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# Constrained Model Predictive Control for Dynamic Path Tracking of a Bi-steerable Rover on Slippery Grounds

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## Abstract

The research works carried out in this paper deal with the control of a fast double-steering off-road mobile robot. Such kind of robots requires very high stable and accurate controllers because their mobility is particularly influenced by wheel-ground interactions. Hence, the vehicle dynamics should be incorporated in the control circuit to take into account these issues, which is developed based on the road geometry parameters and the slippage-friction conditions at the wheel-ground contacts. [Relying on this dynamic model, we present in this paper the design and application of a constrained Model Predictive Control \(MPC\)](#). It is based on the minimization of a cost function (optimizing the deviation from the reference trajectory, and the variation of the control input) along a finite prediction horizon, however, the prediction horizon is variable according to the forward speed of the robot. On the other hand, this approach incorporates several constraints, essentially important for the stability and safety of an off-road mobile robot moving at the high velocity, namely : saturation and maximum variations of the vehicle's actuators (i.e. steering joints and their speeds limits) as well as the tire adhesion zone bounds (allowing to validate the assumption of a linear tire model). The full optimization problem is formulated as a Linearly Constrained Quadratic Programming (QP) to compute at each

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time-step the optimal and dynamically-consistent front and rear steering angles that are required to reach the desired path, with respect to all these constraints. The capabilities of our proposed controller are compared with another control law which does not apply any physical or intrinsic constraints. The latter is simply a feedback controller based on the same dynamic model and LQR theory (Linear Quadratic Regulator). Both of them have been investigated through several tests on simulations via ROS/GAZEBO and experiments on a real off-road vehicle for different kinds of trajectories and velocity levels.

*Keywords:* Dynamics, slippage, off-road robot, Model Predictive Control, Quadratic Programming

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## 1. Introduction

Agile autonomous off-road rovers have been used to explore and intervene effectively and securely in large areas, where it is subjected to different phenomena such as slippage and friction conditions as well as the ground roughness, both can affect the feasibility and robustness of the path or trajectory tracking tasks. Therefore, such automatic systems require extremely accurate and robust controllers enabling them to preserve their stability and safety during the executed task, in spite of the hazardous situations encountered. On the other hand, in order to move easily in cluttered environments and/or achieve paths with a small turning radius and with high flexibility, the mobile robot structure is designed such that the front and rear wheels can be steered and driven independently. This kind of four-wheel steering vehicles is demonstrated to be interesting and promising for robotics applications, essentially for the lateral dynamics control at high speeds and for the increment of the path curvature [1][2].

Even though, several approaches dedicated to indoor or on-road vehicles have already been proposed (based on the vehicle kinematics [3] or the dynamics [4]), they can not be extended to off-road vehicles which are intended to move on uneven terrain at high speed. In fact, they assume that the vehicle dynamics

20 cannot be affected in the considered context. In other words, these kinds of  
controllers cannot guarantee the system stability if the contact parameters are  
not well-defined and when the [properties](#) between the tire/soil are expected to  
change [5] [6]. [Authors in \[7\] and \[8\] present the main challenges and control  
strategies used in path following and trajectory tracking methods for autonomous  
25 ground vehicles.](#)

To improve their safety and manoeuvrability, mainly at high speeds, many  
strategies have been explored in robotics literature. At the hardware level, the  
mechanical design can be optimized to reduce the apparent inertia of the robot  
and make it lightweight [9]. In addition, compliant components can be added to  
30 enable smoother inclinations of the vehicle and less severe impacts (e.g. during  
cornering). For instance in [10] and [11], an active anti-roll system was designed  
and mounted on the vehicle's chassis with independent suspensions to minimize  
the lateral load transfer (LLT) during cornering, and minimize the consumed  
energy by the actuators. However, the stability control via this kind of the  
35 active anti-roll barre is sometimes very complex and is not easy to manage,  
thus the system performance can then be degraded.

At the control level, safe navigation on natural environment requires : i)  
switching between different control modes (acceleration and braking) without  
causing potentially harmful discontinuities in the movements of the robot ; ii)  
40 the formulation of safety and stability indicators to reflect the amount of au-  
thorized admissible bounds on the dynamic behavior of the robot, to be easily  
accounted for, the possibility to express these safety criteria as constraints re-  
lated to the control inputs of the robot. By the way, this is done by introducing  
the appropriate constraints characterizing the stability and safety conditions in  
45 the trajectory planning and control. Authors in [12] present a 4-wheel steering  
controller to track a reference yaw rate and the side-slip signals while incorpo-  
rating an anti-windup technique to reduce the effects of the saturation of the  
rear steering actuators. [13] considers a stability domain such that the harsh  
curvatures and the wide variation in the robot's forward speed are prevented.  
50 [Moreover, authors in \[14\] and \[15\] present a control scheme for automatic path](#)

tracking which subjects to wheel sleep constraint. In addition, [16] presents an online path generator for a wheeled robot taking into account the contact constraints and joints' velocity and acceleration limits.

On the other hand, Model Predictive Control (MPC) technique has been  
55 widely used in the automotive and industrial process because of its ability to simply handle system nonlinearities and constraints on inputs and states as well as its capability to anticipate future changes in set-points, i.e. the future reference trajectory. It has been shown to be much more interesting and sophisticated for solving linear or nonlinear path planning and control problems  
60 [17]. Besides that, Nonlinear MPC (NMPC) is usually solved using a sequential quadratic programming method (SQP) [18] and/or the DynIbex library which is based on Runge-Kutta schemes to solve the initial value problem of ordinary differential equations and of differential-algebraic equations [19]. As an example, authors in [20] introduced a NMPC controller implemented on a single steering  
65 vehicle for path following, where the problem is solved at each sampling instant using SQP. Moreover, papers [21] and [22] make use of a spatial NMPC for path planning and control problems, which are divided into the upper and lower levels applying constraints on the vehicle's position in the lane. Furthermore, [23] and [24] introduce a reliable and guaranteed NMPC approach based on interval  
70 analysis including the model uncertainties, where the problem is solved at each sampling instant using the DynIbex library. Nevertheless, it has been shown that the fast convergence of this kind of solvers is not guaranteed over a finite time (i.e. huge response time to get the solution of the problem), because of its computational complexity. Therefore, they are generally limited to low vehicle  
75 speeds and/or indoor applications (such as in the logistics and industry field). To overcome this issue and still decrease the computational complexity, the linear MPC seems much more attractive for various classes of fast applications, such as in the mining and agriculture fields, because in general the optimization problem is completely specified as a Quadratic Program (QP), which can be  
80 easily handled by linear solvers. For example, in [25] and [26], a Linear Time Varying MPC technique is presented to tackle the same problem. It is based

on the on-line exact linearization of the nonlinear vehicle model and the linear MPC technique to control the front steering angle and stabilize the vehicle on slippery roads (snow-covered) at higher speeds.

85 In order to properly account for the safety and stability constraints related to the sliding and steering parameters, the control problem is expressed as a Linearly Constrained Quadratic Program (QP). The adequate front and rear steering angles are calculated to perform a trajectory tracking in the operational space is subject to several linear inequality constraints accounting for  
90 the physical limitations of the robot (steering joint limits and their variation bounds) as well as for the limit values on the sliding parameters (i.e. tire adhesion area bounds to maintain the linear tire model hypothesis). To demonstrate its efficiency, the proposed control framework is expected to be compared with another controller described in [27]. This latter method is based on the LQR ap-  
95 proach and the same dynamic model used for the constrained MPC. Although, no necessary constraint is taken into account by this LQR controller to compute adequate steering angles, it is a beneficial point to emphasize the importance of the constraints and the predictive strategy. Moreover, this paper presents, simulation results from the high fidelity virtual platform using ROS/GAZEBO,  
100 as well as, results from the field tests demonstrating the effectiveness of our proposed approach compared with the LQR controller via the experimental platform, “*SPIDO*” robot, along different paths and different speeds.

This paper is structured as follows, in section 2, the linear tire model and the wheeled dynamic modeling for double-steering vehicles are presented. In  
105 Section 3, the controller is derived: tasks-related objectives are formulated and the inequality safety related constraints acting on the system are expressed as a function of the control inputs of the system, i.e. front and rear steering angles. In Section 4, the results of the simulations and the experiments are introduced and discussed, on which the capabilities offered by the proposed controller are  
110 illustrated and compared with those provided by the LQR controller. Finally, Section 5 summarizes the contribution and provides an overview of the future work.

## 2. Wheeled Mobile Robot Modeling

### 2.1. Linear Tire Model

115 The notations for the vehicle dynamics and the path tracking task are introduced in Table 1.

Since the sliding parameters and tire-ground forces have been incorporated into the lateral vehicle dynamics, a contact model must be chosen to describe the longitudinal and lateral tire forces. In the literature, several kinds of models  
120 can be used to describe the slippage phenomenon (e.g., Pacejka model [28], Dugoff model [29] and Fiala tire model [30]). For on-road or off-road vehicles, three zones can be distinguished even if these tire models are physically and analytically different (cf. Figure 1), and they can be presented as,

- 125 ① At low slippage, the lateral force  $F_{y(f,r)}$  generated by the tire is almost linear with the slip angle  $\beta_{(f,r)}$  (i.e. angle between the wheel's velocity vector and its longitudinal axis). The proportionality coefficient refers to the lateral tire cornering stiffness  $C_{(f,r)}$ , which is particularly depending on the grip conditions variations, the loaded normal forces and the friction coefficient.
- 130 ② Since the slip angle increases, the transition area is achieved. The lateral force at the tire contact reaches the friction limit related to the normal force and the friction coefficient ( $\mu F_z$ ).
- 135 ③ When the slip angle exceeds a certain value due to the slippery conditions and the centrifugal force (e.g., during cornering), the tire-ground forces are extremely non-linear, in which the robot drifts and becomes uncontrollable.

To simplify the tire forces model, our robot is assumed to always run in the pseudo-sliding area (zone ①) Therefore, the lateral force is proportional to the slip angle with the cornering stiffness. This relationship can simply be expressed

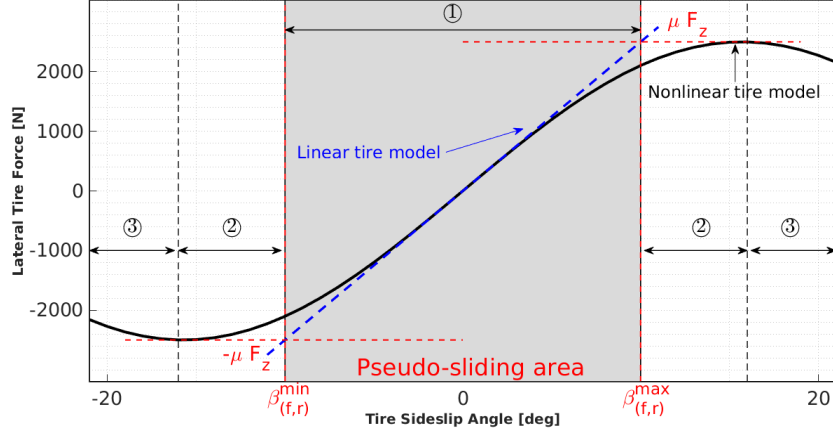


Figure 1: Illustration of a generic nonlinear tire curve for lateral slippage with different zones, reduced to a pseudo-sliding area where the wheels offer the best grip conditions.

as follows,

$$F_{yf} = C_f \beta_f, \quad (1a)$$

$$F_{yr} = C_r \beta_r, \quad (1b)$$

## 2.2. Vehicle dynamics modeling

Relying on the bicycle model representation shown in Figures 2 and 3, the  
 140 linear tire model given by the equation (1) and assuming that the longitudinal  
 velocity  $V_x$  is constant and the longitudinal forces  $F_{xi}$  is neglected, the lateral  
 vehicle dynamics can be derived as the linear state-space representation by  
 applying the Newton's law at the center of gravity (CoG) of the vehicle,

$$\begin{cases} \dot{\xi} = A(\xi - \xi_{ss}) + B(u - u_{ss}) \\ y = C(\xi - \xi_{ss}) \end{cases} \quad (2)$$

where  $\xi = [V_y, V_\psi, e_y, e_\psi]^T$  is the state vector,  $u = [\delta_f, \delta_r]^T$  denotes the input  
 vector (steering angles) and  $y = [V_\psi, e_y, e_\psi]^T$  represents the output vector ( $V_\psi$ ,

Table 1: Notations for the lateral vehicle dynamics and path tracking task.

Symbol	Meaning
$\Gamma_r$	reference path to be followed
$\mathcal{R}_i = \{O, X, Y, Z\}$	absolute global frame(or inertial frame)
$p_G$	center of mass of the vehicle
$p_F$	closest point on the path
$\mathcal{R}_G = \{x_G, y_G, z_G\}$	frame attached to the vehicle body
$\mathcal{R}_{wi} = \{x_{wi}, y_{wi}, z_{wi}\}$	frame attached to the wheel $i$
$\mathcal{R}_F = \{x_F, y_F, z_F\}$	Serret-Frenet that moves along the path
$e_y$	lateral error between $p_G$ and $p_F$
$e_\psi$	angular error
$\rho$	curve curvature
$\theta_r$	road slope angle
$\phi_r$	road bank angle
$\phi_s$	suspension deflection angle
$\delta_f, \delta_r$	front and rear steering angles, respectively
$\beta_f, \beta_r$	front and rear side-slip angles, respectively
$C_f, C_r$	front and rear tire cornering stiffnesses, respectively
$F_{xi}$	longitudinal force at the wheel $i \in \{f, r\}$ , expressed in $\mathcal{R}_G$
$F_{yi}$	lateral force at the wheel $i \in \{f, r\}$ , expressed in $\mathcal{R}_G$
$F_{zi}$	vertical force at the wheel $i \in \{f, r\}$ , expressed in $\mathcal{R}_G$
$a, d$	front and rear half-wheelbases and track width
$\psi, \psi_d$	vehicle and reference yaw angles, respectively
$V_x, V_y, V_\psi$	longitudinal and lateral velocities and yaw rate, respectively
$\mu$	tire/road friction coefficient
$m, I_z$	vehicle mass and yaw-inertia moment, respectively
$g$	acceleration due to gravity
$a_y$	lateral acceleration

$e_y$  and  $e_\psi$  are measured with the embedded sensors and  $V_y$  is observed by a Kalman-Bucy filter (reminded in Appendix B).  $\xi_{ss}$  and  $u_{ss}$  describe the steady-state vectors of the linear model with drift, which depend mainly on the gravity parameters (sloping grounds).

$$A = \begin{bmatrix} a_{11} & a_{12} & 0 & 0 \\ a_{21} & a_{22} & 0 & 0 \\ 1 & 0 & 0 & V_x \\ 0 & 1 & \rho^2 V_x & 0 \end{bmatrix} = \begin{bmatrix} -2\frac{C_f + C_r}{mV_x} & -2\frac{aC_f - aC_r}{mV_x} - V_x & 0 & 0 \\ -2\frac{aC_f - aC_r}{I_z V_x} & -2\frac{a^2 C_f + a^2 C_r}{I_z V_x} & 0 & 0 \\ 1 & 0 & 0 & V_x \\ 0 & 1 & \rho^2 V_x & 0 \end{bmatrix},$$

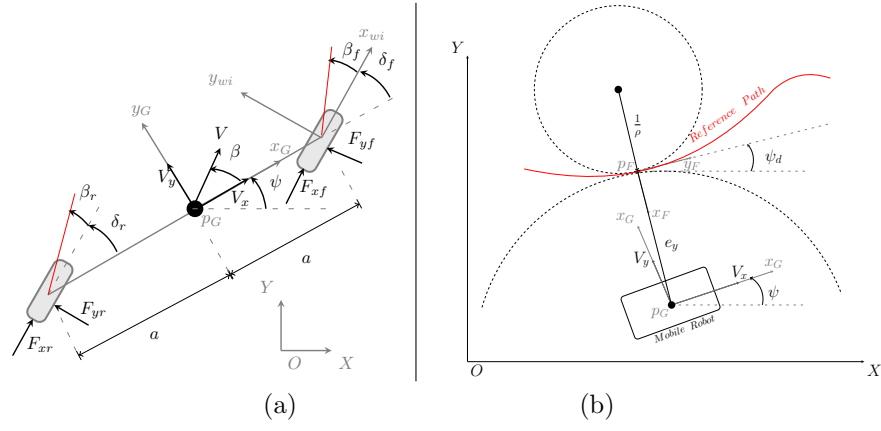


Figure 2: (a) Dynamic bicycle model with sliding parameters in yaw frame. (b) Path following notation.

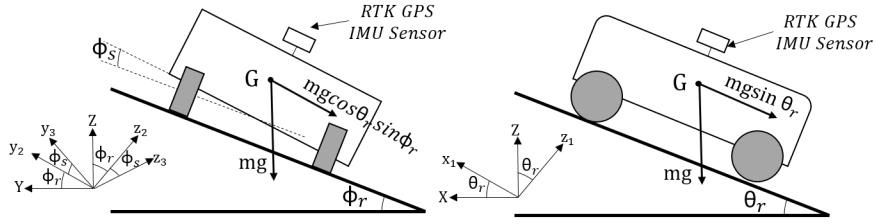


Figure 3: Road grade angle [Left] and bank angle [Right].

$$B = \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \\ 0 & 0 \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} \frac{2C_f}{m} & \frac{-2C_r}{m} \\ \frac{2aC_f}{I_z} & \frac{2aC_r}{I_z} \\ 0 & 0 \\ 0 & 0 \end{bmatrix} \quad C = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix},$$

$$u_{ss} = \begin{bmatrix} \frac{\rho V_x (a_{11} a_{22} - a_{21} a_{12}) - a_{21} g \cos \phi_r \sin \theta_r}{a_{21} (b_{11} - b_{12}) - a_{11} (b_{21} - b_{22})} \\ \frac{-\rho V_x (a_{11} a_{22} - a_{21} a_{12}) + a_{21} g \cos \phi_r \sin \theta_r}{a_{21} (b_{11} - b_{12}) - a_{11} (b_{21} - b_{22})} \end{bmatrix},$$

$$\xi_{ss} = \begin{bmatrix} \frac{\rho V_x [a_{22}(b_{11} - b_{12}) - a_{12}(b_{21} - b_{22})] - g \cos \phi_r \sin \theta_r (b_{21} - b_{22})}{a_{11}(b_{21} - b_{22}) - a_{21}(b_{11} - b_{12})} \\ \rho V_x \\ 0 \\ \frac{-\rho V_x [a_{22}(b_{11} - b_{12}) - a_{12}(b_{21} - b_{22})] + g \cos \phi_r \sin \theta_r (b_{21} - b_{22})}{V_x (a_{11}(b_{21} - b_{22}) - a_{21}(b_{11} - b_{12}))} \end{bmatrix}$$

The continuous-time state space model (2) can be discretized following the  
 145 zero-order-hold method (ZOH). It is therefore relatively easy to calculate an  
 approximate discrete-time model for a small sampling time denoted  $T_d$  by using  
 the forward differences,

$$\begin{cases} X_{k+1} = \Phi X_k + \Gamma U_k, \\ y_k = C X_k, \end{cases} \quad (3)$$

where  $\Phi = I_{4 \times 4} + T_d A$  and  $\Gamma = T_d B$  are respectively the state and command  
 matrices of the discrete-time state-space model,  $I$  denotes the identity matrix,  
 150  $X_k = \xi_k - \xi_{ss}$  and  $U_k = u_k - u_{ss}$  are respectively the state and input vectors.

### 3. Constrained Model Predictive Controller

In this section a dynamic control strategy based on a constrained model  
 predictive controller (MPC) that ensures the safety and stability of the off-road  
 mobile robot. The main purpose is to compute the optimal steering angles  
 155 required to perform a trajectory tracking task while respecting a number of  
 constraints at each sampling instant. These constraints are summarized as  
 below :

- Conform with the tire pseudo-sliding area by maintaining the slip angles  
 between two given values (cf. Figure 1).
- 160 • Respect the limits of steering actuators (i.e., the front and rear steering  
 angles must be limited according to the articulated stops);
- Avoid large steering variations to ensure the vehicle stability and safety.  
 In order to do this, the steering velocity must also be saturated.

### 3.1. Path tracking task formulation

165 The main contribution of this paper lies on the application of the proposed constrained MPC to the fast off-road rover. This controller is based on the minimization of a quadratic objective function that is composed by the error between the desired path and the predicted output. The main advantage of the MPC is indeed the ability to anticipate future changes in setpoints and handle  
170 constraints that are critical and necessary for the safety and stability of the vehicle. Otherwise, MPC is based on the principal of the output  $y$  prediction at each time-step  $k$  over a finite time interval  $[kT_d, (k + N_p)T_d]$ , where the prediction horizon  $N_p$  is usually tuned according to the forward vehicle velocity. At the next time-step,  $k + 1$ , a new optimal control problem based on new  
175 measurements of the updated state is solved over the shifted horizon (see [31] for an overview of the basics of MPC theory).

To introduce the design of the constrained MPC, the vehicle dynamic model (3) can be derived in the recursive augmented form, by iterating this model  $N_p$  times in order to relate the predicted output  $y_{k+i|k}$  and input  $U_{k+i|k}$  at step  
180 time  $k + i$ , which are both computed from the instant  $k$ . The dynamic model can be simply written in the augmented presentation as follows :

$$Y = P_x X_k + P_u U, \quad (4)$$

$$\text{where } Y = \begin{bmatrix} y_{k+1|k} \\ y_{k+2|k} \\ \vdots \\ y_{k+N_p|k} \end{bmatrix}, \quad P_x = \begin{bmatrix} C\Phi \\ C\Phi^2 \\ \vdots \\ C\Phi^{N_p} \end{bmatrix}, \quad U = \begin{bmatrix} U_k \\ U_{k+1} \\ \vdots \\ U_{k+N_p-1} \end{bmatrix},$$

$$P_u = \begin{bmatrix} C\Gamma & 0 & \dots & 0 \\ C\Phi\Gamma & C\Gamma & \dots & 0 \\ \vdots & & \ddots & \vdots \\ C\Phi^{N_p-1}\Gamma & \dots & C\Phi\Gamma & C\Gamma \end{bmatrix},$$

The proposed controller computes at each sampling time the optimal steering angles  $U$  defined as a sequence of control inputs over the prediction horizon  $N_p$  by minimizing the norm of the inputs and the error between the predicted outputs  $Y$  and the reference  $Y_d$ . From (4), the cost function can be expressed in the following quadratic form :

$$J(U) = \|Y - Y_d\|_{Q_n}^2 + \|U\|_{R_n}^2, \quad (5a)$$

$$= \|P_x X_k + P_u U - Y_d\|_{Q_n}^2 + \|U\|_{R_n}^2, \quad (5b)$$

where  $\|a\|_Q$  denotes the  $Q$ -weighted euclidean norm of  $a$ . To simplify the notation, we denote  $q = 3 \times N_p$  and  $r = 2 \times N_p$  (i.e, the dynamic model (3) is a multi-input multi-output system (MIMO) with 2 inputs and 3 outputs).  
 185 Therefore,  $Q_n \in \mathbb{R}^{q \times q}$  and  $R_n \in \mathbb{R}^{r \times r}$  are both positive semi-definite weighting matrices.  $Y_d$  is the reference vector projected along the horizon prediction  $N_p$  that can be written as follows:

$$Y_d = \begin{bmatrix} y_{d \ k+1|k} \\ y_{d \ k+2|k} \\ \vdots \\ y_{d \ k+N_p|k} \end{bmatrix}, \quad (6)$$

The objective function (5b) then takes the form,

$$J(U) = \frac{1}{2} U^T H U + f^T U + E^T Q_n E, \quad (7a)$$

$$H = 2(P_u^T Q_n P_u + R_n),$$

$$E = P_x X_k - Y_d,$$

$$f = 2P_u^T Q_n E,$$

where  $U$  is the optimization vector.  $H$  is the Hessian matrix, and which has to be positive-definite in order to ensure the existence of a unique optimal solution  
 190  $U$  minimizing  $J(U)$ . The vector  $f$  describes the linear part of the quadratic

function, whereas the term  $E^T Q_n E$  is not related to the optimization vector  $U$  and does not affect its computation.

### 3.2. Constraints formulation

#### 3.2.1. Pseudo-sliding area bounds and tire adhesion

The MPC controller is based on a linear tire model assuming that the lateral force  $F_{y(f,r)}$  varies linearly with the slip angle  $\beta_{(f,r)}$  (see Figure 1). This assumption characterizes the pseudo-sliding zone where the grip conditions are the best at the tire-ground contact. Nevertheless, when the contact force exceeds some certain values, the skidding zone is reached, and the vehicle becomes uncontrollable over short time horizon. Moreover, the bad grip conditions can generate perturbations influencing the accuracy of tracking task and vehicle drifts, especially at high speeds and during sudden turn. To prevent this case, a constraint describing pseudo-sliding area bounds must be accounted. These constraints for the front and rear slippage angles  $\beta_{(f,r)}$  can be derived as following (cf. Figure 1),

$$\beta_f^{min} \leq \beta_{f,k} \leq \beta_f^{max}, \quad (8a)$$

$$\beta_r^{min} \leq \beta_{r,k} \leq \beta_r^{max}, \quad (8b)$$

where  $\beta_{(f,r)}^{min}$  and  $\beta_{(f,r)}^{max}$  are the limits of the tire adhesion area computed taking into account the friction limit ( $\mu F_z$ ). We define the side-slip angle  $\beta_{f,r}$  as the angle between the wheel velocity  $v_{wi}$  and its longitudinal axis  $x_{wi}$ . These angles can be derived as a linear function with steering angles  $\delta_{(f,r)}$  and vehicle velocities  $V_y$ ,  $V_x$  and  $V_\psi$ . These relationships are expressed thus:

$$\beta_{f,k} = \frac{V_{y,k} + aV_{\psi,k}}{V_x} - \delta_{f,k}, \quad (9a)$$

$$\beta_{r,k} = \frac{V_{y,k} - aV_{\psi,k}}{V_x} - \delta_{r,k}, \quad (9b)$$

As it has been highlighted, this paper focuses on controlling the vehicle lateral motion at high velocities (path tracking) while fulfilling its physical and

intrinsic constraints. The equation (9) can be written in a matrix format depending on the state and inputs vectors,

$$\beta_k = \begin{bmatrix} \beta_{f,k} \\ \beta_{r,k} \end{bmatrix}, \quad (10a)$$

$$= T\xi_k + Ju_k, \quad (10b)$$

$$= T(X_k + \xi_{ss}) + J(U_k + u_{ss}), \quad (10c)$$

195 with  $T = \begin{bmatrix} \frac{1}{V_x} & \frac{a}{V_x} & 0 & 0 \\ \frac{1}{V_x} & \frac{-a}{V_x} & 0 & 0 \end{bmatrix}$  and  $J = \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix}$ ,

From (10c), the side-slip angles over a moving time horizon  $N_p$  can be projected as follows,

$$\underbrace{\begin{bmatrix} \beta_{k+1} \\ \beta_{k+2} \\ \vdots \\ \beta_{k+N_p} \end{bmatrix}}_{\bar{\beta} \in \mathbb{R}^{r \times 1}} = \underbrace{\begin{bmatrix} TX_{k+1} \\ TX_{k+2} \\ \vdots \\ TX_{k+N_p} \end{bmatrix}}_{\Xi} + \underbrace{\begin{bmatrix} JU_{k+1} \\ JU_{k+2} \\ \vdots \\ JU_{k+N_p} \end{bmatrix}}_{\Theta} + \underbrace{\begin{bmatrix} T\xi_{ss} + Ju_{ss} \\ T\xi_{ss} + Ju_{ss} \\ \vdots \\ T\xi_{ss} + Ju_{ss} \end{bmatrix}}_{\Pi}, \quad (11)$$

Relying on the vehicle dynamics (3), the matrix  $\Xi$  given in (11) can be developed as a function of the optimization variable  $U$ ,

$$\Xi = WX_k + ZU, \quad (12)$$

$$W = \begin{bmatrix} T\Phi \\ T\Phi^2 \\ \vdots \\ T\Phi^{N_p} \end{bmatrix}, \quad Z = \begin{bmatrix} T\Gamma & 0 & \dots & 0 \\ T\Phi\Gamma & T\Gamma & \dots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ T\Phi^{N_p-1}\Gamma & \dots & T\Phi\Gamma & T\Gamma \end{bmatrix},$$

Similarly,  $\Theta$  can be written as,

$$\Theta = \Upsilon U, \quad (13)$$

$$\text{with } \Upsilon = \begin{bmatrix} 0 & J & \dots & 0 & 0 \\ 0 & 0 & J & \dots & 0 \\ \vdots & \vdots & & \ddots & J \\ 0 & 0 & \dots & & J \end{bmatrix},$$

From (11), (12) and (13) we can finally express the projected side-slip angles  $\bar{\beta}$  as following,

$$\bar{\beta} = (Z + \Upsilon)U + WX_k + \Pi, \quad (14)$$

where  $W \in \mathbb{R}^{r \times 4}$ ,  $Z \in \mathbb{R}^{r \times r}$ ,  $\Upsilon \in \mathbb{R}^{r \times r}$  and  $\Pi \in \mathbb{R}^{r \times 1}$ .

200 Therefore, the slippage-angle constraints can be deduced using (14),

$$\begin{bmatrix} Z + \Upsilon \\ -Z - \Upsilon \end{bmatrix} U \leq \begin{bmatrix} \bar{\beta}^{\max} - WX_k - \Pi \\ -\bar{\beta}^{\min} + WX_k + \Pi \end{bmatrix}, \quad (15)$$

where  $\bar{\beta}^{\max} = -\bar{\beta}^{\min} = [\beta_f^{\max}, \beta_r^{\max}, \dots, \beta_f^{\max}, \beta_r^{\max}]^T \in \mathbb{R}^{r \times 1}$  are the minimum and maximum bounds of the pseudo-sliding zone.

After the development of the slippage constraint (15), the following question must be addressed: how can we proceed to determine the limits  $\bar{\beta}^{\max}$  and  $\bar{\beta}^{\min}$ ? In fact, these saturations are related to the tire/ground contact friction coefficient  $\mu$  and the normal force of the vehicle ( $F_z$ ). Thus, the following inequality can be deduced using the linear tire model (1) by supposing the symmetry of the vehicle,

$$-\mu F_z \leq C_{(f,r)} \beta_{(f,r)} \leq \mu F_z \quad (16)$$

The vertical force  $F_z$  can be calculated assuming that the total weight of the vehicle is equally balanced between the front and rear axles ( $F_z = \frac{mg}{2}$ ). Hence, the front and rear side-slip angle bounds can be approximated as,

$$\beta_f^{\min} = -\frac{\mu mg}{2.C_{(f,r)}} \leq \beta_{(f,r)} \leq \beta_f^{\max} = \frac{\mu mg}{2.C_{(f,r)}} \quad (17)$$

### 3.2.2. Steering angles bounds

From a practical point of view, the limitations corresponding to the articular physical capabilities of the robot must be accounted for solving the control problem. Therefore, the front and rear steering angles  $\delta_{(f,r)}$  must be saturated, which is ultimately due to the fact that the vehicle's actuators have mechanical limit strokes that cannot be exceeded. These constraints can thus be expressed as following:

$$-\delta_f^{\max} \leq \delta_{f,k} \leq \delta_f^{\max}, \quad (18a)$$

$$-\delta_r^{\max} \leq \delta_{r,k} \leq \delta_r^{\max}, \quad (18b)$$

We can then derive these constraints over the prediction horizon  $N_p$  as a function of the optimization variable  $U$ , given by the the following inequality,

$$\begin{bmatrix} I_{r \times r} \\ -I_{r \times r} \end{bmatrix} U \leq \begin{bmatrix} U^{\max} - \Lambda_{ss} \\ -U^{\min} + \Lambda_{ss} \end{bmatrix}, \quad (19)$$

215 where  $U^{\max} = -U^{\min} = [\delta_f^{\max}, \delta_r^{\max}, \dots, \delta_f^{\max}, \delta_r^{\max}]^T \in \mathbb{R}^{r \times 1}$  are the robot's joints limits, and  $\Lambda_{ss} = [u_{ss}, u_{ss}, \dots, u_{ss}]^T \in \mathbb{R}^{r \times 1}$  denotes the vector depending on the steady-state conditions.

### 3.2.3. Rate of change in steering angles limits

In an equivalent way, the steering actuators have a certain maximum speed  
 220 that the controller must respect at each computation step. In other words, the high variation in steering angles with the actuators' response time issue may indeed generate delays in input computation, as well as perturbations of the path tracking task. Consequently, the rate of change of the steering angles  $\Delta U$  must also lie within a specific allowable range to respect the technical capabilities of  
 225 the actuators. Taking these constraints into account, the quadratic programming generates smooth inputs without any abrupt changes enabling the lateral stability of the vehicle to be maintained (particularly at high speeds and during cornering). Therefore, the variation of  $U$  over the prediction horizon  $N_p$  can

simply be deduced as follows,

$$\underbrace{\begin{bmatrix} \Delta U_k \\ \Delta U_{k+1} \\ \vdots \\ \Delta U_{k+N_p-1} \end{bmatrix}}_{\Delta U} = \underbrace{\begin{bmatrix} U_k \\ U_{k+1} \\ \vdots \\ U_{k+N_p-1} \end{bmatrix}}_U - \underbrace{\begin{bmatrix} U_{k-1} \\ U_k \\ \vdots \\ U_{k+N_p-2} \end{bmatrix}}_{\Omega}, \quad (20)$$

230 The vector  $\Omega$  can then be written as :

$$\Omega = \underbrace{\begin{bmatrix} U_{k-1} \\ 0 \\ \vdots \\ 0 \end{bmatrix}}_M + \underbrace{\begin{bmatrix} 0 & 0 & \cdots & 0 \\ I_{2 \times 2} & 0 & \cdots & 0 \\ \vdots & \ddots & & \vdots \\ 0 & \cdots & I_{2 \times 2} & 0 \end{bmatrix}}_F \underbrace{\begin{bmatrix} U_k \\ U_{k+1} \\ \vdots \\ U_{k+N_p-1} \end{bmatrix}}_U, \quad (21)$$

The variation of steering angles can then be expressed by substituting (21) into (20),

$$\underbrace{\begin{bmatrix} \Delta U_k \\ \Delta U_{k+1} \\ \vdots \\ \Delta U_{k+N_p-1} \end{bmatrix}}_{\Delta U} = \underbrace{\begin{bmatrix} U_k \\ U_{k+1} \\ \vdots \\ U_{k+N_p-1} \end{bmatrix}}_U - \underbrace{\begin{bmatrix} U_{k-1} \\ 0 \\ \vdots \\ 0 \end{bmatrix}}_M - \underbrace{\begin{bmatrix} 0 & 0 & \cdots & 0 \\ I_{2 \times 2} & 0 & \cdots & 0 \\ \vdots & \ddots & & \vdots \\ 0 & \cdots & I_{2 \times 2} & 0 \end{bmatrix}}_F \underbrace{\begin{bmatrix} U_k \\ U_{k+1} \\ \vdots \\ U_{k+N_p-1} \end{bmatrix}}_U, \quad (22)$$

Therefore, the rate of change in steering angles as a function of optimization variable  $U$  appears to be simply,

$$\Delta U = (I_{r \times r} - F)U - M, \quad (23)$$

235 with  $F \in \mathbb{R}^{r \times r}$  and  $M \in \mathbb{R}^{r \times 1}$ .

By exploiting (23), the constraints related to the rate of change of the front

and rear steering angles can naturally be written as inequality,

$$\begin{bmatrix} I_{r \times r} - F \\ -I_{r \times r} + F \end{bmatrix} U \leq \begin{bmatrix} \Delta U^{\max} + M \\ -\Delta U^{\min} - M \end{bmatrix}, \quad (24)$$

where  $\Delta U^{\max}$  and  $\Delta U^{\min}$  are the maximal and minimal permitted speeds of the steering actuators defined along  $N_p$ .

### 240 3.3. Final quadratic problem

By way of reminder, the constrained MPC strategy should be able to provide a unique optimal control input  $U^* = [U_k^*, U_{k+1}^*, \dots, U_{k+N_p-1}^*]^T$  at each time-step  $k$  that minimizes the cost function describing the trajectory tracking task, and that must be such that the considered limits are not violated at the next  
 245 time step  $k + 1$ . The final MPC optimization problem will be recast into the standard quadratic programming (QP) formulation as following :

$$\begin{cases} U^* = \arg \min_U \frac{1}{2} U^T H U + f^T U + E^T Q_n E, \\ \text{s.t.} \quad G U \leq h, \end{cases} \quad (25)$$

where  $G$  and  $h$  gather all the linear constraints with their bounds given in (15), (19) and (24). It can be shown that the quadratic forms composing the tasks expression can be written as a function of positive semi-definite matrices  
 250 including constraints expressed in a linear form (i.e. the Hessian matrix  $H$  is a function of  $Q_n \in \mathbb{R}^{q \times q}$  and  $R_n \in \mathbb{R}^{r \times r}$  that are chosen with positive semi-definite matrices, then  $H$  may be symmetric positive semi-definite). This QP optimization problem is then convex and admits a unique global minimum.

Since the tire/ground contact conditions are intended to be changed in the  
 255 off-road context, their estimation is necessary to enhance the robustness of the QP (25). This estimation is not the subject of this paper. However, our controller is mixed with some previous works [32] and [6], summarized in the Appendix A. These algorithms are based on the dynamic model for cornering stiffnesses  $C_{(f,r)}$  as well as the bank and grade angles ( $\theta_r$  and  $\phi_r$ ) estimation.

260 **4. Simulation and Experimental Validation**

Simulation and experiments are conducted to evaluate the capability of the proposed controller. In order to do this, we compare the performance of our controller with another path tracking controller proposed in [27]. The second path tracking controller is based on LQR approach and the vehicle dynamic model given in (2). A brief summary of this LQR controller is presented in Appendix C. The advantage of this comparison relates to the fact that this LQR controller does not take into account any physical or intrinsic constraints.

The constrained MPC and LQR controllers are both tested on a bi-steerable mobile robot “*SPIDO*” shown in Figure 4 with a weight of  $880kg$ , a yaw-inertia moment of  $300kg.m^2$  and a front and rear half-wheelbases of  $0.85m$ . The global QP problem is implemented using the CVXOPT solver, a real-time and effective open-source solver for convex optimization based on the Python programming language [33].

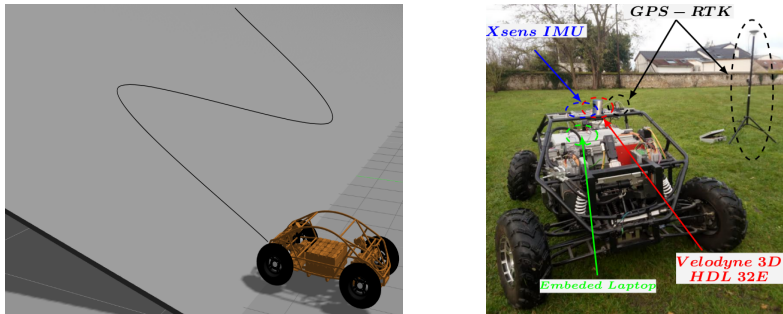


Figure 4: [Left] Virtual “*SPIDO*” platform on sloping environment. [Right] Experimental robot with the embedded sensors [34].

Our algorithms make use of two embedded sensors : First, a Real Time Kinematic GPS (RTK-GPS) providing an accurate absolute position according to the base station. This kind of GPS enables us to reach the  $cm$ -level accuracy at sampling frequency of  $10Hz$ . Second, an IMU (Xsens MTi-G) that integrates multi-axes, accelerometers, gyroscopes, and other sensors to provide an estimation of the vehicle attitude in inertial frame (e.g. yaw angle and its rate) by using EKF filter ( $0.1.s^1$  accuracy at  $100Hz$  sampling rate). Other sensors depicted in Figure 4 (e.g. LiDAR) are not exploited in the framework of

this paper. Otherwise, the lateral velocity  $V_y$  used by our approach needs to be observed. That is why a linear Kalman-Bucy filter was designed for its real-time estimation from the steering angles and on-board sensors measurements  
 285 (see Appendix B).

#### 4.1. Simulation Results

First, numerical simulation results are reported using ROS-GAZEBO and a virtual physical engine (cf. Figure 3). These simulations are performed in the [sloping](#) environment (i.e. slope with  $\pm 15^\circ$ ) to study the effectiveness of our  
 290 QP problem compared with the LQR controller. Two kinds of global reference paths with different characteristics are both tested with these controllers: the first one is a path with two aggressive maneuvers right and left (“Z path”) plotted in Figure 5(a), and the second one is a path with a narrow right turn and small radius curvature to excite the vehicle dynamics (“O path”) as shown  
 295 by Figure 5(b). It can be noticed that thanks to the QP problem and LQR controller, the vehicle successfully follows the desired paths at  $V_x = 10m.s^{-1}$ .

The simulation part is performed at high longitudinal speeds  $V_x = 5m.s^{-1}$  and  $V_x = 10m.s^{-1}$ . The parameters of this proposed constrained path tracking controller are tuned as: [the sample time  \$T\_d = 20ms\$](#)  and the prediction  
 300 horizon is fixed according to the vehicle’s velocity ( $N_p = 20$  at  $V_x = 5m.s^{-1}$  and  $N_p = 40$  at  $V_x = 10m.s^{-1}$ ). The extremum front and rear steering angles are adjusted to  $\delta_{(f,r)}^{\max} = -\delta_{(f,r)}^{\min} = \pm 10^\circ$ , and their rate of change extremum is  $\Delta\delta_{(f,r)}^{\max} = -\Delta\delta_{(f,r)}^{\min} = \pm 3^\circ.s^{-1}$ . The state-weighting matrix  $Q_n = \text{diag}(Q, Q, \dots, Q) \in \mathbb{R}^{q \times q}$  with  $Q = \text{diag}(50, 20, 20)$ , and the input-weighting  
 305 matrix  $R_n = \text{diag}(R, R, \dots, R) \in \mathbb{R}^{r \times r}$  with  $R = \text{diag}(10^2, 10^2)$ .

The friction coefficient, by the way, is assumed to be a constant value and to be the same at all wheels (i.e.  $\mu_i = \mu \forall i \in \llbracket 1, 4 \rrbracket$ ) and invariable over a finite time horizon. Since the robot moves in grass terrain, the friction coefficient value can be approximated to  $\mu = 0.35$  characterizing the rubber and grass contact  
 310 friction (see [35] and [36] for an overview on friction estimation techniques). Therefore, the extremum front and rear side-slip angles  $\beta_{(f,r)}^{\max} = -\beta_{(f,r)}^{\min}$  can

be naturally computed using the inequality (17). However, it also requires the knowledge about the cornering stiffness parameters  $C_{(f,r)}$  that will be estimated via the nonlinear observer described in Appendix A. The estimated parameters via this observer at  $V_x = 10m.s^{-1}$  are plotted in Figure 6(e) for “Z path” and Figure 7(e) for “O path”. As can be seen, this observer converges very quickly when the vehicle is moving along curves with the high curvature that excites its lateral dynamics. The convergence value of the front and rear cornering stiffness is ( $C_{(f,r)} \approx 16kN.rad^{-1}$ ) reflecting the tire-ground contact conditions of GAZEBO environment. Take into account the estimated tire cornering stiffness, the side-slip angle limits can then be approximated to  $\beta_{(f,r)}^{\max} = -\beta_{(f,r)}^{\min} \approx 6^\circ$ . On the other hand, the road bank and road grade angles are on-line identified using the Luenberger observer proposed in [32] (see Appendix A) in order to adapt and update the identified parameters into the controllers when new data becomes available (Figures 7(e) and 6(e)) .

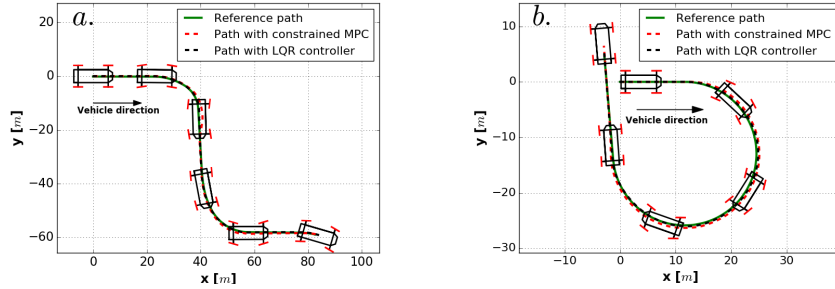


Figure 5: Reference paths and recorded ones using the constrained MPC and LQR controller at  $V_x = 10m.s^{-1}$ . (a) “Z path”. (b) “O path”.

To emphasize more precisely the efficiency of the proposed constrained MPC compared with the LQR controller, the front and rear steering angles at longitudinal speeds  $V_x = 5m.s^{-1}$  and  $V_x = 10m.s^{-1}$  are plotted in Figures 6(a)&(b) and Figures 7(a)&(b) for “Z” and “O paths”, respectively. The steering angles obtained with the LQR controller are depicted using the black line, and those with the MPC are in blue and red lines. We can remark that the constrained MPC and LQR controllers allow to keep them at each sampling instant between the fixed bounds ( $\pm 10^\circ$ ). Nevertheless, the LQR controller does not guarantee

their respect all the time if the conditions change (e.g. reference path, vehicle's  
 335 velocity), as can be seen by the experimental results depicted in Figure 8(d),  
 where the steering angles computed by the LQR controller exceed slightly the  
 mechanical limits. That makes the proposed constrained MPC much more in-  
 teresting than the LQR controller to ensure the rover's safety. Moreover, the  
 measured steering angles vary smoothly with small values and opposite signs to  
 340 increase the vehicle's maneuverability, that characterizes one of the most signif-  
 icant benefit of a double-steering systems. Nonetheless, we can note that the  
 property of opposite signs is no longer preserved at high speeds. This is proba-  
 bly due to the important sliding phenomenon and the existence of one side-slip  
 angle at the vehicle's center of mass that can affect significantly the symmetry  
 345 between the front and the rear side-slip angles.

It is recalled that side-slip angles can be computed using the equation (9a)  
 and are depicted on Figures 6(c)&(d) and Figures 7(c)&(d) for “Z” and “O  
*paths*”, respectively, at different velocities. Once the curves are reached, these  
 angles become large due to high centrifugal forces along cornering and important  
 350 lateral acceleration  $a_y$ . This latter is indeed related to the square of the vehicle  
 longitudinal velocity and the curve curvature ( $a_y = \rho V_x^2$ ). As mentioned before,  
 the LQR controller does not apply any constraints, it is for that reason that the  
 slip angles computed through this controller (black lines) exceed the bounds  
 ( $\pm 6^\circ$ ). In contrast, those supplied by the constrained MPC are always main-  
 355 tained between them, which is a huge advantage of this controller for ensuring  
 robot stability and controllability (i.e. by maintaining the tire pseudo-sliding  
 zone).

The path tracking accuracy is an another issue that has to be studied by  
 using the MPC and LQR controllers. Figures 6(g)&(h) and Figures 7(g)&(h)  
 360 show the lateral and angular deviations at  $V_x = 10m.s^{-1}$ . As can be clearly  
 seen from these figures, the path tracking accuracy using the LQR controller is  
 better than the one given by the QP problem (e.g. for “Z *paths*”,  $e_y = 0.2m$  and  
 $e_\psi = 2^\circ$  with the LQR controller at curvilinear abscissa  $40m$ , against  $e_y = 0.5m$   
 and  $e_\psi = -4^\circ$  with the MPC controller). This quality of path tracking is reduced

365 because of sliding and steering constraints included in the configuration of the QP problem that must be respected during the robot task, and they cannot be handled by the LQR controller.

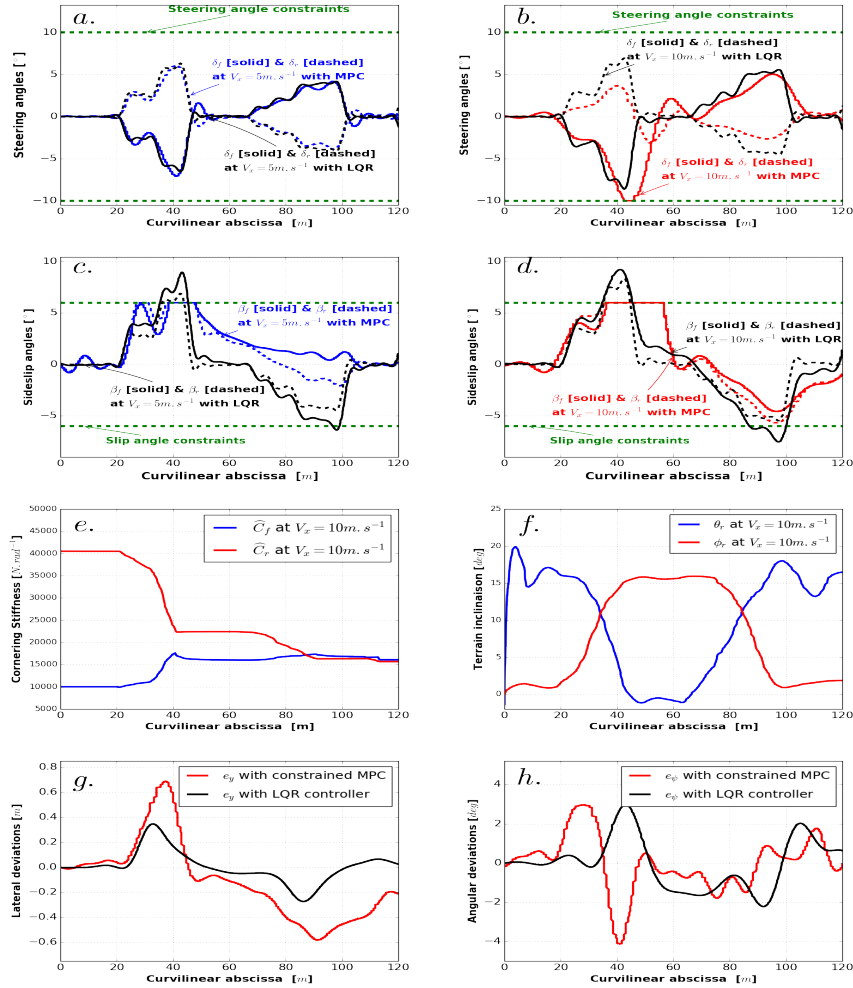


Figure 6: Simulation results of “Z path” at the velocities  $V_x = 5 \text{ m.s}^{-1}$  and  $V_x = 10 \text{ m.s}^{-1}$  with the constrained MPC and LQR controllers. (a) & (b) Recorded front and rear steering angles. (c) & (d) Front and rear side-slip angles. (e) Estimated front and rear tire cornering stiffness. (f) Estimated bank and grade angles. (g) & (h) Lateral and angular path tracking errors at  $V_x = 10 \text{ m.s}^{-1}$ .

To summarize, the simulation results provided by the MPC controller are more relevant than the ones obtained by the LQR controller. Both of them allow the robot to successfully track different desired path with small and smooth

370

inputs. However, the LQR controller does not guarantee any steering and sliding constraints that are critical and necessary for the vehicle stability and safety, and where all of them are fulfilled by the constrained MPC. To show clearly the limits of the LQR controller, we plan in our future works to perform other experiments and tests when the vehicle roll (roll angle control) and longitudinal ( $V_x$  control) dynamics will be integrated.

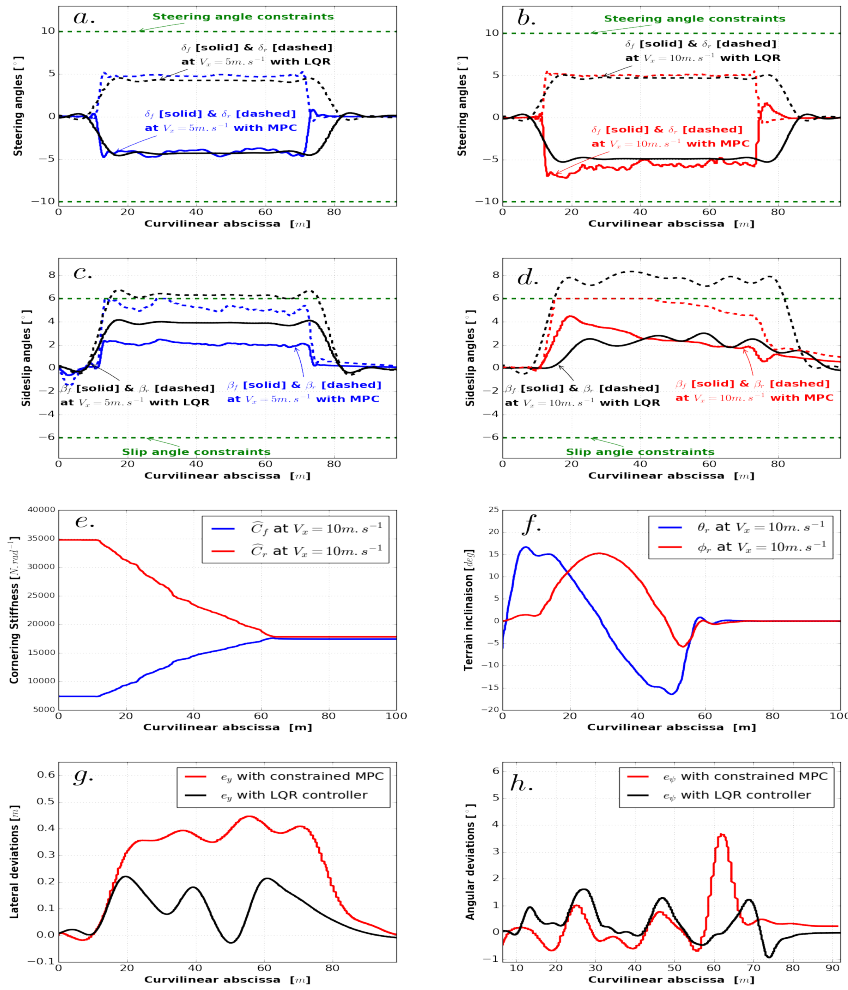


Figure 7: Simulation results of “O path” at the velocities  $V_x = 5m.s^{-1}$  and  $V_x = 10m.s^{-1}$  with the constrained MPC and LQR controllers. (a) & (b) Recorded front and rear steering angles. (c) & (d) Front and rear side-slip angles. (e) Estimated front and rear tire cornering stiffness. (f) Estimated bank and grade angles. (g) & (h) Lateral and angular path tracking errors at  $V_x = 10m.s^{-1}$ .

## 4.2. Experimental Results

The constrained MPC and LQR controllers are implemented on a test double-steering vehicle shown in Figure 4. These controllers are validated with the  
380 same settings as the simulation part. The experiments are performed on a flat ground (assuming  $\theta_r = \phi_r = 0^\circ$ ) at the longitudinal velocities  $V_x = 2.5m.s^{-1}$  and  $V_x = 4m.s^{-1}$ . The experimental results are summarized in Figure 8. In all tests, the extremum steering angles are  $\pm 10^\circ$  and their rate of change limit is  $\pm 3^\circ.s^{-1}$ , the slip-angle extremum is  $\pm 6^\circ$ , the road friction coefficient is approx-  
385 imately 0.35 and the prediction horizon  $N_p = 10$ . The controllers were run in a powerful Intel Nuc (with a core *i7-7567U*, *3,5GHz*) feeding the low-level control with the required optimal front and rear steering angles needed to perform the tracking task. Onboard sensors and tested controllers are invoked every  $100ms$ . In order to effectively remove certain frequencies of noise related to the  
390 experimental issues, all signals, before they can be used, are filtered by applying an eight-order low-pass butterworth filter with small cut-off frequency.

To demonstrate the usefulness of our approach, we compare it with the previously proposed controller in [27] under the same conditions. Figure 8(a) shows the desired path and recorded ones at  $V_x = 4m.s^{-1}$  supplied by the constrained  
395 MPC (red line) and LQR controller (black line). We choose a reference path with a small radius curvature so as to obtain high lateral acceleration that can excite the vehicle lateral motion. As can be seen, the car tracks efficiently the desired path thanks to these controllers. However, the LQR controller appears more accurate than the constrained MPC because it does not consider any steer-  
400 ing or sliding constraints. This can be noted from Figures 8(g) and 8(h) showing the lateral and angular errors (e.g.,  $e_y = 0.5m$  and  $e_\psi = 2.5^\circ$  with LQR controller at curvilinear abscissa  $40m$ , whereas with MPC controller  $e_y = 0.9m$  and  $e_\psi = 5.5^\circ$ ).

For the results presented below, the estimation algorithm presented in [6]  
405 is implemented to estimate the front and rear tire cornering stiffness  $C_{(f,r)}$  (Appendix A), which are thereafter used to feed the controllers with adequate parameters as new data becomes available (see Figure 8(b)). They converge to

the same value in steady state ( $C_{(f,r)} \approx 28kN.rad^{-1}$ ). This value is important due to the fact that the robot is moving on a quite good grip ground conditions (dry-grass ground). We also note that between the curvilinear abscissa 0 and 10m, the estimated parameters remain almost constant because the trajectory is a straight line that does not excite the vehicle dynamics. Besides from the start of the turn between 10 and 15m, they converge quickly but remains constant between 15m and 20m, which is probably the steady state of the circular part of the reference path.

Figures 8(c) and 8(d) show the computed steering angles  $\delta_{(f,r)}$  needed to keep the vehicle close to the desired path at the longitudinal speeds  $V_x = 2.5m.s^{-1}$  and  $V_x = 4m.s^{-1}$  (black line for LQR controller, red and blue lines for the constrained MPC). We note that, the magnitude of  $\delta_{(f,r)}$  is too small and smooth, and is always maintained between fixed bounds  $\pm 10^\circ$  thanks to the QP problem. Compared to the constrained MPC, the steering angles given by the LQR controller exceed the limits imposed by the mechanical stops of the vehicle at  $V_x = 4m.s^{-1}$  (see Figure 8(d)), which is undesirable for the system safety particularly when the robot tracks complex reference paths (i.e. with big curvature) at high speeds. Consequently, the MPC corrects the robot's control action to avoid the constraint violation.

Furthermore, Figures 8(e) and 8(f) plot the front and rear sliding angles  $\beta_{(f,r)}$  for both studied scenarios, MPC and LQR controllers, at  $V_x = 2.5m.s^{-1}$  and  $V_x = 4m.s^{-1}$ . At low speeds, these angles seem too small due to weak centrifugal forces and small lateral acceleration ( $a_y = \rho V_x^2$ ). Although, when the vehicle velocity increases, they become much more significant as can be noticed from Figure 8(f). For the LQR controller, the considered limits are violated at  $V_x = 4m.s^{-1}$ , even though the constrained MPC guarantees the pseudo-sliding area bounds at each time-step, i.e. they lie within  $\pm 6^\circ$ .

As a conclusion, the constrained MPC seems much more interesting than the LQR controller for ensuring the path tracking task and fulfilling the steering and sliding constraints. As mentioned before, these constraints affect slightly the path tracking accuracy. This point will be treated in our future works to

enhance the efficiency of the MPC controller. In fact, the performance of the  
 440 considered strategy could potentially be much more promising by incorporating  
 other control inputs (e.g., vehicle longitudinal speed  $V_x$  control) and other con-  
 straints to increase more the vehicle stability and safety (such as limiting the  
 lateral acceleration  $a_y$  and roll angle  $\phi$ ).

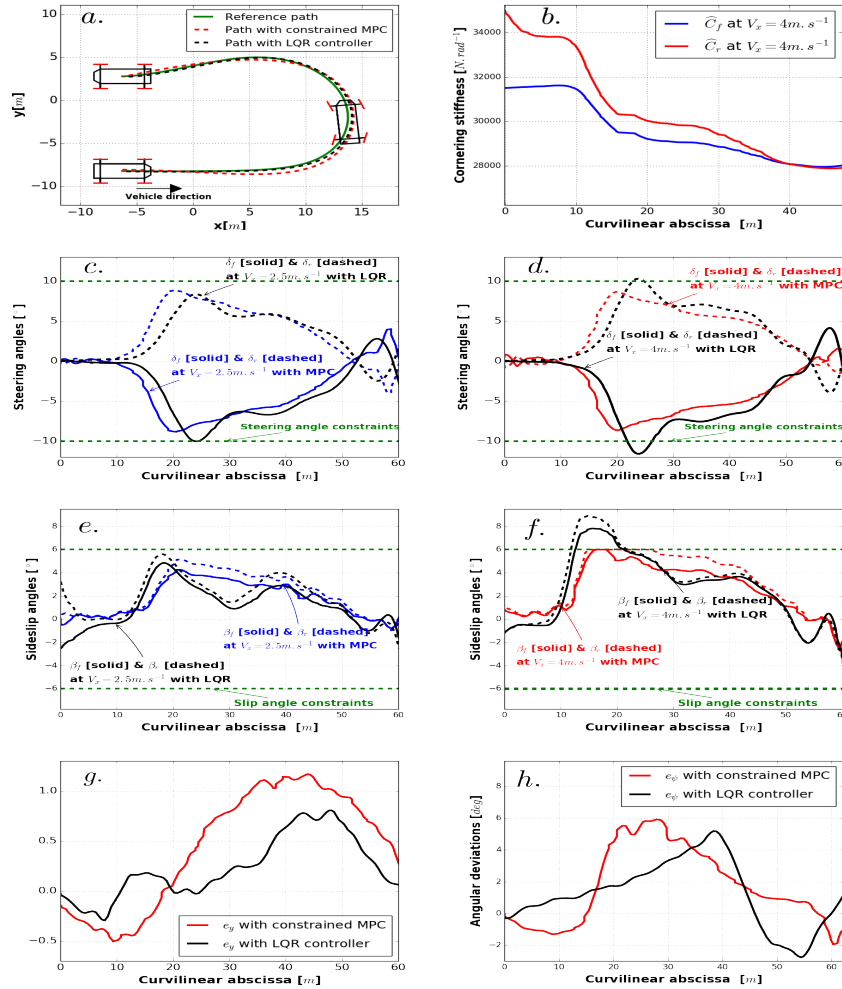


Figure 8: Experimental results with the constrained MPC and LQR controller at the velocities  $V_x = 2.5m.s^{-1}$  and  $V_x = 4m.s^{-1}$ . (a) Reference path and recorded ones. (b) Estimated cornering stiffness. (c) & (d) Recorded front and rear steering angles. (e) & (f) Front and rear side-slip angles. (g) & (h) Lateral and angular path tracking deviations at  $V_x = 4m.s^{-1}$ .

## 5. Conclusions & Future Work

445 Off-road robots are intended to traverse different kinds of terrains with different conditions of friction and cohesion. In such dynamic and non-predictable environment, several criteria must be satisfied with the safety and stability as the most important ones. In the work presented in this paper, a **constrained model predictive control (MPC)** is synthesized and applied for the dynamic  
450 **path tracking dedicated to off-road mobile robots with a double-steering axle (it can also be easily extended to single-steering rovers)**. The main advantage of the MPC is indeed the ability to anticipate future changes in set-points and handle constraints that are critical and necessary for the safety and stability of the vehicle. This controller is based on a dynamic model that includes the  
455 wheel-ground lateral slippage and terrain geometry parameters. It is formulated as an optimization problem that computes at each time-step the optimal and dynamically-consistent front and rear steering angles required to perform a desired path, with respect to multiple constraints, essentially the steering joint limits and the tire adhesion area bounds (i.e., pseudo-sliding zone limits). To  
460 demonstrate the capability of the proposed controller, it is compared with another previously designed control law, which is based on the LQR strategy and the same dynamic model. However, it does not take into account any physical constraints of the system, which is not a beneficial point to emphasize their importance to make the vehicle safe and stable. Finally, we show simulation  
465 results under ROS/GAZEBO detailing the behavior of the proposed controller compared with the LQR one, as well as experimental results obtained by implementing the proposed framework controller in a real off-road mobile robot. The results prove the capability of the constrained MPC to manage effectively the path tracking task while respecting at each sampling time the steering and  
470 sliding constraints, that are not applied by the LQR controller. **Moreover, the proposed constrained MPC seems to be less accurate than the LQR controller due to the critical constraints fulfilled by the constrained MPC at each time-step, which affect its performances once they are reached.**

Besides, the longitudinal dynamics (control of  $V_x$ ) were not considered in this  
 475 study. We anticipate to integrate the control of the longitudinal dynamics into  
 our QP problem in order to improve its performance particularly the tracking  
 accuracy. Indeed, such a control will enable the vehicle to mitigate its forward  
 speed mainly along turns where the curvature is maximum (transient regime).  
 Moreover, this longitudinal speed must always fill an inequality which depends  
 480 on the curvature of the desired path  $\rho$  and the friction coefficient  $\mu$  :  $V_x \leq$   
 $\sqrt{\frac{\mu g}{\rho}}$ . On the other hand, it would also be interesting to incorporate other  
 constraints related to the vehicle stability. We particularly plan to constrain the  
 lateral acceleration and the robot roll angle to prevent the robot from generating  
 harmful lateral motions (such as the vehicle rollover or spin around during  
 485 cornering). These new constraints require new formulations taking into account  
 the prediction horizon and optimization variable.

## Appendix A : Environmental Parameters Estimation

In this appendix, our motivation is to ensure high accuracy and stability of  
 the proposed QP problem developed in section 3. This controller is based on  
 490 the dynamic model by taking into account the wheel/ground contact conditions  
 ( $C_{(f,r)}$ ) and the environment geometry variables ( $\theta_r$  and  $\phi_r$ ). Hence, the real-  
 time adaptation of these parameters is crucial to get good outcomes of the path  
 tracking task. This estimation is not the purpose of this paper. Nevertheless,  
 we remind some observers that have already been developed in our previous  
 495 works to estimated accurately these parameters [32] and [6].

### *Cornering Stiffnesses Estimation*

The nonlinear observer based was designed in [6] for on-line estimation of  
 the front and rear tire cornering stiffness ( $C_{(f,r)}$ ). This observer makes use  
 of the lateral velocity  $V_y$ , the yaw rate  $V_\psi$  and the steering angles  $\delta_{(f,r)}$ . We

500 summarize here the equation of this nonlinear observer,

$$\begin{cases} \dot{\Lambda} = -G(x, u)\Lambda - G(x, u) [B_c^{-1}A_c x + \Upsilon - c(x, u)] \\ \hat{\omega}_c = \Lambda + c(x, u) \end{cases} \quad (26)$$

where the variables  $\Lambda, x, u, A_c, B_c, G, c, \hat{\omega}_c$  and  $\Upsilon$  can be reviewed in more details in [6].

### *Terrain Geometry Estimation*

Since the environment geometry is intended to be changed mainly in the  
 505 off-road context, the estimation of the bank and grade angles ( $\theta_r$  and  $\phi_r$ ) is  
 necessary (particularly when the vehicle moves across a slope) to compensate  
 the gravity components in the dynamic model. For this issue, one linear Luen-  
 berger observer was previously stated in [32] to estimate on-line terrain geometry  
 angles. This observer is based on the yaw rate, lateral velocity and IMU mea-  
 510 surements. Finally, the estimated parameters are then fed in our new controller  
 to enhance its efficiency. The standard observer equation can be reminded as:

$$\hat{x}_{r[k+1]} = A_r \hat{x}_{r[k]} + B_r u_{r[k]} + L \tilde{x}_{r[k]} \quad (27)$$

where  $\hat{x}_{r[k]}$  and  $u_{r[k]}$  are the observer state and control variable respectively.  
 $\tilde{x}_{r[k]}$  is the observer error.  $A_r$  and  $B_r$  are observer state matrices.  $L$  is the  
 Luenberger observer gain matrix (see [32] for more details).

### 515 **Appendix B : state observer - Kalman-Bucy Filter**

Embedded sensors allow to measure  $V_\psi$ ,  $e_y$  and  $e_\psi$ . In order to estimate  
 the lateral speed  $V_y$  and complete the state vector needed for computing the  
 control input, a linear Kalman-Bucy observer was designed in this appendix.  
 This observer assumes the knowledge of the robot dynamic model and then the  
 520 ground geometry and wheel-ground contact parameters. Its inputs are  $y, u,$   
 $A, B, C, \Gamma_\zeta, \Gamma_\gamma$  (the error covariance matrices) and  $dt$  (the sampling time of

discretization). Its on-line calculated variables are the Kalman Bucy gain  $K_b$ , the estimated state  $\hat{\xi}$  and the state covariance matrix  $\Gamma_t$  (see equation (28)).

$$\begin{cases} \dot{\hat{\xi}} = A(\hat{\xi} - \xi_{ss}) + K_b(y - C(\hat{\xi} - \xi_{ss})) + B(u - u_{ss}) \\ \dot{\Gamma}_t = A\Gamma_t + \Gamma_t A^T - K_b\Gamma_\gamma K_b^T + \frac{1}{dt^2}\Gamma_\varsigma \\ K_b = \Gamma_t C^T \Gamma_\gamma^{-1} \end{cases} \quad (28)$$

### Appendix C : LQR controller

525 From the dynamic model given in (2), the feedback control law that minimizes the LQR cost function can be derived as follows (see [27]),

$$u = Ny_d - K(\hat{\xi} - \xi_{ss}) + u_{ss} \quad (29)$$

with  $y_d$  is the desired set-point,  $\hat{\xi} = [\hat{V}_y, \hat{V}_\psi, \hat{e}_y, \hat{e}_\psi]^T$  is the observed state by Kalman-Bucy filter and the gain matrices  $N$ ,  $K$  are expressed in (30).

$$K = R^{-1}B^T P_r \quad \text{and} \quad N = [C[A - BK]^{-1}B]^{-1} \quad (30)$$

530 where  $P_r$  is the algebraic solution of the Riccati equation depending on  $Q$  and  $R$  which are the state-weighting and the input-weighting matrices respectively.

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