

# Ultradeep sequencing reveals HIV-1 diversity and resistance compartmentalization

Eleni Giatsou, Basma Abdi, Isabelle Plu, Nathalie Désiré, Romain Palich, Vincent Calvez, Danielle Seilhean, Anne-Geneviève Marcelin, Aude Jary

#### ▶ To cite this version:

Eleni Giatsou, Basma Abdi, Isabelle Plu, Nathalie Désiré, Romain Palich, et al.. Ultradeep sequencing reveals HIV-1 diversity and resistance compartmentalization. AIDS. Official journal of the international AIDS Society, 2020, 34 (11), pp.1609-1614. 10.1097/QAD.000000000000000616. hal-02996294

# HAL Id: hal-02996294

https://hal.sorbonne-universite.fr/hal-02996294v1

Submitted on 9 Nov 2020

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

**Title:** Ultradeep sequencing reveals HIV-1 diversity and resistance compartmentalization during HIV-encephalopathy **Short title:** HIV-compartmentalization in the CNS Eleni GIATSOU<sup>1</sup>, Basma ABDI<sup>1</sup>, Isabelle PLU<sup>2</sup>, Nathalie DESIRE<sup>1</sup>, Romain PALICH<sup>3</sup>, Vincent CALVEZ<sup>1</sup>, Danielle SEILHEAN<sup>2</sup>, Anne-Geneviève MARCELIN<sup>1</sup>, Aude JARY<sup>1</sup> <sup>1</sup>Sorbonne Université, INSERM, Institut Pierre Louis d'Epidémiologie et de Santé Publique (iPLESP), AP-HP, Hôpital Pitié Salpêtrière, Laboratoire de Virologie, F-75013 Paris, France <sup>2</sup>Sorbonne Université, APHP, Hôpital Pitié Salpêtrière, Département de Neuropathologie Raymond Escourolle, F-75013, Paris, France <sup>3</sup>Sorbonne Université, INSERM, Institut Pierre Louis d'Epidémiologie et de Santé Publique (iPLESP), AP-HP, Hôpital Pitié Salpêtrière, Service de Maladies Infectieuses et Tropicales, F-75013 Paris, France Corresponding author: Dr Aude Jary, Virology Laboratory, CERVI, Pitié-Salpêtrière Hospital, 83 Bd de l'hôpital, 75013, Paris, France. Email Address: aude.jary@aphp.fr 

#### **ABSTRACT**

- 27 **Objectives:** To examine viral diversity and resistance mutations in different brain areas in
- 28 cases of HIV-encephalopathy.
- 29 Design: Twelve post-mortem brain areas from 3 cases of possible or certain HIV-
- 30 encephalopathy were analyzed.
- 31 **Methods:** After amplification of the reverse transcriptase and the V3 loop region of the gp120
- 32 protein, ultradeep sequencing was performed with Illumina® technology. Phylogenetic
- analysis was performed with Fastree v2.1 using the generalized time-reversible (GTR) model.
- 34 Identification of resistant viral variants was performed on Geneious software, according to
- 35 HIV-1 genotypic drug resistance interpretation's algorithms, 2018 administered by the French
- 36 Agency for Research on AIDS and Viral Hepatitis.
- 37 **Results:** Phylogenetic analysis revealed significant inter-regional and intra-regional diversity
- 38 reflecting persistent HIV-1 viral replication in the different brain areas. Although some
- 39 cerebral regions shared HIV-variants, most of them harbored a specific HIV-subpopulation
- 40 reflecting HIV compartmentalization in the central nervous system. Furthermore, proportion
- 41 and distribution of resistance mutations to Nucleoside and Non-Nucleoside Reverse
- 42 Transcriptase Inhibitors differed among different brain areas of the same case suggesting that
- 43 penetration of antiretroviral treatment may differ from one compartment to another.
- 44 Conclusions: This study, performed with a powerful sequencing technique, confirmed HIV
- 45 compartmentalization in the central nervous system already shown by classical sequencing,
- suggesting that there are several reservoirs within the brain.
- 47
- 48 **Keywords:** HIV-encephalitis; compartmentalization; viral diversity; resistance mutation;
- 49 ultradeep sequencing

#### 51 INTRODUCTION

	The	Human	Immunodeficiency	Virus	(HIV)	enters	the	brain	causi	ng H	IIV-
encep	halopa	nthy. The	multinucleated gian	nt cell	(MGC)	, forme	d by	cell-to	o-cell	fusior	n of
infect	ed ma	crophages	with microglia is the	e hallma	ark of thi	is diseas	e [1].				

HIV-persistence in the CNS is principally due to weak penetration of antiretroviral drugs through the blood-brain-barrier [2,3]. Sanger-sequencing has shown independent evolution of drug resistance mutations to Nucleoside and Non-Nucleoside Reverse Transcriptase Inhibitors (NRTIs/NNRTIs) and protease inhibitors (PI) in different brain areas suggesting that differential drug penetration may occur among them [4]. Ultra-deep sequencing (UDS) detects minority variants that represent up to 1% of the HIV-1 population and that were incriminated for systemic therapeutic failure in treatment naïve patients [5–8]. Moreover, phylogenetic studies based on Sanger-sequencing determined brain-specific variants [9–11]. Analysis of the envelope gene in either Sanger or Single Molecule Real Time (SMRT) sequencing showed viral strains within the CNS evolving independently in different brain areas in patients who died from HIV-encephalopathy [12]. More specifically, uniquely divergent viral strains were identified in frontal, occipital, parietal, temporal lobes and basal ganglia [12–14].

In this study, we used UDS to describe HIV-diversity in the CNS by sequencing the reverse transcriptase (RT) gene and the hypervariable V3 loop region of the HIV-1 gp120 envelope protein, to detect minority resistant variants and to identify HIV-1 tropism in specimens derived from different brain areas in three HIV+ cases of HIV encephalopathy.

#### **METHODS**

Twelve post-mortem brain tissues from 3 HIV-positive cases of probable or certain HIV-encephalopathy were provided by the Raymond Escourolle Neuropathology laboratory of the Pitié-Salpêtrière Hospital. The twelve tissues represented temporal and frontal lobe, caudate nucleus, thalamus, cerebellum, medulla oblongata, substantia nigra and spinal cord. The first case (C1) concerned a 48-year-old woman, HIV-positive for ten years, treated by multiple antiretroviral therapy. The second case (C2) concerned a 38-year-old man, HIV-positive for nine years, never treated. Concerning the third case (C3), a 29-year-old woman, she received treatment but no data was available on duration and date of HIV-diagnosis. Information on clinical course, specific HIV treatment history and biological parameters was limited, as the majority of medical records have been destroyed (Supplementary Table 1).

After DNA/RNA extraction, HIV proviral-DNA was quantified with Generic HIV DNA Cell® kit (Biocentric®). RT (RT1 and RT2) and V3 loop regions were amplified by nested PCR (Supplementary Table 2) and sequencing was performed by Illumina® MiSeq (paired-end, 2x300bp).

Viral diversity. Geneious Prime software (Biomatters Ltd, Auckland, NZ) was used to keep reads with a Q-score > 30 and longer than 200bp and to pair forward and reverse reads to form complete RT1, RT2 and V3 regions. Sequences in 100% agreement were grouped to form consensus sequences (CS). Second round was performed with sequences in 99% agreement then 98% and finally 97% as previously described [15]. Then, multiple alignments of all CS and HXB2 reference genome was performed using Mafft Software v7 [16]. Phylogenetic analysis was performed using approximately-maximum-likehood method with FastTree v2.1 using generalized time-reversible (GTR) model on both all CS (HIV\_RT1\_CS

and HIV\_V3\_CS) and CS after cleaning viral CS found less than 100 times in each brain area

100 (HIV\_RT1\_CS100 and HIV\_V3\_CS100).

101 To compare, Sanger sequencing was also performed according to the ANRS (French Agency

for HIV research and Hepatitis) technique (http://www.hivfrenchresistance.org/). Multiple

alignment of nucleotide sequences was performed with Mafft [16] and phylogenetic analysis

with PhyML using GTR model and 1000 bootstrap resampling.

Finally, HIV-1 tropism was determined with geno2pheno

(<a href="https://coreceptor.geno2pheno.org/">https://coreceptor.geno2pheno.org/</a>) according to the recommendations of the European

Consensus Group on clinical management of HIV-1 tropism testing (10% FPR).

Single-nucleotide polymorphisms (SNPs). Cleaned reads of RT1/RT2 issued from UDS (see previous paragraph) were mapped against HXB2 that carried annotations for the RT to identify SNPs (synonymous and non-synonymous SNPs, the coverage and the number of reads carrying polymorphism). The minimum variant frequency was set at 1%. Finally, HIV-1 genotypic drug resistance interpretation's algorithms, 2018 (http://www.hivfrenchresistance.org/table.html) administered by the French Agency for

Research on AIDS and Viral Hepatitis were used to identify resistance mutations.

#### RESULTS

HIV proviral-DNA load was only detected in C2 temporal lobe and medulla oblongata specimen as well as in all C3 specimens mentioned in increasing order: cerebellum (23 copies/  $10^6$  cells), medulla oblongata (31 copies/  $10^6$  cells), temporal lobe (91 copies/  $10^6$  cells), substantia nigra (92 copies/  $10^6$  cells), caudate nucleus (130 copies/  $10^6$  cells), and frontal lobe (544 copies/  $10^6$  cells) (**Table 1**).

Phylogenetic trees were generated using both HIV\_RT1\_CS (Supplementary Figure 1) and HIV\_RT1\_CS100 (**Figure 1**) and viral diversity is depicted in Table 1.

Viral diversity of RT1 for C1 was very high varying from 1086 to 3453 different viral CS in each brain area. Viral variants isolated from temporal lobe, caudate nucleus and spinal cord clustered independently (**Figure 1A**). However, a small part of viral strains derived from caudate nucleus and spinal cord was intermingled with 15 common CS between the two areas (0.6% and 0.4% of their CS, respectively). Viral diversity of C2 was also very high in temporal lobe region and medulla oblongata (3259 and 3189 respectively) with a clear separation of viral population between the two compartments and only 3 common CS (0.09%). Considering HIV\_RT1\_CS100, no viral population was shared between C2's compartments (**Figure 1C**). Finally, for C3, viral variants isolated from substantia nigra clustered independently (both HIV\_RT1\_CS and HIV\_RT\_CS100) from caudate nucleus, cerebellum and frontal lobe variants. However, among the last 3 brain areas, 527 viral CS were shared (20% of frontal CS, 21.5% of caudate nucleus CS and 15% of cerebellum CS) (**Figure 1D**).

By Sanger sequencing similar results were found, specifically sequences from C1 and C2 clustered independently. However, in C3, sequences from cerebellum, caudate nucleus and frontal lobe clustered together and these results may explain the more important proportion of common CS obtained by UDS between these 3 brain areas (Supplementary Figure 2).

The analysis of the V3 loop region for C1 also showed about thousand different viral CS per brain area with a limited number of them common between temporal lobe and spinal cord (**Figure 1B**). HIV-1 tropism was analyzed with HIV\_V3\_CS100: 94% (72/77) of spinal cord CS100 and 96% (126/131) of temporal lobe CS100 were predicted to use the CCR5 co-

receptor. The remaining CS100 of the two brain areas were undetermined and none was predicted to use CXCR4 co-receptor (Supplementary Table 3).

SNPs not conferring resistance to NRTIs/ NNRTIs were found for all samples amplified for RT1. They were carried by either majority or minority variants depending on brain area and they reflected the viral diversity previously found (Supplementary Table 4).

SNPs conferring resistance to ZDV, ABC, TDF/ FTC (NRTIs) and ETR (NNRTIs) if associated to other mutations of the RT gene were found: specifically, M41L conferring resistance to NRTIs and V90L and V106I to the NNRTI (Table 1). In C1, the majority of caudate nucleus's and spinal cord's variants harbored M41L (98, 4% each) and V90L (96.7% and 97.6% respectively) not found in temporal lobe. In C2, no resistance mutations were identified in neither temporal lobe nor medulla oblongata. In C3, minority variants in caudate nucleus and substantia nigra carried V90I (16.2% and 1.6% respectively). However, V106I was carried only by 1% of variants in caudate nucleus and M41L only by 2.3% of variants in substantia nigra. Finally, no resistance mutations were identified in neither frontal lobe nor cerebellum.

**DISCUSSION** 

The CNS is an important viral reservoir of HIV and can be particularly difficult to target as a consequence of limited drug penetration [17,18]. This study is the first to use Illumina® technology to describe viral diversity and to analyze resistance mutations to NRTIs/NNRTIs in diverse areas of the CNS in three cases diagnosed with probable or certain HIV-encephalopathy. These cases concern a woman and a man with a long HIV disease

course, either treated or not respectively, as well as a treated woman for whom disease 173 duration is unknown. 174 Firstly, we found that HIV proviral-DNA load varied both among different cases and among 175 176 different brain areas of a single case as previously reported for HIV-RNA load in different brain regions of HIV+ cases [19]. However, the highest rates were not necessarily found in 177 the same areas among studies and such discordance may be expected because DNA load 178 reflects the size of the viral reservoir and not cell-free replicating virus [20]. In C1, although 179 180 HIV proviral-DNA load was undetectable, sequencing and viral diversity analysis were effective in 3 of the 4 samples. This discrepancy may be explained by a higher sensibility of 181 nested PCR compared to real-time PCR or the use of different primers between the two 182 techniques. 183 Although RT1 sequencing for the C1's and C2's cerebellum and C2's thalamus specimens, 184 185 RT2 for all cases and V3 for C2 and C3 failed, our results of viral diversity and tropism were consistent with those previously obtained by SMRT on an HIV+/cART+ case diagnosed with 186 187 HIV encephalopathy. Indeed, the authors showed by sequencing full-length envelope gene that frontal lobe sequences clustered independently of occipital and parietal lobes and all of 188 them were predicted to use CCR5 co-receptor while most non-brain sequences were predicted 189 to use CXCR4 co-receptor. In our study, the majority of brain areas harbored a distinct HIV-190 191 subpopulation and those with effective V3 sequencing showed that strains used CCR5 coreceptor. While some variants isolated from caudate nucleus were intermixed to various 192 degrees with sequences from spinal cord, frontal lobe or cerebellum region, brainstem 193 (substantia nigra and medulla oblongata) harbored a specific subpopulation in C2 and C3. 194 Overall, our results confirm previous evidence by Sanger-sequencing that several HIV-195 196 reservoirs exist within the CNS [13,14] and prove a high intra-regional and inter-regional viral diversity just like a study based on SMRT [12], reflecting persistent viral replication in 197

the CNS. Compartmentalization is evident in all of our three cases regardless of treatment status. However, in C2, who received no treatment, there is a clear separation of viral population between the two compartments examined, while in C1 and C3 who received treatment, we note some common viral strains between two regions.

HIV-1 resistance mutations to antiretroviral drugs were reported to be regionally distributed in diverse areas of the brain by classical sequencing (15). Our study, detecting minority variants up to 1% by UDS, found similar results with different distribution of resistance mutations among brain areas of the same case. These results suggest that selection pressure may vary across brain compartments and that antiretroviral treatment does not penetrate equally all of them. Finally, resistance mutations were expected in C1 and C3 who received treatment unlike C2 for whom no mutation was found.

In conclusion, this study shows significant inter-regional and intra-regional viral diversity and confirms HIV-compartmentalization in different brain areas already shown by studies based on classical sequencing suggesting that there are several reservoirs within the CNS.

225	ACKNOWLEDGEMENTS
226	
227	Funding. This work was funded by the Agence Nationale de Recherche sur le SIDA et les
228	Hépatites Virales (ANRS) AC43 "Next-generation sequencing and resistance" working
229	group.
230	
231	Authors contributions. Conception and design of the study: IP, VC, DS, AGM; acquisition
232	and analysis of the data: EG, BA, ND, RP, AJ; drafting of significant portion of the
233	manuscript or figures: EG, AJ. All the authors read, corrected and approved the final
234	manuscript.
235	
236	Conflicts of interest. No conflicts of interest to disclose.
237	
238	Meeting presentation. This work was presented as an e-poster at the Conference on
239	Retroviruses and Opportunistic Infections (CROI), in March 2020 (poster no 425).
240	
241	
242	
243	
244	
245	
246	
247	
248	
249	
250	
251	

#### REFERENCES

- 253 1 González-Scarano F, Martín-García J. The neuropathogenesis of AIDS. Nat Rev Immunol 2005; 5:69–81.
- 254 Bhaskaran K, Mussini C, Antinori A, Walker AS, Dorrucci M, Sabin C, et al. Changes in the incidence and predictors of human immunodeficiency virus-associated dementia in the era of highly active antiretroviral therapy. Ann Neurol 2008; 63:213–221.
- Robertson KR, Smurzynski M, Parsons TD, Wu K, Bosch RJ, Wu J, *et al.* **The prevalence and incidence of neurocognitive impairment in the HAART era**. *AIDS* 2007; **21**:1915–1921.
- Smit TK, Brew BJ, Tourtellotte W, Morgello S, Gelman BB, Saksena NK. Independent evolution of human
   immunodeficiency virus (HIV) drug resistance mutations in diverse areas of the brain in HIV-infected
   patients, with and without dementia, on antiretroviral treatment. J Virol 2004; 78:10133–10148.
- Cozzi-Lepri A, Noguera-Julian M, Di Giallonardo F, Schuurman R, Däumer M, Aitken S, et al. Low-frequency
   drug-resistant HIV-1 and risk of virological failure to first-line NNRTI-based ART: a multicohort European
   case-control study using centralized ultrasensitive 454 pyrosequencing. J Antimicrob Chemother 2015;
   70:930–940.
- Li JZ, Paredes R, Ribaudo HJ, Svarovskaia ES, Metzner KJ, Kozal MJ, et al. Low-frequency HIV-1 drug
   resistance mutations and risk of NNRTI-based antiretroviral treatment failure: a systematic review and
   pooled analysis. JAMA 2011; 305:1327–1335.
- Stella-Ascariz N, Arribas JR, Paredes R, Li JZ. The Role of HIV-1 Drug-Resistant Minority Variants in
   Treatment Failure. *J Infect Dis* 2017; 216:S847–S850.
- Hodkinson BP, Grice EA. Next-Generation Sequencing: A Review of Technologies and Tools for Wound Microbiome Research. Adv Wound Care (New Rochelle) 2015; 4:50–58.
- Epstein LG, Kuiken C, Blumberg BM, Hartman S, Sharer LR, Clement M, et al. HIV-1 V3 domain variation in
   brain and spleen of children with AIDS: tissue-specific evolution within host-determined quasispecies.
   Virology 1991; 180:583-590.
- Caragounis E-C, Gisslén M, Lindh M, Nordborg C, Westergren S, Hagberg L, et al. Comparison of HIV-1 pol
   and env sequences of blood, CSF, brain and spleen isolates collected ante-mortem and post-mortem.
   Acta Neurol Scand 2008; 117:108–116.
- 279 11 Gonzalez-Perez MP, O'Connell O, Lin R, Sullivan WM, Bell J, Simmonds P, et al. Independent evolution of 280 macrophage-tropism and increased charge between HIV-1 R5 envelopes present in brain and immune 281 tissue. Retrovirology 2012; 9:20.
- 282 12 Brese RL, Gonzalez-Perez MP, Koch M, O'Connell O, Luzuriaga K, Somasundaran M, et al. Ultradeep single-283 molecule real-time sequencing of HIV envelope reveals complete compartmentalization of highly 284 macrophage-tropic R5 proviral variants in brain and CXCR4-using variants in immune and peripheral 285 tissues. J Neurovirol 2018; 24:439–453.
- Shapshak P, Segal DM, Crandall KA, Fujimura RK, Zhang BT, Xin KQ, *et al.* **Independent evolution of HIV type 1 in different brain regions**. *AIDS Res Hum Retroviruses* 1999; **15**:811–820.
- 288 14 Chang J, Jozwiak R, Wang B, Ng T, Ge YC, Bolton W, *et al.* **Unique HIV type 1 V3 region sequences derived**289 **from six different regions of brain: region-specific evolution within host-determined quasispecies**. *AIDS*290 *Res Hum Retroviruses* 1998; **14**:25–30.
- Nguyen T, Delaugerre C, Valantin M-A, Amiel C, Netzer E, L'yavanc T, et al. Shared HCV Transmission
   Networks among HIV-1 Positive and Negative Men Having Sex with Men by Ultra-Deep Sequencing. J
   Acquir Immune Defic Syndr Published Online First: 21 May 2019. doi:10.1097/QAI.0000000000002099

294 295	16	performance and usability. Mol Biol Evol 2013; <b>30</b> :772–780.
296 297	17	Gray LR, Roche M, Flynn JK, Wesselingh SL, Gorry PR, Churchill MJ. Is the central nervous system a reservoir of HIV-1? <i>Curr Opin HIV AIDS</i> 2014; <b>9</b> :552–558.
298 299	18	Spudich S, Robertson KR, Bosch RJ, Gandhi RT, Cyktor JC, Mar H, et al. Persistent HIV-infected cells in cerebrospinal fluid are associated with poorer neurocognitive performance. 2019. doi:10.1172/JCI127413
300 301 302	19	Kumar AM, Borodowsky I, Fernandez B, Gonzalez L, Kumar M. <b>Human immunodeficiency virus type 1 RNA</b> Levels in different regions of human brain: quantification using real-time reverse transcriptase-polymerase chain reaction. <i>J Neurovirol</i> 2007; <b>13</b> :210–224.
303 304 305	20	Chun T-W, Murray D, Justement JS, Hallahan CW, Moir S, Kovacs C, et al. Relationship between residual plasma viremia and the size of HIV proviral DNA reservoirs in infected individuals receiving effective antiretroviral therapy. J Infect Dis 2011; 204:135–138.
306		
307		
308		
309		
310		
311		
312		
313		
314		
315		
316		
317		
318		
319		
320		
321		
322		
323		
324		

**Table 1:** HIV-1 reservoir quantification, viral diversity and resistance mutations among the different brain areas of cases 1, 2 and 3.

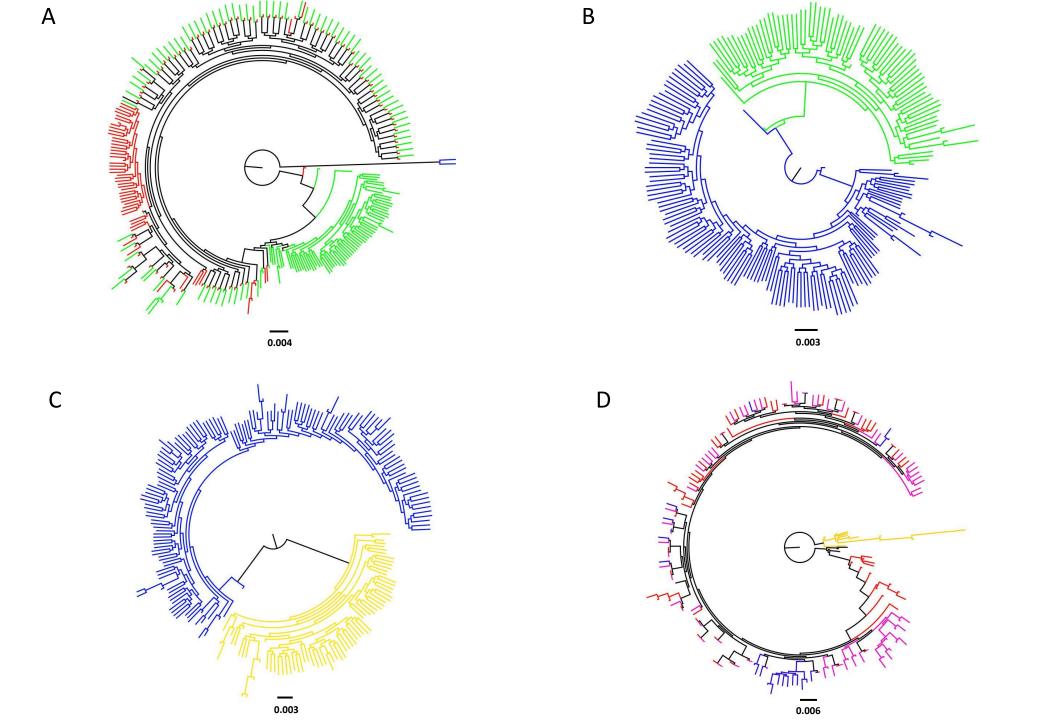
	G A G	T. 1	CASE 4	- CI	GE 2	
	CAS		CASE 2		SE 3	
****		Temporal		Frontal lobe		
HIV proviral DNA (copies/10 <sup>6</sup> cells) HIV_RT1_CS	<4	0	91	5.	44	
No	108	36	3259	26	524	
No shared (%)	1 (0.09) y		3 (0.09) with MO		th CN and Cer	
	(3,33,7)		(1111)	55 (2.1) on	ly with CN	
				83 (3.2) on	ly with Cer	
HIV_RT1_CS100 No	2		146		30	
No shared (%)	0 (0		146 0 (0)		n CN and Cer	
140 Shared (70)	0 (0	3)	0 (0)		y with Cer	
Resistance mutation	0		0		0	
Nucleotide substitution (position)	-		-		-	
coverage % reads carrying mutation	-		-		-	
No of reads carrying mutation	_		-	-		
110 of reads earlying maturion	Caudate	nucleus	Thalamus	Caudate	e nucleus	
HIV proviral DNA (copies/10 <sup>6</sup> cells)	<4		<40		30	
HIV_RT1_CS						
No	259			_	151	
No shared (%)	15 (0.6) v 1 (0.04) v		Not amplified	527 (21.5) with FL and SN 234 (9.5) only with Cer		
	1 (0.04)	witti IL			nly with FL	
HIV_RT1_CS100				20 (2.2) 0.		
No	14		Not amplified	-	50	
No shared (%)	2 (1.4) w	vith SC			h FL and Cer	
Resistance mutation	Mai I	3700 T		9 (15) onl <b>V90 I</b>	y with Cer	
Nucleotide substitution (position)	M41 L V90 I A-C (586) G-A (733) 289 139 552 684			G-A (733)	V106 I G-A (781)	
coverage			N. 1167 1	328 900	328 913	
% reads carrying mutation	98,4	96,7	Not amplified	16,2		
No of reads carrying mutation	284 513	534 445		53 282	3 289	
HIV proviral DNA (copies/10 <sup>6</sup> cells)	<4	0	Cerebellum <40	1 2	23	
HIV_RT1_CS	<u> </u>	0	<b>\40</b>	2		
No				35	526	
No shared (%)	Not amp	olified	Not amplified	527 (14.9) with FL and CN		
					nly with CN	
HIV_RT1_CS100				83 (2.4) 01	nly with FL	
No				7	'3	
No shared (%)	Not am	olified	Not amplified		FL and CN	
					ly with CN	
D				\ /	ly with FL	
Resistance mutation Nucleotide substitution (position)				'	0	
coverage	Not am	olified	Not amplified		-	
% reads carrying mutation			1		-	
No of reads carrying mutation					-	
*****	Spinal		Medulla oblongata		tia nigra	
HIV proviral DNA (copies/10 <sup>6</sup> cells) HIV_RT1_CS	<4	0	31	,	)2	
No	345	13	3189	16	550	
No shared (%)	15 (0.4) v		3 (0.09) with TL		(0)	
HIV_RT1_CS100	, ,		, ,			
No	15		72		.5	
No shared (%)	2 (1.3) w		0 (0)		(0)	
Resistance mutation Nucleotide substitution (position)	M41 L A-C (586)	<b>V90 I</b> G-A (733)	0	M41 L A-C (586)	<b>V90 I</b> G-A (733)	
coverage	384 658	618 797	-	62 637	100 327	
% reads carrying mutation	98,4	97,6	-	2,3	1,6	
70 Teaus carrying mutation						

328	Cer: cerebellum; CN: caudate nucleus; CS: consensus sequences; DNA: desoxyribonucleic acid; FL: frontal
329	lobe; HIV: human immunodeficiency virus; MO: medulla oblongata; No: number; SC: spinal cord; SN:
330	substantia nigra; TL: temporal lobe; -: not applicable; No: number
331	HIV_RT1_CS: all cleaned consensus sequences of RT1 fragment; HIV_RT1_CS100: all cleaned consensus
332	sequences of RT1 fragment after filtering out consensus sequences found less than 100 times
333	In case 1, the majority of caudate nucleus's and spinal cord's variants shared the same resistance mutations
334	M41L and V90I. M41L: the substitution of methionine for leucine in position 41 of RT1 confers resistance to
335	ZDV, ABC and TDF/FTC (NRTIs) on condition that this substitution is associated to two others specific
336	mutations within the RT gene. V90I: The substitution of valine for isoleucine in position 90 of RT1 confers
337	resistance to ETR (NNRTI) only if two others mutations are presents within the RT gene. No resistance mutation
338	was identified in any of brain areas studied in case 2 (temporal lobe and medulla oblongata). Case 3 presented
339	resistance mutations only in caudate nucleus and substantia nigra. V106I: The substitution of valine for
340	isoleucine in position 106 confers resistance to ETR only if associated to two others specific mutations of the RT
341	gene.
342	
343	
344	
345	
346	
347	
348	
349	
350	
351	
352	
353	

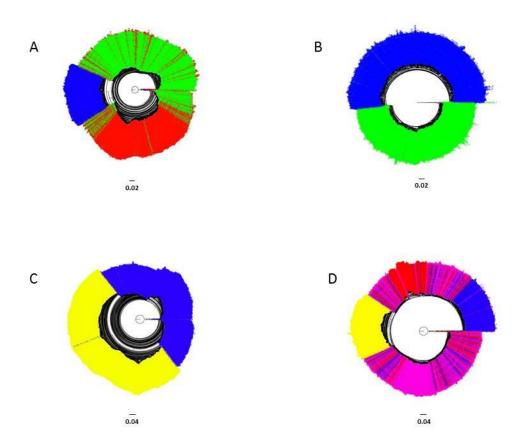
354	Figure 1: Approximately maximum-likelihood phylogenetic trees constructed with
355	Fastree (2.1) of RT1 consensus viral sequences issued from the different brain areas.
356	Phylogenetic trees were inferred with viral consensus after filtered out those found less than
357	100 times (HIV_RT1_CS100 or HIV_V3_CS100). A. RT1 of C1, B. V3 of C1, C. RT1 of
358	C2, <b>D.</b> RT1 of C3.
359	Branches are colored according to the tissue origin as follow: red: caudate nucleus; blue (CI
360	and C2: temporal lobe, C3: frontal lobe); green: spinal cord; yellow: brainstem (C2: medulla
361	oblongata and C3: substantia nigra); pink: cerebellum
362	
363	
364	
365	
366	
367	
368	
369	
370	
371	
372	
373	
374	
375	
376	
377	
378	

Supplementary Figure 1: Approximately maximum-likelihood phylogenetic trees constructed with Fastree (2.1) of RT1 consensus viral sequences issued from the different brain areas. Phylogenetic trees were inferred with all viral consensus found (HIV\_RT1\_CS or HIV\_V3\_CS). **A.** RT1 of C1, **B.** V3 of C1, **C.** RT1 of C2, **D.** RT1 of C3. Branches are colored according to the tissue origin as follow: red: caudate nucleus; blue (C1 and C2: temporal lobe, C3: frontal lobe); green: spinal cord; yellow: brainstem (C2: medulla oblongata and C3: substantia nigra); pink: cerebellum 

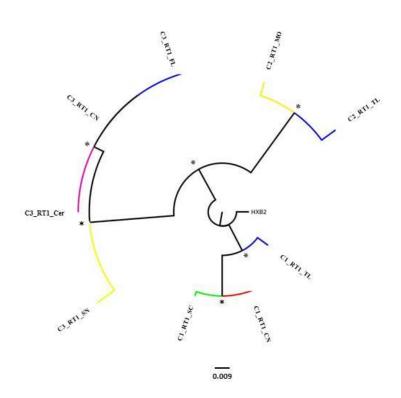
Supplementary Figure 2: Maximum-likelihood phylogenetic tree constructed with PhyML of RT1 nucleotide sequences issued from the different brain areas. Phylogenetic tree were inferred with nucleotide sequences generated by Sanger sequencing and rooted with HXB2 reference sequence. Branches are colored according to the tissue origin as follow: red: caudate nucleus; blue (C1 and C2: temporal lobe, C3: frontal lobe); green: spinal cord; yellow: brainstem (C2: medulla oblongata and C3: substantia nigra); pink: cerebellum Nodes presenting a branch support > 70% (bootstrap analysis with 1000 replicates) are indicated by an asterisk.



Supplementary Figure 1: Approximately maximum-likelihood phylogenetic trees constructed with Fastree (2.1) of RT1 consensus viral sequences issued from the different brain areas. Phylogenetic trees were inferred with all viral consensus found (HIV\_RT1\_CS or HIV\_V3\_CS). A. RT1 of C1, B. V3 of C1, C. RT1 of C2, D. RT1 of C3. Branches are colored according to the tissue origin as follow: red: caudate nucleus; blue (C1 and C2: temporal lobe, C3: frontal lobe); green: spinal cord; yellow: brainstem (C2: medulla oblongata and C3: substantia nigra); pink: cerebellum



Supplementary Figure 2: Maximum-likelihood phylogenetic tree constructed with PhyML of RT1 nucleotide sequences issued from the different brain areas. Phylogenetic tree were inferred with nucleotide sequences generated by Sanger sequencing and rooted with HXB2 reference sequence. Branches are colored according to the tissue origin as follow: red: caudate nucleus; blue (C1 and C2: temporal lobe, C3: frontal lobe); green: spinal cord; yellow: brainstem (C2: medulla oblongata and C3: substantia nigra); pink: cerebellum Nodes presenting a branch support >70% (bootstrap analysis with 1000 replicates) are indicated by an asterisk



## Supplementary Table 1: Participants' characteristics and selected brain areas

Case	Age (years)	HIV diagnosis	Year of death	Death cause	cART	Selected brain areas	Anatomopathology
1	48	1996	2006	Pulmonary Embolism	Yes	Temporal lobe Caudate nucleus Cerebellum Spinal cord	Microglial activation and rare MGC positive for P24 antigen
2	38	2001	2010	Sepsis/ARDS	None	Temporal lobe Thalamus Cerebellum Medulla oblongata	Rare toxoplasma cysts without necrosis associated with numerous microglial nodules
3	29	Unknown	2007	Pulmonary Embolism	Yes	Frontal lobe Caudate nucleus Cerebellum Substantia nigra	Rare toxoplasma cysts without necrosis associated with numerous microglial nodules

ARDS: Acute respiratory distress syndrome. cART: combination antiretroviral therapy. MGC: multinucleated giant cells; HIV: human immunodeficiency virus; ART: antiretroviral therapy; MGC: multinucleated giant cells

## **Supplementary Table 2:** Experimental conditions for the amplification by nested PCR of

RT1, RT2 and V3 region before sequencing on MiSeq Illumina® system.

Amplified f	ragments	Primers	Direction		Sequences (:	5'-3')				
		Outer	Forward		TAG TCC TAT TGA RAG					
RT1		Outer	Reverse		ATC CTA CAT ACA AF					
		Inner	Forward			GG CCA TTG ACA GAA GAA A				
		Timer	Reverse	GCG ATC G		GGA ATA TTG CTG GTG ATC C				
RT2		Outer	Forward		AGT CTT TTG ATG GO					
		- Gutti	Reverse		GGG ARG TYA ATT A					
		Inner	Forward			ATG TGG GGA TGC ATA TTT				
		- Innier	Reverse	GCG ATC GT		GT ATG TCA TTG ACA GTC CAG				
V3		Outer	Forward		CAG TAC AAT GTA					
			Reverse	ATG GGA GGG GCA TAC ATT G						
		Inner	Forward	AAG ACT CGG CAG CAT CTC CAT TAC AGT AGA AAA ATT CCC CTC						
		Illiler	Reverse	GCG ATC GTC ACT GTT CTC CAA ATG GCA GTC TAG CAG AAG						
RT1 or	RT1 or RT2 PROTO		PLIFICATION	V3 PROTOCOL AMPLIFICATION						
	1 <sup>st</sup>	t PCR		1 <sup>st</sup> PCR						
RT-PCR	50°C		30 min	RT-PCR	50°C	30 min				
denaturation	94°C		7 min	denaturation	94°C	7 min				
	94°C		10 sec		94°C	30 min				
35 cycles	55°C		30 sec	35 cycles	53°C	30 sec				
•	68°C		1 min		68°C	1 min				
1 cycle	68°C		7 min	1 cycle	68°C	7 min				
-	Nest	ted PCR			Nested PO	CR				
denaturation	98°C		1 min	denaturation	98°C	1 min				
3 cycles	98°C : 10 sec	c; 66-64°	C:30sec;72°C:15sec	40 cycles	98°C	10 sec				
3 cycles			C: 30sec ; 72°C: 15sec	•	60°C	30 sec				
3 cycles			C: 30sec ; 72°C: 15sec		72°C	20 sec				
30 cycles			: 30sec ; 72°C: 15sec	1 cycle	72°C	2 min				
1 cycle	72°C		7 min	•	-					

Min: minute; sec: second; PCR: polymerase chain reaction; RT-PCR: reverse transcriptase PCR

Universal Adapters necessary for libraries' preparation are represented in bold.

#### Supplementary Table 3: V3 viral diversity and tropism among two different brain area of case 1

	HIV	_V3_CS	HIV_	V3_CS100	HIV1-tropism				
Brain area	No of CS	Consensus sequences shared	No of CS	Consensus sequences shared	CCR5 (FPR>10%)	Undetermined (5% <fpr<10%)< th=""><th>CXCR4 (FPR&lt;5%)</th></fpr<10%)<>	CXCR4 (FPR<5%)		
Temporal lobe	2086	3 (0.14) with TL	131	0	126 (96%)	5 (6%)	0		
Caudate nucleus	Not amplified	-	Not amplified	-	-	-	-		
Cerebellum	Not amplified	-	Not amplified	-	-	-	-		
Spinal cord	1944	3 (0.15) with SC	77	0	72 (94%)	5 (4%)	0		

CS: consensus sequences; DNA: desoxyribonucleic acid; FPR: false positive rate; HIV: human

immunodeficiency virus; No: number; SC: spinal cord; TL: temporal lobe; -: not applicable

HIV\_RT1\_CS: all cleaned consensus sequences of RT1 fragment; HIV\_RT1\_CS100: all cleaned consensus sequences of RT1 fragment after filtering out consensus sequences found less than 100 times

**Supplementary Table 4:** Synonymous and non-synonymous polymorphisms not conferring resistance to Nucleoside and Non-Nucleoside Reverse Transcriptase Inhibitors in different brain areas of cases 1, 2 and 3.

CASE 1													
	TEMPORAL	LOBE	CA	UDATE	E NUCI	LEUS				SPINA	L CORE	)	
Polymorphism	D67 I	)	K65	K	D6	67 D	]	K65 K	D	67 D		L7-	4 L
Nucleotide substitution (position)	C -T (60	56)	A-G (	660)	C-T	(666)	A-	-G (660)	C-7	(666)		T-C	(685)
Coverage (number of reads)	105 49	6	289 7	746	293	3 115	3	379 378	37	7 542		371	256
% Reads carrying mutation	98,5		98,	,1	ç	97		97,6	Ģ	98,7	1,2		,2
Number of reads carrying mutation	103 91	4	284 2	241	284	4 322	3	370 273	37	2 634		4 4	55
					CASE 2	2							
							СМРО	RAL LO	BE				
Polymorphism	K65 E	D67	' D	Т6	9 T	L74	L	V	790 V		A98 A		E138 G
Nucleotide substitution (position)	A-G (658)	C-T (	666)	T-G	(672)	T-C (6	585)	T-0	C (735)	1	A-G (759)	)	A-G (878)
Coverage (number of reads)	374 271	379 (	027	745	373	745 3	92	74	15 393		745 393		371 453
% Reads carrying mutation	1,2	97,	,2	9	,2	92,	7		93,5		94		1,5
Number of reads carrying mutation	4 491	368 4	414	68	574	690 9	78	69	96 942		700 669		5 572
						ONGATA							
Polymorphism	D67 D	L74			90 V		98 A		K101 K				
Nucleotide substitution (position)	C-T (666)	T-C (			(735)		G (759	<i>'</i>	A-G (768)				
Coverage (number of reads)	319 846	312 4	409	48	8 146	50	)4 794		505 950				
% reads carrying mutation	98,5	1,4		95,1			96,3		1,2				
Number of reads carrying mutation	315 048	4 3	74	46	4 227	48	36 117		6 071				
					CASE 3	3							
						ONTAL L	ORE						
Polymorphism	D67 D	L74 L		A98 A				K1	01 K	K	103 K		
Nucleotide substitution (position)	C-T (666)	T-C (6		A-G		T-C (7			(768) A-G		G (774)		
Coverage (number of reads)	215 992	212 6	586	364	358	364 7	46	364	364 304 3		51 107		
% Reads carrying mutation	98,5	97,	4	96	5,9	97,	5	9	98,1		97,2		
Number of reads carrying mutation	212 752	207 1	156	353	063	355 6	527	357	382	35	0 996		
						CAU	JDAT	E NUCLI	EUS			•	
Polymorphism	M41 V	<b>D67</b> l	D	T69 T	`	L74 L		A98 A	L	100 L	K	K101 K	K103 K
Nucleotide substitution (position)	A-G (586)	C-T (6	66)	T-G (67	(2)	T-C (685)	A	-G (759)	T-C	C (763)	A-	·G (768)	A-G (774)
Coverage (number of reads)	175 388	176 62	20	328 90	0	328 900	:	328 900	32	8 900	3	28 900	328 912
% Reads carrying mutation	1,5	98,1		7,4		94,1		96		96,8		97,2	96,2
Number of reads carrying mutation	2 631	173 20	64	24 339		309 495		315 744	31	8 375	3	19 691	316 413
						EBELLU							
Polymorphism	D67 D	L74			8 A	L100		K101 H		K103 K			
Nucleotide substitution (position)	C-T (666)	T-C (6		A-G		T-C (7		A-G	` '	A-G (			
Coverage (number of reads)	286 874 98,8	282 1 97,			300	486 7 98		486		482 (			
% Reads carrying mutation  Number of reads carrying mutation	283 431	276 2		97,3 473 170		477 0		478	8,4 97,5				
Number of reads carrying mutation	203 431	2/02	234	4/3	170	_		NTIA NIC		470 (	J34		
Polymorphism	K65 K	D67	D T	L74 L		A98 A		100 L	K10	1 K	T/	103 K	Y115 Y
Nucleotide substitution (position)	A-G (660)	C-T (6		T-C (68		A-G (759)		C (763)	A-G			G (774)	T-C (810)
Coverage (number of reads)	61 055	60 80		60 047		101 772		01 687	101			00 209	55 050
% Reads carrying mutation	1,5	97,5		95,7		94,9		96,2	88			95,6	88,5
Number of reads carrying mutation	916	59 28		57 465	5	96 582		7 823	90	-		5 800	48 719
and the state of t		27 20	-						, ,				.5 , 17