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# 1 **Role of transport in the first atomic layers of the nanoparticles in lithium batteries**

2  
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## 11 12 13 14 **Abstract**

15  
16 A theoretical approach of the insertion/deinsertion of lithium in host materials is proposed to  
17 take into account some phenomena not included in classical analyses of lithium battery  
18 operation. This is mainly related to the variation of the transport properties in the first atomic  
19 layers in the vicinity of the interface which can give rise to rapid storage phenomena. These  
20 processes are of great importance in the case of electrodes made of nanoparticles as a result of  
21 the considerable development of the interfacial surface. For example, they can be at the origin  
22 of the important capacitive behavior of the system. A model based on the numerical integration  
23 of transport equations is presented to describe these complex mechanisms. The theoretical  
24 analysis is illustrated on the model case of lithium insertion in electrodes made of nanoparticles  
25 of anatase and fluorinated anatase.

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## Introduction

The pressing need for transportable electrical energy sources has given rise to a great deal of work in recent decades. Among these, lithium-based batteries occupy a prominent place due to the electrochemical properties of this element joined to its low density. This sector has benefited from intensive research which has improved performance<sup>1-3</sup>. A large part of the research was devoted to the development of electrode materials which exhibit a suitable free energy of formation and thus a high open circuit voltage for a high performance battery. In order to improve the battery power, they also should allow a rapid transport of lithium atoms. A device commonly used to fulfill these criteria is based on the lithium insertion in a host material, generally a metal oxide such as  $V_2O_5$ ,  $Nb_2O_5$ ,  $LiCoO_2$ ,  $TiO_2$ , etc<sup>4</sup>.

However, a weakness of the system comes from the very slow transport of lithium atoms in the crystal lattice of the host material. An effective way to overcome this difficulty is to reduce the size of host particles which reduces the diffusion length and promotes faster transport<sup>5</sup>. Hence the idea of using particles of reactive material at a nanometric dimension, which considerably increases the contact surface and facilitates the lithium storage; an issue of nanoparticles is accelerated side reactions. The study of these systems has given rise to a large number of research work which focus on the nature of the host material, its preparation and the properties of lithium intercalation. Various systems have been developed which are essentially characterized by the nature of the host material in order to obtain the best lithium intercalation qualities. This work has led to a lot of research to get a more accurate view of the reaction mechanisms. Here is a brief overview of the main work in this area.

The insertion of Li-ions within cathode materials during the discharging of a battery oftentimes brings about one or more structural transformations<sup>6</sup>. A theoretical investigation of the effects of elastic coherency strain on the thermodynamics, kinetics, and morphology of intercalation in single  $LiFePO_4$  nanoparticles yields new insights into this important battery material<sup>7</sup>. The kinetics of a two-phase electrochemical reaction in  $Li_xFePO_4$  indicates that the phase transition proceeds with a one-dimensional phase-boundary movement<sup>8</sup>. The core-shell, the

69 compositional striping modulations and the resulting strain gradients point to the need to design  
70 cathode materials and electrode architectures to mitigate such pronounced local  
71 inhomogeneities in Li-ion intercalation and diffusion<sup>9</sup>.

72

73 The different specific constraints of the batteries have given rise to many analytical descriptions  
74 of transport phenomena from conventional models. For example, concentration dependent  
75 diffusion coefficients are found to be necessary to match experimental results<sup>10</sup>. On the other  
76 hand, in a finer way, it was suggested to represent the phase transitions as moving boundary  
77 points where jump discontinuities in concentration occur; the question of when it is necessary  
78 to include these effects in a transport model is difficult to resolve, because the measured values  
79 of the open-circuit voltage for real materials are never completely flat and always exhibit a  
80 small dependence on state of charge; it was shown that the jump discontinuities arise as a  
81 singular limit of a nonlinear diffusion equation when chemical potentials are used as the driving  
82 force for transport<sup>11</sup>. It was also pointed out that variations in the chemical diffusivities over a  
83 significant range of lithiation are shown to be explained by the inclusion of both the  
84 thermodynamic factor and the flux of lithium due to bulk motion of material<sup>12, 13</sup>.

85

86 In addition to the influence of the thermodynamic properties and of the structural changes of  
87 the materials described above, it is important to pay our attention to an essential characteristic  
88 of the batteries, namely the nanometric dimension of the active particles. As already pointed  
89 out, the use of nanoparticles has the advantage of significantly increasing the electroactive  
90 surface. The increase in surface area is generally taken into account by the introduction of a  
91 roughness factor in the calculation elements<sup>14, 15</sup>. But this correction does not take into account  
92 the specific nature of the transport in the very first atomic layers of the surface thus introduced.  
93 Indeed, another consequence of the use of nanoparticles is to considerably shorten the path of  
94 lithium transport, which is now reduced to distances of the order of atomic dimensions.  
95 Attention should be drawn to the fact that much of the transport is no longer carried out in the  
96 compact layers but remains confined to the first atomic layers of the substrate. In the superficial  
97 region the transport properties are greatly affected by the loosening of the surface structure of  
98 the material. This phenomenon is generally negligible for large conventional systems but  
99 becomes predominant when transport does not exceed a few atomic layers.

100

101 The purpose of this paper is to analyze and highlight the important role of transport phenomena  
102 in the surface layers of the substrate material: given the nanometric nature of the particles, the

103 influence of transport kinetics in these few superficial atomic layers becomes significant. To  
104 tackle in a more structural way the origin of these phenomena it seems interesting to take  
105 inspiration from already developed models dealing with the analysis of the transport kinetics in  
106 the first atomic layers of the host material<sup>16</sup>.

107  
108 After a brief description of the materials and of the experimental setup, we will describe the  
109 elementary principles involved in the reaction mechanisms. Particular emphasis will be placed  
110 on deviations from ideality, on the variation of transport properties in the surface layers, and on  
111 the formation of storage layers at the interface; then, to interpret the modifications thus  
112 introduced we will briefly recall the principle of the integration of basic equations by the finite  
113 difference method<sup>17, 18</sup>. Finally, the investigations will be illustrated on the model case of  
114 lithium insertion in anatase or fluorinated anatase crystallites.

115

## 116 **Experimental**

117

118 *Synthesis.* The experimental study examined nanoparticles of anatase and fluorinated anatase;  
119 in fact, the action of a fluorinated agent improves the storage properties of the battery. The  
120 materials were synthesized using the sol-gel chemistry without and with a fluorinating agent,  
121 as described in a previous report<sup>19</sup>. The degree of fluorination may vary depending on the  
122 procedure employed; we used a compound with 22 % cationic vacancies, corresponding to  
123  $\text{Ti}_{0.78}\square_{0.22}\text{O}_{1.12}\text{F}_{0.40}(\text{OH})_{0.48}$ , where  $\square$  represents a cationic vacancy. For more details  
124 concerning this formulation see ref. (19).

125

126 *Electrochemistry.* Electrochemical measurements were carried out with three-electrode  
127 Swagelok-type cells. The working electrode was prepared with 80 wt.% active materials, 10  
128 wt.% Super P and 10 wt.% polyvinylidene difluoride (PVDF), and lithium metal was used as  
129 counter and reference electrodes. 1M  $\text{LiPF}_6$  in ethylene carbonate (EC) and dimethyl carbonate  
130 (DMC) (1:1, v/v) was used as the electrolyte. The electrode is made of a thin layer of a mixture  
131 of anatase ( $2.5 \text{ mg/cm}^2$ ) and carbon ( $0.32 \text{ mg/cm}^2$ ) nanocrystals with PVDF ( $0.32 \text{ mg/cm}^2$ ). The  
132 theoretical capacity of the material is  $3.02 \text{ C/cm}^2$ . Cyclic voltammetry was performed at  
133 different scan rates within a potential range of 1.0-3.0 V vs  $\text{Li}^+/\text{Li}$ . Galvanostatic intermittent  
134 titrations were carried out after one galvanostatic discharge/charge cycle, a constant-current  
135 discharge pulse of  $84 \mu\text{A cm}^{-2}$  was applied for 20 minutes, followed by a relaxation duration of  
136 20 h; the current pulse corresponds to a capacity fraction of 1/30.

137

## 138 **Results and discussion**

139

140 **Transport equations.** A specific advantage of electrochemical techniques is to provide an easy  
141 measurement of the reaction flux,  $J$ , at the electrode surface and of the concentration,  $c$ , of the  
142 active species during the electrochemical process. The flux at the electrode surface is given by  
143 the current density,  $i$

$$144 \quad J^{\circ} = -\frac{i}{nF} \quad (1)$$

145 For an ideal and reversible reaction,  $Ox + ne^{-} \rightarrow Red$  (such as,  $Li^{+} + e^{-} \rightarrow Li$ ), the concentration,  
146  $c$ , of the *Red* species is deduced from the electrode potential from the Nernst law, the  
147 concentration of *Ox* species is assumed to be constant,

$$148 \quad c = \exp\left(\frac{nF}{RT} (E^{\circ} - E)\right) \quad (2)$$

149 where,  $E^{\circ} = RT/nF \times \ln c^{\circ}$ ;  $E$  is the electrode potential vs the pure *Red* species,  $c^{\circ}$  is the  
150 concentration of pure *Red*.

151 However, most systems exhibit large deviations from ideality; the Nernst equation gives access  
152 to the activity,  $a$ , of the electroactive species which is linked to the concentration by a factor  $f$   
153 called the activity coefficient  $f$ :

$$154 \quad a = fc = \exp\left(\frac{nF}{RT} (E^{\circ} - E)\right) \quad (3)$$

155 The activity coefficient demarcates the equilibrium (thermodynamic) response under  
156 concentrated conditions. The intercalation electrodes represent very high concentrations (of the  
157 order of 50 M) that the activity coefficient becomes a strong function of concentration. Various  
158 theoretically consistent treatments were used to recover activity coefficient from the open  
159 circuit potential (OCP) curves<sup>20</sup>. Here we refer to a previous work dealing with the system Li-  
160  $V_2O_5$  which exhibits various phase transformations<sup>21</sup>. It was shown<sup>22</sup> that the large changes in  
161 the activity factor vs the concentration were correctly described by the series:

$$162 \quad \ln f = \sum_{j=1}^n 0.5(\ln f_j - \ln f_{j-1}) \operatorname{erfc}\{\psi_j (c - c_{Tj})\} \quad (4)$$

163  $\ln f_j$  is the activity factor corresponding to the plateaus of the potential curves; since the reference  
164 is the pure compound,  $\ln f_0 = 0$ ; the complementary error function,  $\operatorname{erfc}$ , was used to describe  
165 the change from one factor to the next,  $c_{Tj}$  is the concentration at the inflexion points of the

166 potential curve.  $\psi_i$  is the steepness of the change of the activity factor around the concentration  
 167  $c_{Tj}$ . The various parameters  $\ln f_i$  and  $\psi_i$  were adjusted to obtain a suitable representation of the  
 168 OCP curves. The driving force for diffusion is the gradient of the chemical potential  $\mu = \mu^\circ +$   
 169  $RT \ln fc$ ; the flux,  $J$ , of the electroactive species inside the electrode matrix writes:

$$170 \quad J = -\tilde{D} \left( 1 + c \frac{\partial \ln f}{\partial c} \right) \frac{\partial c}{\partial x} \quad (5)$$

171 where  $\tilde{D}$  is the component diffusion of the active species, it is related to the chemical diffusion  
 172 coefficient,  $D$ , by the equation<sup>23, 24</sup>

$$173 \quad D = \tilde{D} \left( 1 + \frac{\partial \ln f}{\partial \ln c} \right) \quad (6)$$

174 As pointed out by Levi et al.<sup>25</sup> this expression may be modified according to the thermodynamic  
 175 model; to examine the influence of the gradient of the activity coefficient a damping factor,  $\varphi$ ,  
 176 is introduced in the calculation

$$177 \quad D = \tilde{D} \left( 1 + \varphi \frac{\partial \ln f}{\partial \ln c} \right) \quad (7)$$

178 The term  $\Gamma$  is called enhancement factor

$$179 \quad \Gamma = 1 + \varphi \frac{\partial \ln f}{\partial \ln c} \quad (8)$$

180 In a non-stationary state the concentration changes obey the mass balance equation

$$181 \quad \frac{\partial c}{\partial t} = -\nabla J = \frac{\partial}{\partial x} \left( D \frac{\partial c}{\partial x} \right) \quad (9)$$

182 The integration of transport equations has been solved for different boundary conditions  
 183 corresponding to galvanostatic or potentiostatic regimes. For a constant value of  $D$  algebraic  
 184 equations are available in literature to interpret the results obtained from transient electro-  
 185 chemical techniques<sup>26</sup>.

186

187 However, in nanoparticles the transport occurs over a few atomic layers and the specificity of  
 188 the motion in this region must be taken into account. Indeed, in the interfacial domain, the  
 189 looseness of the crystal lattice enlarges the mass transport facilities. In other words it is possible  
 190 to consider that over these short distances interfacial energy affects the dynamic response. Thus,  
 191 as a first approximation, it can be considered that the intercalation of ions in the very first atomic  
 192 layers is extremely rapid and corresponds to an accumulation process occurring instantaneously  
 193 over a distance  $\delta$ . This analysis is in good agreement with the concept of relevant surface

194 activity thickness (RSAT) introduced by Verbrugge and Tobias<sup>27</sup> to calculate by electro-  
195 chemical techniques the surface activity of metallic alloys.

196

197 From a dynamic point of view, this approach amounts to considering that, in this film of  
198 thickness  $\delta$ , the coefficient of diffusion  $D$  has an infinite value. Of course, within the material,  
199 the diffusion coefficient has a constant finite value which is usually the only one taken into  
200 consideration; indeed, generally the diffusion phenomena last long enough and affect the deep  
201 mass of the material; the amount of material involved in the surface film becomes negligible.  
202 To refine our model it was considered that in the few atomic layers in the vicinity of the storage  
203 layer, of thickness  $\delta$ , the diffusion coefficient evolves to reach the classical value of the  
204 coefficient in the mass of the material. Here, as in our previous articles<sup>16,28</sup>, it was assumed that  
205  $D$  obeys the equation

$$206 \quad D = D^* \exp(\delta'/x) \quad (10)$$

207 where  $D^*$  is the bulk diffusion coefficient and  $\delta'$  is a characteristic distance called relevant  
208 rapid diffusion thickness (RRDT). A diagram is proposed to illustrate the evolution of transport  
209 in the surface layers (Fig. 1).

210

211 The current density is linked to the flux at the electrode surface  $J^o$  (Eq. 1). Now, the  
212 accumulation process at the interface has to be taken into account

$$213 \quad J^o = \delta \frac{\partial c_0}{\partial t} - D \left( \frac{\partial c}{\partial x} \right)_{x=\delta} \quad (11)$$

214  $c_0$  is the concentration in the surface layer of thickness  $\delta$ . The introduction of metal ions in the  
215 matrix of the crystal creates a volume change of the electrode deduced from the partial molar  
216 volume of the electroactive component  $\bar{V}_M$ . It results that during the electrolysis the interface  
217 moves with a velocity  $v$

$$218 \quad v = J \bar{V}_M \quad (12)$$

219 The quantity  $\bar{V}_M$  takes account of the constraints introduced by the insertion of the active  
220 element M into the substrate. In general  $\bar{V}_M$  is clearly lower than the molar volume  $V_M$ ; under  
221 the action of strong stresses,  $\bar{V}_M$  can even become negative.

222

223 The displacement of the interface is introduced in the diffusion equation which becomes



224  $J = -D \frac{\partial c}{\partial x} + v c$  (13)

225  $D$  depends on the distance,  $x$ , (Eq. 10) and, according to Eq. 9, the concentration change obeys  
 226 the equation

227  $\frac{\partial c}{\partial t} = -\nabla J = D \frac{\partial^2 c}{\partial x^2} - D^* \frac{\delta'}{x^2} \exp\left(\frac{\delta'}{x}\right) \frac{\partial c}{\partial x} - v \frac{\partial c}{\partial x}$  (14)

228 However, in contrast with the classical treatment, it is no more possible to integrate the  
 229 complete diffusion equation into an algebraic form. It is proposed to solve the problem by  
 230 numerical integration of the diffusion equation according to a procedure already developed to  
 231 study the electrowinning of refractory metals<sup>28</sup>. The technique requires an analytical  
 232 representation of the thermodynamic and kinetic properties of the system.

233

234 **Integration of the diffusion equations.** The digital simulation technique used to calculate the  
 235 transient curve consists in solving the partial differential equation by numerical calculation<sup>17</sup>.  
 236 A numerical model is set up within a digital computer and the model is allowed to evolve by a  
 237 set of algebraic laws deriving from equations defining the mass transport. The space ( $x$   
 238 coordinate) is divided in small intervals,  $\Delta x$ , and the time into small time steps,  $\Delta t$ . The  
 239 concentration of the electroactive reagent at the electrode surface, at the time  $t$ , is given by the  
 240 Nernst equation, and the current density is related to the concentration gradient at the electrode  
 241 surface

242  $J^o = \delta \frac{c(0,t) - c(0,t - \Delta t)}{\Delta t} - D \frac{c(0,t) - c(\Delta x,t)}{\Delta x} + v c(0,t)$  (15)

243  $c(\Delta x,t)$  is the concentration at the time  $t$  at the distance  $\Delta x$  from the electrode surface.  $D$  obeys  
 244 Eq. 10. Concentrations as a function of time and concentration profiles in the bulk of the  
 245 substrate were calculated from the diffusion equation (Eq. 14). In finite difference scheme the  
 246 continuous functions are finitized with centered finite scheme for space derivative:

247  $\frac{\partial c}{\partial x} = \frac{c(x+\Delta x,t) - c(x-\Delta x,t)}{2\Delta x}$  (16)

248  $\frac{\partial^2 c}{\partial x^2} = \frac{c(x+\Delta x,t) - 2c(x,t) + c(x-\Delta x,t)}{(\Delta x)^2}$  (17)

249 It must be pointed out that the stability conditions of the finite difference calculation require  
 250 that the space interval  $\Delta x$  be greater than the diffusion path during the time step:  $\Delta x > \sqrt{2D \Delta t}$ ;  
 251 but, on the other hand, to correctly integrate the evolution of the diffusion coefficient (Eq. 10),  
 252 it is necessary that the space interval,  $\Delta x$ , be less than the length RRDT,  $\delta' > \Delta x$ . For large time

253 intervals, such as in voltammetry at low sweep rate, these conditions may be difficult to meet.  
254 More details concerning the calculation procedure can be found in previous papers<sup>17, 21</sup>.

255

### 256 **Application to lithium insertion in anatase nanocrystals**

257

258 *Electrode structure* – Anatase TiO<sub>2</sub> has been extensively studied over the past decade due to its  
259 application as electrode material for Li batteries<sup>29, 30</sup>. In a simplifying assumption and in  
260 agreement with the known structure of the nanoparticles, the electrode material will be  
261 considered as a collection of nano-platelets of parallelepiped shape<sup>31</sup> (Fig. 2) in contact with  
262 the electrolyte and with the carbon powder which provides the electric contact. For an electrode  
263 containing  $m$  gram of active compounds per unit surface the number of particles is

$$264 \quad n_p = m/(\rho \times a \times a \times b) \quad (18)$$

265 where  $\rho$  is the specific mass of the compound. It is assumed that the lithium diffusion occurs  
266 only in one direction perpendicular to the surface  $a \times a$ .<sup>31</sup> The real active surface for the  
267 electrochemical reaction is

$$268 \quad S_p = n_p \times a \times a \quad (19)$$

269 The electrochemical reaction occurring in the battery is described by the reaction



271 where  $y$  is the lithium intercalation ratio. Upon lithiation to Li<sub>0.5</sub>TiO<sub>2</sub>, anatase is observed to  
272 undergo a tetragonal to orthorhombic phase transition<sup>32</sup>. The thermodynamically stable ordered  
273 phase at  $y = 0.5$  can accommodate excess Li in vacant sites up to  $y = 0.6$ <sup>33</sup>; in agreement with  
274 the model developed above, it was shown that the diffusion in this phase is strictly one  
275 dimensional<sup>31</sup>. In order to improve the storage capacity of the electrode, fluorinated anatase  
276 crystals were also investigated. The samples used in the present description correspond to the  
277 fluorinated anatase, Ti<sub>0.78</sub>□<sub>0.22</sub>O<sub>1.12</sub>F<sub>0.40</sub>(OH)<sub>0.48</sub>, as described in previous papers<sup>19, 34</sup>.

278

279 An estimate of the particle sizes is deduced from microscopic investigation showing that the  
280 anatase grains have a dimension of the order of ten nanometers<sup>19, 35</sup>. In our study, as a first  
281 approximation, they will be assimilated to parallelepipeds according to the representation of  
282 Fig.2, with, for dimension:  $a = 3$  nm and  $b = 12$  nm.

283

284 According to the synthesis of the electrodes, the cathodic layer has a thickness of 10  $\mu\text{m}$ . In this  
285 layer the weight of anatase or fluorinated anatase unit is 2.5  $\text{mg}/\text{cm}^2$ ; the number of particles,  
286  $n_p$ , and their active electrochemical area,  $S_p$ , deduced from Eqs 18, 19 are reported in Table 1.

287

288 *Electrochemical analysis* – The electrode potential,  $E$  (vs pure lithium metal), corresponding  
289 to the electrochemical reaction (Eq. 20) is given by the Nernst's equation

$$290 \quad E = E^\circ - \frac{RT}{F} \ln f_{Li} c_{Li} \quad (21)$$

291 with

$$292 \quad E^\circ = \frac{RT}{F} \ln c_{Li}^o \quad (22)$$

293  $c_{Li}^o$  is the concentration of pure lithium metal. The index  $y$  (Eq. 20) and  $c_{Li}$  are linked through  
294 the equation

$$295 \quad c_{Li} = y/V_{Li_yTiO_2} \quad (23)$$

296  $V_{Li_yTiO_2}$  is the molar volume of the compound

$$297 \quad V_{Li_yTiO_2} = V_{TiO_2} + y\bar{V}_{Li} \quad (24)$$

298  $\bar{V}_{Li}$  is the partial molar volume of lithium. The above relation assumes that  $\bar{V}_{Li}$  remains constant  
299 in the concentration range studied; however, the imprecision eventually introduced is very  
300 small; indeed, as indicated above,  $\bar{V}_{Li}$  is much smaller than the molar volume of lithium ( $\bar{V}_{Li} \approx$   
301  $0.1 V_{Li}$ ). As a result, the variations in volume of the substrate during the insertion of lithium are  
302 always small.

303

304 The value of the activity coefficient,  $f_{Li}$ , is deduced from the Eq. 4; the parameters,  $f_j$  and  $\psi_j$ , the  
305 index  $j$  varying from 1 to 4, are adjusted in order to obtain a good fit between the calculated  
306 curve and experimental OCP curve (Fig. 3). The goodness-of fit values of the parameters  
307 involved in the calculation are shown in Table 2.

308

309 *Analysis by cyclic voltammetry, pseudo-capacitive contribution* – The cyclic voltammograms  
310 on anatase, or fluorinated anatase  $\text{Ti}_{0.78}\square_{0.22}\text{O}_{1.12}\text{F}_{0.4}\text{OH}_{0.45}$  particles are reported in Figs 4-5.

311 To analyze the voltammograms it was assumed that the electrochemical response depends of  
312 two components<sup>36</sup>: the faradaic current,  $i_F$ , coming from the lithium insertion process and from

313 the charge-transfer process with surface atoms (pseudo-capacity), and the non-faradaic current,  
314  $i_C$ , arising from double layer capacitance, which corresponds, in our field of study, to a very  
315 small current<sup>37</sup>; it can be neglected<sup>36</sup>. In the literature it is generally accepted that the faradaic  
316 contribution is the sum of two terms<sup>36, 38</sup>:

$$317 \quad i_F = k_1 v^{1/2} + k_2 v \quad (25)$$

318 where  $v$  is the scan rate;  $k_1$  and  $k_2$  are two constants, the first term arises from the diffusion  
319 controlled insertion, and the second one from the pseudo-capacitive effect.

320

321 It is now proposed to interpret the cyclic voltammetry response in a more precise way by taking  
322 into account the characteristic features of atomic-scale transport.

323

324 *Surface transport and capacitive effect* – The pseudo-capacitive behavior arises from the  
325 transport properties in the first atomic layers and from the fast storage of the active material at  
326 the surface of nanoparticles. These effects come from the properties of the interface at atomic  
327 scale which result in a variation of the diffusion velocity (Eq. 10) and in the existence of an  
328 instantaneously charged layer of thickness  $\delta$  (RSAT).

329

330 The finite differences technique is used to calculate the current response during a cyclic  
331 potential scan rate corresponding to lithium insertion (Figs 4-5). The morphological change of  
332 the crystal lattice due to the lithium insertion induces a change of the thicknesses  $\delta$  and  $\delta'$ . It is  
333 assumed that these values reach a maximum for  $c = c_p$ ; for anatase:  $c_p = 15.9 \times 10^{-3} \text{ mol/cm}^3$ , for  
334 fluorinated anatase:  $c_p = 12.3 \times 10^{-3} \text{ mol/cm}^3$ . The corresponding intercalation ratios (Eq. 20)  
335 are respectively:  $y = 0.34$  and  $y = 0.26$ . To make it easier to implement the calculation, it was  
336 assumed that  $\delta$  and  $\delta'$  obey the equations

$$337 \quad \delta = \delta_{max} \exp - \frac{(c - c_p)^2}{3c_p^2} \quad (26)$$

338 and

$$339 \quad \delta' = 0.25 * \delta \quad (27)$$

340 The two above empirical relations, and the values of  $D^*$  and  $\delta_{max}$  are adjusted in order to obtain  
341 the best fit with the experimental curves (Table 3). It should be noted that the  $\delta_{max}$  values are of  
342 the same order of magnitude as those obtained during the electrochemical preparation of metal  
343 alloys<sup>28, 39</sup> (a few nanometers).

344

345 The storage process can be interpreted in terms of pseudo capacitance,  $C$ . The charge density  
346  $q$ , stored in the RSAT layer is

$$347 \quad q = F \delta S_p c(0) \quad (28)$$

348  $c(0)$  is the concentration at the electrode surface at a potential,  $E$ . The value of the capacitance,  
349  $C$ , is deduced from the classical formula,  $C = dq/dE$ . The charge increment,  $dq$ , induced by a  
350 potential increment,  $dE$ , can be deduced from concentration change,  $dc(0)$ , calculated from the  
351 Nernst equation (Eq. 3); then

$$352 \quad C = dq/dE = F \delta S_p dc(0)/dE \quad (29)$$

353 In Table 3 are reported the maximum values  $C_{max}$  of the capacitance, which occurs when  $\delta =$   
354  $\delta_{max}$ , so, according to Eq. 26, when  $c = c_p$ . The values of the so-called capacitance may seem to  
355 be enormous. However, they are in good agreement with the experimental observations. For  
356 example, in the fluorinated anatase at a scan rate of 10 mV/s, almost all the current has a  
357 capacitive origin (Fig. 6); thus, to obtain a current density,  $i_c$ , of the order of 10 mA/cm<sup>2</sup> with a  
358 scan rate,  $v$ , of 10 mV/s, a capacitive constant,  $C$ , of the order of one Farad is required (reminder  
359 of the formula:  $i_c = C \times v$ ).

360

361 Due to the large increase in the electroactive surface it has sometimes been suggested that the  
362 double-layer capacity could account for the large values obtained. This suggestion is hardly  
363 conceivable: for usual solvents, the order of magnitude of the double-layer capacity<sup>40</sup> is at most  
364 around 18  $\mu\text{F}/\text{cm}^2$ , which corresponds, even for an area of around 550  $\text{cm}^2$ , to a capacity of 10  
365 mF, a value much lower than those obtained experimentally (Table 3). For that matter, a large  
366 part of this paper has been written in an attempt to analyze this phenomenon. The importance  
367 of the so-called capacitive response is also underlined by the work of Wang et al.<sup>36</sup> which show  
368 that, even at low scan rates (0.5 mV/s), the capacitive response is not negligible.

369

370 This model also makes it possible to link the variations of the so-called capacity to the size of  
371 the particles. Indeed, the capacitive effect depends directly on the contact surface,  $S_p$ , which is  
372 inversely proportional to the particle size as can be deduced from Eqs 18, 19. This suggests that  
373 the capacitive effect will also be inversely proportional to the particle size. This dependence is  
374 well observed experimentally<sup>36</sup>. At lower sweep rates the influence of the capacitive current  
375 decreases, concentration profiles indicate a deeper penetration of lithium atoms into the bulk of

376 the electro material, the electrochemical response is getting closer to the classical laws of  
377 diffusion.

378  
379 *Diffusion and thermodynamics* – In order to obtain a more accurate determination of the  
380 diffusion into the bulk of anatase particles dependence a galvanostatic intermittent titration  
381 technique is used. The procedure consists of a series of current pulses, each followed by a  
382 relaxation time, in which no current passes through the cell. The digital simulation technique is  
383 used to calculate the electrode response from the model presented above. As deduced from the  
384 cyclic voltammetry investigations the surface storage capacity is taken into account and the  
385 bulk diffusion coefficient is adjusted to obtain the best fit with the experimental results. As  
386 shown in Fig. 7, the fitted curves correctly represent the experimental curves. The values of the  
387 diffusion coefficients are shown in Fig. 8.

388  
389 It should be noted that wide fluctuations in the diffusion coefficient are obtained as a function  
390 of the degree of lithium insertion. These variations reflect the change in structure of the anatase  
391 crystals; they can result in a change in the thermodynamic properties of the system that is  
392 reflected in the evolution of the enhancement factor (Eq. 7). The curves of Fig. 8 show that  
393 there is some correlation between the evolution of this factor and that of diffusion coefficients.  
394 This approach makes it possible to envisage a reasoned study linking the structural,  
395 thermodynamic and kinetic properties of the system. The anatase OCP curve (Fig. 3) exhibits  
396 two potential plateaus at  $E_1 = 1.808$  V, and  $E_2 = 1.605$  V, which correspond respectively to the  
397 compounds  $\text{TiO}_2\text{Li}_y$ , with  $y_1 = 0.302$  and  $y_2 = 0.635$ . The Gibbs energies of lithium insertion in  
398 the compounds are  $\Delta G_1 = -52.7$  kJ and  $\Delta G_2 = -98.4$  kJ. The formation of these compounds leads  
399 to a large departure from ideality during the insertion of lithium. This results in significant  
400 variations in the activity coefficient,  $f$ , which according to Eq. 5, influences the diffusional  
401 behavior of lithium.

402

## 403 **Conclusion**

404

405 The analysis of lithium insertion, in a host material made of nanoparticles shows that special  
406 attention needs to be given to the study of transport phenomena in the first atomic layers of the  
407 interface. In this region the looseness of the crystal lattice enlarges the mass transport facilities.  
408 It results in additional effects not included in the classical analyses. To describe the system  
409 behavior it is required to introduce the characteristics of surface transport in the diffusion

410 equation which can be solved by numerical calculation. This model takes into account the actual  
411 contact surface and includes the concept of storage layer correlated with the increase of the  
412 diffusion coefficient in the vicinity of the interface. In addition, the variations of transport  
413 kinetics are related to the thermodynamic properties of the medium.

414

415 This work highlights the primary and complex role of atomic-scale transport phenomena in the  
416 surface layers of electrode materials. The analysis thus developed can be a useful tool for  
417 directing research towards structure with rapid charge kinetics and thus increase the available  
418 power. This is how the idea of fluorination of anatase came about: this treatment improves  
419 diffusivity, surface storage and pseudo-capacitance (Table 3). In addition, the study focuses  
420 directly on the capacitive properties of the electrode; it can thus be useful for the research,  
421 development and processing of materials for super-capacitors

422

423 The significant effect of transport in the first atomic layers of the substrate is highlighted. To  
424 facilitate the implementation of the model, simplifying assumptions were arbitrarily introduced:  
425 shape of particles, variation of the thickness of the storage layers (Eqs 26, 27); in addition, the  
426 finite difference treatment could be refined. Nevertheless, this study can be adapted and may  
427 provide a useful basis for more accurate analysis of the electrochemistry of nanoparticle  
428 systems. In the present work we have endeavored to develop a method of analysis of these  
429 mechanisms. The theoretical procedure is illustrated on the model case of lithium insertion in  
430 anatase nanoparticles studied by cyclic voltammetry or by galvanostatic titration; in particular,  
431 it accounts for the high values of the observed capacitive effects. Moreover, it can be easily  
432 extended to the analysis of charge-discharge processes under various experimental conditions.

433

434

### 435 **Acknowledgement**

436

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440

441

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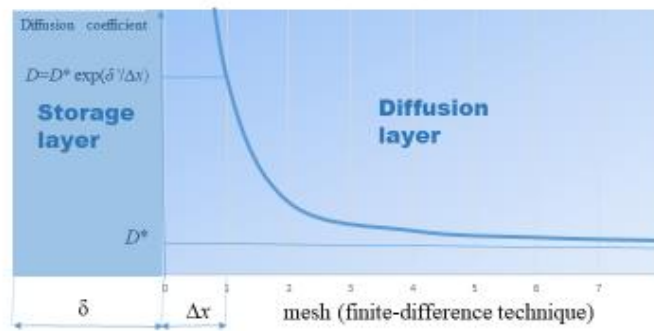


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525 **Figures**

526



527

528 **Figure 1.** Diagram illustrating the transport in surface layers.

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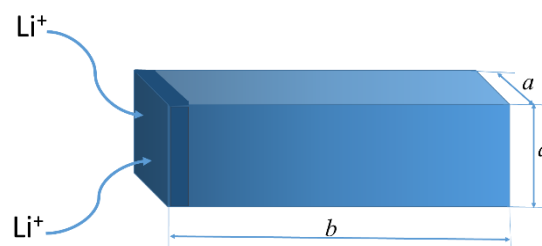
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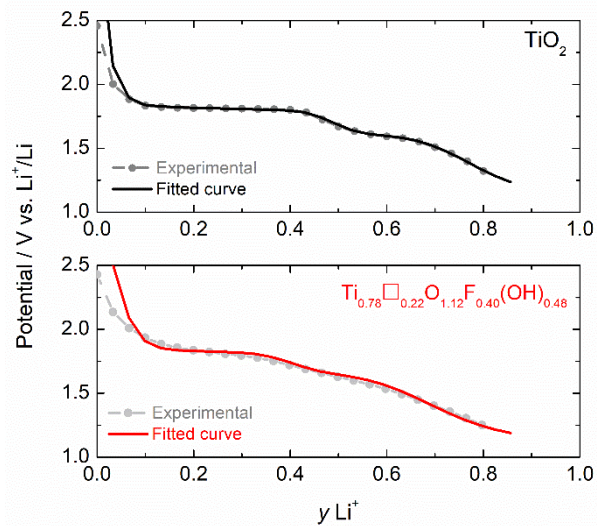
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536 **Figure 2.** Platelet-like nano-particle<sup>31</sup>, simplified form used for the calculation.

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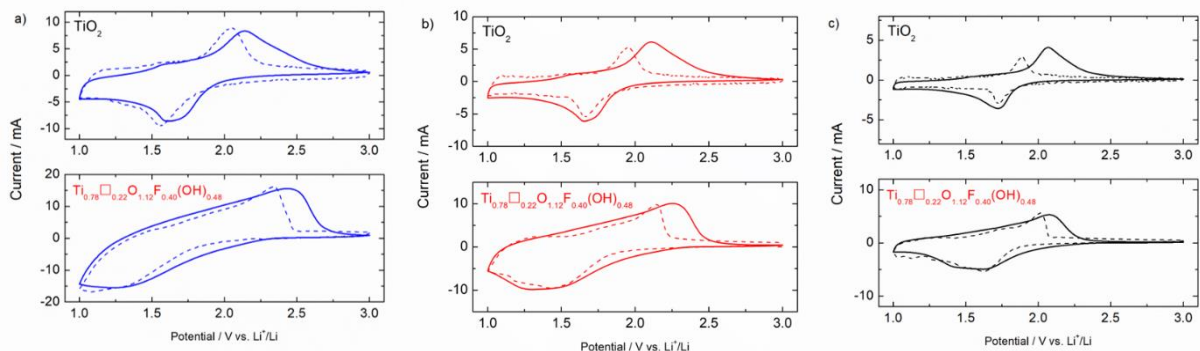
539 **Figure 3.** Open circuit potential curve, anatase and fluorinated anatase ( $y\text{Li}^+$  is the intercalation  
540 ratio).

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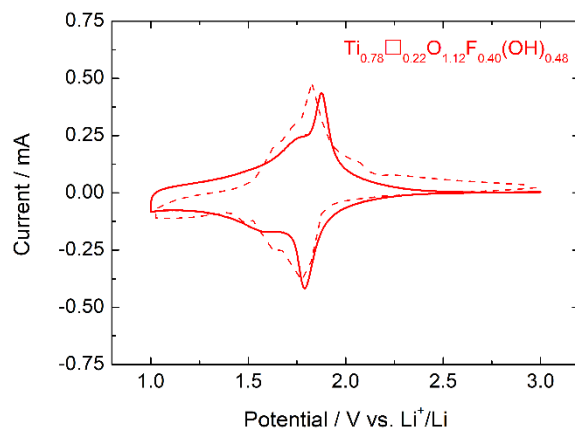
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546 **Figure 4.** Experimental (continuous line) and fitted (dashed line) cyclic voltammograms of  
547 lithium insertion/deinsertion in anatase and fluorinated anatase; electrode surface:  $0.78 \text{ cm}^2$ .

548 Sweep rates: (a)  $v=10 \text{ mV s}^{-1}$ , (b)  $v=5 \text{ mV s}^{-1}$ , (c)  $v=2 \text{ mV s}^{-1}$ .

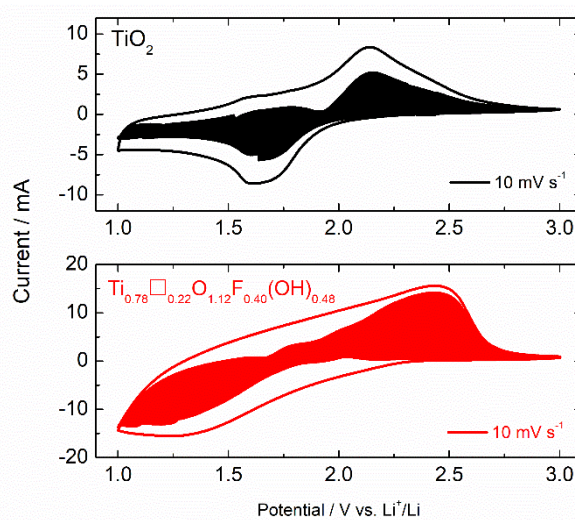
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551  
 552 **Figure 5.** Experimental (continuous line, after 2 cycles activation) and fitted (dashed line)  
 553 cyclic voltammograms of lithium insertion/deinsertion in fluorinated anatase; electrode surface:  
 554  $0.78 \text{ cm}^2$ ; scan rate:  $v=0.05 \text{ mV s}^{-1}$ .

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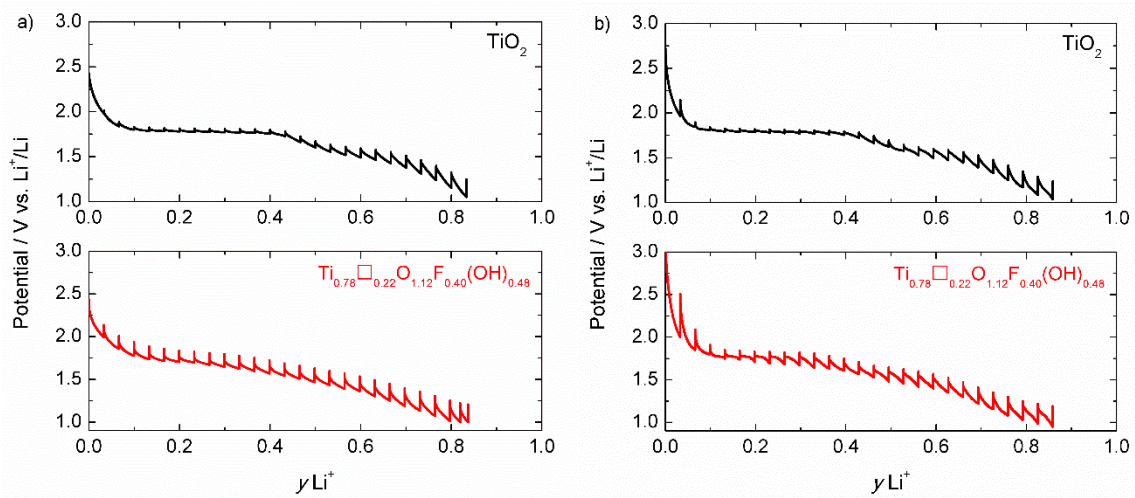


560  
 561 **Figure 6.** Cyclic voltammograms of lithium insertion/deinsertion in anatase and fluorinated  
 562 anatase; electrode surface:  $0.78 \text{ cm}^2$ ; shaded region, capacitive current determined from the  
 563 procedure using Eq. 25 at  $v=10 \text{ mV s}^{-1}$ .

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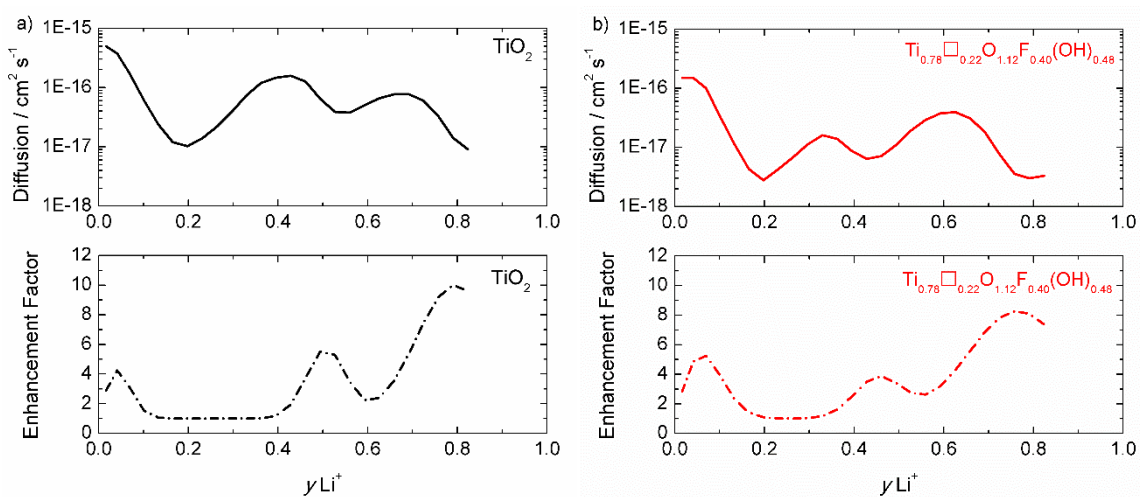
568 **Figure 7.** Galvanostatic intermittent titration. (a) Experimental and (b) fitted curves in anatase  
569 and fluorinated anatase; current density:  $84 \mu\text{A cm}^{-2}$ , duration of the galvanostatic charge: 1200  
570 s, relaxation time: 20 h ( $\gamma\text{Li}^+$  is the intercalation ratio).

571

572

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575

576 **Figure 8.** Diffusion coefficient deduced from the different sequence of the galvanostatic  
577 intermittent titration curves. Calculated enhancement factors (Eq. 8, with  $\phi = 0.26$ ). (a):  $\text{TiO}_2$ ;  
578 (b):  $\text{Ti}_{0.78}\text{O}_{0.22}\text{F}_{0.40}(\text{OH})_{0.48}$ .

579

580

581 **Table Content**

582

583 **Table 1.** Characteristic quantities of the system.

584

Dimension of nano-particles		For 1 cm <sup>2</sup> (electrode surface)	
<i>a</i> (nm)	<i>b</i> (nm)	<i>n<sub>p</sub></i> , number of nano-particles	<i>S<sub>p</sub></i> , electrochemical interface, (cm <sup>2</sup> )
3.0	12	6.18×10 <sup>15</sup>	556

585

586 **Table 2.** Values of the parameters used to describe the evolution of the activity coefficient (Eq. 4).587 F--TiO<sub>2</sub> represents Ti<sub>0.78</sub>□<sub>0.22</sub>O<sub>1.12</sub>F<sub>0.40</sub>(OH)<sub>0.48</sub>.588 Logarithms of the activity coefficients, ln *f<sub>j</sub>*.

	ln <i>f<sub>1</sub></i>	ln <i>f<sub>2</sub></i>	ln <i>f<sub>3</sub></i>	ln <i>f<sub>4</sub></i>
TiO <sub>2</sub>	-41	-57	-63	-138
F-TiO <sub>2</sub>	-40	-58	-63	-172

589

590 Concentrations at the transition points, *c<sub>Tj</sub>* (mol cm<sup>-3</sup>)

	<i>c<sub>T1</sub></i>	<i>c<sub>T2</sub></i>	<i>c<sub>T3</sub></i>	<i>c<sub>T4</sub></i>
TiO <sub>2</sub>	0.057	0.035	0.025	0.0004
F-TiO <sub>2</sub>	0.057	0.033	0.019	0.0002

591

592 Steepness factors to describe the changes of the activity coefficient, *Ψ<sub>j</sub>* (cm<sup>3</sup> mol<sup>-1</sup>)

	<i>Ψ<sub>1</sub></i>	<i>Ψ<sub>2</sub></i>	<i>Ψ<sub>3</sub></i>	<i>Ψ<sub>4</sub></i>
TiO <sub>2</sub>	83	195	333	338
F-TiO <sub>2</sub>	91	143	257	273

593

594

595 **Table 3.** Values of the diffusion coefficient at the interface, *D\** (Eq. 10). Maximum value of the  
596 storage thickness, *δ<sub>max</sub>* (Eq. 26), and pseudo-capacitance associated to that thickness (Eq. 29).

597

	<i>D*</i> (10 <sup>-17</sup> cm <sup>2</sup> s <sup>-1</sup> )	<i>δ<sub>max</sub></i> , (nm)	<i>C<sub>max</sub></i> (mF cm <sup>-2</sup> )
TiO <sub>2</sub>	1.3	2.0	283
F-TiO <sub>2</sub>	4.0	4.7	978

598