



HAL
open science

Association between lung function decline and obstructive sleep apnoea: the ALEC study

Össur Ingi Emilsson, Fredrik Sundbom, Mirjam Ljunggren, Bryndis Benediktsdottir, Judith Garcia-Aymerich, Dinh Son Bui, Déborah Jarvis, Anna-Carin Olin, Karl A Franklin, Pascal Demoly, et al.

► **To cite this version:**

Össur Ingi Emilsson, Fredrik Sundbom, Mirjam Ljunggren, Bryndis Benediktsdottir, Judith Garcia-Aymerich, et al.. Association between lung function decline and obstructive sleep apnoea: the ALEC study. *Sleep and Breathing*, inPress, 10.1007/s11325-020-02086-1 . hal-02934285

HAL Id: hal-02934285

<https://hal.sorbonne-universite.fr/hal-02934285>

Submitted on 9 Sep 2020

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



Association between lung function decline and obstructive sleep apnoea: the ALEC study

Össur Ingi Emilsson^{1,2} · Fredrik Sundbom^{1,2} · Mirjam Ljunggren^{1,2} · Bryndis Benediktsdottir^{3,4} · Judith Garcia-Aymerich^{5,6,7} · Dinh Son Bui⁸ · Deborah Jarvis⁹ · Anna-Carin Olin¹⁰ · Karl A. Franklin¹¹ · Pascal Demoly^{12,13} · Eva Lindberg^{1,2} · Christer Janson^{1,2} · Thor Aspelund¹⁴ · Thorarinn Gislason^{3,4}

Received: 24 January 2020 / Revised: 31 March 2020 / Accepted: 13 April 2020

© The Author(s) 2020

Abstract

Purpose To study changes in lung function among individuals with a risk of obstructive sleep apnoea (OSA), and if asthma affected this relationship.

Methods We used data from the European Community Respiratory Health Survey II and III, a multicentre general population study. Participants answered questionnaires and performed spirometry at baseline and 10-year follow-up ($n = 4,329$ attended both visits). Subjects with high risk for OSA were identified from the multivariable apnoea prediction (MAP) index, calculated from BMI, age, gender, and OSA symptoms at follow-up. Asthma was defined as having doctor's diagnosed asthma at follow-up. Primary outcomes were changes in forced expiratory volume in 1 s (FEV1) and forced vital capacity (FVC) from baseline to follow-up.

Results Among 5108 participants at follow-up, 991 (19%) had a high risk of OSA based on the MAP index. Participants with high OSA risk more often had wheeze, cough, chest tightness, and breathlessness at follow-up than those with low OSA risk. Lung function declined more rapidly in subjects with high OSA risk (low vs high OSA risk [mean \pm SD]: FEV1 = -41.3 ± 24.3

The ALEC study is funded by the European Union's Horizon 2020 Research and Innovation programme under grant agreement no. 633212. This paper has not been published elsewhere and is not under consideration by another journal.

Electronic supplementary material The online version of this article (<https://doi.org/10.1007/s11325-020-02086-1>) contains supplementary material, which is available to authorized users.

✉ Össur Ingi Emilsson
ossur.emilsson@medsci.uu.se

Fredrik Sundbom
fredrik.sundbom@medsci.uu.se

Mirjam Ljunggren
mirjam.ljunggren@medsci.uu.se

Bryndis Benediktsdottir
brynben@hi.is

Judith Garcia-Aymerich
judith.garcia@isglobal.org

Dinh Son Bui
dinh.bui@unimelb.edu.au

Deborah Jarvis
d.jarvis@imperial.ac.uk

Anna-Carin Olin
anna-carin.olin@amm.gu.se

Karl A. Franklin
karl.franklin@umu.se

Pascal Demoly
pascal.demoly@inserm.fr

Eva Lindberg
eva.lindberg@medsci.uu.se

Christer Janson
christer.janson@medsci.uu.se

Thor Aspelund
thor@hi.is

Thorarinn Gislason
thorarig@landspitali.is

Extended author information available on the last page of the article

ml/year vs -50.8 ± 30.1 ml/year; FVC = -30.5 ± 31.2 ml/year vs -45.2 ± 36.3 ml/year). Lung function decline was primarily associated with higher BMI and OSA symptoms. OSA symptoms had a stronger association with lung function decline among asthmatics, compared to non-asthmatics.

Conclusion In the general population, a high probability of obstructive sleep apnoea was related to faster lung function decline in the previous decade. This was driven by a higher BMI and more OSA symptoms among these subjects. The association between OSA symptoms and lung function decline was stronger among asthmatics.

Keywords Sleep apnoea · Lung function · Lung function decline · Asthma

Abbreviations

AHI	Apnoea-hypopnoea index
ALEC	Ageing Lungs in European Cohorts
ECRHS	European Community Respiratory Health Survey
FEV ₁	Forced expiratory volume in 1 s
FVC	Forced vital capacity
MAP	Multivariable apnoea prediction
OSA	Obstructive sleep apnoea
ODI	Oxygen desaturation index

Study rationale: Data on lung function changes in obstructive sleep apnoea (OSA) patients is lacking. Co-morbid asthma might affect such an association. This multi-centre general population study with 10 years of follow-up ($n = 4329$) sought an association between the high risk of OSA and lung function decline.

Study impact: A clinical OSA risk score identified participants that declined more rapidly in lung function than others. This effect seemed more pronounced among asthmatics. This finding is potentially clinically relevant both for OSA patients and for asthma patients with comorbid OSA but needs further prospective validation.

Introduction

Sleep-disordered breathing, ranging from habitual snoring to obstructive sleep apnoea (OSA), is increasingly being recognised as a common problem in the general population [1]. When OSA is accompanied by daytime sleepiness, the estimated prevalence of 6% among males and 4% among females has been reported, but the numbers are higher when only based on the number of breathing cessations during sleep [1, 2]. Between 7 and 23% in the adult population snore habitually [3, 4]. Habitual snoring and breathing cessations commonly co-occur but can also appear separately and do not necessarily have the same clinical implications [5–8].

Habitual snoring has recently been described to be associated with a decline in lung function, indicating that OSA may play a role in lung function decline [9]. However, even though snoring and OSA are linked, not all habitual snorers have OSA [5]. The precise roles of snoring, on the one hand, and

apnoeas, on the other, are therefore unknown in relation to lung function decline.

OSA is associated with respiratory symptoms and asthma, even though the nature or directionality of the association is not established [10–13]. The combination of OSA and asthma may be detrimental. For example, subjects with the combination of OSA and asthma have a lower oxygen saturation during night than subjects with either OSA or asthma alone [14]. Additionally, a small retrospective study found an association between OSA and lung function decline among asthmatics [15]. Otherwise, the long-term effects of OSA on lung function are unknown [10, 11].

A whole-night sleep study is needed to diagnose OSA. However, sleep studies are difficult to perform in large scale. The multivariable apnoea prediction (MAP) index is a validated clinical instrument to identify subjects with a high risk of OSA [16, 17]. It is simple to apply and gives a score between 0 and 1, where a score above 0.5 is considered a high risk of OSA. A score above 0.5 is reported to have a sensitivity of 88% and a specificity of 55% in a sleep centre patient population [16].

Our aim was to study in a long-term follow-up study of a large, general population-based, middle-aged cohort whether a high risk of OSA, assessed by the MAP index, is associated with a faster lung function decline and, if so, if a diagnosis of asthma affects such an association.

Methods

Study cohort

This study is a part of the larger Ageing Lungs in European Cohorts (ALEC) study (www.alecstudy.org), which is a research collaboration aimed to improve the knowledge on risk factors for lung diseases and lung function decline. For the current study, we used material from the European Community Respiratory Health Survey (ECRHS) II and ECRHS III, performed in 2000 and 2010. The ECRHS is a prospective, international, population-based cohort study [18, 19]. In total, 5833 participated in ECRHS III. Data for calculating MAP was available on 5108 (88%) participants from 22 European centres and one centre in Melbourne, Australia.

Thereof, 4329 (85%) participants with a MAP index had also attended ECRHS II.

Participants answered the same questions on respiratory symptoms, diagnoses and comorbidities at both baseline and follow-up. They also performed a spirometry on both visits, but reversibility testing was only performed in ECRHS III. Data for the MAP index was only available in ECRHS III. A sleep study was performed only in Iceland [2]. From the sleep studies, we calculated the apnoea-hypopnoea index (AHI) and the oxygen desaturation index (ODI) (see the [Appendix](#)).

Due to a significant dropout of participants over the 20-year study period from ECRHS I to ECRHS III, the possibility of a selection bias has been studied previously. Slight differences were found between long-term participants and participants lost to follow-up, but generally of minimal importance [20].

MAP index

The MAP index identifies subjects who are at risk of OSA [16, 17]. In short, the index is calculated based on four factors: age, gender, BMI and a composite score from reported symptoms such as loud snoring, apnoeas and choking during sleep (hereafter ‘OSA symptom score’, described below). The final calculated MAP index has a range from 0 to 1.

The range of the OSA symptom score is between 0 and 4. It is calculated as an average score from three questions: “Have you been told that you stop breathing or have irregular breathing while you are sleeping?”; “Have you been woken up all of a sudden with a choking sensation or not being able to breathe?”; “Have you been told that you snore loudly or that your snoring disturbs other people?” The participants reported if they had these symptoms on average in the past 12 months: ‘Never’, ‘Seldom’, ‘Sometimes’, ‘Frequently’ and ‘Every time’. A higher symptom score associates with a higher prevalence of OSA, even though no cut-off value has been studied for sensitivity and specificity of OSA [16].

Data for calculating the MAP index was only available at ECRHS III. Participants with a MAP index of 0.5 or higher were classed as ‘high OSA risk’, while participants with a score below 0.5 were classed as ‘low OSA risk’ [16].

Asthma

Participants were defined as having asthma if they reported having a doctor’s diagnosed asthma at follow-up [21]. For consistency, asthma was defined at ECRHS III, similar to the MAP index. Current asthma was defined as currently using asthma medication and/or reported asthma exacerbation

in the previous 12 months, in addition to having a doctor’s diagnosed asthma.

Spirometry

Lung function was measured similarly by spirometry on both visits [22]. All subjects made at least five forced expiratory manoeuvres, and maximal values with at least 150 ml reproducibility were used for analysis. Predicted values were calculated using reference equations from the Global Lung Function Initiative (GLI) [23].

In ECRHS III only, forced expiratory volume in 1 s (FEV_1) and forced vital capacity (FVC) were also recorded post bronchodilation with 200 µg salbutamol.

Change in lung function from ECRHS II to ECRHS III was calculated both as change in % of predicted between the surveys, as well as ml/year using the actual time between the two spirometries for calculation.

Statistical analysis

The statistical program Stata (version 14.2; Stata Inc., USA) was used for statistical analysis. For cross-sectional analysis of MAP index associations with symptoms and lung function, chi-square test, linear regression and *t* test were used as appropriate. Before performing linear regression and *t* test, data was checked for normal distribution.

The unadjusted analysis of change in lung function was performed with *t* test. The adjusted analysis was performed using a mixed linear regression model, with centre as a random effect. The primary effect variable was the MAP index, and the outcome variable was change in lung function parameters between visits, while adjusting for baseline value.

We evaluated the effects of each factor of the MAP index, using a single mixed regression model to evaluate all factors simultaneously. We evaluated the association pattern between the OSA symptom score and changes in lung function and found it to be linear, and therefore analysed the OSA symptom score as a continuous variable (see [Appendix](#), [Figures A2](#) and [A3](#)). The results were then stratified by asthma status.

As a sensitivity analysis, three modifications of the analysis were performed. First, some calculations were rerun only on those with a change in BMI of no more than 2 kg/m² between study visits, as increasing BMI can significantly affect lung function and would likely have significantly changed the MAP index score between visits [24]. Second, we exchanged ‘asthma’ for ‘current asthma’ in calculations on lung function decline. Third, we added AHI or ODI from sleep studies to the mixed regression models on MAP index factors and lung function decline ([Appendix](#)).

Table 1 Population characteristics at ECRHS III

	Low OSA risk (<i>n</i> = 4117)	High OSA risk (<i>n</i> = 991)
Age, mean ± SD	53.4 ± 7.0	57.2 ± 6.4
Male gender, %	39	87
BMI, median (IQR)	25.5 (23.2–28.1)	31.2 (28.8–35.0)
Doctor's diagnosed asthma, %	18	17
Smoking status		
Never smoker, %	44	30
Former smoker, %	39	52
Current smoker, %	17	18
OSA symptoms		
Apnoeas (at least 'sometimes'), %	8	42
Choking (at least 'sometimes'), %	4	16
Snoring (at least 'frequently'), %	10	43
Respiratory symptoms		
Wheeze, %	22	35
Nocturnal chest tightness, %	13	19
Breathlessness at rest, %	7	9
Breathlessness after effort, %	20	32
Nocturnal breathlessness, %	7	15
Woken by cough, %	32	33
Woken by cough ≥ 1/month, %	12	19
Morning cough, %	10	18
Morning phlegm, %	12	21

Data for participants in both ECRHS II and ECRHS III (*n* = 4329) was not significantly different (data not shown)

Results

Population characteristics

Among the 5108 participants, 991 (19.4%) were classified as having a high risk of OSA. Participants with high OSA risk were older, heavier and more often male, as expected (Table 1). They also more often had a history of smoking, although current smoking status did not differ. Doctor's diagnosed asthma had a prevalence of 17%. Participants with a high risk of OSA more often reported respiratory symptoms, i.e. wheezing, breathlessness, cough and phlegm (Table 1).

Validity of the MAP index

In the Icelandic population that underwent a whole-night sleep study [2], the sensitivity and specificity of the MAP index > 0.5 to identify moderate sleep apnoea, i.e. an AHI of > 15, were 58% and 84%, respectively (see the Appendix).

Cross-sectional lung function

Participants with high OSA risk had a significantly lower lung function at follow-up (Table 2). The spirometry showed lower lung volumes among those with a high risk of OSA, but also

slightly more obstruction among males. Reversibility of FEV₁ was similar in both groups.

Longitudinal lung function

OSA risk and lung function decline

High OSA risk at follow-up was associated with a faster decline in lung function over the previous decade (Table 3). After adjusting for pack-years, centre and baseline value, a high OSA risk was still significantly associated with a faster decline in lung function. The difference between the groups in lung function decline was more pronounced for FVC than for FEV₁. The decrease in FEV₁/FVC was consequently smaller among those with a high OSA risk. When stratified by asthma, the faster decline in participants with high OSA risk was found in both subjects with and without asthma (Table 4).

MAP index factors, asthma and lung function decline

To further analyse which elements of the OSA risk score (MAP index) that were most important in these associations, we replaced the MAP-based OSA risk categories in the model for its specific factors, that is age, gender, BMI and symptom index. We found that after

Table 2 Lung function data at ECRHS III (unadjusted), gender-stratified because of the unequal gender distribution between the study groups (low OSA risk: $n = 4117$; high OSA risk: $n = 991$)

	Males			Females		
	Spirometry data (mean \pm SD)		<i>p</i> value	Spirometry data (mean \pm SD)		<i>p</i> value
	Low OSA risk ($n = 1592$)	High OSA risk ($n = 859$)		Low OSA risk ($n = 2525$)	High OSA risk ($n = 132$)	
FEV ₁ pre (L)	3.66 \pm 0.67	3.28 \pm 0.65	< 0.001	2.58 \pm 0.49	2.29 \pm 0.46	< 0.001
FEV ₁ pre (% pred)	95.8 \pm 14.3	91.1 \pm 15.7	< 0.001	95.4 \pm 14.5	89.5 \pm 13.7	< 0.001
FVC pre (L)	4.86 \pm 0.81	4.38 \pm 0.77	< 0.001	3.40 \pm 0.58	2.97 \pm 0.56	< 0.001
FVC pre (% pred)	99.9 \pm 13.0	94.7 \pm 13.6	< 0.001	100.1 \pm 13.4	92.2 \pm 12.3	< 0.001
FEV ₁ /FVC pre (%)	75.4 \pm 6.6	74.8 \pm 6.9	0.04	75.9 \pm 6.5	77.0 \pm 5.8	0.05
Reversibility of FEV ₁ (%)	2.57 \pm 4.65	2.72 \pm 5.13	0.50	2.95 \pm 5.27	2.83 \pm 5.72	0.81

Statistically significant differences ($p < 0.05$) indicated by italics

adjusting for confounding factors, a higher OSA symptom score (based on nocturnal snoring, apnoea and a choking sensation) and an increase in BMI independently associated with a more rapid decline in percent predicted FEV₁ and FVC over time (Fig. 1). Additionally, a higher BMI at follow-up associated with a more rapid decline in percent predicted FVC, but not in FEV₁.

When the results were stratified by asthma, we found that the association between the OSA symptom score and decline in percent predicted FEV₁ was only significant among those with asthma (Fig. 2). We also found the association between the OSA symptom score and decline in percent predicted FVC to be somewhat more pronounced among those with asthma (Fig. 2). An interaction analysis did, however, not find a statistically significant interaction between asthma and the OSA symptom score ($p = 0.24$ and $p = 0.35$ for FEV₁ and FVC, respectively).

Because BMI is a significant confounder in the association between OSA symptoms and lung function changes, we performed further analysis to minimise the confounding effects of large changes in weight. We re-did the analysis using only those with a relatively

unchanged BMI between visits (change in BMI less than 2 kg/m², $n = 2247$). This group had a mean BMI at follow-up of 25.6 kg/m² (without vs with asthma (mean \pm SD): 25.6 \pm 3.8 kg/m² vs 25.7 \pm 4.0 kg/m²). The results showed only those with asthma had a significant association between the OSA symptom score and decline in lung function (see the Appendix, Figure A4), and an additive interaction term between the OSA symptom score and asthma ($p = 0.01$ and $p = 0.09$ for FEV₁ and FVC, respectively).

Sensitivity analysis A sensitivity analysis looking at current asthma did not change the pattern of the results on changes in lung function in relation to the MAP factors, although the association between the OSA symptom score and lung function became non-significant.

Using data from the Icelandic sleep study subgroup, we added ODI and AHI to the model above. The associations between an elevated OSA symptom score and a decline in FEV₁ and FVC remained significant, only among asthmatics. The ODI and AHI were not independently associated with lung function decline (see the Appendix, Tables A1a, A1b, A2a and A2b).

Table 3 Lung function decline between ECRHS II and ECRHS III by OSA risk (MAP index > 0.5)

	Spirometry data (mean \pm SD)		<i>p</i> value
	Low OSA risk ($n = 3475$)	High OSA risk ($n = 854$)	
Between visits 2 and 3			
Change in FEV ₁ (ml/year)	-41.3 \pm 24.3	-50.8 \pm 30.1	< 0.001
Difference in % predicted FEV ₁ *	-4.50 \pm 8.38	-5.80 \pm 9.50	< 0.001
Change in FVC (ml/year)	-30.5 \pm 31.2	-45.2 \pm 36.3	< 0.001
Difference in % predicted FVC*	-0.70 \pm 8.71	-3.01 \pm 9.04	< 0.001
Difference in FEV ₁ /FVC*	-4.93 \pm 4.68	-4.24 \pm 4.66	< 0.001

Statistically significant differences ($p < 0.05$) indicated by italics

*Difference in percentage points

Table 4 Difference between high and low OSA risks in lung function changes

	Spirometry data, coefficient (95% CI)	
	High vs low OSA risk (unadjusted)	High vs low OSA risk (adjusted)
Without asthma (<i>n</i> = 4202)		
Change in FEV ₁ (ml/year)	<i>-10.4 (-12.5; -8.2)</i>	-5.0 (-7.1; -2.9)
Difference in % predicted FEV ₁ *	<i>-1.46 (-2.18; -0.74)</i>	-0.40 (-1.12; 0.33)
Change in FVC (ml/year)	<i>-15.6 (-18.4; -12.7)</i>	-11.20 (-14.1; -8.3)
Difference in % predicted FVC*	<i>-2.46 (-3.23; -1.69)</i>	-1.67 (-2.45; -0.89)
Difference in FEV ₁ /FVC*	<i>0.56 (0.16; 0.97)</i>	0.83 (0.43; 1.23)
With asthma (<i>n</i> = 894)		
Change in FEV ₁ (ml/year)	-4.9 (-10.5; 0.7)	-2.11 (-7.6; 3.3)
Difference in % predicted FEV ₁ *	-0.54 (-2.53; 1.45)	0.09 (-1.96; 2.13)
Change in FVC (ml/year)	<i>-10.7 (-17.3; -4.1)</i>	-7.6 (-14.2; -1.0)
Difference in % predicted FVC*	-1.70 (-3.59; 0.19)	-0.94 (-2.84; 0.96)
Difference in FEV ₁ /FVC*	<i>1.38 (0.27; 2.49)</i>	1.40 (0.30; 2.50)

Unadjusted values and values adjusted for pack-years, centre and baseline spirometry value. Separate calculations by asthma status are presented

Statistically significant differences ($p < 0.05$) indicated by italics

*Difference in percentage points

Discussion

In this study, we found that having a high risk of obstructive sleep apnoea (OSA), as measured by the minimal apnoea prediction (MAP) index, was associated with more respiratory symptoms and poorer spirometry results. We also found that a high OSA risk associated with a more rapid decline in lung function in the past 10 years. This effect was mostly driven by BMI and OSA symptoms, which constitute a part of the OSA risk score (MAP index). When stratified by asthma, we found that the effect of OSA symptoms on lung function decline was more prominent among asthmatics.

Lung function decline

A high risk of OSA, defined by the MAP index, was associated with a generally more restrictive spirometry pattern with significantly lower FEV₁ and FVC but a similar FEV₁/FVC ratio. This may, in part, reflect effects of higher BMI. Nonetheless, a study with BMI-matched participants did also find a significant association between more severe OSA and a lower lung function [25]. We also found that lung function had declined more rapidly in the previous 10 years among those with a positive MAP index. This effect on lung function was more prominent among non-asthmatics.

Fig. 1 Multivariate mixed regression model on change in percent predicted of FEV₁ and FVC between visits, showing coefficients with 50% and 95% confidence intervals. Adjusted for pack-years, baseline spirometry value and centre. Note that variables differ in scale (*categorical*, gender; *ordinal*, OSA symptom score; *continuous*, BMI, age), affecting the interpretation of each coefficient. OSA, obstructive sleep apnoea; % pred, percent predicted

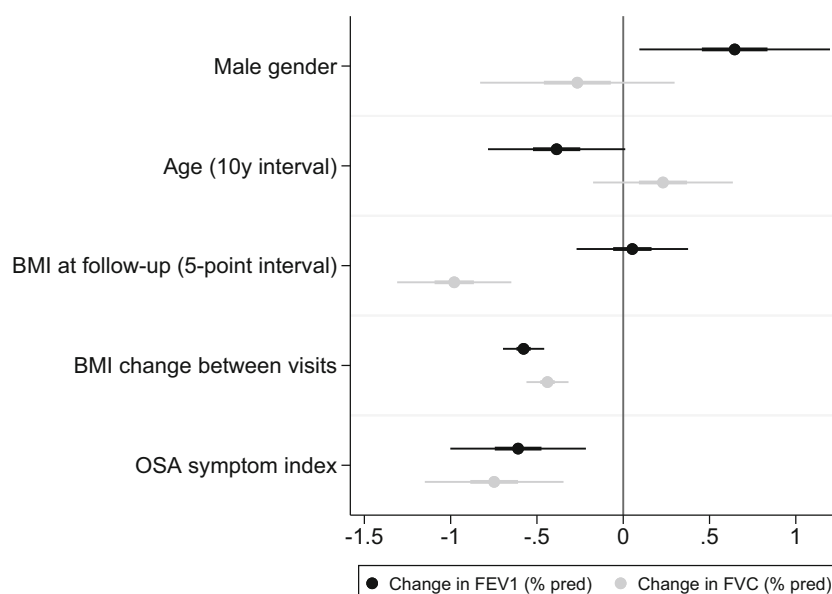
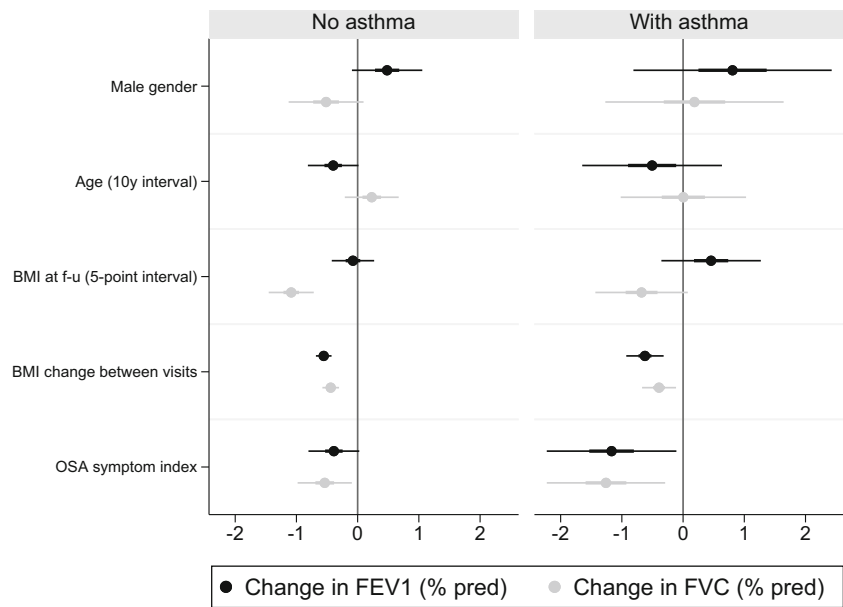


Fig. 2 Multivariate mixed regression model on change in percent predicted of FEV₁ and FVC between visits, showing coefficients with 50% and 95% confidence intervals. Adjusted for pack-years, baseline spirometry value and centre. Subgraphs by doctor's diagnosed asthma. Note that variables differ in scale (categorical, gender; ordinal, OSA symptom score; continuous, BMI, age), affecting the interpretation of each coefficient. OSA, obstructive sleep apnoea; f-u, follow-up; % pred, percent predicted



BMI is an important confounder in the association between OSA risk and lung function, but as BMI constitutes a big part of the MAP index, a simple adjustment for BMI was not statistically appropriate. Instead, we further analysed which factors composing the MAP index (gender, age, BMI and OSA symptom score) were most important for the found changes in lung function. An increase in BMI over the study period was associated with a steeper decline in FEV₁ and FVC and a higher BMI at follow-up associated with a steeper decline in FVC, independent of asthma status. A similar decline in lung function with increasing BMI has been described previously [24]. Independent of the BMI effects, we also found the OSA symptom score to associate with a steeper decline in FEV₁ and FVC.

We have recently described that snoring is associated with a significant decline in lung function [9], and this study further validates that finding by also looking at reported nocturnal choking and apnoeas, other common OSA symptoms. We even found a negative linear relationship between the OSA symptom score and the decline in lung function, further supporting the relevance of this finding. Importantly, this effect was not modified by adding apnoea-hypopnoea index (AHI) to the model in our subset of participants with sleep studies, suggesting that the symptoms themselves are more important in this association than the classic AHI measurement.

Snoring and apnoeas are both associated with an increased respiratory effort during sleep [26]. Vibrations caused by snoring may have detrimental effects on structures in the proximity of the upper airways. For example, heavy snorers have more carotid artery stenosis than mild snorers, irrespective of their AHI, but with no differences in their femoral arteries [6, 27].

We hypothesise symptoms of OSA may also cause damage to the airways through similar mechanisms of vibration and increased respiratory effort. However, whether the decline in lung function precedes the development of OSA is also debatable. The role of systemic inflammation and hypoxia has also been proposed but could not be assessed in the current study [28]. More prospective studies are therefore needed to better study the causal association between OSA and lung function decline.

Importantly, the association between the OSA symptom score and lung function decline was not explained by weight changes and was more pronounced among those with comorbid asthma. Among participants with stable weight over the study period, the association between the OSA symptom score and lung function decline was only significant among asthmatics. This contrasts our finding of a decreased association between the MAP index and lung function decline among asthmatics. A likely explanation for this discrepancy is that other factors constituting the MAP index, such as BMI, had a lesser effect on lung function decline among asthmatics, even though BMI at follow-up and change in BMI between visits was similar between asthmatics and non-asthmatics. Therefore, the net effect of the MAP index was less among asthmatics. This complex interplay between OSA symptoms, asthma and lung function needs to be studied further.

Implications

The association between OSA and respiratory symptoms is well established [12, 29]. This study adds evidence of an association between elevated OSA risk and a decline

in lung function in the previous 10 years, and that the MAP index may be useful to identify these subjects. Two factors were most important in this context: BMI and OSA symptoms. When adding classic OSA parameters from a subgroup undergoing sleep study (AHI and ODI), the model was not improved, indicating that these measurements may not fully capture the negative respiratory effects of sleep-disordered breathing. Indeed, numerous studies have shown the AHI (a usual OSA diagnostic index) does not encompass the diversity of OSA [7, 8, 30, 31]. For example, one cluster analysis study found that certain OSA phenotypes were a stronger predictor of adverse cardiovascular events than the AHI itself [32]. Our finding of an association between OSA symptoms and lung function changes, irrespective of AHI, indicates that better markers of OSA need to be sought and further support the importance of different clinical OSA phenotypes.

The association between OSA symptoms and lung function decline was somewhat more prominent among asthmatics. This supports current asthma guidelines that recommend screening for OSA in selected patients.

Strengths and limitations

The main strengths of this study are the large, well-defined, general population, with high-quality spirometry and a 10-year follow-up time, and a subgroup undergoing a whole-night sleep study. However, this study also had some weaknesses. The major limitation was we could not calculate the MAP index at baseline, and we were therefore bound to study changes in lung function prior to the MAP index calculation. This weakens any conclusions about causality, and we cannot exclude that the cause and effect relationship could go in the opposite direction (i.e. a more rapid decline in lung function increasing the risk of a positive MAP index). Second, we did not have data to analyse asthma severity in detail, which may be a confounder for lung function decline among asthmatics. However, we performed an analysis adjusting for asthma exacerbations in the previous year as a surrogate marker and found that this did not change the pattern of the results (data not shown). Third, we only had participants from one centre undergoing objective sleep measurements and therefore had to use a surrogate measure for OSA. Our surrogate measure, MAP index, identified in a subcohort roughly 58% of participants with an AHI above 15; however, 57% of those with a positive MAP index had an AHI below 15. Therefore, many of those with a positive MAP index in our cohort may not have OSA. On the other hand, they have other signs of sleep-disordered breathing such as snoring and choking, which may be detrimental by itself. As we found a stronger association between these

symptoms and lung function decline among subjects with asthma, these symptoms may be more associated to an asthma phenotype with a more rapid lung function decline. We also do not know if, in the OSA risk group, there is a difference in lung function outcome between those who ultimately develop OSA and those who do not.

Conclusion

In this large general population-based multicentre study, we found that participants with a high probability of OSA also had an increased prevalence of respiratory symptoms. A high risk of OSA was related to faster lung function decline in the previous decade, and this relationship was more marked in people with asthma.

Availability of data and materials The dataset used in this analysis is held and managed by the Department of Medical Sciences, Uppsala University, Uppsala, Sweden. Data cannot be made freely available as they are subject to secrecy in accordance with the Swedish Public Access to Information and Secrecy Act, but they can be made available to researchers upon request (subject to a review of secrecy). Requests for data can be sent to the Unit for Respiratory, Allergy and Sleep Research at the University Hospital in Uppsala (lungforskning@akademiska.se).

Authors' contributions TG, CJ and DJ contributed significantly to the conception of the ECRHS study. ÖE and TA performed the statistical analysis. All authors participated in the discussions for the development of the study and contributed to the data interpretation. All authors read, contributed to and approved the final manuscript.

Funding information Open access funding provided by Uppsala University. The ALEC study is funded by the European Union's Horizon 2020 Research and Innovation programme under grant agreement no. 633212.

Compliance with ethical standards

Ethics approval and consent to participate The study was approved by all local ethical committees, and all participants signed an informed consent to participate.

Competing interests The authors declare that they have no competing interests.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit <http://creativecommons.org/licenses/by/4.0/>.

References

- Franklin KA, Lindberg E (2015) Obstructive sleep apnea is a common disorder in the population—a review on the epidemiology of sleep apnea. *J Thorac Dis* 7(8):1311–1322
- Arnardottir ES, Bjornsdottir E, Olafsdottir KA, Benediktsdottir B, Gislason T (2016) Obstructive sleep apnoea in the general population: highly prevalent but minimal symptoms. *Eur Respir J* 47(1):194–202
- Svensson M, Lindberg E, Naessen T, Janson C (2006) Risk factors associated with snoring in women with special emphasis on body mass index: a population-based study. *Chest*. 129(4):933–941
- Torzsa P, Keszei A, Kalabay L, Vamos EP, Zoller R, Mucsi I et al (2011) Socio-demographic characteristics, health behaviour, comorbidity and accidents in snorers: a population survey. *Sleep Breath Schlaf Atmun* 15(4):809–818
- Lindberg E, Gislason T (2000) Epidemiology of sleep-related obstructive breathing. *Sleep Med Rev* 4(5):411–433
- Scheffler P, Pang KP, Rotenberg BW (2017) Should patients with primary snoring be screened for carotid artery stenosis? *Laryngoscope*. 127(12):2687–2688
- Pien GW, Ye L, Keenan BT, Maislin G, Bjornsdottir E, Arnardottir ES et al (2018) Changing faces of obstructive sleep apnea: treatment effects by cluster designation in the Icelandic sleep apnea cohort. *Sleep* 41(3):zszx201
- Svensson M, Franklin KA, Theorell-Haglow J, Lindberg E (2008) Daytime sleepiness relates to snoring independent of the apnea-hypopnea index in women from the general population. *Chest*. 134(5):919–924
- Emilsson OI, Hagg SA, Lindberg E, Franklin KA, Toren K, Benediktsdottir B et al (2019) Snoring and nocturnal reflux: association with lung function decline and respiratory symptoms. *ERJ Open Res* 5(2):00010-2019
- Kavanagh J, Jackson DJ, Kent BD (2018) Sleep and asthma. *Curr Opin Pulm Med* 24(6):569–573
- Julien JY, Martin JG, Ernst P, Olivenstein R, Hamid Q, Lemiere C et al (2009) Prevalence of obstructive sleep apnea-hypopnea in severe versus moderate asthma. *J Allergy Clin Immunol* 124(2):371–376
- Chan K, Ing A, Birring SS (2015) Cough in obstructive sleep apnoea. *Pulm Pharmacol Ther* 35:129–131
- Prasad B, Nyenhuis SM, Imayama I, Siddiqi A, Teodorescu M (2019) Asthma and obstructive sleep apnea overlap: what has the evidence taught us? *Am J Resp Crit Care*. <https://doi.org/10.1164/rccm.201810-1838TR>
- Sundbom F, Janson C, Malinowski A, Lindberg E (2018) Effects of coexisting asthma and obstructive sleep apnea on sleep architecture, oxygen saturation, and systemic inflammation in women. *J Clin Sleep Med* 14(2):253–259
- Wang TY, Lo YL, Lin SM, Huang CD, Chung FT, Lin HC, Wang CH, Kuo HP (2017) Obstructive sleep apnoea accelerates FEV1 decline in asthmatic patients. *BMC Pulm Med* 17(1):55
- Maislin G, Pack AI, Kribbs NB, Smith PL, Schwartz AR, Kline LR, Schwab RJ, Dinges DF (1995) A survey screen for prediction of apnea. *Sleep*. 18(3):158–166
- Fedson AC, Pack AI, Gislason T (2012) Frequently used sleep questionnaires in epidemiological and genetic research for obstructive sleep apnea: a review. *Sleep Med Rev* 16(6):529–537
- Gislason T, Janson C, Vermeire P, Plaschke P, Bjornsson E, Gislason D et al (2002) Respiratory symptoms and nocturnal gastroesophageal reflux: a population-based study of young adults in three European countries. *Chest*. 121(1):158–163
- Janson C, De Backer W, Gislason T, Plaschke P, Bjornsson E, Hetta J et al (1996) Increased prevalence of sleep disturbances and daytime sleepiness in subjects with bronchial asthma: a population study of young adults in three European countries. *Eur Respir J* 9(10):2132–2138
- Johannessen A, Verlato G, Benediktsdottir B, Forsberg B, Franklin K, Gislason T et al (2014) Longterm follow-up in European respiratory health studies—patterns and implications. *BMC Pul Med* 14:63
- Flexeder C, Zock JP, Jarvis D, Verlato G, Olivieri M, Benke G, Abramson MJ, Sigsgaard T, Svanes C, Torén K, Nowak D, Jögi R, Martinez-Moratalla J, Demoly P, Janson C, Gislason T, Bono R, Holm M, Franklin KA, Garcia-Aymerich J, Siroux V, Leynaert B, Dorado Arenas S, Corsico AG, Pereira-Vega A, Probst-Hensch N, Urrutia Landa I, Schulz H, Heinrich J (2019) Second-hand smoke exposure in adulthood and lower respiratory health during 20 year follow up in the European Community Respiratory Health Survey. *Respir Res* 20(1):33
- Chinn S, Jarvis D, Melotti R, Luczynska C, Ackermann-Liebrich U, Anto JM et al (2005) Smoking cessation, lung function, and weight gain: a follow-up study. *Lancet*. 365(9471):1629–1635 discussion 00-1
- Quanjer PH, Stanojevic S, Cole TJ, Baur X, Hall GL, Culver BH, Enright PL, Hankinson JL, Ip MSM, Zheng J, Stocks J, the ERS Global Lung Function Initiative (2012) Multi-ethnic reference values for spirometry for the 3-95-yr age range: the global lung function 2012 equations. *Eur Respir J* 40(6):1324–1343
- Thyagarajan B, Jacobs DR Jr, Apostol GG, Smith LJ, Jensen RL, Crapo RO, Barr RG, Lewis CE, Williams OD (2008) Longitudinal association of body mass index with lung function: the CARDIA study. *Respir Res* 9:31
- Zerah-Lancner F, Lofaso F, Coste A, Ricolfi F, Goldenberg F, Harf A (1997) Pulmonary function in obese snorers with or without sleep apnea syndrome. *Am J Respir Crit Care Med* 156(2 Pt 1):522–527
- Vandenbussche NL, Overeem S, van Dijk JP, Simons PJ, Pevernagie DA (2015) Assessment of respiratory effort during sleep: esophageal pressure versus noninvasive monitoring techniques. *Sleep Med Rev* 24:28–36
- Lee SA, Amis TC, Byth K, Larcos G, Kairaitis K, Robinson TD, Wheatley JR (2008) Heavy snoring as a cause of carotid artery atherosclerosis. *Sleep*. 31(9):1207–1213
- Bikov A, Losonczy G, Kunos L (2017) Role of lung volume and airway inflammation in obstructive sleep apnea. *Respir Investig* 55(6):326–333
- Davies SE, Bishopp A, Wharton S, Turner AM, Mansur AH (2018) Does continuous positive airway pressure (CPAP) treatment of obstructive sleep apnoea (OSA) improve asthma-related clinical outcomes in patients with co-existing conditions?—a systematic review. *Respir Med* 143:18–30
- Tam S, Woodson BT, Rotenberg B (2014) Outcome measurements in obstructive sleep apnea: beyond the apnea-hypopnea index. *Laryngoscope*. 124(1):337–343
- Ye L, Pien GW, Ratcliffe SJ, Bjornsdottir E, Arnardottir ES, Pack AI, Benediktsdottir B, Gislason T (2014) The different clinical faces of obstructive sleep apnoea: a cluster analysis. *Eur Respir J* 44(6):1600–1607
- Mazzotti DR, Keenan BT, Lim DC, Gottlieb DJ, Kim J, Pack AI (2019) Symptom subtypes of obstructive sleep apnea predict incidence of cardiovascular outcomes. *Am J Respir Crit Care Med* 200(4):493–506

Affiliations

Össur Ingi Emilsson^{1,2} · Fredrik Sundbom^{1,2} · Mirjam Ljunggren^{1,2} · Bryndis Benediktsdottir^{3,4} · Judith Garcia-Aymerich^{5,6,7} · Dinh Son Bui⁸ · Deborah Jarvis⁹ · Anna-Carin Olin¹⁰ · Karl A. Franklin¹¹ · Pascal Demoly^{12,13} · Eva Lindberg^{1,2} · Christer Janson^{1,2} · Thor Aspelund¹⁴ · Thorarinn Gislason^{3,4}

¹ Department of Respiratory, Allergy and Sleep Research, Akademiska Sjukhuset, Uppsala University, 751 85 Uppsala, Sweden

² Department of Respiratory Medicine and Allergology, Akademiska Sjukhuset, Uppsala, Sweden

³ Department of Sleep Medicine, Landspítali, Reykjavik, Iceland

⁴ Faculty of Medicine, University of Iceland, Reykjavik, Iceland

⁵ ISGlobal, Barcelona, Spain

⁶ Universitat Pompeu Fabra (UPF), Barcelona, Spain

⁷ CIBER Epidemiología y Salud Pública (CIBERESP), Barcelona, Spain

⁸ Allergy and Lung Health Unit, Centre for Epidemiology and Biostatistics, University of Melbourne, Melbourne, Australia

⁹ National Heart and Lung Institute, Imperial College London, London, UK

¹⁰ Unit of Occupational and Environmental Medicine, University of Gothenburg, Gothenburg, Sweden

¹¹ Department of Surgical and Perioperative Sciences, Umeå University, Umeå, Sweden

¹² Department of Pulmonology, Division of Allergy, Hôpital Anaud de Villeneuve, University Hospital of Montpellier, Montpellier, France

¹³ Inserm, Sorbonne Université, Equipe EPAR – IPLESP, Paris, France

¹⁴ Centre for Public Health Sciences, University of Iceland, Reykjavik, Iceland