

Pulmonary infections complicating acute respiratory distress syndrome

Charles-Edouard Luyt, Lila Bouadma, Andrew Conway Morris, Jayesh A Dhanani, Marin Kollef, Jeffrey Lipman, Ignacio Martin-Loeches, Saad Nseir, Otavio T Ranzani, Antoine Roquilly, et al.

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- **Take home message**: Pulmonary superinfections of ARDS patients considerably impact patients' prognosis. It is favored by altered local and systemic immune defenses. The poor outcome of ARDS with pulmonary superinfections is probably related to the lack of early accurate diagnostic methods and difficulties in optimizing therapy.

57 Abstract

Pulmonary infection is one of the main complications occurring in patients suffering from the 58 acute respiratory distress syndrome (ARDS). Beside traditional risk factors, dysregulation of 59 lung immune defences and microbiota may play an important role in ARDS patients. Prone 60 positioning does not seem to be associated with a higher risk of pulmonary infection. 61 Although bacteria associated with VAP in ARDS patients are similar to those in patients 62 without ARDS, atypical pathogens (Aspergillus, herpes simplex virus and cytomegalovirus) 63 may also be responsible for infection in ARDS patients. Diagnosing pulmonary infection in 64 65 ARDS patients is challenging, and requires a combination of clinical, biological and microbiological criteria. The role of modern tools (e.g. molecular methods, metagenomic 66 sequencing...) remains to be evaluated in this setting. One of the challenges of antimicrobial 67 treatment is antibiotics diffusion into the lungs. Although targeted delivery of antibiotics 68 using nebulization may be interesting, their place in ARDS patients remains to be explored. 69 The use of extracorporeal membrane oxygenation in the most severe patients is associated 70 with a high rate of infection and raises several challenges, diagnostic issues and 71 pharmacokinetics/pharmacodynamics changes being at the top. Prevention of pulmonary 72 infection is a key issue in ARDS patients, but there is no specific measure for these high-risk 73 patients. Reinforcing preventive measures using bundles seems to be the best option. 74

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77 Introduction

Acute respiratory distress syndrome (ARDS) regroups a wide range of diseases whose 78 consequence is lung inflammation, alveolar damage and pulmonary edema [1]. Whatever the 79 initial lung injury, patients with ARDS are prone to develop secondary pulmonary infection, 80 namely ventilator-associated pneumonia (VAP). Recent data from the Center for Disease 81 82 Control and Prevention suggest that VAP rates are not dropping in the US despite stateside prevention efforts [2]. VAP complicating ARDS appears to be a common problem, affecting 83 between 20 and 40% patients [3][4]. This high frequency may be explained by traditional 84 factors such as bronchial contamination due to endotracheal intubation, mechanical 85 ventilation (MV) duration, but also because of impaired local (alveolar) and systemic 86 defenses, and other specific and non-specific factors [5]. In this article, we will review 87 specific challenges related to ARDS patients, namely specific risk factors, diagnostic 88 challenges, unusual pathogens, issues with antimicrobial treatment and prevention of 89 infection. 90

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92 Pathophysiology

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Immune defenses and respiratory microbiota

Patients with ARDS exemplify the apparently paradoxical immune state of critically ill
patients, whereby activated immune cells mediate organ damage whilst manifesting impaired
anti-microbial defenses [6]. Impaired cellular functions have been identified across both the
innate and adaptive arms of the immune system [7, 8], and appear to be stereotyped rather
than specific to any precipitating cause of ARDS [9]. This apparently paradoxical state is due
to the ability of pro-inflammatory and tissue damage molecules to drive immune dysfunction
[9, 10].

Dysfunctional immune cells are found in the lung as well as peripheral blood [9]. 101 Interestingly, lung mucosal immune defects are protracted after the cure from primary 102 inflammation, thus increasing the susceptibility to hospital-acquired pneumonia and ARDS 103 for weeks after systemic inflammation [11]. Following experimental pneumonia, pulmonary 104 macrophages and dendritic cells demonstrated prolonged suppression of immune functions 105 which increased the susceptibility to secondary infection [12]. Expansion of immuno-106 modulatory regulatory T-cells (T_{reg}) is also seen, and may mediate impaired innate as well as 107 adaptive immune function [13]. Patients with suspected VAP, including those with ARDS, 108 demonstrated impaired phagocytic function of alveolar neutrophils, which interestingly 109 appeared to be mediated by different mediators than those driving dysfunction in the 110 111 peripheral blood [9]. Whilst we have a growing understanding of the mediators driving dysfunction, and the intracellular mechanisms which drive them [14], we do not as yet have 112 proven therapies although there are multiple potential agents [7]. 113

When aiming at modulating immunity during inflammation, it is important to 114 differentiate innate and adaptive immune cells responses. While exhaustion and apoptosis 115 seem to be central to lymphocyte defects observed in critically ill patients [15], some innate 116 immune cells undergo reprogramming involving epigenetic reprogramming and increased 117 cellular metabolism, a phenomena so called trained immunity, resulting in high production of 118 inflammatory cytokines such as IL-6 and TNF α during secondary immune challenge [16]. 119 While glucocorticoids are classically considered as immunosuppressive drugs, it has been 120 shown that they can prevent the immune reprogramming observed after inflammatory 121 response [16], thus limiting the susceptibility of ICU patients to respiratory complications 122 such as pneumonia or ARDS and improving outcomes of patients with ARDS [17]. 123

Part of the complexity of pulmonary super-infections arises from the interactionbetween the injured host with their pulmonary microbiome. Although considerably less

abundant and diverse than the better studied gastro-intestinal microbiome [18], the pulmonary
microbiome is increasingly well defined and undergoes significant changes during critical
illness and ARDS [19]. The major role of respiratory microbiota on mucosal immunity and
respiratory functions in health suggest that its alterations could be involved in the respiratory
complications observed in critically ill patients [20]. Indeed, mechanically ventilated patients
experience a reduction in diversity of pulmonary microbes and an increase in enteric-type
organisms, even in the absence of overt infection [21].

Early alterations of the lung microbiome, notably increased bacterial burden and 133 biofilm formation, enrichment with gut-associated bacteria and loss of diversity, are 134 associated with the risk of ARDS and the duration of MV support in critically ill patients [22]. 135 Pre-existing dysbiosis, such as that induced by tobacco smoke, may also influence the 136 development of ARDS following major trauma [23]. Alongside changes in bacterial species, 137 it is common to find reactivation of latent herpesviridae such as herpes simplex virus (HSV) 138 and cytomegalovirus (CMV) [24]. The drivers of these changes are incompletely understood 139 but are multi-factorial, with possible mechanisms illustrated in Figure 1 [13, 22, 25]. Adding 140 further complexity is the potential for microbes themselves to drive further immune 141 dysfunction [26]. VAP should therefore be conceptualised as less a de-novo infection by an 142 exogenous pathogen but rather a dysbiotic response to critical illness with overgrowth of 143 specific genera of bacteria [27]. Appropriate antibiotic therapy targeting the dominant species, 144 being those frequently detected by culture, is key in certain patients but risks exacerbating 145 dysbiosis and further harm to the patient [28]. What remains to be proven is whether 146 interventions to restore symbiosis, i.e. to increase bacterial diversity rather than only 147 eliminating dominant species, can improve outcomes [27]. Although the experience of faecal 148 transplantation in *Clostridium difficile* associated diarrhoea suggests that microbial 149 transplantation may be an effective form of therapy [29], negative experience of probiotics in 150

pancreatitis and recent examples of 'probiotic' bacteria causing infections sound a note of
caution [30, 31]. Developing effective therapies for respiratory dysbiosis will require tools to
profile the host peripheral and pulmonary immune cell function and the pulmonary
microbiome [8].

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Hyperoxia as a risk factor for pulmonary infection

Hyperoxia is common in patients receiving MV for ARDS. A secondary analysis of the LUNG SAFE trial [32], reported that 30% of the 2005 analyzed patients had hyperoxia on day 1, and 12% had sustained hyperoxia. While two randomized controlled trials found beneficial effect of avoiding hyperoxia [33, 34], a recent large international multicenter trial demonstrated no effect of conservative oxygen therapy in a cohort of critically ill patients [35]. However, a subsequent sub-study raised the possibility of clinically important harm with conservative oxygen therapy in patients with sepsis [36].

Oxygen toxicity is mainly related to the formation of reactive oxygen species (ROS), 164 especially during hypoxia/re-oxygenation and long exposure to oxygen. High level of inspired 165 oxygen is responsible for denitrogenation phenomena and inhibition of surfactant production 166 promoting expiratory collapse and atelectasis [37]. Absorption atelectasis occurs within few 167 168 minutes after pure O₂ breathing. In mechanically ventilated patients, atelectasis seriously impairs cough reflex and mucus clearance resulting in abundant secretions in the lower 169 airways and higher risk for VAP. Prolonged hyperoxia also impairs the efficacy of alveolar 170 macrophages to migrate, phagocyte and kill bacteria, resulting in decreased bacterial 171 clearance [38]. Hyperoxemia markedly increased the lethality of Pseudomonas aeruginosa in 172 a mouse model of pneumonia [39]. Additionally, O₂ can cause pulmonary-specific toxic 173 effect called hyperemic acute lung injury (HALI) (Figure 2). 174

Although earlier studies reported a link between high FiO2 and atelectasis, further studies are required to evaluate links between hyperoxia and mortality or VAP. In a single center cohort study of 503 patients, among whom 128 (28%) had VAP, multivariate analysis identified number of days spent with hyperoxemia [OR =1.1, 95% CI: (1.04–1.2) per day, P=0.004], as an independent risk factor for VAP. However, the study was retrospective, performed in a single centre, and the definition used for hyperoxia (at least one PaO₂ value >120 mmHg per day) could be debated [40].

In the recent HYPERS2S randomized controlled trial [34], the percentage of patients with atelectasis doubled in patients with hyperoxia compared with those with normoxia (12% *vs.* 6%, P=0.04). However, no significant difference was found in VAP rate between hyperoxia and control group (15% *vs.* 14%, P=0.78). However, VAP was not the primary outcome of this trial, and no clear definition of ICU-acquired pneumonia. Further welldesigned studies are required to determine the relationship between hyperoxia and VAP.

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Prone position as a risk factor for pulmonary infection

Prone position is recommended in patients with severe ARDS and is commonly used in this
population. There is a rationale supporting a beneficial effect of prone position on the
incidence of VAP, as it facilitates secretion drainage and allows atelectasis resolution.
Previous human and animal studies have clearly showed a link between atelectasis and VAP,
and reported that efficient secretion drainage might result in lower incidence of VAP [37]. On
the other hand prone position might facilitate microorganisms dissemination, and increase
microaspiration of contaminated secretions.

197 The results of studies on the relationship between prone position and VAP should be 198 interpreted with caution, because of some limitations such as observational design, small

number of included patients and confounding factors. Five recent studies were performed in 199 patients with protective lung MV, including 4 randomized controlled studies and one large 200 observational cohort. Mounier et al. [41] reported no significant reduction of VAP incidence 201 in a large cohort (n = 2409) of hypoxemic patients receiving prone position, as compared to 202 those who did not receive this intervention (HR 1.64 (95% CI 0.7-3.8)). One randomized 203 controlled trial reported reduced risk for VAP in multiple trauma patients who received 204 intermittent prone position, as compared to those who did not (p = 0.048) [42]. However, the 205 incidence of VAP was very high in the control group (89%), and the number of included 206 patients was small (n = 40). Three other randomized controlled trials reported no significant 207 208 relationship between prone position and VAP [4, 43, 44]. However, these studies lack of information on efficient preventive measures of VAP, such as the use of subglottic secretion 209 drainage or continuous control of tracheal cuff pressure, and VAP was not their primary 210 outcome. In summary, available data do not support a significant relationship between prone 211 position and VAP, although it has demonstrated beneficial effects on mortality in severe 212 ARDS. 213

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215 Diagnostic challenges

The diagnosis of lung infections in patients with ARDS is challenging [45]. The 216 diagnosis of pneumonia, the dominant respiratory infection of concern in ARDS, is ultimately 217 a histopathological diagnosis which requires the presence of airspace inflammation and an 218 infecting organism. However obtaining lung tissue for diagnosis is seldom practical nor 219 desirable in ventilated patients [5]. The clinical features of systemic inflammation and 220 localizing chest signs such as crepitations and bronchial breathing are non-specific and 221 insensitive. Whilst radiological evidence of airspace infiltration is useful, the gold-standard 222 of computed tomography is not practical for most patients leading practitioners to rely on 223

plain radiographs and ultrasound, and even computed tomography cannot always reliably 224 distinguish between infective and non-infective causes of airspace infiltration [5, 45]. Use of 225 clinical and radiographic criteria alone are likely to significantly over-estimate the rate of 226 pneumonia and lead to excessive, potentially harmful, use of antibiotics [28]. It is also 227 important to recall that pneumonia itself is the commonest precipitant of ARDS, which 228 together with the bilateral radiographic alterations in ARDS patients, create an additional 229 challenge for the ascertainment of a "new or worsening pulmonary infiltrate", a condition 230 required for clinical diagnosis of VAP [5]. Another challenge is the distinction between 231 ventilator-associated tracheobronchitis (VAT) and VAP. VAT is defined as a lower 232 respiratory tract infection without involvement of the lung parenchyma (and therefore without 233 new/progressive chest X-ray infiltrate). The distinction between VAT and VAP in ARDS 234 patients remained challenging given the poor accuracy of chest radiograph to detect new 235 infiltrates. 236

Obtaining samples from the lungs for microbiological culture is crucial to the 237 establishment of infection. However, there is considerable variability in the timing and type 238 of specimen obtained in practice [46]. The identification of infection can be complicated by 239 colonisation of the proximal airways, which happens rapidly after intubation and is frequent 240 in ARDS patients [5]. It is important to differentiate between colonisation (presence of 241 bacteria, even at a high burden, in the respiratory tract without lung infection), a harmless 242 phenomenon, and infection. Although protected deep lung sampling by broncho-alveolar 243 lavage or protected specimen brush reduces the risk of false positives relative to endotracheal 244 aspirate, this has not been convincingly demonstrated to alter outcomes although 245 observational data suggests they can safely reduce antibiotic use [47]. Although false-positive 246 results from proximal colonisation are a significant problem, intercurrent use of antibiotics is 247 common in ARDS patients and increases the risk of false-negative culture. This is, 248

increasingly, being addressed by the use of culture-independent molecular technique, however 249 the utility of the tools available is limited by their restricted range of organisms covered and 250 the risk of over-sensitive detection of irrelevant organisms driving inappropriate use of 251 antimicrobials [48–50]. Physicians should be aware of this particular point and therefore 252 interpret with caution results of these tests. There are very few prospective studies 253 demonstrating the impact of molecular diagnostics on patient management and the results of 254 forthcoming trials are awaited. Antigen detection in the lower respiratory tract can also aid 255 diagnosis, especially with organisms such as Aspergillus where culture and PCR are imperfect 256 [51]. The value of Aspergillus Sp. and Aspergillus fumigatus PCR is promising but remained 257 to be evaluated in ARDS patients. In patients with ARDS and bilateral radiographic 258 infiltrates, there remains a question of which region to sample invasively. Whilst trials have 259 not been undertaken to answer this question definitively, observational data suggests that in 260 the presence of bilateral infiltrates, uni-lobe sampling is sufficient and minimizes risk of 261 lavage volume and duration of bronchoscopy [52]. 262

The host response makes up the crucial second component of any infection syndrome, 263 and therefore host biomarkers can be of use in diagnosing infection in ARDS. Laboratory 264 haematological features of inflammation, including leucocytosis, neutrophilia and elevated C-265 reactive protein are not specific to infection, and can occur in sterile precipitants of ARDS 266 [53]. The inflammatory response in pneumonia is highly compartmentalized and alveolar 267 cytokines and other alveolar markers are the most discriminant for pneumonia (Table 1) [54]. 268 Notably, although alveolar cytokines demonstrated excellent assay performance, 269 measurement of pulmonary cytokines did not alter antimicrobial prescribing in a recent 270 randomised trial [55]. This illustrates that the challenges in diagnosis lie not only with the 271 technology, but also the behavioural response to results. 272

Peripheral blood markers have the advantage of avoiding the need for bronchoscopic
sampling and are therefore easier to obtain, however they are generally less able to
discriminate pneumonia from other infections and many lack sensitivity and or specificity for
infection (Table 1).

In summary, the diagnosis of pulmonary infection in ARDS is challenging, existing techniques are imperfect and risk both inadequate and over-treatment. A combination of clinical, biological and radiological assessment, combined with microbiological sampling from the lungs remains the current gold standard (Figure 3). The development of molecular diagnostics focusing on both host and pathogen offers great promise, but their impact on patient management and outcomes remains to be convincingly demonstrated.

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285 Epidemiology of nosocomial pulmonary infections in ARDS patients

The most common bacterial causes of VAP include Enterobacterales, Pseudomonas 286 aeruginosa, Staphylococcus aureus, and Acinetobacter among the general population of 287 mechanically ventilated patients [56]. The pathogens associated with VAP in ARDS are 288 similar to those seen among non-ARDS patients who develop VAP (Figure 4) [4, 52, 57]. 289 Moreover, patients with ARDS undergoing ECMO demonstrate the same breakdown of 290 pathogens with *Pseudomonas aeruginosa* and *Staphylococcus aureus* predominating [58]. 291 One important element, regardless of the specific causative bacteria seen in VAP, is that 292 antibiotic resistance is increasing in VAP as well as in other nosocomial infections. In 2017, 293 the Tigecycline Evaluation and Surveillance Trial described important European changes in 294 antimicrobial susceptibility between 2004 and 2014, with increases in the rates of ESBL-295 positive Escherichia coli (from 8.9 to 16.9%), MDR Acinetobacter baumannii complex (from 296 15.4 to 48.5%), ESBL-positive Klebsiella pneumoniae (from 17.2 to 23.7%), and methicillin-297

resistant *Staphylococcus aureus* (MRSA) (from 27.5 to 28.9%) [59]. Similar worrisome trends for bacterial susceptibility to available antimicrobials have been reported by other investigators as well [60, 61]. Most worrisome is the increasingly recognized presence of resistance to new antibiotics specifically developed to treat VAP [62].

Prior antibiotic exposure and subsequent changes in the host's airway microbiome due 302 to dysbiosis seem to drive the prevalence of antibiotic-resistant bacterial causes of VAP 303 (Figure 5) [22, 63]. Presence of invasive devices such as endotracheal tubes and antibiotic 304 305 administration promote pathogenic bacterial colonization due to the overwhelming of local defenses resulting in the development of an intermediate respiratory infection termed VAT 306 [64]. VAT represents a compartmentalized host response associated with a better overall 307 prognosis compared to VAP, but VAT can prolong duration of MV and ICU length of stay 308 [65]. If the aforementioned response is not compartmentalized progression to VAP is likely 309 and potentially other organ failure to include the ARDS may occur [66]. 310

One of the major fears concerning nosocomial pulmonary infections in ARDS at the 311 present and into the future is the increasing presence of novel pathogens and infections with 312 microorganisms for which limited treatment options exist. As we increasingly treat older and 313 314 more immunocompromised hosts with ARDS the likelihood for emergence of novel pathogens and infection with pan-resistant microorganisms will increase. Early identification 315 316 of such emerging pathogens in ARDS is critical. The importance of early identification of novel pathogens is necessary to facilitate epidemiologic surveillance, curtailing pathogen 317 spread, and providing early treatment as illustrated by recent nosocomial outbreaks of middle 318 eastern respiratory syndrome coronavirus, SARS-CoV-2 and pan-resistant Escherichia coli 319 320 [67–70]. In the future, metagenomic next-generation sequencing should allow earlier and more targeted treatments for novel pathogens causing ARDS or complicating the course of 321 patients with ARDS. Such technology will allow earlier pathogen identification and accelerate 322

the workup and treatment for both infectious and noninfectious causes of diseasescomplicating ARDS [71].

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327 Atypical causes of respiratory infections in ARDS patients

Although the majority of respiratory infections in ARDS patients are caused by bacteria, ICUinduced immunoparalysis may induce infection with unusual pathogens

Although invasive pulmonary aspergillosis (IPA) has been reported mainly in 330 331 immunocompromised patients, lower respiratory tract colonization with Aspergillus has been associated more frequent in ARDS than in other patients invasively ventilated in ICU [72]. 332 The mechanism of damage involves the combination of alveolar damage (induced by ARDS) 333 and a dysregulation of the local immune response, together with sepsis-induced 334 immunosuppression, innate immunity and antigen presentation impairment, accounting for 335 the development of IPA in previously colonized patients [15, 73]. Co-infection with influenza 336 has been reported as a risk factor for IPA [74]. Contou et al. reported isolation of Aspergillus 337 in the lower respiratory tract in almost 10% of patients with ARDS (50% had putative or 338 proven IPA) [75]. An important finding from this study was that median time between 339 initiation of MV and first sample positive for Aspergillus spp was only 3 days. Moreover, a 340 post-mortem study in ARDS patients found that 10% of deceased patients had IPA 341 manifestations [76]. If Aspergillus is identified as a pathogen in an immunocompetent patient, 342 it is recommended to screen for any kind of immunosuppression (humoral, cellular or 343 combined, complement, ...). 344

Viruses may also be responsible for infection in ARDS patients. Because of
immunoparalysis following the initial pro-inflammatory response to aggression, latent viruses
such as herpesviridae may reactivate in ICU patients [7]. HSV and CMV are frequently

recovered in lung or blood of ICU patients (up to 50%, depending on the case-mix), their 348 reactivation being associated with morbidity and mortality [24, 77, 78]. However, the exact 349 significance of these reactivations is debated: these viruses may have a true pathogenicity and 350 cause lung involvement [24, 79], thereby having a direct role in morbidity/mortality observed 351 with their reactivation; or they may be bystanders, their reactivation being only secondary to 352 disease severity or prolonged ICU stay. To date, the answer is not known; data regarding a 353 potential benefice of antiviral treatment being controversial. For HSV, the most recent 354 randomized control trial found no increase in ventilator-free days in patients having received 355 acyclovir, but a trend towards lower 60-day mortality rate (hazard ratio for death within 60 356 days post randomization for the acyclovir group vs control was 0.61 (95% CI, 0.37–0.99, p 357 =0.047) [80]. For CMV, 2 recent RCTs were performed: the first one showed that 358 valganciclovir prophylaxis in CMV-seropositive patients was associated with lower rate of 359 CMV reactivation as compared to placebo, but not with better outcome [81]; and the second 360 one showed that, as compared to placebo, ganciclovir prophylaxis did not lead to lower II-6 361 blood level at day 14, but patients having received ganciclovir had trend towards lower 362 duration of MV [82]. Besides latent viruses, respiratory viruses (rhinovirus, influenza, 363 adenovirus...) have been recently made responsible for nosocomial infection in ventilated or 364 365 non-ventilated patients [83]. However, like herpesviridae, their true impact on morbidity/mortality is not known. 366

In summary, HSV and CMV may cause viral disease in ARDS patients, and respiratory viruses may be responsible for hospital-acquired pneumonia, however the true impact of these viral infections on outcomes remains to be determined.

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371 Specificity of pulmonary infections in ECMO patients

Veno-venous extracorporeal membrane oxygenation (VV-ECMO) is now part of the 372 management of refractory ARDS [84, 85]. These very sick patients are at high risk for 373 developing typical ICU-related nosocomial infections (e.g. VAP or bloodstream infections), 374 in addition to ECMO-specific infections, including localized infections at peripheral 375 cannulation insertion sites. Bizzarro et al reported a high prevalence rate of nosocomial 376 infection of 21 % in a large international registry of ECMO patients [86], pulmonary infection 377 being the most frequently reported. This high prevalence may be explained by underlying 378 comorbidities, concomitant critical illness, prolonged mechanical support, MV and ICU stay 379 as well as impairment of the immune system by the extracorporeal circuitry through the 380 endothelial dysfunction, coagulation cascade, and pro-inflammatory mediators release [87]. 381 While the rate of pulmonary infection on ECMO has not been thoroughly compared with a 382 population with same critical illness but in absence of ECMO, VAP was reported in 32 out of 383 92 patients receiving ECMO (87% VV-ECMO) by Grasseli et al [88]. Among 220 patients 384 who underwent VA-ECMO for >48 hours and for a total of 2942 ECMO days, 142 (64%) 385 developed 222 nosocomial infections, corresponding to a rate of 75.5 infectious episodes per 386 1000 ECMO days. VAP was the main site of infection with 163 episodes occurring in 120 387 patients after a median \pm standard deviation of 7 ± 12 days [89]. VAP and resistant organisms 388 are therefore common in that population [88–90]. Duration of ECMO has been frequently 389 associated with a higher incidence of VAP [89, 91], even if a causal relationship is impossible 390 to establish. Indeed, longer ECMO runs could be a direct consequence of infectious 391 complications rather than a risk factor. However, it seems clear that ECMO patients who 392 acquired VAP had longer durations of MV and ECMO support and a higher overall ICU 393 mortality [88, 89, 91]. Similarly, immunocompromised patients and older age were 394 consistently found as risk factors associated with infections on ECMO [89, 92]. The clinical 395 diagnosis of pulmonary infection in ECMO patients is challenging, since they may have signs 396

of systemic inflammatory response, possibly triggered by the ECMO itself, whereas fever 397 could be absent if the temperature is controlled by heat exchanger on the membrane. In 398 addition, the common application of an ultra-protective ventilation aiming to rest the lung on 399 VV-ECMO and frequent pulmonary edema on VA-ECMO make difficult the interpretation of 400 new infiltrates on chest-X ray, which are commonly used to suspect a VAP. Beyond the 401 diagnosis challenge of pulmonary infection on ECMO, the changes of 402 pharmacokinetics/pharmacodynamics (PK/PD) of antimicrobial agents could also contribute 403 to delay appropriate antimicrobial treatment and consequently increase the burden of 404 infections. An increase of the volume of distribution by ECMO, as well as the severity of the 405 underlying illness and drugs clearance impairment through renal or liver dysfunctions 406 complicates the management of antibiotics and antifungal therapies [93]. While waiting for 407 large in vivo studies aiming to report the respective PK/PD of antimicrobial agents on ECMO, 408 avoiding lipophilic agents (i.e more likely sequestrated on the ECMO membrane) [93] and 409 therapeutic drug monitoring are warranted. 410

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412 Antimicrobials and the lung

Apart from bacteraemias/fungaemias, most infections are in interstitial or tissue spaces and
hence efficacy of a drug should be related to drug concentrations and actions in those tissues
[94]. Drugs will cross body membranes (move from intravenous compartment into tissue
compartments) if there is an intrinsic "carrier mechanism", or if the compound is either a
small molecule or is lipophilic [95].

Hydrophilic antimicrobials are found in extravascular lung water, but for relevant lung
tissue penetration the lipophilic drugs are most important [94–97]. Large molecules like
vancomycin, teicoplanin, aminoglycosides, colistin will have poor lung tissue concentrations
when given intravenously (ELF/plasma concentration ratio << 1) [95, 96]. Betalactams

penetrate into lung parenchyma better than other hydrophobic antibiotics [96]. ELF/Plasma 422 concentration ratio for glycylcyclines (e.g. tigecycline) is around 1. Lipophilic compounds 423 such as macrolides, ketolides, quinolones, oxazolidinones, antifungals and antivirals will have 424 good lung tissue concentrations (ELF/plasma concentration ratio > 1) after intravenous 425 administration [97]. Oxazolidinones (linezolid), glycylcyclines (tigecycline) and sulfonamides 426 (cotrimoxazole) may be effective in the treatment of MDR pathogens; however there is no 427 ARDS specific lung PK (ELF/plasma concentration) data for these drugs. Although newer 428 antimicrobials (ceftolazone-tazobactam, meropenem-vaborbactam, plazomicin) have activity 429 against drug-resistant gram-negative pathogens, there are limited alternatives against drug 430 resistant Acinetobacter baumaniii such as cefiderocol which is undergoing phase 3 clinical 431 432 trials.

The advent of newer generation of delivery devices and MDR organisms have led to a 433 renewed interest in the field of nebulized antimicrobials [98], although recent trials in 434 pneumonia have failed to demonstrate clinical benefits [99, 100]. ARDS is often associated 435 with multiple organ dysfunction syndrome. Hence, the possibility of achieving high 436 intrapulmonary concentrations with limited systemic side effects is appealing. Although 437 recent well conducted RCTs argued against systematic use of nebulized antimicrobials in 438 nosocomial pneumonia [99, 100] it may still have a place in treatment of severe lung 439 infections due to MDR bacteria. In this view, selecting the correct antimicrobial formulation 440 and dosing (Table 2) is an essential first step, as well as the best device, namely vibrating 441 mesh nebulizer [101]. Clinical PK data available for some nebulized antibacterial, antiviral 442 and antifungals confirm high pulmonary and low systemic exposure [102]. Sputum PK 443 studies report high variability and are difficult to interpret [102]. However, lung deposition of 444 nebulized antimicrobials is influenced by many factors, including specific ventilator settings. 445 Ventilator settings and procedures usually recommended for improving aerosol delivery (high 446

tidal volume, low respiratory rate and low inspiratory flow, systematic changes of expiratory 447 filters...) are difficult to implement in patients with ARDS, at least those with the most severe 448 forms. ARDS is a heterogeneous lung condition causing inhomogeneous ventilation 449 distribution potentially affecting drug delivery at the affected site. Increased lung 450 inflammation can also increase systemic concentrations by increased diffusion across the 451 alveolo-capillary barrier, thus influencing the nebulized drug dosing [103]. Further PK studies 452 investigating nebulized antimicrobial in ARDS are required for recommending dosing 453 regimens in this condition. 454

Areas of investigation such as pulmonary nanomedicine and targeted delivery using intracorporeal nebulization catheter whilst still investigational has the potential to overcome many of these barriers and enhance lung tissue antimicrobial concentrations [104].

458

459 **Prevention of pulmonary infections in ARDS patients**

Nosocomial infections may contribute to the mortality related to ARDS given that such 460 infections are responsible for worsening hypoxemia and causing sepsis. As such, the 461 prevention of these infections must be reinforced to avoid straining the prognosis of patients 462 suffering from ARDS. However, interpreting the VAP prevention literature is this context is 463 challenging because 1) no studies have been conducted purposely in ARDS patients 2) several 464 preventive measures have been shown to reduce the rate of pulmonary infection but many less 465 have demonstrated an impact on patient prognosis [105]. That being said, the general strategy 466 for preventing pulmonary infection applies also in ARDS patients. However some preventive 467 measures deserve a special focus in the context of ARDS patients (Figure 6): 1) oral care with 468 chlorhexidine is suspected to worsen the respiratory failure; 2) Selective digestive 469

decontamination (SDD) deserves to be discussed in such high risk patients as it has been
proven to be effective in reducing mortality in ICU patients and likely lowers VAP rates.

There is no single preventive measure that will completely avert pulmonary infection 472 in patient suffering from ARDS and patients must be approached with a package or bundle of 473 preventive measure [106] provided that an early weaning strategy is part of the bundle [107]. 474 Other preventive measures and notably some expensive medical devices such as automated 475 endotracheal tube cuff pressure monitoring or endotracheal tube allowing subglottic secretion 476 drainage have not been proven effective on patient's outcomes (mortality, duration of MV, 477 antibiotic use) but could be dedicated to these high risk patients. However, translating 478 research into an efficient bundle of care to prevent pulmonary infection remains a challenge 479 and behavioral approaches to implement the measures are as important as the measures 480 themselves [108]. 481

Chlorhexidine-gluconate (CHG) use for oral care in ICU patients may be harmful 482 despite previous consistent data showing its beneficial effect in preventing VAP [109]. Oral 483 mucosa adverse events with 2% (w/v) CHG mouthwash in ICU are frequent but often 484 transient. Adverse events described were erosive lesions, ulcerations, plaque formation 485 486 (which are easily removed), and bleeding mucosa in 29 of 295 patients (9.8%) who received 2% (w/v) CHG [110]. A systematic review and meta-analysis by Labeau et al. in 2011 487 evaluated the effect of oral decontamination with CHX [109]. Twelve studies were included 488 (n=2,341). Overall, CHX use resulted in a significant risk reduction of VAP (RR=0.67, 95% 489 CI=0.55–0.94, p=0.02). Favorable effects were more pronounced in subgroup analyses for 2% 490 CHX (RR=0.53, 95% CI=0.31-0.91) and for cardiosurgical patients (RR=0.41, 95% 491 492 CI=0.17–0.98). However, a recent meta-analyses suggested that oral CHG paradoxically increased the risk of death, which may have resulted from toxicity of aspirated CHG in the 493

lower respiratory tract [111]. Consequently, it remains unclear whether using CHG for oralcare affects outcomes in critically ill patients.

Selective digestive decontamination (SDD) remains definitely a matter of controversy
[112]. On one hand it reduces the mortality in mechanically ventilated patients, on the other
hand it use is limited by the potential of inducing more bacterial resistance. However, in
ARDS patients at high risk of mortality with high level of bacterial resistance SDD deserves
to be evaluated.

501 The better understanding of ARDS phenotype may offer an opportunity to develop 502 more selective preventive measures in the future.

503

504

505 Conclusion

Pulmonary superinfections of ARDS patients considerably impact patients' prognosis. It is
favored by altered local and systemic immune defenses.

508 The poor outcome of ARDS with pulmonary superinfections is probably related to the lack of

so9 early accurate diagnostic methods and difficulties in optimizing therapy. This article

reviewed the available knowledge and revealed areas for future investigations in

511 pathophysiology, diagnosis, treatment and prevention.

512 Potentials for improvements are numerous in all the fields:

513 *Pathophysiology:*

514 To improve knowledges about the host factors (both systemic and local) favoring 515 superinfections.

To identify early the disequilibrium between the host and the microbiota that may promote pneumonia in ARDS patients.

518 *Diagnosis:*

519	To identify early criteria for suspicion of VAP and VAT.
520	To determine the appropriate time to perform bacteriological samples. In particular
521	develop morphological way to unmask areas of pneumonia at the bedside.
522	To identify new diagnostic tests providing accurate and early diagnosis of pneumonia.
523	To develop accurate early methods of pathogens identification and to distinguish
524	patients infected and simply colonized (especially for viruses and fungi).
525	Therapy:
526	To evaluate the impact of new molecular methods in diagnosing pneumonia in ARDS
527	patients and improve prognosis.
528	To evaluate the impact of TDM monitoring of antimicrobials on the prognosis of
529	ARDS patients with pneumonia.
530	To develop non-antibiotic therapies in the future, including vaccines, monoclonal
531	antibodies and phage therapy.
532	Prevention:
533	Evaluate the benefit on antimicrobial consumption and prognosis of the use of SDD in
534	ARDS patients in ICUs with a high level of bacterial resistance.
535	

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555

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981

982 Figure legends

Figure 1: Mechanisms which lead to altered microbiota in lungs and hence lead to infection. 983 Abbreviations: ETT, endotracheal tube; TNF, yumor necrosis factor; IL, interleukin. 984 985 Figure 2: Mechanisms for the relationship between hyperoxia and ventilator-associated 986 pneumonia. Abbreviations: VAP, ventilator-associated pneumonia; HALI, hyperoxic acute 987 lung injury 988 989 Figure 3: Graphical representation of the combined assessment of clinical, radiological, 990 laboratory evaluation of host response and microbiological data for the diagnosis of 991 pneumonia as a proxy for histopathological examination. 992 993 Figure 4: Annual Epidemiological Report for 2016 Healthcare-associated infections in 994 intensive care units 995 (https://www.ecdc.europa.eu/sites/default/files/documents/AER_for_2016-HAI_0.pdf 996 (Accessed 21 February 2020)) and the PROSEVA Trial [4]. Bar graphs depicting the 997 percentages of the most frequently isolated microorganisms in ICU-acquired pneumonia 998 episodes for 2016 (red bars) and for patients with acute respiratory distress syndrome (ARDS) 999 (blue bars). Total number of isolates 16, 869 and 112 respectively. 1000 1001

1002 Figure 5: Venn diagram showing the relationship and overlap for ventilator-associated

1003 pneumonia (VAP) and ventilator-associated tracheobronchitis (VAT) with the acute

- 1004 respiratory distress syndrome (ARDS). Respiratory microbiome dysbiosis is also
- 1005 demonstrated as a prerequisite for most cases of VAP and VT.

1006

- 1007 Figure 6: Prevention of pulmonary infections in ARDS patients: from highly recommended
- 1008 preventive measures to a cautious or even a not recommended use

1009

Marker	Performance				
Alveolar					
Interleukin-1/Interleukin-8	Validated in multi-centre cohort [54] but did not influence				
	practice in an RCT [55]				
sTREM-1	Initial report but not validated in follow-up study [113, 114]				
Exhaled breath markers	Experimental with technical variation currently limiting				
	implementation [115]				
Pentraxin-3	Meta-analysis suggested alveolar levels superior to plasma levels				
	with moderate diagnostic performance, no RCT testing influence				
	on practice [116]				
Combination 'bio-score'	May be superior to individual markers, but remains to be validated				
	[117]				
	Peripheral blood				
C-reactive protein May be useful predictor of VAP, but non-specific and raised					
	both sterile and infective inflammation [118]				
Procalcitonin	Lacks sensitivity for diagnosis of pneumonia but can significantly				
	shorten antibiotic duration [118]				
Pro-adrenomedullin	Limited utility in diagnosis of pneumonia but useful as marker of				
	severity [118]				
Pentraxin-3	Less effective as a diagnostic than alveolar levels [116]				
Presepsin	No reports in VAP				
Neutrophil CD64	Role in pneumonia uncertain [8]				
Monocyte HLA-DR	Markers of monocyte deactivation and predictor of infection, but				
	poor discriminant value for diagnosis of infection [8]				

1011 Table 1. Summary of host-based biomarkers for diagnosis of pneumonia in ARDS

1012 Abbreviations: ARDS, acute respiratory distress syndrome. RCT, randomized controlled trial.

sTREM, soluble triggering receptor expressed on myeloid cells. VAP, ventilator-associated

1014 pneumonia. HLA, human leukocyte antigen.

1010

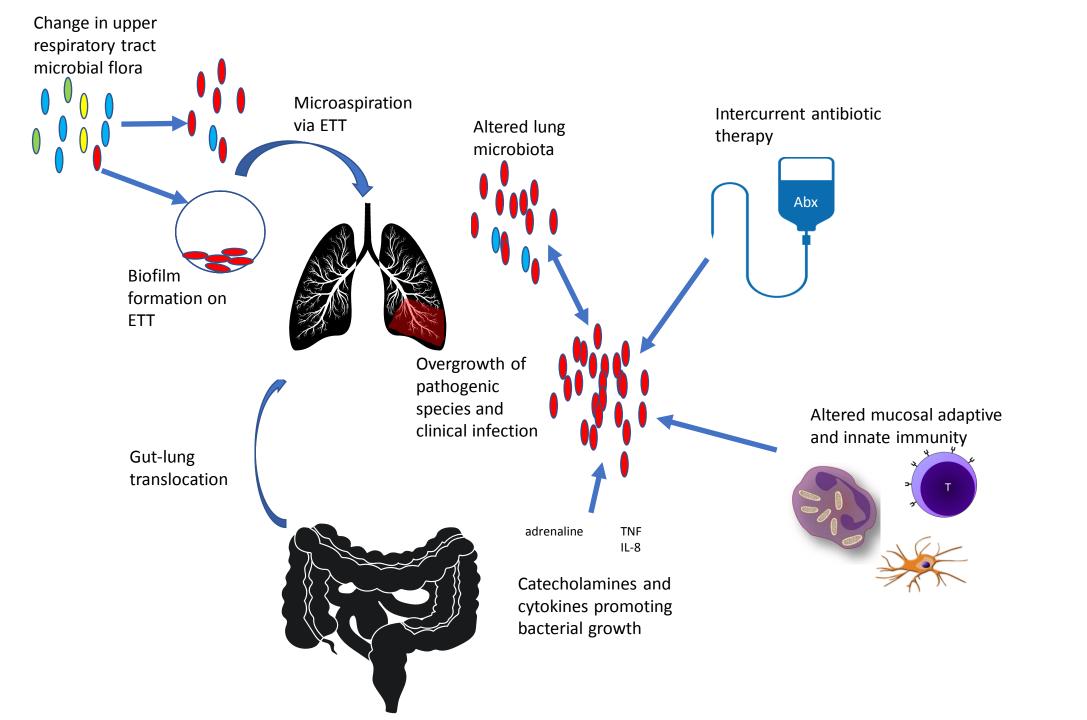
Table 2. Suggested dose for antimicrobials that may be proposed both IV and aerosolized.

Drug	Suggested IV dose for ARDS lung infections (with Normal CrCl)	Notes	Suggested inhaled dose
	Penicillins		
Ampicillin	2 gm 6 hourly (q6h)		1 g q 12h [119]
Ampicillin-sulbactam			3 g q 8h [120]
	Cephalosporins		
Ceftazidime	2 gm 6-8 hourly		250 mg q 12h [121, 122] 15 mg/kg q 3 h [123]
	Carbapenems		
Meropenem	1 gm 4- 6 hourly		Not recommended (no data)
Imipenem	500 mg – 1000 mg 6 hourly		50 mg q 6h [124]
	Quinolones		
Moxifloxacin	400 mg daily		Not recommended (no data)
Ciprofloxacin	400 mg 8 hourly		Not recommended (no data in ventilated patients)
Levofloxacin	750 mg daily up to 500 mg 12 hourly		240 mg q 12 h [125]
	Sulfonamide		-
Trimethoprim/sulfamethoxazole	8- 10 mg trimethoprim/kg/day		Not recommended (no data)
	Glycopeptide		
Vancomycin	30mg/kg loading	Keep Serum level 20-25 mg/L	120 mg q8 h [126,

	Same dose per day (divided or continuous infusion)		127]
	Aminoglycosides		
Gentamicin	7mg/kg loading dose	Used primarily to sterilize blood	80 mg q8h [126, 127]
Tobramycin	7mg/kg loading dose	Used primarily to sterilize blood	300 mg q12 h [128]
Amikacin	25-30 mg/kg loading dose	Used primarily to sterilize blood	25mg/kg/day [123] 40 mg/kg/day [129] 400 mg q12h [100]
	Polymyxins		
Colistin	4mg/kg loading then 500mg 6hourly (33.33mg colistin =1 million Units)		4 MIU q 8h [129]
	Phosphonic acid deriva	tive	· ·
Fosfomycin	4g 6-8 hourly	Never alone	120 mg fosfomycin q12h [99]
	Monobactam	· · · · · · · · · · · · · · · · · · ·	· -
Aztreonam	1g 6 hourly		75 mg q 8h [130]

Available literature suggested adverse reaction with inhaled co-amoxiclav, Piperacillin tazobactam and ceftriaxone. No human data actually exist with other nebulized antibiotics.

Abbreviations: IV, intravenous. ARDS, acute respiratory distress syndrome. CrCl, creatinine clearance. MIU, million international units.



Decreased surfactant production

Reduced bacterial clearance

VAP

Denitrogenation

HALI

Clinical

Auscultatory findings (rales, crepitations, bronchial breathing) Purulent sputum Deteriorating gas exchange



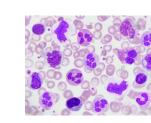
Radiological Diffuse or lobar infiltrates Bronchograms

Laboratory assessment of host inflammation Altered white cell and neutrophil number C-reactive protein Procalcitonin Alveolar cytokine levels

Microbiological

Culture PCR-based detection Antigen-based detection



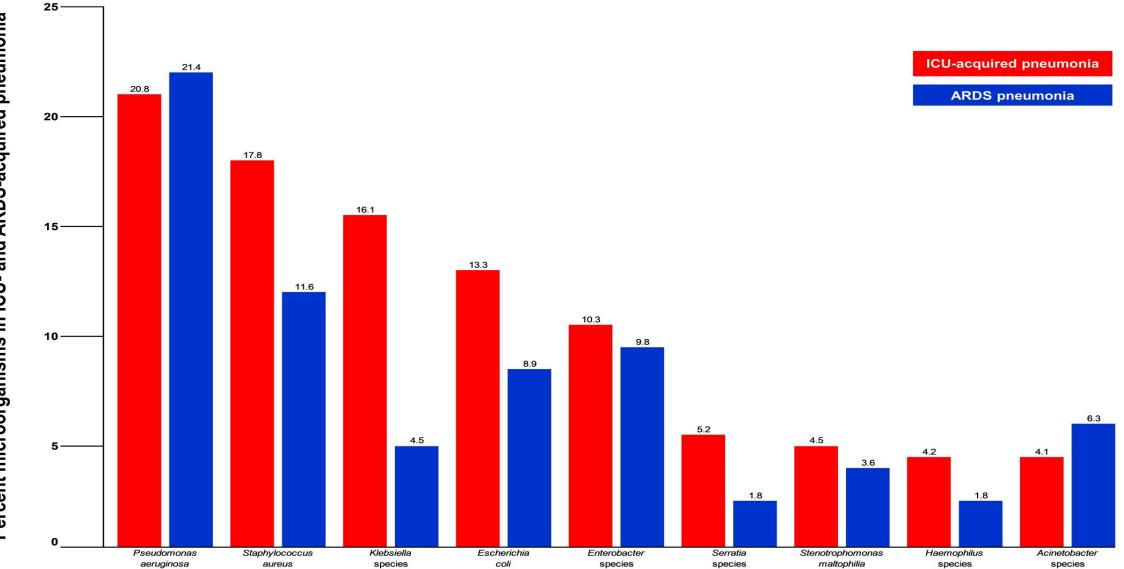




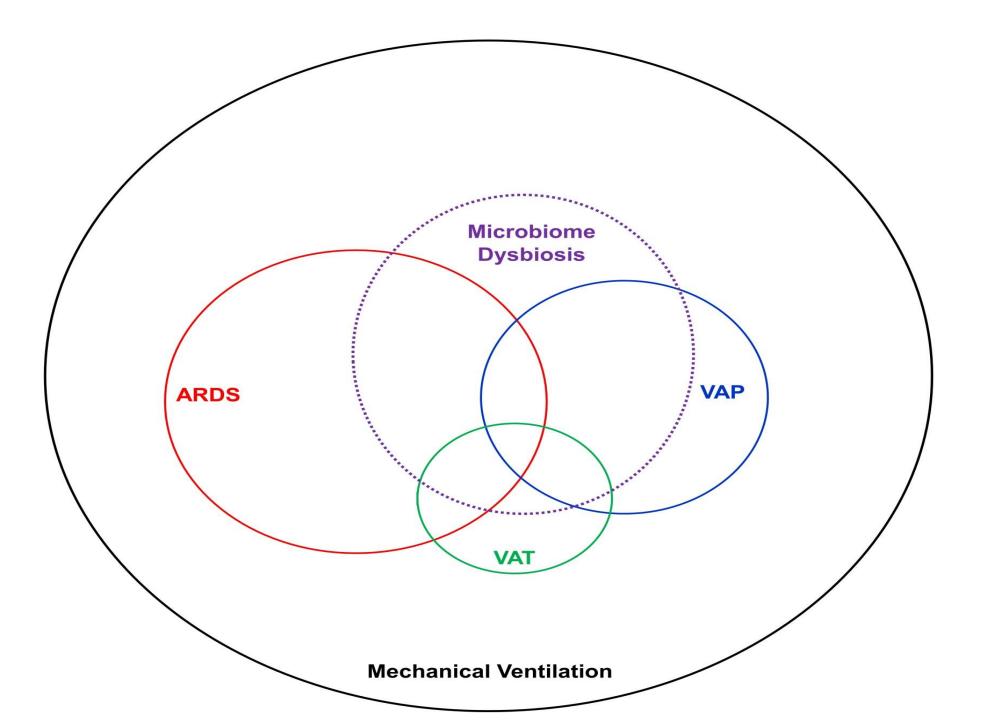
Combined evaluation as proxy for histological diagnosis



Pneumonia: a histo-pathological diagnosis



Percent microorganisms in ICU- and ARDS-acquired pneumonia



RECOMMENDED:

Pulmonary infection prevention bundle provided that an early weaning strategy is part of the bundle

POSSIBLY USEFUL although expensive:

- Automated endotracheal tube cuff pressure monitoring
- Subglottic secretion drainage

TO BE CONSIDERED:

Selective oral and digestive decontamination

MAYBE HARMFUL:

Oral care with chlorhexidine