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Article

# Non-Invasive On-Site Raman Study of Pigments and Glassy Matrix of 17th–18th Century Painted Enamelled Chinese Metal Wares: Comparison with French Enamelling Technology

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**Abstract:** A selection of 10 Chinese enamelled metal wares dating from the 17th–18th centuries (Qing Dynasty) was analysed on-site by mobile Raman microspectroscopy. These wares display *cloisonné* and/or painted enamels and belong to the collections of Musée du Louvre in Paris and Musée Chinois at the Fontainebleau Castle in France. Pigments (*Naples yellow* lead pyrochlore, hematite, manganese oxide etc.), opacifiers (fluorite, lead arsenates) and corresponding lead-based glassy matrices were identified. One artefact was also analysed by portable X-ray fluorescence spectrometry (pXRF) in order to confirm the Raman data. In some of these metal wares, it is suggested that cassiterite was unpredictably used as an opacifier in some parts of the decor. The results are compared to previous data obtained on Chinese *cloisonné* and Limoges enamels as well as recent data recorded on painted enamelled porcelains of the Qing Dynasty. Lead arsenate apatite detected in some of the 17th–18th century blue enamelled decors is related to the use of arsenic-rich European cobalt ores, as also characterized in French soft-paste porcelain and glass decors and high-quality Limoges enamels for the same period. However, lead arsenate could then also have been deliberately used for white opacification. The specific Raman signature displaying the shape of the Raman scattering background indicates the presence of colloidal gold (Au<sup>0</sup> nanoparticles) in red to violet enamelled and *cloisonné* areas. At least three types of *Naples yellow* lead pyrochlore pigments identified with Sb-rich, Sn-rich and mixed Sb–Sn–(Zn, Fe?) compositions prove the use of European pigments/recipes.

**Keywords:** Chinese enamels; raman microspectroscopy; fine art; pigments; cobalt; arsenic; gold; *Naples Yellow* pyrochlore; cassiterite

## 1. Introduction

An enamel can be defined as a glassy material, made by mixing various types of fluxes and colouring/opacifying agents with silica, which is discretely applied and fused onto several bases upon subsequent firing in order to get a glossy coating [1,2]. Enamelled objects, either with ceramic, glass or

metal bases, have been produced for millennia [3–7]. The origins of all enamelling processes can be traced back to the second millennium B.C.E., particularly in China, Mesopotamia and Egypt, with the production of glazed pottery [4,5]. Complex enamelled glasses were also first encountered in Egypt, dating to the 15th century B.C.E. [6] whereas the earliest examples of enamelled metal were found in Cyprus, Mycenaean Greece and China at about the same time period [3,7,8]. In terms of enamels on metal, the enamelling procedure requires a high level of expertise and skills both involving the technical know-how of glass-making and metalworking as well as the ability to overcome the technical difficulties of joining the different substrates. Thus, the earliest enamel applications were carried out onto gold and silver [8], such as in the golden *cloisonné* rings of Bronze Age Cyprus [7]. Copper and copper alloys (bronze and brass) were also commonly used due to their easier accessibility [3]. Several cultures including the Celts and Romans adopted the craft of enamelling on metal for producing more sophisticated decorations and correspondingly developed various techniques such as *champlevé* (the support is curved in order to make valleys in which the molten glass is retained) and *cloisonné* (pieces of metal foil set perpendicularly to the substrate are stuck in order to form walls that retain the molten glass according to the target design) [3,9,10]. The *cloisonné* technique was perfected by Celtic and then Byzantine craftsmen mainly from the 8th century onwards [9–11]. The technique then reached China from the West, most probably in relation to trade activities via the Silk Road [3,12,13]. Chinese *cloisonné* masterpieces started to be widely produced at the time of the Ming Dynasty (1368–1644) [12–15].

Both Chinese and European historical records report that French Ambassador of Louis XIV, the Sun King and the Jesuit missionaries, who were mainly from France but also from Germany and Italy and hosted at the Kangxi Court during the 17th century [16–23], gave outstanding enamelled artefacts as presents to the Emperor and forthcoming Mandarins. Among these presents were enamelled watches [24], Limoges enamelled objects [25,26], etc. which offer a complex realistic painted decor of enamels reproducing famous contemporary oil paintings and fashionable decors, such as in painted enamel miniatures on metal objects. Historical records also mention that on the express demand of Kangxi Emperor (in 1696), this advanced enamelling technology was taught to Chinese craftsmen of the court in workshops established inside the Beijing Forbidden Palace [17]. Archives of the Qing Palace mention that pigments and ingredients required to make such enamels were imported from Europe and this trade was regularly followed [17–21]. Indeed, long before that period, this technique had been first perfected in Limoges during the 15th–16th centuries with the serial production of various types of enamelled copper-alloy objects with polychrome decorations covering the metal surface, with an advanced technical knowledge of using different enamel compositions and firing cycles [12,13,24–26] and then in Blois and Paris during the 17th century for the production of painted enamelled watches, clocks and snuffboxes [24]. At that time, the decor of Chinese porcelains was only made with the blue underglaze decor or with ‘*couleurs transparentes*’ technique (*wucaï*, use of transition metal ions dissolved in the silicate network of the glaze) [1,2,27,28] which led to decors rather similar to those obtained on paper with lavish or watercolour techniques. These productions did not permit representing a ‘realistic’ decor, as opposed to the well-delimited coloured areas filled with opaque enamels that were coloured with pigments and metal nanoparticles (called *fencai* or *yangcai* which mean foreign colours, or *Famille rose* regarding the porcelain for Western scholars), using techniques developed in Europe to decorate metal, glass and pottery which allowed for realizing miniature pictures of enamel [2,12,13,29,30], as in European easel oil paintings, tempera or pastels [31,32]. There is a series of Chinese terms to classify enamelled porcelains: *doucai* (meaning: bound colours), (*su*)*sancai* (three colours), *wucaï* (five colours, also called *Famille verte* in Western literature), *ruancai* (soft colours), *falangcai* (enamels in the French style), *fencai* (powdered colours), *yangcai* (Western colours/*Famille rose*). There are also many terms for monochrome colours (e.g., *yanghong* western red, etc.). Actually, contours of classification are blurred, overlapped and subjective.

The *cloisonné* technique actually offers the possibility to have opaque colours but making walls with thin metal foils limits the resolution and colour palette. Indeed, the main advantage of painted enamelled decor made with opaque colours is the possibility to mix them and hence to have a quasi-

infinite palette of colours (e.g., *huafalang* porcelains). Although some analytical studies have been published about Chinese metal wares [12,13,33,34], no combined analytical/spectroscopical study has been conducted on outstanding painted enamelled artefacts from the Qing Dynasty.

In this study, we present the first non-invasive on-site study of 10 enamelled metal wares dating to the 17th–18th centuries (Qing Dynasty) from the collections of Musée du Louvre (Département des Objets d'art, Paris) and Chateau de Fontainebleau (Musée Chinois, Fontainebleau) by mobile Raman microspectroscopy. These metal wares were produced by *cloisonné* and/or painted enamel techniques. Assignments are confirmed with a portable X-ray fluorescence spectrometry instrument (pXRF) study of a representative painted enamelled bottle exhibiting a large palette of colours. However, the high value of the artefacts imposes their preservation in a secure room and any sampling is unquestionably prohibited. Both analysis techniques have the advantage of being handled at the museum and performed without any kind of sample preparation of the artefacts. However, in the case of outstanding artefacts, measurements should be conducted during the closure days of the museum. The condition of recording X-ray fluorescence spectra with a portable instrument and the variable thickness and heterogeneity of the (coloured) coating make the elemental data not be quantitative since light elements are not or poorly detected and the in-depth probed is not the same for each element (see further). The sensitivity of Raman technique is very variable as a function of the nature of the chemical bonds in the probed area/volume and hence some phases could not be detected [24].













Most of the artefacts entered in the French collections during the 19th and early 20th centuries. The collection of Emperor Napoléon III and Empress Eugénie arrived before 1870 and the collection of Adolphe Thiers, former President of the French Republic, arrived in Musée du Louvre in 1922. The study of painted enamelled porcelains from the Qing Dynasty is also presented in a companion paper [35], but two very characteristic examples of painted enamelled porcelains are also included in this study for comparison. The objective of this study is the comparison of the enamelling technology used in these artefacts, regarding *cloisonné* and painted enamels, with the identification of pigments/opacifiers and glass types used. Outcomes will also be compared with the enamelling technology of *cloisonné* artefacts produced during the Ming Dynasty (1368–1644) and earlier periods. The study is expected to provide arguments to identify the use of imported enamels or imported enamel recipes from Europe to China at the end of the 17th century under the guidance of Jesuits as reported in the historical records [17–21], in a similar way as that occurred from Europe to Japan during the first part of the 17th century [36–38].

## 2. Materials and Methods

Objects: Table 1 lists the 10 studied metal wares with *cloisonné* and/or painted enamels and two enamelled porcelains which are shown in Figures 1–4. The studied corpus includes three *cloisonné* enamelled artefacts, one of which is assigned to the Kangxi reign (1662–1722). The other two artefacts belong to the Qianlong reign (1736–1795). The biggest vase with the Qianlong official mark (Figure 1b) and a pair of outstanding ewers with the Qianlong mark and a very complex decor associating *cloisonné* and small and large painted medallions are dated to the second half of the 18th century (Figure 2). Seven painted enamelled artefacts including two small dishes, two bottles, an incense tripod and a tea pot are also assigned to the Qianlong reign.

*Cloisonné* enamelled artefacts have blue, turquoise, yellow, green, red and white areas. Bubbles are observed in the enamel in some cases (see Figure 1a'', F1448C; Figure 2e, F1467.1) although rather well-fired enamels, free of visible defects are also present (Figure 1b', F1735C). Painted enamelled areas show a high number of halftones and the quality of the decor can be compared with those obtained by common paintings (see Figure 2c,d,g, F1467.1; Figure 4, see details of R975 and F1698C). The poor gloss and high number of bubbles observed for some of the *cloisonné* enamels arise from the preparation procedure. The enamelled areas preserved by walls having large but variable thickness normally need to be polished after firing to adjust the enamel thickness of the different coloured areas. This eliminates the upper surface formed at the liquid state, which has a high natural gloss.

**Table 1.** The artefacts with painted and/or *cloisonné* enamels (H: height; D: diameter).

Artefacts	Inventory Number	Collection/Provenance	Place of Production (Expected)	Period	Size (cm)	Images
Incense tripod, <i>cloisonné</i> enamel	F1448C		?	Kangxi period (1662–1722)	H:27 D:26	
High vase, <i>cloisonné</i> enamel	F1735C			Qianlong mark period (1736–1795)	H:103 D:40	
Bottle, <i>cloisonné</i> enamel	F1501	Fontainebleau (Musée chinois)	Palace workshop	Mark?3rd quarter of 18th century	H: 21 D: 15	
Ewer, painted and <i>cloisonné</i> enamel on gold body	F1467.1			3rd quarter of 18th century Qianlong mark	H: 40 D: 20 A: 27	
Ewer, with painted and <i>cloisonné</i> enamel on gold body	F1467.2			3rd quarter of 18th century Qianlong mark		
Vase, painted enamel on porcelain	F1341C		Jingdezhen Imperial Factory of Porcelain	mid-2nd half of 18th century End of Yongzheng period	H: 30 D: 15	
Dish, painted enamel on copper body	R957		Guangzhou	(1723–1735)—early Qianlong period: mid-18th century	H: 3 D: 15.5	
Dish, painted enamel on copper body	R958	Louvre (Département des Objets d'Art)	Guangzhou	Qianlong period: 2nd half of 18th century	H: 2 D: 19	
Bottle, painted enamel on copper body (pXRF studied)	R975		Guangzhou	Qianlong period: 2nd half of 18th century	H: 26.5 D: 14	
Incense tripod, painted enamel on copper body	F1698C		Guangzhou		H: 12.5 D: 14	
Bottle, painted enamel	F1440C	Fontainebleau (Musée chinois)	-	Qianlong period (1736–1795): 2nd half of 18th century	H: 21.5 D: 10	
Tea pot, of so-called 'Thousand flowers Pattern'	F1429C		Jingdezhen Imperial Factory of Porcelain		H: 13 D: 12 A: 19	



**Figure 1.** Chinese *cloisonné* enamelled metal wares from Musée Chinois, Chateau de Fontainebleau: (a) F1448C (17th century, Kangxi Reign, details in a' and a''); (b) F1735C (18th century, Qianlong mark) and (c) F1501 (18th century). See Table 1 for historical information. Note in (b) the optical remote head connected by optic fibres to the laser source and the spectrometer.



**Figure 2.** Chinese enamelled ewers with *cloisonné* and painted decor (F1467.1 and F1467.2): (a) whole view, (b) Qianlong marks on the back face; (c,d,g) details of painted decor; (e,f) details of *cloisonné* décor.



**Figure 3.** Chinese painted enamelled porcelain and metal wares: (a) F1341C (18th century porcelain, a' to a''' details); (b) R957 (18th century, b' detail of the decor and b'' reverse side); (c) R958 (18th century, c' reverse side).



**Figure 4.** Chinese painted enamelled metal and porcelain wares: (a) R975 (18th century, a' and a'' detail of the decor); (b) F1698C (18th century, b' detail); (c) F1440C (18th century, c' detail of the medallion); (d) F1429C (18th century porcelain, d' and d'' details).

The precision and quality achieved by the decoration of painted enamels is comparable to those obtained with European miniatures on ivory, paper [32] or enamelled watches [24] as well as the best productions of Limoges enamels [13,25,26]. It is important to note that the pair of ewers (F1467.1 and F1467.2, Figure 2) is decorated both with *cloisonné* and painted enamels. Furthermore, techniques of painting are different for the ewers and some of the painted enamelled artefacts presented in Figure 3. In the latter group, black lines delimit most of the coloured areas, as in the case of painted porcelains (F1341C, Figure 3; F1429C, Figure 4). A wide use of pink colour is also noted for the decors. The other set of painted enamelled artefacts such as the F1341C porcelain vase (Figure 3) and the R957 metal dish (Figure 3) show additional gilded decor. The technique of painting appears to be very similar for painted enamelled porcelains (F1341C, Figure 3; F1429C, Figure 4) and for enamelled metal artefacts by visual examination. More information on painted enamelled porcelains can be found in a companion paper [35] and previous studies of *huafalang* (actually, the studied artefacts expected to be made at the Imperial Palace workshops) and *falangcai* (artefacts made with imported enamels or with similar enamels) painted porcelains [27,28].

The R975 bottle (Figure 4a) was selected for pXRF analyses due to the reason that it displays a high number of coloured areas of painted enamels and a rather well flat vertical surface.

Four artefacts (Table 1) with the Qianlong reign mark and exceptional quality (dimension, refinement of the painting, marks) allowed their assignment to a production of the Qing Palace workshops. Stylistic analysis also led to assign four artefacts to the factories of Guangzhou province and the two porcelains to the Jingdezhen Imperial Factory.

**Methods:** On-site measurements were carried out non-invasively with mobile Raman and XRF set-ups. The complete description of the procedure used with HE532 Raman spectrometer (HORIBA Jobin-Yvon, Longjumeau, France) equipped with 300 mW 532 nm Ventus laser (Laser Quantum, Fremont, CA, USA), a Peltier cooled charge coupled device CCD detector (HORIBA Jobin-Yvon, Longjumeau, France) and a remote SuperHead<sup>®</sup> connected (HORIBA Jobin-Yvon, Longjumeau, France) by optic fibres to the laser and the spectrometer has already been given in previous studies [12,13,24,26–28]. A  $\times 50$  long working distance microscope objective (NIKON France SAS, Champigny-sur-Marne, France) was used. Illumination power at the sample varied between  $\sim 2$  mW (black and dark hues) and 15 mW (white). Due to the edge filter and optic fibres, the spectral background has a complex shape with a slope up to  $\sim 500$   $\text{cm}^{-1}$  and then the background becomes almost flat. A reliable signal could be measured starting from  $\sim 80$   $\text{cm}^{-1}$ .

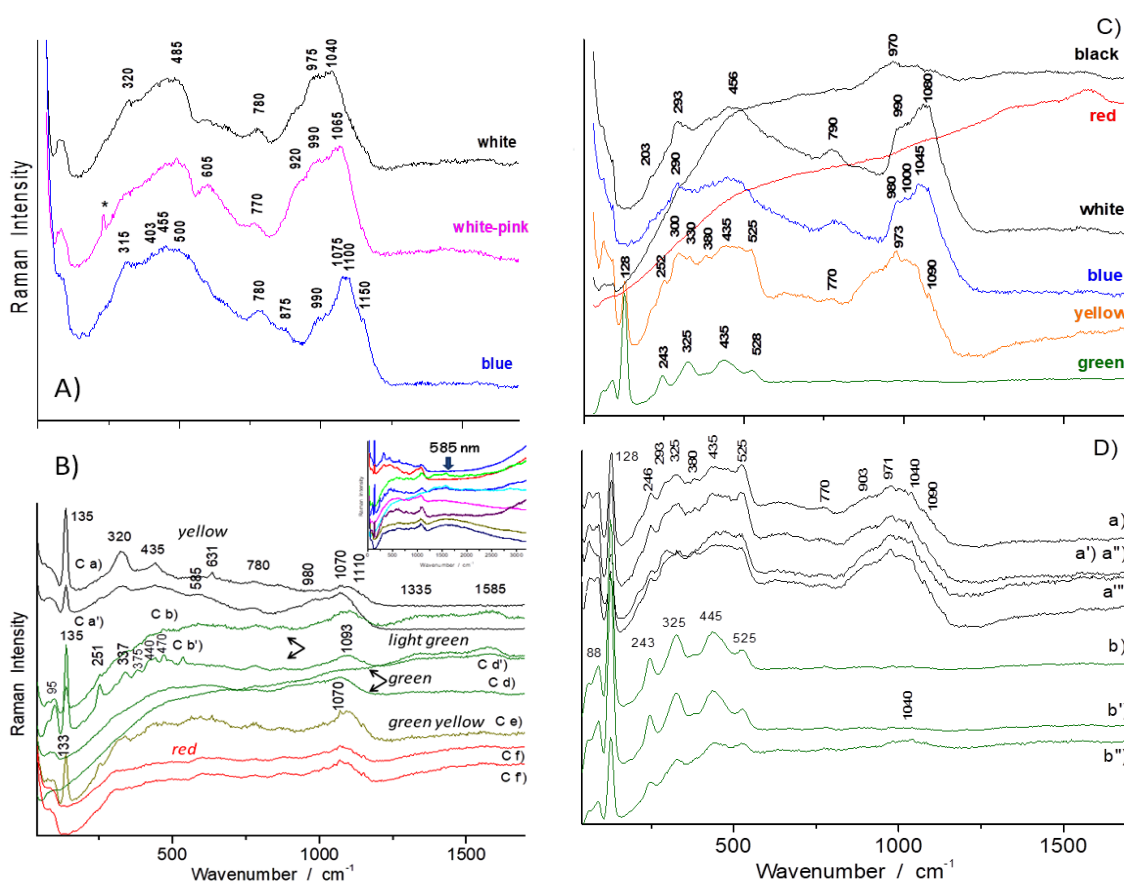
Portable XRF (pXRF) measurements were performed using an Elio instrument (ELIO, XGLab Bruker, Milano, Italy) which consists of a miniature X-ray tube system with a Rh anode, and a large area

silicon drift detector (SDD). The complete description of the procedure has recently been published [24]. It should be noted the information thickness during the analysis of the enamel is estimated to be  $\sim 4 \mu\text{m}$  at Si  $K_{\alpha}$ ,  $130 \mu\text{m}$  at Cu  $K_{\alpha}$ ,  $220 \mu\text{m}$  at Au  $L_{\alpha}$ , and  $2.5 \text{ mm}$  at Sn  $K_{\alpha}$ . The measurement data were processed using the data reduction software provided by the factory, which enables automatic peak recognition supported by manual peak selection and checking.

### 3. Results

#### 3.1. Cloisonné Enamels

Representative Raman and XRF spectra are shown in Figures 5–15.

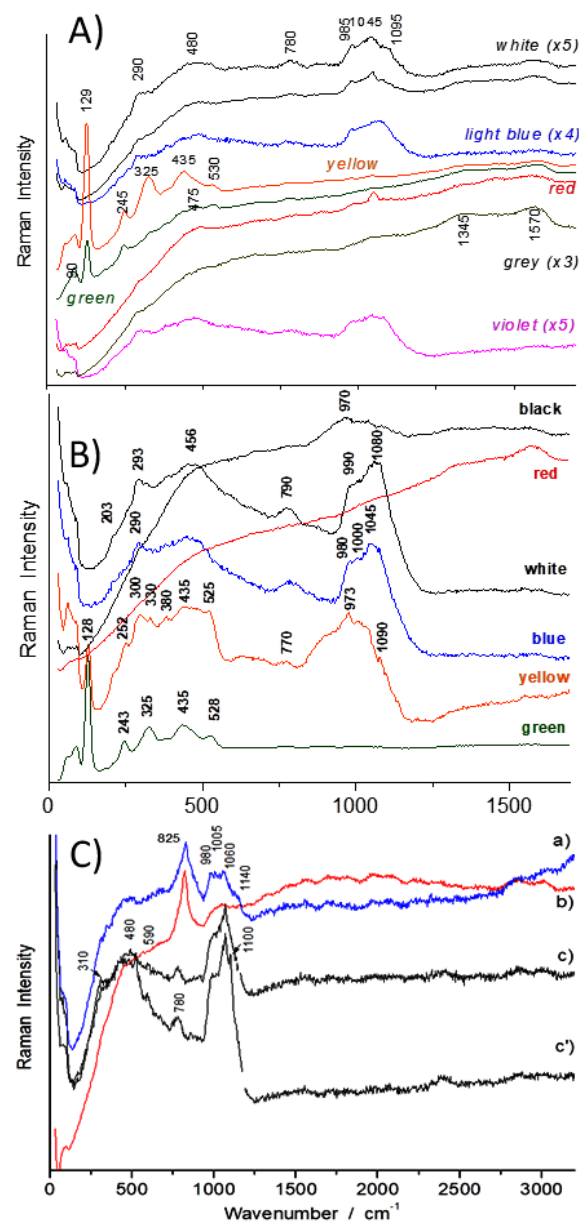


**Figure 5.** Representative Raman spectra recorded on the *cloisonné* enamelled F1448C (Kangxi period) tripod (left, A,B) and the F1501 (Qianlong period) bottle (right, C,D) for the different coloured areas. The small inserted graph shows the fluorescence peaking at  $\sim 585 \text{ nm}$  observed for red enamelled areas; (D) details; yellow: a to a''', green: b to b''.

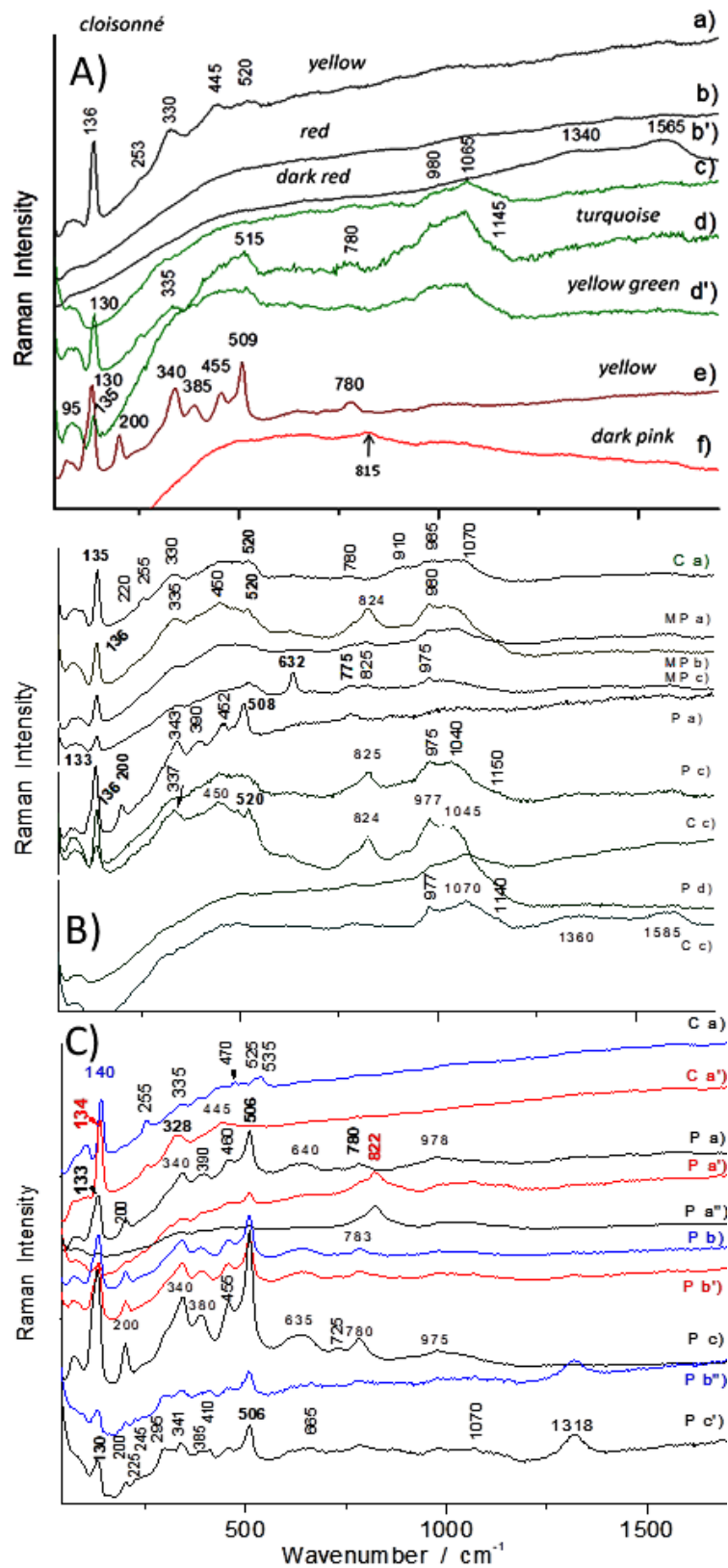
The results of the artefacts with *cloisonné* enamels will be first considered (photos: Figures 1 and 2; spectra; Figures 5 and 6, part of Figures 7 and 8). Cleaning of the analysed areas for elimination of dust and wax residues was not possible, except the effect provided by the laser beam. Indeed, due to the large thickness of the glassy *cloisonné* enamel (a few millimetres) which promotes microcracks, and the presence of many bubbles, some of which were opened by the final polishing, the enamelled surface retains many residues of organic compounds (waxes, oils) used previously to 'clean' and beautify the artefact which was then contaminated from the environment. Thus, the quality of the spectra obtained is variable, despite the fact that many spots have been measured. Consequently, the signature of carbon ( $\sim 1330\text{--}1570 \text{ cm}^{-1}$  doublet) was first observed when the laser spot was focused at the surface. Spectra recorded under very short recording times show the carbon doublet (Figure 8).



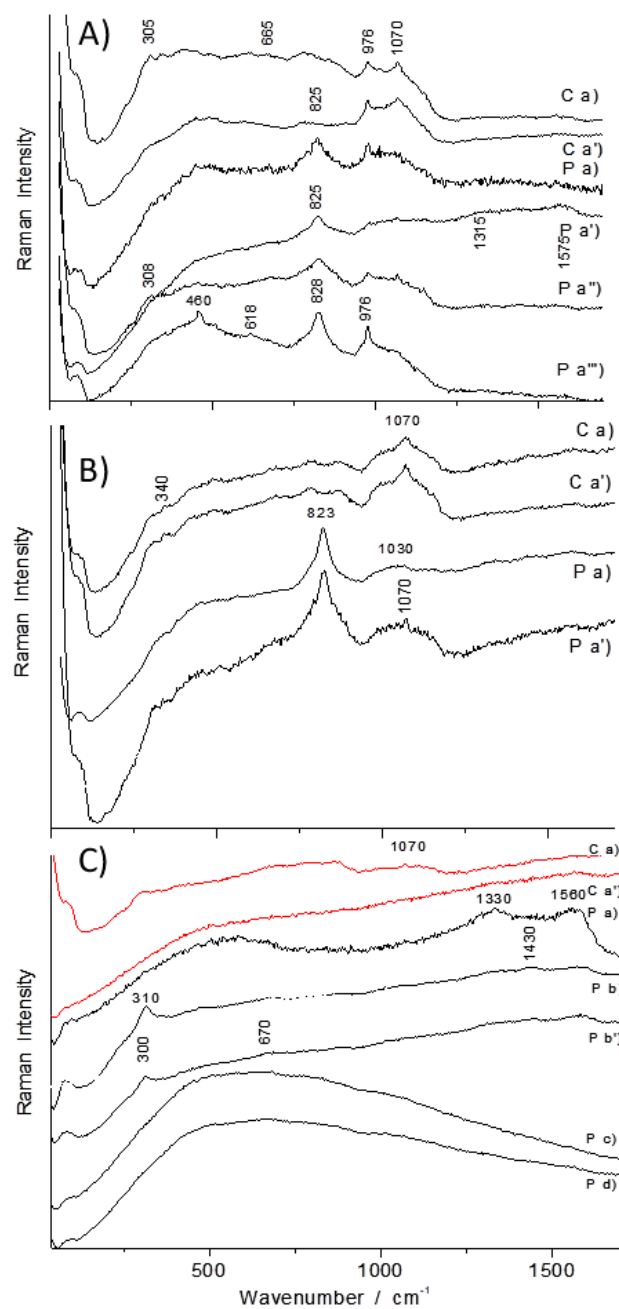
Burning and volatilizing the organic residues allows for collecting the Raman spectra of the enamel with a rather lower fluorescence background. Thus, assignment of the carbon signature observed is difficult. Its presence may be intrinsic due to the firing atmosphere during production or extrinsic due to the burning of dust and residues. The limitation of the spectral background is also important because the high number of bubbles and cracks degrade the optical quality of the material and hence the Raman signal. The background shape strongly depends on the colour of the analysed material due to the intrinsic fluorescence caused by organic residues or metal nanoparticles (see Figures 5 and 6, bottom spectra as recorded from  $\sim 50$  to  $3200\text{ cm}^{-1}$ ). The absorption of the scattered light by the analysed material also determines the shape of the spectral background. Consequently, an apparent flat background is observed for blue matter while the background increases up to  $\sim 500\text{--}1500\text{ cm}^{-1}$  using the Raman shift scale, actually  $\sim 545\text{--}585\text{ nm}$  regarding the absolute scale, and then decreases for red matter [24].



**Figure 6.** Representative Raman spectra recorded on F1735C (A, Qianlong period) and F1501 (B and C, Qianlong period) *cloisonné* enamelled wares. For the C Figure; a,b: blue enamelled areas; c,c': white enamelled areas.



**Figure 7.** Representative Raman spectra recorded on the F1467.1 and F1467.2 ewers (Qianlong period): (A) *cloisonné* areas of different colours; (B) green *cloisonné* (spectra C) and painted (spectra P) areas (MP: painted areas of the medallion; two bottom spectra: turquoise areas); (C) yellow to orange *cloisonné* (C) and painted (P) areas.



**Figure 8.** Representative Raman spectra recorded on the F1467.1 and F1467.2 ewers: (A) blue *cloisonné* (C) and painted (P) enamelled areas; (B) white *cloisonné* (C) and painted (P) areas; (C) black *cloisonné* (Ca,Ca') and painted (Pa,Pb,Pb') and pink painted (Pc,Pd) areas. Spectra obtained on dark to black areas with very short counting times (a few seconds, (A) Pa, (B) Pa' and (C) Pa) are compared with those obtained with standard recording times to demonstrate the absence of degradation).

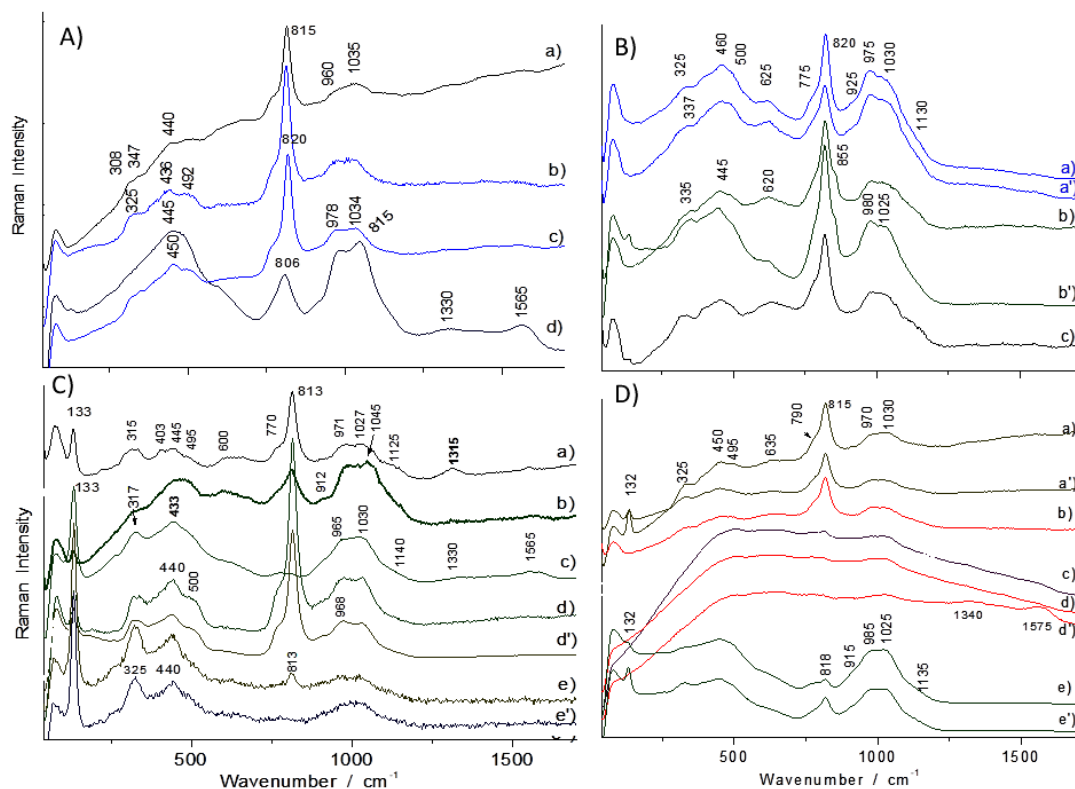
### 3.1.1. Opacifiers

Raman spectra of the *cloisonné* enamels show several narrow and weak to very weak peaks which are characteristics of crystalline phases. Table 2 lists the identified crystalline phases with their characteristic Raman peaks which are consistent with previous Raman studies on Chinese enamelled artefacts [12,34]. The assignments will be summarized as follows.

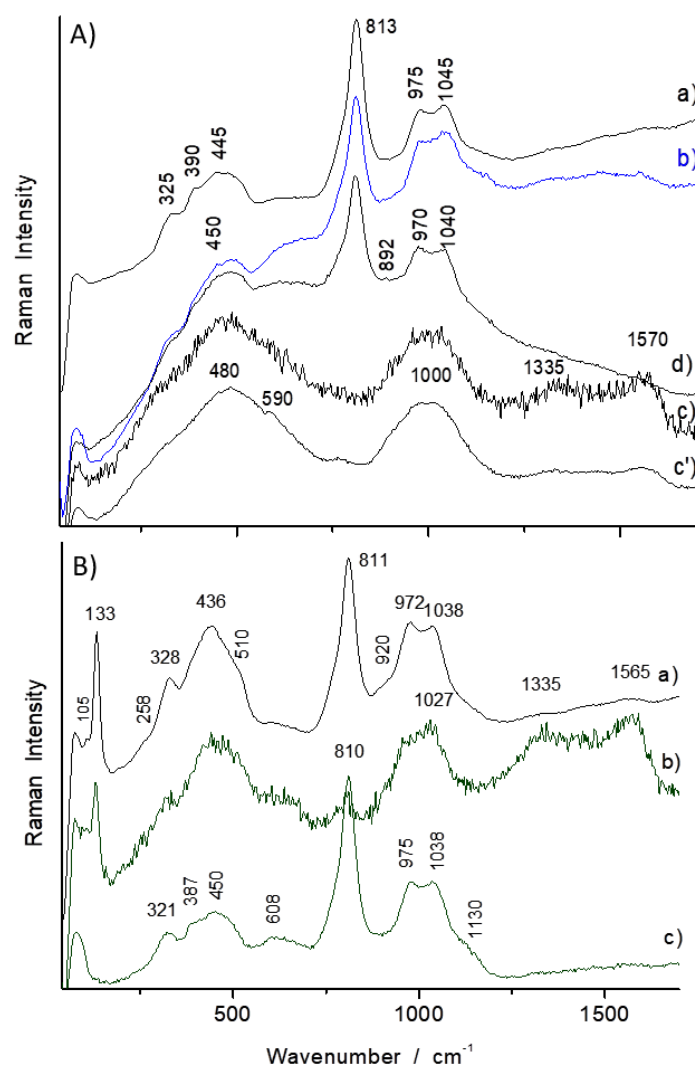
The peak at  $\sim 290$  to  $320\text{ cm}^{-1}$  located on the increasing spectral background (e.g., Figure 5A) is assigned to the signature of fluorite ( $\text{CaF}_2$ ) as an opacifying agent. It is important to note that the

Raman signature of  $\text{CaF}_2$  is poor, according to the rather ionic character of the Ca–F bond. The use of fluorite in glass opacification is known to be a Chinese innovation which can be traced back to the Tang Dynasty (618–907 AD) [12,33,34]. Fluorite was also typically used in *cloisonné* enamels, starting from the 15th century [12]. Downshift of the wavenumber of the pure fluorite signal expected at  $322\text{ cm}^{-1}$  (e.g., Figure 6) probably arises from the steep increasing background as well as some possible partial substitution of calcium with barium. The presence of barium in the enamels may be due to the occurrence of barium mineral impurities in the lead ores used in the making of lead-based enamels (see further) or deliberate addition of barium as a flux [39,40]. Confirmation should be carried out by elemental analysis. Lead glass with significant barium content is recognized as a specific Asian glass tradition dating back as early as the Warring States period (475–221 BC) in China [41].

In the blue enamelled areas, the Raman signature of the glassy silicate matrix was mainly observed, indicating the use of  $\text{Co}^{2+}$  ions for achieving blue colour (see further) [2]. The strong and rather broad  $\sim 825\text{ cm}^{-1}$  peak observed in the blue enamel of one artefact (the F1501 bottle) corresponds to the As–O stretching mode which suggests the precipitation of lead arsenate apatite in lead-based enamel matrix (Figure 6C). This phase with the general formula  $\text{Na}_{1-x-y/2}\text{K}_x\text{Ca}_y\text{Pb}_4(\text{AsO}_4)_3$  may display a range of solid solution compositions with Ca, K and Na arising from the fluxes used in the enamels [13,28–30,42,43]. Lead arsenate apatite is a typical phase found in 17th-century European glassy artefacts such as blue-and-white soft-paste porcelains and Limoges enamels, due to the use of European cobalt ores rich in arsenic [13,27,28,44]. The presence of this band may also be due to the deliberate addition of lead arsenate opacifier to adjust the hue. A similar feature was also previously identified in an 18th-century Chinese *cloisonné* enamelled artefact [12].



**Figure 9.** Representative Raman spectra recorded on R957 (left, A,C, mid 18th c.) and R958 (right, B,D, 2nd half of 18th c) dishes: (A,B) white (a,a') and blue (b,c,b') and dark blue (d) areas; (C,D) yellow and green areas (see text); R958: spectra c, d and d' for red areas included. Noisy spectra are obtained with very short recording time to prevent any change due to local heating.



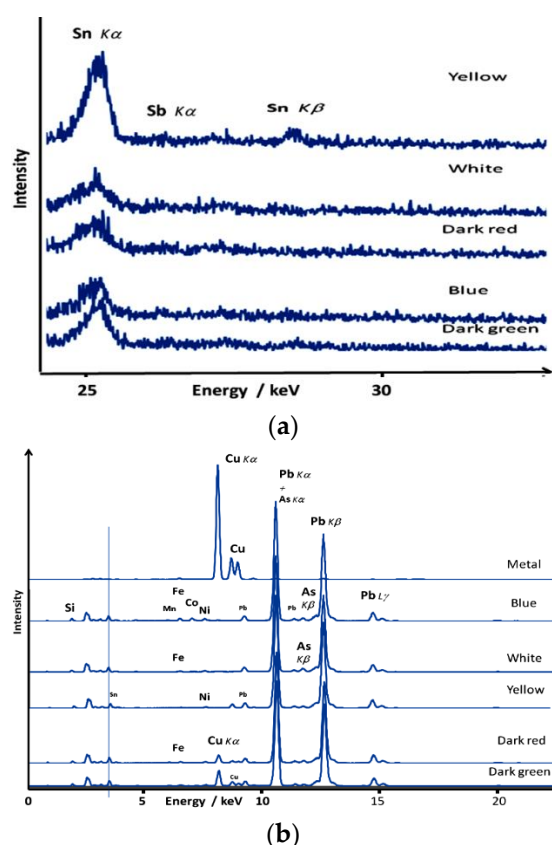
**Figure 10.** Representative Raman spectra recorded on the R975 bottle (2nd half of 18th century): (A) white (a), blue (b), pink (d) and black (c,c') enamelled areas; (B) yellow (a,b) and green/turquoise (c) enamelled areas (noisy spectra are obtained with very short recording time to control that no change due to local heating takes place, except disappearance of carbon signal to some extent).

A more surprising feature is related to the detection of cassiterite which was found in the yellow and greenish yellow (light green) areas of the F1448C tripod with 631–780 cm<sup>-1</sup> doublet (Figure 5B–left bottom, spectrum C a,e). The yellow pigment used in this artefact is the *Naples yellow* type which here is the tin-rich lead pyrochlore (see further) and it is possible that excess tin in the pigment had led to the precipitation of cassiterite. In our previous study of Chinese artefacts dating to the 17th to 19th centuries, cassiterite was also identified in some of the yellow *cloisonné* enamels, in which *Naples yellow* lead pyrochlore pigment variations were detected [12]. However, it is also likely that addition of tin had been made to adjust the hue, according to European recipes or use of imported ingredients. In this case, this point is an argument to consider that this artefact dating to the Kangxi reign had been made with the guidance of Jesuit missionaries. It is also noteworthy to mention that in our previous study of *huafalang* porcelains [30] and regarding painted enamels analysed in this study, cassiterite was also detected in the light green enamel (see further).

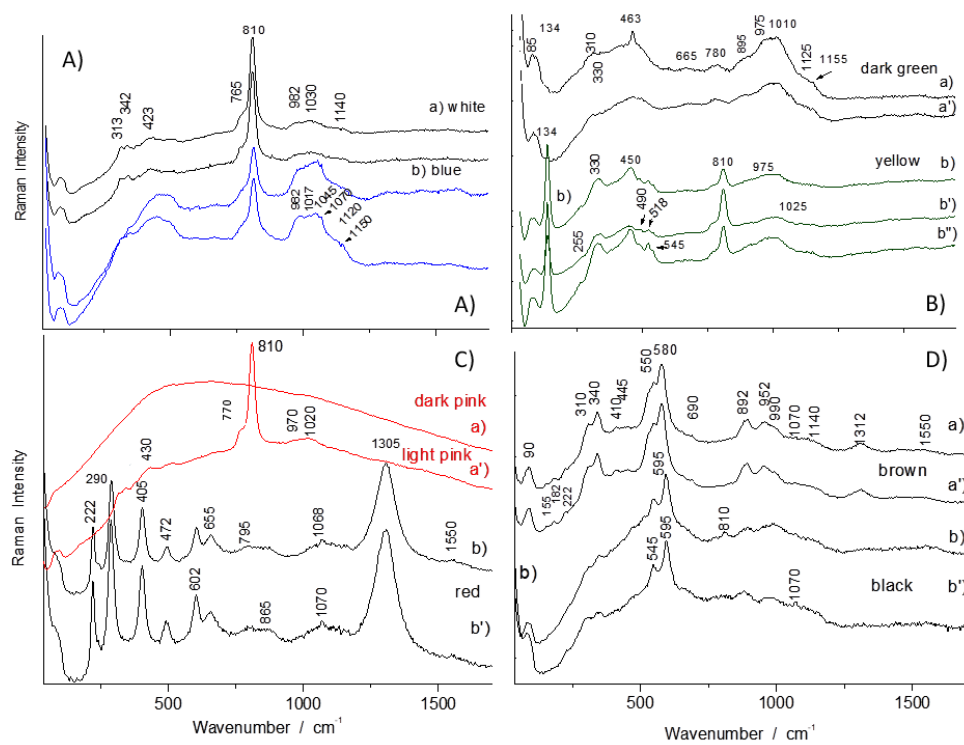
### 3.1.2. Pigments

More original features regarding crystalline phases were identified in the *cloisonné* enamels. In the blue enamel of the F1448C tripod (Figure 5A-top left), the Raman signature of a chromate phase can be attributed to a band at  $\sim 875\text{ cm}^{-1}$  characteristic of the stretching mode of the chromate phase [45]. A rather strong band at  $315\text{ cm}^{-1}$  and a smaller one at  $403\text{ cm}^{-1}$  can also be assigned to its bending modes [46]. Chromium can be a minor element of cobalt ores such as many transition metals and the high intensity of Raman scattering of the Cr–O bond makes the phase signature easily detected. A similar Raman feature was commonly identified in the black lines of famous Iznik pottery glazes with the use of chromite and/or spinel mixed ores [47]. Another similar feature has also been observed previously in the pink enamel of an 18th century Chinese *cloisonné* artefact [12].

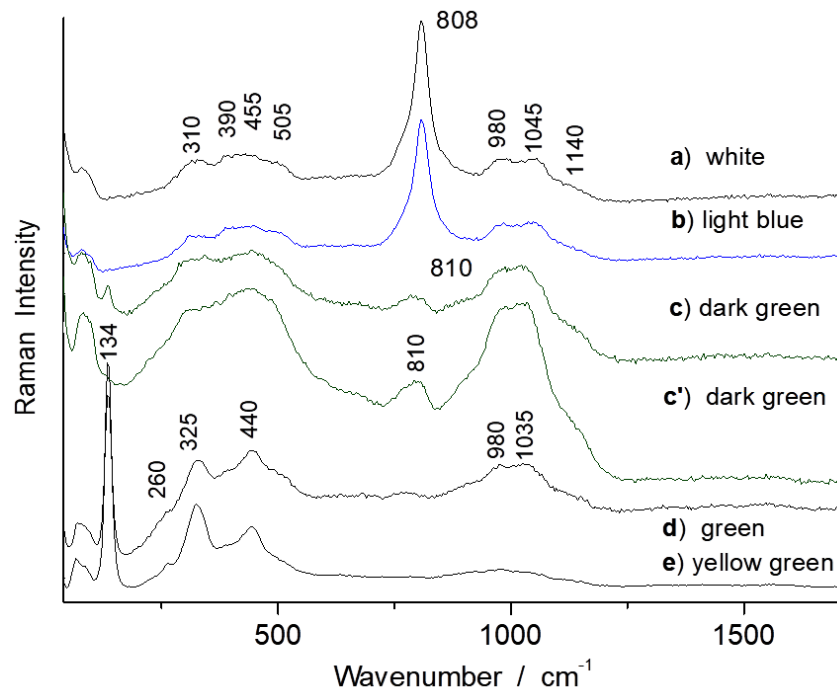
The broad and strong  $\sim 1310\text{ cm}^{-1}$  peak characteristic of the red enamelled areas coloured with hematite [48] (see porcelains, the F1429C teapot (Figure 12C; spectra b,b') and the F1341C vase (Figure 15C; spectra b,b')), which is commonly observed in *cloisonné* enamels dating to the Ming period [12], was not detected in the *cloisonné* enamels studied. Hematite is a typical colouring phase of pottery bodies and has been used as a source of red colour since prehistoric times. However, its real use as a red pigment of glass and enamels has only been since the 15th century [2,49], particularly in Limoges [13,26] and Ming *cloisonné* [12] enamels. Red enamelled areas of *cloisonné* artefacts display a spectral background characteristic of coloration with metal nanoparticles (see e.g., the F1501 bottle; Figure 6B, and further), as observed for 17th–18th century French enamelled watches [24] and 18th century French porcelain and faience [2].



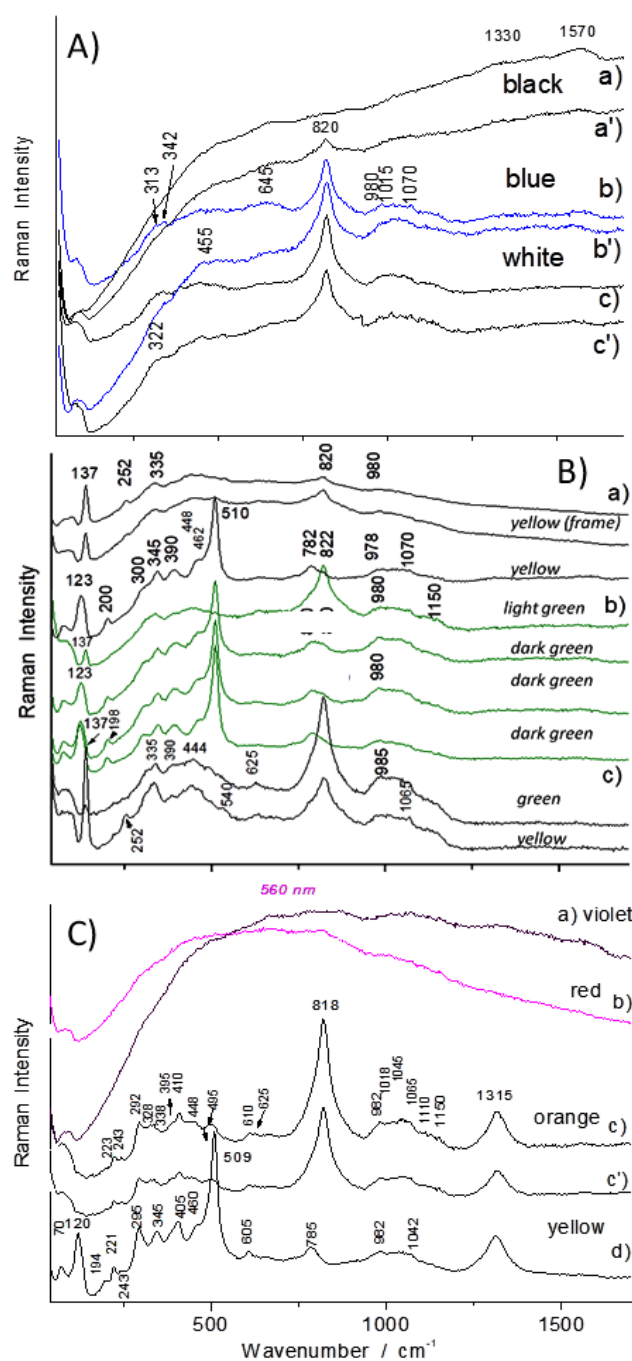
**Figure 11.** Representative portable X-ray fluorescence spectrometry (pXRF) spectra recorded on the R975 bottle for the metal and enamelled areas with different colours. A zoom of the region showing Sn and Sb elements is given in a).



**Figure 12.** Representative Raman spectra recorded on the F1429C teapot porcelain (Qianlong period) for white (left, A, a), blue (left, A, b), dark green (right B, a and a'), yellow (right, B, b to b''), pink (left, C, a and a'), red (left, C, b and b'), brown (right, D, a and a') and black (right, D, b and b') enamelled areas.



**Figure 13.** Representative Raman spectra recorded on the F1698C tripod (Qianlong period) for different coloured areas.

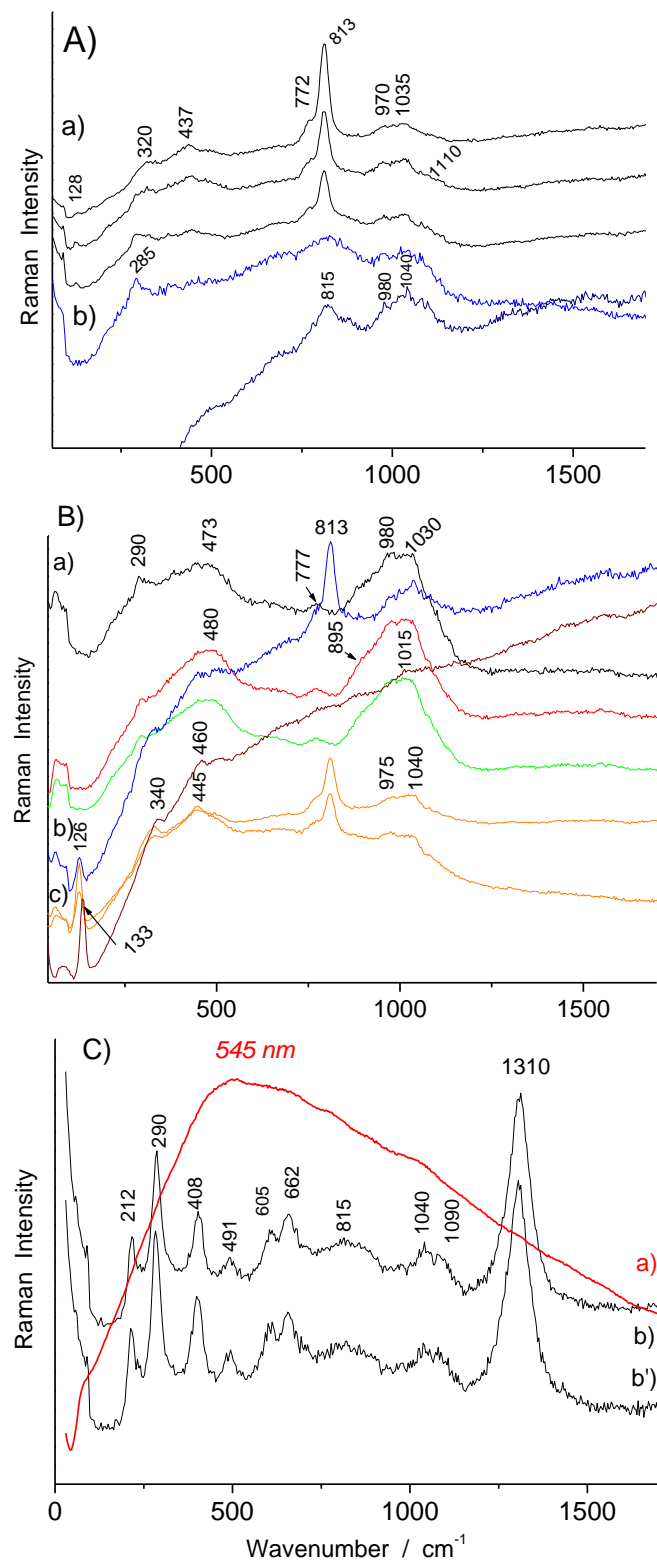


**Figure 14.** Representative Raman spectra recorded on the F1440C bottle (Qianlong period) for different coloured areas: white and blue (A), yellow and green (B) and special colours (C).

Particular attention should be paid to the yellow and green enamelled areas in which the characteristic Raman signature of *Naples yellow* lead pyrochlore was recorded (Table 2, e.g., Figure 5). *Naples yellow* is used here as a general pigment class covering a wide range of lead pyrochlore solid solutions ( $\text{Pb}_{2-x}\text{M}'_x\text{M}_2\text{-yM}''_y\text{O}_{7-\delta}$ ;  $\text{M}' = \text{rare earth}$ ;  $\text{M}, \text{M}'' = \text{Sn, Sb, Si, Fe, Zn, etc.}$ ). *Naples yellow* type pigments had been used as a colorant of glass and glazes since antiquity [50,51]. Their use was also confirmed in Byzantine glazed pottery [52], then continued with the onset of the Renaissance to later periods as a major pigment of ceramic glazes (majolica) and glasses as well as paintings [53–62]. Consequently, due to the strong characteristic Raman signature easily obtained (due to the very high polarizability of the Pb–O bond) and wide range of compositions accepted by the pyrochlore structure



which can be used as the specific signature of a workshop, many analytical studies have dealt with this pigment class up to now [12,13,24,26–30,36–38,50–62].



**Figure 15.** Representative Raman spectra recorded on the F1341C porcelain vase (Qianlong period) for different coloured areas: (A) white (a) and blue (b); (B) green (a) and yellow (b,c); (C) orange (a) and yellow (b,b').

**Table 2.** Crystalline phases identified in the *cloisonné* enamels (strongest; *shoulder*; *L/L*: light).

Phase	Characteristic Raman Peaks (cm <sup>-1</sup> )	Refs.	Artefact	Colour	Remarks
Naples Yellow lead pyrochlore	95(?), <u>135</u> , 251, 337, 375, 470	[24,58–62]	F1448C	L.green	Complex Sb–Sn
	<u>135</u> , 320, 435		F1448C	Yellow	Sn-rich
	<u>128</u> , 243, 325, 445, 525		F1501	Green	Sn-rich
	<u>128</u> , 246, 325, 380, 435, 525		F1501	Yellow	Complex Sb–Sn
	<u>129</u> ,245,325,435,530		F1735C	Yellow	Sn-rich
	<u>129</u> , 245, 325, 430, 475, 530		F1735C	Green	Complex Sb–Sn
	<u>136</u> , 253, 330, 445, 520		F1467.1	Yellow	Sn-rich
	<u>130</u> , 340, 385, 455, <u>509</u>		F1467.1	Yellow g	Complex Sb-rich
	<u>135</u> , 255, 330, 450, 520		F1467.1	Green	Sn-rich
	<u>140</u> , 255, 335, 380, 470, 525		F1467.1	Yellow-or	Complex Sb–Sn
<u>134</u> , 250, 328, 445	F1467.1	Yellow-or	Sn-rich		
Cassiterite	631, 780	[2]	F1448C	Yellow, green yellow (l green)	-
Lead arsenate	<u>825</u>	[13,27,28,44]	F1501	Blue	As-rich cobalt
apatite	815		F1467.1	Dark pink	Colloidal Au <sup>o</sup>
Phosgenite	<u>1045</u>	[63]	F1735C	White	Corrosion product/restoration?
Chromate	315, 403, 875	[45,46]	F1448C	Red Blue	

Due to the high resolution of human eyes (a few microns), pigment grains must be very small to make homogeneous coloured areas. Consequently, compositional analysis of the artefacts must be performed at the very local scale by usually invasive/destructive techniques to discriminate between the composition of the pigment and that of the glassy matrix. In this study, very good spectra with a rather flat background were recorded (see Figure 5D, spectra b to b'') when the laser spot diameter is sufficiently small to be close to that of pigment grains (up to ~5–10 µm and 1–2 µm along Z axis perpendicular to the glass surface) as a function of the definition of light distribution (using ×100 and ×200 microscope objectives, respectively). On the contrary, if the grain size is much smaller (especially in the submicronic scale), crystallinity may be affected, and the specific pigment signature mixed with that of the glassy matrix is then detected (Figure 5D, spectra a to a''). The first case arises when pigments are prepared separately and then added to the enamel precursor. The second case occurs when the pigment grains are very small, for instance when the saturation limit is exceeded and leads to a homogeneous precipitation. In this framework, Raman microspectroscopy as a non-invasive technique has the great advantage to record specifically the signature of the pigment and the glassy matrix. At least, three types of *Naples yellow* lead pyrochlore pigments are distinguished in the literature by Raman scattering and observed in the studied artefacts with the following subgroups:

- (i) a first type characterised with a low-wavenumber strongest peak at ~128–129 cm<sup>-1</sup> and peaks of lower intensity at ~245, ~325, 435 and ~525 cm<sup>-1</sup> (see e.g., Figure 5D right);
- (ii) a second type with the strongest peak at ~135–140 cm<sup>-1</sup> and peaks of lower intensity at ~320–335, ~440–450 and ~520 cm<sup>-1</sup> (see e.g., Figure 7A,C);
- (iii) a third one displaying similar features but with additional low intensity peaks at ~375, ~470 cm<sup>-1</sup> (Figure 5B left);
- (iv) a fourth one displaying similar features with the others but with an additional strong component at ~510 cm<sup>-1</sup> with its intensity being similar with that of the low wavenumber one at ~135 cm<sup>-1</sup> (Figure 7A,C). This strong low wavenumber peak arises from the vibration of Pb<sup>2+</sup> ions and its

wavenumber position both depends on the composition and firing temperature [36–38,53–62]: the peak wavenumber decreases when the firing temperature increases.

Studies of Sn–O and Sb–O end members of these lead pyrochlore solid solutions have allowed Raman identification of Sn–O and Sb–O stretching modes (stretching modes are stronger in Raman spectra) [24,58–62]. Thus, differentiation between Sn-rich and Sb-rich *Naples yellow* can be effectively carried out by using their Raman spectra. Sb-rich pigment commonly shows strong components at  $\sim 330\text{ cm}^{-1}$  and  $\sim 505\text{ cm}^{-1}$  (Sb–O stretching mode) while Sn-rich pigment shows a  $450\text{ cm}^{-1}$  component (Sn–O stretching mode). Furthermore, observation of a significant additional  $\sim 470\text{ cm}^{-1}$  component may correspond to more complex pyrochlore solid solutions also containing zinc and iron [24]. According to the results of this study, it is suggested that most of the enamels analysed are Sn-rich. However, yellow *cloisonné* enamel of the F1467 ewers (Figure 7A) is Sb-rich while their other pigments are mostly Sn-rich. It should also be noted that these different signatures were obtained on the same artefact regarding the yellow and green areas. Furthermore, the peak observed at  $\sim 95\text{ cm}^{-1}$  in some of the green to yellow enamelled areas at the limit of reliable detection may be assigned to the presence of lead oxide (PbO) due to saturation of the glassy silicate matrix with lead [12,13,28]. In our previous study of Chinese *cloisonné* metal wares, similar Raman features were detected for the yellow enamels [12]. Even Raman spectra recorded on Roman mosaic tesserae show a variable intensity of the  $\sim 130\text{--}140\text{ vs. } \sim 510\text{ cm}^{-1}$  band ratio, demonstrating the early use of different compositions of lead pyrochlore yellow pigment [51]. The scientific literature [36–38,52–62] agrees that controlled use of the different compositions first developed for the production of majolica, and then the complete palette was first identified in the enamels on metal of the 17th- to 18th-century French enamelled clocks and watches [24].

Narrow peaks in the range  $\sim 965$  to  $\sim 1150\text{ cm}^{-1}$  may correspond to stretching modes of calcium phosphate, lead chloride, calcium silicate and/or sulphate phases [2,30,34,63,64]. In the lack of their other characteristic narrow peaks which are less strong and thus not detected with a portable Raman set-up, accurate identification is difficult. However, the ca.  $1050\text{ cm}^{-1}$  peak (C–O stretching mode) can be most reasonably assigned to phosgenite [ $\text{Pb}_2\text{Cl}_2\text{CO}_3$ ] which may be a surface corrosion product of lead-rich glass most probably reacting with humidity in the environment [63]. This assignment is consistent with the use of a lead-rich composition in the glassy matrix of the enamel identified by the strong Raman components at  $\sim 970$  to  $990\text{ cm}^{-1}$  (Figures 5–7). A similar peak has already been observed and assigned to lead white ( $2\text{PbCO}_3\cdot\text{Pb}(\text{OH})_2$ ) [34]. Lead white is not a thermally stable pigment but it could have been used for restoration purpose. High quality spectra recorded at the laboratory and optical microscopy examination are required to discriminate.

### 3.1.3. Glassy Matrix

Raman spectra of the *cloisonné* enamels show a broad band between  $\sim 900$  and  $1100\text{ cm}^{-1}$  with various shoulders/components, characteristic of the Si–O stretching mode of the silicate matrix of the glassy enamels (Table 3, Figures 5 and 6, part of Figure 7). Comparison of the specific Si–O stretching band components and Si–O bending to stretching band area ratio helps to distinguish between different enamel compositions, as previously demonstrated empirically [65–68] and by Density Functional Theory (DFT) modelling [69]. Due to the complex spectral background observed when using mobile edge filtered Raman spectrometers, comparison of the Si–O bending band is very much dependent on the signal intensity, and thus comparison is only valid if recording procedures are identical, such as in this study. Here, only the Si–O bending band obtained on some of the blue or green coloured areas can be observed with good reliability. Low-wavenumber components ( $900\text{ cm}^{-1}$  shoulder, strong component at  $\sim 970$  to  $990\text{ cm}^{-1}$ ) indicate a low-polymerized (lead-based) glass network made of more or less connected  $\text{SiO}_4$  tetrahedron fired at lower temperatures [65–68] and this type of glass exhibits a stretching band stronger than the bending one. According to previous studies of Chinese *cloisonné* enamels [12] and representative glass types [65–68], four types of glasses either with lead-rich or lead-(earth)alkali compositions were identified for the artefacts analyzed (Table 3): lead-rich glass with

the strongest component at ca. 970 to 980  $\text{cm}^{-1}$  (Type I), lead-(earth)alkali glass with the strongest component at ca. 1030 to 1050  $\text{cm}^{-1}$  (Type IIa), with rather similar intensity of ca. 975 and 1045  $\text{cm}^{-1}$  components (Type IIb), with the strongest component at ca. 1065–1070  $\text{cm}^{-1}$  (Type III) and with the strongest component at ca. 1080–1100  $\text{cm}^{-1}$  (Type IV). Rather different types of glass compositions observed are consistent with a multi-firing process of the *cloisonné* enamels. For instance, yellow enamels show the Si–O modes at lowest values (ca. 970–1020  $\text{cm}^{-1}$ ) while blue and white enamels show them at the highest ones (1040–1100  $\text{cm}^{-1}$ ).

**Table 3.** SiO<sub>4</sub> stretching components of the *cloisonné* enamels analysed (strongest; *shoulder*).

Artefact	Si–O Stretching Components ( $\text{cm}^{-1}$ )	Colour	Glass Type
F1448C	900, <u>975</u> , <u>1040</u> , 1150	White	Lead-alkali IIb
	920, 990, <u>1065</u>	White pink	Lead-alkali III
	990, <u>1100</u> , 1150	Blue	Lead-alkali IV
	980, <u>1070</u> , 1110	L. green yellow	Lead-alkali III
	<u>1000</u> , <u>1093</u>	Green	Lead-alkali IV
F1735C	985, <u>1045</u> , 1095	White, blue	Lead-alkali IIa
	980, <u>1030</u> , 1100	Green	-
	<u>980</u> , <u>1050</u> , 1100	Violet	-
F1501	990, <u>1080</u>	White	Lead-alkali IV
	980, <u>1045</u> , 1100	Blue	Lead-alkali IIa
	900, <u>975</u> , <u>1040</u> , 1090	Yellow	Lead-rich I
	<u>970</u> , <u>1040</u>	Green	Lead-alkali IIb
F1467.1	<u>970</u> , 1030, 1100	Black	Lead-rich I
	980, <u>1065</u>	Turquoise	Lead-alkali III
	910, 985, <u>1070</u> , 1150	Green	-
	975, <u>1070</u> , 1145	Blue	-

### 3.2. Painted Enamels

#### 3.2.1. Opacifiers

Crystalline phases identified in the painted enamels are listed in Table 4 and representative spectra are given in Figures 7–15. The characteristic band of the As–O bond [24,28,43,62,70] was detected in most of the artefacts, such as in the yellow to green enamels of F1467 ewers (Figure 7), white, blue, green, yellow enamels of the R957 and R958 dishes (Figure 9), white, blue and green enamels of the F1698C tripod (Figure 13), and white, blue, green and orange enamels of the F1440C bottle (Figure 14). The signatures observed in these enamels display some variations. This is also observed for the painted porcelains analysed (Figures 12 and 15). The characteristic signature of lead (calcium) arsenate apatite with  $\text{Na}_{1-x-y/2}\text{K}_x\text{Ca}_y\text{Pb}_4(\text{AsO}_4)_3$  structure [43,62,70] with a strong rather narrow peak at ca. 810 to 820  $\text{cm}^{-1}$  and a shoulder at ca. 765 to 790  $\text{cm}^{-1}$  is observed in most of the painted enamels (F1341C and F1429C porcelains, R975, R957 and R958 metal wares). A broader band, characteristic of another variant structure or nanosized/nanocrystalline apatite precipitate (disorder induced broadening) is also observed at wavenumbers between 806 and 825  $\text{cm}^{-1}$  (R957, Figure 9, top left A-d, bottom left C-b; R975, Figure 10). The presence of this signature may again be related to the use of European cobalt sources rich in arsenic in the blue enamels in which  $\text{Co}^{2+}$  ions were used as well as green ones where the lead pyrochlore pigment was mixed with the same blue glassy matrix. The other coloured areas are more likely to contain the deliberate addition of arsenic to adjust the hue.

**Table 4.** Crystalline phases identified in the painted enamels (strongest; *shoulder*; *porcelain*: in **bold italics**).

Phase	Characteristic Raman Peak (cm <sup>-1</sup> )	Refs.	Artefact	Colour		
Lead arsenate apatite	775, <u>813–815</u>	[13,27,28,43,62]	<b>F1341C</b>	White, blue, green, yellow, red		
	765, <u>810</u>		<b>F1429C</b>	White, blue, pink, yellow, green, black		
	<u>810</u>		F1698C	White, light blue, green, dark green		
	<u>820</u>		F1440C	Black, blue, white, light green, green, yellow, orange, red		
	<u>810</u>		F1440C	Dark green		
	775, <u>810</u>		R975	White, blue, pink, yellow, green		
	775, <u>815</u>		R957	White, blue, yellow, green		
	<u>805</u>		R957	Dark blue		
	775, <u>815</u>		R958	White, blue, yellow, green, red		
	775-790, <u>820–825</u>		F1467.1	White, blue, yellow, green		
Manganese oxides	340, <u>550</u> , <u>580</u> , <u>892</u> , 950	[48,71]	<b>F1429C</b>	Black, brown		
	<u>126</u> , 340, 445		<b>F1341C</b>	Light green, yellow		
	<u>133</u> , 340, 460		<b>F1341C</b>	Yellow dot		
	<u>134</u> , 330, 450, 490, 518		<b>F1429C</b>	Yellow		
	<u>134</u> , 260, 325, 440		F1698C	Green, green yellow		
	<u>123</u> , 345, <u>510</u>		F1440C	Yellow, dark green		
	<u>137</u> , 252, 335		F1440C	Yellow (frame)		
	<u>133</u> , 328, 436, 510		R975	Yellow, green		
	<u>133</u> , 315, 440		R957	Yellow, green		
	<u>132</u> , 325, 450		R958	Yellow, green		
	<u>136</u> , 335, 450, 520		F1467.1	Green (S. Medallion)		
	<u>133</u> , 343, 390, 452, <u>508</u>		F1467.1	Green		
Naples Yellow lead pyrochlore	<u>133</u> , 340, 380, 455, <u>506</u>	[12,24,58–62]	F1467.1	Yellow		
	212, 290, 408, 490, 605, <u>1310</u>		<b>F1341C</b>	Red-orange		
	223, 243, 292, 410, <u>1315</u>		F1440C	Orange, yellow		
	225, 410, <u>1318</u>		F1467.1	Orange		
	222, 410, <u>1312</u>		<b>F1429C</b>	Brown		
	222, 290, 405, 602, <u>1305</u>		<b>F1429C</b>	Red		
	Quartz		<u>463</u>	[2]	<b>F1429C</b>	Dark yellow green
	Copper oxide?		300	[2]	F1467.1	Black
	Cassiterite		<u>632</u> , 775	[2]	F1467.1	Green (S. Medallion)

Fluorite signature is possible in the F1467 ewers, in white and blue painted enamels (Figure 8A,B) with bumps at ca. 301 to 320 cm<sup>-1</sup> [12], the peak being shifted by the complex background step.

Cassiterite with its characteristic doublet at ca. 632–780 cm<sup>-1</sup> was only detected in the yellow and green enamelled areas of small medallions of F1467 ewers (Figure 7B-MPc). Observation of cassiterite especially in the green enamels suggests that cassiterite might have been deliberately added for achieving different green hues. This is technically significant in terms of the fact that the palette of green colour had long time been limited to turquoise (Cu<sup>2+</sup> in alkali glass), jade (Cu<sup>2+</sup> in lead-rich glaze) and one type of green (yellow pigment in blue coloured glass) [1,2]. Furthermore, the yellow

pigment used here is Sb-rich *Naples yellow* type, which also points to deliberate addition of cassiterite to the enamels (see further).

### 3.2.2. Pigments

The presence of manganese oxide was only clearly detected for black and brown enamelled areas of F1429C tea pot which is a porcelain (not a metal ware) with the characteristic strong peak at 580–595  $\text{cm}^{-1}$  [48,71] (Table 4, Figure 12D). On the other hand, hematite is found in red, yellow, orange and brown enamels of F1440C (Figure 14C), F1467 (Figure 7C) metal wares and F1429C (Figure 12C) and F1341C (Figure 15C) porcelains with different concentrations which demonstrate common use of similar pigments for metal and porcelain wares and use of hematite to adjust the hues (Table 4). Very characteristic peak of quartz at  $\sim 460 \text{ cm}^{-1}$  was detected in yellow and green enamelled areas of F1429C porcelain, as observed for many glazes on hard-paste porcelains [2]. The 300  $\text{cm}^{-1}$  peak in the black enamel may be assigned to the presence of copper oxide in F1467 ewers (Figure 8C, Pb').

As in the case of *cloisonné* enamelled artefacts, different types of *Naples yellow* lead pyrochlore pigments were identified in the yellow and green painted enamels. Most of the enamels (R957, R958, R975, F1698C, F1440C) belong to the second type (see Section 3.1.2) with the low wavenumber peak at  $\sim 133\text{--}140 \text{ cm}^{-1}$  and lower intensity peaks at  $\sim 320\text{--}335$  and  $\sim 440\text{--}450 \text{ cm}^{-1}$  which indicate a Sn-rich pyrochlore composition (e.g., Figures 9C and 13). Strong  $\sim 120$  to  $133$  and  $\sim 510 \text{ cm}^{-1}$  peaks observed in F1440C (Figure 14B,C, yellow and dark green) and F1467 (Figure 7B,C, green and yellow) belong to the fourth type, representing the characteristic signature of Sb-rich complex lead pyrochlore composition. A low wavenumber 126  $\text{cm}^{-1}$  peak is particularly observed for the light green-yellow enamelled area of the F1341C porcelain vase (Figure 15B). It is also worth mentioning that more than one type of lead pyrochlore compositions was used in the F1440C bottle dating to the Qianlong period.

### 3.2.3. Glassy Matrix

Table 5 compares the glassy silicate matrix signature of the painted enamels in the  $\text{SiO}_4$  stretching range. Three types of glass composition were observed, according to references [65–69]: lead-rich composition with the strongest component at  $\sim 980 \text{ cm}^{-1}$  (Type I), the lead-(earth)alkali composition with the strongest component at ca. 1020 to 1040  $\text{cm}^{-1}$  (Type IIa) and intermediate composition with strong peaks both at ca. 965–980 and 1025–1040  $\text{cm}^{-1}$  or peaking in between (1000  $\text{cm}^{-1}$ ) (Type IIb). The variety of composition is thus less than that observed for *cloisonné* enamels, indicating that the number of firings had been reduced. It is important to note the rather similar glassy matrices used for lead-based (over)glaze enamels and lead-alkali glaze of porcelain (F1429C) and metal wares (F1440C, F1698C).

### 3.2.4. Glass Coloured with Gold Metal Nanoparticles

A specific 'Raman' signature was observed for red, pink and violet enamelled areas (see Figure 7 (F1467; A,f), Figure 8 (F1467; C, Pc, d), Figure 9 (R958; D, c to d'), Figure 12 (F1429C porcelain; C,a,a'), Figure 14 (F1440C; C,a,b) and Figure 15 (F1341C porcelain; C,a). This implies a spectral background peaking at about 500–700  $\text{cm}^{-1}$  (actually 500–600 nm) characteristic of fluorescence in relation with the presence of metal ( $\text{Cu}^0$  or  $\text{Au}^0$ ) nanoparticles used to obtain colour between red and violet/purple [24,72–79]. By comparison with previous studies on Perrot's ruby glass [29] and French enamelled watches [24], we can state that use of  $\text{Au}^0$  colloidal nanoparticles was carried out, as for *Famille rose* porcelain [39,42–44]. This signature is also accompanied by the band characteristic of the As–O stretching mode in many cases (see e.g., Figure 9D, R958). The presence of this band may indicate addition of arsenic to adjust the hue or the special technique of using arsenic salt for precipitation of colloidal gold to prepare the enamel precursor, which was first performed by the French glassmaker Bernard Perrot in the 17th century for the production of ruby glasses, some years before the *Cassius purple* preparation by Johann Kunckel [29,72–75].

**Table 5.** SiO<sub>4</sub> stretching components of the painted enamels (strongest; *shoulder*).

Artefact	Si-O Stretching Components (cm <sup>-1</sup> )	Colour	Glass Type
F1467.1	<u>980, 1040</u> , 1070, 1150	Green (medallion)	Lead-alkali IIb
	<u>975, 1040</u> , 1130	Green	“
	<u>980, 1040</u>	Yellow,red	Lead-reach alkali IIb
R957	<u>978, 1034</u> , 1130	White,blue	Lead-alkali IIb
	920, 965, <u>1030</u> , 1140	Yellow	Lead-alkali IIb
R958	<u>970, 1030</u> , 1130	Yellow,green,red	Lead-alkali IIb
	915, <u>985</u> , <u>1025</u> , 1135	Green	Lead-alkali IIb
R975	<u>975, 1045</u> , 1130	White	Lead-alkali IIb
	920, <u>972</u> , <u>1038</u> , 1130	Yellow,green	Lead-alkali IIb
	<u>1000</u>	Turquoise	Lead-rich Ib
F1698C	<u>980, 1045</u> , 1140	White,blue,green	Lead-alkali IIb
F1440C	<u>980</u> , 1070, 1150	Yellow,green	Lead-alkali III
	980, <u>1040</u>	Yellow	Lead-alkali IIa
F1429C (porcelain)	980, <u>1030</u> , 1140	White,blue	Lead-alkali IIa
	<u>970, 1020</u>	Light pink	Lead-alkali IIa
	900, <u>975</u> , 1010, 1130	Yellow	Lead-rich Ib
	900, 975, <u>1010</u> , 1125	Green	Lead-alkali IIb
	<u>970, 1035</u>	White,blue	Lead-alkali IIb
F1341C (porcelain)	<u>980, 1030</u>	Green	Lead-alkali IIb
	1040, 1090	Red	Lead-alkali IIa

### 3.3. Elemental Portable X-Ray Fluorescence Study

Only one artefact, the R975 bottle was analysed by portable XRF. This artefact exhibits a large palette of colours and has a rather flat surface well adapted for on-site measurements. Representative XRF spectra are shown in Figure 11 and elements identified are listed in Table 6.

**Table 6.** The enamels analysed by pXRF (**Major**—minor—*traces*).

Colour	Elements
Blue	<b>Si, Pb</b> —Co, Fe, As, Sn— <i>Ni, Mn</i>
White	<b>Si, Pb</b> —As, Sn— <i>Fe, Ni</i>
Yellow	<b>Si, Pb</b> —As, Cu, Sn— <i>Sb, Fe, Ni</i>
Dark red	<b>Si, Pb</b> —Cu, Sn, As— <i>Fe, Ni</i>
Dark green	<b>Si, Pb</b> —Cu, Sn, As— <i>Fe, Co, Ni, Mn</i>

Analysis of the metal body shows an alloy very rich in copper with traces of iron. A small amount of lead was also detected. All the enamels analysed also contain lead which had been deposited at the metal surface during the firing step due to the high volatility of lead oxide. Arsenic was also well detected in all the enamels with its K<sub>β</sub> peak in the spectrum since the K<sub>α</sub> peak of arsenic with that of lead are superimposed at the same energy; confirmation was carried out with the software simulation and fitting process. Cobalt in association with iron, nickel, manganese was detected in the blue enamelled area. Observation of relatively high level of iron is consistent with the use of Asian cobalt ores but medium level of manganese and arsenic may correspond to mixing of both Asian and European cobalts, as also reported for Asian potters [36–38,76]. Tin was well detected in the yellow enamelled area together with traces of antimony, showing consistency with the Raman data. However,

tin is also present in other coloured areas. In order to understand the presence of cassiterite in the yellow enamel, stratigraphic analysis on the cross-section is required (but this excluded in the absence of fragments coming from similar artefacts). Copper was measured in the dark green enamelled areas. The dark green enamelled area is thus coloured with  $\text{Cu}^{2+}$  ions since its Raman spectrum is characteristic of mainly the glassy phase with the As–O band at  $810\text{ cm}^{-1}$  (Figure 10B a,b, arsenic whitening) in correlation with colouration by dispersing ions in the glassy matrix [2,24]. Some copper was also detected in the dark red area. It was not possible to detect gold ( $\text{L}_{\alpha}$ – $\text{L}_{\beta}$  doublet expected between 9 and 12 keV) but in previous studies, Au has already been detected in painted enamels but in pink coloured areas [33,34]. Cuprite ( $\text{Cu}_2\text{O}$ ) can also be used as a red pigment for colouring glass [80] and also in association or not with copper nanoparticles, as supposed by some authors [81–85]. Thus, coloration with  $\text{Cu}^{\circ}$  nanoparticles is likely.

#### 4. Discussion

According to the results of the analysed artefacts, some differences were observed regarding the glass compositions of *cloisonné* and painted enamels. The variety of composition appears to be larger for *cloisonné* enamels than the painted ones. This is in relation with the fact that the *cloisonné* technique requires many firing steps and firing temperatures since the decor is preserved by the metal foils forming walls and final polishing gives a similar gloss whatever the firing procedure is. By contrast, the technique of painted enamels involves mixing/superimposing different enamels with a limited number of firing cycles, which results in less difference regarding the compositions in order to avoid defects such as cracking or chipping. Interesting results also refer to identification of some pigments/phases in the analysed artefacts, not usually observed for Ming productions (Table 7):

- (i) cassiterite ( $\text{SnO}_2$ ), a very powerful white opacifier;
- (ii) blue glass coloured (partially or totally) with arsenic-rich European cobalt and voluntary use of arsenic as a promoter of opacification, particularly with precipitation of lead arsenate apatite  $[\text{Na}_{1-x-y/2}\text{K}_x\text{Ca}_y\text{Pb}_4(\text{AsO}_4)_3]$ ;
- (iii) colloidal gold ( $\text{Au}^{\circ}$  nanoparticles), called *Cassius' purple* for purple to pink colour of European faience and porcelain enamels and ruby for glass, and characteristic of Qianlong *Famille rose* porcelains;
- (iv) the different pyrochlores ( $\text{A}_{2-x}\text{A}'_x\text{B}_{2-y}\text{B}'_y\text{O}_{7-\delta}$ ), usually called *Naples yellow*, namely the antimony-rich and tin-rich phases and the quaternary complex phase with variable content of zinc, silicon and iron.

Emergence of the significant/wide use of these colouring/opacification technologies and their duration in Europe are rather relatively well established regarding faience, porcelain and Limoges enamels but these studies cannot still allow us to have a precise statistical view at the scale of the decade, which is required to discuss precisely the technology transfer from Europe to Asia. Nevertheless, the following points can be stated.

##### 4.1. Cassiterite Opacification

The first pigment with European origin is cassiterite which had been used as a glass opacifier in the Mediterranean World since the end of the Roman Empire [51]. It was at the origin of prodigious development of faience with the expansion of the Islamic World from the Middle East to Maghrib, Sicilia, al-Andalus (Spain and Portugal) and then Italy, France and Northern Europe. Limoges Renaissance enamels were also based on the use of cassiterite as opacifier [13,26] and cassiterite was the opacifier of many soft-paste porcelain glazes [62,86–88]. The first evidence of the use of cassiterite in China comes from our previous study of *huafalang* porcelains of the Kangxi period [27,35] and here in this work from green- and yellow-painted enamels of F1467 ewers, dating to the middle of the Qianlong reign. These ewers represent the most sophisticated artefacts of our corpus. Cassiterite was also detected in yellow and light green enamels of Kangxi F1448C *cloisonné* tripod, either due to a deliberate addition



or precipitation of excess tin in the composition. This artefact is the oldest of the corpus, dating to the Kangxi reign. These findings are particularly interesting since the technique of cassiterite opacification was not used in China during the Ming Dynasty and was previously detected only in the light green painted enamel of a *huafalang* imperial bowl assigned to be made during the final period of the Kangxi reign (1715-1722) [27]. Detection of cassiterite is significant in terms of confirming the rareness of such a pigment in China and can be considered as a proof of the use of imported pigment(s) (light green directly ready to use or the ingredients to be mixed: 'cobalt ore', lead pyrochlore and cassiterite) in the artefacts mentioned dating as early as the Kangxi period or at least of the use of European recipes.

#### 4.2. Cobalt Ores' Provenance

Blue enamelled areas exhibit the signature of lead arsenate apatite in most of the artefacts analysed, particularly the painted enamels. This phase has also been previously identified in Kangxi *huafalang* (G5250) and *Famille rose* (G3361) porcelains of the 18th century [27,28]. It is also typical of 17th and many 18th century French enamels [24]. Actually, in complex decors where superimposition of layers having different colours (the technique 'preferred' by European potters, see e.g., [62,88]) or mixing of colours (the technique 'preferred' by watch enamellers [24]) is present, it is difficult to prove by means of non-invasive mobile techniques that arsenic had not been deliberately added. In this case, examination of the stratigraphy of cut/polished shards is needed. However, for the artefacts in which the As–O stretching mode was detected, use of imported European cobalt in substitution of 'traditional' Mn- and Fe-rich cobalt ores (characteristic of Asian sources of cobalt), is likely. However, cobalt ores used during the Ming Dynasty were rich in manganese and iron and free of arsenic [27,28,44,76]. Furthermore, these signatures are observed simultaneously with the aforementioned characteristic pigments. Overall, it can be concluded that observation of the As–O signature in the blue enamels as well as the green ones (obtained by dispersing yellow pigment in blue coloured enamel) may be related to the use of cobalt sources with European origin, very rich in arsenic [24,27,89,90] or deliberate addition of arsenic to control the colour [62,88]. Arsenic opacification was not used during the Ming Dynasty although arsenic opacification is a common technology of Italian (and French) 17th-century glassmakers and enamellers [24,29,57,86,87,91]. As deduced from pXRF measurements, mixing pigments of various origins to decrease the cost is likely, a practice carried out in Japan during the 17th and 18th century [36–38]. Thus, the artefacts mentioned above, for which arguments related to the use of European ingredients/recipes have already been well supported, should be particularly considered for future studies.

The Raman signature of the blue enamel of the Kangxi F1448C *cloisonné* tripod mainly shows the signature of the glassy matrix, with no evidence of the use of arsenic-rich cobalt, indicating the use of Asian cobalt as a continuation of the Ming Dynasty practices. This is consistent with the simultaneous use of both traditional and modern techniques in this artefact, according to its dating based on a stylistic approach to the end of Kangxi reign. The situation is less clear for the green enamels where a small band at  $\sim 820\text{ cm}^{-1}$  is consistent with the use of European cobalt. Regarding the R957 dish, the As–O signature is observed in every enamels but in the blue one, shape of the signature is different than the others, being much broader. In that case, search of specific cobalt ore-associated elements such as Ni, Bi, etc. by XRF is required to reach a more definitive conclusion.

Comparison of the Raman spectra of *cloisonné* and painted blue enamels of F1467 ewers (Figure 8A) shows the presence of lead arsenate apatite only in the painted enamel.

#### 4.3. Gold Nanoparticles

Thirdly, the use of metal nanoparticles to obtain red to violet related colours in glass/enamels is also a technique of European origin [29,72–75,92,93]. Combination of fluorescence signature plus that of the As–O bond for dark pink *cloisonné* enamel of F1467.1 ewer (Figure 7A;f) is consistent with the use of Bernard Perrot's technique to prepare colloidal gold. Similar features are observed for the R958 dish (Figure 9D; spectra c,d,d'), the F1429C porcelain teapot (Figure 12C;a,a'), the F1440C porcelain

bottle (Figure 14C;a,b) and the F1341C porcelain vase (Figure 15C;a). XRF measurements are again needed for confirmation but the preliminary results obtained are very consistent.

**Table 7.** Comparison between European and Chinese enamelling technologies, as evidenced from Raman studies (sh: shoulder).

Criteria	Main Raman Peak (cm <sup>-1</sup> )	Artefact	Colour	Europe	Refs.	China	Refs
Colloidal gold	Background peaking at ~500–600 nm	Glass		Perrot' ruby glass mid 17th century	[29]	Not studied	-
		Enamel on glaze	Pink, Red, purple	French soft-paste porcelain and faience, >1st quarter of 18th century	[30,88]	Famille rose (Yongzheng reign 1722-1735)	[28,35]
		Enamel on metal		Blois and Paris watches, >mid-17th century	[24]	Qianlong, F1467	This work
Cassiterite	635	Glass	White	Late Roman Empire Perrot' glass Mid-17th century	[29,51]	Not studied	-
		Enamel on glaze	White yellow	Majolica 15th century,	-	huafalang, Kangxi, G5250 (light green)	[27,35]
		Enamel on metal	White Yellow green	Limoges <16th century Blois and Paris watches, 17th century	[12,13, 24]	Qianlong, F1467	This work
Pb-(Sn,Zn)-Sb pyrochlore	130–140	Glass	Yellow	Roman times Perrot' glass, 17th century	[29,51]	Not studied	-
		(Enamel on) glaze	Yellow Green	Majolica 15th century	[53–61]	Qianlong, F1429C	This work,35
		Enamel on metal	Yellow (Green)	Limoges 16th century Blois and Paris watches, 17th century	[12,13, 24]	Cloisonné: Kangxi reign F1448C Painted: Qianlong G5068 (18th c., Jingdezhen) G3361 (Kangxi?, Jingdezhen)	This work
Pb-(Sn,Zn)-Sb pyrochlore	~140 + 510	Glass	Yellow	Roman times Perrot' glass, 17th century	[29,51]	Not studied	-
		Enamel on glaze	Yellow	French soft-paste porcelain 18th century	[30]	huafalang: Kangxi G5250	[27]
		Enamel on metal	Yellow	Limoges 17th–18th century Blois and Paris watches, 18th century	[12,13, 24]	Cloisonné: Qianlong F1467	This work
As–O signature in blue	815–820	Glass	Blue	Perrot' glass 17th century	[29]	Not studied	-
		Enamel on glaze	Blue	St-Cloud, Paris Soft-paste porcelain 17th century	-	huafalang: Kangxi, G5250	[27,30, 43]
		Enamel on metal	Blue	Limoges 17th century Blois and Paris watches, 17th century	[12,13, 24]	Cloisonné: Qianlong F1501	This work
Lead–arsenic apatite	820, 780	Glass	White	Lattimo (Venice, 17th century)	[57]	Not studied	-
		Enamel on glaze	White	Not observed	-	G3361 (Kangxi?, Jingdezhen)	[27]
		Enamel on metal	White	Limoges 19th century	[12,13]	Qianlong, F1440C	This work

Colloidal gold seems to have been first used for preparation of ruby glass. The first recipes had been given by Andreas Cassius, who described it in his treatise *De Auro* in 1685 [29,72–75,92,93]. In fact, the process of using gold in glass for achieving red-violet colour had already been mentioned by the chemists Johann Glauber and Andreas Libavius a few decades before and the process was also well described in a 15th-century Bolognese manuscript entitled *Segreti per colori* about pigment recipes for the painters [92,93]. Eighteenth-century versions of Antonio Neri's *L'Arte Vetraria* (1612) then describe a similar process for creating red-coloured glass by heating a gold powder until it turned purple. Gold nanoparticles are precipitated with the use of Sn or As ions from a gold solution in regal acid (*aqua regia*) and then incorporation of the obtained powder into the glass precursor before firing [93]. Besides gold, copper nanoparticles are also significant in terms of giving red colour [73], as observed in red Roman mosaic glass tesserae [50,51,94–96] and in the Late Roman Lycurgus cup [97]. Indeed, the large amount of copper also measured in the latter glass artefact suggests that gold contribution to red colour may not be so significant [73]. Geysant [72] reports that in 1668, Bernard Perrot obtained the recipe from Marc Antoine Galaup de Chasteuil, a French alchemist, via his partners in the foundation of Orleans Glass factory, the marquise du Plessis-au-Chat and the Count de Bachimont, future husband of the marquise. Perrot's technology is the first documented use of colloidal gold based on arsenic both by text and elemental and spectroscopical analyses [29,72] and the recent study of 17th–18th century enamelled French watches shows that this technique was being used by watch workshops at the same time [24]. First use in China has only been identified during the Yongzheng reign [27,28] for porcelains and Qianlong reign for metal wares in this study (e.g., F1467).

#### 4.4. Naples Yellow Lead Pyrochlore

The last important conclusion can be drawn from the comparison of the different *Naples yellow* lead pyrochlore pigment compositions used to obtain different yellow and green enamel hues in the artefacts. At least three different types of *Naples yellow* pigment have been identified. All these compositions had commonly been used since the Renaissance for glass, faience and majolica as well as for Limoges enamels [13,26,53–62]. The Raman signature is sensitive both to composition and firing temperature of the pigment used [36–38,54–61]. Briefly, wavenumber of the  $\text{Pb}^{2+}$  strong peak decreases from  $\sim 140$  to  $\sim 125 \text{ cm}^{-1}$  when the temperature increases from  $\sim 800$  °C to 1100 °C. The more common composition based on tin with or without the significant addition of antimony has first been identified in *huafalang* porcelains of the Kangxi period [27] and then in Qianlong (F1341C) painted porcelain. Multicomponent Sb-rich lead pyrochlore has also been observed in Kangxi *huafalang* porcelain (G5250, Table 7) [27] and then in this study, such as Qianlong F1467 *cloisonné* ewers and the F1440C painted enamelled bottle. It is important to note that the first use of European complex lead pyrochlore pigments appears to have been used especially for the green colour. However, achievement of green colours and a variety of green hues is a difficult task for glassmakers and potters before the apparition of chrome-based pigments such as Victoria green [2,98]. Nice spectra with a flat background are observed for green or yellow enamels of the F1467.1, R957 and F1440C artefacts and their specific signature is obvious. This complex composition had really started to be used in the middle of the 17th century in France for enamelled metal wares [24] and the use in the rare artefacts studied is also consistent with the use of pigments or at least recipes from Europe. One of the written evidences regarding the 17th century comes from the miniaturist Valerio Mariani in the treatise *Della Miniatura* published in 1620 [38]. In this manuscript, the recipe for *Giallo de' Vasari* (Potters' yellow) is mentioned, saying that the late Renaissance enamel consisted of a Pb–Sb matrix with no significant amount of potassium. Thus, measuring the flux ratios (Na/K/Bi?) in the enamels will be necessary. Two nice Raman spectra with strong peaks at  $\sim 129$  and  $135 \text{ cm}^{-1}$  were recorded for yellow and yellow-green areas of the oldest F1448C Kangxi tripod and the F1735C high vase with the Qianlong imperial mark, representing the proof of the use of a non-Chinese pigment for outstanding productions.

## 5. Conclusions

This preliminary non-invasive analytical study confirms the capability of on-site Raman analysis with mobile set-up for characterization of very sophisticated enamelled wares produced during the 17th–18th centuries in China. The 17th century marks the period of close contact between Europe and China through the Jesuits hosted at the Chinese Court. The results particularly contribute to identification of the artefacts where ingredients or recipes from Europe for enamelling had been used, whatever the difficulties of the measurement conditions. In the exhibition places, performing Raman measurements is difficult since complete darkening of the environment is not possible and handling of the artefacts is limited. There is also no permission for cleaning the artefact, and time for access to the artefacts is also very limited. Furthermore, this study allows identification of the artefacts which require a more comprehensive study in the future by moving some of them to the laboratory or Synchrotron facilities if we succeed to have support to pay the packing cost and insurance.

On-site Raman microspectrometry appears to be an efficient technique to identify the characteristic phases as the signatures of European technology, by analysing rare and precious items such as those prepared at the Imperial Palace workshop. Artefacts hence identified should then be first analyzed by portable XRF to define precisely, for instance the Sn/Sb/Zn/Fe ratio in green and yellow enamelled areas and Co/Mn/Fe/Ni/As in blue ones. Important information on the flux composition of the enamels is also missing: measuring the flux ratios (Na/K/B?/Bi?) is also required. Preparing polished sections of the artefacts is the only method to get access to the stratigraphy of different glaze and enamel layers and allow the spot analysis of individual grains. In fact, shards of artefacts produced under the Qianlong reign or the end of Ming Dynasty are available (see e.g., [28,36–38,43,44,76]), on the contrary shards produced during the time of technology transfer, namely the end of the Kangxi reign and the short Yongzheng reigns are very rare [27]. A view of the microstructure on these sections is required to understand all the enamelling procedure. For instance, it was recently pointed out [99] that lead-based enamels of the Kangxi reign painted enamelled *falang* porcelains prepared at the Imperial Palace workshop were deposited directly on the body, not on the glazed body. This can be interpreted as proof of the link with the enamelling procedure of majolica as already recognized in the study of the first enamelled porcelain produced at Arita (Japan) during the first half of the 17th century under the guidance of Portuguese missionaries [36–38].

Collecting information on the relationship between Jesuits hosted in Japan and those present at the Kangxi Court could also provide complementary data. More information on the links between different types of craftsmen (potter, glassmaker, enameller) is also needed. In France, it is known that links between enamellers on watches (Blois and Paris workshops), those from Limoges workshops and glass workshops (Perrot, Orléans) were active during the 17th century and early 18th century. We also need more information between the links between these workshops. The craftsmen who contributed to the founding and activity of Imperial Palace workshops are Father J.F. Gerbillon (1654–1707, Chinese name *Zhang Chen*) and J. Bouvet (1656–1730, *Bai Jin*) who developed a chemistry workshop, while J.B. Gravereau (1690–1762, *Chen Zhongxing*), F.J. Castiglione (1688–1766, Italian, *Lang Shining*) and P.M. Grimaldi (1618–1686, Italian, *Min Mingwo*). These people were also requested to work on painted enamel décors. Information about the prior activity of these Jesuits is required to document their links and skills regarding the different steps involved in the production of painted enamelled artefacts, ingredients selection and preparation, painting in green state, firing, design of kiln, etc.

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