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1 **TITLE**

2 **Clinical, biological and radiological features, 4-week outcomes and prognostic factors in**
3 **COVID-19 elderly inpatients**

4

5 **SHORT TITLE**

6 COVID-19 in elderly patients

7

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1 **ABSTRACT**

2 **Objective.** To describe clinical, biological, radiological presentation and W4 status in COVID-
3 19 elderly patients.

4 **Patients and methods.** All patients ≥ 70 years with confirmed SARS-CoV-2 infection and
5 hospitalized in the Infectious Diseases department of the Pitié-Salpêtrière hospital, Paris,
6 France, from March 1st to April 15th 2020 were included. The primary outcome was death 4
7 weeks after hospital admission. Data on demographics, clinical features, laboratory tests, CT-
8 scan findings, therapeutic management and complications was collected.

9 **Results.** Overall, 100 patients were analyzed, including 49 patients ≥ 80 years. Seventy percent
10 had ≥ 2 comorbidities. Respiratory features were often severe as 48% needed oxygen support
11 upon admission. Twenty-eight out of 43 patients (65%) with a CT-scan had a mild to severe
12 parenchymal impairment, and 38/43 (88%) a bilateral impairment. Thirty-two patients
13 presented a respiratory distress requiring oxygen support ≥ 6 liters/minute. Twenty-four deaths
14 occurred, including 21 during hospitalization in our unit, 2 among the 8 patients transferred in
15 ICU, and one at home after discharge from hospital, leading to a global mortality rate of 24%
16 at W4. Age, acute renal failure and respiratory distress were associated with mortality at W4.

17 **Conclusion.** Elderly COVID-19 patients with several comorbidities and severe clinical features
18 survived in a substantial proportion, which could argue against transferring the most fragile
19 patients in ICU.

20

21 INTRODUCTION

22 COVID-19 is an infection related to the novel coronavirus SARS-CoV-2 [1]. It started
23 spreading from Wuhan, China in December 2019, leading to a major pandemic. In early
24 September 2020, nearly 27 million COVID-19 cases were reported worldwide, associated with
25 900,000 deaths [2]. In France, almost 300,500 cases and 30,500 deaths have been documented
26 since February 2020 [3]. Elderly population bear a heavy burden, with fatality rates reaching
27 26% and 58% in patients aged over 70 and 80 years, respectively. Most cohort studies have
28 found age to be the main risk factor for mortality [4–6].

29 On May 12th in France, people over 75 years represented 56% of inpatients, 71% of deaths
30 having occurred during hospital stay, and only 19% of patients admitted in ICU [3]. Therefore,
31 elderly COVID-19 patients represent a high proportion of inpatients in medical wards. Despite
32 frequent severe respiratory forms and accumulation of risk factors, a substantial number of
33 these patients survived the infection. However, data in this population is very limited, especially
34 among survivors after discharge from hospital.

35 Herein, we aimed to describe clinical, biological and radiological presentation of COVID-19 in
36 patients over 70 years, in the Infectious and Tropical Diseases department of the Pitié-
37 Salpêtrière University hospital (Paris, France), as well as clinical outcome 4 weeks (W4) after
38 admission and factors associated with mortality.

39

40 MATERIALS AND METHODS

41 *Study design and participants*

42 We performed a retrospective, single-center study in the Infectious and Tropical Diseases
43 department at the Pitié-Salpêtrière University Hospital, Paris, France. We included all
44 confirmed cases of COVID-19 over 70 years old admitted from March 1st to April 15th 2020.

45 Patients were considered to have a confirmed infection if testing by RT-PCR from
46 nasopharyngeal swab and/or sputum came back positive.

47

48 *Outcomes*

49 The primary outcome was vital status (survival or death) 4 weeks after hospital admission. The
50 secondary outcomes were length of hospital stay, occurrence of acute respiratory distress and/or
51 other complications during clinical course, transfer to ICU, “do not resuscitate” order, use of
52 hypnotic and opioid drugs, place of residence (at home or retirement home, subacute care ward,
53 ICU) 4 weeks after hospital admission for survivors, and factors associated with vital status at
54 week 4 (W4).

55

56 *Procedures*

57 We obtained data retrospectively from electronic medical records, carefully reviewed by three
58 trained physicians. Patient data included demographics, home medications, comorbidities,
59 initial signs and symptoms, onset of signs and symptoms, triage vitals, initial laboratory tests,
60 value of cycle threshold (Ct) for SARS-CoV-2 RT-PCR, chest computed tomographic scan
61 results, treatments received during hospitalization (antivirals, antibiotics, corticosteroids and
62 oxygen support), maximum body temperature, and length of hospital stay. Charlson index was
63 used to evaluate patients’ medical state before admission [7]. NEWS-2 score was used to
64 standardize the assessment of acute-illness severity at admission [8]. The occurrence of acute
65 respiratory distress during clinical course was defined as the recourse to oxygen support ≥ 6
66 liters/minute to maintain blood oxygen saturation (SpO_2) $\geq 94\%$. We also collected other
67 significant complications (acute renal injury, acute hepatitis, bacterial infection) and maximum
68 C-reactive protein (CRP) during hospital stay.

69 Nasopharyngeal swab and sputum samples were collected and tested by RT-PCR with the
70 Cobas® SARS-CoV-2 kit (Roche), as recommended by the manufacturer, after neutralization
71 with an equal amount of Cobas lysis buffer for the SARS-CoV-2 (400/400 µL). Three
72 categories of Ct were retained: Ct<20 (high SARS-CoV-2 viral load), 20 <Ct ≤30 (mild viral
73 load) and Ct >30 (low viral load).

74 All scans were performed on a dedicated scanner (Siemens Somaton Edge) either without
75 iodine injection or after IV of 60 mL iodinated contrast agent (Iomeprol 400 Mg I/mL, Bracco
76 Imaging, Milan, IT) in cases of suspected pulmonary embolism. Radiologist (SB) who were
77 blinded to clinical and biological features including RT-PCR results, reviewed all chest CT
78 images on a PACS workstation (Carestream Health, Rochester, NY) and classified the chest
79 CT as positive or negative for COVID-19 according to CT features previously described [9–
80 11]. The radiologist also described main CT features (ground-glass opacity, consolidation,
81 reticulation/thickened interlobular septa, nodules, emphysema, pleural effusion), and lesion
82 distribution (left, right or bilateral lungs). A semi-quantitative score was assigned (0%
83 involvement; less than 25%; 25% to less than 50%; 50% to less than 75%; 75% or greater). All
84 images were viewed on both lung and mediastinal settings.

85 We reported for each patient whether a transfer to ICU had been considered during clinical
86 course, a “do not resuscitate” order had been collectively decided, and whether hypnotic or
87 opioid drugs were used.

88 Patients or family were contacted by a physician 4 weeks after admission to collect vital status
89 and place of residence at W4.

90

91 *Statistics*

92 We analyzed the vital status 4 weeks after hospital admission using a logistic regression model.

93 Factors investigated were: sex of patients, age, place of residence before hospital admission,

94 number of comorbidities, Charlson's score, time from onset of symptoms and hospital
95 admission, NEW-2 score, Oxygen support need at admission, lymphocyte count at admission,
96 SARS-CoV-2 RT-PCR Ct, total lesion extension, presence of bilateral lesion, duration of
97 hospital stay and respiratory distress (requiring oxygen support ≥ 6 liters/minute). For all these
98 factors, missing values were defined as "unknown". A descriptive analysis of the dependent
99 and independent variables was performed. A univariate analysis was conducted, and all factors
100 associated with death 4 weeks after hospital admission were entered into the multivariate
101 model. We decided to exclude from the analysis the lost to follow-up. The vital status 4 weeks
102 after hospital admission was also compared between patients aged 70 to 79, 80 to 89 and >90
103 year-old using a chi-square test. Survival curve was showed using Kaplan-Meier estimates. All
104 analyses were performed using R studio Version 1.2.5033 (© 2009-2019 RStudio, Inc.) and a
105 p value < 0.05 was considered as significant.

106

107 *Ethics*

108 All discharged inpatients were included in the prospective COVID-PSL cohort, for the 4-week
109 telephonic follow-up. They signed a written informed consent. This cohort was approved by
110 the Ethics Committee Ile-De-France X (n°47-2020, NCT 04402905).

111

112 **RESULTS**

113 *Demographics and medical background*

114 Overall, 100 patients were included for analysis, including 59 men (Table 1). Median age was
115 79 years (IQR 74-85). Fifty-one patients were between 70 and 79 years, 37 between 80 and 89
116 years, and 12 over 90 years. Eighty-nine lived independently at home and 11 in retirement home
117 before being admitted to hospital.

118 Fifty-six of them had a Charlson index ≥ 5 and 71 had at least 2 comorbidities (Table 1). The
119 most prevalent comorbidities were high blood pressure (56%), chronic heart failure (31%),
120 dementia (25%), diabetes (24%) and/or active cancer (21%). Eleven patients had a long-term
121 immunosuppressive and/or corticosteroid therapy.

122

123 *Clinical and biological feature at hospital admission*

124 Median time between the onset of symptoms and hospital admission was 4 days (IQR 2-7).
125 Seventy percent of patients were admitted to hospital before the 7th day.

126 All SARS-CoV-2 infections were confirmed by RT-PCR from nasopharyngeal swab and/or
127 sputum, with a low Ct (≤ 20) in 21% of cases and a mild Ct (21-35) in 28% of cases.

128 The most prevalent symptoms reported at admission were fever (69%), cough (50%), dyspnea
129 (36%), diarrhea (16%) and confusion (14%) (Table 1). Fifty-five patients had a NEWS-2 score
130 ≥ 3 and 22% needed oxygen therapy ≥ 3 liters/minute upon admission.

131 Regarding biological parameters, 80% of patients had lymphopenia, 23% had thrombopenia,
132 32% had acute renal failure and 35% had hepatic cytolysis.

133

134 *Thoracic CT-scan outcomes*

135 46 CT-scans were analyzed from 43 patients (3 patients had 2 CT-scans). 39 (91%) patients
136 were with abnormal lung changes: 91% had ground glass opacities (GGO), 63% had crazy
137 paving (GGO associated with superimposed intralobular reticulations) and 30% had
138 condensations. 29 patients (65%) had a mild to severe parenchymal impairment, 38 patients
139 (88%) had a bilateral impairment, and the lesions were mainly distributed in the lower lungs in
140 35 patients (81%) (Table 2). 6 patients had pleural effusion. 11 patients were with common
141 accompanying diseases of which emphysema (n=9), bronchiectasis (n=1), and pleural sequelae

142 (n=1). Associated pulmonary oedema was detected in one patient. CT scan were injected in
143 42% of cases, with no pulmonary embolism detected.

144

145 *Therapeutic management and clinical course during hospitalization*

146 Sixty-five patients received antibiotics, including a beta-lactam in 97% of cases and/or a
147 macrolide in 98% of cases (Table 3). Thirty percent of patients received hydroxychloroquine
148 for a median duration of 9 days (IQR 2-10). No cardiac side effects were observed in patients
149 treated by hydroxychloroquine. Seven percent of patients received short-term corticosteroid
150 therapy (2-5 days).

151 Thirty-two patients presented a clinical deterioration with respiratory distress requiring oxygen
152 support ≥ 6 liters/minute. Respiratory distress occurred after a median time of 10 days (IQR 4-
153 13 after the onset of symptoms. Among these 32 patients, 8 (25%) were transferred to ICU
154 (Figure 1). All were < 80 years. For the 24 others, a “do not resuscitate” order was decided.
155 Hypnotic and opioid drugs were used in 17 of them.

156

157 *Mortality rate and place of residence at W4*

158 Overall, 24 deaths occurred from hospital admission to W4, including 21 deaths during
159 hospitalization in our unit, 2 deaths in ICU and one death at home after discharge from hospital,
160 leading to a global mortality rate of 24% at W4 (Figure 2a). The mortality rate in 70-79, 80-89
161 and > 90 year-old patients was 10/47 (21%), 10/36 (28%) and 4/11 (36%), respectively. The
162 duration of hospital stay was significantly shorter in deceased patients than in discharged
163 patients (7.1 vs. 11.1 days, OR 0.70, 95%CI 0.47-0.88, $p=0.017$). Among deceased patients,
164 death occurred 9 days (IQR 13-16) in median after the onset of symptoms.

165 Overall, among surviving patients, 46/67 (69%) had returned home or to their institution and
166 21/67 (31%) were still hospitalized in a subacute care ward.

167

168 *Factors associated to death at W4*

169 The older the age was, the greater was the risk of dying (OR 1.15, 95%CI 1.05-1.27, p=0.005);

170 in other words, 10 more years equated to 15% more risk of dying due to COVID-19.

171 The occurrence of a respiratory distress was also associated with death (OR 6.53, 95%CI 1.20-

172 45.6, p=0.038). Interestingly, 13 patients survived despite acute respiratory distress, without

173 mechanical ventilation and having received sustained oxygen support (6, 9 and 15 liters/minute

174 for 4, 3 and 6 patients, respectively).

175 Except age and respiratory distress, only acute renal failure at admission was strongly

176 associated with mortality at W4 (OR 73.8, 95%CI 5.7-3721.2, p=0.006).

177

178 **DISCUSSION**

179 In our study, patients aged ≥ 65 years with COVID-19 presented severe clinical course and

180 frequently required respiratory support. The high mortality rate (24%) is consistent with similar

181 findings in other countries such as China, Korea or USA [12–14]. In a recent cohort conducted

182 in French acute care geriatric wards, this mortality rate was higher (31%), probably due to

183 higher median age (86 vs. 79 years in our population) and poorer general health conditions

184 (29% lived in institution vs. 11% in our population) [15].

185 Although our population was characterized by a high frequency of severe comorbidities and

186 included several very old patients (half of them were ≥ 80 years), 76% of patients survived

187 during their hospital stay. Moreover, 70% of our patients were admitted at hospital before D7,

188 i.e. before the theoretical degradation of the clinical condition. This survival rate is all the more

189 interesting as some patients presented a temporary critical condition (n=13) and few were

190 transferred to ICU (n=8).

191 Viral pneumonia is one of the most frequent complications of COVID-19, requiring oxygen
192 therapy and endo-tracheal intubation for the most severe forms [16]. Intensive care unit (ICU)
193 stays are often extended for several weeks and burdened with high mortality rates, especially
194 among older patients [17,18] In many centers, access to ICU for patients over 70 years was
195 strongly restricted, due to the poor prognosis [19]. In our study, no ICU transfer was decided
196 for patients ≥ 80 years. Indeed, reported mortality rates in ICU in this population up to now are
197 40 to 80%, although more robust data on short-and long-term outcomes is needed [17,20].
198 Oxygen support was performed by nasal canula, simple mask, or non-rebreathing mask. We
199 did not have the possibility to use very high flow oxygen therapy (Optiflow system) or non-
200 invasive ventilation, that could be an alternative to endo-tracheal intubation with enhancing the
201 survival.

202 In order to assess delayed mortality in elderly patients with COVID-19, we systematically
203 contacted discharged patients or their families 4 weeks after their admission in our department.
204 Before analysis, we expected a non-negligible mortality at discharge due to sequelae of the
205 disease and loss of autonomy, but we were able to authenticate the death after discharge in only
206 one patient, that could suggest a satisfactory recovery after the disease. However, a significant
207 proportion of our patients were still hospitalized in subacute care wards, despite full pre-
208 admission autonomy, suggesting a slow return to health baseline. And we could not formally
209 exclude the death of the 8 lost to follow-up patients.

210 As in previous cohorts, the most frequent symptoms associated with SARS-CoV-2 infection
211 were fever, cough and dyspnea [17,21]. However, digestive disorders and confusion as isolated
212 symptoms were not rare (16% and 14%, respectively, in our population), highlighting the
213 special attention that clinicians should have in elderly patients [22].

214 Radiological presentations were in adequation with clinical presentations, with frequent severe
215 parenchymal impairment. Previous works showed that pulmonary lesions are more extensive

216 and more often bilateral in elderly patients, in comparison with younger patients [23,24].
217 Surprisingly, no pulmonary embolism was detected, while venous thromboembolic disease
218 could reach 20% during COVID-19 course in hospitalized patients [25]. The number of patients
219 receiving an anticoagulant treatment before the infection (23%) could partially explain this
220 result.

221 Antibiotics were widely used, and certainly over-used, due to lack of knowledge in the
222 beginning of the pandemic outbreak. We treated patients using MERS-CoV-2 management as
223 model [26]. That being said, no antibiotics side effect were reported (no allergic reactions, no
224 *Clostridioides difficile* colitis, no catheter infections). On the other hand, almost half of patients
225 received hydroxychloroquine. Data, including ours, has now shown lack of positive impact of
226 this antiparasitic on the SARS-CoV-2 infection [27,28] but was not yet available when
227 managing our patients. There was no significant difference on mortality between patients
228 having received or not hydroxychloroquine in our population of elderly patients. Some patients
229 received corticosteroids, according to the clinician's judgment, in case of aggravation and/or
230 arguments for inflammatory evolution of the disease. The impact of corticosteroids on the
231 COVID-19 course is currently debated, but recent data tends to show their positive effect in the
232 COVID-19 management [29].

233 Several comorbidities such as cardiovascular and lung diseases, diabetes, dementia or cancer,
234 all which increase with age [30]. We investigated whether clinical and biological characteristics
235 were associated with death. In our population, the age and the occurrence of respiratory distress
236 were significantly associated with mortality at W4, that match the prognostic factors found in
237 other studies [6,15,31,32]. The acute renal failure at hospital admission was also associated
238 with mortality. Other works have shown that renal impairment was observed in severe forms
239 of COVID-19, and was an independent factor associated with mortality [33,34]. Several other
240 factors such as comorbidities, severe initial clinical presentation (reflected by the NEWS-2

241 score) or biological abnormalities have been reported to be poor prognostic factors [6,15,31,32],
242 but not in our population. We assume that the characteristics of our patient sample were too
243 homogeneous to detect significant differences according to the final outcome. Indeed, all
244 patients were hospitalized in the same medicine department, almost all had a significant number
245 of comorbidities as medical background, and almost all faced severe SARS-CoV-2 infection.
246 This study has several limitations. First, it was a retrospective study with a relatively small
247 number of patients and some unavailable biological data, limiting the field of our analysis with
248 possible confounding. Second, our study subjects consisted of hospitalized patients. Thus, those
249 deemed sufficiently fit for home isolation were not included, which could explain the high
250 mortality and severity observed. Therefore, our results are not generalizable to mild cases.
251 Finally, we did not have standardized geriatric scales (i.e. ADL, IALD) to accurately assess the
252 overall progress of our elderly patients.

253 In conclusion, although being the most vulnerable population to COVID-19, a high proportion
254 of elderly patients with several comorbidities and severe respiratory feature survived the
255 infection without mechanical ventilation. In view of the extremely poor prognosis of elderly
256 patients in ICU, our findings argue for maximum and optimized care in medical departments
257 for this population.

258

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269 **TRANSPARENCY DECLARATION**

270 No authors have any conflicts of interest to declare.

271

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363

364 **FIGURE 1. Study flow chart and W4 outcomes.**

365

366 **FIGURE 2. Survival curve of study patients.**

Table 1. Patient's characteristics at study entry (n=100).

Demographics	
Gender, n (%)	
Male	59/100 (59)
Female	41/100 (41)
Age, years, median (IQR)	79 (74-85)
Age range, n (%)	
70-79 years	51/100 (51)
80-89 years	37/100 (37)
≥90 years	12/100 (12)
Place of residence before hospital admission, n (%)	
Home	87/100 (87)
Retirement home	13/100 (13)
Comorbidities	
Diabetes, n (%)	24/100 (24)
Hypertension, n (%)	56/100 (56)
Chronic heart failure, n (%)	31/100 (31)
Cancer, n (%)	21/100 (21)
Dementia, n (%)	25/100 (25)
Auto-immune disorder, n (%)	10/100 (10)
Immunosuppression (HIV or transplant) , n (%)	4/100 (4)

COPD or asthma, n (%)	16/100 (16)
Chronic kidney disease, n (%)	13/100 (13)
Obesity (BMI ≥ 30 kg/m ²)	6/100 (6)
Current smoking, n (%)	6/100 (6)
Number of comorbidities, n (%)	
0-1	29/100 (29)
2-3	47/100 (47)
≥ 4	24/100 (24)
Charlson's score, n (%)	
0-4	34/100 (34)
≥ 5	66/100 (66)
Treatments	
ACE inhibitor, n (%)	14/100 (14)
ARB treatment, n (%)	9/100 (9)
Other antihypertensive treatment, n (%)	57/100 (57)
Oral antidiabetic agent, n (%)	13/100 (13)
Insulin, n (%)	12/100 (12)
Corticosteroid, n (%)	7/100 (7)
Immunosuppressive therapy, n (%)	5/100 (5)
Statin, n (%)	28/100 (28)
Anticoagulant, n (%)	23/100 (23)
Antiplatelet agent, n (%)	21/100 (21)
Proton pump inhibitor, n (%)	29/100 (29)

Psychotropic treatment, n (%)	36/100 (36)
Bronchodilator, n (%)	12/100 (12)
Symptoms	
Fever, n (%)	69/100 (69)
Cough and sputum, n (%)	50/100 (50)
Chest tightness, difficulty breathing, n (%)	36/100 (36)
Headache, n (%)	8/100 (8)
Diarrhea, n (%)	16/100 (16)
Loss of smell and/or taste, n (%)	5/100 (5)
Confusion, n (%)	14/100 (14)
Hospital admission	
Time from onset of symptoms, days, median (IQR)	4 (2-7)
NEW-2 score, n (%)	
0-2	45/100 (45)
≥3	55/100 (55)
Oxygen support need, n (%)	
No	52/100 (52)
1-2 L/min	26/100 (26)
≥3 L/min	22/100 (22)
Biological parameters	
Hemoglobin (g/L), median (IQR)	12.5 (11.75-13)
Anemia, n (%)	12/95 (13)

Platelets (x10 ⁹ /L), median (IQR)	188 (151.5-245)
Thrombopenia, n (%)	22/95 (23)
Total white blood cell count (x10 ⁹ /L), median (IQR)	4.81 (2.63-6.50)
Lymphocyte count (x10 ⁹ /L), median (IQR)	86 (60 – 122)
Lymphopenia, n (%)	72/90 (80)
Neutrophil count (x10 ⁹ /L), median (IQR)	349 (205 – 496)
Serum ALAT (U/L), median (IQR)	26 (20 -35)
Hepatic cytolysis, n (%)	25/72 (35)
Serum creatinine (μmol/L), median (IQR)	85 (71-106)
Acute renal failure, n (%)	30/93 (32)
SARS-CoV-2 RT-PCR	
Ct <20	15/72 (21)
20 <Ct ≤30	37/72 (51)
Ct >30	20/72 (28)

Table 2. Pulmonary CT-scan outcomes (n=43).

Normal CT	4/43 (9)
Ground glass lesion extension, n (%)	
0%	4/43 (9)
1-25%	19/43 (45)
26-50%	16/43 (37)
51-75%	4/43 (9)
Crazy paving	27/43 (63)
Condensation lesion extension, n (%)	
0%	30/43 (70)
1-25%	11/43 (25)
26-50%	2/43 (5)
51-75%	0/43 (0)
Total lesion extension, n (%)	
0%	4/43 (9)
1-25%	11/43 (26)
26-50%	21/43 (49)
51-75%	7/43 (16)
Bilateral lesion, n (%)	38/43 (88)
Inferior lung involvement	35/43 (81)
Pulmonary embolism, n (%)	0/43 (0)

Table 3. Therapeutic management during hospitalization (n=100).

Antibiotics, n (%)	
Beta-lactam	64/100 (64)
Macrolide	12/100 (12)
Other	1/100 (1)
Antiviral and/or immunomodulator treatments, n (%)	
Hydroxychloroquine	29/100 (29)
Corticosteroid	7/100 (7)
Azithromycin	1/100 (1)
Tocilizumab	1/100 (1)
Lopinavir/ritonavir	1/100 (1)
Respiratory distress requiring oxygen support \geq 6 liters/minute, n (%)	32/100 (32)



