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Luong Duy Thanh, Damien Jougnot, Phan van Do, Aida Mendieta, Nguyen Xuan Ca, et al.. Electroosmotic Coupling in Porous Media, a New Model Based on a Fractal Upscaling Procedure. Transport in Porous Media, 2020, 134 (1), pp.249-274. 10.1007/s11242-020-01444-7. hal-02950764

### HAL Id: hal-02950764 https://hal.sorbonne-universite.fr/hal-02950764v1

Submitted on 30 Sep 2020

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## Electroosmotic coupling in porous media, a new model based on a fractal upscaling procedure

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#### 13 Abstract

Electrokinetic and electroosmotic couplings can play important roles in water and ions transport in charged porous media. Electroosmosis is the phenomena explaining the water movement in a porous medium subjected to an electrical field. In this work, a new model is obtained through a new up-scaling procedure, considering the porous medium as a bundle of tortuous capillaries of fractal nature. From the model, the expressions for the electroosmosis pressure coefficient, the relative electroosmosis pressure coefficient, the maximum back pressure, the maximum flow rate, the flow rate-applied back pressure relation and the product of the permeability and formation factor of porous media are also obtained. The sensitivity of the relative electroosmosis pressure coefficient is then analyzed and explained. The model predictions are then successfully compared with published datasets. Additionally, we deduce an expression for the relative streaming potential coefficient and then compare it with a previously published model and experimental data from a dolomite rock sample. We find a good agreement between those models and experimental data, opening up new perspectives to model electroosmotic phenomena in porous media saturated with various fluids.

June 26, 2020

- <sup>14</sup> *Keywords:* Electroosmosis; Electrokinetics; Water saturation; Zeta
- <sup>15</sup> potential; Fractal; Porous media

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Electroosmosis is one of the effects of electrokinetic phenomena that oc18 cur in porous media with surface charges when filled with one or more fluids 19 containing charged particles. It arises due to the induced movement of a liq<sub>20</sub> uid by a voltage across a porous sample and is directly linked to an electrical <sup>21</sup> double layer between the solid grain surface and the pore solution. Electroos<sub>22</sub> mosis has been studied both experimentally and theoretically for a long time <sup>23</sup> (e.g., Reuss, 1809; Quincke, 1861; Smoluchowski, 1902; Nourbehecht, 1963; 24 Lyklema, 1995). Electroosmotic flow is a critical phenomenon that is used in 25 a variety of applications. For example, electroosmotic flow pumps have been 26 used in different fields of microfluidics such as biological and chemical anal<sub>27</sub> ysis (Good et al., 2006), liquid drug reagent injection/delivery (e.g., Tsai & 28 Sue, 2007; Wang et al., 2009), microelectronic chip cooling (e.g., Linan Jiang 29 et al., 2002; Singhal et al., 2004) and microfluidic devices (e.g., Hu & Li, 2007; 30 Bruus, 2008; Kirby, 2010). Solutes and nonaqueous phase liquids/dense non<sub>31</sub> aqueous phase liquids can be removed by an electroosmotic technique in the 32 vadose zone for remediation purposes (e.g., Bruell et al., 1992; Wise & Tran<sub>33</sub>tolo, 1994; Han et al., 2004; Reddy et al., 1997). Additionally, electroosmo<sub>34</sub> sis has great potential for the dewatering of earth masonry structures (e.g., 35 Casagrande, 1983; Lockhart & Hart, 1988; Larue et al., 2006) or drying mois<sup>36</sup> ture ingress in existing buildings, stone and earth masonry structures (e.g., 37 Lockhart & Hart, 1988; Ottosen & Ro rig-Dalgaard, 2006; Bertolini et al.,

38 2009).

<sup>39</sup> Many studies on electroosmosis flow reported in the literature use cylin-

drical capillaries or microchannels between two parallel plates (e.g., Rice
 <sup>41</sup>& Whitehead, 1965; Levine et al., 1975; Olivares et al., 1980; Ohshima &
 <sup>42</sup>Kondo, 1990; Mohiuddin Mala et al., 1997; Vennela et al., 2011). In

porous 43 media, electroosmotic flow has been presented using capillary bundle models 44 with different capillary geometry such as rectangular, cylindrical and annular 45 geometries (e.g., Wu & Papadopoulos, 2000; Pascal et al., 2012). Bandopad46 hyay et al. (2013) introduced the parameter of the electro-permeability that 47 relates the flow rate with the applied voltage in porous media. Based on 48 the electroosmotic flow in a single capillary, models for the height difference 49 between the U-tube experiment caused by electroosmosis in a fully saturated 50 porous medium were presented (e.g., Paillat et al., 2000; Liang et al., 2015). 51 For characterization of electroosmotic miropumps fabricated by packing non52 porous silica particles, a bundle of capillary tubes model was applied (e.g., 53 Zeng et al., 2001; Yao & Santiago, 2003). Besides capillary tubes models, 54 other approaches based on volume-averaging upscaling can be also applied 55 to calculate the electrokinetic coupling in porous media (e.g., Pride, 1994; 56 Revil & Linde, 2006; Revil et al., 2007).

<sup>57</sup>It has been shown that natural porous media have fractal properties. <sup>58</sup>Their pore space is statistically self-similar over several length scales (among <sup>59</sup>many others, see Mandelbrot, 1982; Katz & Thompson, 1985; Yu & Cheng, <sup>60</sup>2002). Theory on the fractal nature of porous media has attracted much <sup>61</sup>attention in different areas (e.g., Mandelbrot, 1982; Feder & Aharony, 1989). <sup>62</sup>Therefore, models based on the fractal theory have been applied to study <sup>63</sup>phenomena in both fully and partially saturated porous media (e.g., Cai <sup>64</sup>et al., 2012a,b; Liang et al., 2014; Guarracino & Jougnot, 2018; Soldi et al., <sup>65</sup>2019; Thanh et al., 2018, 2019). However, to the best of our knowledge, <sup>66</sup>the fractal theory has not yet been used to study electroosmosis in a porous <sup>67</sup>medium under water saturated and partially saturated conditions.

<sup>68</sup> In this work, we apply fractal theory in porous media to obtain a mech<sub>69</sub> anistic analytical model to describe electroosmotic flow in porous media us<sub>70</sub> ing a capillary tube model. From the derived model, the expressions for <sup>71</sup> the electroosmotic pressure coefficient, the relative electroosmosis pressure <sup>72</sup> coefficient, the maximum back pressure, the maximum flow rate, the flow <sup>73</sup> rate-applied back pressure relation and the product of the formation fac<sub>74</sub> tor and permeability of porous media are also obtained. To validate the

<sup>75</sup> model, the sensitivity of the relative electroosmosis pressure coefficient  $K_{E}^{r}$ 

<sup>76</sup> to irreducible water saturation  $S_{irr}$ , ratio of the minimum pore radius to the <sup>77</sup> maximum pore radius  $\alpha$  and fractal dimension for pore space  $D_f$  is analyzed <sup>78</sup> and explained. The model is then compared with published results in both <sup>79</sup> cases of full saturation and partial saturation. Additionally, the expression <sup>80</sup> for the relative streaming potential coefficient  $K_S^r$  is also deduced from  $K_E^r$ . <sup>81</sup>From that, the change of the relative streaming potential coefficient  $K_S^r$  with <sup>82</sup> the water saturation is predicted and compared with another model and with <sup>83</sup> experimental data for a dolomile rock sample available in literature.

#### 84 2. Theoretical background of electroosmosis

#### *2.1. Theory of electroosmosis*

<sup>86</sup>Porous media are constituted by minerals (e.g., silicates, oxides, carbon<sup>87</sup>ates) or other materials (e.g., polymers, biological materials) that are gener<sup>88</sup>ally electrically charged due to isomorphic substitutions (e.g., Hunter, 1981;



Figure 1: (a) Sketch of the electrical double layer at the surface of a mineral in contact with water. Comparison between the Debye-Hu<sup>-</sup>ckel (DH) approximation (plain line, Eq. (13)) and the Poisson-Boltzman equation (dashed line) to compute (b) the electrical potential distribution and (c) the ionic species relative concentration distribution in a capillary ( $R = 0.25 \ \mu$ m) containing a NaCl electrolyte with  $10^{-4} \text{ mol/L}$  (i.e.,  $\lambda = 0.0304 \ \mu$ m). Note that the dashed and plain lines are perfectly superimposed, validating the use of Eq. (13).



Figure 2: Electroosmosis flow in a capillary tube.

<sup>39</sup>Jacob & Subirm, 2006) as shown in Fig. 1. The pore fluid nearby solid <sup>90</sup> solution interface contains an excess of charges (counter-ions) to insure the 91 electro-neutrality of the entire system. These counter-ions are often cations 92 and surface charges are often negatively charged in environmental conditions. 93 Note that the surface charges strongly depend on the pH and that the sign 94 can change at low pH. The value at which the sign changes is called the point 95 of zero charge (e.g., Hunter, 1981; Leroy & Revil, 2004). This gives rise to 96 the charge distribution known as the electrical double layer (EDL) as shown 97 in Fig. 1a. The EDL is composed of a Stern layer, where counter-ions are 98 adsorbed onto the solid surface and are immobile, and a diffuse layer that 99 contains mobile counter-ions and co-ions. In the diffuse layer, the distribu<sup>100</sup> tion of ions and electric potential are governed by the Poisson-Boltzman (PB) 101 equation in quasistatic conditions. The solution to the linear PB equation 102 for a cylinder is well-known and the electric potential decays over distance 103 from the charged surface as displayed in Fig. 1b using the code provided by 104 Leroy & Maineult (2018). Further away from the solid-solution is the bulk 105 electrolyte, free from surface charge influence, it contains an equal number 106 of cations and anions and is therefore electrically neutral (Fig. 1c). The 107 shear plane or the slipping plane is the closest place to the solid in which 108 water flow occurs and the electrical potential at this plane is called the zeta 109 potential ( $\zeta$ ). The zeta potential depends on parameters including mineral 110 composition of porous media, ionic species that are present in the fluid, the 111 pH of the fluid, fluid electrical conductivity and temperature etc. (see Hunter 112 (1981); Davis et al. (1978); Jaafar et al. (2009) for more details). 113 Reuss (1809) carried out the

first experiment on electroosmosis by ap<sub>114</sub> plying a DC voltage across a water saturated porous sample in a U-tube. <sup>115</sup> When a DC voltage is applied across a capillary containing water, ions in the <sup>116</sup> EDL are submitted to an electric force and move to the electrode of oppo-

<sup>117</sup> site polarity. That leads to the movement of the fluid near the solid surface <sup>118</sup> as well as the bulk liquid due to viscous forces. The net motion of liquid is <sup>119</sup> called electroosmotic flow (Fig. 2). The pressure necessary to counterbalance <sup>120</sup> electroosmotic flow is defined as the electroosmotic pressure (e.g., Jacob & <sup>121</sup> Subirm, 2006).

#### 122 2.2. Governing equations

<sup>123</sup> The electrokinetic coupling in a fluid saturated porous medium is de<sup>124</sup> scribed by two linear equations (e.g., Li et al., 1995; Pengra et al., 1999)

$$\mathbf{U}_e = -\sigma \nabla V - \Pi_{12} \nabla P. \tag{1}$$

$$\mathbf{U}^{f} = -\Pi_{21}\nabla V - \frac{k}{\eta}\nabla P,\tag{2}$$

<sup>125</sup> where **U**<sub>e</sub> and **U**<sub>f</sub> are the electric current density (A m<sup>-2</sup>) and Darcy flux <sup>126</sup> (m s<sup>-1</sup>), *V* is the electrical potential (V), *P* is the pressure that drives the <sup>127</sup> flow,  $\sigma$  and *k* are the electrical conductivity (S m<sup>-1</sup>) and permeability (m<sup>2</sup>) <sup>128</sup> of the porous medium,  $\eta$  is the dynamic viscosity of the fluid, the off-diagonal <sup>129</sup> coefficients ( $\Pi_{12}$  and  $\Pi_{21}$ ) are the electrokinetic coupling coefficients. In the

- <sup>130</sup> steady state, those coupling coefficients must satisfy the reciprocal relation
- <sup>131</sup> of Onsager:  $\Pi_{12} = \Pi_{21} = \Pi$ .
- <sup>132</sup> The streaming potential coefficient is defined when the electric current <sup>133</sup> density  $U_e$  is zero (e.g., Li et al., 1995; Wang et al., 2016), leading to

$$K_S = \frac{\Delta V}{\Delta P} = -\frac{\Pi}{\sigma}.$$
(3)

<sup>134</sup>Note that another formulation in which streaming potential coefficient for <sup>135</sup> saturated porous media is described through the effective excess charge den<sub>136</sub> sity $\hat{Q}_v$  (C/m<sup>3</sup>) dragged by the flow of the pore water was proposed by (e.g., <sup>137</sup> Revil & Leroy, 2004; Revil & Linde, 2006)

$$K_S = -\frac{\widehat{Q}_v k}{\sigma \eta} \tag{4}$$

- The electroosmotic pressure coefficient is defined when the Darcy flux  $\mathbf{U}_f$
- <sup>139</sup> is zero (e.g., Li et al., 1995; Wang et al., 2016), leading to



Figure 3: Sketch of the considered conceptual representative elementary volume (REV): Parallel and tortuous capillary tubes with radii following a fractal distribution.

<sup>140</sup> By the volume averaging approach, Pride (1994) obtained the steady state <sup>141</sup> coupling coefficient under a thin electrical double layer assumption as

$$\Pi = \frac{\phi}{\tau} \frac{\epsilon_r \epsilon_0 \zeta}{\eta} = \frac{\epsilon_r \epsilon_0 \zeta}{F\eta},\tag{6}$$

<sup>142</sup> where *r* is the relative permittivity of the fluid,  $_0$  is the dielectric per<sup>143</sup> mittivity in vacuum,  $\varphi$ ,  $\tau$  and *F* are the porosity, hydraulic tortuosity and

formation factor of porous media, respectively. Note that the link  $\varphi F = \tau$ has been used in Eq. (6) (e.g., Wyllie & Rose, 1950; Ghanbarian et al., 2013)

<sup>147</sup> Substituting Eq. (6) into Eq. (5), one obtains

$$K_E = -\frac{\epsilon_r \epsilon_0 \zeta}{Fk}.$$
(7)

In this work, we will obtain the analytical models for  $K_E$  as well as other quantities based on a fractal upscaling approach instead of the volume aver<sub>150</sub> aging approach for partially saturated porous media.

#### 151 3. Model development

#### 152 3.1. Electrical potential distribution at pore scale

<sup>153</sup> Consider binary symetric 1:1 electrolytes (e.g., NaCl) of bulk ionic con<sup>154</sup> centration  $C^0$  (mol/m<sup>3</sup>) with an electrical potential  $\psi(r)$  (V) at a distance <sup>155</sup> r from the axis. If the excess charge density at this point is  $\rho(r)$  (C m<sup>-3</sup>), <sup>156</sup> then the Poisson equation is given by (e.g., Rice & Whitehead, 1965; Gierst, <sup>157</sup> 1966)

$$\frac{1}{r}\frac{d}{dr}\left(r\frac{d\psi(r)}{dr}\right) = -\frac{\rho(r)}{\epsilon_r\epsilon_0},\tag{8}$$

<sup>158</sup> From the Boltzmann equation, the following is obtained

$$\rho(r) = NeC^0 \left[ e^{-\frac{e\psi(r)}{k_b T}} - e^{\frac{e\psi(r)}{k_b T}} \right] = 2NeC^0 \sinh \frac{e\psi(r)}{k_b T}$$
(9)

- where  $k_b$  is the Boltzmann's constant, *T* is temperature (in K), *N* is the <sup>160</sup> Avogadro number and *e* is the elementary charge.
- If  $\left|\frac{e\psi(r)}{k_bT}\right| << 1$  that is called the Debye-Hu¨ckel approximation (e.g., Pride,
- <sup>162</sup> 1994; Hunter, 1981; Jougnot et al., 2019),  $\sinh \frac{e\psi(r)}{k_bT} \approx \frac{e\psi(r)}{k_bT}$ . The Boltzmann equation now becomes  $1 d (d\psi(r)) = 2Ne^2C^0$

$$\frac{1}{r}\frac{d}{dr}\left(r\frac{d\psi(r)}{dr}\right) = -\frac{2Ne^2C^0}{\epsilon_0\epsilon_r k_b T}\psi(r)$$
(10)

164 or 
$$\frac{1}{r}\frac{d}{dr}\left(r\frac{d\psi(r)}{dr}\right) = -\frac{\psi(r)}{\lambda^2}$$
(11)

where  $\lambda = \sqrt{\frac{\epsilon_0 \epsilon_r k_b T}{2Ne^2 C^0}}$  is defined as the Debye length (e.g., Israelachvili, 1992). The boundary conditions of Eq. (11) to be satisfied for the cylindrical <sup>167</sup> capillary surface are (Rice & Whitehead, 1965):

$$\psi(r) = \begin{cases} \psi(R) = \zeta \\ \frac{d\psi(r)}{dr}|_{r=0} = 0 \end{cases}$$
(12)

<sup>168</sup> Under the boundary conditions given by Eq. (12), the analytical solution of <sup>169</sup>  $\psi(r)$  and  $\rho(r)$  are obtained as (Rice & Whitehead, 1965)

$$\psi(r) = \zeta \frac{I_o(\frac{r}{\lambda})}{I_o(\frac{R}{\lambda})}$$
(13)

170 and

$$\rho(r) = -\frac{\epsilon_0 \epsilon \zeta}{\lambda^2} \frac{I_o(\frac{r}{\lambda})}{I_o(\frac{R}{\lambda})}$$
(14)

- where  $I_0$  is the zero-order modified Bessel function of the first kind. Figure 1
- 172 compares the potential and concentrations of ions in the EDL calculated from 173 Eq. (13) and the exact Poisson-Boltzmann solution (see Leroy & Maineult 174 (2018) for more details). It shows that Eq. (13) is a correct approximation 175 for the Poisson-Boltzmann true solution.

#### 176 *3.2. Velocity distribution at pore scale*

- <sup>177</sup> Under application of an electric field *E* and a fluid pressure difference  $\Delta P$
- across a tortuous capillary of radius *R*, the fluid flow is the sum of a Poiseuille <sup>179</sup> flow generated by  $\Delta P$  and an electroosmotic flow generated by *E* acting on <sup>180</sup> the charge density in the EDL given by Eq. (14). Consequently, the velocity <sup>181</sup> profile v(r) in a cylindrical capillary is given as (Rice & Whitehead, 1965)

$$v(r) = \frac{1}{4\eta} (R^2 - r^2) \frac{\Delta P}{L_\tau} + \frac{\epsilon_r \epsilon_0 \zeta E}{\eta} \left[ 1 - \frac{I_0(r/\lambda)}{I_0(R/\lambda)} \right],$$
(15)

- where  $L_{\tau}$  is the length of tortuous capillaries.
- <sup>183</sup> Because the electric field *E* is related to the applied voltage across the <sup>184</sup> porous medium  $\Delta V$  by  $E = \Delta V/L$  (*L* is the length of the porous medium as <sup>185</sup> shown in Fig. 3). Eq. (15) is rewritten as

$$v(r) = \frac{1}{4\eta} (R^2 - r^2) \frac{\Delta P}{L_\tau} + \frac{\epsilon_r \epsilon_0 \zeta}{\eta} \left[ 1 - \frac{I_0(r/\lambda)}{I_0(R/\lambda)} \right] \frac{\Delta V}{L}$$
(16)

<sup>186</sup> The volume flow rate in the capillary is

$$q(R) = \int_0^R v(r) 2\pi r dr = \frac{\pi R^4}{8\eta} \frac{\Delta P}{L_\tau} + \frac{\pi \epsilon_r \epsilon_0 \zeta R^2}{\eta} \left[ 1 - \frac{2\lambda I_1(R/\lambda)}{RI_0(R/\lambda)} \right] \frac{\Delta V}{L}, \quad (17)$$

- where  $I_1$  is the first-order modified Bessel functions of the first kind.
- Figure 4 shows the variation of the nondimensional parameter of the sec<sub>189</sub> ond term in square brackets in Eq. (17) denoted by  $C = 2\lambda I_1(R/\lambda)/(RI_0(R/\lambda))$
- with  $R/\lambda$ . It is seen that when the pore size is much bigger than the Debye
- length (hundred times), the term of  $2\lambda I_1(R/\lambda)/(RI_0(R/\lambda))$  is much smaller
- than the unity and can be ignored (see Rice & Whitehead (1965) for more

<sup>193</sup> details). Under that condition called the thin EDL assumption, Eq. (17) <sup>194</sup> becomes

$$q(R) = \frac{\pi R^4 \Delta P}{8\eta L_\tau} + \frac{\pi \epsilon_r \epsilon_0 \zeta R^2}{\eta} \frac{\Delta V}{L}$$
(18)

- <sup>195</sup> In geological media and under most environmental conditions (i.e., ground-
- <sup>196</sup> water for human consumption or subsurface reservoirs), ionic strengths (i.e.,



Figure 4: The variation of the unitless coefficient  $C = 2\lambda I_1(R/\lambda)/(RI_0(R/\lambda))$  with the unitless ratio of the considered pore size and the Debye length  $(R/\lambda)$ 

a proxy for ionic concentration) in potable water typically vary between 197 10<sup>-3</sup> and 10<sup>-2</sup> mol/L (Jougnot et al., 2019). Reservoirs can be saturated 198 with brine having much higher ionic concentrations depending on the 199 for<sub>200</sub> mation. Therefore, the Debye length is typically less than 10 nm at 25° C 201 (Israelachvili, 1992). It suggests that the minimum pore radius of porous ma<sub>202</sub> terials that is applicable for thin EDL under the environmental conditions 203 is around 100×10 nm = 1µm. In addition, typical characteristic radius of 204 pore in geological media is tens of micrometer (e.g., Hu et al., 2017). There<sub>205</sub> fore, a thin EDL assumption (no EDL overlap) is normally satisfied in most 206 natural systems (see Jougnot et al. (2019) for more details). It is noted that 207 the thin EDL assumption does not work for clay rocks and low permeability 208 sediments where the pore size is on the order of 10 nm. Therefore, one needs 209 to take into account the term of  $C = 2\lambda I_1(R/\lambda)/(RI_0(R/\lambda))$  in Eq. (17). It 210 is therefore a limitation to the proposed model.

#### 211 3.3. Fractal based up-scaling

<sup>212</sup> Porous media can be conceptualized as a bundle of tortuous capillary <sup>213</sup> tubes following a fractal pore-size distribution (e.g., Yu & Cheng, 2002; Liang <sup>214</sup> et al., 2014) (see Fig. 3). The fractal approach is a simple and elegant way to <sup>215</sup> upscale microscopic properties to macroscopic properties by assuming that <sup>216</sup> the pore size distribution follows the fractal scaling law

$$N(\geq R) = \left(\frac{R_{max}}{R}\right)^{D_f},\tag{19}$$

<sup>217</sup> where *N* is the number of capillaries with radius greater than *R*,  $R_{max}$  is the <sup>218</sup> maximum radius,  $D_f$  is the fractal dimension for pore space,  $0 < D_f < 2$  <sup>219</sup> in two-dimensional space and  $0 < D_f < 3$  in three dimensional space (Yu & <sup>220</sup> Cheng, 2002; Liang et al., 2014).

From Eq. (19), the following is obtained

$$-dN = D_f R_{max}^{D_f} R^{-D_f - 1} dR,$$
(20)

where -dN is the number of capillaries with radius ranging from R to R+dR. 223 The minus (-) in Eq. (20) implies that the number of pores decreases with 224 the increase of pore size. The total number of capillaries with radius ranging 225 from  $R_{min}$  (the minimum radius) to  $R_{max}$  (the maximum radius) is given by

$$N_{total}(\geq R_{min}) = \left(\frac{R_{max}}{R_{min}}\right)^{D_f}$$
(21)

#### From Eq. (20) and Eq. (21), the following is obtained

$$-\frac{dN}{N_{total}} = D_f R_{min}^{D_f} R^{-D_f - 1} dR = f(R) dR,$$
(22)

<sup>227</sup> in which  $f(R) = D_f R_{min}^{D_f} R^{-D_f-1}$  is the probability density function. Accord-<sup>228</sup> ing to the statistical theory, the probability density function needs to meet <sup>229</sup> the following condition

$$\int_{R_{min}}^{R_{max}} f(R)dR = 1 - \left(\frac{R_{min}}{R_{max}}\right)^{D_f} = 1$$
(23)

230 Or

$$\left(\frac{R_{min}}{R_{max}}\right)^{D_f} \approx 0 \tag{24}$$

Eq. (24) is approximately valid for  $R_{min}/R_{max} \approx 10^{-2}$  or  $< 10^{-2}$ (Yu & 232 Cheng, 2002; Liang et al., 2014). That condition generally holds in porous 233 media. The fractal dimension  $D_f$ is linked to the porosity of porous media 234 and the ratio of the minimum capillary radius to the maximum capillary

<sup>235</sup> radius ( $\alpha = R_{min}/R_{max}$ ) by following equation (e.g., Yu et al., 2001; Yu & <sup>236</sup> Cheng, 2002)

$$D_f = 2 - \frac{\ln\phi}{\ln\alpha} \tag{25}$$

#### 237 *3.4. REV scale*

<sup>238</sup> To obtain the volume flow rate at the macroscale, a representative ele<sub>239</sub> mentary volume (REV) as a cube with a length *L* is considered. As presented <sup>240</sup> in the previous section, the porous medium exhibits a fractal pore size dis<sub>241</sub> tribution with radii varying from  $R_{min}$  to  $R_{max}$ . We consider the REV under <sup>242</sup> varying saturation conditions. The effective saturation is defined by

$$S_e = \frac{S_w - S_{irr}}{1 - S_{irr}},\tag{26}$$

- where  $S_w$  is the water saturation and  $S_{irr}$  is irreducible water saturation.
- <sup>244</sup> We assume that the REV is initially fully saturated and then drained <sup>245</sup> when submitted to a pressure head h (m). For a capillary tube, the pore <sup>246</sup> radius  $R_h$  (m) that is drained at a given pressure head h can be calculated <sup>247</sup> by (Jurin, 1719)

$$h = \frac{2T_s \cos\beta}{\rho_w g R_h},\tag{27}$$

<sup>248</sup> where  $T_s$  (N/m) is the surface tension of the fluid and  $\beta$  is the contact angle. <sup>249</sup> A capillary becomes fully desaturated under the pressure head h if R is <sup>250</sup> greater than the radius  $R_h$  given by Eq. (27). Therefore, the capillaries with <sup>251</sup> radii R between  $R_{min}$  and  $R_h$  will be fully saturated under the pressure head

252 h.

 For porous media containing only large and regular pores, the irreducible 254 water saturation can often be neglected.
 For porous media containing small 255 pores, the irreducible water saturation can be pretty significant because water 256 remains trapped in the crevices or in micropores that are not occupied by air.  $_{257}$  This amount of water is taken into account in the model by setting irreducible  $_{258}$  water radius of capillaries  $R_{irr}$ . Consequently, the following assumptions are

<sup>259</sup> made in this work: (1) for  $R_{min} \le R \le R_{irr}$ , the capillaries are occupied by <sup>260</sup> water that is immobile at irreducible saturation due to insufficient driving <sup>261</sup> force, so it does not contribute to fluid flow; (2) for  $R_{irr} < R \le R_h$ , the <sup>262</sup> capillaries are occupied by mobile water, so it contributes to the fluid flow; <sup>263</sup> (3) for  $R_h < R \le R_{max}$ , the capillaries are occupied by air, so it does not <sup>264</sup> contribute to the fluid flow. In this work, film bound water adhering to <sup>265</sup> the capillary walls of porous media with radius greater than  $R_{irr}$  is ignored. <sup>266</sup> Therefore, the irreducible water saturation is defined as

$$S_{irr} = \frac{\int_{R_{min}}^{R_{irr}} \pi R^2 L_{\tau}(-dN)}{\int_{R_{min}}^{R_{max}} \pi R^2 L_{\tau}(-dN)} = \frac{R_{irr}^{2-D_f} - R_{min}^{2-D_f}}{R_{max}^{2-D_f} - R_{min}^{2-D_f}}.$$
(28)

<sup>267</sup> The water saturation is determined as:

$$S_w = \frac{\int_{R_{min}}^{R_h} \pi R^2 L_\tau(-dN)}{\int_{R_{min}}^{R_{max}} \pi R^2 L_\tau(-dN)} = \frac{R_h^{2-D_f} - R_{min}^{2-D_f}}{R_{max}^{2-D_f} - R_{min}^{2-D_f}}$$
(29)

Because only capillaries with radius between  $R_{min}$  and  $R_h$  are fully saturated <sup>269</sup> under a pressure head h, the volumetric flow rate  $Q_{REV}$  through the REV <sup>270</sup> is the sum of the flow rates over all capillaries with radius between  $R_{irr}$  and <sup>271</sup> $R_h$  and given by

$$Q_{REV} = \int_{R_{irr}}^{R_h} q(R)(-dN)$$
(30)

<sup>272</sup> Combining Eq. (18), Eq. (20) and Eq. (30), the following is obtained

$$Q_{REV} = \frac{\pi R_{max}^{D_f}}{8\eta} \frac{D_f (R_h^{4-D_f} - R_{irr}^{4-D_f})}{(4-D_f)} \frac{\Delta P}{L_\tau} + \frac{\pi R_{max}^{D_f} \epsilon_r \epsilon_0 \zeta}{\eta} \frac{D_f (R_h^{2-D_f} - R_{irr}^{2-D_f})}{(2-D_f)} \frac{\Delta V}{L}$$
(31)

Additionally, from Eq. (28) and Eq. (29) one has

$$R_{irr} = R_{max} \left[ \alpha^{2-D_f} + S_{irr} (1 - \alpha^{2-D_f}) \right]^{\frac{1}{2-D_f}}$$
(32)

274 and

$$R_h = R_{max} \left[ \alpha^{2-D_f} + S_w (1 - \alpha^{2-D_f}) \right]^{\frac{1}{2-D_f}},$$
(33)

where  $\alpha = R_{min}/R_{max}$ . 275

Combining Eq. (31), Eq. (32) and Eq. (33), the following is obtained 276

$$Q_{REV} = \frac{\pi R_{max}^4 D_f}{8\eta(4 - D_f)} \left\{ \left[ \alpha^{2-D_f} + S_w (1 - \alpha^{2-D_f}) \right]^{\frac{4-D_f}{2-D_f}} - \left[ \alpha^{2-D_f} + S_{irr} (1 - \alpha^{2-D_f}) \right]^{\frac{4-D_f}{2-D_f}} \right\} \frac{\Delta P}{L_{\tau}} + \frac{\pi R_{max}^2 \epsilon_r \epsilon_0 \zeta D_f}{\eta(2 - D_f)} S_w (1 - \alpha^{2-D_f}) (S_w - S_{irr}) \frac{\Delta V}{L}.$$
(34)

The total flow rate *Q* through the porous medium is given by 277

$$Q = Q_{REV} \frac{A}{A_{REV}},\tag{35}$$

- where A and  $A_{REV}$  are the cross sectional areas of the porous medium and 278 <sup>279</sup>the REV, both are perpendicular to the flow direction.
- 280
- The porosity is calculated by 281

$$\phi = \frac{V_{pore}}{V_{REV}} = \frac{\int_{R_{min}}^{R_{max}} L_{\tau} \pi R^2(-dN)}{LA_{REV}} = \frac{\pi \tau D_f R_{max}^2 (1 - \alpha^{2-D_f})}{(2 - D_f) A_{REV}},$$
(36)

where  $\tau$  is the mean tortuosity of the porous medium defined by the 282 relation  $_{283}L_{\tau} = \tau.L$ .

284

The cross sectional area of the REV is therefore obtained as 285

$$A_{REV} = \frac{\pi \tau D_f R_{max}^2 (1 - \alpha^{2 - D_f})}{(2 - D_f)\phi}.$$
(37)

$$Q = \frac{R_{max}^{2}A}{8\eta\tau} \frac{(2-D_{f})}{(4-D_{f})} \frac{\phi}{(1-\alpha^{2-D_{f}})} \times \left\{ \left[ \alpha^{2-D_{f}} + S_{w}(1-\alpha^{2-D_{f}}) \right]^{\frac{4-D_{f}}{2-D_{f}}} - \left[ \alpha^{2-D_{f}} + S_{irr}(1-\alpha^{2-D_{f}}) \right]^{\frac{4-D_{f}}{2-D_{f}}} \right\} \frac{\Delta P}{L_{\tau}} + \frac{\epsilon_{r}\epsilon_{0}\zeta\phi A}{\eta\tau} (S_{w} - S_{irr}) \frac{\Delta V}{L}$$
(38)

- <sup>287</sup> Eq. (38) indicates that the total volumetric flow rate relates to the zeta <sup>288</sup> potential, fluid properties (relative permittivity, viscosity), water saturation, <sup>289</sup> irreducible water saturation as well as the microstructural parameters of
- <sup>290</sup> porous media ( $D_f$ ,  $\varphi$ ,  $\alpha$ ,  $r_{max}$ ,  $\tau$ ). Eq. (38) predicts that when  $S_w = S_{irr}$
- <sup>291</sup> ( $S_e$ =0) then Q = 0 (i.e., no water flow). When the porous medium is fully <sup>292</sup> saturated  $S_w$  = 1 and the irreducible water saturation equals zero  $S_{irr}$  = 0, <sup>293</sup> the total volumetric flow Q becomes

$$Q = \frac{R_{max}^2 A}{8\eta\tau} \frac{(2-D_f)}{(4-D_f)} \frac{\phi}{(1-\alpha^{2-D_f})} (1-\alpha^{4-D_f}) \frac{\Delta P}{L_\tau} + \frac{\epsilon_r \epsilon_0 \zeta \phi A}{\eta\tau} \frac{\Delta V}{L}$$
(39)

- <sup>294</sup> Because  $1 < D_f < 2$  and  $2 < 4 D_f < 3$ ,  $\alpha^{4-D_f} << 1$  ( $\alpha = R_{min}/R_{max} \le$
- <sup>295</sup> 10<sup>-2</sup> in porous media as previously reported). Using  $1 \alpha^{2-D_f} = 1 \varphi$  as <sup>296</sup> shown by Eq. (25), Eq. (39) is rewritten as

$$Q = \frac{R_{max}^2 A}{8\eta\tau} \frac{(2-D_f)}{(4-D_f)} \frac{\phi}{(1-\phi)} \frac{\Delta P}{L_\tau} + \frac{\epsilon_r \epsilon_0 \zeta \phi A}{\eta\tau} \frac{\Delta V}{L}$$
(40)

 $_{297}$  Eq. (40) is exactly the same as that reported in Liang et al. (2015) under  $_{298}$  the thin EDL assumption and full saturation.

<sup>299</sup> From Eq. (38), we will obtain the link between fluid pressure difference <sup>300</sup> and flow rate as well as the electroosmotic pressure coefficient.

#### 301 3.5. Relationship between the flow rate and back pressure difference

<sup>302</sup> Under the condition of zero flow rate (Q = 0), the maximum <sup>303</sup>  $\Delta P_m$  back pressure generated across the porous medium is obtained as

$$\Delta P_m = -\frac{\hat{8}\epsilon_r \epsilon_0 \zeta \Delta V \tau (1-\phi)(4-D_f)}{R_{max}^2 (2-D_f)} \times \frac{S_w - S_{irr}}{\left\{ \left[ \alpha^{2-D_f} + S_w (1-\alpha^{2-D_f}) \right]^{\frac{4-D_f}{2-D_f}} - \left[ \alpha^{2-D_f} + S_{irr} (1-\alpha^{2-D_f}) \right]^{\frac{4-D_f}{2-D_f}} \right\}}.$$
(41)

<sup>304</sup>Under the condition of zero back pressure difference ( $\Delta P = 0$ ), the total <sup>305</sup> flow rate is maximum as

$$Q_m = \frac{\epsilon_r \epsilon_0 \zeta A \phi}{\eta \tau} (S_w - S_{irr}) \frac{\Delta V}{L}$$
(42)

 $_{306}$  Combining Eq. (38), Eq. (41) and Eq. (42), the link between the pressure  $_{307}$  difference and the flow rate is given by

$$Q = Q_m \left[ 1 - \frac{\Delta P}{\Delta P_m} \right] \tag{43}$$

<sup>308</sup> Eq. (43) is exactly the same as that obtained in Zeng et al. (2001) in which <sup>309</sup> the porous medium was conceptualized as a bundle of parallel capillaries of <sup>310</sup> the same radii at full saturation condition. Interestingly, Eq. (43) is obtained <sup>311</sup> in this work for the fractal pore size distribution and for partially saturated <sup>312</sup> porous media but the result is the same for the relationship between flow <sup>313</sup> rate and pressure difference.

#### 314 3.6. Electroosmotic pressure coefficient

<sup>315</sup> The electroosmotic pressure coefficient  $K_E$  is defined by  $\Delta P/\Delta V$ , that <sup>316</sup> means the  $K_E$  is a macroscopic variable (i.e., integrating over the entire <sup>317</sup> bundle of capillaries) when the total flow rate Q in Eq. (38) equals zero (Li <sup>318</sup> et al., 1995; Wang et al., 2016). Consequently, one has

$$K_{E} = \frac{\Delta P}{\Delta V}|_{Q=0} = \frac{\Delta P_{m}}{\Delta V}$$
(44) <sup>319</sup> Using Eq. (41), Eq. (44) is rewritten as  

$$K_{E} = -\frac{8\epsilon_{r}\epsilon_{0}\zeta\tau(1-\phi)(4-D_{f})}{R_{max}^{2}(2-D_{f})} \times \frac{S_{w} - S_{irr}}{\left[\left[\alpha^{2-D_{f}} + S_{w}(1-\alpha^{2-D_{f}})\right]^{\frac{4-D_{f}}{2-D_{f}}} - \left[\alpha^{2-D_{f}} + S_{irr}(1-\alpha^{2-D_{f}})\right]^{\frac{4-D_{f}}{2-D_{f}}}\right]}.$$
(45)

320

Eq. (45) is a general expression for the electroosmotic pressure coefficient

- for partially saturated porous media. Eq. (45) indicates that the electroos<sub>322</sub> motic pressure coefficient is explicitly linked to  $\zeta$ , microstructural parameters <sub>323</sub> of porous media ( $D_f$ ,  $\varphi$ ,  $\alpha$ ,  $R_{max}$ ,  $\tau$ ), water saturation and irreducible water <sub>324</sub> saturation. Therefore, the model can indicate more mechanisms influencing <sub>325</sub> the electroosmotic pressure coefficient than other published models (e.g., Eq.
- <sup>326</sup> (7) deduced by the volume averaging approach).
- In case of full saturation  $S_w = 1$ , Eq. (45) becomes

$$K_E^{sat} = -\frac{8\epsilon_r\epsilon_0\zeta\tau(1-\phi)(4-D_f)}{R_{max}^2(2-D_f)}\frac{1-S_{irr}}{\left\{1-\left[\alpha^{2-D_f}+S_{irr}(1-\alpha^{2-D_f})\right]^{\frac{4-D_f}{2-D_f}}\right\}}.$$
(46)

#### 328

The relative electroosmotic pressure coefficient  $K_{E^r}$  is defined as

$$K_{E}^{r} = \frac{K_{E}}{K_{E}^{sat}} = \frac{S_{w} - S_{irr}}{1 - S_{irr}} \frac{\left\{ 1 - \left[ \alpha^{2-D_{f}} + S_{irr}(1 - \alpha^{2-D_{f}}) \right]^{\frac{4-D_{f}}{2-D_{f}}} \right\}}{\left\{ \left[ \alpha^{2-D_{f}} + S_{w}(1 - \alpha^{2-D_{f}}) \right]^{\frac{4-D_{f}}{2-D_{f}}} - \left[ \alpha^{2-D_{f}} + S_{irr}(1 - \alpha^{2-D_{f}}) \right]^{\frac{4-D_{f}}{2-D_{f}}} \right\}}$$
$$= S_{e} \frac{\left\{ 1 - \left[ \alpha^{2-D_{f}} + S_{irr}(1 - \alpha^{2-D_{f}}) \right]^{\frac{4-D_{f}}{2-D_{f}}} \right\}}{\left\{ \left[ \alpha^{2-D_{f}} + S_{w}(1 - \alpha^{2-D_{f}}) \right]^{\frac{4-D_{f}}{2-D_{f}}} - \left[ \alpha^{2-D_{f}} + S_{irr}(1 - \alpha^{2-D_{f}}) \right]^{\frac{4-D_{f}}{2-D_{f}}} \right\}}{\left\{ \left[ \alpha^{2-D_{f}} + S_{w}(1 - \alpha^{2-D_{f}}) \right]^{\frac{4-D_{f}}{2-D_{f}}} - \left[ \alpha^{2-D_{f}} + S_{irr}(1 - \alpha^{2-D_{f}}) \right]^{\frac{4-D_{f}}{2-D_{f}}} \right\}}.$$

$$(47)$$

#### **4. Results and discussion**

#### 4.1. Predictions of the model under partially saturated conditions

<sup>331</sup> The values of  $\alpha$  between 0.001 and 0.01 are used in this section for model<sub>332</sub> ing because those values are normally used in published works (Yu & Cheng, <sup>333</sup> 2002; Liang et al., 2014; Thanh et al., 2019). The fractal dimension  $D_f$  is in <sup>334</sup> the range between 1 and 2. For given porous media,  $D_f$  is determined via <sup>335</sup> Eq. (25) with known values of  $\alpha$  and porosity  $\varphi$ . Fig. 5 shows (a) the influ<sup>336</sup> ence of the irreducible saturation on the change of the relative electroosmotic <sup>337</sup> pressure coefficient  $K_E^r$  with the water saturation  $S_w$  ( $S_{irr} = 0.02$ , 0.05 and

<sup>338</sup> 0.1) for  $\alpha = 0.01$  and  $D_f = 1.8$ ; (b) influence of  $\alpha$  on the variation of  $K_{E^r}$ 

with  $S_w$  ( $\alpha = 0.002$ , 0.005, 0.01) for  $S_{irr} = 0.05$  and  $D_f = 1.8$  and (c) Influ<sub>340</sub> ence of  $D_f$  on the variation of  $K_E^r$  with  $S_w$  ( $D_f = 1.3$ , 1.5, 1.8) for  $\alpha = 0.01$  <sub>341</sub> and  $S_{irr} =$ 0.05. The results show that as the media desaturate, the relative <sub>342</sub> electroosmotic pressure coefficient  $K_E^r$  increases. The reason is that at lower <sup>343</sup> water saturation, only capillaries with smaller radii are occupied by water. <sup>344</sup> Therefore, one needs larger pressure differences over porous media to counter <sup>345</sup> balance with the flow rate generated by the same applied voltage (such that <sup>346</sup> the total volumetric flow rate is zero). As a result,  $K_E^r$  is larger for lower <sup>347</sup> water saturation. Additionally, Fig. 5 shows that the relative electroosmotic <sup>348</sup> pressure coefficient is very sensitive to the fractal dimension  $D_f$  and the ratio <sup>349</sup>  $\alpha$ . It is noted that the  $D_f$  decreases with an increase of  $\alpha$  at a given porosity <sup>350</sup> as indicated by Eq. (25). Fig. 5 also shows that the  $K_E^r$  decreases with the <sup>351</sup> increase of the ratio  $\alpha$  and decrease of  $D_f$ . That can be explained by the <sup>352</sup> decrease of the total number of pores in the REV with the decrease of  $D_f$ .



Figure 5: Sensitivity analysis of the model: (a) Influence of the irreducible saturation on the variation of the  $K_{E^r}$  with the water saturation  $S_w$  ( $S_{irr} = 0.02$ , 0.05 and 0.1) for  $\alpha = 0.01$  and  $D_f = 1.8$ ; (b) Influence of  $\alpha$  on the variation of  $K_{E^r}$  with  $S_w$  ( $\alpha = 0.002$ , 0.005, 0.01) for  $S_{irr} = 0.05$  and  $D_f = 1.8$ ; (c) Influence of  $D_f$  on the variation of  $K_{E^r}$  with  $S_w$  ( $D_f = 1.3$ , 1.5, 1.8) for  $\alpha = 0.01$  and  $S_{irr} = 0.05$ .



Figure 6: The variation of the zeta potential with pore fluid salinity. The predicted values of the zeta potential for four samples of glass beads at 0.1 mol/L in Li et al. (1995) are shown by red squares. Experimental data from different sources reported by Thanh & Sprik (2015),Jaafar et al. (2009) and Vinogradov et al. (2010) are also presented.

<sup>353</sup> Therefore,  $R_h$  becomes larger at the same water water saturation. Similarly, <sup>354</sup> we need to apply a smaller pressure difference over the partially saturated <sup>355</sup> REV to counter balance the flow rate generated by the same applied voltage. <sup>356</sup> Consequently,  $K_E^r$  decreases with a decrease of  $D_f$ .

4.2. Comparison with experimental data in water saturated porous media <sup>357</sup> 4.2. Comparison with experimental data in water saturated porous media <sup>358</sup> Even if the present model is developed based on the concept of capillary <sup>359</sup> tubes, it possible to provide a relationship between capillary radius to grain <sup>360</sup> size for the sake of medium characterization. Indeed, in non-consolidated <sup>361</sup> granular materials, pore size are very difficult to obtain without perturbat-

 $_{362}$  ing the medium, while grain sizes and grain size distribution can be easily  $_{363}$  measured. Therefore, with the knowledge of the mean grain diameter d of  $_{364}$  a granular material, the maximum pore radius can be determined by (e.g.,  $_{365}$  Liang et al., 2015)

$$R_{max} = \frac{d}{4} \left[ \sqrt{\frac{\phi}{1-\phi}} + \sqrt{\frac{1}{1-\phi}} \right]. \tag{48}$$

<sup>366</sup> Mean geometrical tortuosity of porous media is predicted from porosity <sup>367</sup> as (e.g., Cai et al., 2012a; Ghanbarian et al., 2013; Liang et al., 2015)

$$\tau = \frac{1}{2} \left[ 1 + \frac{1}{2}\sqrt{1-\phi} + \sqrt{1-\phi}\frac{\sqrt{(1/\sqrt{1-\phi}-1)^2 + 1/4}}{1-\sqrt{1-\phi}} \right]$$
(49)

Table 1: Input parameters of four samples of fused glass beads are taken from Li et al. (1995). Symbols of *d*,  $\varphi$ ,  $K_E$  and  $\alpha$  are symbols for the grain diameter, porosity, the electroosmotic pressure coefficient and ratio of minimum and maximum radius, respectively. The values of  $\zeta$  are predicted from Eq. (45)

Number	<i>d</i> (µm)	$\varphi$ (no units)	$K_E$ (Pa/V)	$\alpha$ (no units)	ζ (mV)
1	50	0.10	4.94	0.01	-11
2	50	0.17	12.5	0.01	-48
3	100	0.19	1.9	0.01	-33
4	200	0.30	0.25	0.01	-29
mean					-30

<sup>368</sup> We want to see if the model is able to predict the zeta potential using Eq. <sup>369</sup> (46). Li et al. (1995) measured  $K_E$  for fused glass beads fully saturated by <sup>370</sup> a 0.1 M NaCl electrolyte. Micro-structure parameters of the samples such <sup>371</sup> as grain diameter *d*, porosity  $\varphi$  as well as the measured  $K_E$  are reported in <sup>372</sup> Li et al. (1995) and re-shown in Table 1. At this electrolyte concentration, <sup>373</sup> the Debye length  $\lambda$  is around 1 nm (Israelachvili, 1992). Of all samples, <sup>374</sup> the minimum value of  $R_{max}$  corresponding to the sample 1 (*d*=50 µm and <sup>375</sup>  $\varphi$ =0.1) is predicted using Eq. (48) to be 17 µm. Hence,  $R_{min}$  corresponding

<sup>376</sup> to the sample 1 is obtained using  $R_{min} = \alpha R_{max} = 0.01 R_{max} = 170$  nm. <sup>377</sup> Consequently, the Debye length is much smaller than  $R_{min}$  and Eq. (46) is <sup>378</sup> applicable for experimental data reported by Li et al. (1995).

<sup>379</sup> The value of  $\alpha$  is taken as 0.01 because that is a normally used value <sup>380</sup> for grain materials (e.g., Thanh et al., 2018, 2019). The value of  $S_{irr}$  is <sup>381</sup> reasonably taken as 0 for large grain materials (e.g., Jougnot et al., 2012). <sup>382</sup> From the known values of porosity  $\varphi$  and  $\alpha$  of samples (see Table 1),  $D_f$  is <sup>383</sup> obtained by Eq. (25). From Eq. (46), the zeta potential is obtained with the <sup>384</sup> knowledge of  $\alpha$ ,  $D_f$ ,  $\tau$ ,  $\varphi$ ,  $R_{max}$  and  $S_{irr}$ . The predicted values are presented <sup>385</sup> in Table 1. It is seen that the predicted values (see red squares at 0.1 mol/L <sup>386</sup> in Fig. 6) are in good agreement with published experimental data (Jaafar <sup>387</sup> et al., 2009; Vinogradov et al., 2010; Thanh & Sprik, 2015).

<sup>388</sup> The zeta potential is dependent on the electrical conductivity of the fluid. <sup>389</sup> The electroosmotic pressure coefficient  $K_E^{sat}$  varies with the electrolyte elec<sub>390</sub> trical conductivity  $\sigma_w$ . Fig. 7 shows the variation of the electroosmotic pres<sub>391</sub> sure coefficient with fluid electrical conductivity experimentally obtained by



Figure 7: Variation of the electroosmotic pressure coefficient  $K_E^{sat}$  at saturated condition with electrolyte electrical conductivity for two consolidated samples of glass beads: (a) for the sample of 100 µm glass beads and (b) for the sample of 200 µm glass beads shown in Table 1).

<sup>392</sup> Pengra et al. (1999) for two samples of glass beads ( $d=100 \mu m$  and 200  $\mu m$ , <sup>393</sup> respectively) saturated by a NaCl electrolyte (see diamond symbols). There 394 are few proposed expressions for the relationship between the zeta potential 395 and electrolyte concentration available in the literature (e.g., Pride & Mor<sub>396</sub> gan, 1991; Jaafar et al., 2009; Vinogradov et al., 2010). One is given by  $\zeta = {}_{397}a +$  $b\log_{10}(C_f)$  with a = -6.43 mV, b = 20.85 mV as shown by Jaafar et al. 398 (2009), for example. Electrical conductivity of the NaCl electrolyte is linked 399 to the electrolyte concentration by the relation  $\sigma_W = 10C_f$  for the ranges  $_{400} 10^{-6}$ M <  $C_f$  < 1 M and 15°C < T < 25°C (Sen & Goode, 1992). Therefore, 401 the relation  $\zeta$  =  $-6.43 + 20.85\log_{10}(0.1\sigma_w)$  (mV) is obtained. Similarly, we 402 obtain  $R_{min} = 400$ nm for two samples and maximum value of  $\lambda$ =1.36 nm 403 for the considered range of electrolyte concentration by Pengra et al. (1999) 404 (from 0.05 mol/L to 0.65 mol/L). Therefore, the thin EDL assumption is 405 satisfied. Applying the same approach as we did previously, the variation 406 of the electroosmotic pressure coefficient  $K_E^{sat}$  with electrical conductivity  $_{407}\sigma_w$  is predicted as shown in Fig. 7 by square symbols. One can see that 408 the model prediction is also in good match with data measured by Pengra <sup>409</sup> et al. (1999) (diamond symbols) even there is a large difference between the <sup>410</sup> model prediction and measured data for smaller glass beads at high electrical <sup>411</sup> conductivity. The reason may be that Jaafar et al. (2009) obtained:

$$\zeta = a + b \log 10(C_f) \tag{50}$$

<sup>412</sup> by fitting experimental data for quartz, silica, glass and St. Bees in NaCl <sup>413</sup> brine with big data scattering. As shown in Fig. 3 of Jaafar et al. (2009),

- the difference in  $\zeta$  can reach 65% at  $C_f = 10^{-2}$  mol/L. Therefore, the  $\zeta C_f$
- relation may not work well for a single silica-based sample in a large range  $_{416}$  of electrolyte concentration. As a matter of fact, Cherubini et al. (2018)  $_{417}$ show that, for data on carbonate materials, the best fit they obtain is rather  $_{418}a = -6.97$  mV and b = 9.13 mV, indicating that this relationship is largely  $_{419}$ mineral dependent.

<sup>420</sup> Figure 8 shows the variation of  $\Delta P_m$  with an applied voltage for the 10 <sup>421</sup> $\mu$ m sand pack saturated with 10<sup>-3</sup> M NaCl. The symbols are deduced from <sup>422</sup>Luong & Sprik (2013) (their Fig. 10) using the relation  $\Delta P_m = \rho g \Delta h_m (\Delta h_m)$ 

is the maximum height difference obtained from Luong & Sprik (2013),  $\rho$ 

<sup>424</sup> 1000 kg/m<sup>3</sup> is the water density and  $g = 10 \text{ m/s}^2$  is the acceleration due to

Table 2: Parameters taken from Wang et al. (2015) for 10 sandstone samples in which  $\varphi$ , k,  $\zeta$  stand for porosity, permeability, the zeta potential. The electroosmotic pressure coefficient  $K_E^{exp}$  is deduced by comparison between the similarity of porosity, permeability, depth of samples between Wang et al. (2015) and Wang et al. (2016).  $K_E^{theo}$  is predicted from the model.

Sample	$\varphi$ (no units)	<i>k</i> (10-15 m2)	ζ(V)	KEexp (Pa/V)	KEtheo (Pa/V)
D1	30.6	1028	-0.0486	0.42	0.44
D2	30.2	1435	-0.0571	0.47	0.35
D3	30.9	1307	-0.0410	0.40	0.31
D4	32.1	1152	-0.0609	0.40	0.61
D5	29.8	456	-0.0727	0.52	1.30
D6	31.0	978	-0.0462	0.51	0.46
D7	29.4	594	-0.0627	0.49	0.82
D8	31.0	2785	-0.1448	0.52	0.51
D9	29.3	1491	-0.0765	0.43	0.40

$$\Delta P_{m} = -\frac{8\epsilon_{r}\epsilon_{0}\zeta\Delta V\tau(1-\phi)(4-D_{f})}{R_{max}^{2}(2-D_{f})} \times \frac{1-S_{irr}}{\left\{1-\left[\alpha^{2-D_{f}}+S_{irr}(1-\alpha^{2-D_{f}})\right]^{\frac{4-D_{f}}{2-D_{f}}}\right\}}$$
(51)

0.21

<sup>426</sup> The solid line is predicted from Eq. (51) in the same manner as mentioned <sup>427</sup> above with  $\varphi$  = 0.38,  $D_f$ = 0.01, d = 10 µm,  $\alpha$  = 0.01 and the mean value

<sup>428</sup> of  $\zeta$ = -13 mV over six granular samples made of spherical grains (Luong & <sup>429</sup> Sprik, 2013) (best fit is obtained with  $S_{irr}$  = 0). Note that the thin EDL is not <sup>430</sup> really met in this case because of  $R_{min} \approx 60$  nm and  $\lambda$  = 9.6nm. Therefore, <sup>431</sup>the model may not work really well to reproduce the experimental data as

432 shown in Fig. 8.

4

<sup>433</sup> Eq. (46) is applied to determine the electroosmotic pressure <sup>434</sup>  $K_E$  coefficient for ten sandstone samples (20 mm in length and 25 mm in diameter) sat<sub>435</sub> urated by a 0.05 M NaCl electrolyte reported in Wang et al. (2015). Parame<sub>436</sub> ters of the sandstone samples and the measured zeta potential are presented



Figure 8: Maximum pressure difference as a function of applied voltage. The symbols are experimental data from Luong & Sprik (2013) with  $\pm$  15 % of uncertainty and the solid line is obtained from Eq. (51)



Figure 9: The variation of the  $K_E$  predicted in this work and the experimental data taken from Wang et al. (2015)

 $_{437}$  by Wang et al. (2015) and re-shown in Table 2. The measured values of  $K_{E438}$  are obtained by the same research group Wang et al. (2016) for the same

<sup>439</sup> conditions and re-presented in Table 2 (see  $K_E$ ). To estimate the mean <sup>440</sup> grain diameter of porous media from the permeability, we use the relation

given by (e.g., Bernabe & Revil, 1995; Revil et al., 1999; Glover et al., 2006)

$$d = \sqrt{\frac{4am^2k}{\phi^{3m}}} \tag{52}$$

exp

where the cementation exponent *m* is taken as 1.9 for consolidated sandstone 443 (Friedman, 2005) and *a* is a constant between 2-12 that depends on the pore 444 space (Glover et al., 2006; Glover & Walker, 2009). In this part, *a* is taken 445 as 4 for a set of samples of Wang et al. (2015). With estimated values of 446 *d*, measured  $\varphi$  and  $\alpha$  = 0.001 (that value is also relevant to that used in 447 Liang et al. (2014) for a Fontainebleau sandstone), the *K<sup>E</sup>* is predicted for 448 reported in Table 2 (*K<sup>E</sup>*<sup>theo</sup>). Fig. 9 shows the predicted *K<sup>E</sup>* calculated in 449 this work and measured values taken from Wang et al. (2015). It is seen that 450 Eq. (46) predicts the published experimental data well. Note that for this 451 set of experimental data, we obtain the minimum value of *R<sup>min</sup>* ≈ 2.10<sup>3</sup> nm 452 and  $\lambda$  = 1.36 nm. Therefore, the thin EDL assumption is satisfied and Eq. 453 (46) is valid.

# 4.3. Prediction of the product of the permeability and formation factor 455 Comparing Eq. (7) and Eq. (46), the product of the permeability and 456 formation factor of porous media is given by

$$kF = \frac{R_{max}^2(2-D_f)}{8\tau(1-\phi)(4-D_f)} \frac{\left\{1 - \left[\alpha^{2-D_f} + S_{irr}(1-\alpha^{2-D_f})\right]^{\frac{4-D_f}{2-D_f}}\right\}}{1-S_{irr}}$$
(53)

<sup>457</sup> Eq. (53) indicates that based on the fractal model for electroosmostic flow <sup>458</sup> in porous media, one can get the product of the permeability and formation <sup>459</sup> factor from the parameters  $D_{f}$ ,  $R_{max}$ ,  $\tau$ ,  $\alpha$  and  $S_{irr}$  of porous media. Eq. (53) <sup>460</sup> is now used to estimate the product of kF and compare with experimental <sup>461</sup> data reported in Glover et al. (2006), Glover & D'ery (2010) and Bol'eve et al. <sup>462</sup> (2007) for 27 samples of bead packs. Parameters for the samples (grain <sup>463</sup> diameter, porosity, permeability) are taken from Glover et al. (2006), Glover <sup>464</sup> & D'ery (2010) and Bol'eve et al. (2007) and re-shown in Table 3. The values

Table 3: Input parameters for bead packs taken from Glover et al. (2006), Glover & D'ery (2010) and Bol'eve et al. (2007). Symbols of d (µm),  $\varphi$  (no units), k (m<sup>2</sup>), F (no units) and  $\alpha$  (no units) stand for grain diameter, porosity, permeability, formation factor and ratio of minimum and maximum radius, respectively.

No.	<i>d</i> (µm)	φ(-)	<i>k</i> (10-12 m2)	F (-)	α(-)	reference
1	20	0.40	0.2411	3.90	0.01	Glover et al. (2006)
2	45	0.39	1.599	4.01	0.01	Glover et al. (2006)
3	106	0.39	8.118	4.04	0.01	Glover et al. (2006)
4	250	0.40	50.46	3.97	0.01	Glover et al. (2006)
5	500	0.38	186.79	4.08	0.01	Glover et al. (2006)
6	1000	0.40	709.85	3.91	0.01	Glover et al. (2006)
7	2000	0.39	2277.26	4.13	0.01	Glover et al. (2006)
8	3350	0.40	7706.97	3.93	0.01	Glover et al. (2006)
9	1.05	0.411	0.00057	3.80	0.01	Glover & D'ery (2010)
10	2.11	0.398	0.00345	3.98	0.01	Glover & D'ery (2010)
11	5.01	0.380	0.0181	4.27	0.01	Glover & D'ery (2010)
12	11.2	0.401	0.0361	3.94	0.01	Glover & D'ery (2010)
13	21.5	0.383	0.228	4.22	0.01	Glover & D'ery (2010)
14	31	0.392	0.895	4.07	0.01	Glover & D'ery (2010)
15	47.5	0.403	1.258	3.91	0.01	Glover & D'ery (2010)
16	104	0.394	6.028	4.04	0.01	Glover & D'ery (2010)
17	181	0.396	21.53	4.01	0.01	Glover & D'ery (2010)
18	252	0.414	40.19	3.75	0.01	Glover & D'ery (2010)
19	494	0.379	224	4.29	0.01	Glover & D'ery (2010)
20	990	0.385	866.7	4.19	0.01	Glover & D'ery (2010)

21	56	0.4	2.0	3.3	0.01	Bol`eve et al. (2007)
22	72	0.4	3.1	3.2	0.01	Bol`eve et al. (2007)
23	93	0.4	4.4	3.4	0.01	Bol`eve et al. (2007)
24	181	0.4	27	3.3	0.01	Bol`eve et al. (2007)
25	256	0.4	56	3.4	0.01	Bol`eve et al. (2007)
26	512	0.4	120	3.4	0.01	Bol`eve et al. (2007)
27	3000	0.4	14000	3.6	0.01	Bol`eve et al. (2007)



Figure 10: A comparison between kF predicted from Eq. (53) and from measured data in Glover et al. (2006), Glover & D'ery (2010) and Bol'eve et al. (2007) (the solid line is a 1:1 line).

<sup>465</sup> of  $\alpha$  and  $S_{irr}$  are taken as 0.01 and 0, respectively. Value of  $\tau$  is obtained by <sup>466</sup> the relation  $\tau = \varphi F$ . From those parameters in combination with Eq. (53), <sup>467</sup> the product of the permeability and formation factor is predicted in the same <sup>468</sup> procedure as previously mentioned. Fig. 10 shows the comparison between <sup>469</sup> the product of kF predicted in this work and the experimental data. The <sup>470</sup> solid line represents a 1:1 line. It is seen that the predicted values are in very <sup>471</sup> good match with the experimental data. It suggests that one can predict k <sup>472</sup> of porous materials from Eq. (53) with the knowledge of *F* and vice versa.

#### 473 4.4. Electrokinetic coupling under partially saturated condition

<sup>474</sup>Based on Eq. (3) and Eq. (5), the relationship between the electroosmosis <sup>475</sup> coefficient and the streaming potential coefficient is obtained as

$$K_S(S_w) = \frac{K_E(S_w)k(S_w)}{\sigma(S_w)\eta}$$
(54)

#### <sup>476</sup> Therefore, the relative streaming potential coefficient is given as

$$K_{S}^{r} = \frac{K_{S}(S_{w})}{K_{S}^{sat}(S_{w}=1)} = \frac{K_{E}(S_{w})}{K_{E}^{sat}(S_{w}=1)} \frac{k(S_{w})}{k(S_{w}=1)} \frac{\sigma(S_{w}=1)}{\sigma(S_{w})},$$
 (55)

where  $K_E^r = \frac{K_E(S_w)}{K_E^{sat}(S_w=1)}$  is given in Eq. (47),  $\frac{k(S_w)}{k(S_w=1)}$  and  $\frac{\sigma(S_w)}{\sigma(S_w=1)}$  are called

the relative permeability and the relative conductivity of porous media and 479 denoted by  $k^r$  and  $\sigma^r$ , respectively. In this work, we do not have expressions 480 for  $k^r$  and  $\sigma^r$  based on the fractal theory yet. Therefore, we use expressions 481 given by (e.g., Revil et al., 2007; Linde et al., 2006) for  $k^r$  and  $\sigma^r$ :

$$k^r = S_e^{(2+3\lambda)/\lambda} \tag{56}$$

482 and

$$\sigma^r = S^n_{w,} \tag{57}$$

<sup>483</sup> where is 
$$\lambda$$
 is the curve-shape parameter and *n* is the  
saturation exponent. <sup>484</sup> Eq. (57) is valid for the negligible  
surface conductivity.

- <sup>485</sup> Combining Eq. (47), Eq. (55), Eq. (56) and Eq. (57), the relative
- streaming potential coefficient is given by

$$K_{S}^{r} = S_{e} \frac{\left\{1 - \left[\alpha^{2-D_{f}} + S_{irr}(1 - \alpha^{2-D_{f}})\right]^{\frac{4-D_{f}}{2-D_{f}}}\right\}}{\left\{\left[\alpha^{2-D_{f}} + S_{w}(1 - \alpha^{2-D_{f}})\right]^{\frac{4-D_{f}}{2-D_{f}}} - \left[\alpha^{2-D_{f}} + S_{irr}(1 - \alpha^{2-D_{f}})\right]^{\frac{4-D_{f}}{2-D_{f}}}\right\}} \times S_{e}^{(2+3\lambda)/\lambda} \frac{1}{S_{w}^{n}}.$$
(58)

<sup>487</sup> Additionally, Revil et al. (2007) used the volume averaging approach to get <sup>488</sup> the relative streaming potential coefficient as

$$K_{S}^{r} = S_{e}^{(2+3\lambda)/\lambda} \frac{1}{S_{w}^{n+1}}$$
(59)

- <sup>489</sup> Figure 11 shows the change of the relative streaming potential coefficient
- <sup>490</sup>  $K_{S^r}$  with the water saturation predicted from Eq. (58) and Eq. (59). Input <sup>491</sup> parameters for modeling in Fig. 11 are *n*=2.7,  $\lambda$ =0.87,  $S_{irr}$ =0.36 which <sup>492</sup> are

reported by Revil et al. (2007),  $D_f$ =1.5 and  $\alpha$ =0.01 which are used <sup>493</sup> due to the best fit. Additionally, a comparison between those models and <sup>494</sup> experimental data reported in Revil et al. (2007) for the dolomite sample E3 <sup>495</sup> (square symbols) is also shown in Fig. 11. A good agreement is observed <sup>496</sup> between the proposed model, the model presented by Revil et al. (2007) <sup>497</sup> and experimental data. Clearly, both theoretical models are able to describe <sup>498</sup> the decrease of the relative streaming potential coefficient with decreasing <sup>499</sup> water saturation, from full saturation to nearly irreducible water saturation <sup>500</sup> as indicated by experimental data. When *S*<sub>W</sub> decreases then the number of



Figure 11: Variation of the coefficient  $K_S^r$  with the water saturation (*n*=2.7,  $\lambda$ =0.87,  $S_{irr}$ =0.36,  $D_f$ =1.5 and  $\alpha$ =0.01). The solid and dashed lines correspond to the proposed model (see Eq. (58)) and the model of Revil et al. (2007), respectively.

<sup>501</sup> capillaries occupied by water in the REV decreases. Hence, the streaming <sup>502</sup> current through the REV generated by a fluid flow becomes smaller at a given <sup>503</sup> fluid pressure difference. Consequently, the  $K_{S}$  decreases with decreasing  $S_{W}$  <sup>504</sup> as predicted.

<sup>505</sup> 4.5. Effective excess charge density

$$\widehat{Q}_{v} = \frac{4 \operatorname{ensity} \widehat{Q}_{v} (\mathsf{C/m^{3}}) \text{ under fully saturated conditions is deduced as}}{\widehat{Q}_{v} = \frac{8 \epsilon_{r} \epsilon_{0} \zeta \tau (1-\phi) (4-D_{f})}{R_{max}^{2} (2-D_{f})} \frac{1-S_{irr}}{\left\{1 - \left[\alpha^{2-D_{f}} + S_{irr} (1-\alpha^{2-D_{f}})\right]^{\frac{4-D_{f}}{2-D_{f}}}\right\}}.$$
(60)

<sup>508</sup> When one neglects the irreducible water saturation, Eq. (60) reduces to

$$\widehat{Q}_{v} = \frac{8\epsilon_{r}\epsilon_{0}\zeta\tau(1-\phi)(4-D_{f})}{R_{max}^{2}(2-D_{f})}.$$
(61)

509

<sup>510</sup> Based on Eq. (61), we can calculate  $\hat{Q}_v$  for the glass beads reported <sup>511</sup> in Glover & D'ery (2010) and Bol'eve et al. (2007) using the same approach <sup>512</sup> mentioned above. The values of the zeta potential are reported to be  $\zeta = _{513}$ -24.72 mV and -73.34 mV in Glover & D'ery (2010) and  $\zeta = -17.5$  mV, -44.7



Figure 12: Variation of the effective excess charge density  $Q_{bv}$  with the permeability k. Symbols represent experimental data from Glover & D'ery (2010) and Bol'eve et al. (2007) (Table 3). The solid line is the fit line with  $\log_{10}(\hat{Q}_v) = -14.2 - 0.85 \log_{10}(k)$ .

<sub>514</sub> mV, -54.6 mV, -59.7 mV, -87.9 mV and -99.3 mV in Bol`eve et al. (2007)

(see their Fig. 8). From calculated  $\widehat{Q}_v$ , we can plot the  $\widehat{Q}_v - k$  graph (in 516 which k is taken from Table 3) as shown in Fig. 12 from which we obtain

<sup>517</sup>the fit line:  $\log_{10}(Q_{b\nu}) = A_1 + A_2 \log_{10}(k)$  with  $A_1 = -14.2$  and  $A_2 = -0.85$ . <sup>518</sup>The obtained  $Q_{b\nu} - k$  relationship is in good agreement with that reported

- <sup>519</sup> by Jardani et al. (2007) by fitting to a large set of experimental data that
- includes various lithologies and ionic concentrations:  $\log_{10}(\widehat{Q}_v) = -9.23 0.82$
- <sup>521</sup> log<sub>10</sub>(*k*). The constant  $A_2 = -0.85$  obtained in this work is related to rock <sup>522</sup> properties ( $R_{max}$ ,  $\alpha$ ,  $\tau$ ,  $\varphi$  and  $D_f$ ) and is very close to -0.82 reported by <sup>523</sup> Jardani et al. (2007). The obtained constant  $A_1 = -14.2$  deviates largely <sup>524</sup> from value of -9.23 proposed by Jardani et al. (2007). The reason is that <sup>525</sup>  $A_1$  is mainly dependent of chemical and interface parameters (Guarracino <sup>526</sup>

& Jougnot, 2018). Therefore, it varies with mineral composition of rocks, <sup>527</sup> electrolyte concentration, types of electrolyte etc.

#### 528 5. Conclusions

We derive a physically based model for electroosmostic flow in porous 530 529 media in which the minimum pore radius is 100 times the Debye length, 531 that is around 1 µm under environmental conditions. The porous medium 532 is conceptualized as a bundle of tortuous capillary tubes with a pore-size 533 distribution following a fractal law. The obtained model is linked to the 534 applied voltage, back pressure, water saturation, irreducible water satura tion and microstructural parameters of porous materials ( $D_{f_1} \varphi, \alpha, r_{max}, \tau$ ). 536 From the model, the expressions for the electroosmosis pressure coefficient, 537 the relative electroosmosis pressure coefficient, the maximum back pressure, 538 the maximum flow rate, the flow rate-applied back pressure relation and the 539 product of the permeability and formation factor of porous media are also 540 obtained. To validate the model, the sensitivity of the relative electroosmosis 541 pressure coefficient  $K_{E'}$  to  $S_{irr}$ ,  $\alpha$  and  $D_f$  is analyzed and explained. The 542 model predictions are then compared with published data in both cases of 543 full saturation and partial saturation. The comparisons show that our model 544 is able to explain well experimental data. From  $K_{E}^{r}$ , the expression for the  $_{545}$  relative streaming potential coefficient  $K_S^r$  is also deduced. From that, the 546 variation of  $K_S^r$  with the water saturation is predicted and compared with 547 another model as well as experimental data from the dolomile rock sample. 548 Addtionally, we also obtain an expression for the effective excess charge den<sub>549</sub> sity $\widehat{Q}_v$ . We find a good agreement between those obtained expressions and 550 published data. We believe that the model proposed in this study can open 551 up to new studies and modelling regarding electroosmotic phenomena and 552 paving the way to to the development of new applications and technical devel<sub>553</sub> opment in various disciplines from contaminated porous media remediation 554 to masonery structures dewatering.

#### **555** Acknowledgments

 This research is funded by Vietnam National Foundation for Science 557 and Technology Development (NAFOSTED) under grant number 103.99558
 2019.316. Additionally, D. Jougnot and A. Mendieta strongly thank the 559 financial support of ANR EXCITING (grant ANR-17-CE06-0012) for the 560 PhD thesis funding of A. Mendieta.

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