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Jose Lagunas-Morales, Claudie Marec, Edouard Leymarie, Christophe Penkerc'H, Eric Rehm, Pierre Desaulniers, Denis Brousseau, Patrick Laroche, Gilles Roy, Georges Fournier, et al.

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# Sea-ice detection for autonomous underwater vehicles and oceanographic lagrangian platforms by continuous-wave laser polarimetry

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## ABSTRACT

The use of Lagrangian platforms and of Autonomous Underwater Vehicles (AUVs) in oceanography has increased rapidly over the last decade along with the development of improved biological and chemical sensors. These vehicles provide new spatial and temporal scales for observational studies of the ocean. They offer a broad range of deployment and recovery capabilities that reduce the need of large research vessels. This is especially true for ice-covered Arctic ocean where surface navigation is only possible during the summer period. Moreover, safe underwater navigation in icy waters requires the capability of detecting sea ice on the surface (ice sheets). AUVs navigating in such conditions risk collisions, RF communication shadowing, and being trapped by ice keels. In this paper, an underwater sea-ice detection apparatus is described. The source is a polarized continuous wave (CW) diode-pumped solid-state laser (DPSS) module operating at 532 nm. The detector is composed of a polarizing beam splitter, which separates light of S and P polarization states and two photodetectors, one for each polarized component. Since sea-ice is a strong depolarizer, the ratio P/S is an indicator of the presence or absence of sea-ice. The system is capable of detecting sea-ice at a distance of 12m. This apparatus is designed to be used by free drifting profiling floats (e.g., Argo floats), buoyancy driven vehicles (e.g., sea gliders) and propeller-driven robots (e.g., Hugin class AUV).

**Keywords:** Lidar, polarization, Sea-ice, AUV, Argo, Arctic, Amundsen, marine robotics

## 1. INTRODUCTION

In the Arctic Ocean, phytoplankton blooms occurs mostly at the ice edge, a receding ice-cover greatly affects marine ecosystems.<sup>1</sup> However, these impacts are yet to be fully understood. It is suggested that increased stratification due to ice melt benefits small phytoplankton limiting energy transfer to higher trophic levels.<sup>1,2</sup> Results suggest that increasing ice-free ocean regions may become more productive due to increased mixing from intensifying Arctic winds<sup>1-3</sup>. The dynamics associated with ice retreat during the summer translate into technical challenges for the observation of these phenomena. Autonomous platforms such as lagrangian BGC-Argo floats, sea gliders and propeller-driven AUVs represent an opportunity for seasonal observation of chemical and biological ocean properties.<sup>1</sup>

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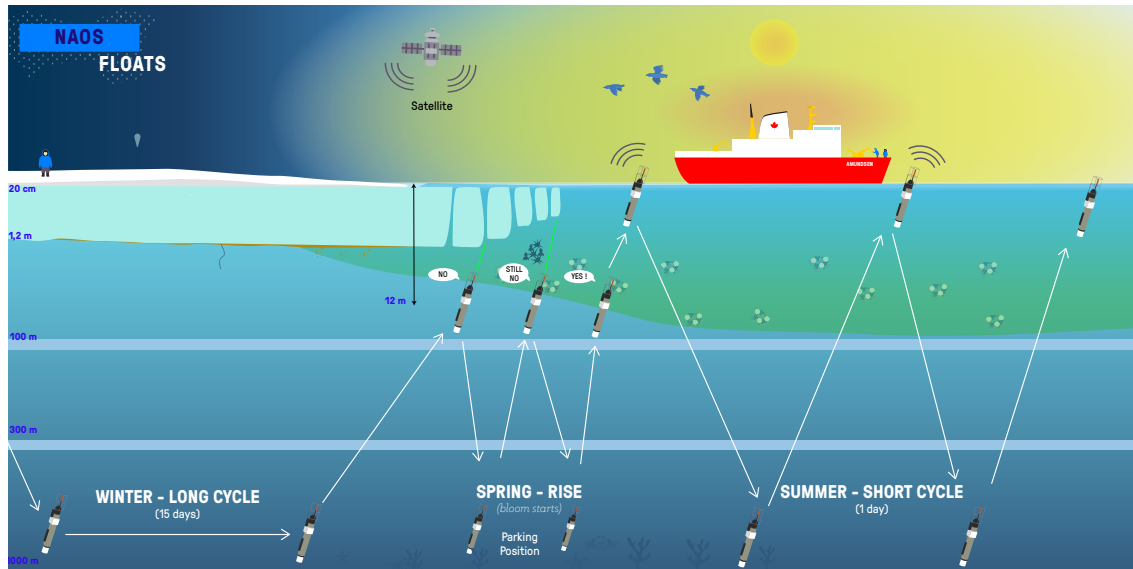


Figure 1. Argo floats are fleet of nearly 4000 autonomous lagrangian platforms that drift along with the ocean currents measuring temperature and salinity . They descend the water column to a park depth at 1,000 m for 5 to 10 days, then profile upwards by first descending to 2,000 m depth before rising to the surface while sampling the water column<sup>1,4,5</sup> . Biogeochemical-Argo (BGC-Argo) are equipped with sensors that measure chemical and bio-optical properties (Illustration: Julie Sansoulet, Takuvik)

Argo floats are fleet of nearly 4000 autonomous profiling floats that drift along with the ocean currents measuring temperature and salinity<sup>1,4,6</sup> . They descend the water column to a park depth at 1,000 m for 5 to 10 days, then profile upwards by first descending to 2,000 m depth before rising to the surface while sampling the water column. At the surface, float location is determined by GPS, its position and stored data are transferred via a satellite link, and made available within 24 hours. An Argo-float may achieve 150 to 300 profiles during its battery life, depending on its sensor load<sup>1,4,5,7,8</sup> . Available sensors measure chemical and bio-optical properties such as dissolved oxygen, chlorophyll a fluorescence, particle backscatter, light, nitrate, and colored dissolved organic matter. Argo floats that are equipped with such sensors are known as Biogeochemical-Argo (BGC-Argo) and they are an extension of the successful international Argo network<sup>9-13</sup> .

Figure 1 describes the daily profile cycle of BGC-Argo floats deployed during the 2016 Green Edge scientific mission in Baffin Bay with the goal of understanding the dynamics of the phytoplankton spring bloom and determine its role in the Arctic<sup>14</sup> . These floats belong to the CTS5-ProIce (Provor, manufactured by the French company NKE) class and were developed by the Laboratoire d'Océanographie de Villefranche-sur-Mer (see affiliation) and Takuvik within the activities of the NAOS projetc, a French initiative for the next generation of Argo floats.<sup>15</sup> The frequency of the cycles was changed to augment the spatial and temporal resolution of the sampling; all floats were deployed from the scientific ice-breaker C.C.G.S. *Amundsen*. An ice-covered ocean presents challenges for underwater vehicles that must emerge to the surface to use satellite services.<sup>7,16</sup> As illustrated in 1, during the spring period the risk of colliding with sea-ice when emerging is a threat to the security of the floats. A novel ice-detection system was installed in one of the BGC-Argo floats deployed during the Green Edge project in 2016 (subsection 3.2). In this paper, we describe the design, operation and performance of such an underwater sea-ice detector apparatus based in laser polarimetry. It has been built to be used in Lagrangian platforms of the Argo and BGC-Argo types. It is as well suitable for other marine vehicles such as sea-gliders and propeller-driven AUVs.

## 2. SYSTEM DESCRIPTION

In this Section, an underwater sea-ice detection apparatus is described. The source is a linearly S-polarized continuous wave (CW) diode-pumped solid-state laser (DPSS) module operating at 532 nm. The detector is

composed of a polarizing beam splitter, which separates light of S and P polarization states and two photodetectors, one for each polarized component. Since sea-ice is a strong depolarizer<sup>17,18</sup>, the ratio P/S is an indicator of the presence or absence of sea-ice. For an S-polarized laser source, once the ambient light contribution has been canceled at the receiver, a value  $P/S = 0$  indicates a complete absence of ice,  $0 < P/S < 1$  denotes the presence of sea-ice and  $P = 1$  denotes an unpolarized source.

## 2.1 Optics and mechanics

The design of the ice-detection system is based on the specific characteristics of BGC-Argo floats. Many types of free-drifting profiling floats are available commercially, in this document we will refer to the Provor class. Through an internal hydraulic subsystem, a float pumps 500 ml volume of oil in order to surface. This represents a floating or a sinking force lower than 500 g, meaning that the float can only break through very thin sea-ice, only a few millimeters thick. The additional weight and volume of an external body that is appended to the float is critical. Hydrodynamics and buoyancy should be considered in the design of the ice-detection sensors. It is also worth noting that the nominal vertical speed of an Argo float is 10 cm/s in ascent and roughly 2.5 cm/s in descent. The small positive buoyancy of the float leads to a large motion inertia. Consequently, the decision to abort a surfacing should be taken when the float is at least 10 meters below the sea-surface, thus stopping the ascent before the float collides with the ice-cover. Energy consumption is equally important. Argo floats are designed to be autonomous for 3 to 5 years (or 150-300 profiles), therefore; the ice detection system should be conservative in its energy use. Ice detection was attributed an estimated maximum budget of 100 mAh (10.8 V) per profile<sup>7</sup>.

Table 1 summarizes the most sensitive aspects for Lagrangian BGC-Argo floats. The sea-ice detection system presented in this document is bounded by these severe restrictions, making of it an adequate candidate for most submarine vehicles operating in polar regions.

Table 1. Design constraints

Electrical	7-10.8V, $\leq 2A$ @ 100mAh
Weight (underwater)	$\leq 800$ g
Volume	$\leq 4$ l
Detection range	$\geq 12$ m
Pressure housing	$\geq 210$ bar
Accessibility	Remotely reconfigurable (Satellite)
Cost	Low to low-medium

### 2.1.1 Laser source

These design constraints set limits on the laser technologies available to be used in arctic marine environments. The choice for a laser source was based on the analysis shown in Table 2, originally presented by Caimi and Dalgeish<sup>19,20</sup>. The most important parameters for the choice of the laser source technology is to generate a beam with the appropriate characteristics to travel across the marine optical path to the target and back to the receiver side as shown in Figure 2. The figure represents a laser source that generates a pulse or a beam directed to a target of thickness  $w$  at a distance  $d$ . The pulse or beam is reflected/refracted and a detector located at the same distance  $d$  collects the reflected light signal. The detector and the source are physically separated by a distance  $L$ . The optical signal received by the detector is composed by the superposition of a near-field backscatter from the laser source, water-column backscatter, forward scatter and the target reflection or line-of-sight (LOS)<sup>19,20</sup>.

A pulsed source such as a Q-switched laser provides a high peak power in the order of 6 KW and is fast enough so that the detector may discriminate between backscattering, water-column scattering and reflection from the target. A pulsed laser source offers the possibility of distance ranging using time of flight logic and source and detector may be co-aligned to reduce footprint, it is also capable long ranges such as 30m [7]. However, the

Table 2. Pulsed and continuous laser sources. The most important parameters for the choice of the laser source technology is to generate a beam with the appropriate characteristics to travel across the marine optical path to the target and back to the receiver side.

Objective	CW	Pulsed
<i>Minimize water-column scattering</i>	<ul style="list-style-type: none"> <li>→ Needs source-receiver physical separation.</li> <li>→ Pulse duration in ms.</li> <li>→ Fixed focal length and depth of field</li> </ul>	<ul style="list-style-type: none"> <li>→ Can discriminate target reflection from column-water scattering.</li> <li>→ Pulse duration in ps.</li> <li>→ Source and detector may be co-aligned.</li> </ul>
<i>Laser energy</i>	<ul style="list-style-type: none"> <li>→ Consumer laser <math>O(\\$500)</math>.</li> <li>→ Low mean power <math>O(1W)</math>.</li> <li>→ Low voltage supply <math>O(20W)</math>.</li> </ul>	<ul style="list-style-type: none"> <li>→ Q-switched laser <math>O(\\$7K)</math>.</li> <li>→ High peak power <math>O(6kW)</math>.</li> <li>→ Medium voltage supply <math>O(200W)</math>.</li> </ul>
<i>Polarimetry (P/S)</i>	Subject to water-column scattering and polarization effects over the optical path length	Discriminates accurately the target's reflection
<i>Range</i>	$\leq 15m$	$70 \leq d \leq 30 m$
<i>CPU/Logic</i>	<ul style="list-style-type: none"> <li>→ Low power micro-controller <math>O(25MHz)</math>.</li> <li>→ ADC <math>O(100kbps)</math>.</li> </ul>	<ul style="list-style-type: none"> <li>→ High-speed logic <math>O(200MHz)</math>.</li> <li>→ ADC <math>O(2Gbps)</math>.</li> </ul>
<i>Challenges</i>	<ul style="list-style-type: none"> <li>→ Signal quality is subjected to the water column inherent optical properties (IOPs).</li> <li>→ Polarization quality may be temperature dependent.</li> </ul>	<ul style="list-style-type: none"> <li>→ High power consumption.</li> <li>→ High cost.</li> <li>→ High complexity.</li> <li>→ Important volume and weight.</li> </ul>

estimated system complexity, cost, weight and final volume are outside the constraints previously defined. A CW source is more sensitive to water column scattering, temperature, path-length depolarization effects and no distance ranging is possible. On the other hand a continuous wave (CW) laser source is low cost, has a low peak power, its volume is small and the system complexity is low. Moreover, a physical separation between the source and the detector attenuates the effects of backscattering by improving contrast.<sup>20</sup> In the ocean, a 532nm is the wavelength that offers the minimum absorption according to Pope et al.<sup>21</sup> A 500mW DPSS laser module was chosen as an alternative to the method proposed by Roy et al<sup>18,22</sup>. The technical specifications of the chosen CW laser source are listed in Table 3.

### 2.1.2 Detector

The non-coherent detector is composed of a focal lens, a filter, a polarizing beam splitter which separates light into two perpendicular polarization states S and P, where S is the parallel component to the incident laser. Two photodiodes measure the incident beams, one for each polarized component. Since sea-ice is a strong depolarizer,<sup>18</sup> the ratio P/S, for an original S-polarized laser beam, is an indicator of the presence or absence of sea-ice.

The linearly-polarized receiver is shown in Figure 4. The  $f = 100$  mm N-BK7 Plano-Convex lens (FL) is 1 in. in diameter. The central wavelength (CWL) of the laser line filter (IF) is  $532 \pm 2$  nm, with a full width at half maximum (FWHM) of  $10 \pm 2$  nm. The linear  $\frac{1}{2}$  in. polarizing beamsplitter cube (PBS) is coated 420 - 680 nm. The perpendicular components S and P going out of the polarizer are received by two Texas Instruments OPT301 photodiodes ( $D_S$  and  $D_P$ ) of size 2.29 x 2.29mm with internal transimpedance amplifiers, a high responsivity of 0.47A/W (650nm) and an operating temperature of -40 to 85 ° C. The focal length is compensated for optical displacement induced by the filter and the beam splitter.<sup>23</sup> The distance from the detector to the target is fixed to  $s_1 = 12m$  as shown in Figure 3.

Table 3. CW laser source characteristics. A continuous wave (CW) laser source is low cost, has a low peak power, its volume is small and the system complexity is low.

Wavelength (nm)	532±1
Output power (mW)	500
Power stability (rms)	<10% over 4 hours @ constant temperature
Transverse mode	TEM <sub>00</sub>
Beam diameter @ aperture ( $\frac{1}{e^2}$ ,mm)	~ 2.0
Beam divergence, full angle (mrad)	< 1.5
Operating temperature (° C)	0-15
Power supply (V)	3/5
TTL modulation	≤ 10 kHz
Expected lifetime	10000 hours
Linear polarization ratio	100:1

A mounting collar was designed to achieve a physical separation of  $L = 400\text{mm}$  between the source and the detector to efficiently reduce backscattering.<sup>19,20</sup> It is used as well to provide a proper optical alignment, a tilt angle of  $\theta = 1^\circ$  is needed in both source and detector. Finally it serves as a mechanical fixation for BGC-Argo floats, the mounting collar is shown in Figure 5.

Watertight housings were manufactured by SubC Imaging in Nova Scotia. These pressure housings are cylinder shaped, Titanium-made and are rated to 200 Bar (~ 2000m). The pressure housing for the laser source belongs to the *Skate* class, it has an optical window of 19.45 mm, it is 145.5mm long and has a total diameter of 37.7 mm. The pressure housing for the detector belongs to the *Sculpin* class, it has an optical window of 24 mm, it is 190 mm long and has a total diameter of 54 mm. All connectors used were Subconn-type underwater pluggable. The laser source and the detector board were accurately center-aligned inside the pressure housings by ABS-printed internal mounting frames. The mounting collar and the pressure housings are shown in Figure 6. The ice detection system weights 600g in water (1Kg in air, w/cable) and dissipates a maximum of 25W @ 1.8A (when lasing). The volume of the source and detector is  $\leq 0.5\text{ l}$  and  $\leq 0.4\text{ l}$  for the mounting collar for a total system volume of  $\leq 0.9\text{ l}$ .

## 2.2 Signal processing and remote reconfiguration

Data acquisition, data conversion and signal processing are done by a 16-bit ultra low-power micro-controller of the TI-MSP430 family. When turned ON, the TI-MSP430F5529 micro-controller sits idle and waits for the command PWM\_ON to start the transmission of a number of data frames per second based on the following considerations. A PWM signal is used to control the laser source through the variables PWM\_ON and PWM\_OFF. The duty cycle is set by a variable called PWM\_DUTCYC going from 1 to 100 (corresponding to 1%-100%) and initially set to 50. A bandwidth of 100 kHz is required and the frequency will be changed through a variable called PWM\_FREQ, initially set to 10Hz. Two ADC12 channels are used to sample two external signals at a sampling frequency given by the variable ADC\_SAMPFREQ accepting values from 1 Hz to 50 kHz, initially set to 20 kHz. The conversion makes use of the internal voltage reference of the micro-controller which may be changed by the variable ADC\_REF which will take values [15 for 1.5v, 20 for 2.0 v, 25 for 2.5v] and initially set to 15. Sampling is achieved by alternating channels, i.e., when a sample has been taken in ADC channel 1, the next sample is taken in ADC channel 2.

At any time, the variable PWM\_OFF can be sent to the UART port, thus stopping the PWM signal and global functioning (the systems halts), this has a high priority. When halted, the rest of the variables maybe changed. PWM\_ON will return the system into normal operation. On the rising edge of the PWM signal, the ADC12 conversion begins alternating channels 1 and 2. On the falling edge of the PWM signal, ADC conversion stops. At this point, subsequently routines, namely: post-processing, data frame construction and



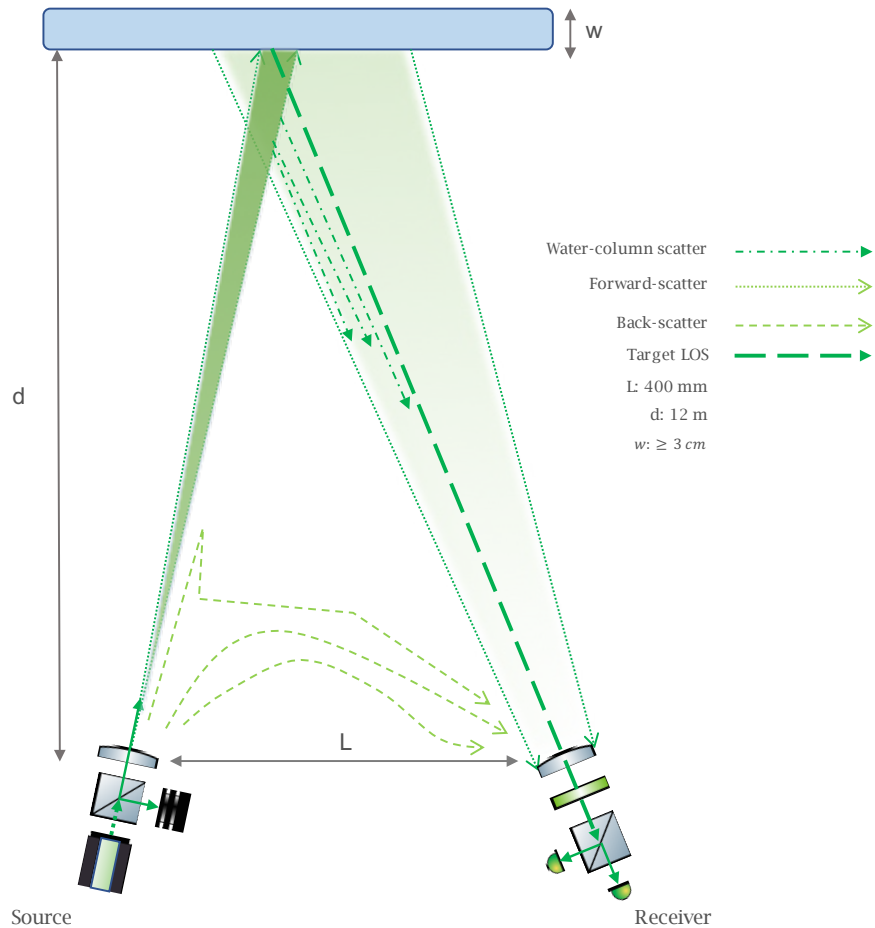


Figure 2. Underwater optical path and water-column scattering in polar environments as described by Caimi and Dalgeish in [7]. The optical signal received by the detector is composed by the superposition of a near-field backscatter from the laser source, water-column backscatter, forward scatter and the target reflection or line-of-sight (LOS).

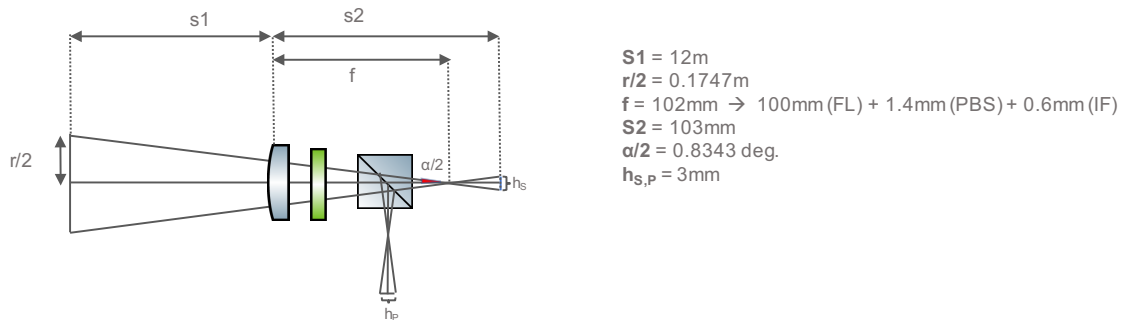


Figure 3. Field of view and angle of view. The focal length is compensated for optical displacement induced by the filter and the beam splitter. The distance from the detector to the target is fixed to  $s_1 = 12\text{m}$ .

offset calculation follow. The average value of the samples is computed for each of both channels, to achieve this, real time successive addition of the captured samples is performed. Each sample taken in a particular channel is added to the previous one, needing only to stock one value in memory. The resultant value is divided by the number of samples taken which are counted during the sampling process. This is done for both channels, the

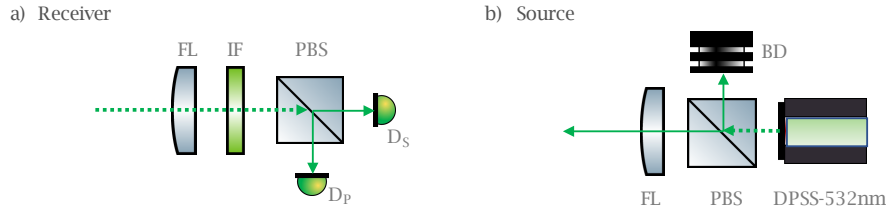


Figure 4. Source and detector. The  $f = 100$  mm N-BK7 Plano-Convex lens (FL) is 1 in. in diameter. The central wavelength (CWL) of the laser line filter (IF) is  $532 \pm 2$  nm, with a full width at half maximum (FWHM) of  $10 \pm 2$  nm. The linear  $\frac{1}{2}$  in. polarizing beamsplitter cube (PBS) is coated 420 - 680 nm. The perpendicular components S and P going out of the polarizer are received by two Texas Instruments OPT301 photodiodes ( $D_S$  and  $D_P$ ) of size 2.29 x 2.29mm with internal transimpedance amplifiers, a high responsivity of 0.47A/W (650nm) and an operating temperature of -140 to 85 ° C.

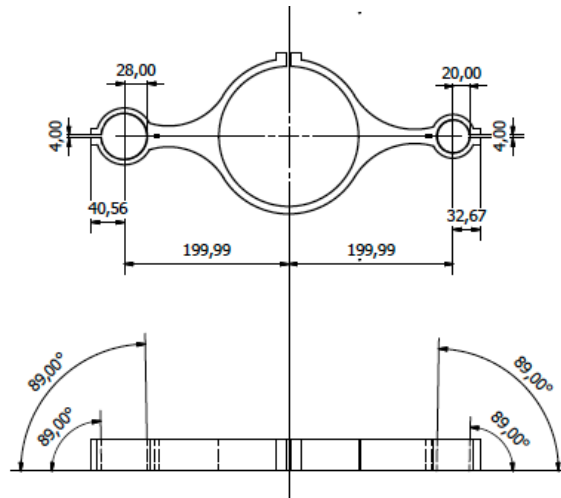


Figure 5. Mounting collar in HDPE, serves three purposes: mechanical fixation to the BGC-Argo float, physical separation of 400 mm between the source and the detector and provides a tilt angle  $\theta = 1^\circ$  for proper optical alignment between the source and the detector.

resultant values are stored in float-type variables AVCHANN1 (P component) and AVCHANN2 (S component), respectively.

Once the average of the samples is computed a logarithmic ratio is formed . A data frame is constructed and sent through the UART serial port. Finally, a second and shorter sampling-averaging process is executed, the resulting value is then used in subsequent iterations, details below. Once the average from ADC channel 1 and 2 are computed, the next expression is formed and stored in a float variable:

$$DEPOL\_RATIO = \frac{AVCHANN1 - AVOFFS1}{AVCHANN2 - AVOFFS2} \quad (1)$$

Variables AVOFFS1 and AVOFFS2 are computed at the end of the post-processing stage. As soon as the post processing routine is over, a data frame is formed. It consists of a header and three fields, the header and each field are composed of 4 bytes of information coded in ASCII characters. Each field is separated by a comma and the end of the string is indicated by a semi-colon, ie.,

$$header, AVCHANN1, AVCHANN2, AVOFFS1, AVOFFS2, DEPOL\_RATIO; \quad (2)$$

The transmission is made via serial protocol at a default speed of 115200 bps, this value may be changed as desired. An Offset calculation routine is activated once the transmission of the data frame has concluded. It

consists of a second round of sampling and averaging in both ADC channels 1 and 2 as previously done. The results will be stored in float-type variables  $AVOFFS1$  and  $AVOFFS1$ , respectively to be used in future iterations of the algorithm.

The settings of the ice detection system may be reconfigured remotely at any given time of a vehicle's mission given that an Iridium connection is available. A number of parameters are available:

1. ADC\_SAMPFREQ: 1 to 50000 Hz
2. ADC\_REF: 15 for 1.5V, 20 for 2.0V and 25 for 2.5V, default is 15
3. OFFSET\_ON: enables offset measurement and subtraction
4. OFFSET\_OFF: disables offset measurement and subtraction
5. PWM\_FREQ: 1 to 100000, set to 10
6. PWM\_DUTCYC: 1 to 100
7. START\_CONV: enables ADC conversion
8. STOP\_CONV: disables ADC conversions
9. PWM\_ON: Starts PWM signal and starts system
10. PWM\_OFF: Halts PWM signal and entire system

### 3. PERFORMANCE

Tests were conducted both at the surface (air as propagation medium) and underwater (water as propagation medium). The targets used were sea-ice sheets of different thicknesses, polystyrene, High-density polyethylene (HDPE) and Spectralon. Filters used for eye protection: Filter KTP, wavelength 532nm, OD 6 and Filter RBA, wavelength 633-635nm, OD 2. The surface studies took place at the COPL (see affiliation) and the underwater tests were conducted at the Institute Maritime du Québec (IMQ) in 2016. For the underwater experiments a swimming pool of 13m long was used horizontally to submerge the ice detection system. The distance from the system to a given target was set to 12m unless otherwise stated.

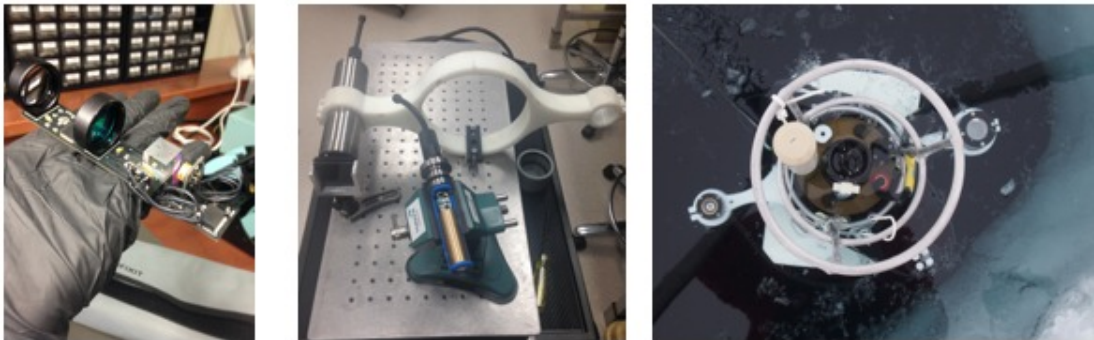


Figure 6. The image to the left shows the optics and electronics of the detector. The central image shows the pressure housings in Titanium and the HDPE mounting collar. The image to the right shows the se-ice detection system mounted on a BGC-Argo float deployed in the Arctic Ocean.

In this section,  $P$  is the p component reflected/refracted from the target,  $S$  is the s component reflected/refracted from the target,  $P_{ambient}$  is the p component of the ambient light,  $S_{ambient}$  is the s component of the ambient light.  $P+amb$  is the superposition of the p component as reflected/refracted by the target and the p component of the ambient light.  $S+amb$  is the superposition of the s component as reflected/refracted by the target and

the s component of the ambient light.  $P/S$  is the depolarization ratio. Unless otherwise stated, the horizontal axis represents time and the vertical axis represent both signal magnitude in volts (left) and a dimensionless ratio (right). The radiant flux received ( $\frac{W}{m^2}$ ) by the photodiode per unit area is represented as the output signal of the transimpedance amplifier used and is given in volts. For the purpose of this document it is enough to mention that the voltage responsivity of the photodiode is a logarithmic function of the incoming radiant power or irradiance.

### 3.1 Surface and underwater measurements

Figure 7 shows the performance of the detector when measuring two ice-sheets of 10cm and 15cm, both in air and underwater. All four cases represent the signals received by the detector in a period of time. The test for a 10cm-thick sheet of ice in air results in a depolarization ratio mean of  $P/S = 0.32489$ , for the same thickness the underwater experiment resulted in a mean of  $P/S = 0.91449$ . Similarly, for the 15cm-thick sheet of ice tests in air the resultant mean is  $P/S = 0.20972$  and for the underwater case the mean was found to be  $P/S = 0.86972$ . The polarization ratio of the two different thickness (10 and 15 cm) is different implying that there is a relation between the thickness of the ice and the degree of linear polarization (DOLP) of the reflected beam. In our results, a slightly bigger depolarization ratio is found for the 10cm sea-ice sheet than that of the 15cm-thick.

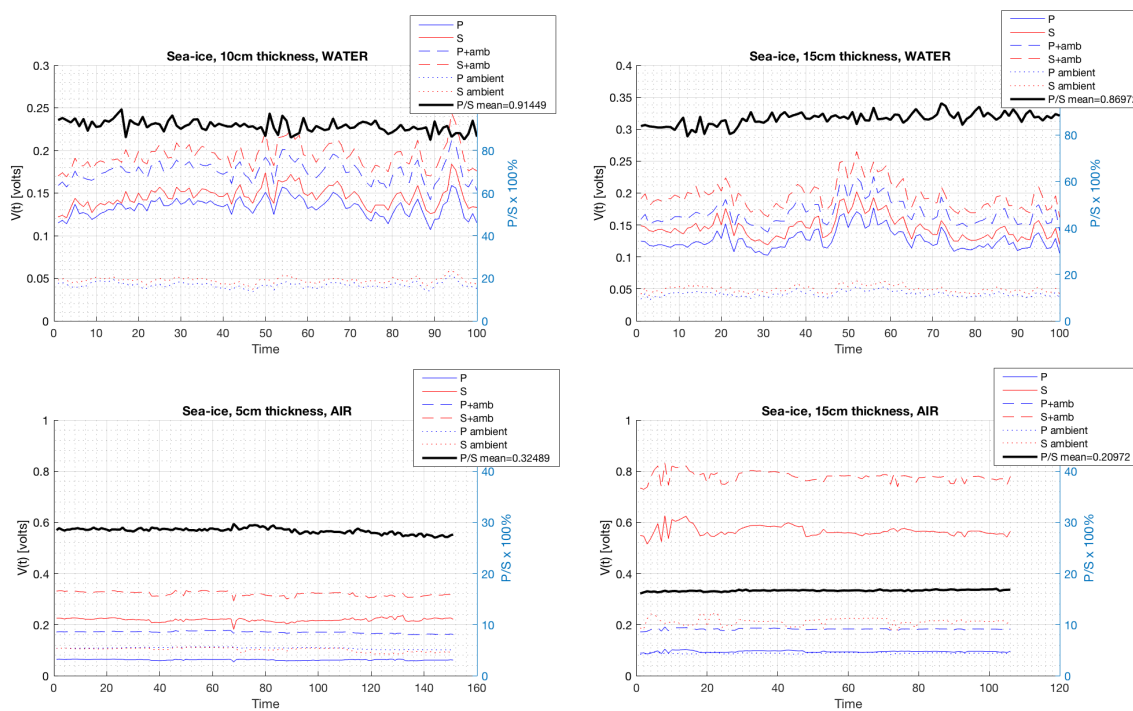


Figure 7. Performance of the detector when measuring two ice-sheets of 10cm and 15cm both in the surface and underwater. A slightly bigger depolarization ratio is found for the 10cm sea-ice sheet than that of the 15cm-thick. This is explained due to the nature of the experiments, the characteristics of the CW laser source and a non-coherent detection phase at the receiver.

Roy et al.<sup>18,22</sup> presented an underwater fiber-optic lidar for the characterization of sea water and ice properties. The results found therein indicate ice is a strong depolarizer of light and that a thicker sheet of ice will produce a slightly greater depolarization ratio. The difference between the results for the detection system described in this document and that of the system presented in [7] are explained by the nature of the experiments. For the underwater tests of our ice-detection system, the optical path for the target was set to 12m while the total length of the pool was 13m. Sea-ice samples rapidly become translucent when submerged in a pool at

24 deg. C. When the ice is thin enough, the laser beam passes through the sample with little scattering and reflection/refraction, thus reaching the back of the swimming pool which is in turn perceived by the detector. A greater depolarization for a thinner sheet of sea-ice is accounted for by the superposition of the light reflected by the wall of the swimming pool and the light reflected/refracted by the sample itself. In the case of the air experiments a similar phenomenon is observed. The results also indicate that the depolarization ratio is greater for the underwater experiments due to the inherent optical properties (IOP) of the environment and the CW nature of the laser source<sup>24</sup>. For a CW laser source, the signal received at the detector is the superposition of backscattering, water-column scattering, reflection from background objects and reflection from the target<sup>17-20, 22, 25, 26</sup>.

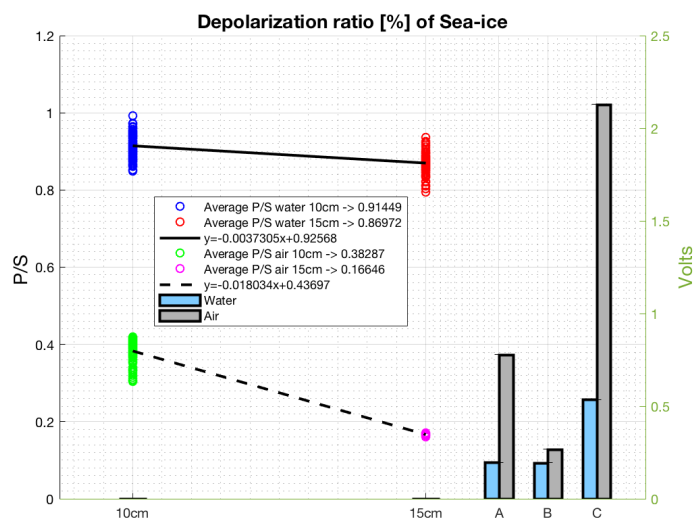


Figure 8. The solid and dashed lines represent the decreasing slope of the depolarization ratio from a thinner to a thicker sea-ice sample in the surface and underwater experiments respectively. The bars to the right represent the magnitude in volts of the signal reflected/refracted by the ice samples of 15cm, 10 cm and by a sheet of polystyrene respectively in both air and underwater.

Figure 8 illustrates this behavior from a different perspective. The solid line represents the increasing slope of the depolarization ratio from a thicker to a thinner sea-ice sample in underwater experiments. The red markers are the events of an experiment for a sea-ice sheet of 15cm and the blue markers for the outcome for an experiment with a 10cm-thick sea-ice sample. Similarly, the dashed line represents the slope for experiments in air, the pink markers are associated with the outcome of an experiment with 15cm-thick ice samples and the green markers for those of 10cm-thick ice samples. The bars to the right represent the magnitude in volts of the signal reflected/refracted by the ice samples of 15cm, 10 cm and by a sheet of polystyrene respectively in both air and underwater. As expected A is greater than B due to less forward scattering in both air and water, however the difference is less important underwater due to the superposition of the multiple scattering and reflections, as previously mentioned. The magnitude of C is higher in both air and water compared to ice since there is no albedo allowed by the polymer.

Further efforts will be conducted to reduce these effects by adding a second polarizing phase at the source for cleansing purposes in linear polarization, by exploring the choice of circular polarization and by investigating coherent detection techniques at the receiver. All of the above within the design limits imposed by the mechanical, volumetric and electrical constraints of autonomous underwater robotics.

Figure 9 presents different values of depolarization ratios in air and in water for A: 10cm-thick sea-ice, B: 15cm-thick sea-ice, C: 15cm-thick sea-ice for an unpolarized laser source, D: polystyrene and E: HDPE. A and B are similar to the results analyzed in Figs. 7 and 8. C shows a depolarization ration of 100% for both cases air

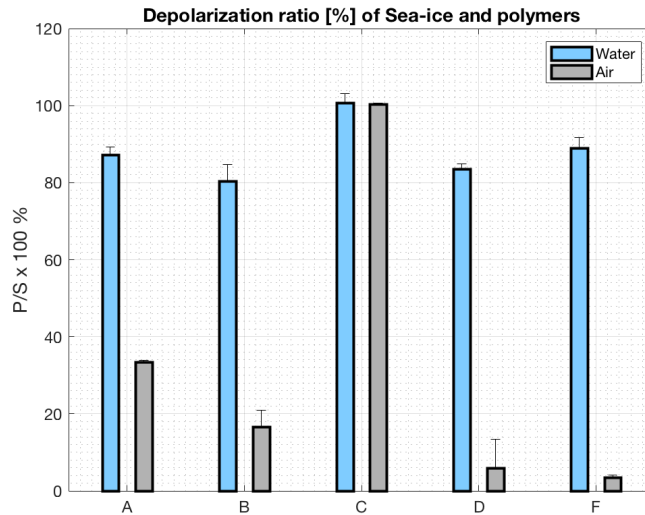


Figure 9. Different values of depolarization ratios both in air and in water for A: 10cm-thick sea-ice, B: 15cm-thick sea-ice, C:15cm-thick sea-ice for a unpolarized laser source, D: polystyrene and E: HDPE.

and water which translates into the same radiant powered for both s and p components at the detector. D and F are both polymers with birefringence indexes of 0,04 and -0,05 respectively.

In 2014, the first prototype of a CW laser ice detector was deployed under the sea-ice of the Arctic Ocean in the vicinity of Qikiqtarjuaq, Nunavut in the Canadian Arctic. The experiment was done during the activities of the Vagabond-2014 oceanographic mission lead by the UMI Takuvik<sup>14,27</sup>. Sampling took place during four days of activities, between 13h00 and 16h00. *Le Vagabond* is a French sailboat conditioned to endure wintering in polar regions for scientific purposes, it was designed to be lifted when locked by sea-ice, thus avoiding the crushing forces<sup>27</sup>. The diameter of the optical components of this first generation was 2 inches, that is, two times the size of the optical components of the system described in Section 2, which is in turn the 3rd generation. For these tests, one of the crew members dove to a depth of 12m and pointed the ice detection system to the sea-ice cover above. The experiment was done for a thickness  $\geq 15$ cm.

Fig. 10 show the results for these experiments. A shows a depolarization ratio of  $P/S = 0.7649$  for measurements done to ice thicker than 15cm. B represents the performance of the ice detection system described in Section 2 and shows a depolarization ratio of  $P/S = 0.7990$  showing a similar performance of the two systems. The signal levels for A are more important (approx. 10 times greater) than for B and this is accounted for the larger optical components in the 2014 design, however the depolarization ratio remains similar.

### 3.2 Sea-ice detection for BGC-Argo floats in the Arctic Ocean

In 2016, the ice detection system described in this document was installed on a Provor CTS5-ProIce BGC-Argo float (see Section 1) and deployed during the 2016 Green Edge scientific mission in Baffin Bay (Canadian Arctic), on board of the scientific ice-breaker C.C.G.S. *Amundsen*. The general goal of the Green Edge Project is to understand the dynamics of the phytoplankton spring bloom and determine its role in the Arctic.<sup>14</sup> This project spanned from 2014 to 2016 and was lead by the UMI Takuvik in collaboration with the Laboratoire d'Océanographie de Villefrance and the french NAOS initiative for the next generation of Argo floats.<sup>15</sup> 13 BGC-Argo floats were deployed during this period and the data is available in the Coriolis database<sup>28</sup>.

Figure 11 shows an ascending profile from the BGC-Argo float. Data from the Photosynthetically active radiation (PAR curve, 400 to 700 nm) and the backscattering sensors (700 nm) are plotted along with the  $S+amb$ ,  $P+amb$ ,  $S ambient$  and  $P ambient$  values read from the ice detection system. The  $S+amb$  and the  $S ambient$  values describe the same curve and they closely follow the shape of the PAR curve. This is due to

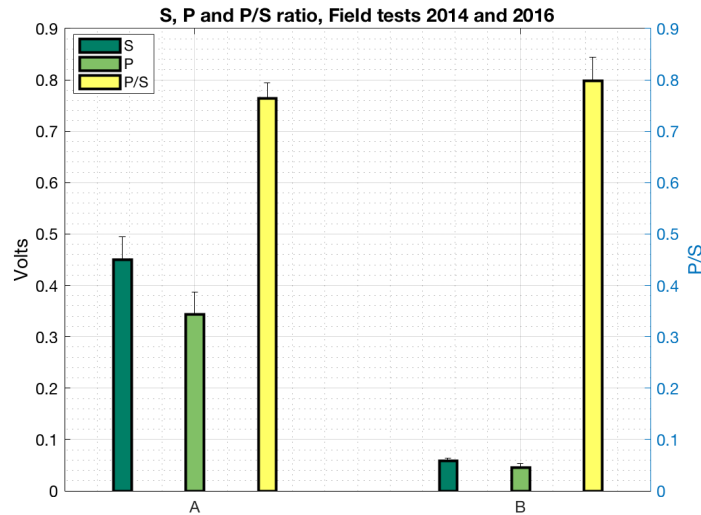


Figure 10. A represents the experiments done with the first generation prototype in 2014 for a sea-ice thicknesses  $\geq 15\text{cm}$ . B represents the experiments done with the third generation prototype in 2016 for a sea-ice thicknesses of  $\geq 15\text{cm}$ .

the fact that the profile was done on august the 21st, 2016 and the Baffin Bay was free of sea-ice cover. Since no target reflects the linearly polarized laser beam, the return signal from the water-air interface is not strong enough to be captured by the detector. However the underwater polarization field can readily be observed by the difference of  $S$  ambient and  $P$  ambient. Throughout the photic zone, direct sunlight and scattered skylight are the major sources of underwater light, and both penetrate the water through Snells window. Except for elliptical polarization next to the margins of Snells window near the water surface, the light field under water is predominantly partially linearly polarized.<sup>26</sup> In Figure 12, the solid black lines describe the underwater polarization field (ambient light). Several measurements of the ambient p and s components going from the period of 10-07-16 to 31-10-2016 from 20m to 10m are presented. Whereas the underwater polarization field remains almost constant in time (slightly decreasing) in depth<sup>25,26,29</sup>, a mean value of  $P/S = 1.7468$  is observed, this denotes that the ambient underwater field is p-polarized. It is quite the opposite for the magnitude of the ambient light on a sea-ice free ocean. The magnitude of the ambient light components p and s are dependent in time and in depth with higher intensities at shallower depths and lower intensities in a later time of the year, respectively. No reflection from the air-water interface is detected by the receiver, this may be a consequence of the sensitivity of the photodiodes. Unfortunately, the BGC-float was lost during hibernation and results from the spring period of 2017 could not be recovered. Future deployments of the ice detection system are programmed for the fall of 2018 and the summer of 2019.

#### 4. CONCLUSIONS

The design, operation and performance of a sea-ice detection system by CW laser polarimetry was presented in this document. It has been shown that the system successfully discriminates the presence or absence of sea-ice at a distance of 12m. Its mechanical, volumetric, electrical and functional characteristics were designed for compatibility with lagrangian platforms such as BGC-Argo floats and are suitable for other types of AUVs such as sea-gliders and propeller-driven. The overall cost of the system is low-medium, further efforts on reducing the cost of the pressure housings may contribute to reduce it further.

As discussed in Section 3, for a CW laser source, the signal received at the detector is the superposition of backscattering, water-column scattering, reflection from background objects and reflection from the target. Further efforts will be conducted to reduce these effects by adding a second polarizing phase at the source for cleansing purposes in linear polarization, by exploring the choice of circular polarization and by investigating

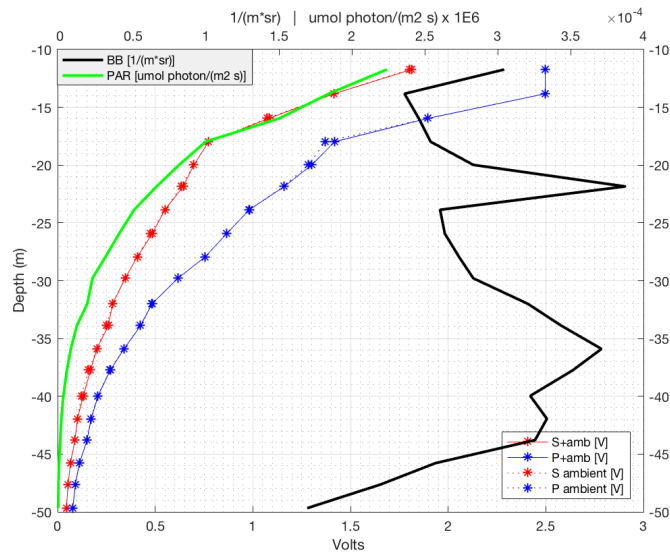


Figure 11. In 2016 the ice detection system described in this document was installed on a BGC-Argo float and deployed during the 2016 Green Edge scientific mission in Baffin Bay (Canadian Arctic), on board of the C.C.G.S. *Amundsen*, a Canadian scientific Ice-Breaker. Data from the PAR and the backscattering sensors (700 nm) from the BGC-Argo float are plotted along with the *S+amb*, *P+amb*, *S ambient* and *P ambient* values read from the ice detection system.

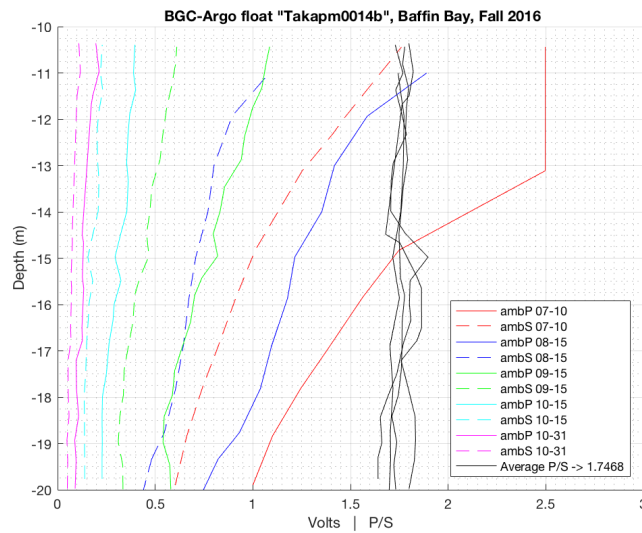


Figure 12. The solid black lines describe the underwater polarization field of several measurements of the ambient p and s components going from the period of 10-07-16 to 31-10-2016 from 20m to 10m underwater. Whereas the underwater polarization field remains almost constant in depth and in time, the magnitude of the ambient light depends on depth and time in an ice-free ocean.

coherent detection techniques at the receiver. A new deployment will take place in which the sea-ice detection system will be mounted on a sea-lander which will be placed in shallow waters during 12 months in the Arctic. This experiment will provide information about the response of the detector to all the phases of seasonal ice formation and melting.



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