \gamma \rangle$ is a 2-group acting on this set with 3 elements. Hence $\langle F_1, \gamma \rangle$ fixes some element $\widetilde{\chi} \in Irr(\widetilde{G} \mid \chi)$. By Corollary 3.9 this character $\widetilde{\chi}$ extends to $\widetilde{G} \langle F_1, \gamma \rangle$, and this defines us an extension of χ to $G \langle F_1, \gamma \rangle$. According to [I, 11.31] this implies that χ extends to GE_{χ} , since all Sylow subgroups of E of odd order are cyclic.

Assume χ is not \widetilde{G} -invariant. The set $\operatorname{Irr}(\widetilde{G} \mid \widehat{\chi})$ consists of just one element ψ obtained by inducing $\widehat{\chi}$ from $G\operatorname{Z}(\widetilde{G})$ to \widetilde{G} . Accordingly this character is $\langle F_1, \gamma \rangle$ -invariant and has degree $3\chi(1)$. Applying Corollary 3.9 again, we have an extension $\widetilde{\psi}$ to $\widetilde{G}\langle F_1, \gamma \rangle$. This in turn has a Clifford correspondent ψ_0 in $\operatorname{Irr}((\widetilde{G}\langle F_1, \gamma \rangle)_{\chi} \mid \chi)$ of degree $\chi(1)$ since $|\widetilde{G}/\widetilde{G}_{\chi}|=3$. Because of its degree, ψ_0 is an extension of χ . Again this proves that χ extends to GE_{χ} .

4 Towards the local conditions A(d) and B(d)

The rest of the paper is essentially devoted to checking conditions A(d) and B(d) from Definition 2.2 for $d \geq 1$ and groups of types B and E, thus completing the proof of Theorem A via the criterion given in Theorem 2.4. In the present section we restate and slightly refine two theorems from [CS17b] that list various requirements to check in a splitting of the tasks that has been followed already for types A and C. In the notation of Sect. 2.A, where S is a Sylow d-torus of (G_{sc}, F) , those requirements concern subgroups of the relative Weyl group $N_{G_{sc}}(S)^F/C_{G_{sc}}(S)^F$, but also the normal inclusion $C_{G_{sc}}(S)^F \leq N_{G_{sc}}(S)^F$ and related character extendibility questions. We single out the case were $C_{G_{sc}}(S)$ is a torus (regular d's) which needs the longest proof even though the citerion is a bit simpler, see Theorem 4.3 below. The next two sections will adress the cases of type B and E respectively.

We remain in the setting of Sect. 2.A, with \mathbf{G}_{sc} a simply-connected simple algebraic group over \mathbb{F} with pair $\mathbf{T} \leq \mathbf{B}$ and root system Φ of basis Δ . Let $W := \mathrm{N}_{\mathbf{G}_{\mathrm{sc}}}(\mathbf{T})/\mathbf{T}$ and $\rho \colon \mathrm{N}_{\mathbf{G}_{\mathrm{sc}}}(\mathbf{T}) \to W$ the canonical epimorphism. Recall that we denote by $\mathbf{x}_{\alpha}(t)$ ($t \in \mathbb{F}$ and $\alpha \in \Phi$) the elements of the root subgroups. The group \mathbf{G}_{sc} can be presented by those $\mathbf{x}_{\alpha}(t)$'s subject to the Steinberg relations as in [GLS, Thm. 1.12.1]. We also use the elements $\mathbf{n}_{\alpha}(t) \in \mathrm{N}_{\mathbf{G}_{\mathrm{sc}}}(\mathbf{T})$ and $\mathbf{h}_{\alpha}(t) \in \mathbf{T}$ for $t \in \mathbb{F}^{\times}$ defined in Sect. 2.A. Let $F_0 \colon \mathbf{G}_{\mathrm{sc}} \to \mathbf{G}_{\mathrm{sc}}$ be defined by $F_0(\mathbf{x}_{\alpha}(t)) = \mathbf{x}_{\alpha}(t^p)$ whenever $\alpha \in \Phi$, $t \in \mathbb{F}$. Recall $\mathrm{Aut}(\Delta)$ and the group $\Gamma_{\mathbf{T} \leq \mathbf{B}}$ of graph automorphisms defined for $\gamma \in \mathrm{Aut}(\Delta)$ by $\mathbf{x}_{\alpha}(t) \mapsto \mathbf{x}_{\gamma(\alpha)}(t)$ for any $t \in \mathbb{F}$, $\alpha \in \pm \Delta$.

The Frobenius endomorphism we consider for \mathbf{G}_{sc} is of the form $F = \gamma_0 F_0^m$ for some $m \geq 1$ and $\gamma_0 \in \Gamma_{\mathbf{T} \leq \mathbf{B}}$. Let ϕ_0 be the automorphism of W corresponding to γ_0 . Recall from Definition 2.1 that E denotes the subgroup of $\mathrm{Aut}(\mathbf{G}_{\mathrm{sc}}^F)$ generated by the restrictions to $\mathbf{G}_{\mathrm{sc}}^F$ of F_0 and $\Gamma_{\mathbf{T} < \mathbf{B}}$.

Let us recall Tits' extended Weyl group and our notation for automorphisms.

Definition 4.1. Let $V := \langle \mathbf{n}_{\alpha}(1) \mid \alpha \in \Phi \rangle \leq \mathrm{N}_{\mathbf{G}_{\mathrm{sc}}}(\mathbf{T})$ and $H := \mathbf{T} \cap V$.

For $e := |\operatorname{Aut}(\Delta)| \ |V|$ we let $\widehat{E} := \operatorname{C}_{em} \times \operatorname{Aut}(\Delta)$ where the first term acts on $\mathbf{G}_{\operatorname{sc}}^{F_0^{em}}$ by F_0 and $\operatorname{Aut}(\Delta)$ as seen above.

We write $\widehat{F_0}$ for the element of \widehat{E} acting by F_0 and \widehat{F} for $\gamma_0 \widehat{F_0}^m$.

As in Sect. 2.A we fix a regular embedding $\mathbf{G}_{\mathrm{sc}} \leq \widetilde{\mathbf{G}}$, such that F_0 , $\mathrm{Aut}(\Delta)$ and F act also on $\widetilde{\mathbf{G}}$. Let $\widetilde{\mathbf{T}} = \mathbf{T}$. $\mathbf{Z}(\widetilde{\mathbf{G}})$.

Recall that whenever A acts on a set M we denote by $A_{M'}$ the stabilizer of M' in A, where $M' \subset M$.

The conditions A(d) and B(d) of Definition 2.2 are checked through the following result which refines slightly Theorem 4.3 of [CS17b]. Recall $d \ge 1$ is a positive integer.

Theorem 4.2. Assume there exists an element $v \in V$ such that for the Sylow d-torus \mathbf{S} of (\mathbf{T}, vF) the groups $N := \mathrm{N}_{\mathbf{G}_{\mathrm{sc}}}(\mathbf{S})^{vF}$, $\widetilde{N} := \mathrm{N}_{\widetilde{\mathbf{G}}}(\mathbf{S})^{vF}$, $\widehat{N} := (\mathrm{C}_{\mathbf{G}_{\mathrm{sc}}^{F_0^{em}}\widehat{E}}(v\widehat{F}))_{\mathbf{S}}$, $C := \mathrm{C}_{\mathbf{G}_{\mathrm{sc}}}(\mathbf{S})^{vF}$ and $\widetilde{C} := \mathrm{C}_{\widetilde{\mathbf{G}}}(\mathbf{S})^{vF}$ satisfy the following conditions:

- (i) **S** is a Sylow d-torus of (\mathbf{G}_{sc}, vF) .
- (ii) There exists some set $\mathcal{T} \subseteq \operatorname{Irr}(C)$, such that
 - (ii.1) $\widetilde{N}_{\xi} = \widetilde{C}_{\xi} N_{\xi}$ for every $\xi \in \mathcal{T}$,
 - (ii.2) $(\widetilde{N}\widehat{N})_{\xi^N} = \widetilde{N}_{\xi^N}\widehat{N}_{\xi^N}$ for every $\xi \in \mathcal{T}$, and
 - (ii.3) \mathcal{T} is an \widehat{N} -stable \widetilde{C} -transversal of Irr(C).
- (iii) There exists an extension map Λ with respect to $C \subseteq N$ such that
 - (iii.1) Λ is \widehat{N} -equivariant.
 - (iii.2) If E is non-cyclic, then every character $\xi \in \operatorname{Irr}(C)$ has an extension $\widehat{\xi} \in \operatorname{Irr}(\widehat{N}_{\xi})$ with $\widehat{\xi}\Big|_{N_{\xi}} = \Lambda(\xi)$ and $v\widehat{F} \in \ker(\widehat{\xi})$.
- (iv) Let $W_d := N/C$ and $\widehat{W}_d := \widehat{N}/C$. For $\xi \in \operatorname{Irr}(C)$ and $\widetilde{\xi} \in \operatorname{Irr}(\widetilde{C}_{\xi} \mid \xi)$ let $W_{\widetilde{\xi}} := N_{\widetilde{\xi}}/C$, $W_{\xi} := N_{\xi}/C$, $K := N_{W_d}(W_{\xi}, W_{\widetilde{\xi}})$ and $\widehat{K} := N_{\widehat{W}_d}(W_{\xi}, W_{\widetilde{\xi}})$. Then there exists for every $\eta_0 \in \operatorname{Irr}(W_{\widetilde{\xi}})$ some $\eta \in \operatorname{Irr}(W_{\xi} \mid \eta_0)$ such that
 - (iv.1) η is \widehat{K}_{η_0} -invariant.
 - (iv.2) If E is non-cyclic, then η extends to some $\widehat{\eta} \in \operatorname{Irr}(\widehat{K}_{\eta})$ with $v\widehat{F} \in \ker(\widehat{\eta})$.
- (v) If G_{sc} is of type D maximal extendibility holds with respect to $N \subseteq \widetilde{N}$.

Then conditions A(d) and B(d) from Definition 2.2 are satisfied by \mathbf{G}_{sc} .

Proof. The assumptions are the same as in Theorem 4.3 of [CS17b], except for (ii.3) which is here strengthened. The cited theorem shows that A(d) is satisfied.

Let's show that B(d) is also satisfied. By assumption 4.2(v) maximal extendibility holds with respect to $N \subseteq \widetilde{N}$ since, in types $\neq D$, $Z(\mathbf{G}_{sc})$ is cyclic which allows to take a regular embedding such that $\widetilde{\mathbf{G}}/\mathbf{G}_{sc}$ is of dimension 1 (see Sect. 2.A) and \widetilde{N}/N is therefore cyclic. It remains to construct an extension map $\widetilde{\Lambda}$ with respect to $\widetilde{C} \subseteq \widetilde{N}$

that is compatible with the action of $\operatorname{Irr}(\widetilde{N}/N)$ by multiplication and is \widehat{N} -equivariant. Let $\chi \in \operatorname{Irr}(\widetilde{C})$ and $\chi_0 \in \mathcal{T} \cap \operatorname{Irr}(C \mid \chi)$. Then $\chi = \widetilde{\chi}_0^{\widetilde{C}}$ for some extension $\widetilde{\chi}_0$ of χ_0 to \widetilde{C}_{χ_0} . According to [S10b, 4.1] there exists a common extension ψ of $\Lambda(\chi_0)|_{N_{\widetilde{\chi}_0}}$ and $\widetilde{\chi}_0$ to $N_{\widetilde{\chi}_0}\widetilde{C}_{\chi_0}$. By this definition $\psi^{\widetilde{C}N_{\widetilde{\chi}_0}}$ is an extension of $\widetilde{\chi}_0^{\widetilde{C}}$. By the equation in (ii.1) this character is an extension of χ to \widetilde{N}_{χ} since $\widetilde{N}_{\chi} = \widetilde{C}(\widetilde{N})_{\widetilde{\chi}_0} \leq \widetilde{C}(\widetilde{N})_{\chi_0} = \widetilde{C}(\widetilde{C}_{\chi_0}N_{\chi_0}) = \widetilde{C}N_{\chi_0}$. Accordingly we can define $\widetilde{\Lambda}(\chi)$ as $\psi^{\widetilde{C}N_{\widetilde{\chi}_0}}$.

Since \mathcal{T} is \widehat{N} -stable and Λ is $\widehat{N}\widetilde{C}$ -equivariant, $\widetilde{\Lambda}$ is clearly \widehat{N} -equivariant. By construction it is also compatible with multiplication by linear characters of \widetilde{N}/N .

The cases where the Sylow d-torus \mathbf{S} is such that $C_{\mathbf{G}_{sc}}(\mathbf{S})$ is a torus correspond to the so-called regular numbers d for the pair (\mathbf{G}_{sc}, F) , in the sense of [Br, p. 107]. Then the above criterion for $\mathbf{A}(d)$ and $\mathbf{B}(d)$ simplifies into the following (see [CS17b, 4.4]).

Theorem 4.3. Let $d \geq 1$ be a regular number for (\mathbf{G}_{sc}, F) , i.e. the centralizer of a Sylow d-torus is a (maximal) torus. Assume that there exists an element $v \in V$ (see Definition 4.1) such that, denoting by \mathbf{S} the Sylow d-torus of (\mathbf{T}, vF) and $\mathbf{N} := N_{\mathbf{G}_{sc}}(\mathbf{S})$, the following properties hold:

- (i) $\rho(v)\phi_0$ is a ζ -regular element of $W\phi_0$ in the sense of Springer (see [S10a, Def. 2.5] or [Br, Ch. 5]) for some primitive d-th root of unity $\zeta \in \mathbb{C}$.
- (ii) $\rho(V_d) = W_d$ with $V_d := V^{vF}$ and $W_d := C_W(\rho(v)\phi_0)$.
- (iii) Let $\widehat{N} := C_{\mathbf{N}^{F_0^{em}}\widehat{E}}(v\widehat{F})$ and $\widehat{V}_d := (V\widehat{E}) \cap \widehat{N}$ (see Definition 4.1). There exists an extension map Λ_0 with respect to $H_d := H^{vF} \leq V_d$ such that:
 - (iii.1) Λ_0 is \widehat{V}_d -equivariant.
 - (iii.2) If E is non-cyclic, $\Lambda_0(\lambda)$ extends for every $\lambda \in \operatorname{Irr}(H_d)$ to some $\widehat{\lambda} \in \operatorname{Irr}((\widehat{V}_d)_{\lambda})$ with $v\widehat{F} \in \ker(\widehat{\lambda})$.
- (iv) Let $C:=\mathbf{T}^{vF}$, $\widetilde{C}:=\widetilde{\mathbf{T}}^{vF}$, $N:=\mathbf{N}^{vF}$, $W_d:=N/C$ and $\widehat{W}_d:=\widehat{N}/C$. For $\xi\in\operatorname{Irr}(C)$ and $\widetilde{\xi}\in\operatorname{Irr}(\widetilde{C}\mid\xi)$ let $W_{\widetilde{\xi}}:=N_{\widetilde{\xi}}/C$, $W_{\xi}:=N_{\xi}/C$, $K:=\operatorname{N}_{W_d}(W_{\xi},W_{\widetilde{\xi}})$ and $\widehat{K}:=\operatorname{N}_{\widehat{W}_d}(W_{\xi},W_{\widetilde{\xi}})$. Then there exists for every $\eta_0\in\operatorname{Irr}(W_{\widetilde{\xi}})$ some $\eta\in\operatorname{Irr}(W_{\xi}\mid\eta_0)$ such that
 - (iv.1) η is \widehat{K}_{η_0} -invariant.
 - (iv.2) If E is non-cyclic, η extends to some $\widehat{\eta} \in \operatorname{Irr}((\widehat{W}_d)_{\eta})$ with $v\widehat{F} \in \ker(\widehat{\eta})$.
- (v) If \mathbf{G}_{sc} is of type D maximal extendibility holds with respect to $N \subseteq \widetilde{N}$.

Then conditions A(d) and B(d) of Definition 2.2 are satisfied.

Proof. For condition A(d), this is Theorem 4.4 of [CS17b]. As explained in the proof of Theorem 4.2, maximal extendibility with respect to the inclusion $N \subseteq \widetilde{N}$ is ensured in all types. There remains to check the part of condition B(d) about the inclusion $\widetilde{C} \subseteq \widetilde{N}$. We have $\widetilde{N} = \widetilde{C}N$ since $N_{\widetilde{\mathbf{G}}}(\mathbf{S}) = N_{\mathbf{G}_{sc}}(\mathbf{S})C_{\widetilde{\mathbf{G}}}(\mathbf{S})$ and the intersection $N_{\mathbf{G}_{sc}}(\mathbf{S}) \cap C_{\widetilde{\mathbf{G}}}(\mathbf{S})$ is connected being $C_{\mathbf{G}_{sc}}(\mathbf{S})$. So we can argue as in the proof of Theorem 4.2 with Irr(C) replacing \mathcal{T} , since in addition \widetilde{C} is abelian.

5 The local conditions for type B

In this section we verify the local conditions A(d) and B(d) from Definition 2.2 via the criteria given before in the cases where G_{sc} is of type B.

In the following \mathbf{G}_{sc} , Φ , $\widetilde{\mathbf{G}}$, F, $q=p^m$ and E are defined as in Sect. 2.A. In this part we assume that Φ is of type B_l (so that $\mathbf{G}_{\mathrm{sc}}^F = \mathrm{Spin}_{2l+1}(p^m)$ though this matrix representation won't be used). Our aim is to verify assumptions $\mathsf{A}(d)$ and $\mathsf{B}(d)$ of Definition 2.2, thus establishing Theorem A for that type thanks to Theorem 2.4.

In type B_l the group E is cyclic by definition and hence the extendibility part of condition A(d) is automatically satisfied. We also single out the cases where $Out(\mathbf{G}_{sc}^F)$ is cyclic.

Lemma 5.1. Condition A(d) holds for any $d \ge 1$ if l = 2 or p = 2 or $q = p^m$ for an odd integer m.

Proof. For l=2 the group \mathbf{G}_{sc} is isomorphic to a simply-connected simple group of type C_2 and hence the statements $\mathsf{A}(d)$ and $\mathsf{B}(d)$ follow from 5.1 and 6.1 of [CS17b], respectively. For even p the group $\widetilde{\mathbf{G}}$ can be taken to be \mathbf{G}_{sc} since $\mathsf{Z}(\mathbf{G}_{\mathrm{sc}})$ is trivial. This implies the statement in that case. If m is odd the group E has odd order and then the claim follows from the fact that in the notation of Definition 2.2, $\widetilde{\mathbf{G}}^F/(\mathbf{G}_{\mathrm{sc}}^F\mathsf{C}_O(\mathbf{G}_{\mathrm{sc}}^F))$ is the Sylow 2-subgroup of the cyclic group $O/(\mathbf{G}_{\mathrm{sc}}^F\mathsf{C}_O(\mathbf{G}_{\mathrm{sc}}^F))$.

The above statement justifies that in the following we assume $8 \mid (q-1)$ and $l \geq 3$. Here is more notation specific to type B_l .

Notation 5.2. The root system Φ of \mathbf{G}_{sc} and its system of simple roots $\Delta = \{\alpha_1, \ldots, \alpha_l\}$ are given as follows (see for instance [GLS, 1.8.8] with a slightly different convention). Letting (e_1, \ldots, e_l) be the canonical orthonormal basis of \mathbb{R}^l with its euclidean scalar product, one takes $\alpha_1 = e_1$, $\alpha_2 = e_2 - e_1$, ..., $\alpha_l = e_l - e_{l-1}$. We identify the Weyl group W of \mathbf{G}_{sc} , a Coxeter group of type \mathbf{B}_l with the subgroup of bijections σ on $\{\pm 1, \ldots \pm l\}$ such that $\sigma(-i) = -\sigma(i)$ for all $1 \leq i \leq l$, see also [S10a, §5]. This group is denoted by $\mathbf{S}_{\pm l}$. Via the natural identification of \mathbf{S}_l with a quotient of $\mathbf{S}_{\pm l}$ and the identification of $\mathbf{S}_{\pm l}$ with W, the map $\rho: \mathbf{N} \to W$ induces an epimorphism $\bar{\rho}: V \longrightarrow \mathbf{S}_l$ (see Definition 4.1). In $\mathbf{S}_{\pm l}$ the set

$$\{\sigma \in \mathcal{S}_{\pm l} \mid | \{1, \cdots, l\} \cap \sigma^{-1}(\{-1, \cdots, -l\}) | \text{ is even } \}$$

forms a normal subgroup with index 2, naturally isomorphic to a Coxeter group of type D_l . We denote by W_D the associated subgroup of W and $\mathbf{N}_c := \rho^{-1}(W_D)$.

5.A The regular case: Construction of a Sylow d-torus

We first consider the case where d is a regular number for W, that is a divisor of 2l, see [S10a, Tab. 1]. This is also equivalent to $C_{\mathbf{G}_{sc}}(\mathbf{S})$ being a torus for any Sylow d-torus \mathbf{S} , see [S09, 2.5, 2.6] and we will establish condition $\mathbf{A}(d)$ by use of Theorem 4.3.

As in [CS17b, §4] we choose a Sylow d-twist in the sense of [S09, Def. 3.1] using

$$v_0 := \mathbf{n}_{\alpha_1}(1) \cdots \mathbf{n}_{\alpha_l}(1) \in V$$

(see Definition 4.1). Note that $\rho(v_0) = (1, 2, ..., l, -1, -2, ..., -l)$. Let

$$v := (v_0)^{\frac{2l}{d}}$$

and let d_0 be the length of the $\overline{\rho}(v)$ -orbits and a the number of orbits. Then

$$a = \frac{l}{d_0}$$
 and $d_0 = \begin{cases} d & \text{if } 2 \nmid d, \\ \frac{d}{2} & \text{if } 2 \mid d. \end{cases}$ (5.2.1)

Recall $\mathbf{N}_c = \rho^{-1}(W_{\mathsf{D}})$ and denote $N_c := \mathbf{N}_c^{vF}$.

Lemma 5.3. We have $v \in N_c$ if and only if $2 \mid a$ or $2 \nmid d$.

Proof. This follows from the characterization of the elements of W_D as elements of S_{+l} .

Lemma 5.4. The element $\rho(v)$ is a ζ -regular element of W for any primitive d-th root of unity $\zeta \in \mathbb{C}$ and

$$\rho(V_d) = W_d, \tag{5.4.1}$$

where $V_d := V^{vF}$ and $W_d := C_W(v)$. In particular assumptions (i) and (ii) of Theorem 4.3 hold for v.

Proof. The group W is at the same time a Coxeter group of type C_l . The element $\rho(v)$ was proved to be a d-regular element for W, see [CS17b, 5.A]. The arguments there show $\rho(V_d) = W_d$ as a consequence of an analogous property of the braid groups. The same considerations apply since the braid groups coincide for type B_l and C_l .

5.B The regular case: Maximal extendibility with respect to $H_d extless V_d$

In the next step we verify that for the element v the groups $H_d := V^{vF} \cap \mathbf{T}$ and $V_d = V^{vF}$ satisfy assumption (iii) of Theorem 4.3. Note that the groups H_d and V_d in type B_l differ from what they are in type C_l .

Theorem 5.5. Maximal extendibility holds with respect to $H_d \subseteq V_d$. As a consequence, assumption (iii) of Theorem 4.3 holds.

As in the proof of [CS17b, Thm. 5.3] we first analyze the structure of H_d and V_d before we extend the characters of H_d to their inertia groups in V_d .

The group H_d . Let $\overline{\overline{v}} := \overline{\rho}(v)$ and \mathcal{O}_k be the $\overline{\overline{v}}$ -orbit on $\{1, \dots, l\}$ containing k. Let $\varpi \in \mathbb{F}$ be a fourth root of unity and

$$h_0 := \mathbf{h}_{e_1}(-1).$$

For $1 \le k \le a$ let

$$h_k := \prod_{i \in \mathcal{O}_k} \mathbf{h}_{e_i}(\varpi).$$

By Chevalley relations the following equalities hold:

$$h_k^2 = \mathbf{h}_{e_1}(-1)^{|\mathcal{O}_k|} = h_0^{|\mathcal{O}_k|} \text{ and}$$
 (5.5.1)

$$h_k^v = \begin{cases} h_k & \text{if } 2 \nmid d \\ h_0 h_k & \text{otherwise.} \end{cases}$$
 (5.5.2)

Note that for any $h \in H$ there exist elements $t_i \in \langle \varpi \rangle$ such that $h = \prod_{i=1}^l \mathbf{h}_{e_i}(t_i)$ and $(\prod t_i)^2 = 1$. One shows that $h_k \notin H_d$ and $h_k h_{k+1} \in H_d$ for every $1 \le k < a$. Hence H_d is an elementary abelian 2-group of rank a, namely

$$H_d := C_H(v) = \langle h_0 \rangle \times \langle h_1 h_2 \rangle \times \cdots \times \langle h_{a-1} h_a \rangle.$$

The group V_d and some of its elements. Let $\overline{c}_1 \in C_W(\rho(v))$ be defined as in the proof of Theorem 5.3 of [CS17b]: If $2 \mid d$ let

$$\overline{c}_1 := (1, a+1, \dots, a(d_0-1)+1, -1, -a-1, \dots, -a(d_0-1)-1) \in C_W(\rho(v)).$$

This is the cycle of $\rho(v)$ containing 1. If $2 \nmid d$ let

$$\overline{c}'_1 := (1, \quad 2a+1, \quad 4a+1, \quad \dots, (d-1)a+1, -a-1, \quad \dots, -(d-2)a-1)
(-1, \quad -2a-1, -4a-1, \quad \dots, -(d-1)a-1, a+1, \quad \dots, (d-2)a+1) \in C_W(\rho(v)).$$

Let $\overline{c}_1 := \overline{c}_1' \prod_{i=0}^{d-1} (ia+1, -ia-1) \in C_W(\rho(v))$. Note that \overline{c}_1' is the pair of cycles of $\rho(v)$ containing ± 1 .

Because $\rho(V_d) = W_d$ there exists some $c_1 \in V_d$ with $\rho(c_1) = \overline{c}_1$. Let $\Phi_1 := \Phi \cap \langle \pm e_i \mid i \in \mathcal{O}_1 \rangle$ and $V_{\mathcal{O}_1} := \langle \mathbf{n}_{\alpha}(\pm 1) \mid \alpha \in \Phi_1 \rangle$. In the next step we construct $c_1 \in (V_{\mathcal{O}_1})^{vF}$. By definition $\mathbf{n}_{\alpha}(\pm 1)^2 \in H$ and hence c_1 can be chosen to be contained in $\mathbf{T}V_{\mathcal{O}_1}$. Let $H_1 := \langle \mathbf{h}_{\alpha}(\varpi) \mid \alpha \in \Phi_1 \rangle$, $\Phi_1' := \Phi \cap \langle \pm e_i \mid i \notin \mathcal{O}_1 \rangle$, $H_{1'} := \langle \mathbf{h}_{\alpha}(\varpi) \mid \alpha \in \Phi_1' \rangle$ and $V_{\mathcal{O}_1} := \langle \mathbf{n}_{\alpha}(\varpi) \mid \alpha \in \Phi_1 \rangle$. Then $c_1 \in H_{1'}V_{\mathcal{O}_1}$. Since $V_{\mathcal{O}_1} \cap H_{1'} = \langle h_{e_1}(-1) \rangle$ by Chevalley relations we see that $c_1 = c_1'h$ for some $c_1' \in V_{\mathcal{O}_1}$ and $h \in H_{1'}$. Let $J \subseteq \{1, \ldots, l\} \setminus \mathcal{O}_1$ with $h = \prod_{i \in J} h_{e_i}(\varpi)$. The equality $c_1'' = c_1$ implies $[h, v] = [c_1', v] \in \{\mathbf{h}_{e_1}(\pm 1)\}$. Since $c_1 \in V$ either c_1' , $h \in V$ or c_1' , $h \notin V$. As $[h, v] \in \{\mathbf{h}_{e_1}(\pm 1)\}$ we see that J is \overline{v} -stable, hence a union of orbits \mathcal{O}_k . If $d_0 = d$ this implies [h, v] = 1 and if $2 \nmid d_0$, [h, v] = 1 and $h \in H$ are equivalent. Going through all possible cases one sees that either c_1' or $c_1'h_1$ is contained in $V_{\mathcal{O}_1} \cap V_d = V^{vF}$. In the following we denote this element by c_1 . The group $(V_{\mathcal{O}_1} \cap V_d) C_{H_1}(v)$ is generated by h_1 , h_0 and c_1 , i.e., $(V_{\mathcal{O}_1} \cap V_d) C_{H_1}(v) = \langle h_1, h_0, c_1 \rangle$.

Recall $v_k := \mathbf{n}_{\alpha_k}(1) \in \mathbf{G}_{sc}$. Like in the proof of Theorem [CS17b, 5.3] let $p_k := \prod_{i=0}^{d_0-1} v_k^{v^i}$ for $1 \le k \le (a-1)$. The considerations from there show

$$\rho(p_k)(\mathcal{O}_k) = \mathcal{O}_{k+1}$$
 and $\rho(p_k)(\mathcal{O}_{k+1}) = \mathcal{O}_k$,

as well as $p_k \in V_d$ and $p_k \in V_{\mathcal{O}_k \cup \mathcal{O}_{k+1}}$, where $V_{\mathcal{O}_k \cup \mathcal{O}_{k+1}} := \langle \mathbf{n}_{\alpha}(\pm 1) \mid \alpha \in \Phi_{k,k+1} \rangle$ with $\Phi_{k,k+1} := \Phi \cap \langle \pm e_i \mid i \in \mathcal{O}_k \cup \mathcal{O}_{k+1} \rangle$. The square of p_k satisfies

$$p_{k}^{2} = \prod_{i=0}^{d_{0}-1} (v_{k}^{v^{i}})^{2} = \prod_{i=0}^{d_{0}-1} \mathbf{h}_{e_{\overline{v}^{i}(k)} - e_{\overline{v}^{i}(k+1)}} (-1)$$

$$= \prod_{j \in \mathcal{O}_{k}} \mathbf{h}_{2e_{j}}(\varpi) \left(\prod_{j \in \mathcal{O}_{k+1}} \mathbf{h}_{2e_{j}} (-\varpi) \right) = h_{k} h_{k+1} h_{0}^{|\mathcal{O}_{k}|} \in H_{d}.$$
(5.5.3)

Note that since the elements v_1, \ldots, v_{a-1} satisfy the braid relations this applies also to p_k . For $2 \le k \le a$ let $c_k := (c_1)^{p_1 \cdots p_{k-1}}$.

From their definitions one shows that these elements satisfy the following equations: For every $0 \le i \le a$, $1 \le j \le a$ and $1 \le k < a$ we have

$$h_i^{c_j} = \begin{cases} h_i & \text{if } i \neq j, \\ h_i h_0 & \text{if } i = j, \end{cases}$$
 (5.5.4)

and also

$$h_i^{p_k} = \begin{cases} h_i & \text{if } i \notin \{k, k+1\}, \\ h_{i+1} & \text{if } i = k, \\ h_{i-1} & \text{if } i = k+1. \end{cases}$$
 (5.5.5)

Further by the Chevalley relations

$$[c_i, c_j] = h_0 (5.5.6)$$

for every $1 \leq i, j \leq a$, see also [S07, 2.1.7(c), proof of Lem. 10.1.5]. Since $\rho(V_d) = \langle \bar{c}_i \mid 1 \leq i \leq a \rangle \rtimes S_a$ we see that the elements c_i $(1 \leq i \leq a)$ together with the elements p_k $(1 \leq k < a)$ generate the group V_d .

Those elements now play a crucial role in describing the Clifford theory for $H_d \subseteq V_d$.

Proof of Theorem 5.5. Let $\lambda \in \operatorname{Irr}(H_d)$, $\widehat{H}_d := \langle h_1 \rangle H_d$ and $\lambda_1 \in \operatorname{Irr}(\widehat{H}_d \mid \lambda)$. Note that by Equation (5.5.1) the group $\langle h_0, h_1 \rangle$ is a cyclic group of order 4 for odd $|\mathcal{O}_1| = d_0$ and a Klein 4-group for even d_0 . In the following we extend λ to $V_{d,\lambda}$. We construct the extension in those two cases differently. Further this construction also depends on the value of $\lambda(h_0)$.

For completeness note that for a = 1 the group H_d is a cyclic group of order 2 and $V_d = \langle c_1, h_0 \rangle$ is abelian by (5.5.4). This implies the statement in that case.

We consider now the case where $a \geq 2$ and $\lambda(h_0) = -1$. Then \widehat{H}_d is the central product of the groups $\langle h_0, h_i \rangle$ $(0 \leq i \leq a)$. If $2 \nmid d_0$, the group $\langle h_0, h_1 \rangle$ is a cyclic group of order 4 and by (5.5.4) the elements c_i $(1 \leq i \leq a)$ act on the groups $\langle h_0, h_i \rangle$ by $h_0 \mapsto h_0$ and $h_i \mapsto h_i h_0$. Also if $2 \mid d$, the group $\langle h_0, h_1 \rangle$ is a Klein 4-group and by (5.5.4) the elements c_i $(1 \leq i \leq a)$ act on the groups $\langle h_0, h_i \rangle$ by inversion. Hence λ_1 is $\langle c_i \mid 1 \leq i \leq a \rangle$ -conjugate to a character λ'_1 with

$$\lambda_1'(h_1) = \dots = \lambda_1'(h_a).$$

After replacing λ and hence λ_1 by a suitable V_d -conjugate we can assume that λ_1 satisfies already this equation.

From equations (5.5.4) and (5.5.5) we see that

$$SC' = \widehat{H}_d V_{d,\lambda_1} \tag{5.5.7}$$

where

$$S := \widehat{H}_d \langle p_i \mid 1 \le i < a \rangle \text{ and } C' := \widehat{H}_d \langle c_i^2 \mid 1 \le i < a \rangle.$$

Let $c_0 := c_1 \cdots c_a$. Clearly $V_{d,\lambda_1} \leq V_{d,\lambda}$. Recall $|\widehat{H}_d : H_d| = 2$. This implies $|V_{d,\lambda} : V_{d,\lambda_1}| \leq 2$. According to (5.5.4) we see that $\lambda_1^{c_0}(h_i) = \lambda_1(h_0h_i) = -\lambda_1(h_i)$ for every $1 \leq i \leq a$ and hence $\lambda_1^{c_0}$ is another extension of λ to \widehat{H}_d . This proves $c_0 \in V_{d,\lambda}$ and hence

$$V_{d,\lambda} = V_{d,\lambda_1} \langle c_0 \rangle. \tag{5.5.8}$$

Recall $\mathbf{N}_c := \rho^{-1}(W_{\mathsf{D}})$. We have

$$V_{d,\lambda_1} \le \mathbf{N}_c \ . \tag{5.5.9}$$

Note that C' is abelian and λ_1 extends to some $\widehat{\lambda}_1 \in \operatorname{Irr}(C')$ with $\widehat{\lambda}_1(c_i^2 c_{i+1}^{-2}) = 1$. By that definition $\widehat{\lambda}_1$ is S-invariant. We see that $S \cap H_d = \langle p_k^2 \mid 1 \leq k < a \rangle$. According to (5.5.3)

$$\lambda(p_k^2) = \lambda(h_k)\lambda(h_{k+1})\lambda(h_0)^{d_0} = \lambda(h_{k+1})^2(-1)^{d_0}, \tag{5.5.10}$$

since $\lambda(h_k) = \lambda(h_{k+1})$. If $2 \nmid d_0$, then $\lambda(h_k) = \lambda(h_{k+1})$ is a primitive fourth root of unity and hence

$$\lambda(p_k^2) = \lambda(h_k)^2(-1)^{d_0} = (-1)(-1) = 1.$$

If $2 \mid d_0$ we see that $\lambda(h_k) = \lambda(h_{k+1}) \in \{\pm 1\}$, and hence

$$\lambda(p_k^2) = \lambda(h_k)^2 (-1)^{d_0} = 1 \cdot 1 = 1.$$

Altogether this implies that $C'S/\ker(\lambda)$ has a semidirect product structure and hence $\widehat{\lambda}_1$ extends to C'S. Note that $[C'S\cap V_d,v]=\{1\}$ and even in general $[C'S\cap V_d,c_0]=\{1\}$. This implies that $\widehat{\lambda}_1\Big|_{C'S\cap V_d}$ is c_0 -invariant and extends to V_λ .

It remains to consider the case where $\lambda(h_0) = 1$. Then $c_i^{d_0} \in \langle h_0 \rangle$ since $C_{\langle h_0, h_i \rangle}(\langle c_i \rangle) = \langle h_0 \rangle$ by the Chevalley relations. After some V_d -conjugation there exists an extension $\lambda_1 \in \operatorname{Irr}(\widehat{H}_d)$ of λ and some $1 \leq k_0 \leq a$ such that $\lambda_1(h_i) = 1$ for $1 \leq i \leq k_0$ and $\lambda_1(h_i) = -1$ for $i > k_0$. In this case $V_{d,\lambda_1} = C'S$ with $S := \widehat{H}_d \langle p_i \mid 1 \leq i < a$ and $i \neq k_0 \rangle$ and $C' := \widehat{H}_d \langle c_i \mid 1 \leq i \leq a \rangle$. Again the group $C' / \langle h_0 \rangle$ is abelian and there exists some extension $\widehat{\lambda}_1$ to C' of λ_1 such that $\widehat{\lambda}_1(c_ic_{i+1}^{-1}) = 1$. Then $\widehat{\lambda}_1$ is S-invariant and one can extend the character to some $\widehat{\lambda}_1 \in \operatorname{Irr}(C'S)$. Now let $x \in V_{d,\lambda} \setminus V_{d,\lambda_1}$. If this element exists, $x^2 \in V_{d,\lambda_1}$, since x sends λ_1 to another extension of λ . Conjugation with x satisfies $\langle h_0, h_i \rangle^x = \langle h_0, h_{i'} \rangle$ for some i with $1 \leq i \leq k_0$ and i' with $i' > k_0$. Accordingly

$$\overline{\rho}(x)(\{1,\ldots,k_0\}) = \{k_0+1,\ldots,a\}.$$
 (5.5.11)

Without loss of generality we can assume $x \in S$ with $x^2 \in H_d$. Since $c_i^x \in \{c_1, \ldots, c_a\}$ we see that $\tilde{\lambda}_1 \Big|_{C'S \cap V_d}$ is x-invariant. Hence $\tilde{\lambda}_1 \Big|_{C'S \cap V_d}$ is x-invariant and λ extends to $V_{d,\lambda}$.

In all possible cases the characters of H_d extend to their inertia group in V_d .

According to Remark 4.5 of [CS17b] the above statement proves that in type B_l the assumption (iii) of Theorem 4.3 is satisfied with our chosen element v.

For later use we state the following observations from the above proof explicitly.

Proposition 5.6. Assume $2 \mid d$, recall $\widehat{H}_d := \langle h_1 \rangle H_d$. Let $\lambda \in Irr(H_d)$ and $\widehat{\lambda} \in Irr(\widehat{H}_d \mid \lambda)$. Then

- (a) If $\lambda(h_0) = -1$ and $V_{d,\lambda} \not\leq N_c$, then $2 \nmid a$. Then $V_{d,\lambda} \cap N_c = V_{\widehat{\lambda}}$.
- (b) If $\lambda(h_0) = 1$ and $V_{d,\lambda} \neq V_{d,\widehat{\lambda}}$, then $2 \mid a$.

Proof. By (5.5.8) and (5.5.9) we have that $V_{d,\lambda} = V_{d,\lambda_1} \langle c_0 \rangle$ and $V_{d,\lambda_1} \leq \mathbf{N}_c$, where $c_0 = c_1 \cdots c_a$. Note that

$$c_0 \in \begin{cases} \mathbf{N}_c & \text{if } 2 \mid a, \\ V_d \setminus \mathbf{N}_c & \text{otherwise.} \end{cases}$$

This implies statement (a).

For the proof of (b) recall that an element $x \in V_{d,\lambda} \setminus V_{d,\widehat{\lambda}}$ satisfies Equation (5.5.11). Such an element can only exist if a = 2k and hence $2 \mid a$.

5.C The regular case: Character theory of the relative inertia groups

Recall $C := \mathbf{T}^{vF}$ and $\widetilde{C} := (\widetilde{\mathbf{T}})^{vF}$. In the following let $W_{\xi} := N_{\xi}/C$ and $W_{\widetilde{\xi}} := N_{\widetilde{\xi}}/C$ with $\xi \in \operatorname{Irr}(C)$ and $\widetilde{\xi} \in \operatorname{Irr}(\widetilde{C})$ (often an extension of ξ).

Definition 5.7. If $Y \subseteq X$ and $\chi \in Irr(Y)$ we call the group X_{χ}/Y the relative inertia group (of χ in X).

In this sense assumption (iv) of Theorem 4.3 considers the character theory of the relative inertia groups for characters $\xi \in \operatorname{Irr}(C)$ in N. In particular the characters of the relative inertia groups N_{ξ}/C are compared with those of $N_{\widetilde{\xi}}/C$ where $\widetilde{\xi} \in \operatorname{Irr}(\widetilde{C} \mid \xi)$. In view of describing the groups we introduce first some notation (similar to the one in [CS17b, 5.4]).

In order to describe the action of N on C, respectively \widetilde{C} , we introduce the groups C_0 and \mathcal{G} together with an action of \mathcal{G} on C_0 .

Notation 5.8 (The action of \mathcal{G} on C_0). For $2 \nmid d_0$ we make the following definitions. Let $\epsilon = (-1)^{\frac{d}{d_0}}$, $C_0 := C_{2(q^{d_0} + \epsilon)}$, the cyclic group of order $2(q^{d_0} + \epsilon)$ and $C_{0,2}$ its subgroup of order 2. The automorphism of C_0 given by $\zeta \mapsto \zeta^{q^{d_0} + \epsilon} \zeta^q$ has order d. Hence let $C_d \times C_2$ act on C_0 by letting a generator of the first factor act by $\zeta \mapsto \zeta^{q^{d_0} + \epsilon} \zeta^q$ and letting the second act by inversion. (Note that this action is actually well-defined.) Let $\mathcal{G} \leq C_d \times C_2$ be defined by

$$\mathcal{G} := \begin{cases} \mathbf{C}_d \times \mathbf{C}_2, & \text{if } d = d_0, \\ \mathbf{C}_d \times \{1\}, & \text{if } d = 2d_0. \end{cases}$$

For $2 \mid d_0$ and hence $d = 2d_0$ we set $\epsilon := -1$. Let $C_0 := C_2 \times C_{q^{d_0}+1}$ be identified with a subgroup of $\mathbb{F}_{q^{2d}}^{\times} \times \mathbb{F}_{q^{2d}}^{\times}$, and $C_{0,1} = C_2 \times \{1\}$. The map $(a,b) \mapsto (ab^{\frac{q^{d_0}+1}{2}},b^q)$ defines a group automorphism of C_0 of order d. Hence there is a well-defined action of $\mathcal{G} := C_d$ on C_0 thus defined.

Remark 5.9 (Determining the group $W_{\widetilde{\xi}}$). Let $\xi \in \operatorname{Irr}(C)$. By definition $\widetilde{\mathbf{T}}$ is the central product of a \mathbf{T} and $\mathbf{Z}(\widetilde{\mathbf{G}})$ over $\mathbf{Z}(\mathbf{G}_{\mathrm{sc}}) = \langle h_0 \rangle$. The projections of $\widetilde{C} = \widetilde{\mathbf{T}}^{vF}$ onto the components are

$$\widehat{C} := \{ x \in \mathbf{T} \mid (vF)(x) \in x\mathbf{Z}(\mathbf{G}_{\mathrm{sc}}) \} \text{ and } \widehat{Z} := \{ x \in \mathbf{Z}(\widetilde{\mathbf{G}}) \mid (vF)(x) \in x\mathbf{Z}(\mathbf{G}_{\mathrm{sc}}) \}.$$

Clearly ξ extends to some $\widetilde{\xi} \in \operatorname{Irr}(\widehat{C})$ and $\widetilde{\xi}$ extends to $\widehat{C}\widehat{Z}$. This extended character can be written as $\widehat{\xi}.\lambda$ for some $\widehat{\xi} \in \operatorname{Irr}(\widehat{C})$ and $\lambda \in \operatorname{Irr}(\widehat{Z})$. Since $W_d := N/C$ acts trivially on \widehat{Z} and normalizes \widehat{C} , the stabilizers of $\widetilde{\xi}$, $\widehat{\xi}.\lambda$ and $\widehat{\xi}$ coincide, i.e., $W_{\widetilde{\xi}} = W_{\widehat{\xi}}$.

We will use the groups introduced above to give an analogue of Proposition 5.5 of [CS17b].

The group \widehat{C} . Like in [S10a, §§ 5,9] we see that

$$T = T_1 \cdots T_a$$

where $\mathbf{T}_k := \langle \mathbf{h}_{e_i}(t) \mid i \in \mathcal{O}_k, t \in \mathbb{F}^{\times} \rangle$ and $\mathbf{T}_1 \cap (\mathbf{T}_2 \cdots \mathbf{T}_a)$ is $\langle h_0 \rangle$, see Lemma 9.3 of [S10a]. Hence \widehat{C} is the central product of the groups $\widehat{T}_k = \{t \in \mathbf{T}_k \mid (vF)(t) \in t \langle h_0 \rangle \}$. There exists an isomorphism $\Xi_k : \widehat{T}_k \to C_0$ that maps $\langle h_0 \rangle$ to $C_{0,2}$. This implies that there exists an isomorphism

$$\Xi: \widehat{C} \longrightarrow C_0 \times_{C_{0,2}} \cdots \times_{C_{0,2}} C_0,$$
 (5.9.1)

where the latter group is the a-fold central product of C_0 over $C_{0,2}$.

The group C. Let ν be the linear character of C_0 of order 2 trivial on the first factor in case $2 \mid d_0$, and let $\mu \in \operatorname{Irr}(\widehat{C})$ the character of order 2 that corresponds to $\nu \cdots \nu$ via Ξ . Then μ corresponds to the composite of the map $C \to \langle h_0 \rangle$ given by $t\mapsto (vF)(t)t^{-1}$ and the faithful character of $\langle h_0\rangle$. Hence

$$C = \ker(\mu)$$
.

The characters of C and \widehat{C} . We choose a \mathcal{G} -transversal \mathcal{R} of $Irr(C_0)$. For later purposes we choose \mathcal{R} such that $\zeta \nu \in \mathcal{R}$ whenever $\zeta \in \mathcal{R}$ and $\zeta \nu$ lie in different \mathcal{G} orbits. Since, via Ξ_k the action of $\langle c_k \rangle \hat{T}_k / \hat{T}_k$ and the action of \mathcal{G} on C_0 coincide, every $\xi \in \operatorname{Irr}(C)$ is W_d -conjugate to a character of the form

$$\xi_1, \dots, \xi_a \text{ with } \xi_k \in \mathcal{R}$$
 (5.9.2)

(more precisely $\xi_k \in \operatorname{Irr}(\widehat{T}_k)$ corresponds to \mathcal{R} via Ξ_k). Since \widehat{C} is abelian $\operatorname{Irr}(C) = \{\widehat{\xi}\Big|_{\widehat{C}} \mid \widehat{\xi} \in \operatorname{Irr}(\widehat{C})\}$. Because of $|\widehat{C}: C| = 2$ every $\xi \in \operatorname{Irr}(C)$ has two extensions $\widehat{\xi}$ and $\widehat{\xi}\mu$ to \widehat{C} .

Remark 5.10 (The relative inertia groups W_{ξ} and $W_{\widehat{\xi}}$ for $\xi \in \operatorname{Irr}(C)$ and $\widehat{\xi} \in \operatorname{Irr}(\widehat{C} \mid \xi)$). Assume that $\hat{\xi} \in \operatorname{Irr}(\hat{C} \mid \xi)$ is as in (5.9.2). Then by the particular choice of \mathcal{R} we see that via the identification of $\rho(N)$ with $\mathcal{G} \wr S_a$ the relative inertia group $W_{\widehat{\xi}} = N_{\widehat{\xi}}/C$ satisfies

$$W_{\widehat{\xi}} = \prod_{\zeta \in \mathcal{R}} \mathcal{G}_{\zeta} \wr \mathcal{S}_{I_{\zeta}} \text{ with } I_{\zeta} := \{i \mid \xi_i = \zeta\}$$

for $\zeta \in \mathcal{R}$ and $\hat{\xi} = \xi_1 \cdots \xi_a$ in (5.9.1). Recall that because of $W_{\tilde{\xi}} = W_{\hat{\xi}}$ this also determines $W_{\tilde{\epsilon}}$.

For $\xi := \widehat{\xi}\Big|_C$ the group W_{ξ} has $W_{\widehat{\xi}}$ as normal subgroup of index at most 2. For $n \in W_{\xi} \setminus W_{\widehat{\xi}}$ we have $\widehat{\xi}^n = \widehat{\xi}\mu$. Such an element exists if and only if for every $\zeta \in \mathcal{R}$ the equation $|I_{\zeta}| = |I_{\zeta\nu}|$ holds, whenever $\zeta\nu \in \mathcal{R}$.

For the proofs in the non-regular case it is useful to observe the following inclusions. Recall $N_c := \mathbf{N}_c^{vF}$.

Lemma 5.11. Assume $2 \nmid d$. Let $\zeta \in Irr(C)$ with $\zeta(h_0) = -1$. Then $N_{\zeta} \leq N_c$.

Proof. Recall that we assume 8|(q-1) following Lemma 5.1. This implies that $h_k \in C$ whenever $2 \nmid d$. Any $x \in N \setminus N_c$ satisfies $[(\prod_{i=1}^l \mathbf{h}_{e_i}(\varpi)), x] = h_0$ by the Chevalley relations. Since $\zeta(h_0) = -1$ this implies the inclusion $N_{\zeta} \leq N_c$.

Proposition 5.12. Assume $2 \mid d$. Let $\zeta \in Irr(C)$, and $\widehat{\zeta} \in Irr(\widehat{C} \mid \zeta)$.

- (a) If $\zeta(h_0) = -1$ and $N_{\zeta} \not\leq N_c$, then $2 \nmid a$ and $N_{\zeta} \cap N_c = N_{\widehat{\zeta}}$.
- (b) If $\zeta(h_0) = 1$ and $N_{\zeta} \neq N_{\widehat{\zeta}}$, then a is even.

Proof. In (a), $\lambda := \zeta \rceil_{H_d}$ satisfies $N_{\zeta} \leq V_{d,\lambda}$ and hence $V_{d,\lambda} \not\leq N_c$. By Proposition 5.6(a) this implies $2 \mid a$.

For proving the required equation $N_{\zeta} \cap N_c = N_{\widehat{\zeta}}$ stated in (a) we compute for more general ζ the groups N_{ζ} and $N_{\widehat{\zeta}}$.

If $2 \nmid o(\zeta)$, then $\widehat{\zeta}$ can be chosen to satisfy $2 \nmid o(\widehat{\zeta})$. The other extension of ζ to \widehat{C} has even order. Hence $\widehat{\zeta}$ is N_{ζ} -invariant. This implies $W_{\widehat{\zeta}} = W_{\zeta}$ in this case.

Assume now that $\zeta \in \operatorname{Irr}(C)$ satisfies $\zeta(h_0) = -1$ and that $o(\zeta)$ is a power of 2. Note that $|C| = (q^{d_0} + 1)^a$ because of $2 \mid d$. In this case H_d and \widehat{H}_d are the Sylow 2-subgroups of C and \widehat{C} since we assume $4 \mid (q-1)$ by Lemma 5.1. The characters $\lambda = \zeta \mid_{H_d}$ and $\widehat{\lambda} := \widehat{\zeta} \mid_{\widehat{H}_d}$ satisfy

$$CV_{d,\lambda} = N_{\zeta} \text{ and } CV_{d,\lambda_1} = N_{\widehat{\zeta}}.$$
 (5.12.1)

The equality $V_{d,\lambda} \cap N_c = V_{\widehat{\lambda}}$ from Proposition 5.6(a) implies

$$N_{\widehat{\zeta}} = CV_{d,\widehat{\lambda}} = C(V_{d,\lambda} \cap N_c) = CV_{d,\lambda} \cap N_c = N_{\zeta} \cap N_c.$$
 (5.12.2)

Any $\zeta \in \operatorname{Irr}(C)$ with $\zeta(h_0) = -1$ can be written uniquely as a product $\zeta_2\zeta_{2'}$ where $\zeta_2,\zeta_{2'} \in \operatorname{Irr}(C)$ such that the order of ζ_2 is a power of 2 and $2 \nmid o(\zeta_{2'})$. We denote by $\widehat{\zeta}_2,\widehat{\zeta}_{2'} \in \operatorname{Irr}(\widehat{C})$ extensions of ζ_2 and $\zeta_{2'}$ with $2 \nmid o(\widehat{\zeta}_{2'})$. Then $N_{\zeta} = N_{\zeta_2} \cap N_{\zeta_{2'}}$ and $N_{\widehat{\zeta}} = N_{\widehat{\zeta}_2} \cap N_{\widehat{\zeta}_{2'}}$. By the above considerations we get $N_{\zeta_{2'}} = N_{\widehat{\zeta}_{2'}}$ and

$$N_{\widehat{\zeta}_2} = N_{\zeta_2} \cap N_c. \tag{5.12.3}$$

Together this implies the required equality $N_{\widehat{\zeta}} = N_{\zeta} \cap N_c$.

For the proof of part (b) let $\zeta \in \operatorname{Irr}(C)$ and $\widehat{\zeta} \in \operatorname{Irr}(\widehat{C} \mid \zeta)$ with $\zeta(h_0) = 1$ and $N_{\zeta} \neq N_{\widehat{\zeta}}$. Again $\zeta = \zeta_2 \zeta_{2'}$ where $\zeta_2, \zeta_{2'} \in \operatorname{Irr}(C)$ such that the order of ζ_2 is a power of 2 and $2 \nmid o(\zeta_{2'})$. We denote again by $\widehat{\zeta}_2, \widehat{\zeta}_{2'} \in \operatorname{Irr}(\widehat{C})$ extensions of ζ_2 and $\zeta_{2'}$ with $2 \nmid o(\widehat{\zeta}_{2'})$. Then $N_{\zeta} = N_{\zeta_2} \cap N_{\zeta_{2'}}$ and $N_{\widehat{\zeta}} = N_{\widehat{\zeta}_2} \cap N_{\widehat{\zeta}_{2'}}$. By the above considerations $N_{\zeta_{2'}} = N_{\widehat{\zeta}_{2'}}$. Hence $N_{\zeta} \neq N_{\widehat{\zeta}}$ implies $V_{d,\widehat{\lambda}} \neq V_{d,\lambda}$ where $\lambda = \zeta \mid_{H_d}$ and $\widehat{\lambda} := \widehat{\zeta} \mid_{\widehat{H}_d}$ following the equations (5.12.1). Then Proposition 5.6(b) implies $2 \mid a$ as required. \square

For later use we add another comment on the value of a certain commutator.

Remark 5.13. For \widehat{C} from Remark 5.9, every $t \in \widehat{C}$ and $n \in N$ the commutator satisfies $[t, n] \in C$. If $\zeta \in \operatorname{Irr}(C)$, $\widehat{\zeta} \in \operatorname{Irr}(\widehat{C} \mid \zeta)$ and $n \in N_{\zeta}$, then the value of $\zeta([t, n])$ is given by

$$\zeta([t,n]) = \begin{cases} 1 & \text{if } n \in N_{\widehat{\zeta}}, \\ -1 & \text{otherwise.} \end{cases}$$

This follows from straightforward calculations.

For verifying assumption (iv) of Theorem 4.3 about the Clifford theory for $W_{\widehat{\xi}} \leq N_{W_d}(W_{\widehat{\xi}})$ we prove a more general statement on extendibility.

Proposition 5.14. Let A be an abelian group and $n \ge 1$. Let $X_0 \le A^n$ such that X_0 is the direct product of its n projections. Let K be a Young subgroup K of S_n normalizing X_0 in the action of S_n on A^n by permutation. Denote $X := X_0 \rtimes K \le A \wr S_n$. Then maximal extendibility holds with respect to

$$X \subseteq N_{A \wr S_n}(X)$$
.

Proof. Without loss of generality we assume $X_0 = A_1^{n_1} \times \cdots \times A_r^{n_r}$ for pairwise distinct subgroups $A_i \leq A$ with $n_i \geq 1$ and $n_1 + \cdots + n_r = n$. The group K also splits analogously as a direct product of Young subgroups K_i of S_{n_i} . Then $N_{A \wr S_n}(X)$ is the

direct product of groups $N_{A \wr S_{n_i}}(X) = N_{A \wr S_{n_i}}(A_i \wr K_i)$. So our claim clearly reduces to r = 1, which we now assume.

Group-theoretic considerations prove that $((a_1,\ldots,a_n),\sigma)$ is an element of $\mathcal{N}_{A\wr S_n}(X)$ if and only if $\sigma\in\mathcal{N}_{S_n}(K)$ and $a_iA_1=a_jA_1$ whenever i,j are in the same K-orbit. Let $\chi\in\operatorname{Irr}(X)$. By the action of the elements of $\mathcal{N}_{A\wr S_n}(X)$ on X we see that $\mathcal{N}_{A\wr S_n}(X)_\chi\leq A^n\rtimes\mathcal{N}_{S_n}(K)_\chi$. Now we construct an extension of χ to $A^n\rtimes\mathcal{N}_{S_n}(K)_\chi$, although the latter may not normalize X.

Let $\lambda \in \operatorname{Irr}(A_1^n \mid \chi)$ and $\lambda_i \in \operatorname{Irr}(A_1)$ such that $\lambda = \lambda_1 \times \cdots \times \lambda_n$. There exists some extension $\widehat{\lambda} \in \operatorname{Irr}(A^n)$ of λ such that $(S_n)_{\lambda} = (S_n)_{\widehat{\lambda}}$, since A is abelian. It is a well-known fact that λ has a unique extension $\phi \in \operatorname{Irr}((A_1)^n \rtimes K_{\lambda})$ such that $\phi|_{K_{\lambda}}$ has only non-negative integral values, see for instance [B, 33.1]. Analogously $\widehat{\lambda}$ has an extension $\widehat{\phi} \in \operatorname{Irr}(A^n \rtimes (S_n)_{\lambda})$ such that $\widehat{\phi}|_{K_{\lambda}}$ has only non-negative integral values. Because of the uniqueness, $\widehat{\phi}$ is an extension of ϕ .

By Clifford theory $\chi = (\phi \eta)^X$ for some $\eta \in \operatorname{Irr}(K_\lambda)$. By the uniqueness of ϕ we see that $\operatorname{N}_{S_n}(K)_{\chi} = K\operatorname{N}_{S_n}(K,K_\lambda)_{\phi\eta} = K\operatorname{N}_{S_n}(K,K_\lambda)_{\eta}$. The character $(\widehat{\phi}\eta)^{A^nX} \in \operatorname{Irr}(A^nX)$ is an extension of χ .

The group K_{λ} is a Young subgroup and $\widehat{K}_1 := \mathrm{N}_{\mathrm{S}_n}(K, K_{\lambda})_{\eta}$ is a direct product of wreath products with summands of K_{λ} as base subgroups. Hence η has an extension $\widetilde{\eta} \in \mathrm{Irr}(\widehat{K}_1)$. Then the character $(\widehat{\phi}_{A^n \rtimes \widehat{K}} \widetilde{\eta})^{A^n \rtimes (\widehat{K}_1 K)}$ is an extension of χ . Since $\mathrm{N}_{A^n \rtimes \mathrm{S}_n}(X)_{\chi} \leq A^n \rtimes \mathrm{N}_{\mathrm{S}_n}(K)_{\chi} = A^n \rtimes (\widehat{K}_1 K)$ this proves our claim. \square

The character theory for the groups W_{ξ} and $W_{\widetilde{\xi}}$ satisfies the following.

Proposition 5.15. For every $\xi \in \operatorname{Irr}(C)$, $\widehat{\xi} \in \operatorname{Irr}(\widetilde{C} \mid \xi)$, $K := \operatorname{N}_{W_d}(W_{\xi}, W_{\widetilde{\xi}})$ and $\eta_0 \in \operatorname{Irr}(W_{\widetilde{\xi}})$, any $\eta \in \operatorname{Irr}(W_{\xi} \mid \eta_0)$ is K_{η_0} -invariant.

Proof. The groups W_d is a wreath product of the form $\mathcal{G} \wr S_a$ while the structure of $W_{\widetilde{\xi}}$ is given in Remark 5.10. Since $W_{\widetilde{\xi}}$ satisfies the requirements for X in Proposition 5.14, any $\eta_0 \in \operatorname{Irr}(W_{\widetilde{\xi}})$ extends to its inertia group in $N_{W_d}(W_{\widetilde{\xi}})$. In particular there exists some extension to W_{ξ,η_0} that extends to K_{η_0} . Since $|W_{\xi}:W_{\widehat{\xi}}| \leq 2$ all characters in $\operatorname{Irr}(W_{\xi} \mid \eta_0)$ are K_{η_0} -invariant.

Corollary 5.16. Properties A(d) and B(d) from Definition 2.2 hold for G_{sc}^F of type B_l when the centralizer of the Sylow d-torus is a torus and q is a square.

Proof. We apply Theorem 4.3. Assumptions (i) and (ii) are given by Lemma 5.4. Assumptions (iii) and (iv) are ensured by Theorem 5.5 and the above Proposition 5.15, knowing that E is cyclic in this type and acts trivially on W_d . This gives our claim. \square

5.D Considerations for the non-regular case

In the next step we extend the above results to arbitrary integers $d \ge 1$ and complete the proof of properties A(d) and B(d) from Definition 2.2 by checking all assumptions of Theorem 4.2 above.

We keep the same notation (see Definition 4.1). Recall that m is the integer such that $p^m = q$, e = |V| and $\widehat{E} = \mathbf{C}_{em}$. We define an action of \widehat{E} on $\mathbf{G}_{\mathrm{sc}}^{F_0^{em}}$ by letting the generating element \widehat{F}_0 act on $\mathbf{G}_{\mathrm{sc}}^{F_0^{em}}$ by F_0 . Let $\widehat{F} := \widehat{F}_0^m$.

Again we will fix below an element $v \in V$. We then denote by **S** a Sylow *d*-torus of (\mathbf{T}, vF) , its centralizer $\mathbf{C} = C_{\mathbf{G}_{sc}}(\mathbf{S})$ and the finite groups

$$C := \mathbf{C}^{vF} = C_{\mathbf{G}_{sc}}(\mathbf{S})^{vF}, \quad \widetilde{C} := C_{\widetilde{\mathbf{G}}}(\mathbf{S})^{vF},$$

$$N := N_{\mathbf{G}_{sc}}(\mathbf{S})^{vF}, \quad \widetilde{N} := N_{\widetilde{\mathbf{G}}}(\mathbf{S})^{vF}, \quad \text{and} \quad \widehat{N} := C_{(\mathbf{G}_{sc}\widehat{E})^{F_0^{em}}}(v\widehat{F})_{\mathbf{S}}$$

$$(5.16.1)$$

Recall that the assumptions of Theorem 4.2 essentially require to verify the following:

- (i) **S** is also a Sylow d-torus of (\mathbf{G}_{sc}, vF) ;
- (ii) a \widetilde{C} -transversal $\mathcal{T} \subseteq \operatorname{Irr}(C)$ with certain properties can be chosen;
- (iii) there exists some \widehat{N} -equivariant extension map with respect to $C \leq N$ for \mathcal{T} ;
- (iv) for every $\xi \in \mathcal{T}$ and $\widetilde{\xi} \in \operatorname{Irr}(\widetilde{C} \mid \xi)$ every character $\eta_0 \in \operatorname{Irr}(W_{\widetilde{\xi}})$ has a K_{η_0} invariant extension to W_{ξ,η_0} , where $W_{\xi} := N_{\xi}/C$, $W_{\widetilde{\xi}} := N_{\widetilde{\xi}}/C$, $W_d := N/C$ and $K := \operatorname{N}_{W_d}(W_{\xi}, W_{\widetilde{\xi}})$.

The element $v \in V$ is chosen as follows. The positive integer d determines d_0 as in (5.2.1). Let l' be the maximal multiple of d_0 with $l' \leq l$. For $a := \frac{l'}{d_0}$ let

$$v := (\mathbf{n}_{\alpha_1}(1) \cdots \mathbf{n}_{\alpha_{l'}}(1))^a \in V.$$

According to [S10b, 3.2] the Sylow d-torus **S** of (\mathbf{T}, vF) is one of (\mathbf{G}_{sc}, vF) and hence assumption (i) of Theorem 4.2 holds.

Since we study the non-regular case, we assume that l' < l.

In order to describe the structure of the groups from (5.16.1) we use the following root systems and groups: Let

$$\Phi_1 := \Phi \cap \langle e_i \mid 1 \le i \le l' \rangle \text{ and } \Phi_2 := \Phi \cap \langle e_i \mid l' < i \le l \rangle.$$
 (5.16.2)

Additionally let

$$\mathbf{T}_1 := \langle \mathbf{h}_{\alpha}(k) \mid \alpha \in \Phi_1, k \in \mathbb{F}^{\times} \rangle \text{ and } \mathbf{G}_2 := \langle \mathbf{x}_{\alpha}(t) \mid \alpha \in \Phi_2, t \in \mathbb{F} \rangle.$$
 (5.16.3)

Then, see [S10b, 2.2], $\mathbf{C} = \mathbf{T}_1 \mathbf{G}_2$, a central product over $Z(\mathbf{G}_2) = Z(\mathbf{G}_{sc}) = \langle h_0 \rangle$. This last point can be seen by the fact that \mathbf{G}_2 is a semi-simple group of type $B_{l-l'}$ with center generated by $\mathbf{h}_{e_{l'+1}}(-1)$ but this equals $h_0 = \mathbf{h}_{e_1}(-1)$ since they are conjugate but the latter is central.

Lemma 5.17. (a) $C_{\widetilde{C}}(C)C = \widetilde{C}$.

- (b) Any $t \in C \setminus (T_1G_2)$ induces a diagonal automorphism on G_2 .
- (c) Every $\xi \in Irr(C)$ satisfies

$$\widetilde{N}_{\xi} = \widetilde{C}_{\xi} N_{\xi} \quad and \quad (\widetilde{N}\widehat{N})_{\xi^N} = \widetilde{N}_{\xi^N} \widehat{N}_{\xi^N},$$

in particular $\mathcal{T} := \operatorname{Irr}(C)$ satisfies Assumption (ii) of Theorem 4.2.

Proof. (a) Since $Z(\widetilde{\mathbf{C}})^{vF} \leq C_{\widetilde{C}}(C)$, it suffices to prove that $Z(\widetilde{\mathbf{C}})^{vF} \mathbf{C}^{vF} = \widetilde{\mathbf{C}}^{vF}$. We have $\widetilde{\mathbf{C}} = Z(\widetilde{\mathbf{C}})\mathbf{C}$, so by a classical consequence of Lang's theorem, it suffices to prove that $Z(\widetilde{\mathbf{C}}) \cap \mathbf{C}$ is connected. We have indeed $Z(\widetilde{\mathbf{C}}) \cap \mathbf{C} = Z(\mathbf{C}) = \mathbf{T}_1$ since $\mathbf{C} = \mathbf{T}_1\mathbf{G}_2$ is a central product where $Z(\mathbf{G}_2) = \langle h_0 \rangle \leq \mathbf{T}_1$ as recalled above.

Part (b) is clear from the fact that $\mathbf{G}_2 = [\mathbf{C}, \mathbf{C}]$.

(c) Part (a) implies $\widetilde{C}_{\xi} = \widetilde{C}$ for every $\xi \in \operatorname{Irr}(C)$. Since $\widetilde{N} = \widetilde{C}N$ (see proof of Theorem 4.3) we see that $\widetilde{N}_{\xi} = \widetilde{C}N_{\xi}$. By part (a), \widetilde{N} stabilizes ξ^{N} and hence

$$(\widetilde{N}\widehat{N})_{\xi^N} = \widetilde{N}\widehat{N}_{\xi^N} = \widetilde{N}_{\xi^N}\widehat{N}_{\xi^N}.$$

All requirements in (ii) of Theorem 4.2 are then satisfied for $\mathcal{T} = \operatorname{Irr}(C)$.

We keep d a non-regular number of (\mathbf{G}_{sc}, F) and recall from Lemma 5.1 that in order to check condition $\mathbf{A}(d)$, one may assume the following.

Assumption: Out($\mathbf{G}_{\mathrm{sc}}^F$) is non-cyclic, in particular $2 \mid |E|$ and hence $8 \mid (q-1)$.

Before going into the character theoretic details we have to clarify the structure of C and N.

A Sylow d-torus S and associated groups. We denote by $W_{\mathsf{D}_{l'}}$ the subgroup of W that is generated by the reflections along the long roots of Φ_1 . Then $|W_{\Phi_1}:W_{\mathsf{D}_{l'}}|=2$ and $\rho(W_{\mathsf{D}_{l'}})$ is the subgroup of $S_{\pm l'}$ containing all permutations of $S_{\pm l'}$ with an even number of positive integers mapped to negative ones, see also Notation 5.2.

The next proposition focuses on the structure of the groups involved in assumption (iii) of Theorem 4.2. We use in a decisive way condition $A(\infty)$ for G_2 , a group of type B of rank smaller than the one of \mathbf{G}_{sc} , which holds thanks to Theorem 3.10.

Proposition 5.18. For the root systems Φ_1 from (5.16.2) let

$$\mathbf{N}_1 := \left\langle \mathbf{n}_{\alpha}(k) \mid \alpha \in \Phi_1, k \in \mathbb{F}^{\times} \right\rangle, \ \mathbf{N}_c := \rho^{-1}(W_{\mathsf{D}_{l'}}) \cap \mathbf{N}_1, \ N_1 := \mathbf{N}_1^{vF} \ and \ N_c := \mathbf{N}_c^{vF}.$$

$$(5.18.1)$$

Then these groups satisfy the following:

- (a) $N = N_1 C$ and $N_c = C_{N_1}(\mathbf{G}_2)$.
- (b) Let $x_1 \in N_1 \setminus N_c$, $\varpi \in \mathbb{F}$ a primitive fourth root of unity, $h := \prod_{i=l'+1}^{l} \mathbf{h}_{e_i}(\varpi) \in (\mathbf{T} \cap \mathbf{G}_2)^F$. Then

$$C_N(G_2) = \langle N_c, x_1 h \rangle \quad and \quad N = CC_N(G_2). \tag{5.18.2}$$

(c) Let $G_2 := \mathbf{G}_2^{vF}$. Then $G_2 = \mathbf{G}_2^{h'F}$ where

$$h' := \begin{cases} 1_{\mathbf{G}_2} & \text{if } v \in N_c, \\ h & \text{otherwise.} \end{cases}$$
 (5.18.3)

(d) Let $g \in \mathbf{T} \cap \mathbf{G}_2$ with $gF(g)^{-1} = h'$. Then

$$\iota_2: \mathbf{G}_2^F \rtimes \left\langle \widehat{F}_0 \right\rangle \to \mathbf{G}_2^{h'F} \left\langle t''\widehat{F}_0 \right\rangle \text{ with } y \mapsto {}^g y$$

is an isomorphism with $\iota_2(\widehat{F}) = h'\widehat{F}$, where $t'' := gF_0(g)^{-1} \in \mathbf{T} \cap \mathbf{G}_2$ normalizes $\mathbf{G}_2^{h'F}$.

(e) Let $T_1 := \mathbf{T}_1^{vF}$ and $C_0 := T_1G_2$. There exists some $t' \in C$ such that $t't'' \in C_{\mathbf{T}}(G_2)$. It satisfies

$$t' \in \begin{cases} C_0 & \text{if } [\widehat{F}_0, h'] = 1_{\mathbf{G}_2}, \\ C \setminus C_0 & \text{otherwise.} \end{cases}$$
 (5.18.4)

(f) Let $\theta \in Irr(G_2)$ then

$$(N\widehat{E})_{\theta} = C_{\theta} \left(N_1 \left\langle t' \widehat{F}_0 \right\rangle \right)_{\theta} = C_{\theta} N_1 \left\langle t' \widehat{F}_0 \right\rangle_{\theta},$$

for $t' \in C$ defined above.

Proof. Parts (a) and (b) follow from straightforward computations, see [S07, 10.2.5, 10.2.6] and [S10b, Lem. 6.3]. Note that the hypothesis $4 \mid (q-1)$ implies $h \in \mathbf{G}_2^{vF}$.

Part (c) is then a direct consequence of part (a).

The existence of g is clear from the connectedness of $\mathbf{T} \cap \mathbf{G}_2$. The map ι_2 is clearly

an isomorphism with ${}^g(\mathbf{G}_2^F) = \mathbf{G}_2^{gF(g^{-1})F}$ and $\iota_2(\widehat{F}_0) = [g, \widehat{F}_0]\widehat{F}_0$. Note that $F_0(h)h^{-1} = \prod_{i=l'+1}^l \mathbf{h}_{e_i}((-1)^{\frac{p-1}{2}}) \in \mathbf{Z}(\mathbf{G}_{\mathrm{sc}})$ and therefore $z := F_0(h')h'^{-1} \in \mathbf{Z}(\mathbf{G}_{\mathrm{sc}})$. Denote $s'' := g^{-1}F_0(g) = \iota_2^{-1}(t'')$. We have $F(s'')s''^{-1} = (F(t''h')t''^{-1})^{-1}$ and

$$F(s'')s''^{-1} = F(g^{-1})F_0(F(g))F_0(g)^{-1}g$$

= $F(g^{-1})F_0(h'^{-1})g = F(g^{-1})zh'^{-1}g = zF(g)^{-1}(F(g)g^{-1})g = z.$

Accordingly s'' induces a diagonal automorphism on \mathbf{G}_2^F corresponding to z by the relevant Lang map, and t'' induces a diagonal automorphism on $\mathbf{G}_2^{h'F}$ corresponding to

For (e), observe that since C has connected center (see proof of Proposition 5.18(a)), \mathbf{C}^{vF} , and therefore \mathbf{T}^{vF} induces all diagonal automorphisms of $\mathbf{G}_2^{vF} = [\mathbf{C}, \mathbf{C}]^{vF}$. This ensures the existence of t'. The claim that $t' \in C_0$ if and only if z = 1 comes from the fact just proved that t'', hence t', induces a diagonal outer automorphism on $\mathbf{G}_2^{h'F}$ corresponding to z, while $t' \in C_0$ if and only if the induced automorphism is interior.

Concerning (f), one has $N\widehat{E} = CC_N(G_2)\widehat{E}$ by (5.18.2). We can content ourselves with checking $(C\langle t'\widehat{F}_0\rangle)_{\theta} = C_{\theta}\langle t'\widehat{F}_0\rangle_{\theta}$. Applying ι_2^{-1} this also reads

$$(C^g \left\langle \widehat{F}_0 \right\rangle)_{\theta'} = C^g_{\theta'} \left\langle \widehat{F}_0 \right\rangle_{\theta'}$$

for any $\theta' \in \operatorname{Irr}(\mathbf{G}_2^F)$. Observe that Φ_2 is a root system of type $\mathsf{B}_{l-l'}$ if $l-l' \geq 2$, and of type A_1 otherwise. The group G_2^F is a quasi-simple group of type $B_{l-l'}$ or isomorphic to $SL_2(q)$, respectively, on which F_0 and F act as described in the setting of Sect. 2.A. On that group, C^g acts by diagonal automorphisms, since C does so on $G_2 = {}^g \mathbf{G}_2^F$. Our statement then follows from Theorem 3.10 and [CS17a, Thm. 4.1].

The next proposition ensures assumption (iii) of Theorem 4.2. Recall the definitions of C, N, and N given in (5.16.1).

Proposition 5.19. There exists an \widehat{N} -equivariant extension map for $C \subseteq N$.

Lemma 5.20. Let $\widehat{F}'_0 \in \widehat{E}$, $n \in N_1$ and $\zeta \in \operatorname{Irr}(T_1)$ such that $\zeta^{n\widehat{F}'_0} = \zeta$. Then ζ has an $n\widehat{F}'_0$ -invariant extension $\widetilde{\zeta} \in \operatorname{Irr}(N_{1,\zeta})$. In particular $\widetilde{\zeta}([n\widehat{F}'_0, y]) = 1$ for every $y \in N_{1,\zeta}$.

Proof. Note that **S** is a Sylow d-torus of (\mathbf{G}_1, vF) with $\mathbf{T}_1 = C_{\mathbf{G}_1}(\mathbf{S})$ and $N_1 := N_{\mathbf{G}_1}(\mathbf{S})$, see [S10b, Lem. 2.2]. In this case d is a regular number for (\mathbf{G}_1, vF) . By the proof of Theorem 4.4 in [CS17b] together with Theorem 5.5 we see that there exists an $N_1 \times E$ equivariant extension map Λ_1 with respect to $T_1 \leq N_1$. This proves that ζ has an $\langle n\widehat{F}'_0 \rangle$ -invariant extension $\widetilde{\zeta} \in \operatorname{Irr}(N_{1,\zeta})$. Since $\widetilde{\zeta}$ is a linear character this implies $\widetilde{\zeta}([n\widehat{F}'_0, y]) = 1.$

Proof of Proposition 5.19. For the proof it is sufficient to construct for every $\xi \in \operatorname{Irr}(C)$ some $(N\widehat{E})_{\xi}$ -invariant extension ξ to N_{ξ} .

Recall $T_1 := \mathbf{T}_1^{vF}$, $G_2 := \mathbf{G}_2^{vF}$, and $C_0 := T_1 G_2$.

Let $\xi \in \operatorname{Irr}(C)$. Since C_0 is the central product of T_1 and G_2 any character in $\operatorname{Irr}(C_0 \mid \xi)$ can be written as $\zeta.\theta \in \operatorname{Irr}(C_0)$ with $\zeta \in \operatorname{Irr}(T_1)$ and $\theta \in \operatorname{Irr}(G_2)$. Let $\xi_0 \in$

 $\operatorname{Irr}(C_{\zeta,\theta} \mid \zeta.\theta)$ with $\xi_0^C = \xi$. Since $C_0 \leq N\widehat{E}$ we see that $(N\widehat{E})_{\xi} = C(N\widehat{E})_{\xi_0} \leq C(N\widehat{E})_{\theta}$. By Proposition 5.18(c) the stabilizer $(N\widehat{E})_{\xi_0}$ satisfies $N_{\xi_0} = C_{\zeta,\theta}C_N(G_2)_{\xi_0}$. This group has the normal subgroup $C_0C_N(G_2)_{\xi_0}$ of index ≤ 2 .

Assume there exists some extension $\widetilde{\psi} \in \operatorname{Irr}(\mathcal{C}_N(G_2)_{\xi_0})$ of ζ . Then $\widetilde{\psi}.\theta \in \operatorname{Irr}(\mathcal{C}_N(G_2)_{\xi_0}G_2)$ is a well-defined extension of $\zeta.\theta$. According to [S10b, Lem. 4.1] there exists a common extension $\widetilde{\xi}_0$ of $\widetilde{\psi}.\theta$ and ξ_0 . The character $\widetilde{\xi} := \widetilde{\xi}_0^{N_{\xi}}$ is then an extension of ξ . This extension is $(N\widehat{E})_{\xi}$ -invariant, if $\widetilde{\xi}_0$ is $(N\widehat{E})_{\xi_0}$ -invariant or equivalently $\widetilde{\psi} \in \operatorname{Irr}(\mathcal{C}_N(G_2)_{\xi_0})$ is $(N\widehat{E})_{\xi_0}$ -invariant. Hence for the proof it is sufficient to construct some $(N\widehat{E})_{\xi_0}$ -invariant extension $\widetilde{\psi}$ of ζ to $\mathcal{C}_N(G_2)_{\xi_0}$.

Let h' and t' be as in (5.18.3) and (5.18.4). Then Proposition 5.18(f) implies

$$(N\widehat{E})_{\theta} = C_{\theta} (N_1, \langle t'\widehat{F}_0 \rangle)_{\theta}.$$

Let $n \in N_1$, $t\widehat{F}'_0 \in \left\langle t'\widehat{F}_0 \right\rangle$ such that

$$(\xi_0)^{nt\widehat{F}_0'} = \xi_0.$$

In order to ensure that an extension $\widetilde{\psi}$ of ζ to $C_N(G_2)_{\xi_0}$ is $(N\widehat{E})_{\xi_0}$ -invariant it is hence sufficient to verify that

$$\widetilde{\psi}^{nt}\widehat{F}_0' = \widetilde{\psi}. \tag{5.20.1}$$

Let $\widetilde{\zeta}$ be the extension of ζ to $N_{1,\zeta}$ from Lemma 5.20 and $\psi:=\widetilde{\zeta}\Big|_{\mathcal{C}_{N_1}(G_2)}$. Let $\mathbf{i}\in\mathbb{C}$ be a primitive fourth root of unity. If $N_{1,\zeta}\not\leq N_c$ there exists some $x\in N_{1,\zeta}\setminus N_c$, $\mathcal{C}_N(G_2)_{\zeta.\theta}=\langle N_{c,\zeta},xh\rangle$, and an extension $\widetilde{\psi}$ of ψ to $\mathcal{C}_{N_1}(G_2)_{\zeta}$ is determined by

$$\widetilde{\psi}(xh) := \begin{cases} \zeta(x) & \text{if } h_0 \in \ker(\zeta), \\ \zeta(x) & \text{otherwise.} \end{cases}$$

Note that $\widetilde{\psi}((xh)^2) = \widetilde{\psi}(x^2)\widetilde{\psi}(h_0)$ and hence $\widetilde{\psi}$ is a well-defined linear character.

It remains to verify Equation (5.20.1) for $\widetilde{\psi}$. According to Lemma 5.20 we have $\psi^{t\widehat{F}'_0n} = \psi$. This proves (5.20.1) whenever $N_{1,\zeta} \leq N_c$. In the following we assume $N_{1,\zeta} \leq N_c$. Then Equation (5.20.1) holds whenever

$$\widetilde{\psi}([t\widehat{F}_0'n,xh])=1 \text{ or equivalently } \widetilde{\psi}([\widehat{F}_0',h])\widetilde{\psi}([t,x])=1,$$

since $\widetilde{\psi}$ is a linear character. The verification of this statement requires to consider various cases. For those considerations one uses the abelian group $\widehat{T}_1 := \{t \in \mathbf{T}_1 \mid (vF_0)(t) \in t \langle h_0 \rangle\} \geq T_1$ and choose an extension $\widehat{\zeta} \in \operatorname{Irr}(\widehat{T}_1)$ of ζ .

First we consider the case $2 \nmid d$. By Lemma 5.11 we can assume that $\zeta(h_0) = 1$ using our assumption $N_{1,\zeta} \not\leq N_c$. This implies $\widetilde{\psi}([\widehat{F}'_0,h]) = 1$. On the other hand because of $2 \nmid d$ we have $v \in N_c$ by Lemma 5.3, $h' = 1_{\mathbf{G}_2}$ by (5.18.3) and $t' \in C_0$ by (5.18.4). This implies $t \in C_0$ and $\widetilde{\psi}([t,x]) = 1$. Altogether we have $\widetilde{\psi}([\widehat{F}'_0,h])\widetilde{\psi}([t,x]) = 1$.

The next case is $2 \mid d$ and $\zeta(h_0) = -1$. Proposition 5.12(a) implies that a is odd and the element $x \in N_{1,\zeta} \setminus N_c$ satisfies

$$\widetilde{\psi}([t,x]) = \begin{cases} 1 & t \in C_0, \\ -1 & \text{otherwise,} \end{cases}$$
 (5.20.2)

according to Remark 5.13 since $x \notin N_{\widehat{\zeta}}$ according to Proposition 5.12(a). Because of $2 \nmid a$ we have $v \notin N_c$ by Lemma 5.3 and h' = h by (5.18.3). This implies $[\widehat{F}'_0, h] = [\widehat{F}'_0, h']$. If $[\widehat{F}'_0, h] = h_0$ we have $\widetilde{\psi}([\widehat{F}'_0, h]) = -1$, $t \notin C_0$ by (5.18.4) and hence $\widetilde{\psi}([t, x]) = -1$. If $[\widehat{F}'_0, h] = 1_{\mathbf{G}_2}$ we have $\widetilde{\psi}([\widehat{F}'_0, h]) = 1$, $t \in C_0$ by (5.18.4) and hence $\widetilde{\psi}([t, x]) = 1$. In both cases $\widetilde{\psi}([\widehat{F}'_0, h])\widetilde{\psi}([t, x]) = 1$.

Now we consider the remaining case where $2 \mid d$ and $\zeta(h_0) = 1$. Accordingly $\widetilde{\psi}([\widehat{F}'_0,h]) = 1$. If $N_{\zeta} = N_{\widehat{\zeta}}$, we have $\widetilde{\psi}([t,x]) = 1$, as required. If $N_{\zeta} \neq N_{\widehat{\zeta}}$, we know $2 \mid a$ from Proposition 5.12(b), hence $v \in N_c$ by Lemma 5.3 and $t \in C_0$ by (5.18.3) and (5.18.4). Again $\widetilde{\psi}([t,x]) = 1$, and hence $\widetilde{\psi}([\widehat{F}'_0,h])\widetilde{\psi}([t,x]) = 1$. This finishes the proof.

It remains to determine the relative inertia groups and analyse their characters.

Proposition 5.21. Let $\xi \in \operatorname{Irr}(C)$ above some $\zeta.\theta$ where $\zeta \in \operatorname{Irr}(T_1)$ and $\theta \in \operatorname{Irr}(G_2)$. Let $\widetilde{\xi} \in \operatorname{Irr}(\widetilde{C} \mid \xi)$. We write $W_{\xi} := N_{\xi}/C$ and $W_{\widetilde{\xi}} := N_{\widetilde{\xi}}/C$. Let $\widehat{T}_1 := \{x \in \mathbf{T}_1 \mid (vF)(x) \in x \langle h_0 \rangle\}$, $\widehat{\zeta} \in \operatorname{Irr}(\widehat{T}_1 \mid \zeta)$ and $W_{\widehat{\zeta}} := N_{1,\widehat{\zeta}}/T_1$. Then:

(a)
$$W_{\xi} = W_{\widetilde{\xi}}$$
, if $\xi \rceil_{T_1 G_2} = \zeta.\theta$.

(b)
$$W_{\xi} = W_{\zeta} \text{ and } W_{\widetilde{\xi}} = W_{\widehat{\zeta}} \text{ , if } \xi \rceil_{T_1G_2} \neq \zeta.\theta.$$

Proof. As before we denote by $\xi_0 \in \operatorname{Irr}(C_{\theta,\xi} \mid \xi.\theta)$ the Clifford correspondent of ξ . From the proof of Proposition 5.19 we know $N_{\xi} = N_{1,\xi}C = N_{1,\xi_0}C$ with $N_{1,\xi_0} \leq N_{1,\zeta}$, in particular $N_{1,\xi_0} = N_{1,\zeta}$, if $\xi_0 = \zeta.\theta$ and equivalently $\xi_{1,G_2} \neq \zeta.\theta$. This implies in particular $W_{\xi} = W_{\zeta}$, if $\xi_{1,G_2} \neq \zeta.\theta$.

By Proposition 5.18(a), ξ has an extension $\widehat{\xi}$ to the group $\widehat{C} := \{c \in \mathbf{C} \mid (vF)(c) \in c \langle h_0 \rangle \}$. By the considerations given in the proof of Proposition 5.18(a) we see that $N_{\widehat{\xi}} = N_{\widetilde{\xi}}$. The group \widehat{C} is the central product of the group $\widehat{T}_1 := \{x \in \mathbf{T}_1 \mid (vF)(x) \in x \langle h_0 \rangle \}$ and the group $\widehat{G}_2 := \{x \in \mathbf{G}_2 \mid (vF)(x) \in x \langle h_0 \rangle \}$. The character $\widehat{\xi} = \widehat{\zeta}.\widehat{\theta}$ with $\widehat{\zeta} \in \operatorname{Irr}(\widehat{T}_1 \mid \zeta)$ and $\widehat{\theta} \in \operatorname{Irr}(\widehat{G}_2 \mid \theta)$. Since according to Proposition 5.18(b), N_1 induces on \widehat{G}_2 and G_2 inner automorphisms the character $\widehat{\theta}$ is N_1 -invariant. We see that $N_{\widehat{\xi}} = CN_{1,\widehat{\xi}}$ and $N_{1,\widehat{\xi}} = N_{1,\widehat{\zeta}}$. This implies $W_{\widetilde{\xi}} = W_{\widehat{\zeta}}$.

that $N_{\widehat{\xi}} = CN_{1,\widehat{\xi}}$ and $N_{1,\widehat{\xi}} = N_{1,\widehat{\zeta}}$. This implies $W_{\widetilde{\xi}} = W_{\widehat{\zeta}}$. Let us now assume that $\xi|_{T_1G_2} = \zeta.\theta$ and hence $\xi_0 \neq \zeta.\theta$. Then there exist two extensions $\widehat{\zeta}.\widehat{\theta}$ and $\widehat{\zeta}'.\widehat{\theta}'$ of ξ with $\widehat{\zeta} \neq \widehat{\zeta}'$ and $\widehat{\theta} \neq \widehat{\theta}'$. Any element in $N_{1,\xi} \setminus N_{1,\widehat{\xi}}$ has to map $\widehat{\zeta}.\widehat{\theta}$ to $\widehat{\zeta}'.\widehat{\theta}'$. Since $\widehat{\theta}'$ is N_1 -stable we see that $N_{1,\xi_0} = N_{1,\widehat{\zeta}}$ in that case.

If $\xi \rceil_{C_0} = \zeta.\theta$, the group N_{1,ξ_0} coincides with $N_{1,\zeta}$, $W_{\xi} = W_{\zeta}$ and $W_{\widetilde{\xi}} = W_{\widetilde{\zeta}}$.

5.E Proof of Theorem A for type B_l .

We first finish checking assumption (iv) of Theorem 4.2 in the above non-regular case. For any $\xi \in \operatorname{Irr}(C)$, $\widetilde{\xi} \in \operatorname{Irr}(\widetilde{C} \mid \xi)$, the groups W_{ξ} and $W_{\widetilde{\xi}}$ coincide with relative inertial groups occurring in the regular case for ζ and $\widehat{\zeta}$. Their characters were already studied in Proposition 5.15. It was proved that every $\eta_0 \in \operatorname{Irr}(W_{\widetilde{\xi}})$ has an $N_{W_d}(W_{\widetilde{\xi}}, W_{\xi})_{\eta_0}$ -invariant extension to $(W_{\xi})_{\eta_0}$. Along with Proposition 5.21, this establishes assumption (iv) of Theorem 4.2. Assumptions (i)-(iii) are given by Lemma 5.17(a) and Proposition 5.19. Theorem 4.2 then implies conditions A(d) and B(d) in the non-regular case while Corollary 5.16 gives them in the regular case. This applies in the setting we have worked with until now, which assumes that q is a square and therefore E has even order.

Let us assume now that E has odd order. Then A(d) holds by Lemma 5.1. In order to get B(d) one has to study extendibility of characters in the inclusion $\widetilde{C} \subseteq \widetilde{N}$.

Let $\theta \in \operatorname{Irr}(\widetilde{C})$. Recall that $N_c \leq \operatorname{C}_{N_1}(G_2)$. Let $\psi \in \operatorname{Irr}(\theta|_{T_1})$. Let Λ_1 be an N_1E -equivariant extension map with respect to $T_1 \leq N_1$. Then $\Lambda_1(\psi)|_{N_{c,\theta}}$ defines an $(N\widehat{E})_{\theta}$ -invariant extension $\widetilde{\theta}$ of θ to $N_{c,\theta}\widetilde{C}$.

Note that $\widetilde{C}N_c$ is a normal subgroup of $\widetilde{C}N_1$ with index 2. Accordingly $|\widetilde{C}N_{1,\theta}:\widetilde{C}N_{c,\theta}| \leq 2$. The group $\langle vF \rangle$ acts trivially on $\widetilde{C}N_1$ by definition. This implies that the group $N\widehat{E}_{\theta}/(\left\langle v\widehat{F} \right\rangle N_{\theta})$ acts on the set of extensions of $\widetilde{\theta}$ to $\widetilde{C}N_{1,\theta}$. There are at most 2 extensions of $\widetilde{\theta}$ to $\widetilde{C}N_{1,\theta}$. Since E is of odd order, this group is again of odd order and there is an extension of $\widetilde{\theta}$ to $\widetilde{C}N_{1,\theta}$ that is $N\widehat{E}_{\theta}$ -invariant by Glauberman's lemma [I, 13.8].

We now have A(d) and B(d) in all cases. Since $A(\infty)$ is ensured by Theorem 3.10, Theorem 2.4 then implies that simple groups of type B satisfy the inductive McKay condition for all primes.

6 The local conditions for types E

We now prove conditions A(d) and B(d) $(d \ge 1)$ from Definition 2.2 for \mathbf{G}_{sc}^F of types E_6 , ${}^2\mathsf{E}_6$ and E_7 . Let us recall the order of \mathbf{G}_{sc}^F as a polynomial in q, the prime power associated with F (see Sect. 2.A). We have $|\mathbf{G}_{sc}^F| = q^N P(q)$ with $N \ge 1$, P as follows, and where Φ_d denotes the d-th cyclotomic polynomial (see [MaTe, Table 24.1]). For the information about regular numbers, see [Sp74, Tables 1,2,8].

For $\mathbf{G}_{\mathrm{sc}}^F$ of type E_6 one has $P = \Phi_1^6 \Phi_2^4 \Phi_3^3 \Phi_4^2 \Phi_5 \Phi_6^2 \Phi_8 \Phi_9 \Phi_{12}$. All d's appearing are regular except 5.

For $\mathbf{G}_{\mathrm{sc}}^F$ of type ${}^2\mathsf{E}_6$ one has $P=\Phi_1^4\Phi_2^6\Phi_3^2\Phi_4^2\Phi_6^3\Phi_8\Phi_{10}\Phi_{12}\Phi_{18}$. All d's appearing are regular except 10.

For $\mathbf{G}_{\mathrm{sc}}^F$ of type E_7 one has $P = \Phi_1^7 \Phi_2^7 \Phi_3^3 \Phi_4^2 \Phi_5 \Phi_6^3 \Phi_7 \Phi_8 \Phi_9 \Phi_{10} \Phi_{12} \Phi_{14} \Phi_{18}$. All *d*'s appearing are regular except 4, 5, 8, 10, 12.

Our checking of conditions A(d) and B(d) for regular numbers d will use essentially Theorem 4.3 whose assumptions will be reviewed in the following. Since the center of \mathbf{G}_{sc} is cyclic one can choose a regular embedding $\mathbf{G}_{sc} \leq \widetilde{\mathbf{G}} = \mathbf{G}_{sc} \operatorname{Z}(\widetilde{\mathbf{G}})$ as in Sect. 2.A with $\operatorname{Z}(\widetilde{\mathbf{G}})$ a torus of rank 1. Recall that F_0 and the graph automorphism in type E_6 also extend to $\widetilde{\mathbf{G}}$.

6.A Relative Weyl groups

Assumption (iv) of Theorem 4.3 is essentially a problem about characters of complex reflection subgroups of the Weyl groups involved. In our case they are subgroups of the group G_{26} of order 1296 or G_8 of order 96 (see [BMM93, Table 3]). The following proposition and its proof are due to G. Malle whom we thank for allowing it to be included in the present paper. Here, one takes advantage of the knowledge of centralizers of semi-simple elements (see [MaTe, § 14.1] and the references given there). Shephard-Todd's notation for finite complex reflection groups G(m, p, n) and G_4, \ldots, G_{37} is used freely.

One considers the dual groups $\mathbf{G}^* := (\mathbf{G}_{\mathrm{sc}})^*$, $\widetilde{\mathbf{G}}^*$ with corresponding Frobenius F dual to the one of \mathbf{G}_{sc} . For $d \geq 1$ let $\mathbf{S}^* \leq \mathbf{G}^*$ denote a Sylow d-torus of \mathbf{G}^* , with relative Weyl group $W_d^* := \mathrm{N}_{\mathbf{G}^*}(\mathbf{S}^*)^F/\mathrm{C}_{\mathbf{G}^*}(\mathbf{S}^*)^F$ in \mathbf{G}^{*F} . For $s \in \mathbf{G}_{\mathrm{ss}}^{*F}$ centralizing \mathbf{S}^*

we let W_s denote the relative Weyl group of a Sylow d-torus of $\widetilde{\mathbf{G}}^*$ in $C_{\mathbf{G}^*}(s)$ and $W_{\widetilde{s}}$ the relative Weyl group of \mathbf{S}^* in $C_{\widetilde{\mathbf{G}}^*}(\widetilde{s})$, where \widetilde{s} denotes a preimage of s in $\widetilde{\mathbf{G}}^{*F}$. Note that this is isomorphic to the relative Weyl group of \mathbf{S}^* in $C_{\mathbf{G}^*}^{\circ}(s)$ and thus a subgroup of W_s of index $|C_{\mathbf{G}^*}(s): C_{\mathbf{G}^*}^{\circ}(s)|$. Further, let $K_s:=N_{W_d^*}(W_s,W_{\widetilde{s}})$. For $\widetilde{\eta}\in \mathrm{Irr}(W_{\widetilde{s}})$ one considers the following two properties:

- $(\ddagger)(i)$ there exists a $(K_s)_{\widetilde{\eta}}$ -invariant character $\eta \in Irr(W_s \mid \widetilde{\eta})$,
- $(\ddagger)(ii)$ there exists a character η as in (i) which extends to $(K_s)_{\widetilde{\eta}}$.

We denote $G := \mathbf{G}_{\mathrm{sc}}^F$ and $G^* = \mathbf{G}^{*F}$.

Proposition 6.1. Let $s \in G^*$ centralizing a Sylow d-torus of (\mathbf{G}^*, F) .

- (1) If $G = \mathsf{E}_6(q)_{\mathrm{sc}}$ then any $\widetilde{\eta} \in \mathrm{Irr}(W_{\widetilde{s}})$ satisfies $(\ddagger)(i)$ and (ii).
- (2) If $G = {}^{2}\mathsf{E}_{6}(q)_{\mathrm{sc}}$ then any $\widetilde{\eta} \in \mathrm{Irr}(W_{\widetilde{s}})$ satisfies $(\ddagger)(i)$.
- (3) If $G = \mathsf{E}_7(q)_{\mathrm{sc}}$ then any $\widetilde{\eta} \in \mathrm{Irr}(W_{\widetilde{s}})$ satisfies $(\ddagger)(i)$.

Proof of Proposition 6.1 We start by making some general observations. Both points of property (‡) certainly hold in the following cases:

- (i) when K_s is abelian;
- (ii) if $W_{\widetilde{s}} = \{1\}$, by taking for η the trivial character of W_s ;
- (iii) if $W_s = (K_s)_{\widetilde{\eta}}$ by taking any η above $\widetilde{\eta}$; and
- (iv) if $W_{\widetilde{s}}$ is a direct factor of K_s , since then every character of $W_{\widetilde{s}}$ is invariant and extends.

Also, $(\ddagger)(i)$ trivially holds if $W_{\widetilde{s}} = W_s$ with $\eta = \widetilde{\eta}$. We deal with the three types of groups in turn.

First assume that $G = \mathsf{E}_6(q)_{\mathrm{sc}}$. Here, the relative Weyl groups of the Sylow d-tori considered are the primitive complex reflection groups $W_3 = G_{25}$, $W_4 = G_8$ and $W_6 = G_5$. In Table 1 we have collected the semi-simple elements $s \in G^*$ (up to conjugacy of centralizers) centralizing some Sylow d-torus, together with the groups $W_{\widetilde{s}}$, W_s and K_s defined above (but omitting the trivial case s = 1 in which $W_{\widetilde{s}} = W_s = K_s = W_d^*$ and so (‡) trivially holds).

Here, an entry of the form [n] denotes an unspecified group of order n. Other notation is similar to the one used in [BMM93]. The third column gives restrictions on q for such elements s to exist.

The observations at the beginning of the proof only leave the five Cases 2, 4, 6, 8 and 10 to be dealt with. In Cases 4 and 10 property (‡)(i) holds since $W_{\tilde{s}} = W_s$ and (ii) is satisfied as K_s/W_s has cyclic Sylow subgroups. Finally, for Cases 2, 6 and 8, explicit computations using CHEVIE (see [Mi15]) show the claim. For example, in Case 2, $W_{\tilde{s}} = W_s$ is a parabolic subgroup of $W_3 = G_{25}$ of rank 1 and K_s is its centralizer in W_3 . CHEVIE shows that all characters of W_s extend to their inertia group in K_s .

Now assume that $G = {}^{2}\mathsf{E}_{6}(q)_{\mathrm{sc}}$. Here the occurring relative Weyl groups and normalizers are exactly as in $\mathsf{E}_{6}(q)$, except that the cases of d=3 and d=6 have to be interchanged and their centralizers be replaced by their Ennola duals. Thus the claim follows from our previous arguments.

Finally, let $G = \mathsf{E}_7(q)_{\mathrm{sc}}$. Recall that we only claim property (‡)(i). This is certainly satisfied if $W_{\widetilde{s}} = W_s$. So we can restrict ourselves to looking at elements s with disconnected centralizer. In particular q is odd. The relevant cases for d=6 are obtained

No.	$C_{G^*}(s)$	q	d	$W_{\widetilde{s}}$	W_s	K_s	case
1	$^{3}D_{4}(q).\Phi_{3}.3$	$\equiv 1(3)$	3,6	G_4	$G_4 \times 3$	$G_4 \times 3$	(iii)
2	$A_2(q)^3.3$	$\equiv 1(3)$	3	3^{3}	3 \ 3	$3 \wr S_3$	
3	$\Phi_{3}^{3}.3$	$\equiv 1(3)$	3	1	3	G_{25}	(ii)
4	$A_2(q)^2.\Phi_3$		3		3^{2}	$3^2.2$	
5	$^{3}D_{4}(q).\Phi_{3}$		3,6		G_4	$G_4 \times 3$	$ iv\rangle$
6	$A_2(q).\Phi_3^2$		3		3	$3^2.2$	
7	Φ_3^3		3		1	G_{25}	(ii)
8	$D_4(q).\Phi_1^2.3$	$\equiv 1(3)$	4	G(4,2,2)	G(4,2,2).3	G_8	
9	$D_5(q).\Phi_1$		4		G(4, 1, 2)	G(4,1,2)	(iii)
10	$D_4(q).\Phi_1^2$		4		G(4, 2, 2)	G_8	
11	$A_1(q^2)^2.\Phi_1^2$	odd	4		2×2	4×4	(i)
12	$^{2}A_{3}(q).A_{1}(q^{2}).\Phi_{1}$	odd	4		4×2	4×4	(i)
13	$^2A_3(q).\Phi_1.\Phi_4$		4		4	4×4	(i)
14	$A_{1}(q^{2}).\Phi_{1}^{2}.\Phi_{4}$		4		2	4×4	(i)
15	$\Phi_1^2.\Phi_4^2$		4		1	G_8	(ii)
16	$\Phi_3.\Phi_6^2.3$	$\equiv 1(3)$	6	1	3	[72]	(ii)
17	$A_2(q^2).\Phi_6$		6		3	6×3	(<i>i</i>)
18	$^{2}A_{2}(q).\Phi_{3}.\Phi_{6}$		6		3	6×3	(<i>i</i>)
19	$\Phi_3.\Phi_6^2$		6		1	G_5	(ii)

Table 1: Relative Weyl groups in $\mathsf{E}_6(q)_{\mathrm{sc}}$

No.	$C_{G^*}(s)$	q	$W_{\widetilde{s}}$	W_s	K_s	case
1	$E_{6}(q).\Phi_{1}.2$		G_{25}	G_{26}	G_{26}	(iii)
2	$A_2(q)^3.\Phi_1.2$	$\equiv 1(6)$	3^3	$3^3.2$	$3^3.2^2$	
3	$A_2(q)^2.\Phi_1.\Phi_3^3.2$		3^{2}	$3^2.2$	[108]	
4	$A_2(q).\Phi_1\Phi_3^2.2$		3	3.2	6×6	(<i>i</i>)
5	$\Phi_1\Phi_3^2.2$		1	2	[144]	(ii)

Table 2: Relative Weyl groups in $E_7(q)_{\rm sc}$, q odd, d=3

from the ones for d=3 by Ennola duality. This does not change the relative Weyl groups, so it suffices to consider d=3. The occurring series are collected in Table 2, again omitting the case s=1.

The Cases 1, 4 and 5 are clear by our general remarks. Again by explicit computation all characters of W_s extend to K_s in Cases 2 and 3.

Finally, we consider d=4 for $G=\mathsf{E}_7(q)_{\mathrm{sc}}$. The number d=4 is not regular for the Weyl group of type E_7 , and the centralizer of a Sylow 4-torus has type $\Phi_4^2.\mathsf{A}_1(q)^3$. Instead of discussing all the possibilities for disconnected centralizers of suitable semi-simple elements, we take another approach. Note that the subgroup $W_{\widetilde{s}}$ is a subgroup of W_4 generated by reflections. Now it is easy to list all subgroups of W_4 generated by reflections; up to conjugation there are nine non-trivial ones, isomorphic to

$$C_2, C_2 \times C_2, C_4, C_2 \times C_4, C_4 \times C_4, G(2, 1, 2), G(4, 2, 2), G(4, 1, 2), W_4 = G_8.$$

Six of these have a non-abelian normalizer in W_4 , namely the two abelian groups $C_2 \times C_2$, $C_4 \times C_4$, and of course all of the non-abelian ones. Now G(4,1,2) and W_4 are self-normalizing, so there is nothing to show. Furthermore, $C_4 \times C_4$ has index 2 in its normalizer, so again this case need not be considered. We are thus left with three possibilities for $W_{\widetilde{s}}$, up to conjugation, namely

$$C_2 \times C_2$$
, $G(2,1,2)$, and $G(4,2,2)$.

The only overgroup of G(4,2,2) with index 2 is G(4,1,2) which is self-normalizing, so this does not give rise to a case to consider. Now let's consider $W_{\widetilde{s}} = G(2,1,2)$. Here, $N := N_{W_4}(W_{\widetilde{s}})$ is a Sylow 2-subgroup of W_4 . Two of the linear characters and the character of degree 2 extend to N, while the other two linear characters have an inertia subgroup of index 2, so again we are done. Finally, assume that $W_{\widetilde{s}} = C_2 \times C_2$. In this case $N = N_{W_4}(W_{\widetilde{s}})$ has order 32, and there is a unique intermediate normal subgroup N_1 containing $W_{\widetilde{s}}$ of index 2. Two of the four linear characters of $W_{\widetilde{s}}$ extend to N; the other two have N_1 as inertia group, so we are done again.

6.B The regular case - Extended Weyl group

We apply the above to verify the necessary statements from Theorem 4.3 about characters of the local subgroups in cases where d is regular for (\mathbf{G}_{sc}, F) .

Proposition 6.2. Let d be a regular number for (\mathbf{G}_{sc}, F) where \mathbf{G}_{sc} has type E_6 or E_7 and $F \colon \mathbf{G}_{sc} \to \mathbf{G}_{sc}$ is a Frobenius endomorphism. Then $\mathsf{A}(d)$ and $\mathsf{B}(d)$ (see Definition 2.2) are satisfied by \mathbf{G}_{sc}^F .

Proof. We may assume $d \ge 3$ thanks to [MaSp16, § 3]. The remaining cases of regular $d \ge 3$ will be checked by applying Theorem 4.3.

The assumptions (i), (ii) and (iii.1) of Theorem 4.3 are ensured by Theorem D and Lemmas 8.1 and 8.2 of [S09]. Note that while those statements apply to the normal inclusion $C \subseteq N$, they derive in fact from the existence of an extension map (denoted there by Λ') for the inclusion $H_d \subseteq V_d$ with the equivariance property.

Assumption (iii.2) is to be proved in the case of untwisted type E_6 . Let us write $\widehat{E} = \left\langle \widehat{F}_0 \right\rangle \times \left\langle \gamma \right\rangle$ in the notation of Sect. 4. Let $\widetilde{\mathbf{w}}_0 \in V$ be defined as in [S09, 3.2(b)] so that γ acts by conjugation by $\widetilde{\mathbf{w}}_0$ on V. Then $\widehat{V}_d = V_d B$ for the abelian group $B := \left\langle \widehat{F}_0 \right\rangle \langle \widetilde{\mathbf{w}}_0 \gamma \rangle$. We see that $[B, V_d] = \{1\}$ as subgroups of $\mathbf{G}_{\mathrm{sc}}^{F_0^{em}} \rtimes \widehat{E}$. Let $\lambda \in \mathrm{Irr}(H_d)$ and $\Lambda_0(\lambda) \in \mathrm{Irr}((V_d)_{\lambda})$, this extension being ensured by what has

Let $\lambda \in \operatorname{Irr}(H_d)$ and $\Lambda_0(\lambda) \in \operatorname{Irr}((V_d)_{\lambda})$, this extension being ensured by what has been said before. We build an extension of $\Lambda_0(\lambda)$ to $(\widehat{V}_d)_{\lambda} = (V_d)_{\lambda}B$ having $v\widehat{F}$ in its kernel. Note that indeed v centralizes H_d hence stabilizes both λ and $\Lambda_0(\lambda)$. In the abelian group $B\langle v\rangle$, the order of \widehat{F} is a divisor of the order of v by definition of \widehat{E} in Sect. 4. So there is an extension μ of the linear character $\Lambda_0(\lambda)|_{(V_d)_{\lambda}\cap(B\langle v\rangle)}$ to $B\langle v\rangle$ such that $\mu(\widehat{F}) = \Lambda_0(\lambda)(v)^{-1}$. Now since μ and $\Lambda_0(\lambda)$ coincide on $(V_d)_{\lambda}\cap(B\langle v\rangle)$, there is a common extension $\widehat{\mu}$ to the central product $(V_d)_{\lambda}.(B\langle v\rangle)$ such that $\widehat{\mu}(xy) = \Lambda_0(\lambda)(x)\mu(y)$ for any $x \in (V_d)_{\lambda}$, $y \in B\langle v\rangle$ (see for instance [S09, 4.2]). Then $\widehat{\mu}$ is a character as required in (iii.2) of Theorem 4.3.

We explain how Proposition 6.1 implies assumption (iv) of Theorem 4.3. For integers $d \geq 3$ such that Φ_d is present in the order of $\mathbf{G}_{\mathrm{sc}}^F$ with power 1, one has that \mathbf{S}^F is cyclic [MaTe, 25.7]. On the other hand, $C_{\mathbf{G}_{\mathrm{sc}}}(\mathbf{S})^F = C_{\mathbf{G}_{\mathrm{sc}}}(\mathbf{S}^F)^F$ (by [CaEn, 13.16(i), 13.17(ii)] with regard to a prime dividing $\Phi_d(q)$) so that $W_d = N_{\mathbf{G}_{\mathrm{sc}}}(\mathbf{S})^F/C_{\mathbf{G}_{\mathrm{sc}}}(\mathbf{S})^F$ is

abelian since injecting in $Aut(\mathbf{S}^F)$. Then assumption 4.3(iv) is empty. So there only remain the integers $d \in \{3,4,6\}$ for types $\mathsf{E}_6,\ ^2\mathsf{E}_6,\ d \in \{3,6\}$ in type E_7 . Note that Proposition 6.1 is phrased using duality. The duality between G_{sc} and G^* provides a bijection $(\mathbf{T}, \theta) \leftrightarrow (\mathbf{T}^*, s)$ between \mathbf{G}_{sc}^F -conjugacy classes of pairs where \mathbf{T} is a maximal F-stable torus of \mathbf{G}_{sc} and $\theta \in \operatorname{Irr}(\mathbf{T}^F)$ and on the other side \mathbf{G}^{*F} -conjugacy classes of pairs where \mathbf{T}^* is a maximal F-stable torus of \mathbf{G}^* and $s \in \mathbf{T}^{*F}$ (see [B, 9.A]). This satisfies $N_{\mathbf{G}_{sc}}(\mathbf{T}, \theta)^F/\mathbf{T}^F \cong N_{\mathbf{C}_{\mathbf{G}^*}(s)}(\mathbf{T}^*)^F/\mathbf{T}^{*F}$ thanks to the description of centralizers in terms of roots. This is also compatible with a regular embedding $G_{sc} \leq \widetilde{G}$ and its dual $\mathbf{G}_{\mathrm{ad}}^* \leftarrow \widetilde{\mathbf{G}}^*$ (see [B, 9.C]). In our case of a character $\xi \in \mathrm{Irr}(\mathbf{C}^F)$ where \mathbf{C} is the centralizer of a Sylow d-torus and C is a maximal torus, the pair (C, ξ) relates with (C^*, s) where \mathbf{C}^* centralizes a Sylow d-torus (since \mathbf{C} and \mathbf{C}^* must have the same polynomial order, being in F-duality). By duality $W_{\tilde{\xi}} \leq W_{\xi} \leq W_d := N_{\mathbf{G}_{sc}}(\mathbf{C})^F/\mathbf{C}^F$ corresponds to $W_{\widetilde{s}} \leq W_s \leq W_d^* := N_{\mathbf{G}^*}(\mathbf{C}^*)^F/\mathbf{C}^{*F}$, the groups studied in Proposition 6.1 (see also [B, Proof of 16.2]). Proposition 6.1 tells us that, with the notations of 4.3(iv), for any $\eta_0 \in \operatorname{Irr}(W_{\widetilde{\epsilon}})$ there is

- a K_{η_0} -invariant $\eta \in \operatorname{Irr}(W_{\xi} \mid \eta_0)$, and when $\mathbf{G}_{\operatorname{sc}}^F$ is of type E_6 , some η as above extends to K_{η_0} .

In types ${}^{2}\mathsf{E}_{6}$ or E_{7} , assumption 4.3(iv) asks for some $\widehat{K}_{\eta_{0}}$ -invariant $\eta \in \mathrm{Irr}(W_{\xi} \mid \eta_{0})$. We know that $\widehat{F}_0 \in \widehat{N}$ and it acts trivially on W, hence also on W_d and therefore fixes η . In type E_7 this generates \hat{E} so it is enough to get our claim. In type 2E_6 , each element of \widehat{E} acts by a power of \widehat{F}_0 on W_d since $\gamma_0 \widehat{F}_0^m$ acts by F. So again K_{η_0} acts as \widehat{K}_{η_0} on W_{ξ} .

In type E_6 , we have seen above that $\widehat{V}_d = V_d = \left\langle \widehat{F}_0 \right\rangle \left\langle \widetilde{\mathbf{w}}_0 \gamma \right\rangle$. Then $\widehat{W}_d = W_d \mathcal{C}_{\left\langle \widetilde{\mathbf{w}}_0 \gamma \right\rangle}(v) \left\langle \widehat{F}_0 \right\rangle$ which is of the form $W_d \times B'$ with an abelian group B'. Then the group \widehat{K}_{n_0} is $K_{n_0} \times B'$ and statement 4.3(iv) is a trivial consequence of the two points we have.

All assumptions of Theorem 4.3 are satisfied, so we get conditions A(d) and B(d)for each regular number d.

6.CProof of Theorem A for types E

Let ℓ be a prime. We keep $\mathbf{G}_{\mathrm{sc}}^F$ a finite quasi-simple group of type $\mathsf{E}_6(q)$, ${}^2\mathsf{E}_6(q)$ or $\mathsf{E}_7(q)$, for q a power of the prime p. We must show that the simple group $\mathsf{G}_{\mathrm{sc}}^F/\mathrm{Z}(\mathsf{G}_{\mathrm{sc}}^F)$ satisfies the inductive McKay condition of [IMN07]. If $\ell = p$, this is ensured by [S12, 1.1]. If $\ell = 2$, this is given by [MaSp16, p. 905]. So we assume that ℓ is an odd prime $\neq p$, dividing $|\mathbf{G}_{\mathrm{sc}}^F|$ and we let d be the order of $q \mod \ell$.

Assume $d \neq 4$ if the type is E_7 . If d is a regular number then we have seen in Proposition 6.2 that A(d) and B(d) are satisfied, so Theorem A holds with regards to ℓ thanks to Theorem 3.10 and Theorem 2.4. If d is not regular, then d=5 for type E_6 , d=10 for type ${}^{2}\mathsf{E}_{6}$, or $d\in\{4,5,8,10,12\}$ for type E_{7} , as recalled at the beginning of this section. Since $d \neq 4$, one sees easily that Φ_d divides the polynomial order to the power 1 only and no other $\Phi_{d\ell^a}$ with $a \geq 1$ divides it. Then $|\mathbf{G}_{\mathrm{sc}}^F|_{\ell} = |\mathbf{S}^F|_{\ell}$ for \mathbf{S} a Sylow d-torus of rank 1. So all ℓ -subgroups of $\mathbf{G}_{\mathrm{sc}}^F$ are cyclic by [MaTe, 25.7]. Now [KoSp16, 1.1] implies that all ℓ -blocks satisfy a block-by-block version of the so-called inductive AM-condition [S13, 7.2]. We then have the inductive AM-condition for all ℓ -blocks of our quasi-simple group. This implies the inductive McKay condition for that simple group and the prime ℓ , as is easily seen by comparing [S13, 7.2] and [S12, 2.6].

It remains to check simple groups $E_7(q)$ for the odd primes $\ell \neq p$ such that the order of $q \mod \ell$ is 4. We prove A(4) and B(4) in order to apply Theorem 2.4, knowing that $A(\infty)$ holds thanks to Theorem 3.10. For that, we check the assumptions of Theorem 4.2. We use the notation of Sect. 2.A. The simple roots being numbered $\Delta = \{\alpha_1, \ldots, \alpha_7\}$ as in [MaTe, Table 9.1], one takes

$$v := \mathbf{n}_{\alpha_3}(1)\mathbf{n}_{\alpha_4}(1)\mathbf{n}_{\alpha_2}(1)\mathbf{n}_{\alpha_3}(1)\mathbf{n}_{\alpha_4}(1)\mathbf{n}_{\alpha_5}(1) \in V$$

(see Definition 4.1) and **S** the Sylow 4-torus of (\mathbf{T}, vF) as in [S09, § 7.2], [S07, § 5.3].

The requirements (i) and (iii) of Theorem 4.2 are given by [S09, 8.2]. We may assume p is odd since otherwise one could take $\mathbf{G}_{sc} = \widetilde{\mathbf{G}}$ in Theorem 4.2 and the other requirements of Theorem 4.2 would then be trivial.

We use the general notations N, \mathbf{C} , $C = \mathbf{C}^{vF}$, \widehat{N} , \widehat{C} , \widehat{N} from Theorem 4.2. Note that $\widehat{N} = N \times \widehat{E}$ where \widehat{E} is cyclic of order |V|m with generator \widehat{F}_0 acting by F_0 on $\widetilde{\mathbf{G}}$.

One denotes $\mathbf{T}_1 = \langle \mathbf{h}_{\alpha_i}(t) \mid t \in \mathbb{F}^{\times}, 2 \leq i \leq 5 \rangle$, $T_1 = \mathbf{T}_1^{vF}$, $G_2 = [\mathbf{C}, \mathbf{C}]^{vF}$, $C_0 = T_1G_2 \leq C$ with index 4 and $\mathbf{G}_{2,i}$ (i = 1, 2, 3) three F-stable subgroups of $[\mathbf{C}, \mathbf{C}]$ defined as in the proof below with isomorphisms $\iota_i : \mathrm{SL}_2(q) \to G_{2,i} := \mathbf{G}_{2,i}^F$. We have $G_2 := [\mathbf{C}, \mathbf{C}]^F = G_{2,1} \times G_{2,2} \times G_{2,3}$ (see [S07, § 5.3]).

Lemma 6.3. The image of \widetilde{C} in $\operatorname{Out}(G_2)$ is a $V_4 \times C_2$ where V_4 is the Klein 4-group and corresponds to the image of C. The action of the summand C_2 is by a diagonal (non-interior) automorphism on $G_{2,1}$ and interior on $G_{2,2}$ and $G_{2,3}$. On the other hand each non-trivial element of V_4 acts by simultaneous diagonal (non-interior) automorphisms on two of the $G_{2,i}$'s and interior on the third.

Proof. The simple roots of \mathbf{C} with regard to \mathbf{T} are $\{\beta_1 := \alpha_7, \beta_2 := \alpha_2 + \alpha_3 + 2\alpha_4 + 2\alpha_5 + 2\alpha_6 + \alpha_7, \beta_3 := 2\alpha_1 + 2\alpha_2 + 3\alpha_3 + 4\alpha_4 + 3\alpha_5 + 2\alpha_6 + \alpha_7\}$ (see [S07, 5.3.4(a)]). Letting $\mathbf{G}_{2,i} := \langle \mathbf{x}_{\pm\beta_i}(\mathbb{F}) \rangle$ and $G_{2,i} = \mathbf{G}_{2,i}^F$, one has $[\mathbf{C}, \mathbf{C}] = \mathbf{G}_{2,1}\mathbf{G}_{2,2}\mathbf{G}_{2,3}$ (central product). Let $z_1 = \mathbf{h}_{\alpha_2}(-1)\mathbf{h}_{\alpha_5}(-1)$, $z_2 = \mathbf{h}_{\alpha_2}(-1)\mathbf{h}_{\alpha_3}(-1)$, and $z_3 := \mathbf{h}_{\alpha_2}(-1)\mathbf{h}_{\alpha_5}(-1)\mathbf{h}_{\alpha_7}(-1)$. One has $\langle z_1, z_2 \rangle = \mathbf{T}_1 \cap \mathbf{G}_2 \leq \mathbf{Z}(\mathbf{G}_2)$, $\mathbf{Z}(\mathbf{G}_{sc}) = \langle z_3 \rangle$ and $\mathbf{Z}(\mathbf{C}) = \langle z_1, z_2, z_3 \rangle$ (see [S07, 5.3.4(c)]). Let $t_1, t_2, t_3 \in \mathbf{T}$ such that $(vF)(t_i)t_i^{-1} = z_i$. Then the action of each t_i on $[\mathbf{C}, \mathbf{C}]^{vF}$ can be read off on the corresponding $(vF)(t_i)t_i^{-1} \in \mathbf{Z}(\mathbf{C})$. The two first act as said about the factor V_4 and t_1t_3 as said about the factor C_2 since the z_i 's can be rewritten as $z_1 = \mathbf{h}_{\beta_2}(-1)\mathbf{h}_{\beta_3}(-1)$, $z_2 = \mathbf{h}_{\beta_1}(-1)\mathbf{h}_{\beta_2}(-1)$ and $z_3 = \mathbf{h}_{\beta_1}(-1)\mathbf{h}_{\beta_2}(-1)\mathbf{h}_{\beta_3}(-1)$ (use [S07, p. 94]) thus giving their projections on the $\mathbf{G}_{2,i}$'s.

We now check assumption (ii) of Theorem 4.2. Let \mathcal{T}° be a $GL_2(q)$ -transversal in $Irr(SL_2(q))$ that is stable under field automorphisms on matrix entries. The existence of \mathcal{T}° is ensured by the fact that $SL_2(q)$ satisfies condition $A(\infty)$, see [CS17a, 4.1]. Denote $\mathcal{T}_i = \iota_i(\mathcal{T}^{\circ})$ for i = 1, 2, 3, $\mathcal{T} := Irr(C \mid \mathcal{T}_1 \times \mathcal{T}_2 \times \mathcal{T}_3)$. This defines an $N\widehat{E}$ -stable set and is a \widetilde{C} -transversal.

We get 4.2(ii) once we show that

$$(\widehat{N}\widetilde{N})_{N.\xi} = \widehat{N}_{N.\xi}\widetilde{N}_{N.\xi}$$

for any $\xi \in \mathcal{T}$. Let $\xi \in \mathcal{T}$. The above equation holds if, for every $n \in \mathbb{N}, \ e \in \widehat{\mathbb{N}}, \ d \in \widetilde{\mathbb{N}}$ with

$$\xi^{ned} = \xi$$
 there exist $n_1, n_2 \in N$ such that $\xi^{n_1 e} = \xi = \xi^{n_2 d}$. (*)

By the choice of \mathcal{T}_0 , the characters of $\mathcal{T}_1 \times \mathcal{T}_2 \times \mathcal{T}_3$ satisfy (*).

Recall that $U_6 := \langle \mathbf{n}_{\alpha_i} \mid 1 \leq i \leq 6 \rangle^{vF}$ satisfies $N = U_6C$ (see [S07, 5.3.6(b)]). Recall that on $G_2 = G_{2,1} \times G_{2,2} \times G_{2,3}$, \widehat{E} acts by F_0 , and U_6 acts by permutation of the summands $G_{2,i} \cong \mathrm{SL}_2(q)$ (see [S07, 5.3.6]).

Observe first that maximal extendibility holds with respect to $C_0 \subseteq \widetilde{C}$. Indeed, denote $\mathcal{L}(x) = x^{-1} \cdot (vF)(x)$ for $x \in \mathbf{G}_{sc}$. We have that $T_1 \subseteq T_1' := \{x \in \mathbf{T}_1 \mid \mathcal{L}(x) \in \mathbf{T}_1 \cap \mathbf{G}_2\}$ are abelian, $G_{2,i} \subseteq G'_{2,i}$ with cyclic quotients for $G'_{2,i} = \{x \in \mathbf{G}_{2,i} \mid \mathcal{L}(x) \in \mathbf{Z}(\mathbf{G}_{2,i})\}$. This shows that $C' := \{x \in \mathbf{C} \mid \mathcal{L}(x) \in \mathbf{Z}(\mathbf{G}_{sc})\}$ is a subgroup of the central product $L' := T_1'.G'_{2,1}.G'_{2,2}.G'_{2,3}$. We have maximal extendibility with respect to the inclusion $C_0 \subseteq L'$. Now \widetilde{C} is a subgroup of $L'\mathbf{Z}(\widetilde{\mathbf{G}})^{F^2}$ so we deduce maximal extendibility with respect to $C_0 \subseteq \widetilde{C}$.

Let $\xi_0 = \xi_{0,1} \times \xi_{0,2} \times \xi_{0,3} \in \mathcal{T}_1 \times \mathcal{T}_2 \times \mathcal{T}_3 \subseteq \operatorname{Irr}(G_2)$ such that $\xi \in \operatorname{Irr}(C \mid \xi_0)$. Assume first that $C_{\xi_0} \geq C_0$. By the action of C on G_2 described in Lemma 6.3, then at least two of the $\xi_{0,i}$'s are $\operatorname{GL}_2(q)$ -invariant. Then, by the action of \widetilde{C} also described in Lemma 6.3, we have $C\widetilde{C}_{\xi_0} = \widetilde{C}$. By Clifford theory and the extendibility mentioned above, there is $\widetilde{\xi}_0 \in \operatorname{Irr}(C_{\xi_0} \mid \xi_0)$ with $\widetilde{\xi}_0^C = \xi$. Then $\widetilde{C}_{\xi} = C\widetilde{C}_{\xi_0} = \widetilde{C}$ and we get that $\xi^d = \xi^{n'}$ for some $n' \in N$ in (*) above since $\widetilde{N} = N\widetilde{C}$. This implies (*) in this case.

Assume $C_{\xi_0} = C_0$. Then $\xi = (\widetilde{\xi_0})^C$ where $\widetilde{\xi_0}$ is an extension of ξ_0 to C_0 . Then the stabilizer of $N.\xi$ in $\widetilde{N}\widetilde{N}$ is the one of $N.\xi_0$ and assumption (ii) of Theorem 4.2 in the form of (*) above comes from the fact that ξ_0 itself satisfies (*) as pointed out before.

We now check requirement 4.2(iv). The proof of Proposition 6.1 shows in the present case that for every pair $W_{\widetilde{s}} \leq W'$ of subgroups of W_4 with $\widetilde{s} \in \widetilde{\mathbf{C}}^{*F}$ and $|W'/W_{\widetilde{s}}| \leq 2$, and any $\eta \in \operatorname{Irr}(W_{\widetilde{s}})$ there exists $\eta' \in \operatorname{Irr}(W' \mid \eta)$ which is fixed under $N_{W_4}(W_{\widetilde{s}}, W')_{\eta}$. Since on the other hand \widehat{E} acts trivially on W, this implies our claim once we check that the subgroups $(W_4)_{\widetilde{\xi}} \leq (W_4)_{\xi}$ for $\xi \in \operatorname{Irr}(C)$, $\widetilde{\xi} \in \operatorname{Irr}(\widetilde{C}_{\xi} \mid \xi)$ are of the above type $W_{\widetilde{s}} \leq W'$. For the first one, note that W_4 acts as U_6 by permuting the components of type A_1 . Then 4.2(iv) comes from the fact that if $\widetilde{\xi} \in \mathcal{E}(\widetilde{C}, \widetilde{s})$, then one may have $W_{\widetilde{\eta}} \neq W_{\widetilde{s}}$ only if $W_{\widetilde{s}}$ permutes summands of type A_1 where $\widetilde{\eta}$ has distinct unipotent correspondent via Jordan decomposition $\mathcal{E}(\widetilde{C}, \widetilde{s}) \leftrightarrow \mathcal{E}(C_{\widetilde{C}^*}(\widetilde{s})^F, 1)$. But then it is easy to find some \widetilde{s}' with $W_{\widetilde{s}'} = W_{\widetilde{\eta}}$. For the second subgroup W' it is clear that $(W_4)_{\widetilde{\xi}} \leq (W_4)_{\xi}$ with index ≤ 2 since $\widetilde{\xi}$ is ξ or the sum of ξ and a conjugate.

We now have all requirements of Theorem 4.2, so that A(4) and B(4) are satisfied by any G_{sc} of type E_7 . As said before this finishes our proof of Theorem A for finite simple groups of Lie types E_6 , 2E_6 and E_7 .

7 References

- [B] C. Bonnafé, Sur les caractères des groupes réductifs finis à centre non connexe: applications aux groupes spéciaux linéaires et unitaires. *Astérisque* **306** (2006), vi+165 pp.
- [Br] M. Broué, Introduction to Complex Reflection Groups and their Braid Groups, Springer, 2010.
- [BMM93] M. Broué, G. Malle, and J. Michel, Generic blocks of finite reductive groups. *Astérisque* **212** (1993), 7–92.
- [CaEn] M. CABANES AND M. ENGUEHARD, Representation Theory of Finite Reductive Groups. New Mathematical Monographs Vol. 1, Cambridge University Press, Cambridge, 2004.
- [CS13] M. Cabanes and B. Späth, Equivariance and extendibility in finite reductive groups with connected center. *Math. Z.* **275** (2013), 689–713.
- [CS15] M. CABANES AND B. SPÄTH, On the inductive Alperin-McKay condition for simple groups of type A. J. Algebra 442 (2015), 104–123.
- [CS17a] M. CABANES AND B. SPÄTH, Equivariant character correspondences and inductive McKay condition for type A. J. Reine Angew. Math. 728 (2017), 153–194.
- [CS17b] M. CABANES AND B. SPÄTH, The inductive McKay condition for type C. Represent. Theory 21 (2017), 61–81.
- [C] R.W. Carter, Finite Groups of Lie Type. Conjugacy Classes and Complex Characters. John Wiley & Sons Inc., New York, 1985.
- [DM] F. DIGNE AND J. MICHEL, Representations of Finite Groups of Lie Type. London Math. Soc. Student Texts 21, Cambridge University Press, 1991.
- [G] M. Geck, An Introduction to Algebraic Geometry and Algebraic Groups. Oxford, 2013.
- [GLS] D. GORENSTEIN, R. LYONS, AND R. SOLOMON, The Classification of the Finite Simple Groups. Number 3. American Mathematical Society, Providence, RI, 1998.
- [I] I.M. ISAACS, Character Theory of Finite Groups. Academic Press, New York, 1976.
- [IMN07] I.M. ISAACS, G. MALLE, AND G. NAVARRO, A reduction theorem for the McKay conjecture. *Invent. Math.* **170** (2007), 33–101.
- [KoSp16] S. Koshitani and B. Späth, The inductive Alperin–McKay and blockwise Alperin weight conditions for blocks with cyclic defect groups and odd primes, J. Group Theory, 19 (2016), 777–813.
- [L88] G. Lusztig, On the representations of reductive groups with disconnected centre, in Orbites unipotentes et représentations, I. Astérisque **168** (1988), 157–166.
- [Ma07] G. Malle, Height 0 characters of finite groups of Lie type. Represent. Theory **11** (2007), 192–220.
- [Ma08a] G. Malle, The inductive McKay condition for simple groups not of Lie type. Comm. Algebra 36 (2008), 455–463.

- [Ma08] G. Malle, Extensions of unipotent characters and the inductive McKay condition. J. Algebra **320** (2008), 2963–2980.
- [Ma17] G. Malle, Cuspidal characters and automorphisms, Adv. Math. 320 (2017), 887-903.
- [MaSp16] G. Malle and B. Späth, Characters of odd degree, Ann. Math. 184 (2016), 869–908.
- [MaTe] G. Malle and D. Testerman, Linear Algebraic Groups and Finite Groups of Lie Type. Cambridge Studies in Advanced Mathematics, 133, Cambridge University Press, 2011.
- [Mi15] J. MICHEL, The development version of the CHEVIE package of GAP3. J. Algebra 435 (2015), 308–336.
- [Shi76] T. Shintani, Two remarks on irreducible characters of finite general linear groups. J. Math. Soc. Japan 28 (1976), 396–414.
- [Sh85] T. Shoji, Some generalization of Asai's result for classical groups. Algebraic groups and related topics (Kyoto/Nagoya, 1983), 207–229, Adv. Stud. Pure Math., 6, North-Holland, Amsterdam, 1985.
- [Sh87] T. Shoji, Shintani descent for exceptional groups over a finite field. *J. Fac. Sci. Univ. Tokyo Sect. IA Math.* **34** (1987), 599–653.
- [Sh98] T. Shoji, Shintani descent for special linear groups, J. Algebra 199 (1998), 175–228.
- [S07] B. SPÄTH, Die McKay-Vermutung für quasi-einfache Gruppen vom Lie-Typ. Dissertation, TU Kaiserslautern (2007) https://kluedo.ub.uni-kl.de/files/1844/Spaeth_McKay.pdf..
- [S09] B. Späth, The McKay conjecture for exceptional groups and odd primes. Math. Z. **261** (2009), 571–595.
- [S10a] B. Späth, Sylow d-tori of classical groups and the McKay conjecture. I. J. Algebra **323** (2010), 2469–2493.
- [S10b] B. Späth, Sylow d-tori of classical groups and the McKay conjecture. II. J. Algebra **323** (2010), 2494–2509.
- [S12] B. SPÄTH, Inductive McKay condition in defining characteristic. Bull. Lond. Math. Soc. 44 (2012), 426–438.
- [S13] B. Späth, A reduction theorem for the Alperin–McKay conjecture. *J. reine angew. Math.* **680** (2013), 153–189.
- [Sp74] T. A. Springer, Regular elements of finite reflection groups. *Invent. Math.* **25** (1974), 159–198.
- [T18] J. Taylor, Action of automorphisms on irreducible characters of symplectic groups. J. Algebra **505** (2018), 211–246.