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# Guessing Gröbner Bases of Structured Ideals of Relations of Sequences

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#### **Abstract**

Assuming sufficiently many terms of a *n*-dimensional table defined over a field are given, we aim at guessing the linear recurrence relations with either constant or polynomial coefficients they satisfy. In many applications, the table terms come along with a structure: for instance, they may be zero outside of a cone, they may be built from a Gröbner basis of an ideal invariant under the action of a finite group. Thus, we show how to take advantage of this structure to both reduce the number of table queries and the number of operations in the base field to recover the ideal of relations of the table. In applications like in combinatorics, where all these zero terms make us guess many fake relations, this allows us to drastically reduce these wrong guesses. These algorithms have been implemented and, experimentally, they let us handle examples that we could not manage otherwise.

Furthermore, we show which kind of cone and lattice structures are preserved by skew polynomial multiplication. This allows us to speed up the guessing of linear recurrence relations with polynomial coefficients by computing sparse Gröbner bases or Gröbner bases of an ideal invariant under the action of a finite group in a ring of skew polynomials.

Keywords: Linear recurrence relations, Gröbner bases, Symmetries, Change of orderings

# 1. Introduction

Problem statement and motivations. Computing or guessing linear recurrence relations satisfied by a table is a fundamental problem in coding theory for cyclic codes [8, 25] of dimension  $n \ge 1$ , combinatorics and computer algebra for solving sparse linear systems, performing sparse polynomial interpolation, polynomial least-square approximation and Gröbner bases changes of orderings in  $n \ge 1$  variables [20, 21]. Furthermore, computing these relations with polynomial coefficients in the indices allows us to predict the growth of its terms, to classify the differential nature of their generating series or to evaluate said generating series [29].

Depending on the context, an upper bound on the number of table terms might be known in order to guess these relations. For instance, in coding theory, this is related to the length and the

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minimum distance of the code. In the Gröbner bases change of orderings application, an upper bound is given by the degree of the ideal and the number of variables. Whenever no upper bound is known, one is still restricted to only consider a finite number of table terms to guess the linear recurrence relations the table satisfies. Thus, some of these relations may be proven incorrect when tested with many more table terms; further such relations will be called *fake relations*. This happens for instance in combinatorics where the nature itself of the table may be unknown.

In many applications, the table comes with a structure. For instance, in combinatorics, for nD-space walks in the nonnegative orthant,  $v_{i_0,i_1,...,i_n}$  counts the number of ways to reach  $(i_1,\ldots,i_n)\in\mathbb{N}^n$  in  $i_0$  steps of size 1 [9–13]. Therefore,  $v_{i_0,i_1,...,i_n}$  is trivially 0 outside the cone  $i_1,\ldots,i_n\leq i_0$ . Thus, computationwise, not considering these terms would reduce the size of the table and thus might be beneficial for guessing the linear recurrence relations satisfied by the table. Hence, the goal is to exploit this structure to both reduce the number of table queries and the number of operations to guess the Gröbner basis of the ideal of relations.

*Prior results.* We distinguish two cases: the one-dimensional case, where tables are with one index, and the multidimensional one, where tables have n > 1 indices.

In the one-dimensional case, given the first D terms of a table, the Berlekamp–Massey algorithm [3, 28] guesses the linear recurrence relations with constant coefficients of smaller order they satisfy while the Beckermann–Labahn algorithm [1] guesses minimal ones with polynomial coefficients. Using fast extended Euclidean algorithm, these algorithms can do so in  $O(M(D) \log D)$  operations in the base field [1, 14], where  $M(D) = O(D \log D \log \log D)$  [15] is a cost function for multiplying two polynomials of degree at most D.

In the multidimensional case, several algorithms were designed for guessing linear recurrence relations with constant coefficients satisfied by the first terms of the tables using linear algebra routines. For instance, the Berlekamp–Massey–Sakata algorithm [31–33], the Scalar-FGLM algorithm [4, 5] or the Artinian Gorenstein border bases algorithm [30]. Given sufficiently many terms, the first two return a Gröbner basis of the ideal of relations while the third one returns a border basis of this ideal. Furthermore, in [7] the authors designed an algorithm extending both the Berlekamp–Massey–Sakata and the Scalar-FGLM algorithms using polynomial arithmetic and in [6], they extended the Scalar-FGLM algorithm for guessing relations with polynomial coefficients. However, none of all these algorithms were designed to take the structure of the table terms into account.

Gröbner bases are the output of several algorithms for guessing linear recurrence relations and are a fundamental tool in polynomial systems solving. In many applications, polynomials systems come with a structure, for instance they span an ideal globally invariant under the action of a finite group G or their supports are in a cone. From the table viewpoint, these are related to only considering table terms lying either on a lattice [26] or in a cone.

In [23], the authors show that for such an ideal, Gröbner bases computations through the  $F_4$  [17],  $F_5$  [18] and FGLM [19] algorithms can be sped up with a factor depending on |G|, whenever the characteristic of the field of coefficients does not divide |G|. To do so, they essentially perform |G| parallel smaller computations. In particular for the FGLM algorithm, this factor is  $|G|^2$ , see [23, Theorem 10]. Likewise, in [34], the author proposed algorithms for computing Gröbner bases of symmetric ideals over the rationals or a finite field. In [2, 22], the authors show that if G is a semi-group of  $\mathbb{Z}^n$  containing 0 and no pair of opposite elements and if G is an ideal spanned by polynomials with support in the corresponding monomial set G0 :=  $\{x_1^{i_1} \cdots x_n^{i_n} | (i_1, \ldots, i_n) \in C\}$ , then one can modify Gröbner bases computation algorithms to compute generators that behave like a Gröbner basis of the ideal but still with all their mono-

mials in  $\mathcal{T}(C)$ . This allows them to speed up Gröbner basis computations by taking into account the sparsity of the union of the supports of the original generators of the ideal.

Main results. We design variants of the Scalar-FGLM algorithm guessing linear recurrence relations for a n-dimensional table v, given as polynomials in  $x_1, \ldots, x_n$ . The original algorithm is recalled in page 9. In this first theorem, we only consider terms of the table lying on a cone in order to guess linear recurrence relations with support in the same cone.

**Theorem 1.1.** Let C be a submonoid cone of  $\mathbb{N}^n$  spanned by the minimal set of generators  $\{a_1, \ldots, a_v\}$ . Let  $\prec$  be a monomial ordering on  $\mathcal{T}$ , the set of monomials in n variables, and let  $T \subset \mathcal{T}(C)$  be finite, stable by division and ordered for  $\prec$ .

Then, the Scalar-FGLM algorithm called on table v, T and  $\prec$  returns a set of polynomials G with support in  $\mathcal{T}(C)$ , such that for all  $s \in T \setminus \langle LM_{\prec}(G) \rangle$ , s is in the associated staircase of a sparse Gröbner basis the ideal of C-relations of v for  $\prec$ .

Furthermore, if the ideal of C-relations of v is 0-dimensional and has a reduced sparse Gröbner basis with support in T for  $\prec$ , then the output of the Scalar-FGLM algorithm called on v and T is this reduced sparse Gröbner basis.

Let us remark that this allows us to remove trivial constraints on the relations when the table terms are 0 outside the cone, yielding in practice many fewer guessed relations that eventually fail. As a byproduct, this allows us to reduce the number of table queries to guess the relations. For instance, for a subtable of the Gessel walk, using 3 491 table terms, we can guess 136 relations amongst which 133 are fake and only 6 are correct. In the meantime, using 3 010 table terms in a cone, we guess 21 relations and all of them are correct. We refer to Table 2 for more details.

In the next two theorems, we now consider table terms lying on a lattice  $\Lambda$  and affine translates thereof. This allows us to design parallel variants of the Scalar-FGLM and Adaptive Scalar-FGLM algorithms. They essentially deal with L multi-Hankel matrices of sizes roughly divided by L, where L is the number of integer points in the fundamental domain of  $\Lambda$ . We shall denote  $\mathcal{T}((\boldsymbol{a}+\Lambda)_{\geq 0}) := \left\{x_1^{i_1} \cdots x_n^{i_n} \middle| (i_1, \ldots, i_n) \in (\boldsymbol{a}+\Lambda) \cap \mathbb{N}^n \right\}$ , the set of monomials whose nonnegative exponents lie in the affine translate of  $\Lambda$  passing through  $\boldsymbol{a}$ . The parallel variant of the Scalar-FGLM algorithm, called the Lattice Scalar-FGLM algorithm, is given in page 12.

**Theorem 1.2.** Let  $\Lambda$  be a sublattice of  $\mathbb{Z}^n$  with fundamental domain  $\mathcal{A}$ . Let  $\prec$  be a monomial ordering on  $\mathcal{T}$  and let  $T \subset \mathcal{T}$  be finite, stable by division and ordered for  $\prec$ .

Then, the Lattice Scalar-FGLM algorithm called on table v, T and  $\prec$  returns a truncated Gröbner basis of an ideal whose polynomials are each with support in  $\{1\} \cup \mathcal{T}((a + \Lambda)_{\geq 0})$ .

Furthermore, let  $\mathcal{G}$  be a Gröbner basis for  $\prec$  satisfying this support property and with support in  $T \subset \mathcal{T}$ . Let S be the associated staircase and  $\mathbf{v}$  be a generic C-finite table whose ideal of relations is spanned by  $\mathcal{G}$ . Then, there exists a non empty Zariski open set of values for the table terms [s] of  $\mathbf{v}$ , with  $s \in 2S = \{tt', t, t' \in S\}$ , such that the Lattice Scalar-FGLM algorithm called on  $\mathbf{v}$ ,  $\prec$ , T and  $\mathcal{A}$  correctly guesses  $\mathcal{G}$ .

For a monomial  $m = x_1^{i_1} \cdots x_n^{i_n}$  and a n-dimensional table  $\mathbf{v}$ , let us denote  $[m] = \left[x_1^{i_1} \cdots x_n^{i_n}\right] = u_{i_1,\dots,i_n}$ . We extend this notation linearly to polynomials: for a polynomial  $g = \sum_{(i_1,\dots,i_n)} g_{i_1,\dots,i_n} x^{i_1} \cdots x_n^{i_n}$  and table  $\mathbf{v}$ , we let  $[g] = \sum_{(i_1,\dots,i_n)} g_{i_1,\dots,i_n} \left[x^{i_1} \cdots x_n^{i_n}\right] = \sum_{(i_1,\dots,i_n)} g_{i_1,\dots,i_n} u_{i_1,\dots,i_n}$ . This is the evaluation of g as a linear combination of terms of  $\mathbf{v}$ .

The parallel variant of the Adaptive Scalar-FGLM algorithm, called the Lattice Adaptive Scalar-FGLM algorithm, is given in page 18.

**Theorem 1.3.** Let  $\Lambda$  be a sublattice of  $\mathbb{Z}^n$  with fundamental domain  $\mathcal{A}$ . Let  $\prec$  be a monomial ordering on  $\mathcal{T}$ . Let us assume that the Lattice Adaptive Scalar-FGLM algorithm called on table  $\mathbf{v}$ ,  $\prec$ ,  $\Lambda$  and  $\mathcal{A} \subseteq \mathbb{N}^n$  returns a set of polynomials G.

Let us denote by S the associated staircase to G and  $S_a = S \cap \mathcal{T}((a + \Lambda)_{\geq 0})$  for each  $a \in \mathcal{A}$ . Then, for any polynomial  $g \in G$  with  $LM_{\prec}(g) \in \mathcal{T}((a + \Lambda)_{\geq 0})$  and any  $s \in S_a$  with  $s \prec LM_{\prec}(g)$ , we have [gs] = 0.

Furthermore, let  $\mathcal{G}$  be a Gröbner basis for  $\prec$  such that for all  $g \in \mathcal{G}$ , there exists  $\mathbf{a} \in \mathcal{A}$  such that supp  $g \subset \mathcal{T}((\mathbf{a} + \Lambda)_{\geq 0})$ . Let  $\mathcal{S}$  be the associated staircase and  $\mathbf{v}$  be a generic  $\mathcal{C}$ -finite table whose ideal of relations is spanned by  $\mathcal{G}$ . Then, there exists a non empty Zariski open set of values for the table terms [s] of  $\mathbf{v}$ , with  $s \in 2\mathcal{S} = \{tt', t, t' \in \mathcal{S}\}$ , such that the Lattice Adaptive Scalar-FGLM algorithm called on  $\mathbf{v}$ ,  $\prec$  and  $\mathcal{A}$  correctly guesses  $\mathcal{G}$ .

Structure of the paper. We first recall in Section 2 the classical connection between linear recurrence relations with polynomial coefficients and skew polynomials in 2n variables. Then, we recall how using linear algebra routines on a special kind of matrix, a *multi-Hankel* one, the Scalar-FGLM algorithm, and its adaptive variant the Adaptive Scalar-FGLM algorithm, guesses linear recurrence relations.

In Section 3, we design variants of the Scalar-FGLM algorithm that take the table structure into account for guessing linear recurrence relations, then we prove Theorems 1.1 and 1.2. As an application, we provide a modification of the Sparse-FGLM algorithm [20, 21] whenever the ideal is globally invariant under the action of a finite group.

The same kind of variants of the Adaptive Scalar-FGLM algorithm are then designed, in Section 4. Likewise, we prove Theorem 1.3 in this section. Then, we show how one can perform skew polynomial operations in order to preserve the cone and lattice structures of the support of the polynomials.

Finally, in Section 5, we report on our speedup using our C implementation of the Sparse-FGLM algorithm when the ideal is invariant under the action of a finite group. We also guess linear recurrence relations satisfied by nD-space walks with and without exploiting the cone structure of the table and then test further the guessed relations. We then report on how the cone structure allows us to guess fewer fake linear recurrence relations.

#### 2. Preliminaries

#### 2.1. Tables and relations

Let  $\mathbb{N}$  be the set of natural numbers, and  $\mathbb{Z}$  be the ring of integers. For  $n \in \mathbb{N}$ ,  $n \geq 1$ , we let  $i = (i_1, \ldots, i_n) \in \mathbb{N}^n$ ,  $x = (x_1, \ldots, x_n)$  and  $x^i = x_1^{i_1} \cdots x_n^{i_n}$ . For a subset S of  $\mathbb{N}^n$ , we let  $\mathcal{T}(S) = \{x^s | s \in S\}$  be the set of monomials with exponents in S. To ease the presentation, we let  $\mathcal{T} := \mathcal{T}(\mathbb{N}^n)$ . Finally, for a polynomial  $f = \sum_{s \in S} f_s x^s$ , we let supp  $f = \{s \in S | f_s \neq 0\}$  be its support.

Let  $\mathbb{K}$  be a field and  $\mathbf{v} \in \mathbb{K}^{\mathbb{N}^n}$  be a *n*-indexed sequence with values in  $\mathbb{K}$ , that is  $\mathbf{v} = (v_{i_1,\dots,i_n})_{(i_1,\dots,i_n)\in\mathbb{N}^n}$ . There is a natural correspondence between finite linear combinations of terms of  $\mathbf{v}$  and polynomials in  $\mathbb{K}[x_1,\dots,x_n]$ . For  $g = \sum_{s \in \mathcal{S}} \gamma_s \mathbf{x}^s$ , with  $\mathcal{S}$  a finite subset of  $\mathbb{N}^n$ , we can

write  $[g] := \sum_{s \in S} \gamma_s v_s$ . Hence shifting a relation by an index *i* comes down to multiplying the corresponding polynomial by  $x^i$  since

$$\left[gx^{i}\right] = \sum_{s \in S} \gamma_{s} v_{s+i}.$$

In particular, a polynomial g defines a linear recurrence relation with constant coefficients, or *C-relation* for short, on v if, and only if, for all  $i \in \mathbb{N}^n$ ,  $|gx^i| = 0$ . The set of all such polynomials is an ideal of  $\mathbb{K}[x]$  called the *ideal of C-relations of* v, see for instance [5, Definition 2 and Proposition 4].

Finally, a nonzero sequence v is said to be *C-finite* if together with a finite number of terms of  $\nu$  and a finite number of C-relations, one can recover all the terms of  $\nu$ . This is equivalent to requiring that the ideal of C-relations of v is 0-dimensional, see also [24, Definition 2.2].

**Example 2.1.** On the one hand, the terms  $v_{i,j} = (5 + 4i + 3j)2^{i+j} + (3 + 6i + j)5^{i+j}$  of  $\mathbf{v} \in \mathbb{F}_7^{\mathbb{N}^2}$  can all be computed thanks to  $v_{0,0} = v_{0,1} = v_{0,2} = 1$ ,  $v_{1,0} = 0$  and the C-relations, for all  $(i, j) \in \mathbb{N}^2$ ,

$$v_{i+1,i+1} + 3v_{i,i} = v_{i+2,i} + v_{i,i+2} + 6v_{i,i} = v_{i,i+3} + 4v_{i+1,i} + 6v_{i,i+1} = 0.$$

On the other hand, they can also be computed knowing  $v_{0,0} = v_{0,1} = v_{0,2} = v_{0,3} = 1$  and that for  $all(i, j) \in \mathbb{N}^2$ ,

$$v_{i,j+4} + 6v_{i,j+2} + 2v_{i,j} = v_{i+1,j} + 2v_{i,j+3} + 5v_{i,j+1} = 0.$$

Thus, the ideal of C-relations of v is the 0-dimensional one  $\langle xy + 3, x^2 + y^2 + 6, y^3 + 4x + 6y \rangle =$  $\langle y^4 + 6y^2 + 2, x + 2y^3 + 5y \rangle$  and v is C-finite.

On the other hand, the binomial sequence,  $\mathbf{b} = (b_{i,j})_{(i,j)\in\mathbb{N}^2} = (\binom{i}{j})_{(i,j)\in\mathbb{N}^2}$ , satisfies Pascal's rule: for all  $(i, j) \in \mathbb{N}^2$ ,  $b_{i+1,j+1} - b_{i,j+1} - b_{i,j} = 0$ . Moreover, one can show that this relation spans all the other C-relations, i.e. its ideal of C-relations is the 1-dimensional one  $\langle xy - y - 1 \rangle$ , thus **b** is not C-finite.

Furthermore, some sequences satisfy linear recurrence relations with coefficients that are polynomials in the indices of the sequence, or P-relations for short. For instance, the binomial sequence satisfies the following two P-relations for all  $(i, j) \in \mathbb{N}^2$ :

$$(j+1) b_{i,j+1} - (i-j) b_{i,j} = 0$$
$$(i+1-j) b_{i+1,j} - (i+1) b_{i,j} = 0.$$

Combining them by shifting the former by index (0, 1) and then adding the latter yields

$$(i-j)b_{i+1,j+1} - (i-j)b_{i,j+1} - (i-j)b_{i,j} = 0.$$

This proves that Pascal's rule holds whenever  $i \neq j$ .

We thus aim at representing the former relations as polynomials  $g_1$  and  $g_2$  such that for all  $(i,j) \in \mathbb{N}^2$ ,  $\left[g_1 x^i y^j\right] = \left[g_2 x^i y^j\right] = 0$ . For instance, we could say that the first one corresponds to  $[(j+1)x^iy^{j+1}-(i-j)x^iy^j]=[((j+1)y-(i-j))x^iy^j]=0$ , but this would mean that  $g_1$  has coefficients in i and j, which are meaningless on their own. To circumvent this, in [6], the authors introduced a new set of variables  $\mathbf{t} = (t_1, \dots, t_n)$ , such that  $t_p$  behaves like  $x_p \partial_p$ , where  $\partial_p$  is the differential operator with respect to  $x_p$ . That is,  $\begin{bmatrix} \mathbf{t}^k \mathbf{x}^i \end{bmatrix} := \begin{bmatrix} (x \partial_1, \dots, x_n \partial_n)^k \mathbf{x}^i \end{bmatrix} = \begin{bmatrix} i_1^{j_1} \cdots i_n^{j_n} \mathbf{x}^i \end{bmatrix} = \begin{bmatrix} i_1^{j_1} \cdots i_n^{j_n} \mathbf{x}^i \end{bmatrix}$   $i_1^{j_1}\cdots i_n^{j_n}v_i=i^kv_i$ . Then, the [.] notation is naturally  $\mathbb{K}$ -linearly extended to polynomials in t and x. Therefore, the 2n variables  $t_1,\ldots,t_n,x_1,\ldots,x_n$  follow, for all  $1\leq p,q\leq n$  and  $p\neq q$ , the commutation rules  $x_px_q=x_qx_p$ ,  $t_pt_q=t_qt_p$ ,  $t_px_q=x_qt_p$  and  $t_px_p=x_p(t_p+1)$ , making polynomials in t and x quasi-commutative. The ring of skew polynomials in t and t will be denoted  $\mathbb{K}\langle t,x\rangle$  while the ring of skew polynomials in t with coefficients in t0 will simply be denoted t1 Now, a P-relation is given by a finite subset t2 of t3 and polynomials t4 of t5 such that

$$\forall i \in \mathbb{N}^n, \sum_{s \in S} \gamma_s(s+i) v_{s+i} = 0.$$

This relation corresponds to the polynomial  $g = \sum_{s \in S} \gamma_s(t) x^i \in \mathbb{K} \langle t, x \rangle$  such that for all  $i \in \mathbb{N}^n$ ,  $[gx^i] = 0$ .

**Remark 2.2.** While we can obviously write  $\sum_{s \in S} \tilde{\gamma}_k(\mathbf{i}) v_{s+\mathbf{i}} = 0$ , the former notation with  $\gamma_s(s+\mathbf{i})$  makes more explicit the relationship with the corresponding polynomial in  $\mathbb{K} \langle t, \mathbf{x} \rangle$ .

**Example 2.3.** Let  $t = t_1$ ,  $u = t_2$ ,  $x = x_1$  and  $y = x_2$ . Then, the P-relations satisfied by the binomial sequence can be rewritten as

$$(j+1) b_{i,j+1} - (i-j) b_{i,j} = \left[ (j+1) x^i y^{j+1} - (i-j) x^i y^j \right]$$

$$0 = \left[ u x^i y^{j+1} - (t-u) x^j y^j \right]$$

$$0 = \left[ (u y - (t-u)) x^i y^j \right]$$
and
$$(i+1-j) b_{i+1,j} - (i+1) b_{i,j} = \left[ (i+1-j) x^{i+1} y^j - (i+1) x^i y^j \right]$$

$$0 = \left[ (t-u) x^{i+1} y^j - (t+1) x^i y^j \right]$$

$$0 = \left[ ((t-u) x - (t+1)) x^i y^j \right].$$

Thus,  $g_1 = uy - (t - u)$  and  $g_2 = (t - u)x - (t + 1)$  in  $\mathbb{K} \langle t, u, x, y \rangle$ .

The set of all such polynomials is a right ideal of  $\mathbb{K}\langle t,x\rangle$ . Indeed, it is stable by multiplication on the right by any monomial  $x^i$  as requested. Furthermore, since  $t^\ell x^j t^k x^i = t^\ell (t-j)^k x^{j+i}$ , then  $\left[t^\ell x^j t^k x^i\right] = \left[t^\ell (t-j)^k x^{j+i}\right] = (j+i)^\ell i^k v_{j+i} = i^k \left[t^\ell x^{j+i}\right]$ . In other words, multiplying on the right by  $t^k x^i$  corresponds to multiplying on the right by  $x^i$  and to multiply the evaluation by a constant, namely  $t^k$ . Thus if  $\left[gx^i\right]$  vanishes, then so does  $\left[gt^k x^i\right]$ .

Analogously to the C-finite definition, a nonzero sequence v is said P-finite if a finite number of its terms and a finite number of P-relations allows one to recover all of its terms, though, one has to deal with singularities coming from integers roots of the leading coefficients of the relations. This is equivalent to requiring that the sequence v and the ideal of P-relations of v is 0-dimensional in  $\mathbb{K}(t)\langle x\rangle$  while also dealing with the singularities given by the denominators of the coefficients.

**Example 2.4** (Cont. of Example 2.3). The ideal of P-relations of **b** in  $\mathbb{K} \langle t, u, x, y \rangle$  is

$$\langle uy - (t-u), (t-u)x - (t+1), xy - y - 1 \rangle$$
.

Furthermore, since

$$(xy - y - 1)(t - u) = (t - u)xy - (t + 1 - u)y - (t - u)$$
$$= ((t - u)x - (t + 1))y + (uy - (t - u)),$$

then, in  $\mathbb{K}(t, u) \langle x, y \rangle$ , its ideal of P-relations is only spanned by uy - (t - u) and (t - u)x - (t + 1).

#### 2.2. Gröbner bases

This section briefly recalls some basic definitions on Gröbner bases. The interested reader will find more details in [16].

For  $\mathcal{T}$  the set of monomials in  $\mathbb{K}\langle t, x \rangle$ , a monomial ordering  $\prec$  on  $\mathcal{T}$  is an order relation satisfying the following three properties

- 1.  $\forall m \in \mathcal{T}, 1 \leq m$ ;
- 2.  $\forall m, m', s \in \mathcal{T}, m \leq m' \Rightarrow ms \leq m's$ .

For a monomial ordering < on  $\mathbb{K}\langle t, x \rangle$ , the *leading monomial* of f, denoted  $LM_{<}(f)$ , or  $LM_{<}(f)$  if there is no ambiguity on <, is the greatest monomial in the support of f for <. For an ideal I, we let  $LM_{<}(I) = \{LM_{<}(f), f \in I\}$ . We recall briefly the definition of a Gröbner basis and of its associated staircase.

**Definition 2.5.** Let I be a nonzero ideal of  $\mathbb{K}\langle t, x \rangle$  and let  $\prec$  be a monomial ordering. A set  $\mathcal{G} \subseteq I$  is a Gröbner basis of I if for all  $f \in I$ , there exists  $g \in \mathcal{G}$  such that  $\operatorname{LM}_{\prec}(g)|\operatorname{LM}_{\prec}(f)$ , it is reduced if for any  $g, g' \in \mathcal{G}$ ,  $g \neq g'$  and any monomial  $m \in \operatorname{supp} g'$ ,  $\operatorname{LM}_{\prec}(g) \nmid m$ .

The staircase of  $\mathcal{G}$  is defined as  $S = \text{Staircase}(\mathcal{G}) = \{s \in \mathcal{T}, \forall g \in \mathcal{G}, \text{LM}_{\prec}(g) \nmid s\}$ . It is also the canonical basis of  $\mathbb{K} \langle t, x \rangle / I$  as a  $\mathbb{K}$ -vector space.

Gröbner basis theory allows us to choose any monomial ordering, among which we mainly use, on the x variables, the

 $\operatorname{LEX}(x_n < \cdots < x_1)$  ordering which satisfies  $x^i < x^j$  if, and only if, there exists  $1 \le p \le n$  such that for all q < p,  $i_q = j_q$  and  $i_p < j_p$ , see [16, Chapter 2, Definition 3];

**DRL** $(x_n < \cdots < x_1)$  **ordering** which satisfies  $\mathbf{x}^i < \mathbf{x}^j$  if, and only if,  $i_1 + \cdots + i_n < j_1 + \cdots + j_n$  or  $i_1 + \cdots + i_n = j_1 + \cdots + j_n$  and there exists  $2 \le p \le n$  such that for all q > p,  $i_q = j_q$  and  $i_p > j_p$ , see [16, Chapter 2, Definition 6].

We will also use monomial orderings on the t and x variables. Since we want to freely switch from  $\mathbb{K}\langle t,x\rangle$  to  $\mathbb{K}(t)\langle x\rangle$  and vice versa, it makes sense to choose an ordering such that  $t_k < x_\ell$  for any k and  $\ell$ , such as  $\text{Lex}(t_n < \cdots < t_1 < x_n < \cdots x_1)$  or  $\text{DRL}(t_n < \cdots < t_1 < \cdots < x_1)$ . The latter is more suitable as it allows us to enumerate all the monomials in t and t in increasing order.

#### 2.3. Structured Gröbner bases

A *cone* C is a subset of  $\mathbb{Z}^n$  such that if  $i \in C$ , then for every  $\lambda \in \mathbb{N}$ ,  $\lambda i \in C$ . The cones we are interested in are those that are *submonoids* of  $\mathbb{N}^n$ , i.e.  $0 \in C$  and for all  $i, j \in C$ ,  $(i + j) \in C$ , containing no opposite elements but 0, that is if  $i \in C \setminus \{0\}$ , then  $-i \notin C$ .

Given such a cone C and polynomials with support in its associated set of monomials  $\mathcal{T}(C) = \{x^i \in \mathcal{T} | i \in C\}$ , one may want to perform all the polynomial operations in  $\mathcal{T}(C)$  in order to take advantage of the structure of the support when computing a Gröbner basis of the ideal they span. This leads to the definition of sparse Gröbner basis with support in  $\mathcal{T}(C)$  that uses its monoid structure.

**Definition 2.6** ([22, Definition 3.1] and [2, Definition 3.3]). Let  $C \subseteq \mathbb{N}^n$  be a cone and  $\mathcal{T}(C)$  be its associated set of monomials. Let  $I = \langle f_1, \ldots, f_s \rangle \subseteq \mathbb{K}[x]$  be a polynomial ideal such that for all k, the support of  $f_k$  is in  $\mathcal{T}(C)$ . Then, a sparse Gröbner basis of I for a monomial ordering  $\langle is$  a generating set  $\mathcal{G} = \{g_1, \ldots, g_r\}$  such that for all k, the support of  $g_k$  is in  $\mathcal{T}(C)$  and for all  $f \in I$  with support in  $\mathcal{T}(C)$ ,  $LM_{\langle}(f) = LM_{\langle}(g)m$  for some  $g \in \mathcal{G}$  and  $m \in \mathcal{T}(C)$ .

Let us notice that for  $C = \mathbb{N}^n$ , sparse Gröbner bases are classical Gröbner bases. Furthermore, a sparse Gröbner basis of an ideal I for C allows one to determine if a polynomial f, with support in  $\mathcal{T}(C)$ , is in I.

For a *lattice*  $\Lambda \subseteq \mathbb{Z}^n$ , we let  $\Lambda_{\geq 0} = \Lambda \cap \mathbb{N}^n$  be its nonnegative cone, so that, naturally,  $\mathbb{Z}^n_{\geq 0} = \mathbb{N}^n$ . In particular,  $\Lambda$  and  $\Lambda_{\geq 0}$  are cones and we may intersect them with another cone. For  $a \in \mathbb{Z}^n$ , we also denote by  $a + \Lambda$  the affine lattice obtained by translating  $\Lambda$  by a and likewise consider its intersection with a cone. In particular,  $(a + \Lambda)_{\geq 0} = (a + \Lambda) \cap \mathbb{N}^n$ .

Given a lattice  $\Lambda$ , its affine translates  $a_0 + \Lambda = \Lambda, \ldots, a_L + \Lambda$  and polynomials  $f_1, \ldots, f_k$ , each with supports in an associated set of monomials  $\mathcal{T}((a_\ell + \Lambda)_{\geq 0})$ , then a reduced Gröbner basis of  $\langle f_1, \ldots, f_k \rangle$  satisfies also this support property. This allows one to speed up the Gröbner bases computations by essentially performing L computations in parallel with input of sizes divided by L

#### 2.4. Multi-Hankel matrices

Given a table v and a polynomial  $g \in \mathbb{K}\langle t, x \rangle$ , in order to check if g is is in the ideal of P-relations of v, one must check that  $\left[gx^i\right] = 0$  for all i. As only a finite number of terms of v are known, only a finite number of such tests can be done. Now, if only a superset of the support of g is known, one can find all the candidates for g by solving a linear system where each column corresponds to a monomial  $t^\ell x^j$ , each row a monomial  $x^i$  and the coefficient at their intersection is  $\left[t^\ell x^{j+i}\right] = (j+i)^\ell v_{j+i}$ . Such a matrix is called *multi-Hankel*, a generalization of Hankel matrices. Indeed a Hankel matrix  $(h_{i,j})_{0 \le i,j \le d}$  satisfies  $h_{i,j} = v_{i+j}$  for some table v.

**Example 2.7.** Let  $\mathbf{v} = (v_{i,j})_{(i,j) \in \mathbb{N}^2}$  be a table and  $T = \{1, u, t, y, x, uy, ty, ux, tx\} \subset \mathcal{T}(\mathbb{N}^{2n})$  and  $X = \{1, y, x, y^2, xy, x^2\} \subset \mathcal{T}(\mathbb{N}^n)$  be two sets of monomials, then their multi-Hankel matrix is

$$H_{X,T} = \begin{bmatrix} 1 & u & t & y & x & uy & ty & ux & tx \\ v_{0,0} & 0 & 0 & v_{0,1} & v_{1,0} & v_{0,1} & 0 & 0 & v_{0,1} \\ v_{0,1} & v_{0,1} & 0 & v_{0,2} & v_{1,1} & 2v_{0,2} & 0 & v_{1,1} & v_{1,1} \\ v_{1,0} & 0 & v_{0,1} & v_{1,1} & v_{1,1} & v_{1,1} & v_{1,1} & 0 & 2v_{2,0} \\ v_{0,2} & 2v_{0,2} & 0 & v_{0,3} & v_{1,2} & 3v_{0,3} & 0 & 2v_{1,2} & v_{1,2} \\ xy & v_{1,1} & v_{1,1} & v_{1,1} & v_{1,2} & v_{2,1} & 2v_{1,2} & v_{1,2} & v_{2,1} & 2v_{2,1} \\ v_{2,0} & 0 & 2v_{2,0} & v_{2,1} & v_{3,0} & v_{2,1} & 2v_{2,1} & 0 & 3v_{3,0} \end{bmatrix}.$$

We give some computation details. The coefficient on the third column (t) and first row (1) is  $[t \times 1] = [tx^0y^0] = 0^1v_{0,0} = 0$ . Likewise, the coefficient on sixth column (uy) and the second to last row (xy) is  $[uyxy] = [uxy^2] = 2^1v_{1,2} = 2v_{1,2}$ .

Note that rows are only indexed with monomials in x and not in t, x since the row labeled with  $t^k x^i$ ,  $k \neq 0$  would be a multiple of the row labeled with  $x^i$ .

#### 2.5. The Scalar-FGLM algorithm

The Scalar-FGLM algorithm [4, 5], takes as an input the table v and a set of monomials T stable by division and computes the kernel of the multi-Hankel matrix  $H_{T,T}$ . Vectors in this kernel can be seen as polynomials in  $\mathbb{K}[x]$ . Those with a leading term minimal for the partial order induced by the division form the target Gröbner basis. If T is ordered for a monomial ordering  $\prec$  and contains the staircase and the leading monomials of the reduced Gröbner basis of the ideal of relations of v for  $\prec$ , then the Scalar-FGLM algorithm returns this Gröbner basis.

```
Algorithm 1: Scalar-FGLM
Input: A table v = (v_i)_{i \in \mathbb{N}^n} with coefficients in \mathbb{K}, a monomial ordering \prec, a sufficiently large set of
         monomials T stable by division and ordered for \prec.
Output: A reduced Gröbner basis of the ideal of C-relations of v.
Build the matrix H_{T,T}.
Compute the set S \subseteq T of smallest monomials, for \prec, such that rank H_{S,S} = \operatorname{rank} H_{T,T}.
For all m \in T \setminus S do
                                                                                                // stabilize S for the division
 If \exists s \in S \text{ such that } m \mid s \text{ then } S := S \cup \{m\}.
L := T \setminus S sorted for \prec.
G := \emptyset.
While L \neq \emptyset do
     g := \min_{\prec} L
     Solve the linear system H_{S,S} \gamma + H_{S,\{g\}} = 0.
     G := G \cup \{g + \sum_{s \in S} \gamma_s s\}.
     Remove g and any of its multiples from L.
Return G.
```

As our goal is to extend the Scalar-FGLM algorithm in order to deal with table terms lying on a cone or a lattice, we recall this algorithm below.

The algorithm computes the column rank profile of the matrix  $H_{T,T}$ , that is the set of leftmost linearly independent columns of the matrix. Since these columns are independent from the previous ones, then their labels cannot be the leading monomial, for  $\prec$ , of any polynomial in the ideal of C-relations, thus they are in the associated staircase of the reduced Gröbner basis of this ideal for  $\prec$ . If T is not large enough, a monomial m could be detected as not lying in the staircase while one of its multiples does, hence there is a stabilization process to add m to the staircase if this happens. Then, each polynomial in the output Gröbner basis is computed by solving a linear system involving its leading monomial and the monomials in the staircase.

**Example 2.8** (Cont. of Example 2.1). Let us recall that a Gröbner basis of the ideal of C-relation of  $\mathbf{v}$  is  $\{xy+3, x^2+y^2+6, y^3+4x+6y\}$  for  $\mathrm{DRL}(y < x)$ , hence this ideal has degree 4. Therefore, the staircase of the Gröbner basis of this ideal for  $\mathrm{LEX}(y < x)$ , or any monomial ordering, can only contain monomials  $x^iy^j$  with  $(i+1)\times(j+1)\leq 4$  and it suffices to take  $T=\{1,y,y^2,y^3,y^4,x,xy,x^2,x^3,x^4\}$  to recover the staircase and the Gröbner basis. The column rank profile of  $H_{T,T}$  is given by  $S=\{1,y,y^2,y^3\}$  so that  $L=\{y^4,x,xy,x^2,x^3,x^4\}$ . Then, the linear systems  $H_{S,S}\gamma+H_{S,\{y^4\}}=0$  and  $H_{S,S}\gamma+H_{S,\{x\}}=0$  yield the Gröbner basis

$${y^4 + 6y^2 + 2, x + 2y^3 + 5y}.$$

In many applications, for instance the Sparse-FGLM algorithm one, the computation of a single table element is costly. Therefore, we may want to reduce the number of table queries performed by the Scalar-FGLM algorithm. In the original algorithm, described in Algorithm 1, it requires #2T table terms, where 2T is the Minkowski Minkowski sum of T with itself. To do so, the goal is to let the multi-Hankel grow step by step. It starts with the  $1 \times 1$  matrix

If  $[1] = v_0 \neq 0$ , then 1 is in the associated staircase of the Gröbner basis of the ideal of C-relations of v, otherwise it stops and return the Gröbner basis  $\{1\}$ . The algorithm extends a full-rank matrix  $H_{S,S}$  into  $H_{S \cup \{m\},S \cup \{m\}}$  with m greater, for  $\prec$ , than any monomial in S. Now, there are two possibilities, either the new matrix is full rank or it is not and the column labeled with m is linearly dependent from the other ones. In the former case, m is actually in this staircase and S is replaced by  $S \cup \{m\}$ . In the latter case, a polynomial with support in  $S \cup \{m\}$  and leading monomial, for  $\prec$ , m is found and no multiples of m will ever be proposed to extend the multi-Hankel matrix. The algorithm stops either when no monomials can be added to the staircase or when the size of staircase has reached a threshold given in input. There is, however, a possibility of finding wrong relations if the first terms of the table exceptionally satisfies a relation of smaller order, for instance if  $v_0 = 0$ . This problem can be circumvented by testing relations further if the relations are suspiciously too small, for instance in FGLM applications where the degree of the ideal is known in advance.

# 3. Guessing with structures

In this section, we show how to guess linear recurrence relations of a table by taking the structure of the table terms into account. We first start with the case where only table terms in a cone are considered. Then, we study how to guess these relations when table terms are in a lattice or some affine translates thereof.

#### 3.1. Terms in a cone

In this subsection, we aim at describing how we can take advantage of the structure of a given cone C to recover the ideal of relations of a table v by only considering table terms inside the cone. That is, we aim at guessing polynomials g such that supp  $g \subset \mathcal{T}(C)$  and for all  $x^i \in \mathcal{T}(C)$ ,  $\left[gx^i\right] = 0$ . The latter condition is the guessing part as we will only be able to ensure that  $\left[gx^i\right] = 0$  for all  $x^i$  in a finite subset T of  $\mathcal{T}(C)$ .

To do so, two strategies are at our disposal and they both rely on the generators of C as a submonoid of  $\mathbb{N}^n$ . Let us denote by  $a_1, \ldots, a_{\nu}$  a set of generators of C, i.e. for all  $i \in C$ , there exists  $j \in \mathbb{N}^{\nu}$  such that  $i = j_1 a_1 + \cdots + j_{\nu} a_{\nu}$ . First and foremost, there is no reason for  $\nu \leq n$  and second, even if  $\nu$  is minimal and  $a_1, \ldots, a_{\nu}$  is a generating set, there is no reason for  $(j_1, \ldots, j_{\nu})$  to be unique.

**Example 3.1.** The cone  $C = \{i \in \mathbb{N}^2 | i_1 \le 2i_2, i_2 \le 2i_1\}$  is spanned by  $\mathbf{a}_1 = (1, 1)$ ,  $\mathbf{a}_2 = (1, 2)$  and  $\mathbf{a}_3 = (2, 1)$  so that  $C = \{j_1\mathbf{a}_1 + j_2\mathbf{a}_2 + j_3\mathbf{a}_3 | \mathbf{a}_1 = (1, 1), \mathbf{a}_2 = (1, 2), \mathbf{a}_3 = (2, 1)\}$ . Yet, we have the two decompositions  $(3, 3) = 3\mathbf{a}_1 = \mathbf{a}_2 + \mathbf{a}_3$ .

The first strategy is designed to only consider table terms lying in C. Assuming a generating set  $a_1, \ldots, a_V$  of C is known, the set of monomials  $\mathcal{T}(C)$  can be defined as

$$\mathcal{T}(C) = \left\{ \boldsymbol{x}^{j_1 \boldsymbol{a}_1} \cdots \boldsymbol{x}^{j_{\nu} \boldsymbol{a}_{\nu}} \middle| (j_1, \dots, j_{\nu}) \in \mathbb{N}^{\nu} \right\}.$$

The second strategy make use of a new set of variables  $\mathbf{y} = (y_1, \dots, y_{\nu})$ , so that  $y_1$  represents  $\mathbf{x}^{a_1}$ , etc and an auxiliary table  $\mathbf{w} = (w_j)_{j \in \mathbb{N}^{\nu}}$  defined by  $w_j = v_{j_1 a_1 + \dots + j_{\nu} a_{\nu}}$ . Then, two monomials  $\mathbf{y}^j$  and  $\mathbf{y}^k$  represent the same monomial  $\mathbf{x}^i$  if, and only if,  $\mathbf{i} = j_1 a_1 + \dots + j_{\nu} a_{\nu} = k_1 a_1 + \dots + k_{\nu} a_{\nu}$ . That is, both  $w_i$  and  $w_k$  are equal to  $w_i$ . Thus, w satisfies extra relations coming from these multiple

equivalent writings. They are given by binomials, namely  $y^j - y^k$ . Hence, not all monomials in  $\mathcal{T}(\mathbb{N}^{\nu})$  are of interest and we clean them up by using the binomial ideal I(C) they span.

In practice, both strategies are equivalent. They only differ in how they enumerate table terms  $v_i$  with  $i \in C$ . Even though, this should not be the bottleneck, either the linear algebra routines or the computations of the terms should be, the second strategy requires computing a Gröbner basis of I(C), for instance using [27] while the first one only requires checking that a monomial has already been generated.

Since the first strategy comes down to directly calling the Scalar-FGLM algorithm with a set of monomials  $T \subset \mathcal{T}(C)$ , this yields Theorem 1.1.

*Proof of Theorem 1.1.* As the Scalar-FGLM algorithm computes kernel vectors of  $H_{T,T}$ , the corresponding polynomials can only have support in  $\mathcal{T}(C)$ .

Let S be the associated staircase of a sparse Gröbner basis of the ideal of C-relations of v.

Let us show first that no monomial  $m \notin S$  is found in the staircase by the algorithm. As  $m \in LM_{<}(I)$ , there exist  $\alpha_s \in \mathbb{K}$ , for all  $s \in S$  such that  $m + \sum_{s \in S} \alpha_s s \in I$ , thus  $[t(m + \sum_{s \in S} \alpha_s s)] = 0$  for all  $t \in \mathcal{T}$ . Since  $T \subset \mathcal{T}$ , then this means that column labeled with m is linearly dependent from the previous ones and neither m nor any multiples thereof is in the staircase associated to the output. Hence, the computed staircase is included in the correct staircase.

Let us now assume that the ideal of C-relations of v is 0-dimensional, that is S is finite. We shall show by contradiction that matrix  $H_{S,S}$  is full rank, so that the output of the Scalar-FGLM algorithm called on  $T \supset S$  is a reduced Gröbner basis whose associated staircase contains S. Let us assume that  $H_{S,S}$  is not full rank and let  $m \notin S$  be the smallest monomial for < such that rank  $H_{S,S \cup \{m\}} > \text{rank } H_{S,S}$ . Let R be any finite subset of  $S \cup \{\mu | \mu \le m\}$  stable by division and containing  $S \cup \{m\}$ . By minimality of m, for <, rank  $H_{S,R} = \text{rank } H_{S,S \cup \{m\}} > \text{rank } H_{S,S}$  and in particular column labeled with m must be independent from the previous ones. Thus, no polynomial with leading monomial m can be in the ideal of relations and m is in the staircase of this ideal. This is a contradiction with the assumption that m is not in S. Since  $S \subseteq T$ , then the algorithm correctly computes a superset of the staircase S and thus the algorithm discovers the correct staircase.

Finally, the polynomials of the sparse Gröbner basis are found by linear algebra.

The second strategy comes down to calling the Scalar-FGLM algorithm on w with a set of monomials  $T \subseteq \mathcal{T}(\mathbb{N}^{\nu})/I(C)$ . Furthermore, it is clear that  $\mathcal{T}(\mathbb{N}^{\nu})/I(C)$  is stable by division, thus we can always call the Scalar-FGLM algorithm with T stable by division. Then, by construction, it remains to replace the polynomials obtained in  $\mathbb{K}[y]$  by the corresponding ones in  $\mathbb{K}[x]$ . They will naturally have support in  $\mathcal{T}(C)$ .

**Example 3.2** (Continuation of Example 3.1). It is clear that  $3\mathbf{a}_1 = \mathbf{a}_2 + \mathbf{a}_3$  generates all the other different ways to decompose an element of C, hence  $I(C) = \langle y_1^3 - y_2 y_3 \rangle$ . Thus, when listing the monomials for DRL $(y_1 < y_2 < y_3)$  in  $\mathcal{T}(\mathbb{N}^{\nu})/I(C)$ , we will skip any multiple of  $y_1^3$ .

#### 3.2. Terms in a lattice

Let  $\Lambda_{\geq 0}$  be the set of nonnegative terms of a sublattice of  $\mathbb{Z}^n$ , we aim at guessing the recurrence relations of a table  $\nu$  by following  $\Lambda_{\geq 0}$ . Since a lattice is a special case of a cone, if we restrict ourselves to only considering the subtable  $(\nu_i)_{i\in\Lambda_{\geq 0}}$  and if we can ensure that the support of the reduced Gröbner basis of the ideal of relations is in  $\mathcal{T}(\Lambda_{\geq 0})$ , then Theorem 1.1 asserts that

we can guess the ideal of relations using the Scalar-FGLM algorithm called on  $T \subset \mathcal{T}(\Lambda_{\geq 0})$  sufficiently large.

Yet, doing so would in some way make us forget the extra structure coming with a sublattice: namely its fundamental domain, i.e. the quotient group  $\mathbb{Z}^n/\Lambda$ . Indeed, if a set of polynomials  $\{f_1,\ldots,f_r\}$  satisfies for all k, there exists  $a_k \in \mathbb{Z}^n/\Lambda$  such that supp  $f_k \in (a_k + \Lambda)_{\geq 0}$ , then a reduced Gröbner basis  $\mathcal{G} = \{g_1,\ldots,g_s\}$  of the ideal it spans satisfies the same property. Therefore, if we expect, or even can ensure beforehand, that the reduced Gröbner basis of the ideal of relations of v also satisfies this property, we aim at guessing this Gröbner basis by working in parallel on several smaller multi-Hankel matrices whose sizes have been divided by  $\#(\mathbb{Z}^n/\Lambda)$ .

To do so, considering an input set of monomials  $T \subset \mathcal{T}$ , we shall split it up into  $T = \bigsqcup_{a \in \mathbb{Z}^n / \Lambda} T_a$ , with  $T_a = T \cap \mathcal{T}((a + \Lambda)_{\geq 0})$ , and then call the Scalar-FGLM algorithm on v and  $T_a$  for each a. However, the table terms that appear in  $H_{T_a,T_a}$  are  $v_i$  with  $i \in (2a + \Lambda)_{\geq 0}$ . Thus, we might never consider certain table terms. To circumvent this, we always add the row and the column labeled with 1 in these matrices. This yields the Lattice Scalar-FGLM algorithm or Algorithm 2 and Theorem 1.2.

```
Algorithm 2: Lattice Scalar-FGLM
Input: A table v = (v_i)_{i \in \mathbb{N}^n} with coefficients in \mathbb{K}, a monomial ordering \prec, a set of monomials T
          stable by division and ordered for \prec, a nonnegative lattice \Lambda \subseteq \mathbb{Z}^n, a set \mathcal{A} \subseteq \mathbb{N}^n containing 0
          such that \Lambda + \mathcal{A} = \mathbb{Z}^n.
Output: A truncated reduced Gröbner basis.
Partition T into T = \bigsqcup_{a \in \mathcal{A}} T_a with T_a = (T \cap \mathcal{T}((a + \Lambda)_{\geq 0})).
For all a \in \mathcal{A} do
     Build the matrix H_{\{1\}\cup T_a,\{1\}\cup T_a}.
     Compute its column profile rank S_a.
For all m \in T \setminus S do
                                                                                                       // stabilize S for the division
 L := T \setminus S sorted for \prec.
G := \emptyset.
While L \neq \emptyset do
      g := \min_{\prec} L
      Find \mathbf{a} \in \mathcal{A} such that g \in \mathcal{T}((\mathbf{a} + \Lambda)_{>0}).
     Solve the linear system H_{S_a,S_a} \gamma + H_{S_a,\{g\}} = 0.
     G := G \cup \big\{ g + \sum_{s \in S_a} \gamma_s s \big\}.
     Remove g and any of its multiples from L.
Return G.
```

*Proof of Theorem 1.2.* This proof follows mostly the same steps as this of Theorem 1.1.

As the algorithm computes kernel vectors of matrices  $H_{\{1\}\cup T_a,\{1\}\cup T_a\}}$ , the corresponding polynomials can only have support in  $\{1\}\cup \mathcal{T}((a+\Lambda)_{\geq 0})$ .

Let *S* be the associated staircase of a reduced Gröbner basis of the ideal of C-relations of  $\nu$ . For each  $a \in \mathcal{A}$ , we let  $S_a = S \cap \mathcal{T}((a + \Lambda)_{\geq 0})$ .

Let us show first that no monomial  $m \notin S$  is found in the staircase by the algorithm. As  $m \in LM_{\prec}(I)$ , there exist  $g = LM_{\prec}(g) + \sum_{\alpha_s \in S_a} \alpha_s s \in I$  such that  $LM_{\prec}(g) \in \mathcal{T}((a + \Lambda)_{\geq 0})$  and

 $\operatorname{LM}_{\prec}(g)|m$ . Thus,  $\frac{m}{\operatorname{LM}_{\prec}(g)}g \in I$  and for all  $t \in \mathcal{T}$ ,  $\left[t \frac{m}{\operatorname{LM}_{\prec}(g)}g\right] = 0$ . In particular, this is true for all  $t \in T_{\mathbf{b}}$ , with  $m \in T_{\mathbf{b}}$ , so that column labeled with m is linearly dependent from the previous ones in  $H_{\{1\}\cup T_{\mathbf{b}},\{1\}\cup T_{\mathbf{b}}\}}$ . Hence, neither m nor any of its multiples is in the staircase associated to the output. That is, the computed staircase is included in the correct staircase.

It remains to prove the last statement. For any  $a \in \mathcal{A}$ , let  $S_a = S \cap \mathcal{T}((a + \Lambda)_{\geq 0})$ . A necessary and sufficient condition for the Lattice Scalar-FGLM algorithm to correctly guess  $\mathcal{G}$  is that for each a,  $S_a \subseteq S_a$ , which means that either  $S_a = S_a$  or  $S_a = \{1\} \cup S_a$ . In particular, if  $S_a = \{1\} \cup S_a$  and each submatrix of  $H_{S_a,S_a}$  is full rank, then these conditions are satisfied. Requiring that each submatrix of each matrix  $H_{S_a,S_a}$  is full rank can be turned into a nonzero polynomial system, made of their determinants, whose set of indeterminates is the matrix coefficients, i.e. the table terms [s] with  $s \in \bigcup_{a \in \mathcal{A}} 2S_a \subseteq 2S$ . Hence, there is a non empty Zariski open set of values for these table terms such that S and S are correctly guessed.

**Remark 3.3.** Adding a row labeled with 1 in the matrices is necessary to prevent computations of incorrect relations when one of them is divisible by a non trivial monomial. Let us consider a unidimensional table satisfying the relation  $x^4 + ax^2$  with  $a \in \mathbb{K}$  and let  $\Lambda = 2\mathbb{Z}$  and  $T = \{1, x, x^2, x^3, x^4\}$ , so that  $T_0 = \{1, x^2, x^4\}$  and  $T_1 = \{x, x^3\}$ . We thus build the matrices

$$H_{T_0,T_0} = {}^{1}_{x^2} \left( \begin{array}{ccc} 1 & x^2 & x^4 \\ [1] & [x^2] & [x^4] \\ [x^2] & [x^4] & [x^6] \\ [x^4] & [x^6] & [x^8] \end{array} \right), \ H_{T_1,T_1} = {}^{x}_{x^3} \left( \begin{array}{ccc} x & x^3 \\ [x^2] & [x^4] \\ [x^4] & [x^6] \end{array} \right).$$

By hypothesis, clearly column labeled with  $x^4$  is linearly dependent from the ones with label 1 and  $x^2$ . However, since  $\begin{bmatrix} x^4 + ax^2 \end{bmatrix} = \begin{bmatrix} x^6 + ax^4 \end{bmatrix} = 0$ , then column labeled with  $x^3$  is linearly dependent from the column labeled with x in the second matrix. Therefore, these matrices does not allow us to recover that  $x^3$  is in the staircase of the ideal of relations of the input table.

**Example 3.4.** Consider the table  $v = \left(2^i (j+1 \mod 3)\right)_{(i,j)\in\mathbb{N}^2}$  defined over  $\mathbb{Q}$ . Using, for instance, the Berlekamp–Massey–Sakata or the Scalar-FGLM algorithms, we can easily show that its ideal of relations is  $\langle y^3 - 1, x - 2 \rangle$ . Let us consider the lattice  $\Lambda = (0,3)\mathbb{Z} + (1,0)\mathbb{Z}$ , so that  $\mathcal{A} = \{(0,0),(0,1),(0,2)\}$  and  $T = \{1,y,y^2,y^3,y^4,y^5,x\}$ .

Then, Algorithm 2 builds the matrices

So that  $S_0 = \{1\}$ ,  $S_1 = \{1, y\}$  and  $S_2 = \{1, y^2\}$ . Hence  $S = \{1, y, y^2\}$ ,  $L = \{y^3, y^4, y^5, x\}$ . This yields the linear systems  $H_{S_0,S_0}\gamma + H_{S_0,\{y^3\}} = 0$  and  $H_{S_0,S_0}\gamma + H_{S_0,\{x\}} = 0$  allowing us to recover  $y^3 - 1$  and x - 2.

Notice that  $\mathbf{w} = \left(2^i (j \mod 3)\right)_{(i,j) \in \mathbb{N}^2}$  has the same ideal of relations. Yet, the algorithm will build the matrices

so that  $S_0 = \emptyset$ ,  $S_1 = \{1, y\}$ ,  $S_2 = \{1, y^2\}$  and  $S = \{1, y, y^2\}$ . Since the linear systems  $H_{S_0, S_0} \gamma + H_{S_0, \{x^3\}} = 0$  and  $H_{S_0, S_0} \gamma + H_{S_0, \{y\}} = 0$  are empty, they do not allow us to recover  $x^3 - 1$  and y - 2. Indeed,  $\emptyset = S_0 \neq S \cap \mathcal{T}(\Lambda_{>0}) = \{0\}$ .

Remark 3.5. While we assume that  $\Lambda$  is a sublattice of  $\mathbb{Z}^n$ , hence of rank n, it can actually be any  $\mathbb{Z}$ -submodule of smaller rank v. However, this means we can only guess an ideal of relations in v variables so that it may not be the whole ideal of relations. Nevertheless, this kind of restriction can be of interest in the P-finite application where the kernel equation makes us study the P-finite nature of a subsequence where some indices are set.

## 3.3. Application to the action of a matrix group

When applying a Gröbner basis change of orderings algorithm, such as [21], the idea is to build the multiplication matrix  $M_n$  of  $x_n$  for the already known Gröbner basis (typically  $\text{DRL}(x_n < \cdots < x_1)$ ), to pick a random vector  $\mathbf{r}$  and then to compute the table  $(\mathbf{r}^T M_n^i \mathbf{1})_{0 \le i \le 2D-1}$ , where D is the degree of the ideal and  $\mathbf{1}$  the first vector of the canonical basis and to recover the minimal polynomial of  $M_n$  (and  $x_n$ ) using Wiedemann and Berlekamp–Massey algorithms. It works well because  $M_n$  is actually sparse when the ideal is spanned by generic polynomials.

The goal of this section is to extend this approach to group actions on the ideal. In particular, we will restrict ourselves to finite matrix group actions, that is finite subgroups of GL(n) where  $A \in GL(n)$  acts on  $f(x) \in \mathbb{K}[x_1, \dots, x_n]$  by sending it to f(Ax).

## 3.3.1. Finite matrix group actions

We start by recalling some results on finite matrix group actions on ideals of  $\mathbb{K}[x]$ .

Since the group G is finite, by the invariant factors theorem, there exist  $q_1 \mid \cdots \mid q_\ell$  such that  $G \simeq \mathbb{Z}/q_1\mathbb{Z} \times \cdots \times \mathbb{Z}/q_\ell\mathbb{Z}$  and in particular, for any  $g \in G$ ,  $G^{q_\ell} = 1$  and  $q_\ell$  is minimal for this property.

Furthermore if  $|G| = q_1 \cdots q_\ell$  is not divisible by the characteristic of the coefficient field  $\mathbb{K}$ , then there exists a primitive  $q_\ell$ th root of unity  $\zeta$  such that the matrices in G are simultaneously diagonalizable with powers of  $\zeta$  on the diagonals, see [23, Theorem 2]. After this diagonalization process, which comes down to a linear change of variables, for each matrix in G, there exist natural numbers  $0 \le \varepsilon_1, \ldots, \varepsilon_n \le q_\ell - 1$  such that  $x_i$  is sent onto  $\zeta^{\varepsilon_i} x_i$  by this matrix.

**Definition 3.6** ([23, Definition 3]). Let  $G \simeq \mathbb{Z}/q_1\mathbb{Z}\times\cdots\times\mathbb{Z}/q_\ell\mathbb{Z}$ , with  $q_1 \mid \cdots \mid q_\ell$ , be a diagonal subgroup of GL(n) and  $\zeta$  be a  $q_\ell$ th root of unity, then there exist matrices  $D_1, \ldots, D_n$  spanning G such that each  $D_i$  has order  $q_i$ .

For each monomial  $m \in \mathcal{T}$ , there exist  $(\mu_1, \ldots, \mu_\ell) \in \mathbb{Z}/q_1\mathbb{Z} \times \cdots \times \mathbb{Z}/q_\ell\mathbb{Z}$  such that m is sent onto  $\zeta^{\mu_i q_\ell/q_i} m$  by  $D_i$ . Then, m is said to have G-degree  $(\mu_1, \ldots, \mu_\ell)$ .

Furthermore, a polynomial is G-homogeneous if all its monomials have same G-degree.

From this, one can prove that the G-degree of the product of two monomials is the sum of their G-degrees. Since the G-degree of the monomial 1 is  $(0, \ldots, 0)$ , then the subset of monomials of G-degree  $(0, \ldots, 0)$  is a sublattice  $\mathcal{T}(\Lambda_{\geq 0})$  of  $\mathcal{T}$ . A consequence of this is that if  $f_1, \ldots, f_s$  are G-homogeneous polynomials, then a reduced Gröbner basis of  $\langle f_1, \ldots, f_s \rangle$  is made of G-homogeneous polynomials as well and  $\langle f_1, \ldots, f_s \rangle$  is stable by the action of G, see [23, Theorem 4].

#### 3.3.2. Gröbner bases change of orderings

We shall say that a zero-dimensional ideal  $I \subset \mathbb{K}[x]$  has

**Property S,** if its reduced Gröbner basis for  $\text{Lex}(x_n < \cdots < x_1)$  is in *shape position*. That is, there exist  $g_1, \ldots, g_n \in \mathbb{K}[x_n]$  of degree at most D-1 such that this reduced Gröbner basis is  $\left\{x_n^D + g_n(x_n), x_{n-1} + g_{n-1}(x_n), \ldots, x_1 + g_1(x_n)\right\}$ .

**Property M,** if its reduced Gröbner basis for  $DRL(x_n < \cdots < x_1)$  satisfies the following condition. For every monomial m in the staircase associated to this Gröbner basis, either  $mx_n$  is in the staircase or it is the leading monomial of some polynomial in this Gröbner basis.

We assume that a reduced G-homogeneous Gröbner basis for  $\mathrm{DRL}(x_n < \cdots < x_n)$  satisfying property M is given and the goal is to recover the reduced Gröbner basis for  $\mathrm{LEX}(x_n < \cdots < x_n)$  satisfying property S. By G-homogeneity, the support of each polynomial in the target Gröbner basis,  $\left\{x_n^D + g_n(x_n), x_{n-1} + g_{n-1}(x_n), \ldots, x_1 + g_1(x_n)\right\}$ , is already known. It is given by the G-degree of its leading monomial, namely  $x_n^D, x_{n-1}, \ldots, x_1$ . Since G is finite, there exists d > 0 minimal such that  $x_n^d$  has G-degree  $(0, \ldots, 0)$  and there exists  $\delta_n, \ldots, \delta_1 \geq 0$ , all minimal, such that  $x_n^{\delta_n}$  has same G-degree as  $x_n^D$  and  $x_n^{\delta_i}$  has same G-degree as  $x_i$  for  $1 \leq i \leq n-1$ . Therefore, for  $1 \leq i \leq n$ , supp  $g_i = \left\{x_n^{\delta_i}, x_n^{\delta_i + d}, \ldots, x_n^{\delta_i + \left\lfloor \frac{D - 1 - \delta_i}{d} \right\rfloor d}\right\}$ .

Thus, the polynomial  $g_n$  can be computed by solving the following Hankel system

$$\begin{array}{c} x_n^{\delta_n} & x_n^{\delta_n} & x_n^{\delta_n+d} & \dots & x_n^{D-d} \\ x_n^{\delta_n} & \left[ x_n^{2\delta_n} \right] & \left[ x_n^{2\delta_n+d} \right] & \cdots & \left[ x_n^{D-d+\delta_n} \right] \\ x_n^{\delta_n+d} & \left[ \left[ x_n^{2\delta_n+d} \right] & \left[ x_n^{2\delta_n+2d} \right] & \cdots & \left[ x_n^{D-d+\delta_n} \right] \\ \vdots & \vdots & & \vdots \\ x_n^{\delta_n+\left\lfloor \frac{D-1-\delta_n}{d} \right\rfloor^d} = x_n^{D-d} & \left[ x_n^{D-d+\delta_n} \right] & \cdots & \left[ x_n^{2D-2d} \right] \end{array} \right) \begin{array}{c} x_n^{\delta_n} & x_n^{D+\delta_n} \\ \left[ x_n^{D+\delta_n+d} \right] \\ \vdots & \vdots & \vdots \\ \left[ x_n^{D-d} \right] & \left[ x_n^{D-d+\delta_n} \right] & \cdots & \left[ x_n^{2D-2d} \right] \end{array} \right) = 0.$$

As stated above, table terms  $\begin{bmatrix} x_n^i \end{bmatrix}$  are defined as  $\mathbf{r}^T M_n^i \mathbf{1}$  with  $\mathbf{r}$  picked at random. This is done by computing  $v_0 = \mathbf{r}^T$ ,  $v_1 = v_0 M_n$ ,  $v_2 = v_1 M_n$ , ... and then extracting the first coordinate of each vector to simulate the multiplication by  $\mathbf{1}$ .

Since, we do not need all the terms but only  $v_{2\delta_n}, v_{2\delta_n+d}, v_{2\delta_n+2d}, \ldots$ , we first compute  $v_{2\delta_n}$  and  $M_n^d$  in order to perform big steps. Let us notice that, following [20, 21], by property M, the columns of matrix  $M_n$  are either vectors of the canonical basis or dense vectors. Thus,  $M_n^d$  has the same shape as  $M_n$  with at most  $\max(D, kd)$  dense columns, where k is the number of dense columns in  $M_n$ . From [23] and the genericity assumption on I, we know we can split  $M_n$  in  $|G|^2$  matrices of size at most  $\lceil D/|G| \rceil$ . Furthermore, its dense columns are evenly split in the small matrices, i.e. the number of dense columns of each small matrix is at most  $\lceil k/|G| \rceil$ . Then, we can multiply all these small matrices accordingly to obtain the splitting of  $M_n^d$ .

Now, polynomials  $g_1, \ldots, g_{n-1}$  can be computed by solving a similar Hankel system:

$$x_n^{\delta_l} \left( \begin{array}{c} x_n^{\delta_l} & x_n^{\delta_l+d} & \dots & x_n^{\delta_l+\left\lfloor\frac{D-1-\delta_l}{d}\right\rfloor}d \\ x_n^{\delta_n} & \left[ x_n^{\delta_l+\delta_n} \right] & \left[ x_n^{\delta_l+\delta_n+d} \right] & \dots & \left[ x_n^{\delta_l+\delta_n+\left\lfloor\frac{D-1-\delta_l}{d}\right\rfloor}d \right] \\ x_n^{\delta_n+d} & \left[ x_n^{\delta_l+\delta_n+d} \right] & \left[ x_n^{\delta_l+\delta_n+2d} \right] & \dots & \left[ x_n^{\delta_l+\delta_n+\left\lfloor\frac{D-1-\delta_l}{d}\right\rfloor}d \right] \\ \vdots & \vdots & \vdots & & \vdots \\ x_n^{D-d} & \left[ x_n^{\delta_l+\delta_n+d} \right] & \left[ x_n^{\delta_l+\delta_n+2d} \right] & \dots & \left[ x_n^{\delta_l+\delta_n+\left\lfloor\frac{D-1-\delta_l}{d}\right\rfloor}d \right] \\ y + & \vdots & \vdots & & \vdots \\ x_n^{D-d} & \left[ x_n^{\delta_n+d} \right] & \vdots \\ \left[ x_n^{D-d} \right] & \vdots & \vdots \\ x_n^{D-d} & \left[ x_n^{D-d} \right] \end{array} \right) = 0.$$

However, the matrices might all be different. In order to speed up the computation, we change the linear systems into ones with the same matrix as the first one. This is done by multiplying all the columns labels by  $x_n^{\delta_n - \delta_i}$ .

**Proposition 3.7.** Let  $I \subset \mathbb{K}[x]$  be a zero-dimensional ideal of degree D, invariant under the action of a finite diagonal matrix group G. Let us assume that I satisfies both properties S and M and that matrix  $M_n$  has k dense columns. Let furthermore S be the staircase associated to the Lex $(x_n < \cdots < x_1)$  Gröbner basis of I,  $\mathcal{T}(\Lambda_{\geq 0})$  be the set of monomials of G-degree O and for  $A, B \subseteq \mathcal{T}$ ,  $A + B = \{ab | a \in A, b \in B\}$  be the Minkowski sum of A and B.

Then, we can recover the LEX $(x_n < \cdots < x_1)$  Gröbner basis,  $\mathcal{G}$ , of I from its DRL $(x_n < \cdots < x_1)$  Gröbner basis using  $\#((S \cap \mathcal{T}(\Lambda_{\geq 0})) + ((S \cap \mathcal{T}(\Lambda_{\geq 0})) \cup LM_{\prec}(\mathcal{G})))$  table terms and  $O\left(\frac{kD^2}{|G|}\right)$  operations.

*Proof.* Since ideal I satisfies property S, the staircase S associated to its  $Lex(x_n < \cdots < x_1)$  Gröbner basis is  $\left\{1, x_n, \ldots, x_n^{D-1}\right\}$ . Therefore, by definition of d,  $S \cap \mathcal{T}(\Lambda_{\geq 0}) = \left\{1, x_n^d, \ldots, x_n^{\left\lfloor \frac{D-1}{d} \right\rfloor d}\right\}$ . Thus, the matrix rows labels are in bijection with a subset of  $S \cap \mathcal{T}(\Lambda_{\geq 0})$  while the matrix columns labels and the right-hand side columns labels are in bijection with a subset of  $(S \cap \mathcal{T}(\Lambda_{\geq 0})) \cup LM_{\prec}(\mathcal{G})$ . This show that only  $\#((S \cap \mathcal{T}(\Lambda_{\geq 0})) + ((S \cap \mathcal{T}(\Lambda_{\geq 0})) \cup LM_{\prec}(\mathcal{G})))$  table terms are required.

Since I also satisfies property G, then  $M_n$  has k dense columns and D-k columns that are vectors of the canonical basis. Furthermore, these dense columns correspond to G-homogeneous polynomials, so that each of them only has at most O(D/|G|) nonzero coefficients. Thus,  $M_n$  has O(kD/|G|) nonzero coefficients. Now, computing  $v_{2\delta_n}$  requires  $2\delta_n$  multiplications between  $M_n$  and a vector. Hence  $v_{2\delta_n}$  can be computed in  $O(\delta_n kD/|G|)$  operations.

and a vector. Hence  $v_{2\delta_n}$  can be computed in  $O(\delta_n kD/|G|)$  operations. It remains to compute  $v_{2\delta_n+id} = \mathbf{r}^T M_n^{2\delta+id}$  for all i up to  $(2D-d-2\delta_n)/d$  by successive multiplications by  $M_n^d$ . While  $M_n^d$  has  $\max(D,kd)$  dense columns, these dense columns still represent G-homogeneous polynomials, thus  $M_n^d$  has O(kdD/|G|) nonzero coefficients. Hence, all these vectors can be computed in  $O(kD^2/|G|)$  operations.

Finally, these linear systems are Hankel of size O(D/d) and can be solved in  $O\left(nM\left(\frac{D}{d}\right)\log\frac{D}{d}\right)$  operations, which is not the bottleneck of the algorithm.

Let us note that this extends [23, Theorem 10] where the complexity  $O(D^3)$  drops to  $O(\frac{D^3}{|G|^2})$ .

# 4. Adaptive approach

## 4.1. The Adaptive Scalar-FGLM algorithm

The Adaptive Scalar-FGLM algorithm aims at computing the minimal Gröbner basis of the ideal of relations of the input table v for the input monomial ordering < by minimizing the number of table queries. To do so, the goal is to build the greatest full-rank multi-Hankel matrix given by v increasingly. That is, we start with the empty set  $S = \emptyset$ . If  $H_{S \cup \{x^i\}, S \cup \{x^i\}}$  has a greater rank than  $H_{S,S}$ , then S is replaced by  $S \cup \{x^i\}$ . Otherwise we have found a relation with leading monomial  $x^i$  and we shall never try any multiple of  $x^i$  as a new term in S.

In the cone setting, as in Section 3.1, the two strategies can be used. If we build an auxiliary table  $w \in \mathbb{K}^{\mathbb{N}^r}$ , then the Adaptive Scalar-FGLM algorithm can directly be called on w provided we only try to add monomials  $y^j$  that are in  $\mathcal{T}(\mathbb{N}^r)/I(C)$ . If we rather call it on the original table  $v \in \mathbb{K}^{\mathbb{N}^n}$ , then we modify the algorithm so that only monomials in  $\mathcal{T}(C)$  are used. Furthermore, once a relation with leading monomial  $x^i$  is found, we shall never try any multiple  $x^{i+j}$  in the cone, i.e. with  $x^j \in \mathcal{T}(C)$ .

**Example 4.1.** Consider the linear King walk  $\mathbf{v} = (v_{i_0,i_1})_{(i_0,i_1) \in \mathbb{N}^2}$  counting the number of ways to reach  $i_1$  in  $i_0$  steps of size 1 starting from 0 in the nonnegative ray. It is clear that  $v_{i_0,i_1} = 0$  whenever either  $i_1 > i_0$  or  $i_0 + i_1 = 1 \mod 2$ , so that we shall only consider the cone

$$C = \{(i_0, i_1) \in \mathbb{N}^2 | i_0 + i_1 = 0 \mod 2, i_1 \le i_0 \}$$
  
=  $(1, 1)\mathbb{N} + (0, 2)\mathbb{N}$ .

Assume we consider the Lex( $x_1 < x_0$ ) ordering, so that  $\mathcal{T}(C) = \{1, x_0x_1, x_0^2, x_0^2x_1^2, x_0^4, \ldots\}$ .

- 1. We build the matrix  $\begin{pmatrix} 1 \\ 1 \end{pmatrix}$  which has full rank.
- 2. We increase the matrix by adding monomials in  $\mathcal{T}(C)$  so we build  $\begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$  which does not have full rank, so we have (incorrectly) found that  $x_0x_1 1$  is in the ideal of relations.
- 3. We increase the matrix to  $\begin{pmatrix} 1 & x_0^2 \\ 1 & 1 \\ 1 & 2 \end{pmatrix}$  which has full rank.
- 4. We increase the matrix to  $x_0^2$   $\begin{pmatrix} 1 & x_0^2 & x_0^4 \\ 1 & 1 & 2 \\ 1 & 2 & 5 \\ 2 & 5 & 14 \end{pmatrix}$  which has full rank.
- 5. And so on

In the lattice setting however, we need to be more careful. We shall make one matrix per element in  $\mathbb{Z}^n/\Lambda$  and each time we must add an extra column and an extra row, they will be added to the matrix corresponding to the monomial labeling the extra column. If there is no rank increase, then as usual a relation is found and no multiple of this monomial will ever label any new column in *any* matrix. This yields Algorithm 3 and Theorem 1.3.

```
Algorithm 3: Lattice Adaptive Scalar-FGLM
Input: A table v = (v_i)_{i \in \mathbb{N}^n} with coefficients in \mathbb{K}, a monomial ordering \prec, a nonnegative lattice
          \Lambda \subseteq \mathbb{N}^n, a set \mathcal{A} \subseteq \mathbb{N}^n containing 0 such that \Lambda + \mathcal{A} = \mathbb{Z}^n.
Output: A set G of relations.
If v_{(0,...,0)} = 0 then Return [1].
L \coloneqq \{x_1, \ldots, x_n\}.
Sort L by increasing order wrt. \prec.
G := \emptyset
                                                                                                         // the future set of relations
For all a \in \mathcal{A} do S_a := \{1\}.
                                                                                                                 // the future staircase
While L \neq \emptyset do
     m := first element of L and remove it from L.
     Pick \mathbf{a} \in \mathcal{A} such that m \in \mathcal{T}((\mathbf{a} + \Lambda)_{>0}).
      S' := S_a \cup \{m\}.
     If H_{S',S'} is full rank then
                                                                                                                          // No relation
           S_a := S'.
           L := L \cup \{x_1 m, \dots, x_n m\} Sort L by increasing order wrt. < and remove duplicates and
             multiples of LM_{\prec}(G).
                                                                                                                            // Relation!
           Solve H_{S_a,S_a} \gamma + H_{S_a,\{m\}} = 0.
           G := G \cup \{m + \sum_{s \in S_a} \gamma_s s\} and remove multiples of m in L.
return G.
```

Proof of Theorem 1.3. At step  $m = LM_{\prec}(g)$ , only monomials less than m can have been added to  $S_a$ . Thus, the current set  $S_a$  is actually the final set  $S_a$  with only elements less than m, i.e.  $S_a \cap \{t < m\}$ . Now,  $H_{S_a \cap \{t < m\}, S_a \cap \{t < m\}, \{m\}} = 0$  is equivalent to [gs] = 0 for any s a row index, that is  $s \in S_a$  with s < m.

Let us prove the second assertion. For any  $a \in \mathcal{A}$ , let  $S_a = S \cap \mathcal{T}((a + \Lambda)_{\geq 0})$ . A necessary and sufficient condition for the Lattice Adaptive Scalar-FGLM algorithm to correctly guess  $\mathcal{G}$  is that for each a,  $S_a \subseteq S_a$ , which means that  $S_a = \{1\} \cup S_a$ . This can only happen if, for each a and each monomial  $m \in S_a$ , the rank condition is fulfilled. A sufficient condition for this to happen is that matrix  $H_{\{1\} \cup S_a, S_a\}}$  does not have *any* rank-defect submatrix. This can in turn be translated into a nonzero polynomial system, made of the determinants of all the submatrices, whose set of indeterminates is the matrix coefficients, i.e. the table terms [s] with  $s \in \bigcup_{a \in \mathcal{A}} 2(\{1\} \cup S_a) \subseteq 2S$ . Hence, there is a non empty Zariski open set of values for these table terms such that S and G are correctly guessed.

**Remark 4.2.** If an incorrect staircase is guessed, then not much can be said on the output set of polynomials compared to the correct Gröbner basis. However, we know that the guessed staircase is included in the correct one.

**Example 4.3.** Let us consider the same table as in Example 3.4,  $\mathbf{v} = (2^i (j+1 \mod 3))_{(i,j) \in \mathbb{N}^2}$  and its associated lattice  $\Lambda = (0,3)\mathbb{Z} + (1,0)\mathbb{Z}$ , so that  $\mathcal{A} = \{(0,0),(0,1),(0,2)\}$ . We also consider the Lex(y < x) ordering.

1. We build three matrices  $\begin{pmatrix} 1 \\ 1 \end{pmatrix}$  which have full rank.

- 2. We increase the second matrix to  $\begin{pmatrix} 1 & y \\ 1 & 2 \\ 2 & 0 \end{pmatrix}$  which has full rank.
- 3. We increase the third matrix to  $\begin{pmatrix} 1 & y^2 \\ 1 & 0 \\ 0 & 2 \end{pmatrix}$  which has full rank.
- 4. We increase the first matrix to  $\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$  which does not have full rank so that we have found that  $y^3 1$  is in the ideal of relations.
- 5. We increase the first matrix to  $\begin{pmatrix} 1 & 2 \\ 2 & 4 \end{pmatrix}$  which does not have full rank so that we have found that x-2 is in the ideal of relations.
- 6. We return  $\{y^3 1, x 2\}$ .

#### 4.2. Mixed approach for guessing P-relations

In [6], the authors proposed a mixed approach for guessing P-relations based on a Gröbner basis computations for reducing the number of table queries. The idea is that if two polynomials  $g_1, g_2 \in \mathbb{K} \langle t, x \rangle$  are P-relations satisfied by the table, then any polynomial in  $\langle g_1, g_2 \rangle$  is also a P-relation. Therefore, as soon as two P-relations  $g_1$  and  $g_2$  are guessed, the goal is to compute a Gröbner basis  $\{g_1, g_2, \ldots, g_r\}$  of  $\langle g_1, g_2 \rangle$ . This will yields polynomials, namely  $g_3, \ldots, g_r$ , whose leading monomials are not in  $\langle LM_{\prec}(g_1), LM_{\prec}(g_2) \rangle$ . The advantage of this method is two-fold. First, since  $LM_{\prec}(g_3), \ldots, LM_{\prec}(g_r) \rangle = LM_{\prec}(g_1), LM_{\prec}(g_2)$ , they require more queries to the table to be correctly guessed. Yet, such a Gröbner basis computation does not require any more queries. Then, these P-relations may help us determine that the ideal of P-relations is 0-dimensional in  $\mathbb{K}(t)\langle x \rangle$ . This is a necessary condition for the table to be P-finite.

The aim of this section is to extend this approach for guessing P-relations of a table when only considering terms in a cone or when the ideal of relations is stable by the action of a subgroup of GL(n).

**Lemma 4.4.** Let  $\mathcal{T}(C)$  be a cone of monomials in  $x_1, \ldots, x_n$ , as before. Let us assume that  $f_1, f_2 \in \mathbb{K} \langle t, x \rangle$  are both polynomials with monomials in  $\mathcal{T}(\mathbb{N}^n) \times \mathcal{T}(C) = \{t^k x^i | x^i \in \mathcal{T}(C)\}$ . Then, any polynomial  $f_1a_1 + f_2a_2$  in the right ideal  $\langle f_1, f_2 \rangle$ , such that  $\operatorname{supp} a_1, \operatorname{supp} a_2 \in \mathcal{T}(\mathbb{N}^n) \times \mathcal{T}(C)$ , has its support in  $\mathcal{T}(\mathbb{N}^n) \times \mathcal{T}(C)$  as well.

In particular, we can compute a sparse Gröbner basis of  $\langle f_1, f_2 \rangle$  with monomials all in  $\mathcal{T}(\mathbb{N}^n) \times \mathcal{T}(C)$  using Buchberger's algorithm or Faugère's  $F_4$  algorithm, restricted to only multiplying the polynomials by monomials in  $\mathcal{T}(\mathbb{N}^n) \times \mathcal{T}(C)$ .

*Proof.* We need to prove that if supp f and supp a are in  $\mathcal{T}(\mathbb{N}^n) \times \mathcal{T}(C)$ , then so is supp fa. By linearity, this comes down to proving that if two monomials  $t^\ell x^j$  and  $t^k x^i$  are in  $\mathcal{T}(\mathbb{N}^n) \times \mathcal{T}(C)$ , then so is the support of their product. Since

$$t^{\ell} x^{j} t^{k} x^{i} = t^{\ell} (t - j)^{k} x^{j+i}$$

$$= \sum_{q_{1}, \dots, q_{n} = 0}^{\ell_{1}, \dots, \ell_{n}} {k_{1} \choose q_{1}} \cdots {k_{n} \choose q_{n}} (-j_{1})^{k_{1} - q_{1}} \cdots (-j_{n})^{k_{n} - q_{n}} t_{1}^{\ell_{1} + q_{1}} \cdots t_{n}^{\ell_{n} + q_{n}} x^{j+i}$$

and  $x^{j+i} \in \mathcal{T}(C)$ , then  $t^{\ell}x^{i}t^{k}x^{i} \in \mathcal{T}(\mathbb{N}^{n}) \times \mathcal{T}(C)$ .

Now, in  $\mathcal{T}(\mathbb{N}^n) \times \mathcal{T}(C)$ , we can define the *division* of monomials with  $m_2|m_1$  if there exists  $m_3 \in \mathcal{T}(\mathbb{N}^n) \times \mathcal{T}(C)$  such that  $m_1 = m_2 m_3$ . Then, we can make a new S-polynomial of two polynomials with supports in  $\mathcal{T}(\mathbb{N}^n) \times \mathcal{T}(C)$  by considering the LCM in  $\mathcal{T}(\mathbb{N}^n) \times \mathcal{T}(C)$  of their leading monomials.

This lemma shows that the definition of sparse Gröbner bases and the algorithmic techniques to compute them in [22] can be extended to skew polynomial rings.

Using the definitions and notation of Section 3.3.1, we have the following lemma.

**Lemma 4.5.** Let G be a finite group of diagonal matrices acting on  $t_1, \ldots, t_n, x_1, \ldots, x_n$ , then G lets  $t_1, \ldots, t_n$ , each, invariant.

Assume that  $f_1, f_2 \in \mathbb{K} \langle t, x \rangle$  are both G-homogeneous polynomials, then their S-polynomial is also G-homogeneous. Thus, so are all the elements of a reduced Gröbner basis of  $\langle f_1, f_2 \rangle$ .

*Proof.* There exists a root of unity  $\zeta$  such that for each matrix in G, there exist integers  $\tau_1, \ldots, \tau_n$ ,  $\varepsilon_1, \ldots, \varepsilon_n$  such that for all  $1 \le p \le n$ ,  $x_p$  is sent onto  $\zeta^{\varepsilon_p} x_p$  and  $t_p$  onto  $\zeta^{\tau_p} t_p$ .

Therefore,  $t_p x_p - x_p t_p = x_p$  is sent on both  $\zeta^{\tau_p} t_p \zeta^{\varepsilon_p} x_p - \zeta^{\varepsilon_p} x_p \zeta^{\tau_p} t_p = \zeta^{\tau_p + \varepsilon_p} \left( t_p x_p - x_p t_p \right) = \zeta^{\tau_p + \varepsilon_p} x_p$  and  $\zeta^{\varepsilon_p} x_p$ . Thus,  $\zeta^{\tau_p} = 1$  and G lets  $t_p$  invariant. By Definition 3.6, this means that the G-degree of  $t_p$  is 0 so that the  $t^k x^i$  and  $x^i$  have same G-degree.

The S-polynomial of  $f_1$  and  $f_2$  is  $f_1t^kx^i - f_2\frac{\operatorname{LC}_{<(f_1)}}{\operatorname{LC}_{<(f_2)}}t^tx^j$  with  $t^k\operatorname{LM}_{<}(f_1)x^i = t^\ell\operatorname{LM}_{<}(f_2)x^j = \operatorname{GCD}\left(\operatorname{LM}_{<}(f_1),\operatorname{LM}_{<}(f_2)\right)$ , where  $\operatorname{LC}_{<}(f)$  stands for leading coefficient of f, i.e. the coefficient of  $\operatorname{LM}_{<}(f)$ . Since both terms of the sum have the same leading monomial, it remains to show that multiplying a polynomial by a monomial preserves the G-homogeneity. Since  $t^\ell x^j t^k x^i = t^\ell (t-j)^k x^{j+i}$ , then it is a G-homogeneous polynomial of same G-degree as  $x^{j+i}$ . Now, the G-degree of  $x^{j+i}$  is the sum of the G-degrees of  $x^j$  and  $x^i$  and thus of  $t^\ell x^j$  and  $t^k x^i$ .

From Lemmas 4.4 and 4.5, we can compute a Gröbner basis or a sparse Gröbner basis of the ideal spanned by skew polynomials associated to P-relations to guess new P-relations in the cone and lattice settings.

**Corollary 4.6.** Let G be a finite diagonal matrix group acting on variables t and x. Let  $I = \langle f_1, \ldots, f_s \rangle \subset \mathbb{K} \langle t, x \rangle$  be an ideal spanned by G-homogeneous polynomials. Then, one can compute a Gröbner basis of I by using a quasi-commutative variant of the  $F_4$  algorithm [17] building |G| Macaulay matrices for each G-degree.

# 5. Experiments

In this section, we report on our implementations of these methods. In Table 1, we consider the FGLM application running on an Intel Xeon E-2286M with 32 GB of RAM. We compute first a Gröbner basis of an ideal invariant by the action of a finite diagonal group  $\mathbb{Z}/n\mathbb{Z}$ . and then the eliminating polynomial of the last variable. The number n in the names of the systems denotes the number of variables and the computations were done modulo  $2^{30} such that a primitive <math>n$ th root of unity exists in  $\mathbb{Z}/p\mathbb{Z}$ . We implemented in C the Sparse-FGLM algorithm [20, 21], it generates a scalar table first and then guesses its C-relation with the Berlekamp–Massey algorithm. The first part is the bottleneck of the method. In column Sparse-FGLM, we use the whole multiplication matrix, while in column lattice Sparse-FGLM, we use the n nonzero blocks of the multiplication matrix to perform the computations and taking advantage of the action of  $\mathbb{Z}/n\mathbb{Z}$ .

We also compare with Maple 2019 where we use Groebner: -FGLM to compute a Gröbner basis for an ordering eliminating all the variables but the last one. As expected by Proposition 3.7, using the splitting of the multiplication matrix allows us to divide the computation time by n.

Type Degree	Sparse-H	FGLM	lattice Sparse-FGLM		Maple
	Seq. gen.	Guess.	Seq. gen.	Guess.	
Cyclic-6 156	1 380	70	180	10	120 000
Cyclic-7 924	63 000	870	4 5 5 0	50	13 s
Random-3 294	2 800	260	950	140	510 000
Random-4 896	66 000	870	8 100	450	2 000 s
Random-6 1656	340 000	1 600	24 000	590	1 200 s
Random-5 2000	410 000	2 300	33 000	400	49 s

Table 1: FGLM application with the action of  $\mathbb{Z}/n\mathbb{Z}$  (in  $\mu s$ ).

We also implemented the Scalar-FGLM algorithm for guessing P-relations of tables in Maple 2019. We investigate Gessel planar walk g with steps in  $\{(1,0),(1,1),(-1,0),(-1,-1)\}$  and the 3D-space Walk-43 w of [9] with steps in  $\{(-1,-1,-1),(-1,-1,1),(-1,1,0),(1,0,0)\}$ . In particular, we restrict ourselves to a subsequence of each where one index is 0. Walks come naturally with a cone structure: for instance whenever  $n \neq 2n' + 2j$ , then  $g_{n,0,j} = 0$ . Likewise, whenever  $n \neq 8n' + 2j + 4k$ , then  $w_{n,0,j,k} = 0$ .

In Table 2, we report on the number of computed relations and the number of relations that do not fail after further testing. We tested two kinds of matrices: matrices almost square, with just a little bit more rows than columns, and matrices with many more rows than columns.

We can notice, as expected in both cases, that by considering only terms on the nonzero cone we guess many fewer false positive P-relations. This happens despite our matrices having fewer rows in the cone setting than in the full orthant setting, i.e. a priori the relations have fewer constraints. This means that amongst these constraints more are linearly independent and that in general the number of linearly dependent rows is responsible for the matrix rank decrease. As a byproduct, this reduces the number of operations. In general, these false positive P-relations come from the first terms of the sequence that are far from random.

Type	Cone C				Full Orthant $\mathbb{N}^n$			
	Matrix size	rix size Queries		ations	Matrix size	Queries	Relations	
	Maura Size	Queries	Fake	Correct	Maura Size	Queries	Fake	Correct
$g_{n,0,j}$	444× 441	866	11	0	496 × 495	946	48	0
$g_{n,0,j}$	$631 \times 564$	1 174	0	0	$1326 \times 661$	1 942	84	0
$g_{n,0,j}$	$721 \times 711$	1 408	15	8	$726 \times 715$	1 386	67	0
$g_{n,0,j}$	$1951\times 1089$	3 010	0	21	$2556\times 1001$	3 491	136	6
$W_{n,0,i,j}$	$223 \times 211$	430	7	1	$220 \times 210$	395	24	0
$W_{n,0,i,j}$	$444 \times 253$	552	2	1	$680 \times 267$	912	37	0
$W_{n,0,i,j}$	106 100	799	11	6	$406 \times 400$	771	27	0
$W_{n,0,i,j}$	$806 \times 522$	1 320	2	6	$1540 \times 589$	2 073	68	0

Table 2: Guessing fake and correct P-relations.

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